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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
ANNETTE J HAGENS MEMORIAL FOUNDATION

A Employer identification number
65-6248208

Number and street (or P O box number if mail is not delivered to street address)
800 S OSPREY AVENUE

Room/suite

B Telephone number (see instructions)
(941) 366-3600

City or town, state or province, country, and ZIP or foreign postal code
SARASOTA, FL 34236

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 3,377,997

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	13,834	13,834		
	4	Dividends and interest from securities	80,646	79,744		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	16,507			
	b	Gross sales price for all assets on line 6a 774,716				
	7	Capital gain net income (from Part IV, line 2)		16,507		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	110,987	110,085		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	76,680	70,208		6,472
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,605	1,605		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,534	2,826		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	24	24		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	81,843	74,663		6,472
	25	Contributions, gifts, grants paid	169,622			169,622
	26	**Total expenses and disbursements.** Add lines 24 and 25	251,465	74,663		176,094
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-140,478			
	b	**Net investment income** (if negative, enter -0-)		35,422		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	37,225	64,247	64,247
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,848,670	2,037,286	2,936,256
	c	Investments—corporate bonds (attach schedule)	726,900	374,839	375,243
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	6,306	2,251	2,251
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,619,101	2,478,623	3,377,997
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,619,101	2,478,623	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,619,101	2,478,623	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,619,101	2,478,623	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,619,101
2	Enter amount from Part I, line 27a	2	-140,478
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,478,623
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,478,623

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	16,507
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	186,012	3,322,138	0 055992
2014	217,032	3,616,682	0 060009
2013	190,040	3,531,140	0 053818
2012	181,424	3,438,028	0 052770
2011	193,038	3,412,612	0 056566

2 Total of line 1, column (d)	2	0 279155
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055831
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,235,667
5 Multiply line 4 by line 3	5	180,651
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	354
7 Add lines 5 and 6	7	181,005
8 Enter qualifying distributions from Part XII, line 4	8	176,094

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	708
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	708
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	708
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,280		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	1,280
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	572
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 572	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL, IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶THE NORTHERN TRUST COMPANY Telephone no ▶(941) 329-2627

Located at ▶1515 RINGLING BLVD SARASOTA FL ZIP+4 ▶34236

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?.	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?.	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?.	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?.	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NORMAN J SHEA III CPA 800 S OSPREY AVENUE SARASOTA, FL 34236	TRUSTEE 10 00	32,362	0	0
MATT A SPERLING 800 S OSPREY AVENUE SARASOTA, FL 34236	TRUSTEE 10 00	32,361	0	0
THE NORTHERN TRUST COMPANY 1515 RINGLING BLVD SARASOTA, FL 34236	TRUSTEE 10 00	11,957	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	3,248,533
b	Average of monthly cash balances		1b	36,408
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	3,284,941
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	3,284,941
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	49,274
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	3,235,667
6	**Minimum investment return.** Enter 5% of line 5		6	161,783

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	161,783
2a	Tax on investment income for 2016 from Part VI, line 5	2a 708		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	708
3	Distributable amount before adjustments Subtract line 2c from line 1		3	161,075
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	161,075
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	161,075

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	176,094
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	176,094
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	176,094

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					161,075
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	25,019				
b From 2012.	11,226				
c From 2013.	15,871				
d From 2014.	39,699				
e From 2015.	21,176				
f Total of lines 3a through e.		112,991			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 176,094					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					161,075
e Remaining amount distributed out of corpus		15,019			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		128,010			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		25,019			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		102,991			
10 Analysis of line 9					
a Excess from 2012.	11,226				
b Excess from 2013.	15,871				
c Excess from 2014.	39,699				
d Excess from 2015.	21,176				
e Excess from 2016.	15,019				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANNETTE J HAGENS MEMORIAL FOUNDATIO
1515 RINGLING BLVD
SARASOTA, FL 34236
(941) 329-2627

b The form in which applications should be submitted and information and materials they should include

DESIGNATED APPLICATION TO INCLUDE ORGANIZATION'S GENERAL INFORMATION & PURPOSE OF FUNDS REQUESTED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS EXCEPT FOR THE GEOGRAPHICAL AREA OF SARASOTA AND MANATEE COUNTIES

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				169,622
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	13,834	
4 Dividends and interest from securities			14	80,646	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	16,474	33
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		110,954	33

13 Total. Add line 12, columns (b), (d), and (e). **13** 110,987

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	THE FOUNDATION PROVIDES GRANTS TO QUALIFIED PUBLIC WELFARE CHARITIES
4	EXCLUSIVELY TO HELP UNDERPRIVILEGED AND LOW INCOME YOUTHS AND
7	FAMILIES WITHIN THE MEANING OF SECTION 501(C)3 OF THE INTERNAL
8	REVENUE CODE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
NORMAN J SHEA III				P00456227

Firm's name ▶ SUPLEE SHEA CRAMER & ROCKLEIN PA — Firm's EIN ▶59-2213319

Firm's address ▶ 800 SOUTH OSPREY AVENUE SARASOTA, FL 342367834 — Phone no (941) 366-3600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HALLIBURTON CO	P	2016-02-12	2016-11-10
MFB NORTHERN HI YIELD FXD INC	P	2016-02-12	2016-02-12
MFO PIMCO FDS PAC INVT MGMT	P	2015-12-16	2016-02-12
SHIRE PLC	P	2016-06-03	2016-07-13
REORG BAXALTA INC	P	2016-03-02	2016-06-03
BERKSHIRE HATHAWAY	P	2011-01-24	2016-02-12
CISCO SYS INC	P	2008-07-28	2016-02-22
EXXON MOBIL CORP	P	1997-10-27	2016-02-12
MFB NORTHERN HI YIELD FXD INC	P	2009-03-02	2016-02-12
MFC FLEXSHARES TR INTL QUALITY DIVIDEND	P	2013-11-01	2016-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,609		15,587	10,022
360		360	0
3,497		3,646	-149
164		186	-22
39,477		33,771	5,706
31,862		20,789	11,073
50,000		50,460	-460
15,728		5,860	9,868
94,548		94,307	241
23,957		29,777	-5,820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,022
			0
			-149
			-22
			5,706
			11,073
			-460
			9,868
			241
			-5,820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MFC FLEXSHARES TR MORNINGSTAR GLOBAL	P	2012-11-01	2016-02-12
MFC ISHARES TR GLOBAL	P	2015-01-22	2016-02-12
MFC SELECT SECTOR SPDR TR	P	2010-01-28	2016-02-12
MFO PIMCO FDS PAC INVT MGMT	P	2012-05-30	2016-02-12
SCHLUMBERGER LTD	P	2007-02-06	2016-02-12
TRAVELERS COS INC	P	2011-01-24	2016-02-12
US BANCORP	P	2004-01-29	2016-02-12
VERIZON COMMUNICATIONS	P	2005-05-31	2016-05-23
WELLS FARGO & CO	P	1999-10-13	2016-02-12
HONEYWELL INTL INC	P	2008-05-16	2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83,889		138,890	-55,001
27,545		34,280	-6,735
30,577		21,585	8,992
132,325		153,032	-20,707
14,099		13,074	1,025
42,634		22,234	20,400
50,323		36,348	13,975
39,319		25,497	13,822
17,958		7,968	9,990
50,667		50,558	109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-55,001
			-6,735
			8,992
			-20,707
			1,025
			20,400
			13,975
			13,822
			9,990
			109

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO FDS HIGH YIELD	P		2016-03-01
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33			33
145			145

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33
			145

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS & GIRLS CLUB OF SARASOTA COUNTY PO BOX 4068 SARASOTA, FL 34230	NONE	501(C)3	TEEN PROGRAMMING IN 4 CLUBS	70,000
BOYS & GIRLS CLUBS OF SARASOTA COUNTY PO BOX 4068 SARASOTA, FL 34230	NONE	501(C)3	TEEN PROGRAMMING	21,000
BROTHERS & SISTERS DOING THE RIGHT THING INC 2829 NORTH GILLESPIE AVE SARASOTA, FL 34234	NONE	501(C)3	SUMMER SCHOLARSHIPS FOR UNDERPRIVILEGED TEENS	1,500
CHILD PROTECTION CENTER INC 720 SOUTH ORANGE AVE SARASOTA, FL 24236	NONE	501(C)3	KID KINDNESS PROGRAM	5,000
CHILDREN FIRST INC 1723 N ORANGE AVE SARASOTA, FL 34236	NONE	501(C)3	NUTURING YOUNG MINDS TO LEARN PROGRAM	2,500
CHILDREN'S GUARDIAN FUND INC PO BOX 49722 SARASOTA, FL 34230	NONE	501(C)3	ENRICHMENT PROGRAM	2,500
MOST IMPROVED STUDENT INC PO BOX 4097 SARASOTA, FL 34230	NONE	501(C)3	SCHOLARSHIPS FOR HIGHSCHOOL STUDENTS	10,000
COMMUNITY HAVEN FOR ADULTS & CHILDREN WITH DISABILITIES INC 4405 DESOTO ROAD SARASOTA, FL 34235	NONE	501(C)3	TRANSITIONS YEAR ROUND PROGRAM	4,000
BIG BROTHERS BIG SISTERS OF THE SUNCOAST 2831 RINGLING BLVD SUITE A-201 SARASOTA, FL 34237	NONE	501(C)3	DTW PROGRAM/HELPING AT RISK HIGH SCHOOL STUDENTS	4,000
MANATEE YOUTH FOR CHRIST 1901 30TH AVE W BRADENTON, FL 34205	NONE	501(C)3	CAMP SCHOLARSHIPS	1,000
FAMILY NETWORK ON DISABILITIES OF MANATEESARASOTA INC 7361 MERCHANT COURT SARASOTA, FL 34240	NONE	501(C)3	RESPITE SERVICES PROGRAM	3,000
FIRST STEPS OF SARASOTA INC 4579 NORTHGATE COURT SARASOTA, FL 34234	NONE	501(C)3	SCHOLARSHIPS TO NEEDY FAMILIES DEALING WITH ADDICTIVE DISORDER	2,500
FLORIDA CENTER FOR EARLY CHILDHOOD INC 4620 17TH STREET SARASOTA, FL 34235	NONE	501(C)3	THERAPEUTIC SERVICES FOR CHILDREN	4,000
INNER EXPLORER 430 FRANKLIN VILLAGE DR 325 FRANKLIN, MA 02038	NONE	501(C)3	INNER EXPLORER PROGRAM IN SARASOTA COUNTY SCHOOLS	5,000
MEALS ON WHEELS PLUS OF MANATEE INC 811 23RD AVE EAST BRADENTON, FL 34208	NONE	501(C)3	FIGHTING SUMMER HUNGER PROGRAM	4,000
Total . . . . ▶ 3a				169,622

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RINGLING COLLEGE OF ART & DESIGN 2700 N TAMIAMI TRAIL SARASOTA, FL 34234	NONE	501(C)3	GOLF SCHOLARSHIPS	2,500
SAMARITAN COUNSELING SERVICES OF GULF COAST INC 3224 BEE RIDGE RD SARASOTA, FL 34239	NONE	501(C)3	MENTAL HEALTH COUNSELING FOR LOW INCOME	2,500
SARASOTA FOUNDATION 1280 CORNISH CT SARASOTA, FL 34232	NONE	501(C)3	BASKETBALL SCHOLARSHIPS	7,000
SECOND CHANCE LAST OPPORTUNITY INC 1933 DR MARTIN LUTHER KING JR WAY SARASOTA, FL 34234	NONE	501(C)3	SUPPORT OUT OF THE BOX LIFE SKILLS YOUTH PROJECT	2,500
SKY FAMILY YMCA 701 CENTER ROAD VENICE, FL 34285	NONE	501(C)3	SCHOLARSHIPS TO LOW INCOME FAMILIES FOR CHILDCARE	2,000
SUNCOAST YOUTH BASKETBALL ASSOCIATION 6503 WATERFORD CIRCLE SARASOTA, FL 34238	NONE	501(C)3	FUND ANNUAL BASKETBALL SESSIONS	1,000
THE UPSIDE DOWN FOUNDATION INC PO BOX 17791 SARASOTA, FL 34276	NONE	501(C)3	GOOD DECISIONS PROGRAM	2,000
MEALS ON WHEELS OF SARASOTA INC 421 N LIME ST SARASOTA, FL 34237	NONE	501(C)3	HELP FUND MEALS FOR THOSE IN NEED IN SARASOTA	2,500
TRINITY YOUTH & FAMILY SERVICES INC 1925 NORTHGATE BLVD SARASOTA, FL 34234	NONE	501(C)3	SCHOLARSHIPS FOR SUMMER ENRICHMENT PROGRAM	1,000
VISIBLE MEN ACADEMY 921 63RD AVE EAST BRADENTON, FL 34203	NONE	501(C)3	SCHOLARSHIPS FOR SUMMER LITERACY PROGRAM	6,622
Total . . . . ▶ **3a**				169,622

TY 2016 Accounting Fees Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION

EIN: 65-6248208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,605	1,605		0

TY 2016 Investments Corporate Bonds Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION

EIN: 65-6248208

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MFB NORTHERN HIGH YIELD FIXED INCOME FD	0	0
CISCO SYS INC SR NT	0	0
HONEYWELL INTL INC	0	0
JOHNSON & JOHNSON	51,505	51,378
KIMBERLY-CLARK SR NT	52,985	55,339
NATIONAL RURAL UTILS COOP	49,984	52,146
MFO PIMCO FDS PAC INVT MGMT	0	0
MFC ISHARES INTERMEDIATE CREDIT BOND	220,365	216,380

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TY 2016 Investments Corporate Stock Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION
EIN: 65-6248208

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON CORP	9,140	27,529
GENERAL ELECTRIC	16,116	23,700
INTEL CORP	21,075	43,524
MICROSOFT CORP	17,849	68,354
WELLS FARGO	15,097	39,679
SYSCO	19,835	41,528
MIDCAP SPDR TR UNIT	31,856	105,606
CISCO SYSTEMS	47,250	60,440
LOWES	10,972	42,672
UNITED TECHNOLOGIES	8,695	27,405
ISHARES TR RUSSELL 2000	39,267	107,880
ALTRIA GROUP	10,436	67,620
3M COMPANY	8,992	26,786
MCDONALDS CORP	7,000	48,688
ISHARES TR MSCI EMERGE MKT	216,095	220,563
U.S. BANCORP	0	0
VERIZON COMMUNICATIONS	0	0
JOHNSON & JOHNSON	24,225	46,084
MFC VANGUARD INFORMATION TECHNOLOGY	31,500	85,050
SCHLUMBERGER LTD	22,880	29,383
PHILIP MORRIS INTL INC	9,438	36,596
DU PONT EI DE NEMOURS & CO	22,201	36,700
ABBOTT LABORATORIES	12,946	19,205
MFC SELECT SECTOR SPDR TR FINANCIAL	0	0
SPDR DOW JONES REIT ETF	76,118	121,355
TRAVELERS COS INC	0	0
NOVARTIS AG	30,557	36,420
BERKSHIRE HATHAWAY INC	0	0
CHEVRON CORP	26,983	34,133
EMERSON ELECTRIC CO	23,190	22,300

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WALT DISNEY CO	19,937	52,110
EXPRESS SCRIPTS HLDG CO	11,830	30,612
NORFOLK SOUTHERN CORP	21,744	32,421
MFC VANGUARD INTL EQUITY INDEX FDS	495,270	503,652
MFC FLEXSHARES TR MORNINGSTAR GLOBAL	0	0
ABBVIE INC	14,039	31,310
MFC FLEXSHARES TR INTL QUALITY DIVIDEND	173,248	144,128
MFC ISHARES GLOBAL INFRASTRUCTURE	0	0
ALLERGAN PLC	44,910	42,002
AMERICAN EXPRESS CO	45,781	63,338
CITIGROUP INC	47,502	72,802
DUKE ENERGY CORP	29,495	31,048
EOG RESOURCES INC	24,905	36,902
HALLIBURTON CO	8,823	16,227
INTERCONTINENTAL EXCHANGE INC	46,368	56,420
JP MORGAN CHASE & CO	48,933	72,915
METLIFE INC	46,439	64,668
NUCOR CORP	31,376	44,640
PFIZER INC	29,980	32,480
SCHWAB CHARLES CORP	44,562	74,204
MICROCHIP TECHNOLOGY INC	34,043	48,113
SUNCOR ENERGY INC	34,397	45,766
SHIRE PLC	23,991	21,298

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TY 2016 Other Assets Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION

EIN: 65-6248208

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INTEREST RECEIVABLE	6,306	2,251	2,251

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TY 2016 Other Expenses Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION

EIN: 65-6248208

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNT FEES	24	24		0

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TY 2016 Taxes Schedule

Name: ANNETTE J HAGENS MEMORIAL FOUNDATION

EIN: 65-6248208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,826	2,826		0
FEDERAL TAXES	708	0		0