SCANNED MAY 2 6 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at www irs gov/form990pf

OMB No 1545-0052 **2016** Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation	A Employer identification number
FARBER FAMILY FOUNDATION INC. C/O FAIRMAN GROUP FAMILY OFFICE	65-0336266
Number and street (or P O box number if mail is not delivered to street address) / Room/suite 899 CASSATT ROAD, SUITE 115	B Telephone number 610-889-7300
City or town, state or province, country, and ZIP or foreign postal code BERWYN, PA 19312	C If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐
H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 24,493,645. J Accounting method. ☐ Cash [X] Accrual ☐ Other (specify) (Part I column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc, received	2,850,400.		N/A	
2 Check ▶ ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	598,598.	598,598.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	3,943,096.			
b Gross sales price for all assets on line 6a 7,933,468.				
7 Capital gain net income (from Part IV, line 2)		3,943,096.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	<9,887.>	<9,887.>		STATEMENT 2
12 **Total** Add lines 1 through 11	7,382,207.	4,531,807.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	19,050.	0.		0.
c Other professional fees STMT 4	77,377.	77,377.		0.
17 Interest				
18 Taxes STMT 5	21,174.	16,431.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	32,136.	32,090.		0.
24 **Total operating and administrative expenses.** Add lines 13 through 23	149,737.	125,898.		0.
25 Contributions, gifts, grants paid	20,670,500.			6,128,833.
26 **Total expenses and disbursements** Add lines 24 and 25	20,820,237.	125,898.		6,128,833.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	<13,438,030.>			
b **Net investment income** (if negative, enter -0-)		4,405,909.		
c **Adjusted net income** (if negative enter -0-)			N/A	

RECEIVED MAY 2 2 2017 OGDEN, UT IRS-OSC B087

14

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	5,333,422.	3,101,575.	3,101,575.
	3 Accounts receivable ▶ 425,207.			
	Less: allowance for doubtful accounts ▶	21,508.	425,207.	425,207.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	700,000.	1,095,670.	1,093,341.
	b Investments - corporate stock STMT 9	9,999,590.	11,227,278.	14,515,483.
	c Investments - corporate bonds STMT 10	427,589.	1,306,379.	1,338,884.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	6,276,046.	3,854,676.	4,019,155.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	22,758,155.	21,010,785.	24,493,645.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	941,667.	15,483,334.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	941,667.	15,483,334.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	8,052,777.	8,052,777.	
	29 Retained earnings, accumulated income, endowment, or other funds	13,763,711.	<2,525,326.>	
	30 **Total net assets or fund balances**	21,816,488.	5,527,451.	
	31 **Total liabilities and net assets/fund balances**	22,758,155.	21,010,785.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,816,488.
2	Enter amount from Part I, line 27a	2	<13,438,030.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	8,378,458.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	2,851,007.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,527,451.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	7,933,468.		3,721,864.	3,943,096.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				3,943,096.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,943,096.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2015	1,608,833.	25,672,270.	.062668
2014	1,677,214.	22,126,763.	.075800
2013	2,019,194.	17,235,283.	.117155
2012	862,189.	16,261,698.	.053020
2011	642,400.	14,968,389.	.042917

2 Total of line 1, column (d)	2	.351560
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.070312
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	25,530,977.
5 Multiply line 4 by line 3	5	1,795,134.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	44,059.
7 Add lines 5 and 6	7	1,839,193.
8 Enter qualifying distributions from Part XII, line 4	8	6,128,833.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	44,059.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	44,059.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	44,059.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 27,576.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	27,576.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	364.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	16,847.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL, PA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 12	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ FAIRMAN GROUP FAMILY OFFICE LLP Telephone no. ▶ 610-889-7300

Located at ▶ 899 CASSATT ROAD, SUITE 115, BERWYN, PA ZIP+4 ▶ 19312

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |
If "Yes" to 6b, file Form 8870
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACK FARBER C/O FAIRMAN GROUP FAMILY OFFICE 899 C BERWYN, PA 19312	CHAIRMAN & DIRECTOR 5.00	0.	0.	0.
VIVIAN FARBER C/O FAIRMAN GROUP FAMILY OFFICE 899 C BERWYN, PA 19312	PRESIDENT & DIRECTOR 3.00	0.	0.	0.
ELLEN FARBER C/O FAIRMAN GROUP FAMILY OFFICE 899 C BERWYN, PA 19312	EX. DIRECTOR, TREASURER, S 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	16,790,099.
b	Average of monthly cash balances	1b	4,651,556.
c	Fair market value of all other assets	1c	4,478,119.
d	Total (add lines 1a, b, and c)	1d	25,919,774.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	25,919,774.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	388,797.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	25,530,977.
6	**Minimum investment return** Enter 5% of line 5	6	1,276,549.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,276,549.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 44,059.		
b	Income tax for 2016 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	44,059.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,232,490.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,232,490.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,232,490.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,128,833.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,128,833.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	44,059.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	6,084,774.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,232,490.
2 Undistributed income if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012 132,798.				
c From 2013 1,228,242.				
d From 2014 591,448.				
e From 2015 347,396.				
f Total of lines 3a through e	2,299,884.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 6,128,833.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				1,232,490.
e Remaining amount distributed out of corpus	4,896,343.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,196,227.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	7,196,227.			
10 Analysis of line 9				
a Excess from 2012 132,798.				
b Excess from 2013 1,228,242.				
c Excess from 2014 591,448.				
d Excess from 2015 347,396.				
e Excess from 2016 4,896,343.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JACK FARBER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABRAMSON CENTER 1425 HORSHAM ROAD NORTH WALES, PA 19454	NONE	PUBLIC	GENERAL	18,000.
ALS ASSOCIATION 321 NORRISTOWN ROAD AMBLER, PA 19002	NONE	PUBLIC	GENERAL	76,000.
ALZHEIMER'S ASSOCIATION 399 MARKET STREET, SUITE 102 PHILADELPHIA, PA 19106	NONE	PUBLIC	GENERAL	5,000.
AMERICAN JEWISH COMMITTEE 165 E. 56TH STREET NEW YORK, NY 10022-2746	NONE	PUBLIC	GENERAL	75,000.
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158-3560	NONE	PUBLIC	GENERAL	12,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	20,670,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513 or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	598,598.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	<9,887.>	
8 Gain or (loss) from sales of assets other than inventory			18	3,943,096.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		4,531,807.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 4,531,807.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 5/15/17 — Title: EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARIANNE INFORZATO		5/15/17		P00750114

Firm's name ▶ FAIRMAN GROUP FAMILY OFFICE, LLP — Firm's EIN ▶ 45-4793713

Firm's address ▶ 899 CASSATT ROAD, SUITE 115 BERWYN, PA 19312 — Phone no. 610-889-7300

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	CHARLES SCHWAB (0707) - SEE ATTACHED	P	VARIOUS	VARIOUS
b	CHARLES SCHWAB (4228) - SEE ATTACHED	P	VARIOUS	VARIOUS
c	CHARLES SCHWAB (5035) - SEE ATTACHED	P	VARIOUS	VARIOUS
d	CHARLES SCHWAB (7141) - SEE ATTACHED	P	VARIOUS	VARIOUS
e	CHARLES SCHWAB (7141) - SEE ATTACHED	D	VARIOUS	VARIOUS
f	FROM K-1: OCA WESLEY MORTGAGE REIT FUND LLC	P	VARIOUS	VARIOUS
g	FROM K-1: OCA WESLEY MORTGAGE REIT FUND LLC	P	VARIOUS	VARIOUS
h	FROM K-1: ALPHAGEN EUROPEAN	P	VARIOUS	VARIOUS
i	FROM K-1: ALPHAGEN EUROPEAN	P	VARIOUS	VARIOUS
j	FROM K-1: ALPHAGEN EUROPEAN	P	VARIOUS	VARIOUS
k	FROM K-1: OCA STRATEGOS RMBS FUND LLC	P	VARIOUS	VARIOUS
l	FROM K-1: OCA WESLEY MORTGAGE REIT FUND LLC	P	VARIOUS	VARIOUS
m	45,000 SHS CSS STOCK	D	VARIOUS	03/16/16
n	5,000 SHS CSS STOCK	D	VARIOUS	02/04/16
o	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	857,213.		869,889.	<12,676.>
b	308,149.		301,188.	6,961.
c	56,405.		38,094.	18,311.
d	22,161.		24,277.	<2,116.>
e	4,242,625.		1,484,265.	2,758,360.
f				<96,596.>
g				<114,283.>
h				<69,070.>
i				<38,568.>
j				49,357.
k				652.
l	1,000,621.		1,000,401.	220.
m	1,274,400.		3,375.	1,271,025.
n	140,011.		375.	139,636.
o	31,883.			31,883.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				<12,676.>
b				6,961.
c				18,311.
d				<2,116.>
e				2,758,360.
f				<96,596.>
g				<114,283.>
h				<69,070.>
i				<38,568.>
j				49,357.
k				652.
l				220.
m				1,271,025.
n				139,636.
o				31,883.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	3,943,096.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FARBER FAMILY FOUNDATION INC.
C/O FAIRMAN GROUP FAMILY OFFICE 65-0336266

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S DYSLEXIA CENTER 33 MARRETT ROAD LEXINGTON, MA 02421	NONE	PUBLIC	GENERAL	5,000.
CLARKE SCHOOLS FOR HEARING AND SPEECH 455 SOUTH ROBERTS ROAD BRYN MAWR, PA 19010	NONE	PUBLIC	GENERAL	50,000.
CURE ALZHEIMER'S PHILADELPHIA 650 SMITHFIELD ST., SUITE 2015 PITTSBURGH, PA 15222	NONE	PUBLIC	GENERAL	6,000.
GEARING UP P.O. BOX 26061 PHILADELPHIA, PA 19128	NONE	PUBLIC	GENERAL	20,000.
GERSHMAN Y 401 SOUTH BROAD STREET PHILADELPHIA, PA 19147	NONE	PUBLIC	GENERAL	2,500.
HABITAT FOR HUMANITY 1829 N. 19TH STREET PHILADELPHIA, PA 19121	NONE	PUBLIC	GENERAL	10,000.
JEWISH FEDERATION OF GREATER PHILADELPHIA 2100 ARCH STREET PHILADELPHIA, PA 19103	NONE	PUBLIC	GENERAL	104,000.
JEWISH FEDERATION OF PALM BEACH 4601 COMMUNITY DRIVE WEST PALM BEACH, FL 33417	NONE	PUBLIC	GENERAL	63,000.
NATIONAL LIBERTY MUSEUM 321 CHESTNUT STREET PHILADELPHIA, PA 19106-2707	NONE	PUBLIC	GENERAL	30,000.
ROCK TO THE FUTURE 219 MERCER STREET PHILADELPHIA, PA 19125	NONE	PUBLIC	GENERAL	15,000.
Total from continuation sheets				20,484,000.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUPPORT CENTRAL FOR CHILD ADVOCATES 1617 JFK BLVD. SUITE 1200 PHILADELPHIA, PA 19103	NONE	PUBLIC	GENERAL	1,000.
THE ACADEMY OF NATURAL SCIENCES 1900 BENJAMIN FRANKLIN PARKWAY PHILADELPHIA, PA 19103	NONE	PUBLIC	GENERAL	2,500.
THE PARKINSON COUNCIL 111 PRESIDENTIAL BLVD STE 141 BALA CYNWYD, PA 19004	NONE	PUBLIC	GENERAL	10,000.
THE VISION OF CHILDREN FOUNDATION 12555 HIGH BLUFF DRIVE, SUITE 330 SAN DIEGO, CA 92130	NONE	PUBLIC	GENERAL	25,000.
THOMAS JEFFERSON UNIVERSITY - DR. RODNEY BELL FUND 925 CHESTNUT STREET, STE 110 PHILADELPHIA, PA 19107	NONE	PUBLIC	GENERAL	100,000.
THOMAS JEFFERSON UNIVERSITY - VICKIE & JACK INST. FOR NEUROSCIENCE 900 WALNUT STREET, SUITE 400 PHILADELPHIA, PA 19107	NONE	PUBLIC	GENERAL	20,000,000.
UNITED WAY 7 BENJAMIN FRANKLIN PARKWAY PHILADELPHIA, PA 19103	NONE	PUBLIC	GENERAL	35,000.
UNIVERSITY OF PENNSYLVANIA/WXPN 3025 WALNUT STREET PHILADELPHIA, PA 19104	NONE	PUBLIC	GENERAL	5,000.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization
FARBER FAMILY FOUNDATION INC.
C/O FAIRMAN GROUP FAMILY OFFICE

Employer identification number
65-0336266

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990 PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990 EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990 EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990 EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990 PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990 EZ, or 990-PF)

Name of organization
FARBER FAMILY FOUNDATION INC.
C/O FAIRMAN GROUP FAMILY OFFICE

Employer identification number
65-0336266

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JACK FARBER 3056 MIRO DRIVE NORTH PALM BEACH GARDENS, FL 33410	$ 870,000.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	VIVIAN FARBER 3056 MIRO DRIVE NORTH PALM BEACH GARDENS, FL 33410	$ 1,406,500.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
3	VIVIAN FARBER 3056 MIRO DRIVE NORTH PALM BEACH GARDENS, FL 33410	$ 573,900.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
FARBER FAMILY FOUNDATION INC. C/O FAIRMAN GROUP FAMILY OFFICE	65-0336266

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	30,000 SHS CSS INDUSTRIES INC.	$ 870,000.	03/30/16
2	50,000 SHS CSS INDUSTRIES INC.	$ 1,406,500.	07/20/16
3	20,000 SHS CSS INDUSTRIES INC.	$ 573,900.	12/15/16
		$	
		$	
		$	

Name of organization: FARBER FAMILY FOUNDATION INC. C/O FAIRMAN GROUP FAMILY OFFICE

Employer identification number: 65-0336266

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry For organizations completing Part III enter the total of exclusively religious charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ALPHAGEN EUROPEAN	34,411.	0.	34,411.	34,411.	
CHARLES SCHWAB (0707)	48,308.	0.	48,308.	48,308.	
CHARLES SCHWAB (4228)	27,768.	0.	27,768.	27,768.	
CHARLES SCHWAB (5035)	34,813.	0.	34,813.	34,813.	
CHARLES SCHWAB (7141)	255,282.	31,883.	223,399.	223,399.	
CSS INDUSTRIES INC	21,000.	0.	21,000.	21,000.	
OCA STRATEGOS RMBS FUND LLC	148,494.	0.	148,494.	148,494.	
OCA WESLEY MORTGAGE REIT FUND LLC	60,405.	0.	60,405.	60,405.	
TO PART I, LINE 4	630,481.	31,883.	598,598.	598,598.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1: ALPHAGEN EUROPEAN BEST IDEAS FUND, LLC	<9,887.>	<9,887.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<9,887.>	<9,887.>	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	11,550.	0.		0.
TAX PREPARATION AND CONSULTING	7,500.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	19,050.	0.		0.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY FEES	245.	245.		0.
INVESTMENT MANAGEMENT FEES	77,132.	77,132.		0.
TO FORM 990-PF, PG 1, LN 16C	77,377.	77,377.		0.

FORM 990-PF — TAXES — STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2016 4TH QUARTER ESTIMATED TAX PAYMENT	12,000.	0.		0.
FOREIGN TAXES PAID	9,174.	16,431.		0.
TO FORM 990-PF, PG 1, LN 18	21,174.	16,431.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	46.	0.		0.
FROM K-1: OCA STRATEGOS RMBS FUND LLC	25,643.	25,643.		0.
FROM K-1: OCA WESLEY MORTGAGE REIT FUND LLC	6,447.	6,447.		0.
TO FORM 990-PF, PG 1, LN 23	32,136.	32,090.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7

DESCRIPTION	AMOUNT
BOOK VALUE ADJUSTMENT - INCOME/EXPENSES NOT REPORTED ON BOOKS	19,638.
UNREALIZED GAIN ON CONTRIBUTED ASSETS	2,831,369.
TOTAL TO FORM 990-PF, PART III, LINE 5	2,851,007.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB (4228) - MUNICIPAL BONDS - SEE ATTACHED		X	1,095,670.	1,093,341.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,095,670.	1,093,341.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,095,670.	1,093,341.

FORM 990-PF	CORPORATE STOCK	STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB (5035) - EQUITIES - SEE ATTACHED	1,125,009.	1,548,079.
CHARLES SCHWAB (7141) - EQUITIES - SEE ATTACHED	10,101,699.	12,761,672.
7,600 SHS CSS INDUSTRIES INC.	570.	205,732.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,227,278.	14,515,483.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB (4228) - CORPORATE BONDS - SEE ATTACHED	174,500.	175,953.
CHARLES SCHWAB (0707) - CORPORATE BONDS - SEE ATTACHED	1,131,879.	1,162,931.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,306,379.	1,338,884.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OCA STRATEGOS RMBS FUND LLC	COST	1,708,932.	1,712,121.
OCA OHA CREDIT FUND	COST	1,325,000.	1,458,998.
ALPHAGEN EURP BEST IDEAS FUND	COST	820,744.	848,036.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,854,676.	4,019,155.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 12

NAME OF CONTRIBUTOR	ADDRESS
JACK FARBER	3056 MIRO DRIVE NORTH PALM BEACH GARDENS, FL 33410
VIVIAN FARBER	3056 MIRO DRIVE NORTH PALM BEACH GARDENS, FL 33410

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
BANK OF AMERICA C VAR 99 DUE 12/31/99 060505DT8	125,000 0000	01/06/16	02/18/16	$125,000 00	$128,125 00	($3,125 00)
					$128,028 92	*($3,028 92)* b
Security Subtotal				**$125,000.00**	**$128,125 00**	**($3,125.00)**
CITIGROUP INC VAR 99 DUE 12/31/99 172967KD2	125,000 0000	01/06/16	01/27/16	$125,468 75	$127,812 50	($2,343 75)
Security Subtotal				**$125,468.75**	**$127,812.50**	**($2,343.75)**
JPMORGAN CHASE & VAR 46625HJW1	75,000 0000	05/10/16	12/29/16	$75,562 50	$77,437 50	($1,875 00)
Security Subtotal				**$75,562.50**	**$77,437.50**	**($1,875.00)**
JPMORGAN CHASE & VAR 99 DUE 12/31/99 46625HHA1	125,000 0000	01/06/16	02/19/16	$123,750 00	$128,125 00	($4,375 00)
					$127,385 15	*($3,635 15)* b
Security Subtotal				**$123,750 00**	**$128,125.00**	**($4,375.00)**
JPMORGAN CHASE & VAR 99 DUE 12/31/99 46625HJQ4	35,000 0000	01/20/16	05/10/16	$38,631 25	$37,712 50	$918 75
Security Subtotal				**$38,631.25**	**$37,712.50**	**$918.75**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
JPMORGAN CHASE & VAR 99 12/31/99 48126HAA8	DUE	75,000 0000	06/03/16	12/02/16	$74,625 00	$77,625 00	($3,000 00)
Security Subtotal					**$74,625.00**	**$77,625.00**	**($3,000.00)**
MORGAN STANLEY VAR 99 12/31/99 61761JQK8	DUE	100,000 0000	01/13/16	07/27/16	$98,750 00	$98,750 00	$0 00
Security Subtotal					**$98,750.00**	**$98,750.00**	**$0.00**
PNC FINANCIAL SRV VAR 99 12/31/99 693475AK1	DUE	60,000 0000	01/06/16	06/03/16	$66,675 00	$64,200 00	$2,475 00
Security Subtotal					**$66,675.00**	**$64,200.00**	**$2,475.00**

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments. First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
WELLS FARGO & CO VAR 99 12/31/99 949746PM7	DUE	125,000 0000	01/15/16	02/26/16	$128,750 00	$130,937 50	($2,187 50)
Security Subtotal					**$128,750.00**	**$130,937.50**	**($2,187 50)**
Total Short-Term					**$857,212 50**	**$870,725 00**	**($13,512.50)**
						$869,889.07	***($12,676.57)*** b
Total Realized Gain or (Loss)					**$857,212.50**	**$870,725 00**	**($13,512.50)**
						$869,889.07	***($12,676.57)*** b

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations

charles SCHWAB

Schwab One® Account of
FARBER FAMILY FOUNDATION
FIXED INCOME

Account Number
2219-4228

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
CHICAGO IL PBC 6 5XXX**MATURED** TAXBL 167673BH4	50,000 0000	10/28/11	01/01/16	$50,000 00	$60,350 00 [t]	($10,350 00)
					$50,000 00	$0 00 [b]
Security Subtotal				**$50,000.00**	**$60,350.00**	**($10,350.00)**
GENERAL ELECTRI 5 375XXX**MATURED** 36962GY40	75,000 0000	09/06/12	10/20/16	$75,000 00	$86,450 25 [t]	($11,450 25)
					$75,000 00	$0 00 [b]
Security Subtotal				**$75,000.00**	**$86,450.25**	**($11,450.25)**
SALLIE MAE BANK 1 3%16CD FDIC INS DUE 08/29/16SALT LAKE CI 795450PD1	100,000 0000	08/21/12	08/29/16	$100,000 00	$99,200 00 [t]	$800 00
					$100,000 00	$0 00 [b]
Security Subtotal				**$100,000.00**	**$99,200.00**	**$800.00**
VANDERBILT UNIV 5 25XXX**CALLED** @110 8656473 921813AA9	25,000 0000	05/07/09	04/29/16	$27,716 42	$25,526 59 [t]	$2,189 83
					$25,467 29	$2,249 13 [b]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
VANDERBILT UNIV 5 25XXX**CALLED** @110 8656473 921813AA9	50,000 0000	05/07/09	04/29/16	$55,432 82	$51,390 00 [t]	$4,042 82
					$50,720 90	*$4,711 92* [b]
Security Subtotal				**$83,149.24**	**$76,916.59**	**$6,232.65**
Total Long-Term				**$308,149.24**	**$322,916.84**	**($14,767.60)**
					$301,188 19	***$6,961.05*** [b]
Total Realized Gain or (Loss)				**$308,149.24**	**$322,916.84**	**($14,767.60)**
					$301,188.19	***$6,961.05*** [b]

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations
t	Data for this holding has been edited or provided by a third party

charles SCHWAB

Schwab One® Account of
FARBER FAMILY FOUNDATION
MGR: GARDNER RUSSO & GARDNER

Account Number
8050-5035

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out (FIFO)

Realized Gain or (Loss)

Long-Term		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ALTRIA GROUP INC MO		100 0000	07/25/12	11/10/16	$6,134 02	$3,552 38	$2,581 64
Security Subtotal					**$6,134.02**	**$3,552.38**	**$2,581.64**
PHILIP MORRIS INTL PM		25 0000	07/25/12	09/20/16	$2,472 25	$2,178 11	$294.14
Security Subtotal					**$2,472.25**	**$2,178.11**	**$294.14**
SABMILLER PLC ORD SBMRF	FLONDON SHARES	75 0000	07/25/12	01/05/16	$4,431 88	$3,083 58	$1,348 30
SABMILLER PLC ORD SBMRF	FLONDON SHARES	125 0000	07/25/12	01/28/16	$7,447 30	$5,139 29	$2,308 01
SABMILLER PLC ORD SBMRF	FLONDON SHARES	35 0000	07/25/12	02/01/16	$2,066 71	$1,439 00	$627 71
SABMILLER PLC ORD SBMRF	FLONDON SHARES	150 0000	07/25/12	02/18/16	$8,915 78	$6,167 15	$2,748 63
SABMILLER PLC ORD SBMRF	FLONDON SHARES	90 0000	07/25/12	03/21/16	$5,445 05	$3,700 29	$1,744 76
SABMILLER PLC ORD SBMRF	FLONDON SHARES	75 0000	07/25/12	06/08/16	$4,678 53	$3,083 58	$1,594 95

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SABMILLER PLC ORD SBMRF	FLONDON SHARES	50 0000	10/09/12	06/08/16	$3,119 02	$2,157 00	$962 02
SABMILLER PLC ORD SBMRF	FLONDON SHARES	25 0000	01/31/14	06/08/16	$1,559 51	$1,131 45	$428 06
SABMILLER PLC ORD SBMRF	FLONDON SHARES	25 0000	02/07/14	06/08/16	$1,559 51	$1,142 70	$416 81
SABMILLER PLC ORD SBMRF	FLONDON SHARES	25 0000	02/20/14	06/08/16	$1,559 52	$1,175 20	$384 32
Security Subtotal					**$40,782.81**	**$28,219.24**	**$12,563.57**
WELLS FARGO BK N A WFC		50 0000	07/25/12	12/09/16	$2,837 99	$1,657 59	$1,180 40
WELLS FARGO BK N A WFC		75 0000	07/25/12	12/13/16	$4,177 84	$2,486 39	$1,691 45
Security Subtotal					**$7,015.83**	**$4,143.98**	**$2,871.85**
Total Long-Term					**$56,404.91**	**$38,093.71**	**$18,311.20**
Total Realized Gain or (Loss)					**$56,404.91**	**$38,093.71**	**$18,311.20**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
KINDER MORGAN INC KMI	49 4161	11/16/15	09/22/16	$1,093 17	$1,165 09	($71 92)
KINDER MORGAN INC KMI	17.1306	02/17/16	09/22/16	$378 96	$291 74	$87 22
KINDER MORGAN INC KMI	16 8054	05/17/16	09/22/16	$371 77	$293 88	$77.89
KINDER MORGAN INC KMI	0 6268	08/16/16	09/22/16	$13 87	$13 47	$0 40
KINDER MORGAN INC KMI	13 1492	08/16/16	09/22/16	$290 87	$282 51	$8 36
Security Subtotal				**$2,148.64**	**$2,046.69**	**$101.95**
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	5 1987	09/28/15	09/22/16	$226 68	$254 86	($28 18)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	55 3735	12/29/15	09/22/16	$2,414 43	$2,826 03	($411 60)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	106 5918	12/29/15	09/22/16	$4,647 69	$5,440 00	($792 31)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	204 6260	12/29/15	09/22/16	$8,922 24	$10,443 25	($1,521 01)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	0 9618	06/27/16	09/22/16	$41 94	$36 04	$5 90

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

charles SCHWAB

Schwab One® Account of
FARBER FAMILY FOUNDATION FUNDING

Account Number
3365-7141

Report Period
January 1 - December 31, 2016

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	86 2100	06/27/16	09/22/16	$3,758 97	$3,230 28	$528 69
Security Subtotal				**$20,011.95**	**$22,230.46**	**($2,218.51)**
Total Short-Term				**$22,160 59**	**$24,277.15**	**($2,116.56)**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	7 0000	01/01/00	03/01/16	$192 98	$0 52 [a]	$192 46
C S S INDUSTRIES INC CSS	7 0000	01/01/00	03/01/16	$192 98	$0 53 [a]	$192 45
C S S INDUSTRIES INC CSS	20 0000	01/01/00	03/01/16	$543 55	$1 50 [a]	$542 05
C S S INDUSTRIES INC CSS	22 0000	01/01/00	03/01/16	$598 02	$1.65 [a]	$596 37
C S S INDUSTRIES INC CSS	23 0000	01/01/00	03/01/16	$634 06	$1 73 [a]	$632 33
C S S INDUSTRIES INC CSS	40 0000	01/01/00	03/01/16	$1,099 71	$3 00 [a]	$1,096.71
C S S INDUSTRIES INC CSS	40 0000	01/01/00	03/01/16	$1,087 51	$3 00 [a]	$1,084 51
C S S INDUSTRIES INC CSS	40 0000	01/01/00	03/01/16	$1,087 51	$3 00 [a]	$1,084 51

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	60 0000	01/01/00	03/01/16	$1,631 25	$4 50 [a]	$1,626 75
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,725 76	$7 50 [a]	$2,718 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,717 76	$7 50 [a]	$2,710 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,717 76	$7 50 [a]	$2,710 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,717 76	$7 50 [a]	$2,710 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,736 76	$7 50 [a]	$2,729 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,736 76	$7 50 [a]	$2,729 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,736 76	$7 50 [a]	$2,729 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,736 26	$7 50 [a]	$2,728 76
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,736 76	$7 50 [a]	$2,729 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,724 76	$7 50 [a]	$2,717 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26

2016 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds Average
All Other Investments. First In First Out [FIFO]

Realized Gain or (Loss) (continued)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,735 76	$7 50 [a]	$2,728 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/01/16	$2,741 76	$7 50 [a]	$2,734 26
C S S INDUSTRIES INC CSS	106 0000	01/01/00	03/01/16	$2,879 78	$7 95 [a]	$2,871 83
C S S INDUSTRIES INC CSS	200 0000	01/01/00	03/01/16	$5,439 52	$15 00 [a]	$5,424 52
C S S INDUSTRIES INC CSS	200 0000	01/01/00	03/01/16	$5,429 52	$15 00 [a]	$5,414 52
C S S INDUSTRIES INC CSS	200 0000	01/01/00	03/01/16	$5,478 52	$15 00 [a]	$5,463 52
C S S INDUSTRIES INC CSS	200 0000	01/01/00	03/01/16	$5,478 52	$15 00 [a]	$5,463 52
C S S INDUSTRIES INC CSS	300 0000	01/01/00	03/01/16	$8,150 31	$22 50 [a]	$8,127 81
C S S INDUSTRIES INC CSS	400 0000	01/01/00	03/01/16	$10,857 04	$30 00 [a]	$10,827 04

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	1,335 0000	01/01/00	03/01/16	$36,540 73	$100 12 [a]	$36,440.61
C S S INDUSTRIES INC CSS	19 0000	01/01/00	03/03/16	$540 51	$1 43 [a]	$539 08
C S S INDUSTRIES INC CSS	65 0000	01/01/00	03/03/16	$1,849 10	$4 87 [a]	$1,844 23
C S S INDUSTRIES INC CSS	65 0000	01/01/00	03/03/16	$1,865 02	$4 88 [a]	$1,860 14
C S S INDUSTRIES INC CSS	98 0000	01/01/00	03/03/16	$2,795 70	$7 35 [a]	$2,788 35
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/03/16	$2,850 76	$7 50 [a]	$2,843.26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/03/16	$2,849 76	$7 50 [a]	$2,842 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/03/16	$2,849 76	$7 50 [a]	$2,842 26
C S S INDUSTRIES INC CSS	100 0000	01/01/00	03/03/16	$2,844 76	$7 50 [a]	$2,837 26
C S S INDUSTRIES INC CSS	102 0000	01/01/00	03/03/16	$2,906 76	$7 65 [a]	$2,899.11
C S S INDUSTRIES INC CSS	400 0000	01/01/00	03/03/16	$11,399 03	$30 00 [a]	$11,369 03
C S S INDUSTRIES INC CSS	600 0000	01/01/00	03/03/16	$17,121 96	$45 00 [a]	$17,076 96
C S S INDUSTRIES INC CSS	1,000 0000	01/01/00	03/03/16	$28,498 59	$75 00 [a]	$28,423 59

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	1,100 0000	01/01/00	03/03/16	$31,292 35	$82 50 [a]	$31,209.85
C S S INDUSTRIES INC CSS	1,151 0000	01/01/00	03/03/16	$32,802.45	$86.32 [a]	$32,716.13
C S S INDUSTRIES INC CSS	10,000 0000	01/01/00	04/19/16	$281,210 92	$750 00 [a]	$280,460 92
C S S INDUSTRIES INC CSS	2,185 0000	01/01/00	04/22/16	$61,315 89	$163 88 [a]	$61,152.01
C S S INDUSTRIES INC CSS	7,815 0000	01/01/00	04/26/16	$219,800 33	$586 12 [a]	$219,214 21
C S S INDUSTRIES INC CSS	4,632 0000	01/01/00	04/29/16	$129,485 52	$347 40 [a]	$129,138 12
C S S INDUSTRIES INC CSS	1,168 0000	01/01/00	05/03/16	$32,643 18	$87 60 [a]	$32,555 58
C S S INDUSTRIES INC CSS	1,600 0000	01/01/00	05/04/16	$44,741 75	$120 00 [a]	$44,621 75
C S S INDUSTRIES INC CSS	636 0000	01/01/00	05/10/16	$17,766 86	$47 70 [a]	$17,719 16
C S S INDUSTRIES INC CSS	1,964 0000	01/01/00	05/24/16	$54,906 04	$147 30 [a]	$54,758 74
C S S INDUSTRIES INC CSS	10,000 0000	01/01/00	11/11/16	$270,165 16	$750 00 [a]	$269,415 16
C S S INDUSTRIES INC CSS	4,900 0000	01/01/00	11/14/16	$132,788 44	$367 50 [a]	$132,420 94
C S S INDUSTRIES INC CSS	5,100 0000	01/01/00	11/16/16	$137,672 24	$382 50 [a]	$137,289 74

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	8,143 0000	01/01/00	11/16/16	$217,756 18	$610 73 [a]	$217,145 45
C S S INDUSTRIES INC CSS	1,857 0000	01/01/00	11/17/16	$49,200 48	$139 27 [a]	$49,061 21
C S S INDUSTRIES INC CSS	6,511 0000	01/01/00	11/21/16	$173,090 03	$488 33 [a]	$172,601 70
C S S INDUSTRIES INC CSS	10,237 0000	01/01/00	11/22/16	$272,106 08	$767 77 [a]	$271,338 31
C S S INDUSTRIES INC CSS	3,252 0000	01/01/00	11/23/16	$86,221 15	$243 90 [a]	$85,977 25
C S S INDUSTRIES INC CSS	5,308 0000	01/01/00	12/15/16	$152,265 23	$398 10 [a]	$151,867 13
C S S INDUSTRIES INC CSS	2,743 0000	01/01/00	12/16/16	$78,184 60	$205 73 [a]	$77,978 87
C S S INDUSTRIES INC CSS	1,449 0000	01/01/00	12/19/16	$41,286 65	$108 67 [a]	$41,177 98
C S S INDUSTRIES INC CSS	2,900 0000	01/01/00	12/20/16	$82,717 26	$217 50 [a]	$82,499 76
Security Subtotal				**$2,814,327.19**	**$7,680.00**	**$2,806,647.19**
ENBRIDGE ENERGY MGMT EEQ	759 7692	09/18/12	09/22/16	$19,108 86	$17,125 50	$1,983 36
ENBRIDGE ENERGY MGMT EEQ	0 7973	11/19/12	09/22/16	$20 06	$17 40	$2 66

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ENBRIDGE ENERGY MGMT EEQ	1,886 2308	11/19/12	09/22/16	$47,440 34	$41,175 66	$6,264 68
Security Subtotal				**$66,569.26**	**$58,318.56**	**$8,250.70**
KINDER MORGAN INC KMI	2 0000	09/18/12	09/22/16	$44 23	$52 19	($7 96)
KINDER MORGAN INC KMI	98 0000	09/18/12	09/22/16	$2,167 44	$2,557 50	($390 06)
KINDER MORGAN INC KMI	543 7230	09/18/12	09/22/16	$12,028 11	$14,189 48	($2,161 37)
KINDER MORGAN INC KMI	1,560 2769	11/19/12	09/22/16	$34,516 08	$40,789 49	($6,273 41)
KINDER MORGAN INC KMI	23 5817	02/18/15	09/22/16	$521 67	$991 80	($470 13)
KINDER MORGAN INC KMI	24 9291	05/18/15	09/22/16	$551 48	$1,069 24	($517 76)
KINDER MORGAN INC KMI	31 9879	08/17/15	09/22/16	$707 63	$1,103 73	($396 10)
Security Subtotal				**$50,536.64**	**$60,753.43**	**($10,216.79)**
LYRICAL US VALUE EQTY FD INST LYRIX	64,936 3640	multiple	07/13/16	$1,000,000 00	$969,903 86	$30,096 14
Security Subtotal				**$1,000,000.00**	**$969,903.86**	**$30,096.14**
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	37 0000	08/20/15	09/22/16	$1,613 30	$2,009 30	($396 00)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out (FIFO)

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	100 0000	08/20/15	09/22/16	$4,360 27	$5,430.53	($1,070.26)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	100 0000	08/20/15	09/22/16	$4,360 27	$5,430 63	($1,070 36)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	100 0000	08/20/15	09/22/16	$4,360 27	$5,430 93	($1,070 66)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	100 0000	08/20/15	09/22/16	$4,360 27	$5,431 13	($1,070 86)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	300 0000	08/20/15	09/22/16	$13,080 80	$16,293 38	($3,212 58)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	400 0000	08/20/15	09/22/16	$17,441 07	$21,724 50	($4,283 43)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	500 0000	08/20/15	09/22/16	$21,801 34	$27,155 13	($5,353 79)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	600 0000	08/20/15	09/22/16	$26,161 60	$32,586 75	($6,425 15)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	800 0000	08/20/15	09/22/16	$34,882 14	$43,444 18	($8,562 04)

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds Average
All Other Investments First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	1,000 0000	08/20/15	09/22/16	$43,602 67	$54,310 25	($10,707 58)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	1,100 0000	08/20/15	09/22/16	$47,962 94	$59,740 18	($11,777 24)
WISDOMTREE JAPAN HEDGED EQUITY ETF DXJ	2,000 0000	08/20/15	09/22/16	$87,205 34	$108,622 51	($21,417 17)
Security Subtotal				**$311,192.28**	**$387,609.40**	**($76,417.12)**
Total Long-Term				**$4,242,625.37**	**$1,484,265.25**	**$2,758,360.12**
Total Realized Gain or (Loss)				**$4,264,785.96**	**$1,508,542 40**	**$2,756,243.56**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
a	Data for this holding has been edited or provided by the advisor

Income Summary	This Period: Federally Tax-Exempt	This Period: Federally Taxable	Year to Date: Federally Tax-Exempt	Year to Date: Federally Taxable
Money Funds Dividends	0 00	1 84	0 00	5 59
Cash Dividends	0 00	1,575 00	0 00	61,028 37
Corporate Bond and Other Interest	0 00	0 00	0 00	14,784 39
Total Income	**0.00**	**1,576.84**	**0.00**	**75,818.35**
Accrued Interest Paid[4]	0 00	(1,117 20)	0 00	(28,327 44)

[4]Certain accrued interest paid on taxable bonds may be deductible, consult your tax advisor

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND SWGXX	153,974 6600	1 0000	153,974 66	0 01%	12%
Total Money Market Funds [Sweep]			**153,974.66**		**12%**
Total Money Market Funds [Sweep]			**153,974.66**		**12%**

Investment Detail - Fixed Income

Corporate Bonds	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
BANK OF AMERICA C VAR 99	**125,000.0000**	**104 2500**	**130,312.50**	**N/A**	**10%**	**3,281.25**	**N/A**
DUE 12/31/99	125,000 0000	101 6250	127,031 25	N/A	02/18/16	3,281 25	N/A
CALLABLE 10/23/24 AT 100 00000							
CUSIP 060505EL4							
MOODY'S Ba2 S&P BB+							

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
BANK OF AMERICA C VAR 99	**125,000.0000**	**101.1250**	**126,406.25**	**N/A**	**10%**	**893.75**	**N/A**
DUE 12/31/99	45,000 0000	100 2500	45,112.50	N/A	01/21/16	393.75	N/A
CALLABLE 03/17/25 AT 100 00000	45,000 0000	100 5000	45,225 00	N/A	01/21/16	281 25	N/A
CUSIP 060505EN0	35,000 0000	100 5000	35,175 00	N/A	01/27/16	218 75	N/A
MOODY'S Ba2 S&P BB+							
Cost Basis			*125,512 50*				
BANK OF AMERICA C VAR 99	**60,000.0000**	**103.7500**	**62,250.00**	**N/A**	**5%**	**1,162.50**	**N/A**
DUE 12/31/99	60,000 0000	101 8125	61,087 50	N/A	03/08/16	1,162 50	N/A
CALLABLE 03/10/26 AT 100 00000							
CUSIP 060505EU4							
MOODY'S Ba2 S&P BB+							
CITIGROUP INC VAR 99	**125,000.0000**	**103.5000**	**129,375 00**	**N/A**	**10%**	**4,140.00**	**N/A**
DUE 12/31/99	62,000 0000	1 0012	62,077 50	N/A	03/07/16	2,092 50	N/A
CALLABLE 11/15/20 AT 100 00000	63,000 0000	1 0025	63,157 50	N/A	03/07/16	2,047 50	N/A
CUSIP 172967KD2							
MOODY'S Ba2 S&P NR							
Cost Basis			*125,235 00*				

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
CITIGROUP INC VAR 99	**50,000.0000**	**102.8750**	**51,437.50**	**N/A**	**4%**	**1,437.50**	**N/A**
DUE 12/31/99	50,000 0000	1 0000	50,000 00	N/A	04/18/16	1,437 50	N/A
CALLABLE 08/15/26 AT 100 00000							
CUSIP 172967KM2							
MOODY'S Ba2 S&P BB+							
CITIGROUP INC VAR 99	**125,000.0000**	**100.7500**	**125,937 50**	**N/A**	**10%**	**7,187.50**	**N/A**
DUE 12/31/99	125,000 0000	95 0000	118,750 00	N/A	03/03/16	7,187 50	N/A
CALLABLE 01/30/23 AT 100 00000							
CUSIP 172967GD7							
MOODY'S Ba2 S&P BB+							
GOLDMAN SACHS GRO VAR 99	**100,000 0000**	**102.5000**	**102,500 00**	**N/A**	**8%**	**2,750.00**	**N/A**
DUE 12/31/99	100,000 0000	99 7500	99,750 00	N/A	01/13/16	2,750 00	N/A
CALLABLE 05/10/19 AT 100 00000							
CUSIP 38148BAA6							
MOODY'S Ba1 S&P BB							
GOLDMAN SACHS GRO VAR 99	**65,000.0000**	**101.2500**	**65,812.50**	**N/A**	**5%**	**2,275.00**	**N/A**
DUE 12/31/99	65,000 0000	97 7500	63,537 50	N/A	01/27/16	2,275 00	N/A
CALLABLE 05/10/20 AT 100 00000							
CUSIP 38148BAB4							
MOODY'S Ba1 S&P BB							

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
JPMORGAN CHASE & VAR	**75,000.0000**	**100.5000**	**75,375.00**		**6%**		
CALLABLE 04/30/24 AT 100 00000						*Cost Basis is not tracked on this investment*	
CUSIP 46625HJW1							
MOODY'S Baa3 S&P BBB-							
JPMORGAN CHASE & VAR 99	**40,000.0000**	**107 7500**	**43,100.00**	**N/A**	**3%**	**0.00**	**N/A**
DUE 12/31/99	40,000 0000	107 7500	43,100 00	N/A	01/20/16	0 00	N/A
CALLABLE 02/01/24 AT 100 00000							
CUSIP 46625HJQ4							
MOODY'S Baa3 S&P BBB-							
JPMORGAN CHASE & VAR 99	**125,000.0000**	**102.0000**	**127,500.00**	**N/A**	**10%**	**7,812.50**	**N/A**
DUE 12/31/99	125,000 0000	95 7500	119,687 50	N/A	02/19/16	7,812 50	N/A
CALLABLE 05/01/20 AT 100 00000							
CUSIP 46625HKK5							
MOODY'S Baa3 S&P BBB-							
MORGAN STANLEY DE VAR 99	**60,000.0000**	**100.3750**	**60,225.00**	**N/A**	**5%**	**1,425.00**	**N/A**
DUE 12/31/99	60,000 0000	98 0000	58,800 00	N/A	02/02/16	1,425 00	N/A
CALLABLE 07/15/20 AT 100 00000							
CUSIP 617474AA9							
MOODY'S Ba1 S&P BB							

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
WELLS FARGO BK N VAR	**60,000 0000**	**104.5000**	**62,700.00**	**N/A**	**5%**	**750.00**	**N/A**
CALLABLE 03/15/18 AT 100.00000	60,000 0000	103 2500	61,950 00	N/A	12/06/16	750 00	N/A
CUSIP 949746PM7							
MOODY'S Baa2 S&P BBB							
Total Corporate Bonds	**1,135,000.0000**		**1,162,931.25**	**N/A**	**88%**	**33,115.00**	**N/A**
		Total Cost Basis:	***1,054,441.25***				
Total Fixed Income	**1,135,000.0000**		**1,162,931.25**	**N/A**	**88%**	**33,115.00**	**N/A**
		Total Cost Basis:	***1,054,441.25***				

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Total Investment Detail	**1,316,905.91**
Total Account Value	**1,316,905.91**
Total Cost Basis	***1,054,441.25***

Investment Detail - Fixed Income

Corporate Bonds	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
NEW YORK UNIVER 1.767%19	75,000.0000	100.4744	75,355.80	75,000.00	5%	355.80	1,325.25
DUE 07/01/19	75,000 0000	100 0000	75,000 00	75,000 00	04/10/15	355 80	1 76%
CUSIP 650119AF7							
MOODY'S Aa3 S&P AA-							Accrued Interest: 662.63
Total Corporate Bonds	75,000.0000		75,355.80	75,000.00	5%	355.80	1,325.25
		Total Cost Basis:	75,000.00				

Total Accrued Interest for Corporate Bonds. 662 63

Municipal Bonds	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
COAST CCD CA 0.795%17	50,000.0000	99.7780	49,889.00	50,000 00	3%	(111.00)	397.50
EDUC COLL DUE 08/01/17	50,000 0000	100 0000	50,000 00	50,000 00	08/10/16	(111 00)	0 79%
TAXBL							
CUSIP 190335HB8							
MOODY'S Aa1 S&P AA							Accrued Interest: 133.60
CONNECTICUT ST 1%17	75,000 0000	99.7950	74,846.25	75,140.25	5%	(294.00)	750.00
GO UTX DUE 08/15/17	75,000 0000	100 1870	75,140 25	75,140 25	08/03/16	(294 00)	0 81%
TAXBL							
CUSIP 20772J3B6							
MOODY'S Aa3 S&P AA-							Accrued Interest: 279.17

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Municipal Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
AUSTIN TEX AA 2%17	**40,000.0000**	**100.5380**	**40,215.20**	**40,440.40**	**3%**	**(225 20)**	**800 00**
DB LTX DUE 09/01/17	40,000 0000	101 1010	40,440 40	40,440 40	09/08/16	(225 20)	0 78%
TAXBL							
CUSIP 052397FG6							
MOODY'S Aaa S&P AAA							*Accrued Interest. 193.33*
CENTRE CNTY PA 2.05%18	**150,000.0000**	**99.5010**	**149,251.50**	**150,000 00**	**9%**	**(748.50)**	**3,075.00**
GO UTX DUE 07/01/18	150,000 0000	100 0000	150,000 00	150,000 00	08/28/12	(748 50)	2 04%
TAXBL							
CUSIP 156267KL9							
MOODY'S NR S&P AA							*Accrued Interest: 1,537.50*
ENERGY NW WA EL 1.375%18	**75,000 0000**	**99 6970**	**74,772.75**	**75,000.00**	**5%**	**(227.25)**	**1,031.25**
UTIL ELEC DUE 07/01/18	75,000 0000	100 0000	75,000 00	75,000 00	04/24/15	(227 25)	1 37%
XTRO TAXBL							
CUSIP 29270CL86							
MOODY'S Aa1 S&P AA-							*Accrued Interest: 515.63*
PORT AUTH NY & NJ 5%18	**75,000.0000**	**106.3880**	**79,791.00**	**80,839.50**	**5%**	**(1,048.50)**	**3,750.00**
TRAN PA DUE 11/15/18	75,000 0000	107 7860	80,839 50	80,839 50	11/02/16	(1,048 50)	1 05%
AMT							
CUSIP 73358WC28							
MOODY'S Aa3 S&P AA-							*Accrued Interest: 468.75*

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Municipal Bonds (continued)	Par / Units Purchased	Market Price / Cost Per Unit	Market Value / Cost Basis	Adjusted Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Annual Income / Yield to Maturity
JACKSON ST UNV 2 155%19	**75,000.0000**	**100.6460**	**75,484.50**	**75,000.00**	**5%**	**484.50**	**1,616.25**
EDUC FAC DUE 03/01/19	75,000 0000	100 0000	75,000 00	75,000 00	02/20/15	484 50	2 15%
XTRO TAXBL							
CUSIP 468714EB2							
MOODY'S Aa2 S&P NR							*Accrued Interest: 538.75*
U CAL REGTS MED 1.149%19	**75,000.0000**	**98.7700**	**74,077.50**	**75,000.00**	**5%**	**(922.50)**	**861.75**
HLTH SYST DUE 05/15/19	75,000 0000	100 0000	75,000 00	75,000 00	08/11/16	(922 50)	1 14%
XTRO TAXBL							
CUSIP 913366HT0							
MOODY'S Aa3 S&P NR							*Accrued Interest: 110.11*
NORTHAMPTON CN 2 535%19	**125,000.0000**	**101.6930**	**127,116.25**	**125,000.00**	**8%**	**2,116.25**	**3,168.75**
GO UTX DUE 10/01/19	125,000 0000	100 0000	125,000 00 t	125,000 00	08/17/12	2,116 25	2 53%
TAXBL							
CUSIP 663532HP7							
MOODY'S NR S&P AA							*Accrued Interest: 792.19*
CALIFORNIA STAT 1.982%19	**50,000 0000**	**100 3160**	**50,158.00**	**50,000.00**	**3%**	**158.00**	**991.00**
EDUC PUB DUE 11/01/19	50,000 0000	100 0000	50,000 00	50,000 00	07/16/15	158 00	1 98%
XTRO TAXBL							
CUSIP 13077CT38							
MOODY'S Aa2 S&P AA-							*Accrued Interest: 165.17*

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

Municipal Bonds (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
NYC NY TRAN AUTH 1.5%20	**75,000.0000**	**97.6920**	**73,269.00**	**74,250.00**	**5%**	**(981.00)**	**1,125.00**
TAX COMB DUE 02/01/20	75,000 0000	99 0000	74,250 00	74,250 00	03/08/16	(981 00)	1 76%
XTRO TAXBL							
CUSIP 64971WZZ6							
MOODY'S Aa1 S&P· AAA							***Accrued Interest: 468.75***
TEXAS A & M UNI 1.678%20	**50,000.0000**	**99.0160**	**49,508.00**	**50,000.00**	**3%**	**(492.00)**	**839.00**
EDUC PUB DUE 05/15/20	50,000 0000	100 0000	50,000 00	50,000 00	03/22/16	(492 00)	1 67%
TAXBL							
CUSIP 88213ADM4							
MOODY'S Aaa S&P AAA							***Accrued Interest: 107.21***
NEW YORK N Y 2.15%20	**50,000.0000**	**99 7970**	**49,898.50**	**50,000.00**	**3%**	**(101 50)**	**1,075.00**
GO UTX DUE 06/01/20	50,000 0000	100 0000	50,000 00	50,000 00	06/03/15	(101 50)	2 15%
XTRO TAXBL							
CUSIP 64966LN56							
MOODY'S Aa2 S&P AA							***Accrued Interest: 89.58***
UNIV N TX 2.467%21	**75,000.0000**	**99.8180**	**74,863 50**	**75,000 00**	**5%**	**(136 50)**	**1,850.25**
EDUC PUB DUE 04/15/21	75,000 0000	100 0000	75,000 00	75,000 00	10/01/15	(136 50)	2 46%
TAXBL							
CUSIP 914729QR2							
MOODY'S Aa2 S&P NR							***Accrued Interest: 390.61***

Investment Detail - Fixed Income (continued)

Municipal Bonds (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
HONOLULU HI C&C 2.554%21	**50,000.0000**	**100.4000**	**50,200.00**	**50,000.00**	**3%**	**200.00**	**1,277.00**
UTIL SWR DUE 07/01/21 XTRO TAXBL CUSIP 438701WS9 MOODY'S Aa2 S&P NR	50,000 0000	100 0000	50,000 00	50,000 00	07/23/15	200 00	2 55%
							Accrued Interest: 638.50
Total Municipal Bonds	1,090,000.0000		1,093,340.95	1,095,670.15	69%	(2,329 20)	[illegible]
		Total Cost Basis:	1,095,670.15				

Total Accrued Interest for Municipal Bonds: 6,428.85

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Fixed Income (continued)

CDs & BAs	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
GOLDMAN SACHS BK 1.8%17	100,000.0000	100.5971	100,597.10	99,500.00	6%	1,097.10	1,800.00
CD FDIC INS DUE 08/22/17 NEW YORK NY CUSIP 38143AZK4 MOODY'S NR S&P NR	100,000 0000	99 5000	99,500 00 [1]	99,500 00	08/21/12	1,097 10	1 91%
							Accrued Interest: 650.96
Total CDs & BAs	**100,000.0000**		**100,597.10**	**99,500.00**	**6%**	**1,097.10**	**1,800.00**
		Total Cost Basis:	***99,500.00***				
							Total Accrued Interest for CDs & BAs: 650.96
Total Fixed Income	**1,265,000.0000**		**1,269,293.85**	**1,270,170.15**	**80%**	**(876.30)**	**25,733.00**
		Total Cost Basis:	***1,270,170.15***				

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment
Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Total Investment Detail	**1,578,839.31**

Total Account Value	**1,578,839.31**
Total Cost Basis	***1,270,170 15***

Income Summary

	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Cash Dividends	0 00	1,601 23	0 00	30,911 07
Total Income	**0.00**	**1,601.23**	**0.00**	**30,911.07**

Investment Detail - Cash and Money Market Funds [Sweep]

Cash	Market Value	% of Account Assets
Cash	126 00	<1%
Total Cash	**126.00**	**<1%**

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND SWGXX	6,633 2700	1 0000	6,633 27	0 01%	<1%
Total Money Market Funds [Sweep]			**6,633.27**		**<1%**
Total Cash & Money Market [Sweep]			**6,759.27**		**<1%**

Investment Detail - Equities

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
AB INBEV ORDF	**875.0000**	**106.3050**	**93,016.88**	**6%**	**16,173.26**	**N/A**	**N/A**
SYMBOL BUDFF	550 0000	76 7884	42,233 65	07/25/12	16,234 10	1620	Long-Term
	50 0000	85 1248	4,256 24	09/11/12	1,059 01	1572	Long-Term
	25 0000	83 6128	2,090 32	11/02/12	567 31	1520	Long-Term
	50 0000	88 9400	4,447 00	06/26/13	868.25	1284	Long-Term
	25 0000	118 7892	2,969 73	10/23/15	(312 10)	435	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
AB INBEV ORDF	25 0000	118 2696	2,956 74	10/27/15	(299 11)	431	Long-Term
	25 0000	120 7600	3,019 00	10/30/15	(361 37)	428	Long-Term
	25 0000	122 4364	3,060 91	11/11/15	(403 28)	416	Long-Term
	25 0000	127 0700	3,176 75	12/17/15	(519 12)	380	Long-Term
	25 0000	129 6808	3,242 02	06/22/16	(584 39)	192	Short-Term
	5 0000	132 3620	661 81	09/26/16	(130 28)	96	Short-Term
	45 0000	105 0988	4,729 45	11/21/16	54 28	40	Short-Term
Cost Basis			*76,843 62*				
ALTRIA GROUP INC	**825.0000**	**67 6200**	**55,786 50**	**4%**	**26,479.37**	**3 60%**	**2,013.00**
SYMBOL MO	825 0000	35 5237	29,307 13	07/25/12	26,479 37	1620	Long-Term
							Accrued Dividend. 503.25
BERKSHIRE HATHAWAY	**1,300.0000**	**162 9800**	**211,874 00**	**14%**	**102,821 94**	**N/A**	**N/A**
CLASS B	1,300 0000	83 8862	109,052 06	07/25/12	102,821 94	1620	Long-Term
SYMBOL BRKB							
BRIT AMERN TOBACCO ORD F	**800.0000**	**57.0994**	**45,679.52**	**3%**	**4,502.31**	**N/A**	**N/A**
25 GBP PAR	750 0000	51 1352	38,351 44	07/25/12	4,473 11	1620	Long-Term
SYMBOL BTAFF	15 0000	56 4466	846 70	10/28/14	9 79	795	Long-Term
	35 0000	56 5448	1,979 07	12/17/15	19 41	380	Long-Term
Cost Basis			*41,177 21*				
BROWN FORMAN CORP	**974.0000**	**46.2500**	**45,047.50**	**3%**	**15,769.06**	**1.47%**	**662.32**
CLASS A	974 0000	30 0600	29,278 44	07/25/12	15,769 06	1620	Long-Term
SYMBOL BFA							***Accrued Dividend: 177.76***
COMCAST CORPORATION	**515.0000**	**69.0500**	**35,560.75**	**2%**	**19,643.28**	**1.59%**	**566.50**
CLASS A	515 0000	30 9077	15,917 47	07/25/12	19,643 28	1620	Long-Term
SYMBOL CMCSA							

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
COMPAGNIE FINAN RI ORDF	**1,575.0000**	**66.2574**	**104,355.41**	**7%**	**4,744.33**	**N/A**	**N/A**
SYMBOL CFRHF	950 0000	54 8321	52,090 53	07/25/12	10,854 00	1620	Long-Term
	50 0000	86 8290	4,341 45	09/23/14	(1,028 58)	830	Long-Term
	50 0000	82 7790	4,138 95	10/09/14	(826 08)	814	Long-Term
	25 0000	84 2580	2,106 45	10/21/14	(450 01)	802	Long-Term
	10 0000	89 7450	897 45	02/20/15	(234 88)	680	Long-Term
	65 0000	81 7376	5,312 95	07/10/15	(1,006 22)	540	Long-Term
	50 0000	82 9290	4,146 45	10/06/15	(833 58)	452	Long-Term
	50 0000	81 3790	4,068 95	11/11/15	(756 08)	416	Long-Term
	25 0000	75 5580	1,888 95	12/02/15	(232 51)	395	Long-Term
	75.0000	72 3193	5,423 95	12/15/15	(454 64)	382	Long-Term
	40 0000	73 3737	2,934 95	12/17/15	(284 65)	380	Long-Term
	55 0000	65 7127	3,614 20	01/28/16	29 96	338	Short-Term
	25 0000	65 6080	1,640 20	02/01/16	16 24	334	Short-Term
	35 0000	67 4057	2,359 20	02/18/16	(40 19)	317	Short-Term
	70 0000	66 3778	4,646 45	03/21/16	(8 43)	285	Short-Term
Cost Basis			*99,611 08*				
DIAGEO PLC ORDF	**1,300.0000**	**25.8615**	**33,619.95**	**2%**	**(980.95)**	**N/A**	**N/A**
SYMBOL DGEAF	1,100 0000	25 8321	28,415 35	07/25/12	32 30	1620	Long-Term
	50 0000	31 5290	1,576 45	07/31/13	(283 37)	1249	Long-Term
	90 0000	31 5961	2,843 65	04/22/14	(516 11)	984	Long-Term
	35 0000	29 5757	1,035 15	02/04/15	(130 00)	696	Long-Term
	25 0000	29 2120	730 30	03/20/15	(83 76)	652	Long-Term
Cost Basis			*34,600 90*				
HEINEKEN HLDG NV ORDF	**1,475.0000**	**69.5698**	**102,615.46**	**7%**	**38,222.96**	**N/A**	**N/A**
SYMBOL HKHHF	1,475 0000	43 6559	64,392 50	07/25/12	38,222 96	1620	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
J C DECAUX SA ORDF	**410.0000**	**29.3784**	**12,045.14**	**<1%**	**(3,267 09)**	**N/A**	**N/A**
SYMBOL JCDXF	40 0000	35 1192	1,404 77	03/13/15	(229 63)	659	Long-Term
	50 0000	33 8790	1,693 95	03/31/15	(225.03)	641	Long-Term
	60 0000	35 8491	2,150 95	04/21/15	(388 25)	620	Long-Term
	40 0000	42 8237	1,712 95	07/16/15	(537 81)	534	Long-Term
	50 0000	35 7860	1,789 30	01/12/16	(320 38)	354	Short-Term
	95 0000	39 1489	3,719 15	02/04/16	(928 20)	331	Short-Term
	25 0000	43 0804	1,077 01	03/15/16	(342 55)	291	Short-Term
	25 0000	41 7080	1,042 70	06/07/16	(308 24)	207	Short-Term
	25 0000	28 8580	721 45	12/20/16	13 01	11	Short-Term
Cost Basis			*15,312 23*				
MARTIN MARIETTA MATR	**300 0000**	**221.5300**	**66,459.00**	**4%**	**42,042.99**	**0.75%**	**504.00**
SYMBOL MLM	300 0000	81 3867	24,416 01	07/25/12	42,042 99	1620	Long-Term
MASTERCARD INC	**1,250.0000**	**103.2500**	**129,062.50**	**8%**	**77,923.75**	**0.73%**	**950 00**
SYMBOL MA	1,250 0000	40 9110	51,138 75	07/25/12	77,923 75	1620	Long-Term
NESTLE SA F	**2,025.0000**	**71 7400**	**145,273.50**	**9%**	**24,497.52**	**3.23%**	**4,697.45**
UNSPONSORED ADR	1,850 0000	58 5190	108,260 33	07/25/12	24,458 67	1620	Long-Term
1 ADR REPS 1 ORD SHS	25 0000	68 1380	1,703 45	07/16/13	90 05	1264	Long-Term
SYMBOL NSRGY	50 0000	67 6690	3,383 45	09/16/13	203 55	1202	Long-Term
	50 0000	76 8790	3,843 95	09/08/14	(256 95)	845	Long-Term
	25 0000	73 0980	1,827 45	11/03/14	(33 95)	789	Long-Term
	25 0000	70 2940	1,757 35	12/16/16	36 15	15	Short-Term
Cost Basis			*120,775 98*				

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
PERNOD RICARD SA ORDF	**860.0000**	**108.2886**	**93,128.20**	**6%**	**805.57**	**N/A**	**N/A**
SYMBOL PDRDF	600 0000	103 6859	62,211 58	07/25/12	2,761 58	1620	Long-Term
	25 0000	118 3580	2,958 95	07/26/13	(251 73)	1254	Long-Term
	10 0000	118 8950	1,188 95	08/29/13	(106 06)	1220	Long-Term
	40 0000	121 3237	4,852 95	10/29/13	(521 41)	1159	Long-Term
	5 0000	114 1900	570 95	01/16/14	(29 51)	1080	Long-Term
	5 0000	115 1900	575 95	02/12/14	(34 51)	1053	Long-Term
	15 0000	117 9966	1,769 95	03/28/14	(145 62)	1009	Long-Term
	5 0000	121 7900	608 95	04/22/14	(67 51)	984	Long-Term
	5 0000	119 5900	597 95	09/08/14	(56 51)	845	Long-Term
	35 0000	115 8557	4,054 95	09/23/14	(264 85)	830	Long-Term
	20 0000	119 2475	2,384 95	07/10/15	(219 18)	540	Long-Term
	10 0000	110 1450	1,101 45	01/11/16	(18 56)	355	Short-Term
	30 0000	110 9483	3,328 45	05/26/16	(79 79)	219	Short-Term
	45 0000	111 4488	5,015 20	06/08/16	(142 21)	206	Short-Term
	10 0000	110 1450	1,101 45	06/22/16	(18 56)	192	Short-Term
Cost Basis			*92,322 63*				
PHILIP MORRIS INTL	**1,400.0000**	**91.4900**	**128,086.00**	**8%**	**6,121.95**	**4.54%**	**5,824.00**
SYMBOL PM	1,175 0000	87 1242	102,371 05	07/25/12	5,129 70	1620	Long-Term
	50 0000	89 0254	4,451 27	01/15/13	123 23	1446	Long-Term
	50 0000	89 8180	4,490 90	07/16/13	83 60	1264	Long-Term
	25 0000	88 9780	2,224 45	10/24/13	62 80	1164	Long-Term
	25 0000	78 6172	1,965 43	04/06/15	321 82	635	Long-Term
	50 0000	86 2750	4,313 75	05/14/15	260 75	597	Long-Term
	25 0000	85 8880	2,147 20	10/15/15	140 05	443	Long-Term
Cost Basis			*121,964 05*				***Accrued Dividend: 1,456.00***

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "*Endnotes for Your Account*" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
SCRIPPS NTWK INTERAC	**175.0000**	**71.3700**	**12,489.75**	**<1%**	**3,249.75**	**1.40%**	**175.00**
CLASS A	175 0000	52 8000	9,240 00	07/25/12	3,249 75	1620	Long-Term
SYMBOL SNI							
SWATCH GROUP AG ORDF	**146.0000**	**311.1002**	**45,420 63**	**3%**	**(7,965.69)**	**N/A**	**N/A**
SYMBOL· SWGAF	19.0000	403 1768	7,660.36	10/23/15	(1,749 46)	435	Long-Term
	27 0000	391 1014	10,559 74	10/27/15	(2,160 03)	431	Long-Term
	6 0000	387 5033	2,325 02	10/28/15	(458 42)	430	Long-Term
	10 0000	388 0390	3,880 39	10/29/15	(769 39)	429	Long-Term
	20 0000	377 7330	7,554 66	11/06/15	(1,332 66)	421	Long-Term
	14 0000	354 0271	4,956 38	11/23/15	(600 98)	404	Long-Term
	10 0000	347 0120	3,470 12	12/17/15	(359 12)	380	Long-Term
	5 0000	330 3220	1,651 61	01/05/16	(96 11)	361	Short-Term
	10 0000	347 3900	3,473 90	02/18/16	(362 90)	317	Short-Term
	5 0000	302 6480	1,513 24	05/26/16	42 26	219	Short-Term
	15 0000	323 4500	4,851 75	06/08/16	(185 25)	206	Short-Term
	5 0000	297 8300	1,489 15	10/11/16	66 35	81	Short-Term
Cost Basis			*53,386 32*				
UNILEVER N V F	**1,975.0000**	**41.0600**	**81,093.50**	**5%**	**12,554.97**	**3.45%**	**2,801.34**
UNSPONSORED ADR	1,325 0000	32 1968	42,660 89	07/25/12	11,743 61	1620	Long-Term
1 ADR REPS 1 ORD SHS	50 0000	41 0890	2,054 45	05/31/13	(1 45)	1310	Long-Term
SYMBOL UN	50 0000	38 8780	1,943 90	06/26/13	109 10	1284	Long-Term
	35 0000	39 6657	1,388 30	11/18/13	48 80	1139	Long-Term
	105 0000	39 3152	4,128 10	12/24/13	183 20	1103	Long-Term
	45 0000	38 9188	1,751 35	01/10/14	96 35	1086	Long-Term
	40 0000	39 1422	1,565 69	01/16/14	76 71	1080	Long-Term
	50 0000	39 7274	1,986 37	03/25/14	66 63	1012	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
UNILEVER N V F	35 0000	42 8737	1,500 58	05/08/14	(63 48)	968	Long-Term
	90.0000	38 4333	3,459 00	10/29/14	236 40	794	Long-Term
	100 0000	40 8495	4,084 95	12/13/16	21 05	18	Short-Term
	50 0000	40 2990	2,014 95	12/16/16	38 05	15	Short-Term
Cost Basis			*68,538 53*				
WELLS FARGO BK N A	**1,950.0000**	**55.1100**	**107,464.50**	**7%**	**39,730.49**	**2.75%**	**2,964.00**
SYMBOL WFC	1,445 0000	33 1519	47,904 50	07/25/12	31,729 45	1620	Long-Term
	50 0000	34 1488	1,707 44	07/30/12	1,048 06	1615	Long-Term
	25 0000	33 3556	833 89	08/02/12	543 86	1612	Long-Term
	25 0000	33 8372	845 93	08/08/12	531 82	1606	Long-Term
	25 0000	35 8760	896 90	10/08/12	480 85	1545	Long-Term
	25 0000	33 9932	849 83	11/02/12	527 92	1520	Long-Term
	100 0000	33 3624	3,336 24	12/13/12	2,174 76	1479	Long-Term
	25 0000	35 1608	879 02	01/16/13	498 73	1445	Long-Term
	50 0000	38 8880	1,944 40	05/14/13	811 10	1327	Long-Term
	25 0000	49 3072	1,232 68	04/13/16	145 07	262	Short-Term
	50 0000	49 7790	2,488 95	04/19/16	266 55	256	Short-Term
	70 0000	46 3068	3,241 48	09/19/16	616 22	103	Short-Term
	35 0000	44 9357	1,572 75	10/21/16	356 10	71	Short-Term
Cost Basis			*67,734 01*				
Total Equities	20,130.0000		1,548,078.69	100%	423,069.77		21,157 61
		Total Cost Basis:	*1,125,008.92*				

Total Accrued Dividend for Equities: 2,137.01

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Total

Total Investment Detail	**1,554,837.96**
Total Account Value	**1,554,837.96**
Total Cost Basis	***1,125,008.92***

Realized Gain or (Loss)

Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WELLS FARGO BK N A WFC	50 0000	07/25/12	12/09/16	2,837 99	1,657 59	1,180 40
WELLS FARGO BK N A WFC	75 0000	07/25/12	12/13/16	4,177 84	2,486 39	1,691.45
Total Long Term				**7,015.83**	**4,143.98**	**2,871 85**
Total Realized Gain or (Loss)				**7,015.83**	**4,143.98**	**2,871 85**

Schwab has provided accurate realized gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings If all data for a given investment is not available, the investment will not be listed here
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options

Transaction Detail - Purchases & Sales

Equities Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/14/16	12/09/16	Sold	WELLS FARGO BK N A WFC	(50 0000)	56 9400	2,837 99
12/16/16	12/13/16	Bought	UNILEVER N V F ADR UN	100 0000	40 7600	(4,084.95)
12/16/16	12/13/16	Sold	WELLS FARGO BK N A WFC	(75 0000)	55 8250	4,177 84

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
LYRICAL US VALUE EQTY FD ◊ INST SYMBOL LYRIX	73,848 6240	16.4200	1,212,594 41	8%	14 98	1,106,417 56	106,176 85
MATTHEWS ASIA DIVIDEND ◊ FUND INSTL SYMBOL MIPIX	75,128 2500	15 5200	1,165,990 44	8%	15 11	1,135,133 20	30,857 24
VANGUARD FTSE ALL WORLD ◊ EX US INDEX ADM SYMBOL VFWAX	18,807 9520	27 4500	516,278 28	3%	28 41	534,366 69	(18,088 41)
Total Equity Funds	167,784.8260		2,894,863.13	19%		[illegible]	[illegible]
Total Mutual Funds	167,784.8260		2,894,863.13	19%		[illegible]	[illegible]

Investment Detail - Other Assets

Other Assets	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ISHARES MSCI EAFE ETF ◊	**20,085.5213**	**57.7300**	**1,159,537.14**	**8%**	**24,742 09**	**2.06%**	**23,948 89**
SYMBOL EFA	622 0220	55 8145 r	34,717 87		1,191 46		Short-Term
	1,533 6629	63 9877 r	98,135 61		(9,597 25)		Long-Term
	3,800 0000	45 2500	171,950 00 '	01/06/09	47,424 00	2916	Long-Term
	365 0000	54 6435	19,944 88	09/18/12	1,126 57	1565	Long-Term
	2,976 0000	58 8220	175,054 29	03/26/13	(3,249 81)	1376	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
ISHARES MSCI EAFE ETF ◊	10,705 0000	58 8408	629,891 15	03/26/13	(11,891 50)	1376	Long-Term
	58 8695	58 2114	3,426 88	07/08/13	(28 34)	1272	Long-Term
	24 9669	67 0635	1,674 37	12/31/13	(233 03)	1096	Long-Term
Cost Basis			*1,134,795 05*				
ISHARES RUSSELL 2000 ◊	**16,021.2970**	**134.8500**	**2,160,471.90**	**14%**	**670,811.50**	**1.66%**	**36,077.14**
ETF	310 2086	120 0029 r	37,225 94		4,605 69		Short-Term
SYMBOL IWM	429 0884	114 3988 r	49,087 21		8,775 36		Long-Term
	2,420 0000	51 2900	124,121 80 t	01/06/09	202,215 20	2916	Long-Term
	1,690 0000	70 8232	119,691 37 t	11/17/10	108,205 13	2236	Long-Term
	100 0000	93 9206	9,392 06	03/26/13	4,092 94	1376	Long-Term
	100 0000	93 9206	9,392 06	03/26/13	4,092 94	1376	Long-Term
	100 0000	93 9212	9,392 12	03/26/13	4,092 88	1376	Long-Term
	200 0000	93 9213	18,784 26	03/26/13	8,185 74	1376	Long-Term
	200 0000	93 9213	18,784 26	03/26/13	8,185 74	1376	Long-Term
	216 0000	93 9205	20,286 84	03/26/13	8,840 76	1376	Long-Term
	321 0000	93 9205	30,148 51	03/26/13	13,138 34	1376	Long-Term
	679 0000	93 9205	63,772 08	03/26/13	27,791 07	1376	Long-Term
	900 0000	93 9205	84,528 53	03/26/13	36,836 47	1376	Long-Term
	1,000 0000	93 9205	93,920 59	03/26/13	40,929 41	1376	Long-Term
	1,824 0000	93 9205	171,311 15	03/26/13	74,655 25	1376	Long-Term
	100 0000	113 8506	11,385 06	10/28/14	2,099 94	795	Long-Term
	5,432 0000	113 8506	618,436 56	10/28/14	114,068 64	795	Long-Term
Cost Basis			*1,489,660 40*				

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SPDR S&P MIDCAP 400 ETF ◊	**4,106.6073**	**301.7300**	**1,239,086.62**	**8%**	**456,961.43**	**1 47%**	**18,274.40**
SYMBOL MDY	58 3176	263 8486[r]	15,387 02		2,209 15		Short-Term
	106 2897	253 3522[r]	26,928 73		5,142 06		Long-Term
	835 0000	101 5600	84,802 60[l]	01/06/09	167,141 95	2916	Long-Term
	990 0000	151 8970	150,378 07[l]	11/17/10	148,334 63	2236	Long-Term
	866 0000	207 5983	179,780 16	03/26/13	81,518 02	1376	Long-Term
	1,251 0000	259 6711	324,848 61	08/22/14	52,615 62	862	Long-Term
Cost Basis			*782,125 19*				***Accrued Dividend: 4,783.05***
SPDR S&P 500 ETF ◊	**23,744.9698**	**223.5300**	**5,307,713.10**	**35%**	**1,388,511.78**	**1.93%**	**102,774.69**
SYMBOL SPY	357 0390	207 0622[r]	73,929 30		5,879 63		Short-Term
	640 4030	193 8536[r]	124,144 49		19,004 79		Long-Term
	3,060 0000	93 4600	285,987 60[l]	01/06/09	398,014 20	2916	Long-Term
	3,780 0000	105 0218	396,982 66[l]	09/24/09	447,960 74	2655	Long-Term
	2,180 0000	155 8931	339,846 97	03/26/13	147,448 43	1376	Long-Term
	200 0000	161 9880	32,397 61	07/02/13	12,308 39	1278	Long-Term
	200 0000	161 9880	32,397 61	07/02/13	12,308 39	1278	Long-Term
	287 0000	161 9880	46,490 57	07/02/13	17,662 54	1278	Long-Term
	300 0000	161 9881	48,596 43	07/02/13	18,462 57	1278	Long-Term
	3,699 0000	162 1614	599,835 09	07/02/13	227,002 38	1278	Long-Term
	17 5916	176 1965	3,099 58	11/01/13	832 67	1156	Long-Term
	20 5657	177 1488	3,643 19	02/03/14	953 86	1062	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SPDR S&P 500 ETF ◊	16 3705	188 2471	3,081 70	05/01/14	577 60	975	Long-Term
	100 0000	215 0858	21,508 58	07/13/16	844 42	171	Short-Term
	4,548 0000	215 0858	978,210 34	07/13/16	38,404 10	171	Short-Term
	4,339 0000	214 1160	929,049 60	09/14/16	40,847 07	108	Short-Term
Cost Basis			*3,919,201 32*			*Accrued Dividend: 31,555.40*	
Total Other Assets	**63,958.3954**		**9,866,808.76**	**65%**	**2,541,026.80**		**181,075.12**
		Total Cost Basis:	***7,325,781.96***				

Total Accrued Dividend for Other Assets 36,338.45

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Total Investment Detail	**15,179,644.62**

Total Account Value	**15,179,644.62**
Total Cost Basis	***10,102,269.41***

Realized Gain or (Loss)

Long Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
C S S INDUSTRIES INC CSS	5,308 0000	01/01/00	12/15/16	152,265 23	398 10 [a]	151,867 13
C S S INDUSTRIES INC CSS	2,743 0000	01/01/00	12/16/16	78,184 60	205 73 [a]	77,978 87
C S S INDUSTRIES INC CSS	1,449 0000	01/01/00	12/19/16	41,286 65	108 67 [a]	41,177 98

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement