

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending

THE PETER AND PATRICIA GRUBER FOUNDATION  
P.O. BOX 208958  
NEW HAVEN, CT 06520-8958

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 16,510,907.

**J** Accounting method ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

**A** Employer identification number  
65-1230485

**B** Telephone number (see instructions)  
203-432-6231

**C** If exemption application is pending, check here ☐

**D** 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	4,340,604.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	944.	944.	N/A	
4	Dividends and interest from securities	300,551.	300,551.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	39,543.			
b	Gross sales price for all assets on line 6a	770,245.			
7	Capital gain net income (from Part IV, line 2)		39,543.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
	SEE STATEMENT 1	106.	-237.		
12	Total. Add lines 1 through 11.	4,681,748.	340,801.		
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) SEE ST 2	858.			858.
b	Accounting fees (attach sch) SEE ST 3	6,641.	3,321.		3,320.
c	Other professional fees (attach sch) SEE ST 4	80,000.			80,000.
17	Interest				
18	Taxes (attach schedule)(see instrs) SEE STM 5	10,134.	3,453.		
19	Depreciation (attach schedule) and depletion SEE STMT 6	59.			
20	Occupancy				
21	Travel, conferences, and meetings	2,455.			2,455.
22	Printing and publications				
23	Other expenses (attach schedule)				
	SEE STATEMENT 7	31,935.			31,935.
24	Total operating and administrative expenses. Add lines 13 through 23.	132,082.	6,774.		118,568.
25	Contributions, gifts, grants paid PART XV	3,159,400.			1,514,400.
26	Total expenses and disbursements. Add lines 24 and 25	3,291,482.	6,774.		1,632,968.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,390,266.			
b	Net investment income (if negative, enter -0-)		334,027.		
c	Adjusted net income (if negative, enter -0-)				

6725 110

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>A S S E T S</b>	1 Cash — non-interest-bearing	58.	58.	58.
	2 Savings and temporary cash investments	4,161,739.	1,535,719.	1,535,719.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) <b>STATEMENT 8</b>	9,552,740.	15,232,151.	14,902,083.	
14 Land, buildings, and equipment: basis <b>1,784.</b>				
Less: accumulated depreciation (attach schedule) <b>SEE STMT 9</b>	59.	1,725.	1,725.	
15 Other assets (describe <b>SEE STATEMENT 10</b> )	91,831.	71,322.	71,322.	
16 <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item i)	13,806,368.	16,840,975.	16,510,907.	
<b>L I A B I L I T I E S</b>	17 Accounts payable and accrued expenses	1,213.	554.	
	18 Grants payable	1,112,000.	2,757,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	1,113,213.	2,757,554.	
<b>N E T A S S E T S A N D F U N D B A L A N C E S</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	22,404,979.	22,404,979.	
	29 Retained earnings, accumulated income, endowment, or other funds	-9,711,824.	-8,321,558.	
	30 <b>Total net assets or fund balances</b> (see instructions)	12,693,155.	14,083,421.	
31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	13,806,368.	16,840,975.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	12,693,155.
2 Enter amount from Part I, line 27a.	2	1,390,266.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	14,083,421.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.	6	14,083,421.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a JPM HIGH YIELD FD - SEL	P	VARIOUS	6/02/16
b SFC PARK KNOLLS INVSTRS, LP-EXCESS DISTR	P	VARIOUS	12/31/16
c SFC PHEASANT RUN INVSTRS, LP-EXCESS DISTR	P	VARIOUS	12/31/16
d CAPITAL GAIN DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 750,000.		730,702.	19,298.
b 2,096.			2,096.
c 4,992.			4,992.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			19,298.
b			2,096.
c			4,992.
d			13,157.
e			

2 Capital gain net income or (net capital loss). <span style="float: right;">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	2	39,543.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): <span style="float: right;">[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]</span>	3	19,298.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,086,501.	13,518,893.	0.080369
2014	1,837,372.	9,910,186.	0.185402
2013	1,823,045.	9,997,259.	0.182354
2012	15,785,844.	7,950,001.	1.985641
2011	72,700,758.	54,997,189.	1.321900

2 Total of line 1, column (d).	2	3.755666
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.751133
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	13,370,074.
5 Multiply line 4 by line 3	5	10,042,704.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,340.
7 Add lines 5 and 6	7	10,046,044.
8 Enter qualifying distributions from Part XII, line 4	8	1,632,968.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b		1	6,681.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	6,681.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,681.
6 Credits/Payments:			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a	20,875.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	20,875.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,194.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 14,194. Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) DE		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i> SEE STATEMENT 11	X	

BAA

Form 990-PF (2016)

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.PPGRUBERFOUNDATION.ORG</u>	13	X
14 The books are in care of <u>A. SARAH HREHA</u> Telephone no <u>203-432-6231</u> Located at <u>PO BOX 208958 NEW HAVEN CT</u> ZIP + 4 <u>06520-8958</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. <u>N/A</u>	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b	X

BAA

Form 990-PF (2016)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA M. GRUBER P.O. BOX 208958 NEW HAVEN, CT 06520-8958	PRESIDENT 1.00	0.	0.	0.
AMY SARAH HREHA P.O. BOX 208958 NEW HAVEN, CT 06520-8958	VP, TREAS, SEC 1.00	0.	0.	0.
BENJAMIN WARING PARTRIDGE P.O. BOX 208958 NEW HAVEN, CT 06520-8958	DIRECTOR 0.50	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b> Average monthly fair market value of securities	<b>1 a</b>	11,743,400.
<b>b</b> Average of monthly cash balances	<b>1 b</b>	1,830,279.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d</b> Total (add lines 1a, b, and c)	<b>1 d</b>	13,573,679.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	13,573,679.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	203,605.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	13,370,074.
<b>6</b> Minimum investment return. Enter 5% of line 5.	<b>6</b>	668,504.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6		<b>1</b>	668,504.
<b>2 a</b> Tax on investment income for 2016 from Part VI, line 5	<b>2 a</b>	6,681.	
<b>b</b> Income tax for 2016. (This does not include the tax from Part VI.)	<b>2 b</b>		
<b>c</b> Add lines 2a and 2b		<b>2 c</b>	6,681.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1		<b>3</b>	661,823.
<b>4</b> Recoveries of amounts treated as qualifying distributions		<b>4</b>	
<b>5</b> Add lines 3 and 4		<b>5</b>	661,823.
<b>6</b> Deduction from distributable amount (see instructions)		<b>6</b>	
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		<b>7</b>	661,823.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	1,632,968.
<b>b</b> Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,632,968.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1,632,968.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				661,823.
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only			0.	
<b>b</b> Total for prior years: 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011	69,998,851.			
<b>b</b> From 2012	15,393,110.			
<b>c</b> From 2013	1,347,031.			
<b>d</b> From 2014	1,359,212.			
<b>e</b> From 2015	416,332.			
<b>f</b> Total of lines 3a through e	88,514,536.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 1,632,968.				
<b>a</b> Applied to 2015, but not more than line 2a.			0.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2016 distributable amount				661,823.
<b>e</b> Remaining amount distributed out of corpus	971,145.			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	89,485,681.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions).	0.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	69,998,851.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	19,486,830.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012	15,393,110.			
<b>b</b> Excess from 2013	1,347,031.			
<b>c</b> Excess from 2014	1,359,212.			
<b>d</b> Excess from 2015	416,332.			
<b>e</b> Excess from 2016	971,145.			

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling .

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

[illegible]

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)</b>
----------------	--

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 12				
<b>Total</b>			<b>3 a</b>	1,514,400.
<b>b</b> Approved for future payment AMERICAN COMMITTEE FOR THE WEIZMANN INST 633 3RD AVENUE NEW YORK NY 10017	N/A	PC	TO SUPPORT MULTI-DISCIPLINARY RESEARCH PROJECTS	2,000,000.
<b>Total</b>			<b>3 b</b>	2,000,000.



BAA Form 990-PF (2016)

**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

**Organization type** (check one):

**Filers of:**

Form 990 or 990-EZ

**Section:**

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . ► \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2016)**

Name of organization

Employer identification number

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER GRUBER REVOCABLE TRUST 6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802	\$ 1,200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	PETER GRUBER REVOCABLE TRUST 6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802	\$ 1,727,238.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
3	PETER GRUBER REVOCABLE TRUST 6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802	\$ 443,462.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
4	PETER GRUBER REVOCABLE TRUST 6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802	\$ 907,404.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
5	PETER GRUBER REVOCABLE TRUST 6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802	\$ 62,500.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	JPM SH INT MUNI BD FD - INSTL 872,737.17 JPM STRAT INC OPP FD - SEL 272,382.61 JPM GLBL RES ENH INDEX FD - SEL 222,640.84 JPM INTERM TAX FREE BD FD - INSTL 199,999.99 JPM EQ INC FD - SEL 159,477.17	\$ 1,727,238.	9/21/16
3	JPM HIGH YIELD FD - SEL 130,778.09 JPM TAX AWARE R/R FD - INSTL 130,237.92 ISHARES CORE S&P MIDCAP ETF 107,643.36 DEUTSCHE X-TRACKERS MSCI EAF 74,802.13	\$ 443,462.	9/21/16
4	NEUBERGER BER MU/C OPP-INS 250,068.67 DODGE & COX INCOME FD 217,129.63 DOUBLELINE TOTL RET BND-I 215,568.17 DODGE & COX INTL STOCK FD 145,308.91 MFS INTL VALUE-I 79,328.93	\$ 907,404.	9/26/16
5	BROWN ADV JAPAN ALPHA OPP-IS 62,499.99	\$ 62,500.	9/26/16
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)



Name of organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions) . . . \$ N/A  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	<div style="text-align: center;">(e) Transfer of gift</div> <div> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
	<div style="text-align: center;">(e) Transfer of gift</div> <div> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
	<div style="text-align: center;">(e) Transfer of gift</div> <div> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
	<div style="text-align: center;">(e) Transfer of gift</div> <div> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
	<div style="text-align: center;">(e) Transfer of gift</div> <div> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		

BAA

## THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CREDIT CARD REDEMPTION CR	\$ 343.		
OTHER INVESTMENT INCOME	-237.	\$ -237.	
<b>TOTAL</b>	<b>\$ 106.</b>	<b>\$ -237.</b>	<b>\$ 0.</b>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RESEARCH & COMPLIANCE	\$ 858.			\$ 858.
<b>TOTAL</b>	<b>\$ 858.</b>	<b>\$ 0.</b>		<b>\$ 858.</b>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX PREPARATION	\$ 6,641.	\$ 3,321.		\$ 3,320.
<b>TOTAL</b>	<b>\$ 6,641.</b>	<b>\$ 3,321.</b>		<b>\$ 3,320.</b>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JUSTICE/ WOMENS RIGHTS MANUSCRIPT	\$ 80,000.			\$ 80,000.
<b>TOTAL</b>	<b>\$ 80,000.</b>	<b>\$ 0.</b>		<b>\$ 80,000.</b>

## THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 5  
FORM 990-PF, PART I, LINE 18  
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	\$ 6,681.			
FOREIGN TAXES WITHHELD	3,453.	\$ 3,453.		
TOTAL	<u>\$ 10,134.</u>	<u>\$ 3,453.</u>		<u>\$ 0.</u>

STATEMENT 6  
FORM 990-PF, PART I, LINE 19  
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
COMPUTERS								
11/07/16	1,784		S/L		5	59	0	0

STATEMENT 7  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	\$ 1,161.			\$ 1,161.
ANNUAL & FRANCHISE REPORTS	272.			272.
BANK SERVICE CHARGES	182.			182.
DUES & SUBSCRIPTIONS	297.			297.
INSURANCE	4,490.			4,490.
IT	978.			978.
MEALS & ENTERTAINMENT	955.			955.
MISCELLANEOUS	63.			63.
POSTAGE, SHIPPING & DELIVERY	170.			170.
PR	19,899.			19,899.
TELEPHONE	3,468.			3,468.
TOTAL	<u>\$ 31,935.</u>	<u>\$ 0.</u>		<u>\$ 31,935.</u>

STATEMENT 8  
FORM 990-PF, PART II, LINE 13  
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS			
SFC PARK KNOLLS INVESTORS, LP	COST	\$ 0.	\$ 0.
SFC PHEASANT RUN INVESTORS, LP	COST	0.	0.
JPM ACCESS BALANCED FD - INSTL	MKT VAL	9,625,427.	9,134,089.
LATINTECH CAPITAL INC	MKT VAL	86,021.	100,000.

## THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

**STATEMENT 8 (CONTINUED)**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<b>OTHER INVESTMENTS</b>			
JPM HIGH YIELD FD - SEL FUND 3580	MKT VAL	\$ 2,380,099.	\$ 2,527,362.
JPM EQ INC FD - SEL	MKT VAL	159,477.	182,965.
NEUBERGER BER MU/C OPP-INS	MKT VAL	250,069.	272,802.
ISHARES CORE S&P MIDCAP ETF	MKT VAL	107,643.	134,587.
DEUTSCHE X-TRACKERS MSCI EAF	MKT VAL	74,802.	70,150.
DODGE & COX INTL STOCK FD	MKT VAL	145,309.	128,691.
MFS INTL VALUE-I	MKT VAL	79,329.	85,155.
BROWN ADV JAPAN ALPHA OPP-IS	MKT VAL	62,500.	55,100.
JPM GLBL RES ENH INDEX FD - SEL	MKT VAL	222,641.	230,040.
DODGE & COX INCOME FD	MKT VAL	217,130.	216,970.
JPM STRAT INC OPP FD - SEL	MKT VAL	272,383.	268,217.
JPM HIGH YIELD FD - SEL	MKT VAL	130,778.	121,213.
JPM INTERM TAX FREE BD FD - INSTL	MKT VAL	200,000.	194,369.
JPM TAX AWARE R/R FD - INSTL	MKT VAL	130,238.	121,873.
JPM SH INT MUNI BD FD - INSTL	MKT VAL	872,737.	850,756.
DOUBLELINE TOTL RET BND-I	MKT VAL	215,568.	207,744.
TOTAL		\$ 15,232,151.	\$ 14,902,083.

**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 1,784.	\$ 59.	\$ 1,725.	\$ 1,725.
TOTAL	\$ 1,784.	\$ 59.	\$ 1,725.	\$ 1,725.

**STATEMENT 10**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 57,128.	\$ 57,128.
PREPAID EXCISE TAXES	14,194.	14,194.
TOTAL	\$ 71,322.	\$ 71,322.

## THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

**STATEMENT 11**  
**FORM 990-PF, PART VII-A, LINE 10**  
**SUBSTANTIAL CONTRIBUTORS DURING THE TAX YEAR**

<u>NAME OF SUBSTANTIAL CONTRIBUTOR</u>	<u>ADDRESS OF SUBSTANTIAL CONTRIBUTOR</u>
PETER GRUBER REVOCABLE TRUST	6501 RED HOOK PLAZA, SUITE 201 ST. THOMAS, VI 00802

**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
COMMUNITY FOUNDATION OF THE VI P.O. BOX 11790 ST. THOMAS VI 00801	N/A	PC	LAWS OF LIFE CHARACTER BUILDING ESSAY CONTEST FOR HIGH SCHOOL STUDENTS	\$ 9,400.
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK NY 10003	N/A	PC	ROBERT HO MATCHING GRANT	50,000.
WISDOM PUBLICATIONS 199 ELM STREET SOMERVILLE MA 02144	N/A	PC	FOR PUBLISHING TIBETAN LITERARY CLASSICS	15,000.
WOMEN'S ENEWS 6 BARCLAY STREET, SIXTH FLOOR NEW YORK NY 10007	N/A	PC	TO SUPPORT WOMEN'S NEEDS AND RELATED ISSUES	5,000.
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK NY 10003	N/A	PC	SUPPORT FOR PRESERVATION OF TIBETAN CULTURE	200,000.
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY AL 36104	N/A	PC	TO SUPPORT LEGAL REPRESENTATION FOR PERSONS DENIED FAIR AND JUST TREATMENT	10,000.
GLOBAL FUND FOR WOMEN 45 BROADWAY, SUITE 320 NEW YORK NY 10006	N/A	PC	IMPROVING WOMEN'S ISSUES AND HUMAN RIGHTS	25,000.
84000 P.O. BOX 4109 NEW YORK NY 10163	N/A	PC	TRANSLATING THE WORDS OF THE BUDDHA	25,000.

## THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 12 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
FOUNDATIONAL QUESTIONS INSTITUTE PO BOX 3655 DECATUR GA 30031	N/A	PC	ESSAY CONTEST TO ENCOURAGE AND SUPPORT RIGOROUS, INNOVATIVE, AND INFLUENTIAL THINKING ABOUT FOUNDATIONAL QUESTIONS IN PHYSICS AND COSMOLOGY	\$ 125,000.
AMERICAN COMMITTEE FOR THE WEIZMANN INST 633 3RD AVENUE NEW YORK NY 10017	N/A	PC	TO SUPPORT MULTI-DISCIPLINA RY RESEARCH PROJECTS	1,000,000.
KENT SCHOOL CORPORATION 1 MACEDONIA RD KENT CT 06757	N/A	PC	PARTRIDGE SCHOLAR PROGRAM SPECIFICALLY FOR A FOREIGN STUDENT	25,000.
INTERNATIONAL WOMEN'S HEALTH COALITION 333 SEVENTH AVENUE, 6TH FLOOR NEW YORK NY 10001	N/A	PC	PIONEERING IDEAS TO INTERNATIONAL NEGOTIATIONS ON WOMEN'S RIGHTS AND HEALTH	5,000.
WORLD BICYCLE RELIEF 1000 W. FULTON MARKET, 4TH FLOOR CHICAGO IL 60607	N/A	PC	TO SUPPORT WORLD BICYCLE RELIEF WORK ON SUSTAINABLE TRANSPORTATION IN THE DEVELOPING WORLD	5,000.
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVENUE MONTGOMERY AL 36104	N/A	PC	TO SUPPORT THE FIGHTING OF HATE AND BIGOTRY AND TO SEEK JUSTICE FOR THE MOST VULNERABLE MEMBERS OF OUR SOCIETY	5,000.
INDIAN LAW RESOURCE CENTER 602 NORTH EWING STREET HELENA MT 59601	N/A	PC	TO PROVIDE LEGAL ASSISTANCE TO INDIGENOUS PEOPLE OF THE AMERICAS	5,000.

2016

## FEDERAL STATEMENTS

PAGE 6

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 12 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CLIMATE RIDE 111 N. HIGGINS AVENUE, SUITE 415 MISSOULA MT 59802	N/A	PC	TO SUPPORT ORGANIZATIONS THAT ENGAGE CITIZENS IN THE POSSIBILITY OF A GREEN ECONOMY AND TRANSPORTATION	\$ 5,000.
TOTAL				<u>\$ 1,514,400.</u>

12/31/16

## 2016 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 1

65-1230485

THE PETER AND PATRICIA GRUBER FOUNDATION

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC. BAL DEPR.	SALVAGE /BASIS REDUCT.	DEPR BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
FORM 990/990-PF																
MACHINERY AND EQUIPMENT																
1	COMPUTERS	11/07/16		1,784							1,784		S/L	5		59
TOTAL MACHINERY AND EQUIPME				1,784		0	0	0	0	0	1,784	0				59
TOTAL DEPRECIATION				1,784		0	0	0	0	0	1,784	0				59
GRAND TOTAL DEPRECIATION				1,784		0	0	0	0	0	1,784	0				59