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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2016

Open to Public  
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

<p>Name of foundation RICHARD AND JANE GREEN FAMILY FOUND</p> <p>Number and street (or P O box number if mail is not delivered to street address) HARBOUR HOUSE 20 OCEAN REEF CLUB</p> <p>City or town, state or province, country, and ZIP or foreign postal code KEY LARGO, FL 330373738</p> <p><b>G</b> Check all that apply      <input type="checkbox"/> Initial return      <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Final return      <input type="checkbox"/> Amended return  <input type="checkbox"/> Address change      <input type="checkbox"/> Name change</p> <p><b>H</b> Check type of organization      <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust      <input type="checkbox"/> Other taxable private foundation</p> <p><b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 1,863,212</p>		<p><b>A</b> Employer identification number 65-0377179</p> <p><b>B</b> Telephone number (see instructions)</p> <p><b>C</b> If exemption application is pending, check here ► <input type="checkbox"/></p> <p><b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>			
		<p><b>J</b> Accounting method      <input checked="" type="checkbox"/> Cash      <input type="checkbox"/> Accrual  <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>			
<p><b>Part I</b> <b>Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	24,611	24,611		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-2,155			
	b Gross sales price for all assets on line 6a	497,000			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	22,456	24,611			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,175	2,587		2,588
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	61	61		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	<b>24 Total operating and administrative expenses.</b>	5,236	2,648		2,588
Add lines 13 through 23					
<b>25 Contributions, gifts, grants paid</b>	93,000			93,000	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	98,236	2,648		95,588	
<b>27 Subtract line 26 from line 12</b>	-75,780				
<b>a Excess of revenue over expenses and disbursements</b>					
<b>b Net investment income</b> (if negative, enter -0-)		21,963			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .		50,047	27,442	27,442
	2 Savings and temporary cash investments . . . . .				
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10a Investments—U S and state government obligations (attach schedule)		376,263	339,932	339,815
	b Investments—corporate stock (attach schedule) . . . . .				
	c Investments—corporate bonds (attach schedule) . . . . .		25,000	0	0
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans . . . . .				
	13 Investments—other (attach schedule) . . . . .				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► _____)		1,491,981	1,499,979	1,495,955
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		1,943,291	1,867,353	1,863,212
Liabilities	17 Accounts payable and accrued expenses . . . . .				
	18 Grants payable. . . . .				
	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
	22 Other liabilities (describe ► _____)				
	23 Total liabilities (add lines 17 through 22) . . . . .		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted . . . . .		1,943,291	1,867,353	
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds . . . . .				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions) . . . . .		1,943,291	1,867,353	
	31 Total liabilities and net assets/fund balances (see instructions) .		1,943,291	1,867,353	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,943,291
2	Enter amount from Part I, line 27a . . . . .	2	-75,780
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,867,511
5	Decreases not included in line 2 (itemize) ►	5	158
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,867,353

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE ATTACHED SCH			P	2015-09-28	2016-07-01
b SEE ATTACHED SCH			P	2014-01-25	2016-12-31
c SEE ATTACHED SCH			P	2013-01-07	2016-12-31
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 10,000		10,000			
b 265,000		265,000			
c 222,000		224,155	-2,155		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c			-2,155		
d					
e					
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-2,155	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	96,788	1,978,962	0 048908
2014	83,588	2,048,762	0 040799
2013	112,588	2,144,933	0 05249
2012	114,797	2,237,248	0 051312
2011	118,498	2,306,324	0 05138
<b>2 Total</b> of line 1, column (d)			0 244889
<b>3 Average</b> distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0 048978
<b>4 Enter</b> the net value of noncharitable-use assets for 2016 from Part X, line 5			1,905,415
<b>5 Multiply</b> line 4 by line 3			93,323
<b>6 Enter</b> 1% of net investment income (1% of Part I, line 27b)			220
<b>7 Add</b> lines 5 and 6			93,543
<b>8 Enter</b> qualifying distributions from Part XIII, line 4			95,588

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	220
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	220
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	220
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	893
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	893
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	673
11	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ►	673	Refunded ►
		11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> ☑	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 12 No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes

Website address ►

14 The books are in care of ► STEVEN A GREEN Telephone no ► (216) 292-7670

Located at ► ONE BRATENAHL PLACE CLEVELAND OH

ZIP+4 ► 44108

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 □

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). □ Yes  No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ► 1b □

Organizations relying on a current notice regarding disaster assistance check here. ► □

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? □ Yes  No  
If "Yes," list the years ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) 2b No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes  No

b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b No

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a	During the year did the foundation pay or incur any amount to <b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . <b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . . <b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <i>If "Yes" to 6b, file Form 8870</i>		6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
STEVEN A GREEN	DIRECTOR 0 00	0	0	0
ONE BRATENAH'L PLACE CLEVELAND, OH 44108				
JOAN GREEN	DIRECTOR 0 00	0	0	0
651 OKEE CHOBEE BLVD WEST PALM BEACH, FL 33401				
DANIEL HECHT	DIRECTOR 0 00	0	0	0
25550 CHAGRIN BLVD BEACHWOOD, OH 44122				
KIMBERLY BEIDLER	DIRECTOR 0 00	0	0	0
ONE BRATENAH'L PLACE CLEVELAND, OH 44108				

**3. Compensation of five highest paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	426,813
b	Average of monthly cash balances. . . . .	1b	25,075
c	Fair market value of all other assets (see instructions). . . . .	1c	1,482,543
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	1,934,431
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	1,934,431
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	29,016
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,905,415
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	95,271

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	95,271
2a	Tax on investment income for 2016 from Part VI, line 5. . . . .	2a	220
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	220
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	95,051
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	95,051
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	95,051

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	95,588
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	95,588
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	220
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	95,368
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				95,051
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .	3,468			
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	3,468			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ► \$ 95,588				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				95,051
<b>e</b> Remaining amount distributed out of corpus	537			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,005			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a. . . . .	4,005			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .	3,468			
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .	537			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►				
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	<b>Tax year</b>	<b>Prior 3 years</b>		
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>
<b>b</b> 85% of line 2a . . . . .				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
(1) Value of all assets . . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
<b>c</b> "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
STEVEN A GREEN  
PO BOX 28340  
CLEVELAND, OH 441280340  
(216) 292-7670
- b** The form in which applications should be submitted and information and materials they should include  
THERE IS NO FORMAL APPLICATION PROCESS AND REQUESTS MUST BE IN WRITING DO NOT CALL HOWEVER, PLEASE NOTE THAT THE FOUNDATION DIRECTORS FAVOR CERTAIN PRE-SELECTED CHARITIES AND RARELY GRANT FUNDS BY OUTSIDE APPEALS
- c** Any submission deadlines  
NONE
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
NONE

## **Part XV    Supplementary Information (continued)**

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1 Program service revenue</b>					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments.</b>					
<b>3 Interest on savings and temporary cash investments</b>					
<b>4 Dividends and interest from securities.</b>			14	24,611	
<b>5 Net rental income or (loss) from real estate</b>					
a Debt-financed property.					
b Not debt-financed property.					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income.</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>			18	-2,155	
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory</b>					
<b>11 Other revenue a _____</b>					
b _____					
c _____					
d _____					
e _____					
<b>12 Subtotal Add columns (b), (d), and (e).</b>				22,456	
<b>13 Total. Add line 12, columns (b), (d), and (e).</b>					22,456

**13 Total:** Add line 12, columns (b), (d), and (e). . . .  
(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
YALE UNIVERSITY P O BOX 2038 NEW HAVEN, CT 06521	NONE	PC	FIFTH DECADE INNOVATION FUND	15,000
JEWISH FEDERATION OF CLEVELAND 25701 SCIENCE PARK BEACHWOOD, OH 44122	NONE	PC	UNRESTRICTED- PROGRAMS AND SERVICES	13,000
RONALD MCDONALD HOUSE 10415 EUCLID AVENUE CLEVELAND, OH 441064709	NONE	PC	ROOM SPONSORSHIP PROGRAM	5,000
THE ORDER OF ST JOHN OF JERUSALEM 126 E 73 STREET NEW YORK, NY 100214208	NONE	PC	THE AMERICAS PRIORY	10,000
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET PHILADELPHIA, PA 191045099	NONE	PC	CUTANEOUS REGENERATIVE MEDICINE INITIATIVE	50,000
<b>Total . . . . .</b>			►	93,000
<b>3a</b>				

## TY 2016 Accounting Fees Schedule

**Name:** RICHARD AND JANE GREEN FAMILY FOUND**EIN:** 65-0377179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX RETURN AND ACCOUNTING	5,175	2,587	0	2,588

## TY 2016 Explanation of Non-Filing with Attorney General Statement

**Name:** RICHARD AND JANE GREEN FAMILY FOUND

**EIN:** 65-0377179

### **Statement:**

PART VII-A STATEMENTS REGARDING ACTIVITIES LINE 8B FLORIDA DOES NOT REQUIRE PRIVATE FOUNDATIONS TO SUPPLY A COPY OF THE FORM 990-PF WITH THE FLORIDA ATTORNEY GENERAL. INSTEAD, AN ANNUAL FILING FEE AND RELATED REPORT IS SUBMITTED TO THE FLORIDA SECRETARY OF STATE. THE FOUNDATION IS IN COMPLIANCE WITH THIS REQUIREMENT.

**TY 2016 Investments Corporate Bonds Schedule****Name:** RICHARD AND JANE GREEN FAMILY FOUND**EIN:** 65-0377179

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE BONDS- SEE SCHEDULE	0	0

## TY 2016 Investments Government Obligations Schedule

**Name:** RICHARD AND JANE GREEN FAMILY FOUND

**EIN:** 65-0377179

**US Government Securities - End  
of Year Book Value:**

**US Government Securities - End  
of Year Fair Market Value:**

**State & Local Government  
Securities - End of Year Book  
Value:**

339,932

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

339,815

## TY 2016 Other Assets Schedule

**Name:** RICHARD AND JANE GREEN FAMILY FOUND**EIN:** 65-0377179

## Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CERTIFICATES OF DEPOSIT SEE SCH	1,491,981	1,499,979	1,495,955

## TY 2016 Other Decreases Schedule

**Name:** RICHARD AND JANE GREEN FAMILY FOUND

**EIN:** 65-0377179

Description	Amount
ACCURED INTEREST	158

## TY 2016 Taxes Schedule

**Name:** RICHARD AND JANE GREEN FAMILY FOUND**EIN:** 65-0377179

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FL ANNUAL REPORT FEE	61	61	0	0

RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

## Taxable Municipal Bonds

DESCRIPTION	ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NORTH CAROLINA EASTN MUN ^										
PWR AGY PWR SYS REV RFDG										
SER B BIE ASSURED GUAR										
CPN 6.217% DUE 01/01/17										
DTD 05/20/08 FC 07/01/08										
Moody A3 S&P AA										
CUSIP 658196U24	0 54	10,000			100 00	100 0140	10,001 40	1 40	310 85	621 70
Acquired 05/24/16 S					103 32	10,339 20				
LUNA CNTY NM GROSS RCPTS										
TAX REV CAP OUTLAY-SER B										
B/E TAXABLE OID ASSURED										
CPN 6.000% DUE 07/01/32										
DTD 10/18/07 FC 01/01/08										
PRE 07/01/17 @ 100 000										
Moody NR S&P AA	1 37	25,000			102 38	25,595 25	102 3580	25,589 50	-5 75	750 00
CUSIP 550332CX6					110 71	27,685 50				
Acquired 03/17/15 L										
IRONDALE AL PREREFUNDED										
SER C G/O LTD BIE										
SYNCORA GUAR TXBL										
CPN 6.320% DUE 10/01/36										
DTD 09/01/07 FC 04/01/08										
PRE 09/01/17 @ 100 000										
CUSIP 463020PP6	2 49	45,000								
Acquired 03/17/15 L					103 22	46,450 09	102 9880	46,344 60	-105 49	711 00
					111 67	50,261 65				

RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Fixed Income Securities**

**Taxable Municipal Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		ANNUAL YIELD (%)
								ACCRUED INTEREST	ANNUAL INCOME	
WILL CNTY ILL SCH DIST NO 122 CAP APPREC TXBLE SRC BE AGM G/O UN CPN 0 000% DUE 11/01/17 DTD 11/03/97 Moody AA3 , S&P NR CUSIP 9668852FH1 Acquired 07/24/15 L	0.53	10,000	98.31 95.60	9,831.96 9,567.90	98.9410	9,894.10	62.14	N/A	N/A	N/A
COLLEGE PARK GA BUSINESS & INDL DEV AUTH ECON DEV REV TXBL GATEWAY PRJ OID CPN 7.250% DUE 02/01/38 DTD 09/09/08 FC 02/01/09 PRE 02/01/18 @ 100.000 Moody AA3 , S&P AA CUSIP 19c304AK7 Acquired 03/18/15 L	45,000		106.14 115.97	47,766.43 52,196.65		47,863.80		97.37		
Acquired 04/24/15 L	25,000		106.26 115.71	26,565.32 28,935.50		26,591.00		25.68		
Acquired 06/17/15 L	40,000		105.93 114.08	42,373.61 45,642.60		42,545.60		171.99		
Acquired 02/19/16 S	10,000		106.54 111.56	10,654.06 11,163.30		10,636.40		-17.66		
<b>Total</b>	<b>6.85</b>	<b>120,000</b>	<b>\$106.13 \$114.94</b>	<b>\$127,359.42 \$137,938.05</b>	<b>106.3640</b>	<b>\$127,636.80</b>	<b>\$277.38</b>	<b>\$3,625.00</b>	<b>\$8,700.00</b>	<b>6.82</b>



**RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.**

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Fixed Income Securities

### Taxable Municipal Bonds continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)	
HARNETT COUNTY NC ENTERPRISE SYS REV TAXABLE B/E O/D AGM CPN 7.200% DUE 05/01/34 DTD 08/28/08 FC 05/01/09 PRE 05/01/18 @ 100.000 Moody AA3 . S&P NR CUSIP 413329AS2 Acquired 02/06/14 L		1 16	20,000	106.80 120.90	21,360.32 24,187.40	107,718.00	21,543.60	183.28	240.00	1,440.00	6.68
COOK CNTY IL SCH DIST # 155 SER A REF AGM B/E CAB @47.405 6.1% TXBL CPN 0.000% DUE 12/01/18 DTD 06/29/06 Moody A2 . S&P NR CUSIP 215219HD1 Acquired 02/09/16 S		3 64	70,000	97.18 95.97	68,029.26 67,192.30	96,920.00	67,844.00	-185.26	N/A	N/A	N/A
DANVILLE VA PREREFUNDED RFDG SER B G/O UNLTD B/E ASSURED GURA ETM TXBL CPN 5.540% DUE 03/01/19 DTD 05/19/09 FC 09/01/09 Moody AA2 . S&P AA CUSIP 236866KB4 Acquired 09/07/16 S		0 58	10,000	109.20 110.40	10,920.74 11,047.60	108,055.00	10,805.50	-115.24	184.67	554.00	5.12
HARRISBURG PA SER A OY=7.24% UT TAXABLE GENERAL OBLIGATION ETM CPN 0.000% DUE 04/01/19 DTD 12/28/95 S&P NR CUSIP 414738PA6 Acquired 02/02/15 L		0 51	10,000	95.45 92.06	9,545.47 9,213.10	95,867.0	9,586.70	41.23	N/A	N/A	N/A

**RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.**

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Fixed Income Securities**

**Taxable Municipal Bonds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
THIEF RVR FALLS MN ISD NO 564 OPEB SER AGIO UNLTD B/E TXBL X/O RFDG CPN 5.125% DUE 02/01/24 DTD 10/01/09 FC 08/01/10 CALL 02/01/19 @ 100.000 Moody NR , S&P AA+ CUSIP 883883LT5 Acquired 10/18/16 S	0.57	10,000	108.32	10.839.50	105.6920	10.569.20	-270.30	213.54	512.50	4.84
<b>Total Taxable Municipal Bonds</b>	<b>18.24</b>	<b>330,000</b>		<b>\$339,932.01</b>		<b>\$339,815.40</b>	<b>-\$116.61</b>	<b>\$6,035.06</b>	<b>\$16,172.20</b>	<b>4.76</b>
				<b>\$358,272.20</b>						

<sup>a</sup> Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance

**Certificates of Deposit**

Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
GOLDMAN SACHS BK USA CD ^ NEW YORK NY ACT/365 FDIC INSURED CPN 1.000% DUE 01/17/17 DTD 01/15/14 FC 07/15/14 CUSIP 38147JSA1 Acquired 01/09/14 L	2.68	50,000	100.00	50,000.00	100.0130	50,006.50	6.50	232.88	500.00	0.99
AMERICAN EXP BANK FSB CD ^ SALT LK CITY UT ACT/365 FDIC INSURED CPN 0.900% DUE 01/30/17 DTD 07/29/15 FC 01/29/16 CUSIP 02587CDY3 Acquired 07/21/15 L	1.88	35,000	100.00	35,000.00	100.0150	35,005.25	5.25	133.76	315.00	0.89



DECEMBER 1 2016 - DECEMBER 31, 2016

Fixed Income Securities

## Certificates of Deposit continued

RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.

DECEMBER 1, 2016 - DECEMBER 31 2016

## Fixed Income Securities

## Certificates of Deposit continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME
BARCLAYS BK	CD								
WILMINGTON DE	ACT/365								
FDIC INSURED									
CPN 1.10% DUE 05/08/17									
DTD 05/06/14 FC 11/06/14									
CUSIP 06740KGTB8									
Acquired 05/02/14 L	1 61	30,000	100 00	30,000 00	100 0780	30,023 40	23.40	50.63	330.00
COMENITY BANK	CD								
WILMINGTON DE	ACT/365								
JUMBO CD FDIC INSURED									
CPN 1.050% DUE 06/19/17									
DTD 06/17/15 FC 07/17/15									
CUSIP 99000NTX9									
Acquired 06/09/15 L	5 37	100,000	100 00	100,000 00	100 0480	100,048 00	48 00	43 15	1,050 00
ALLY BANK	CD								
MIDVALE UT	ACT/365								
FDIC INSURED									
CPN 1.200% DUE 07/24/17									
DTD 07/23/15 FC 01/23/16									
CUSIP 02006LSG9									
Acquired 07/21/15 L	1 61	30,000	100 00	30,000 00	100 1100	30,033 00	33 00	159 79	360 00
SAFRA NATIONAL BANK CD									
NEW YORK CITY NY	ACT/365								
FDIC INSURED									
CPN 1.050% DUE 07/31/17									
DTD 07/30/14 FC 01/30/15									
CUSIP 78658QDX8									
Acquired 08/06/14 L	3 22	60,000	100 00	60,001 21	100 0390	60,023 40	22 19	267 54	630 00
GOLDMAN SACHS BK USA CD									
NEW YORK NY	ACT/365								
FDIC INSURED									
CPN 1.350% DUE 09/25/17									
DTD 09/24/14 FC 03/24/15									
CUSIP 38147J5P3									
Acquired 09/15/14 L	3 23	60,000	100 00	60,000 00	100 1990	60,119 40	119 40	219 70	810 00
									1 34



RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.

DECEMBER 1, 2016 - DECEMBER 31, 2016

Fixed Income Securities  
Certificates of Deposit continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
							ACCRUED INTEREST	ANNUAL INCOME
								ANNUAL YIELD (%)
ALLY BANK CD MIDVALE UT ACT/365 FDIC INSURED CPN 1.450% DUE 01/23/18 DTD 07/23/15 FC 01/23/16 CUSIP 02006LSJ3 Acquired 07/21/15 L		1 61	30,000	100 00	30,000 00	100 2900	30,087 00	87 00
CUSTOMERS BANK CD PHOENIXVILLE PA ACT/365 FDIC INSURED CPN 1.600% DUE 03/12/18 DTD 09/10/14 FC 03/10/15 CUSIP 23204HBX5 Acquired 09/03/14 L		1 35	25,000	100 00	25,000 00	100 4390	25,109 75	109 75
ALLY BANK CD MIDVALE UT ACT/365 FDIC INSURED CPN 1.450% DUE 03/12/18 DTD 09/10/15 FC 03/10/16 CUSIP 02006LTVS Acquired 09/02/15 L		1 08	20,000	100 00	20,000 00	100 2650	20,053 00	53 00
ALLY BANK CD MIDVALE UT ACT/365 FDIC INSURED CPN 1.050% DUE 03/26/18 DTD 03/24/16 FC 09/24/16 CUSIP 02006LZC0 Acquired 03/16/16 S		1 61	30,000	100 00	30,000 00	99 7750	29,932 50	-67 50
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 1.150% DUE 04/09/18 DTD 04/08/15 FC 10/08/15 CUSIP 254672LF3 Acquired 04/01/15 L		4 56	85,000	100 00	85,000 00	99 8720	84,891 20	-108 80
							227 64	977 50
							315 00	1 15
							85 44	1 05

RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Fixed Income Securities

## Certificates of Deposit continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DISCOVER BANK GREENWOOD DE FDIC INSURED CPN 1.100% DUE 04/30/18 DTD 04/28/15 FC 10/29/15 CUSIP 254672MS4										
Acquired 04/21/15 L	2.68	50,000	100.00	50,000.00	99.7690	49,884.50	-115.50	96.44	550.00	1.10
MB FINL BK NA CHICAGO IL ACT/365 FDIC INSURED CPN 1.050% DUE 05/14/18 DTD 05/14/15 FC 06/14/15 CUSIP 55296CLX2										
Acquired 05/04/15 L	5.35	100,000	100.00	100,000.00	99.6740	99,674.00	-326.00	51.78	1,050.00	1.05
WORLDS FOREMOST BANK CD SIDNEY NE ACT/365 JUMBO CD FDIC INSURED CPN 1.300% DUE 05/21/18 DTD 05/20/15 FC 06/20/15 CUSIP 99000FHZ4										
Acquired 05/14/15 L	5.35	100,000	100.00	100,000.00	99.7200	99,720.00	-280.00	42.74	1,300.00	1.30
CAPITAL ONE BK USA NA CD GLEN ALLEN VA ACT/365 FDIC INSURED CPN 1.100% DUE 08/31/18 DTD 08/31/16 FC 02/28/17 CUSIP 140420D23										
Acquired 08/29/16 S	2.67	50,000	100.00	50,000.00	99.4790	49,739.50	-260.50	185.35	550.00	1.10
CAPITAL ONE NA MCLEAN VA ACT/365 FDIC INSURED CPN 1.650% DUE 10/01/18 DTD 09/30/15 FC 03/30/16 CUSIP 14042RAG6										
Acquired 09/28/15 L	0.65	12,000	100.00	12,000.00	100.2980	12,035.76	35.76	50.45	198.00	1.64



DECEMBER 1, 2016 - DECEMBER 31, 2016

## Fixed Income Securities

## Certificates of Deposit continued

**RICHARD & JANE GREEN FAMILY  
FOUNDATION, INC.**

**DECEMBER 1, 2016 - DECEMBER 31, 2016**

**Fixed Income Securities**

**Certificates of Deposit continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ALLY BANK CD MIDVALE UT ACT/365 FDIC INSURED CPN 1.250% DUE 09/03/19 DTD 09/01/16 FC 03/01/17 CUSIP 02006LL68					100.00	50,000.00	98,868.00	49,434.00	-566.00	208.90
Acquired 08/29/16 S	2.65	50,000								1.26
CAPITAL ONE NA CD MCLEAN VA ACT/365 FDIC INSURED CPN 1.300% DUE 10/07/19 DTD 10/05/16 FC 04/05/17 CUSIP 14042RCN9					100.00	50,000.00	98,868.00	49,434.00	-566.00	208.90
Acquired 09/28/16 S	1.59	30,000								1.31
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 1.600% DUE 12/16/19 DTD 12/14/16 FC 06/14/17 CUSIP 254672V96					100.00	30,000.00	98,924.00	29,677.20	-322.80	94.03
Acquired 12/08/16 S	2.67	50,000								1.60
WELLS FARGO BANK NA CD MULTI STEP UP CPN CALLABLE FDIC INSURED CPN 1.250% DUE 04/30/20 DTD 04/30/15 FC 07/30/15 CALL 04/30/18 @ 100.000 CUSIP 94986TTT4 Acquired 04/13/15 L NC					100.00	50,000.00	99,589.00	49,794.50	-205.50	39.45
<b>Total Certificates of Deposit</b>	<b>80.29</b>	<b>1,500,000</b>								
								<b>\$1,499,978.78</b>	<b>\$1,495,954.81</b>	<b>\$4,023.97</b>
								<b>\$1,499,983.57</b>		
<b>Total Fixed Income Securities</b>	<b>98.53</b>							<b>\$1,839,910.79</b>	<b>\$1,835,770.21</b>	<b>\$4,140.58</b>
								<b>\$1,858,255.77</b>		
									<b>\$10,076.50</b>	<b>\$34,443.70</b>
										<b>1.88</b>

<sup>^</sup> Denotes Certificate of Deposit with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance needed. Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS



## 2016 Year-End Account Summary

As of Date: 02/21/17

Page 6 of 16

RICHARD & JANE GREEN FAMILY  
FOUNDATION INC

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### Proceeds from Broker and Barter Exchange Transactions

### Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1)	Quantity Sold (Box 1c)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount (Box 1h)	Additional Information
MALIBU CA CTF PARTN INTEGRATED WTR PROJ A 1 CPN 5 8800% DUE 07/01/2032 CUSIP 56117PAH9	10000 00000 09/28/2015	07/01/2016	10 000.00	10 000.00	10 000.00	0.00	0.00	0.00	0.00 Original Basis 10,383.20 Box 6: Gross Proceeds Redemption
<b>Totals</b>				<b>\$10,000.00</b>	<b>\$10,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	



## 2016 Year-End Account Summary

As of Date: 02/21/17

Page 7 of 16

RICHARD & JANE GREEN FAMILY  
FOUNDATION INC

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### Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount (Box 1h)	Additional Information
COMPASS BANK CD BIRMINGHAM AL ACT/365 CPN 5000% DUE 02/05/2016 CUSIP 20451PHYS	500000 000000	01/28/2014	02/05/2016	50 000.00	50 000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
COMPASS BANK CD BIRMINGHAM AL ACT/365 CPN 6500% DUE 08/26/2016 CUSIP 20451PJR8	500000 000000	02/20/2014	08/26/2016	50 000.00	50 000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
FIRST NIAGARA BANK NA CD BUFFALO NY ACT/365 CPN 6000% DUE 08/26/2016 CUSIP 33583CMSS5	600000 000000	02/24/2015	08/26/2016	60 000.00	60 000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
KNOX CNTY KY REF HOSP PJ TAXABLE GTO UNLTD BIE CPN 5.8750% DUE 12/01/2036 CUSIP 499422DL4	50000 000000	06/11/2014	12/01/2016	50 000.00	50 000.00	0.00	0.00	0.00	Original Basis 56 173.00 Box 6: Gross Proceeds Redemption
<b>Subtotals</b>	<b>700000 000000</b>			<b>\$70,000.00</b>	<b>\$70,000.00</b>			<b>\$0.00</b>	<b>\$0.00</b>
READING PA G/O UNLTD BIE AGM TXBL CPN 5.4800% DUE 11/15/2026 CUSIP 755553TT1	25000 000000	09/15/2015	11/15/2016	25 000.00	25 000.00	0.00	0.00	0.00	Original Basis 26 338.00 Box 6: Gross Proceeds Redemption

## 2016 Year-End Account Summary

As of Date: 02/21/17

Page 8 of 16

RICHARD & JANE GREEN FAMILY  
FOUNDATION INC

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### Proceeds from Broker and Barter Exchange Transactions, continued

### Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount (Box 1h)	Additional Information
SAN MARCOS TX CTF OBLG SER A DB BIE AGM OID CPN 5.7500% DUE 02/15/2032 CUSIP 798764Y23	10000 00000	02/06/2015	02/16/2016	10 000.00	10 000.00	0.00	0.00	0.00	Original Basis 10 499.40 Box 6: Gross Proceeds Redemption
<b>Totals</b>				<b>\$265,000.00</b>	<b>\$265,000.00</b>		<b>\$0.00</b>	<b>\$0.00</b>	



## 2016 Year-End Account Summary

As of Date: 02/21/17

Page 9 of 16

### RICHARD & JANE GREEN FAMILY FOUNDATION INC

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#### Proceeds from Broker and Dealer Exchange Transactions, continued

#### Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold Acquired	Date Acquired	Date Sold or Disposed Box 1a	Proceeds Box 1a	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AMERICAN EXPR CENT BK CD SALT LAKE CTY UT ACT/365 CPN 11000% DUE 07/11/2016 CUSIP 02587DPX0	60000 00000 07/01/2013	07/11/2016	60 000.00	60,000.00	60,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
DISCOVER BANK CD GREENWOOD DE ACT/365 CPN 11000% DUE 07/11/2016 CUSIP 254671RW2	50000 00000 07/01/2013	07/11/2016	50 000.00	50,000.00	50,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
GE CAPITAL RETAIL BK CD DRAPEP UT ACT/365 CPN 10500% DUE 12/06/2016 CUSIP 36157PTZ2	50000 00000 11/29/2013	12/06/2016	50 000.00	50,000.00	50,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
GOLDMAN SACHS GRP INC SR UNSECURED INTERNOTES CPN 4.0000% DUE 03/15/2016 CUSIP 38141ESY1	25000 00000 03/22/2010	03/15/2016	25 000.00	25,000.00	25,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
GOLDMAN SACHS BK USA CD NEW YORK ACT/365 CPN 11000% DUE 09/26/2016 CUSIP 38147JLY6	22000 00000 09/19/2013	09/26/2016	22 000.00	22,000.00	22,000.00	0.00	0.00	0.00	Box 6: Gross Proceeds Redemption
OREGON ST ST BIRD HIGH ED SER D XLCA ICR G/O UNLTD CPN 6.0000% DUE 08/01/2036 CUSIP 686607L7X9	15000 00000 05/28/2013	08/01/2016	15,000.00	17 155.20	0.00	0.00	-2 155.20	Box 6: Gross Proceeds Redemption	
<b>Totals</b>				<b>\$222,000.00</b>	<b>\$224,155.20</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$2,155.20)</b>	