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Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
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 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation DE LA PENA FOUNDATION INC 141002200		A Employer identification number 65-1057681	
Number and street (or P O box number if mail is not delivered to street address) C/O FIDUCIARY TRUST CO INTL - 280 P		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		B Telephone number (see instructions) (212) 632-3000	
G Check all that apply: <input type="checkbox"/> Initial return, <input type="checkbox"/> Initial return of a former public charity, <input type="checkbox"/> Final return, <input type="checkbox"/> Amended return, <input type="checkbox"/> Address change, <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation, <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust, <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,318,271		J Accounting method: <input type="checkbox"/> Cash, <input type="checkbox"/> Accrual, <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	36,029	33,661		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-82,192			
	b Gross sales price for all assets on line 6a 636,083				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	26	26			
12 Total. Add lines 1 through 11	-46,137	33,687			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,750	0	0	1,750
	c Other professional fees (attach schedule)	26,875	21,502		5,373
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	1,335	205		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	25	25		
	24 Total operating and administrative expenses. Add lines 13 through 23	29,985	21,732	0	7,123
	25 Contributions, gifts, grants paid	117,525			117,525
26 Total expenses and disbursements. Add lines 24 and 25	147,510	21,732	0	124,648	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-193,647				
b Net investment income (if negative, enter -0-)		11,955			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	183,216	23,566	23,566
	2 Savings and temporary cash investments	198,000	94,629	94,629
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,075,068	1,079,140	1,622,058
	c Investments—corporate bonds (attach schedule)	400,866	485,679	487,281
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	107,309	85,918	90,737
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,964,459	1,768,932	2,318,271	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,964,459	1,768,932	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	1,964,459	1,768,932		
31 Total liabilities and net assets/fund balances (see instructions) .	1,964,459	1,768,932		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,964,459
2 Enter amount from Part I, line 27a	2	-193,647
3 Other increases not included in line 2 (itemize) ▶ _____	3	574
4 Add lines 1, 2, and 3	4	1,771,386
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,454
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,768,932

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))				
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 -82,192
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		{		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	123,345	2,412,249	0 051133
2014	108,202	2,442,965	0 044291
2013	107,029	2,427,851	0 044084
2012	107,223	2,371,437	0 045214
2011	169,959	2,366,754	0 071811
2 Total of line 1, column (d)			2 0 256533
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 051307
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,273,570
5 Multiply line 4 by line 3			5 116,650
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 120
7 Add lines 5 and 6			7 116,770
8 Enter qualifying distributions from Part XII, line 4			8 124,648
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 1,156. Refunded amount is 1,036.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of FIDUCIARY TRUST COMPANY INTL Telephone no (212) 632-3000

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARIO T DE LA PENA 1000 SW 184 TERRACE PEMBROKE PINES, FL 33029	DIRECTOR 2	0		
MIRIAM DE LA PENA 1000 SW 184 TERRACE PEMBROKE PINES, FL 33029	DIRECTOR 2	0		
MICHAEL T DE LA PENA 24540 TOWN CENTER DRIVE VALENCIA, CA 91355	DIRECTOR 2	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,104,508
b	Average of monthly cash balances.	1b	203,685
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,308,193
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,308,193
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	34,623
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,273,570
6	Minimum investment return. Enter 5% of line 5.	6	113,679

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	113,679
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	120
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	120
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	113,559
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	113,559
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	113,559

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	124,648
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	124,648
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	120
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	124,528

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				113,559
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 2014, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	5,701			
b From 2012.	0			
c From 2013.	0			
d From 2014.	0			
e From 2015.	4,007			
f Total of lines 3a through e.	9,708			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>124,648</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				113,559
e Remaining amount distributed out of corpus	11,089			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	20,797			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	5,701			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	15,096			
10 Analysis of line 9				
a Excess from 2012.	0			
b Excess from 2013.	0			
c Excess from 2014.	0			
d Excess from 2015.	4,007			
e Excess from 2016.	11,089			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				117,525
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
39985 689 FRANKLIN INCOME FUND - ADVISOR CL		2014-09-11	2016-01-20
1206 109 TEMPLETON INCOME TR GLOBAL BD FD AD CL		2010-12-27	2016-01-20
470 ABBVIE INC		2014-11-21	2016-01-27
200 PRAXAIR INC		2014-06-09	2016-01-27
1432 976 OPPENHEIMER DEVELOPING MKTS FDS		2014-12-05	2016-01-28
10 CHIPOTLE MEXICAN GRILL CL A		2014-04-15	2016-03-23
350 ISHARES CURRENCY HEDGED MSCI JAPAN ETF		2015-07-10	2016-03-23
200 NESTLE S A SPONSORED ADR REPSTG REG SH		2013-07-18	2016-03-23
25 ISHARES CURRENCY HEDGED MSCI JAPAN ETF		2015-07-10	2016-04-08
40 CHIPOTLE MEXICAN GRILL CL A		2014-04-17	2016-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76,773		95,000	-18,227
13,267		16,195	-2,928
27,306		31,559	-4,253
19,640		26,746	-7,106
39,249		57,650	-18,401
4,735		5,365	-630
8,892		10,954	-2,062
14,681		11,461	3,220
606		782	-176
16,339		21,349	-5,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,227
			-2,928
			-4,253
			-7,106
			-18,401
			-630
			-2,062
			3,220
			-176
			-5,010

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
315 ISHARES CURRENCY HEDGED MSCI EUROZONE ET		2015-07-10	2016-06-27
550 ISHARES CURRENCY HEDGED MSCI EUROZONE ET		2015-04-20	2016-06-27
450 METLIFE INC		2015-11-20	2016-06-28
50 COMCAST CORP NEW CL A		2014-09-11	2016-06-29
30 EXPRESS SCRIPTS HOLDING CO		2012-07-27	2016-06-29
1846 722 FGT FRANKLIN INTERNATIONAL GROWTH FUND		2015-01-27	2016-06-29
1077 586 FGT FRANKLIN INTERNATIONAL GROWTH FUND		2016-02-11	2016-06-29
225 ISHARES CURRENCY HEDGED MSCI JAPAN ETF		2015-07-10	2016-06-29
550 ISHARES CURRENCY HEDGED MSCI JAPAN ETF		2015-04-20	2016-06-29
20 MEAD JOHNSON NUTRITION COMPANY		2013-10-24	2016-06-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,110		8,857	-1,747
12,414		16,186	-3,772
16,832		22,981	-6,149
3,187		2,854	333
2,272		1,742	530
19,169		20,000	-831
11,185		10,000	1,185
5,254		7,042	-1,788
12,843		17,123	-4,280
1,745		1,585	160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,747
			-3,772
			-6,149
			333
			530
			-831
			1,185
			-1,788
			-4,280
			160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
50 MICROCHIP TECHNOLOGY INC		2009-10-01	2016-06-29
100 ORACLE SYS CORP		2009-05-08	2016-06-29
1507 597 INTERNATIONAL EQUITY SERIES CL P		2014-12-23	2016-06-29
500 VANGUARD SHORT TERM CORPORATE BOND FUND		2013-08-07	2016-07-29
2038 925 FRANKLIN K2 ALTERNATIVE STGIES FUND CL Z		2016-02-29	2016-09-12
100 MICROCHIP TECHNOLOGY INC		2009-10-01	2016-09-12
3884 386 TEMPLETON INCOME TR GLOBAL BD FD AD CL		2014-12-15	2016-09-12
150 BOEING CO		2016-02-11	2016-09-30
50000 GENERAL ELECTRIC CO SR NT DTD 4/2/2013 1 625% 4/2/2018		2014-03-07	2016-10-03
14 ADVANSIX INC		2013-08-07	2016-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,486		952	1,534
4,029		1,835	2,194
26,579		35,484	-8,905
40,417		39,784	633
22,000		21,082	918
5,939		1,869	4,070
43,660		50,441	-6,781
19,847		17,000	2,847
50,480		49,805	675
215		143	72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,534
			2,194
			-8,905
			633
			918
			4,070
			-6,781
			2,847
			675
			72

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500 AMETEK INC NEW		2014-04-15	2016-10-31
350 EXPRESS SCRIPTS HOLDING CO		2012-11-07	2016-10-31
335 ANADARKO PETE CORP		2013-12-16	2016-11-28
100 LOWES COS INC		2013-07-18	2016-11-28
160 MCKESSON HBOC INC		2014-05-16	2016-11-28
40 SIGNATURE BANK		2014-11-25	2016-11-28
50 EXXON MOBIL CORP		2016-07-27	2016-12-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,095		24,947	-2,852
23,224		19,904	3,320
20,997		27,350	-6,353
7,150		4,433	2,717
22,985		28,323	-5,338
5,952		4,943	1,009
4,529		4,549	-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,852
			3,320
			-6,353
			2,717
			-5,338
			1,009
			-20

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INSTITUTO CUBANO POR LA LIBERTAD DE EXPRESION Y PRENSA 66 W 27 ST APT C HIALEAH, FL 33010	NONE	PUBLIC	GENERAL PURPOSES	15,000
PROFESSOR JUAN M CLARK FOUNDATION INC PO BOX 566691 MIAMI, FL 33256	NONE	PUBLIC	GENERAL PURPOSES	5,000
CENTER FOR A FREE CUBA 4620 LEE HWY STE 202 ARLINGTON, VA 22207	NONE	PUBLIC	GENERAL PURPOSES	10,000
GEORGETOWN UNIVERSITY 37TH AND O STREETS NW WASHINGTON, DC 20057	NONE	PUBLIC	GENERAL PURPOSES	2,000
DIRECTORIO DEMOCRATICO CUBANO 790 NW 107TH AVENUE STE 117 MIAMI, FL 33172	NONE	PUBLIC	GENERAL PURPOSES	80,025
BELEN JESUIT PREP SCHOOL 500 SW 127TH AVENUE MIAMI, FL 33184	NONE	PUBLIC	GENERAL PURPOSES	5,000
HUMANITARIAN AID PROJECT FOR CUBA'S CIVIL SOCIETY (THE CUBA CORPS) CIVIL SOCIETY INC 340 SEVILLA CORAL GABLES, FL 33134	NONE	PUBLIC	GENERAL PURPOSES	500
Total 3a				117,525

TY 2016 Accounting Fees Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,750			1,750

TY 2016 Investments Corporate Bonds Schedule**Name:** DE LA PENNA FOUNDATION INC 141002200**EIN:** 65-1057681

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50M GE SR NT 1.625% 4/2/18		
50M THE HOME DEPOT 2.25% 9/10/	50,428	50,688
50M JOHN DEERE 1.95% 12/13/18	49,930	50,268
50M AT&T 2.3% 3/11/19	50,053	50,219
50M BP CAPITAL MKTS 2.237% 5/1	50,000	50,254
50M VERIZON COMM 2.55% 6/17/19	50,091	50,735
50M JPMORGAN CHASE 1.625% 5/15	50,046	49,946
50M EBAY 2.2% 8/1/19	50,126	50,009
50M GILEAD SCI INC 2.55% 9/1/2	50,795	50,554
50M APPLE INC 2.25% 2/23/2021	49,965	49,988
400M ISHARES IBOXX HIGH YIELD	34,245	34,620

TY 2016 Investments Corporate Stock Schedule**Name:** DE LA PENNA FOUNDATION INC 141002200**EIN:** 65-1057681

Name of Stock	End of Year Book Value	End of Year Fair Market Value
470 SHS ABBVIE		
300 SHS ACE LTD		
70 SHS AMAZON	7,804	52,491
500 SHS AMERICAN INTL GROUP	27,004	32,655
500 SHS AMETEK		
335 SHS ANADARKO		
420 SHS APPLE	5,328	48,644
2200 SHS BANK OF AMERICA	11,680	22,653
400 SHS CHEVRON	25,321	52,965
50 SHS CHIPOTLE MEXICAN GRILL		
500 SHS COMCAST	25,686	31,073
450 SHS DOW CHEMICAL	22,493	25,749
380 SHS EXPRESS SCRIPTS		
335 SHS FACEBOOK INC CL A	20,460	38,542
400 SHS GILEAD SCIENCES	11,287	28,644
350 SHS HONEY WELL	26,774	40,548
125 SHS IBM		
550 SHS JPMORGAN CHASE	23,325	47,460
600 SHS LOWES	24,223	42,672
500 SHS MASTERCARD	28,015	51,625
160 SHS MCKESSON		
350 SHS MEAD JOHNSON	16,935	24,766
550 SHS MICROCHIP	9,284	35,283
500 SHS NESTLE S A	9,943	21,522
700 SHS ORACLE	12,844	26,915
200 SHS PRAXAIR		
600 SHS SALESFORCE	20,267	41,076
150 SHS SIGNATURE BANK	18,499	22,530
700 SHS STARBUCKS	23,887	38,864
300 SHS THERMO FISHER SCI	9,809	42,330

Name of Stock	End of Year Book Value	End of Year Fair Market Value
400 SHS UNION PACIFIC	19,676	41,472
300 SHS UNITED TECHNOLOGIES	17,020	32,886
550 SHS WELLS FARGO	15,182	30,311
39,985.6890 SHS FRANKLIN INCOM		
650 SHS ISHARES RUSSELL 2000	68,011	87,653
1432.9760 SHS OPPENHEIMER DEVE		
1507.5970 SHS TEMPLETON INSTL		
150 SHS ALLERGAN	31,881	31,501
35 SHS ALPHABET CL A	6,179	27,736
35 SHS ALPHABET CL C	6,179	27,014
300 SHS CVS HEALTH	28,856	23,673
300 SHS DISNEY WALT CO	27,802	31,266
450 SHS METLIFE		
1846.7220 SHS FGT FRANKLIN INT		
865 SHS ISHARES CURR HEDGED MS		
1150 SHS ISHARES CURR HEDGED M		
150 SHS AMERICAN WATER WORKS C	10,880	10,854
250 SHS BRISTOL-MYERS SQUIBB C	14,136	14,610
350 SHS CABOT OIL & GAS CORP C	8,030	8,176
300 SHS CHUBB LTD	21,738	39,636
150 SHS CONSTELLATION BRANDS I	21,387	22,997
100 SHS ECOLAB	10,367	11,722
200 SHS EOG RES INC	12,809	20,220
300 SHS EXXON MOBIL CORP	26,489	27,078
500 SHS FORTIVE CORP	23,978	26,815
700 SHS KINDER MORGAN INC	15,113	14,497
200 SHS KRAFT HEINZ CO	16,779	17,464
500 SHS MICROSOFT CORP	26,267	31,070
525 SHS NEWELL BRANS INC	23,783	23,441
125 SHS NEXTERA ENERGY INC	13,907	14,933

Name of Stock	End of Year Book Value	End of Year Fair Market Value
300 SHS NIKE INC CL B	17,902	15,249
200 SHS NOVARTIS AG SPONSORED	15,304	14,568
150 SHS PALO ALTO NETWORKS INC	19,422	18,758
700 SHS PFIZER INC	22,116	22,736
110 SHS RAYTHEON CO NEW	14,946	15,620
300 SHS US BANCORP DEL COM NEW	14,704	15,411
200 SHS VERIZON COMMUNICATIONS	9,777	10,676
1,100 SHS ISHARES CORE MSCI EA	56,501	58,993
300 SHS ISHARES TR S&P MIDCAP	46,736	49,602
140 SHS ADOBE SYSTEMS INC	14,415	14,413

TY 2016 Investments - Other Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5090.4950 SHS TEMPLETON INC TR			
500 SHS VANGUARD ST CORP BD FD			
8425.02 FRANKLIN K2 ALTERNATIV	AT COST	85,918	90,737

TY 2016 Other Decreases Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Description	Amount
RETURN OF CAPITAL ADJUSTMENT	2,454

TY 2016 Other Expenses Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEE	25	25		0

TY 2016 Other Income Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SECURITIES LITIGATION CLASS ACTION	26	26	

TY 2016 Other Increases Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Description	Amount
MUTUAL FUNDS CASH/TAX ADJUSTMENT	1
AMORTIZATION ADJUSTMENT	573

TY 2016 Other Professional Fees Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEE	26,866	21,493		5,373
ADR FEE	9	9		

TY 2016 Taxes Schedule**Name:** DE LA PENA FOUNDATION INC 141002200**EIN:** 65-1057681

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,130	0		0
FOREIGN TAX WITHHELD	205	205		0