Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

SCANNED AUG 1 4 2017

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: SBMT Charitable Trust

A Employer identification number: 65-6312009

Number and street (or P O box number if mail is not delivered to street address): 2211 East Sample Road — Room/suite: 104

B Telephone number (see instructions): 954-941-5533

City or town, state or province, country and ZIP or foreign postal code: Lighthouse Point, FL 33064

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,345,345

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	25,509	25,509	25,509	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	24,831			
	b	Gross sales price for all assets on line 6a 146,474				
	7	Capital gain net income (from Part IV, line 2)		24,831		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	50,340	50,340	25,509	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,953	2,953	2,953	2,953
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	5,231	5,231	5,231	5,231
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	493	493	493	493
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,677	8,677	8,677	8,677
	25	Contributions, gifts, grants paid	85,000			85,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	93,677	8,677	8,677	93,677
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	(43,337)			
	b	**Net investment income** (if negative, enter -0-)		41,663		
	c	**Adjusted net income** (if negative, enter -0-)			16,832	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	1,307	2,443	2,443
2	Savings and temporary cash investments	47,835	40,785	40,785
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less. allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	565,057	527,634	749,908
c	Investments—corporate bonds (attach schedule)	454,056	454,056	459,971
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	90,000	90,000	92,238
14	Land, buildings, and equipment. basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,158,255	1,114,918	1,345,345
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	1,158,255	1,114,918	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,158,255	1,114,918	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,158,255
2	Enter amount from Part I, line 27a	2	(43,337)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,114,918,
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,114,918

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly Traded Securities - See statement of capital gains and losses			
b	attached			
c	Capital Gain Distributions from Investment Securities			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	146,474		124,173	22,301
c				2,530
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 24,831

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . **3** (1,211)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	95,586	1,410,958	0.0677
2014	89,225	1,475,551	0 0605
2013	70,000	1,439,160	0.0484
2012	104,205	1,379,625	0 0755
2011	60,000	1,417,873	0.0425

2	**Total** of line 1, column (d)	2	0 2946
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0589
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,308,921
5	Multiply line 4 by line 3	5	77,095
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	417
7	Add lines 5 and 6	7	77,512
8	Enter qualifying distributions from Part XII, line 4	8	93,677

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	417
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	417
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	417
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c 700		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	700
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	283
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 283 00 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ None

14 The books are in care of ▶ Daniel J. Trunk, Administrative Trustee Telephone no ▶ 954-785-4732

Located at ▶ 1390 S. Ocean Boulevard, Unit 5-D, Pompano Beach, FL ZIP+4 ▶ 33062

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James J. Trunk 1390 S. Ocean Blvd, Unit 5-D, Pompano Beach, FL 33062	Trustee & Advisory Board - 1 hour	0	0	0
Michelle T. Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee & Advisory Board - 1 hour	0	0	0
Ross Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Advisory Board - 1 hour	0	0	0
Daniel J. Trunk 561 N.E. 19th Street, Deerfield Beach, FL 33441	Trustee & Advisory Board - 1 hour	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions	
3 None	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,278,310
b	Average of monthly cash balances	1b	50,544
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,328,854
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,328,854
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	19,933
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,308,921
6	**Minimum investment return.** Enter 5% of line 5	6	65,446

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	65,446
2a	Tax on investment income for 2016 from Part VI, line 5 . . . 2a 417		
b	Income tax for 2016. (This does not include the tax from Part VI) . . . 2b 0		
c	Add lines 2a and 2b	2c	417
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	65,029
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	65,029
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	65,029

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	93,677
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	93,677
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	417
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	93,260

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				65,029
2	Undistributed income, if any, as of the end of 2016.				
a	Enter amount for 2015 only			14,142	
b	Total for prior years: 20 12 ,20 13 ,20 14		0		
3	Excess distributions carryover, if any, to 2016				
a	From 2011				
b	From 2012				
c	From 2013				
d	From 2014				
e	From 2015				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 93,677				
a	Applied to 2015, but not more than line 2a			14,142	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2016 distributable amount				50,887
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				14,142
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9.				
a	Excess from 2012				
b	Excess from 2013				
c	Excess from 2014				
d	Excess from 2015				
e	Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed .					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization . . .					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached list				85,000
Total . . . . ▶ 3a				85,000
b *Approved for future payment*				
None				
Total . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	25,509	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	24,831	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		50,340	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 50,340

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	None

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/9/17 Title: Co-Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Larry F. Witte	[signature]	7/31/2017		P014779791
Firm's name ▶ Law Offices of Larry F. Witte, P.A.			Firm's EIN ▶	81-3954169
Firm's address ▶ 2211 East Sample Road, Suite 104, Lighthouse Point, FL 33064			Phone no	954-941-5533

The SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2016

Part I, Line 16

Legal Fees

Witte & Craig, P.A. for legal services and preparation of Form 990-PF	$ 2,953.

Other Professional Fees

BNY, Mellon, N.A. – Investment, custodial and accounting services	$ 5,231.

Part I, Line 18

Taxes

Foreign dividend taxes withheld	$ 493.

Part II, Line 10, Investments, Securities
SEE ATTACHED STATEMENT

Part IV, Line 1a, Capital Gains and Losses
SEE ATTACHED STATEMENTS

SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2016

Part XV

3a. Grants and Contributions Paid During the Year

Name and address	Amount
Surfaid International USA 191 Calle Magdalena, Suite 290 Encinitas, CA 92024	$30,000.
The Piarist School Highway 80, Box 870 Martin, KY 41649	20,000.
Chief Petty Officers of Miami, Inc 5088 N.W. 105 Drive Coral Springs, FL 33076	10,000.
Boca Helping Hands, Inc. 1500 N.W. 1st Court Boca Raton, FL 33432	25,000.

Note: All of the above contributions have been made to tax exempt qualified charitable organizations for their unrestricted use.

Asset detail

Cash and cash equivalents

	Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40,784.92	CRA (BNY Mellon, N.A., Member FDIC) (Principal Holding)	$ 40,784.92	$ 40,784.92		$ 101.96	0.3%	3.0%
	Principal Cash	-21,074.13					
	Income Cash	21,074.13					
Total cash and cash equivalents		**$ 40,784.92**	**$ 40,784.92**	**$ 0.00**	**$ 101.96**		**3.0%**

Fixed income

Taxable

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,019.262	BNY Mellon Corporate Bond Fund	$ 12.7200	$ 76,565.01	$ 75,000.00	$ 1,565.01	$ 2,744.78	3.6%	5.7%
28,340.741	BNY Mellon Intermediate Bond Fund	12.4800	353,692.45	349,055.54	4,636.91	7,396.93	2.1	26.3
Total taxable pooled vehicle			$ 430,257.46	$ 424,055.54	$ 6,201.92	$ 10,141.71		32.0%
Total taxable fixed income			**$ 430,257.46**	**$ 424,055.54**	**$ 6,201.92**	**$ 10,141.71**		**32.0%**

High yield

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,777.07	Dreyfus High Yield Fund	$ 6.2200	$ 29,713.38	$ 30,000.00	$ -286.62	$ 1,676.75	5.6%	2.2%
Total high yield pooled vehicle			$ 29,713.38	$ 30,000.00	$ -286.62	$ 1,676.75		2.2%
Total high yield fixed income			**$ 29,713.38**	**$ 30,000.00**	**$ -286.62**	**$ 1,676.75**		**2.2%**
Total fixed income			**$ 459,970.84**	**$ 454,055.54**	**$ 5,915.30**	**$ 11,818.46**		**34.3%**

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
11	Allergan PLC (AGN)	$ 210.0100	$ 2,310.11	$ 1,751.07	$ 559.04	$ 30.80	1.3%	0.2%
18	Accenture PLC (ACN)	117.1300	2,108.34	1,763.03	345.31	43.56	2.1	0.2
60	Eaton Corp PLC (ETN)	67.0900	4,025.40	3,243.39	782.01	136.80	3.4	0.3
80	Ingersoll-Rand PLC (IR)	75.0400	6,003.20	4,358.24	1,644.96	128.00	2.1	0.5
140	Invesco Limited (IVZ)	30.3400	4,247.60	4,754.54	-506.94	156.80	3.7	0.3
170	Nabors Industries Ltd (NBR)	16.4000	2,788.00	1,727.77	1,060.23	40.80	1.5	0.2
34	Broadcom Ltd. (AVGO)	176.7700	6,010.18	2,492.88	3,517.30	138.72	2.3	0.5
100	AT&T Inc (T)	42.5300	4,253.00	3,376.38	876 62	196.00	4.6	0.3
50	Abbott Laboratories (ABT)	38.4100	1,920.50	1,893.81	26.69	53.00	2.8	0.1
36	Adobe Systems Inc (ADBE)	102.9500	3,706.20	1,703.57	2,002.63	0.00	0.0	0.3
40	Agilent Technologies Inc (A)	45.5600	1,822.40	1,650.21	172.19	21.12	1.2	0.1
18	Alexion Pharmaceuticals Inc (ALXN)	122.3500	2,202.30	2,395.91	-193.61	0.00	0.0	0.2
180	Ally Financial Inc. (ALLY)	19.0200	3,423.60	3,883.95	-460.35	57.60	1.7	0.3
10	Alphabet, Inc. (GOOGL)	792.4500	7,924.50	5,996.50	1,928.00	0 00	0.0	0.6
10	Amazon.Com Inc (AMZN)	749.8700	7,498.70	2,728.99	4,769.71	0.00	0.0	0.6
20	American Tower Corporation (AMT)	105.6800	2,113.60	1,889.00	224.60	46.40	2.2	0.2
28	Apple Inc (AAPL)	115.8200	3,242.96	549.43	2,693.53	63.84	2.0	0.2
240	Bank Of America Corporation (BAC)	22.1000	5,304.00	3,959.40	1,344.60	72.00	1.4	0.4
13	Biomarin Pharmaceutical Inc (BMRN)	82.8400	1,076.92	1,385.94	-309.02	0.00	0.0	0.1
30	Bristol-Myers Squibb Company (BMY)	58.4400	1,753.20	1,311.43	441.77	46.80	2.7	0.1
50	Cabot Oil & Gas Corp Cl A (COG)	23.3600	1,168.00	1,186.97	-18.97	4.00	0.3	0.1
50	Celanese Corp (CE) Series A	78.7400	3,937.00	2,530.45	1,406.55	72.00	1.8	0.3
19	Celgene Corp (CELG)	115.7500	2,199.25	2,051.58	147.67	0.00	0.0	0.2
25	Chevron Corporation (CVX)	117.7000	2,942.50	3,030.94	-88.44	108.00	3.7	0.2
130	Citizens Financial Group Inc. (CFG)	35.6300	4,631.90	2,830.06	1,801.84	62.40	1.4	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
30	Comcast Corp Cl A (CMCSA)	69.0500	2,071.50	1,342.90	728.60	33.00	1.6	0.2
13	Constellation Brands Inc-A (STZ)	153.3100	1,993.03	1,658.08	334.95	20.80	1.0	0.2
17	Costco Whsl Corp New (COST)	160.1100	2,721.87	2,228.91	492.96	30.60	1.1	0.2
30	The Walt Disney Company (DIS)	104.2200	3,126.60	1,920.51	1,206.09	46.80	1.5	0.2
30	Dominion Res Inc VA New (D)	76.5900	2,297.70	2,055.48	242.22	84.00	3.7	0.2
40	Dow Chemical Co (DOW)	57.2200	2,288.80	1,978.93	309.87	73.60	3.2	0.2
20	Electronic Arts Inc (EA)	78.7600	1,575.20	1,598.27	-23.07	0.00	0.0	0.1
70	Exelon Corp (EXC)	35.4900	2,484.30	2,190.69	293.61	89.04	3 6	0.2
32	Exxon Mobil Corp (XOM)	90.2600	2,888.32	1,207.10	1,681.22	96.00	3.3	0.2
51	Facebook Inc. (FB)	115.0500	5,867.55	2,334.42	3,533.13	0.00	0.0	0.4
90	General Electric Company (GE)	31.6000	2,844.00	2,533.83	310.17	86.40	3.0	0.2
50	Halliburton Co (HAL)	54.0900	2,704.50	2,164.73	539.77	36.00	1.3	0.2
80	Hartford Finl Svcs Group Inc (HIG)	47.6500	3,812.00	3,109.37	702.63	73.60	1.9	0.3
40	Home Depot Inc (HD)	134.0800	5,363.20	3,154.43	2,208.77	110.40	2.1	0.4
37	Honeywell Intl Inc (HON)	115.8500	4,286.45	3,114.63	1,171.82	98.42	2.3	0.3
40	Illinois Tool Wks Inc (ITW)	122.4600	4,898.40	3,471.73	1,426.67	104.00	2.1	0.4
12	Incyte Corporation (INCY)	100.2700	1,203.24	1,235.21	-31.97	0.00	0.0	0.1
80	Intel Corp (INTC)	36.2700	2,901.60	2,633.20	268.40	83.20	2.9	0.2
70	Intercontinentalexchange Group, Inc.(ICE)	56.4200	3,949.40	1,795.94	2,153.46	47.60	1.2	0.3
26	Johnson & Johnson (JNJ)	115.2100	2,995.46	2,578.25	417.21	83.20	2.8	0.2
30	Lilly Eli & Co (LLY)	73.5500	2,206.50	2,425 16	-218.66	62.40	2.8	0.2
60	Marathon Petroleum Corp (MPC)	50.3500	3,021.00	2,625.18	395.82	86.40	2.9	0.2
50	Merck & Co Inc (MRK)	58.8700	2,943.50	2,603.82	339.68	94.00	3.2	0.2
20	Microsoft Corporation (MSFT)	62.1400	1,242.80	872.27	370.53	31.20	2.5	0.1
140	Micron Technology Inc (MU)	21.9200	3,068.80	2,472.56	596.24	0.00	0.0	0.2
60	Mondelez International (MDLZ)	44.3300	2,659.80	2,509.92	149.88	45.60	1.7	0.2
75	Monster Beverage Corporation (MNST)	44.3400	3,325.50	1,715.74	1,609.76	0.00	0.0	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
50	Nike Inc Class B Stock (NKE)	50.8300	2,541.50	2,901.95	-360.45	36.00	1.4	0.2
60	Nucor Corp (NUE)	59.5200	3,571.20	2,995.71	575.49	90.60	2.5	0.3
40	Nvidia Corp (NVDA)	106.7400	4,269.60	3,061.69	1,207.91	22.40	0.5	0.3
14	Palo Alto Networks Inc (PANW)	125.0500	1,750.70	2,065.05	-314.35	0.00	0.0	0.1
12	Panera Bread Co Cl A (PNRA)	205.0900	2,461.08	2,591.83	-130.75	0.00	0.0	0.2
55	Pepsico Inc (PEP)	104.6300	5,754.65	2,592.81	3,161.84	165.55	2.9	0.4
70	Pfizer Inc (PFE)	32.4800	2,273.60	1,003.10	1,270.50	89.60	3.9	0.2
30	Philip Morris International Inc (PM)	91.4900	2,744.70	1,292.91	1,451.79	124.80	4.6	0.2
13	Pioneer Natural Resources Company (PXD)	180.0700	2,340.91	1,999.77	341.14	1.04	0.0	0.2
50	The Procter & Gamble Company (PG)	84.0800	4,204.00	3,996.55	207.45	133.90	3.2	0.3
40	Qualcomm Inc (QCOM)	65.2000	2,608.00	2,626.23	-18.23	84.80	3.3	0.2
30	Salesforce.Com Inc (CRM)	68.4600	2,053.80	1,353.07	700.73	0.00	0.0	0.2
31	Schlumberger Ltd (SLB)	83.9500	2,602.45	2,501.90	100.55	62.00	2.4	0.2
120	Schwab Charles Corp New (SCHW)	39.4700	4,736.40	3,841.73	894.67	33.60	0.7	0.4
100	Synchrony Financial (SYF)	36.2700	3,627.00	2,798.65	828.35	52.00	1.4	0.3
100	Taiwan Semiconductor Mfg Co Ltd (TSM) Sponsored ADR	28.7500	2,875.00	2,678.77	196.23	75.20	2.6	0.2
18	3M Co (MMM)	178.5700	3,214.26	2,565.58	648.68	79.92	2.5	0.2
50	Time Warner Inc (TWX)	96.5300	4,826.50	3,756.39	1,070.11	80.50	1.7	0.4
2,500	US Bancorp (USB)	51.3700	128,425.00	0.03	128,424.97	2,800.00	2.2	9.6
38	United Technologies Corp (UTX)	109.6200	4,165.56	4,115.57	49.99	100.32	2.4	0.3
29	Unitedhealth Group Inc (UNH)	160.0400	4,641.16	3,901.70	739.46	72.50	1.6	0.4
16	Universal Health Services Inc Cl B (UHS)	106.3800	1,702.08	2,220.71	-518.63	6.40	0.4	0.1
40	Valero Energy Corp New (VLO)	68.3200	2,732.80	1,490.02	1,242.78	96.00	3.5	0.2
30	Verizon Communications Inc (VZ)	53.3800	1,601.40	1,400.40	201.00	69.30	4.3	0.1
50	Visa Inc-Class A Shrs (V)	78.0200	3,901.00	3,857.89	43.11	33.00	0.9	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
100	Voya Financial Inc (VOYA)	39.2200	3,922.00	3,488.51	433.49	4.00	0.1	0.3
60	Wells Fargo & Company (WFC)	55.1100	3,306.60	1,692.16	1,614.44	91.20	2.8	0.3
70	Williams Cos Inc (WMB)	31.1400	2,179.80	2,135.44	44.36	56.00	2.6	0.2
50	Yum! Brands Inc (YUM)	63.3300	3,166.50	2,705.74	460.76	60.00	1.9	0.2
17	Zimmer Holdings Inc (ZBH)	103.2000	1,754.40	2,084.69	-330.29	16.32	0.9	0.1
7,288.63	BNY Mellon Income Stock Fund (MPISX)	8.9100	64,941.69	50,000.00	14,941.69	1,479.59	2.3	4.8
Total U.S. large cap			**$ 454,249.72**	**$ 249,693.25**	**$ 204,556.47**	**$ 9,011.44**		**33.8%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,706.453	The BNY Mellon Mid Cap (MPMCX) Multi-Strategy Fund	$ 15.2800	$ 41,354.60	$ 23,509.84	$ 17,844.76	$ 0.00	0.0%	3.1%
Total U.S. mid cap			**$ 41,354.60**	**$ 23,509.84**	**$ 17,844.76**	**$ 0.00**		**3.1%**

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
540.387	Dreyfus Select Managers Small Cap (DSGYX) Growth Fund	$ 23.0700	$ 12,466.73	$ 10,000.00	$ 2,466.73	$ 0.00	0.0%	0.9%
781.551	Dreyfus Select Managers Small Cap (DMVYX) Value Fund	23.6700	18,499.31	15,000.00	3,499.31	146.93	0.8	1.4
Total U.S. small cap			**$ 30,966.04**	**$ 25,000.00**	**$ 5,966.04**	**$ 146.93**		**2.3%**

U.S. small-mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,094.018	BNY Mellon Small/Mid Cap Fund (MMCMX)	$ 13.4100	$ 28,080.78	$ 28,290.19	$ -209.41	$ 0.00	0.0%	2.1%
Total U.S. small-mid cap			**$ 28,080.78**	**$ 28,290.19**	**$ -209.41**	**$ 0.00**		**2.1%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
8,102.585	Dreyfus Diversified International (DDIFX) Fund	$ 10.6600	$ 86,373.56	$ 80,000.00	$ 6,373.56	$ 1,028.22	1.2%	6.4%
Total developed international			**$ 86,373.56**	**$ 80,000.00**	**$ 6,373.56**	**$ 1,028.22**		**6.4%**

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
9,509.562	BNY Mellon Emerging Markets Fund (MEMKX)	$ 8.4700	$ 80,545.99	$ 96,140.49	$ -15,594.50	$ 0.00	0.0%	6.0%
Total emerging markets			**$ 80,545.99**	**$ 96,140.49**	**$ -15,594.50**	**$ 0.00**		**6.0%**

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,337.784	Dreyfus Global Real Estate (DRLYX) Securities Fund	$ 8.4900	$ 28,337.79	$ 25,000.00	$ 3,337.79	$ 694.26	2.5%	2.1%
Total equity reits			**$ 28,337.79**	**$ 25,000.00**	**$ 3,337.79**	**$ 694.26**		**2.1%**
Total equities			**$ 749,908.48**	**$ 527,633.77**	**$ 222,274.71**	**$ 10,880.85**		**55.8%**

Alternative investments

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,559.484	Advantage Dynamic Total Return Fund	$ 15.8200	$ 24,671.04	$ 20,000.00	$ 4,671.04	$ 0.00	0.0%	1.8%
2,109.705	ASG Managed Futures Strategy Fund	9.8300	20,738.40	20,000.00	738.40	0.00	0.0	1.5
4,595.588	ASG Global Alternatives Fund-Y	10.1900	46,829.04	50,000.00	-3,170.96	0.00	0.0	3.5
Total alternative investments			**$ 92,238.48**	**$ 90,000.00**	**$ 2,238.48**	**$ 0.00**		**6.9%**
Total assets			**$ 1,342,902.72**	**$ 1,112,474.23**	**$ 230,428.49**	**$ 22,801.27**		**100.0%**

Income for 2016

A schedule of your current year sales is shown below The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (included in Net G/L)". For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (included in Net G/L)".

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation.

† - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure
* - Tax Cost has been adjusted for current year's return of capital
◊ - Section 988 translation gains or losses

Short Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ACTIVISION BLIZZARD INC			**00507V109**						
02/04/2016	10/09/2015	20 00	$636 40	$640 47	$-4 07	$0 00	$0 00	$0 00	$0 00
ADOBE SYS INC COM			**00724F101**						
07/12/2016	03/30/2016	10 00	$975 06	$939 04	$36.02	$0 00	$0 00	$0 00	$0 00
AETNA INC			**00817Y108**						
11/04/2016	01/13/2016	19 00	$2,024 64	$2,006 80	$17 84	$0 00	$0 00	$0 00	$0 00
AGILENT TECHNOLOGIES INC			**00846U101**						
10/18/2016	10/06/2016	10 00	$454 32	$473 15	$-18 83	$0 00	$0 00	$0 00	$0 00

Short Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMGEN INC			**031162100**						
07/08/2016	10/12/2015	5.00	$796 46	$768 84	$27 62	$0 00	$0 00	$0 00	$0.00
ANACOR PHARMACEUTICALS INC			**032420101**						
05/26/2016	07/15/2015	12 00	$1,195.56	$1,605 04	$-409 48	$0.00	$0 00	$0.00	$0 00
ANALOG DEVICES INC			**032654105**						
01/06/2016	10/21/2015	17 00	$878 65	$1,052.02	$-173 37	$0 00	$0.00	$0 00	$0 00
01/07/2016	10/21/2015	33 00	$1,671.69	$2,042 16	$-370 47	$0 00	$0 00	$0 00	$0 00
APPLE COMPUTER INC COM			**037833100**						
06/08/2016	03/30/2016	3 00	$296.64	$328 57	$-31 93	$0 00	$0 00	$0 00	$0 00
06/08/2016	03/31/2016	2 00	$197 76	$218 80	$-21.04	$0 00	$0 00	$0 00	$0 00
BIOGEN IDEC INC			**09062X103**						
01/20/2016	08/03/2015	1.00	$264 17	$323 43	$-59 26	$0 00	$0.00	$0 00	$0 00
CVS CORP			**126650100**						
05/26/2016	08/25/2015	10 00	$970 02	$1,033 69	$-63.67	$0 00	$0 00	$0 00	$0.00
08/11/2016	08/25/2015	5 00	$485.24	$516 84	$-31 60	$0 00	$0.00	$0 00	$0 00
08/11/2016	09/22/2015	11 00	$1,067 52	$1,098 70	$-31 18	$0 00	$0 00	$0 00	$0 00
COGNIZANT TECHNOLOGY SOLUTIONS			**192446102**						
06/27/2016	12/01/2015	20 00	$1,110 92	$1,302 08	$-191 16	$0 00	$0 00	$0 00	$0 00
06/30/2016	12/09/2015	10.00	$570.68	$602 53	$-31 85	$0.00	$0 00	$0.00	$0.00
06/30/2016	12/14/2015	10 00	$570 68	$594 25	$-23.57	$0.00	$0 00	$0 00	$0 00
11/28/2016	09/30/2016	20 00	$1,138 99	$962 63	$176 36	$0 00	$0 00	$0.00	$0 00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
COMCAST CORP NEW CL A			**20030N101**						
01/07/2016	07/08/2015	10 00	$551 70	$625 60	$-73 90	$0 00	$0 00	$0 00	$0 00
08/19/2016	07/19/2016	10.00	$665 13	$671 18	$-6 05	$0 00	$0 00	$0 00	$0 00
DEVON ENERGY CORPORATION NEW			**25179M103**						
09/27/2016	03/17/2016	60 00	$2,291 27	$1,639 10	$652 17	$0 00	$0 00	$0 00	$0 00
DOMINION RES INC VA NEW			**25746U109**						
08/23/2016	01/06/2016	10 00	$759 07	$685 49	$73 58	$0 00	$0 00	$0 00	$0 00
DYNEGY INC/NEW			**26817R108**						
12/07/2016	05/31/2016	20 00	$161 85	$375 77	$-213 92	$0 00	$0 00	$0 00	$0 00
12/07/2016	06/01/2016	10 00	$80 92	$196 32	$-115 40	$0 00	$0 00	$0 00	$0 00
12/08/2016	03/17/2016	10 00	$81 71	$136 04	$-54 33	$0 00	$0 00	$0 00	$0 00
12/08/2016	03/18/2016	30 00	$240 95	$411 18	$-170 23	$0 00	$0 00	$0 00	$0 00
12/08/2016	07/27/2016	30 00	$240 95	$484 18	$-243.23	$0.00	$0.00	$0.00	$0 00
12/09/2016	03/17/2016	60 00	$513.30	$816.21	$-302 91	$0 00	$0 00	$0 00	$0 00
EXELON CORP			**30161N101**						
03/17/2016	08/19/2015	40 00	$1,396 22	$1,366 98	$29.24	$0 00	$0 00	$0 00	$0 00
05/31/2016	08/20/2015	20 00	$682 10	$682 56	$-0 46	$0 00	$0 00	$0.00	$0 00
EXXON MOBIL CORP			**30231G102**						
03/03/2016	07/22/2015	10 00	$821.25	$823 42	$-2 17	$0 00	$0 00	$0.00	$0 00
03/17/2016	08/21/2015	10 00	$841 12	$739 60	$101 52	$0 00	$0 00	$0 00	$0 00
INTEL CORPORATION			**458140100**						
11/11/2016	10/07/2016	20 00	$688 90	$762 37	$-73 47	$0 00	$0 00	$0 00	$0 00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
INTEL CORPORATION			**458140100**						
11/16/2016	06/23/2016	20 00	$694 50	$659 65	$34 85	$0 00	$0 00	$0 00	$0 00
JOHNSON & JOHNSON COM			**478160104**						
05/19/2016	08/04/2015	11 00	$1,235 38	$1,102 29	$133 09	$0 00	$0 00	$0 00	$0 00
KOHLS CORP			**500255104**						
05/09/2016	01/07/2016	30 00	$1,250.53	$1,527 56	$-277 03	$0 00	$0.00	$0.00	$0 00
MICROSOFT CORP COM			**594918104**						
06/23/2016	09/22/2015	10 00	$517 94	$436 13	$81 81	$0 00	$0 00	$0 00	$0.00
06/23/2016	01/05/2016	10 00	$517 94	$551 72	$-33 78	$0 00	$0 00	$0 00	$0 00
06/23/2016	01/06/2016	10 00	$517 94	$539 94	$-22 00	$0 00	$0 00	$0 00	$0.00
06/23/2016	01/07/2016	30 00	$1,553 82	$1,587 17	$-33 35	$0 00	$0 00	$0 00	$0 00
09/02/2016	09/22/2015	20 00	$1,150 62	$872 27	$278 35	$0 00	$0 00	$0 00	$0 00
NETFLIX COM INC			**64110L106**						
07/19/2016	01/07/2016	6 00	$512.42	$711 59	$-199.17	$0 00	$0 00	$0.00	$0 00
07/19/2016	01/13/2016	5.00	$427 01	$540 99	$-113.98	$0.00	$0 00	$0 00	$0.00
07/19/2016	01/21/2016	4 00	$341.61	$425 66	$-84 05	$0.00	$0 00	$0 00	$0 00
PALO ALTO NETWORKS INC			**697435105**						
07/21/2016	09/22/2015	1.00	$126 70	$183 07	$-56 37	$0 00	$0 00	$0 00	$0 00
07/21/2016	10/27/2015	1 00	$126.70	$158 55	$-31 85	$0.00	$0 00	$0 00	$0.00
08/02/2016	10/27/2015	3 00	$380 03	$475 64	$-95 61	$0 00	$0 00	$0 00	$0 00
PEOPLES DREYFUS S&P MIDCAP INDEX FD			**712223106**						
05/05/2016	12/29/2015	109 07	$3,653 85	$3,588 40	$65 45	$0 00	$0 00	$0 00	$0 00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
PEOPLES DREYFUS S&P MIDCAP INDEX FD			**712223106**						
05/05/2016	03/22/2016	1.50	$50 22	$49 59	$0 63	$0 00	$0 00	$0 00	$0 00
SEMPRA ENERGY			**816851109**						
01/06/2016	05/06/2015	6 00	$554 03	$620 01	$-65 98	$0 00	$0 00	$0 00	$0 00
01/06/2016	05/07/2015	7 00	$646 37	$727 60	$-81 23	$0 00	$0 00	$0 00	$0 00
01/06/2016	06/29/2015	1 00	$92 34	$99 60	$-7 26	$0 00	$0 00	$0 00	$0 00
01/07/2016	06/29/2015	9 00	$791 27	$896 41	$-105.14	$0 00	$0 00	$0 00	$0 00
UNION PACIFIC CORP			**907818108**						
01/22/2016	08/03/2015	10 00	$693 32	$962 70	$-269 38	$0 00	$0 00	$0 00	$0 00
VALERO ENERGY CORP NEW			**91913Y100**						
03/17/2016	02/11/2016	10.00	$641.92	$547.04	$94.88	$0 00	$0 00	$0 00	$0 00
11/03/2016	06/22/2016	10 00	$575 73	$530 00	$45 73	$0.00	$0 00	$0 00	$0 00
WELLS FARGO & CO NEW			**949746101**						
07/20/2016	09/10/2015	20 00	$969 34	$1,052 31	$-82 97	$0 00	$0 00	$0 00	$0 00
ALLERGAN PLC			**G0177J108**						
01/20/2016	06/22/2015	2 00	$578 92	$613 57	$-34.65	$0 00	$0 00	$0 00	$0 00
01/20/2016	06/26/2015	3 00	$868 38	$923 25	$-54 87	$0 00	$0 00	$0 00	$0 00
ACCENTURE PLC IRELAND SHS CL A			**G1151C101**						
02/11/2016	08/19/2015	3 00	$280 18	$307 91	$-27 73	$0 00	$0 00	$0 00	$0.00
NABORS INDUSTRIES LTD COM			**G6359F103**						
11/30/2016	10/28/2016	10.00	$161 55	$120 90	$40 65	$0 00	$0 00	$0 00	$0.00
12/06/2016	08/23/2016	30 00	$513.15	$307 98	$205 17	$0 00	$0 00	$0 00	$0 00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
NXP SEMICONDUCTORS N V			**N6596X109**						
10/13/2016	09/02/2016	2 00	$203 13	$176 04	$27 09	$0 00	$0 00	$0.00	$0 00
10/27/2016	07/12/2016	2.00	$200 00	$161 34	$38 66	$0 00	$0 00	$0 00	$0 00
10/27/2016	09/02/2016	8 00	$799 98	$704 18	$95.80	$0 00	$0 00	$0 00	$0 00
10/28/2016	02/04/2016	2.00	$199.31	$152.07	$47 24	$0 00	$0 00	$0 00	$0 00
10/28/2016	07/12/2016	8.00	$797 26	$645 36	$151 90	$0.00	$0 00	$0 00	$0.00
11/02/2016	02/04/2016	10.00	$993 71	$760 34	$233 37	$0 00	$0.00	$0 00	$0 00
11/11/2016	02/04/2016	8.00	$781 21	$608 28	$172 93	$0 00	$0 00	$0 00	$0.00
11/11/2016	02/11/2016	10 00	$976 52	$655.10	$321 42	$0 00	$0 00	$0 00	$0 00
Total Short Term Gain/Loss.			*$50,696.67*	*$51,907 25*	*$-1,210 58*	*$0 00*	*$0 00*	*$0 00*	*$0.00*

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
ABBVIE INC			**00287Y109**						
01/13/2016	07/18/2014	40 00	$2,063 26	$2,198 45	$-135 19	$0 00	$0 00	$0.00	$0 00
ADOBE SYS INC COM			**00724F101**						
09/30/2016	08/09/2013	4 00	$432.14	$189 29	$242.85	$0.00	$0 00	$0 00	$0 00
ADVANSIX INC			**00773T101**						
10/06/2016	08/09/2013	1 20	$16 78	$13 78	$3 00	$0 00	$0 00	$0 00	$0.00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ADVANSIX INC			**00773T101**						
10/06/2016	01/08/2014	0 80	$11 19	$9 18	$2.01	$0 00	$0 00	$0 00	$0 00
AMGEN INC			**031162100**						
10/19/2016	09/16/2013	7 00	$1,130 44	$818 31	$312 13	$0 00	$0.00	$0 00	$0 00
10/19/2016	01/08/2014	2 00	$322 98	$233 48	$89.50	$0 00	$0 00	$0 00	$0 00
ANHEUSER BUSCH INBEV SPN ADR			**03524A108**						
01/06/2016	04/14/2014	9 00	$1,083 64	$973 47	$110 17	$0 00	$0 00	$0 00	$0 00
01/06/2016	04/15/2014	1.00	$120.40	$107 88	$12 52	$0 00	$0 00	$0 00	$0 00
04/04/2016	04/15/2014	9 00	$1,133 33	$970 91	$162 42	$0 00	$0 00	$0 00	$0 00
APPLE COMPUTER INC COM			**037833100**						
01/05/2016	07/09/2009	9.00	$927 49	$176.60	$750 89	$0 00	$0 00	$0 00	$0 00
06/08/2016	07/09/2009	15 00	$1,483 20	$294 34	$1,188.86	$0 00	$0 00	$0 00	$0 00
09/08/2016	07/09/2009	5 00	$528 29	$98 11	$430 18	$0 00	$0 00	$0 00	$0 00
11/14/2016	07/09/2009	8.00	$844 22	$156 98	$687 24	$0 00	$0 00	$0.00	$0 00
BANK AMER CORP			**060505104**						
12/12/2016	02/25/2015	80 00	$1,817 34	$1,320 04	$497 30	$0 00	$0 00	$0 00	$0.00
12/12/2016	05/11/2015	20 00	$454.34	$331 38	$122 96	$0 00	$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BIOGEN IDEC INC			**09062X103**						
12/19/2016	02/04/2014	7 00	$1,996 34	$2,153 13	$-156 79	$0.00	$0 00	$0 00	$0.00
CAPITAL ONE FINL CORP COM			**14040H105**						
10/04/2016	01/21/2014	10 00	$730 06	$727 16	$2 90	$0 00	$0 00	$0 00	$0.00
10/04/2016	01/22/2014	10 00	$730.06	$727 04	$3.02	$0 00	$0 00	$0 00	$0.00
10/05/2016	09/30/2010	30 00	$2,211 12	$1,187 71	$1,023 41	$0.00	$0 00	$0 00	$0 00
10/05/2016	05/03/2012	10 00	$737 04	$559 60	$177 44	$0 00	$0 00	$0 00	$0.00
CARDINAL HEALTH INC COM			**14149Y108**						
09/14/2016	06/04/2014	20 00	$1,506 79	$1,418.16	$88.63	$0 00	$0.00	$0 00	$0 00
09/14/2016	06/05/2014	10.00	$753 39	$711 85	$41 54	$0 00	$0.00	$0 00	$0.00
CHEESECAKE FACTORY INC			**163072101**						
04/18/2016	02/19/2015	8 00	$409 68	$396 46	$13 22	$0.00	$0.00	$0 00	$0.00
04/19/2016	02/19/2015	18 00	$907 68	$892 05	$15 63	$0 00	$0 00	$0 00	$0.00
04/20/2016	12/15/2014	10 00	$504 63	$486 52	$18.11	$0 00	$0.00	$0 00	$0.00
04/20/2016	02/19/2015	4 00	$201 85	$198 23	$3 62	$0 00	$0.00	$0 00	$0 00
CHEVRONTEXACO CORP			**166764100**						
07/27/2016	08/09/2013	2 00	$204 38	$244 12	$-39 74	$0 00	$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CHEVRONTEXACO CORP			**166764100**						
07/27/2016	01/08/2014	3 00	$306 57	$369 31	$-62 74	$0 00	$0 00	$0 00	$0 00
07/27/2016	04/30/2014	1 00	$102 19	$125 66	$-23 47	$0 00	$0 00	$0 00	$0 00
COMCAST CORP NEW CL A			**20030N101**						
01/07/2016	01/08/2014	10 00	$551 70	$532 46	$19.24	$0 00	$0 00	$0 00	$0 00
01/13/2016	01/08/2014	10 00	$538 12	$532 46	$5 66	$0 00	$0 00	$0 00	$0 00
01/21/2016	01/13/2014	10 00	$543 32	$530 39	$12 93	$0 00	$0 00	$0 00	$0 00
09/02/2016	10/15/2013	10 00	$660 28	$468 49	$191 79	$0 00	$0 00	$0 00	$0 00
11/15/2016	08/09/2013	10 00	$669 79	$447 64	$222 15	$0 00	$0 00	$0.00	$0 00
ELECTRONIC ARTS			**285512109**						
02/03/2016	09/15/2014	10 00	$598 94	$365 21	$233 73	$0 00	$0 00	$0 00	$0 00
02/04/2016	09/15/2014	20 00	$1,162 75	$730 41	$432.34	$0 00	$0 00	$0 00	$0 00
FACEBOOK INC			**30303M102**						
09/30/2016	04/15/2015	8 00	$1,024 38	$663.55	$360 83	$0 00	$0.00	$0 00	$0 00
11/14/2016	12/16/2014	5 00	$579 79	$376 93	$202 86	$0 00	$0 00	$0 00	$0 00
11/14/2016	04/15/2015	2 00	$231 91	$165 89	$66 02	$0.00	$0 00	$0 00	$0 00
11/16/2016	12/16/2014	4 00	$468 36	$301 54	$166 82	$0 00	$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HALLIBURTON COMPANY COM			**406216101**						
06/22/2016	08/09/2013	20 00	$893 08	$919 19	$-26 11	$0 00	$0.00	$0 00	$0 00
HARLEY DAVIDSON INC			**412822108**						
01/07/2016	08/16/2013	30 00	$1,296.60	$1,749 30	$-452.70	$0 00	$0.00	$0 00	$0 00
01/07/2016	08/23/2013	10 00	$432 20	$598 30	$-166 10	$0 00	$0 00	$0 00	$0.00
01/07/2016	01/08/2014	10 00	$432 20	$695 99	$-263.79	$0 00	$0.00	$0 00	$0.00
HARTFORD FINL SVCS GROUP INC COM			**416515104**						
07/20/2016	10/20/2014	20 00	$872 98	$729 59	$143 39	$0 00	$0 00	$0 00	$0 00
HERSHEY FOODS CORPORATION COM			**427866108**						
01/08/2016	12/19/2014	10 00	$856 58	$1,050 92	$-194 34	$0.00	$0 00	$0 00	$0 00
01/26/2016	12/19/2014	5 00	$424 67	$525.46	$-100 79	$0 00	$0 00	$0.00	$0 00
01/26/2016	01/07/2015	5 00	$424 67	$524 96	$-100.29	$0 00	$0 00	$0.00	$0.00
03/22/2016	12/18/2014	10.00	$896 81	$1,032 98	$-136.17	$0 00	$0.00	$0 00	$0 00
03/22/2016	01/07/2015	1 00	$89 68	$104 99	$-15 31	$0 00	$0 00	$0 00	$0.00
HONEYWELL INTL INC			**438516106**						
10/06/2016	01/08/2014	6 00	$693 68	$540 52	$153 16	$0 00	$0 00	$0 00	$0 00
10/06/2016	01/08/2014	7 00	$809 30	$630 62	$178 68	$0 00	$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTERCONTINENTALEXCHANGE GRO			**45866F104**						
07/20/2016	08/09/2013	7 00	$1,840 22	$1,301 62	$538 60	$0 00	$0 00	$0 00	$0 00
JOHNSON & JOHNSON COM			**478160104**						
10/06/2016	08/03/2015	1 00	$118 54	$99 66	$18 88	$0 00	$0 00	$0 00	$0 00
10/06/2016	08/04/2015	4 00	$474 14	$400 83	$73 31	$0 00	$0 00	$0 00	$0 00
MICROSOFT CORP COM			**594918104**						
10/13/2016	09/22/2015	10 00	$570 18	$436 13	$134 05	$0 00	$0 00	$0 00	$0 00
PNC FINANCIAL SERVICES GROUP INC			**693475105**						
01/19/2016	08/09/2013	20 00	$1,706 22	$1,518 63	$187 59	$0 00	$0 00	$0 00	$0 00
01/20/2016	08/09/2013	20 00	$1,671 80	$1,518 62	$153 18	$0 00	$0 00	$0 00	$0 00
PALO ALTO NETWORKS INC			**697435105**						
08/02/2016	04/21/2015	3 00	$380 03	$460 51	$-80 48	$0 00	$0 00	$0 00	$0 00
PEOPLES DREYFUS S&P MIDCAP INDEX FD			**712223106**						
05/05/2016	03/21/2003	571 53	$19,146 39	$9,853 25	$9,293 14	$0 00	$0 00	$0 00	$0 00
05/05/2016	12/29/2008	224 67	$7,526.48	$3,536 32	$3,990.16	$0 00	$0 00	$0 00	$0 00
05/05/2016	03/26/2009	6 84	$228 97	$108 81	$120.16	$0 00	$0 00	$0 00	$0 00
05/05/2016	12/27/2012	30 39	$1,018 10	$871.30	$146 80	$0 00	$0 00	$0 00	$0 00
05/05/2016	03/19/2013	0 44	$14 64	$14 08	$0.56	$0 00	$0 00	$0 00	$0 00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PEOPLES DREYFUS S&P MIDCAP INDEX FD			**712223106**						
05/05/2016	12/27/2013	30 49	$1,021.55	$1,115 47	$-93.92	$0 00	$0 00	$0 00	$0 00
05/05/2016	03/18/2014	0 68	$22 61	$25 67	$-3 06	$0.00	$0 00	$0 00	$0.00
05/05/2016	12/29/2014	49.84	$1,669 71	$1,901 96	$-232 25	$0 00	$0 00	$0.00	$0 00
05/05/2016	03/24/2015	0 65	$21.64	$25 62	$-3 98	$0 00	$0 00	$0 00	$0 00
PFIZER INC COM			**717081103**						
09/14/2016	07/09/2009	20 00	$678.15	$286 60	$391 55	$0 00	$0 00	$0.00	$0 00
09/14/2016	01/08/2015	20 00	$678.15	$650 22	$27 93	$0 00	$0 00	$0.00	$0.00
09/14/2016	06/26/2015	10.00	$339 07	$342.31	$-3 24	$0 00	$0 00	$0 00	$0 00
10/06/2016	07/09/2009	10 00	$335.48	$143 30	$192 18	$0 00	$0 00	$0 00	$0 00
PHILIP MORRIS INTERNATIONAL			**718172109**						
10/03/2016	04/30/2015	10.00	$973 03	$832.47	$140 56	$0 00	$0 00	$0 00	$0 00
10/03/2016	09/29/2015	10 00	$973 03	$785 92	$187 11	$0 00	$0 00	$0 00	$0.00
PHILLIPS 66			**718546104**						
02/11/2016	11/20/2014	10 00	$726 84	$776 67	$-49 83	$0 00	$0.00	$0 00	$0.00
02/11/2016	12/10/2014	10 00	$726.84	$671 05	$55 79	$0.00	$0 00	$0 00	$0.00

Long Term Transactions

DESCRIPTION			CUSIP						
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PIONEER NAT RES CO			723787107						
05/03/2016	02/19/2015	5 00	$796 49	$801 02	$-4 53	$0 00	$0 00	$0 00	$0 00
08/25/2016	02/17/2015	4.00	$721.00	$628 93	$92 07	$0 00	$0 00	$0 00	$0 00
SALESFORCE COM INC			79466L302						
10/14/2016	08/09/2013	10 00	$720 01	$451 02	$268 99	$0 00	$0 00	$0 00	$0 00
10/18/2016	08/09/2013	10 00	$727 05	$451 03	$276 02	$0 00	$0 00	$0.00	$0 00
SCHLUMBERGER LIMITED COM			806857108						
08/23/2016	05/22/2015	10 00	$817 95	$920 95	$-103.00	$0 00	$0.00	$0 00	$0 00
SEMPRA ENERGY			816851109						
01/07/2016	08/09/2013	19 00	$1,670 45	$1,645.50	$24.95	$0 00	$0 00	$0 00	$0 00
SERVICENOW INC			81762P102						
03/17/2016	08/15/2013	10 00	$608 54	$434 83	$173 71	$0.00	$0 00	$0 00	$0 00
03/30/2016	08/15/2013	15 00	$919 98	$652 24	$267 74	$0 00	$0 00	$0 00	$0 00
03/31/2016	08/15/2013	15 00	$911 35	$652.23	$259 12	$0 00	$0.00	$0 00	$0 00
UNION PACIFIC CORP			907818108						
01/22/2016	08/09/2013	16 00	$1,109 32	$1,275.15	$-165 83	$0.00	$0 00	$0 00	$0 00
01/22/2016	01/08/2014	8 00	$554 66	$668 96	$-114.30	$0 00	$0 00	$0.00	$0 00
01/22/2016	08/15/2014	4 00	$277 33	$407 30	$-129 97	$0.00	$0 00	$0 00	$0 00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION			**CUSIP**						
VERIZON COMMUNICATIONS INC			**92343V104**						
09/02/2016	08/09/2013	10 00	$525 60	$491 05	$34 55	$0 00	$0 00	$0 00	$0.00
YUM CHINA HOLDINGS INC			**98850P109**						
12/06/2016	08/09/2013	30 00	$838.78	$634.54	$204 24	$0.00	$0.00	$0 00	$0.00
12/06/2016	01/08/2014	10 00	$279 59	$219.16	$60 43	$0 00	$0 00	$0 00	$0 00
12/06/2016	04/24/2014	10.00	$279 59	$217 83	$61.76	$0 00	$0 00	$0 00	$0 00
ALLERGAN PLC			**G0177J108**						
01/20/2016	09/30/2013	1.00	$289 46	$144 01	$145 45	$0 00	$0 00	$0 00	$0.00
ACCENTURE PLC IRELAND SHS CL A			**G1151C101**						
02/11/2016	11/07/2014	7 00	$653.74	$584 15	$69 59	$0 00	$0.00	$0 00	$0.00
04/20/2016	11/07/2014	4 00	$462 64	$333 80	$128 84	$0 00	$0.00	$0.00	$0 00
10/07/2016	11/07/2014	8 00	$929 40	$667 59	$261 81	$0.00	$0 00	$0 00	$0 00
Total Long Term Gain/Loss			*$95,777 35*	*$72,265 30*	*$23,512 05*	*$0 00*	*$0.00*	*$0 00*	*$0 00*