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Extended to November 15, 2017

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

**2016**

Open to Public Inspection

Form **990-PF**Department of the Treasury  
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

A Employer identification number

**Nabers Charitable Foundation****63-1189714**

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number

**800 Lakeshore Drive, Brooks Hall 227****205-726-4336**

City or town, state or province, country, and ZIP or foreign postal code

C If exemption application is pending, check here ☐**Birmingham, AL 35229**

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method. ☒ Cash ☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col (c), line 16)

☐ Other (specify) \_\_\_\_\_\$ **16,219,097.** (Part I, column (d) must be on cash basis)

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                     | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                            | 1 Contributions, gifts, grants, etc., received                                      | 53,000.                            |                           | N/A                     |                                                             |
|                                                                                                                                                    | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 4 Dividends and interest from securities                                            | 369,732.                           | 369,732.                  |                         | Statement 1                                                 |
|                                                                                                                                                    | 5a Gross rents                                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Net rental income or (loss)                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                            | 475,364.                           |                           |                         |                                                             |
|                                                                                                                                                    | b Gross sales price for all assets on line 6a                                       | 11,712,056.                        |                           |                         |                                                             |
|                                                                                                                                                    | 7 Capital gain net income (from Part IV line 2)                                     |                                    | 475,364.                  |                         |                                                             |
|                                                                                                                                                    | 8 Net short-term capital gain                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 9 Income modifications                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 10a Gross sales less returns and allowances                                         |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |                                                                                     |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |                                                                                     |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    | -62,258.                                                                            | 3,414.                             |                           | Statement 2             |                                                             |
| 12 Total Add lines 1 through 11                                                                                                                    | 835,838.                                                                            | 848,510.                           |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                              | 13 Compensation of officers, directors, trustees, etc                               | 0.                                 | 0.                        |                         | 0.                                                          |
|                                                                                                                                                    | 14 Other employee salaries and wages                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 15 Pension plans, employee benefits                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 16a Legal fees                                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Accounting fees Stmt 3                                                            | 10,075.                            | 10,075.                   |                         | 0.                                                          |
|                                                                                                                                                    | c Other professional fees Stmt 4                                                    | 44,566.                            | 44,566.                   |                         | 0.                                                          |
|                                                                                                                                                    | 17 Interest                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 18 Taxes Stmt 5                                                                     | 20,088.                            | 88.                       |                         | 0.                                                          |
|                                                                                                                                                    | 19 Depreciation and depletion                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 20 Occupancy                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 21 Travel, conferences, and meetings                                                | 13,427.                            | 0.                        |                         | 13,427.                                                     |
|                                                                                                                                                    | 22 Printing and publications                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 23 Other expenses Stmt 6                                                            | 200.                               | 200.                      |                         | 0.                                                          |
|                                                                                                                                                    | 24 Total operating and administrative expenses Add lines 13 through 23              | 88,356.                            | 54,929.                   |                         | 13,427.                                                     |
|                                                                                                                                                    | 25 Contributions, gifts, grants paid                                                | 837,906.                           |                           |                         | 837,906.                                                    |
| 26 Total expenses and disbursements Add lines 24 and 25                                                                                            | 926,262.                                                                            | 54,929.                            |                           | 851,333.                |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                  |                                                                                     |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                | -90,424.                                                                            |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                                                                     | 793,581.                           |                           |                         |                                                             |
| c Adjusted net income (if negative enter -0-)                                                                                                      |                                                                                     |                                    | N/A                       |                         |                                                             |

987

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SCANNED AUG 30 2017

| Part II Balance Sheets      |                                                                                                                             | Attached schedules and amounts in the description column should be for end-of-year amounts only |                |                       |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                             |                                                                                                                             | Beginning of year                                                                               | End of year    |                       |
|                             |                                                                                                                             | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1 Cash - non-interest-bearing                                                                                               | 111,535.                                                                                        | 1,421,695.     | 1,421,695.            |
|                             | 2 Savings and temporary cash investments                                                                                    |                                                                                                 |                |                       |
|                             | 3 Accounts receivable ▶ 33,372.                                                                                             |                                                                                                 |                |                       |
|                             | Less: allowance for doubtful accounts ▶                                                                                     | 11,782.                                                                                         | 33,372.        | 33,372.               |
|                             | 4 Pledges receivable ▶                                                                                                      |                                                                                                 |                |                       |
|                             | Less: allowance for doubtful accounts ▶                                                                                     |                                                                                                 |                |                       |
|                             | 5 Grants receivable                                                                                                         |                                                                                                 |                |                       |
|                             | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                        |                                                                                                 |                |                       |
|                             | 7 Other notes and loans receivable ▶                                                                                        |                                                                                                 |                |                       |
|                             | Less: allowance for doubtful accounts ▶                                                                                     |                                                                                                 |                |                       |
|                             | 8 Inventories for sale or use                                                                                               |                                                                                                 |                |                       |
|                             | 9 Prepaid expenses and deferred charges                                                                                     |                                                                                                 |                |                       |
|                             | 10a Investments - U.S. and state government obligations                                                                     |                                                                                                 |                |                       |
|                             | b Investments - corporate stock Stmt 7                                                                                      | 11,656,198.                                                                                     | 11,418,427.    | 11,896,355.           |
|                             | c Investments - corporate bonds Stmt 8                                                                                      | 2,073,832.                                                                                      | 1,596,376.     | 1,760,787.            |
|                             | 11 Investments - land, buildings, and equipment basis ▶                                                                     |                                                                                                 |                |                       |
| Liabilities                 | Less: accumulated depreciation ▶                                                                                            |                                                                                                 |                |                       |
|                             | 12 Investments - mortgage loans                                                                                             |                                                                                                 |                |                       |
|                             | 13 Investments - other Stmt 9                                                                                               | 554,055.                                                                                        | 158,733.       | 158,733.              |
|                             | 14 Land, buildings, and equipment: basis ▶                                                                                  |                                                                                                 |                |                       |
|                             | Less: accumulated depreciation ▶                                                                                            |                                                                                                 |                |                       |
|                             | 15 Other assets (describe ▶ Partnership Investm)                                                                            | 1,029,942.                                                                                      | 718,317.       | 948,155.              |
|                             | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                            | 15,437,344.                                                                                     | 15,346,920.    | 16,219,097.           |
|                             | 17 Accounts payable and accrued expenses                                                                                    |                                                                                                 |                |                       |
|                             | 18 Grants payable                                                                                                           |                                                                                                 |                |                       |
|                             | 19 Deferred revenue                                                                                                         |                                                                                                 |                |                       |
|                             | 20 Loans from officers, directors, trustees, and other disqualified persons                                                 |                                                                                                 |                |                       |
|                             | 21 Mortgages and other notes payable                                                                                        |                                                                                                 |                |                       |
|                             | 22 Other liabilities (describe ▶)                                                                                           |                                                                                                 |                |                       |
|                             | 23 Total liabilities (add lines 17 through 22)                                                                              | 0.                                                                                              | 0.             |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                 |                |                       |
|                             | 24 Unrestricted                                                                                                             |                                                                                                 |                |                       |
|                             | 25 Temporarily restricted                                                                                                   |                                                                                                 |                |                       |
|                             | 26 Permanently restricted                                                                                                   |                                                                                                 |                |                       |
|                             | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.   |                                                                                                 |                |                       |
|                             | 27 Capital stock, trust principal, or current funds                                                                         | 0.                                                                                              | 0.             |                       |
|                             | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                           | 0.                                                                                              | 0.             |                       |
|                             | 29 Retained earnings, accumulated income, endowment, or other funds                                                         | 15,437,344.                                                                                     | 15,346,920.    |                       |
|                             | 30 Total net assets or fund balances                                                                                        | 15,437,344.                                                                                     | 15,346,920.    |                       |
|                             | 31 Total liabilities and net assets/fund balances                                                                           | 15,437,344.                                                                                     | 15,346,920.    |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 15,437,344. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | -90,424.    |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.          |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 15,346,920. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 15,346,920. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

See Attached Statement

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 |                                                  |                                      |                                  |
| b                                                                                                                                  |                                                  |                                      |                                  |
| c                                                                                                                                  |                                                  |                                      |                                  |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     | 11,712,056.                                | 11,236,692.                                     | 475,364.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 |                                                                                                 |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | 475,364.                                                                                        |

|                                                                                                                                                                                 |   |          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss)<br>{ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                          | 2 | 475,364. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | 3 | N/A      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2015                                                              | 962,540.                              | 15,328,665.                               | .062793                                                  |
| 2014                                                              | 744,619.                              | 15,181,115.                               | .049049                                                  |
| 2013                                                              | 722,001.                              | 13,057,335.                               | .055295                                                  |
| 2012                                                              | 577,666.                              | 12,090,457.                               | .047779                                                  |
| 2011                                                              | 575,541.                              | 10,929,442.                               | .052660                                                  |

|                                                                                                                                                                                                                         |   |             |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                           | 2 | .267576     |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          | 3 | .053515     |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                                                          | 4 | 14,744,777. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             | 5 | 789,067.    |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | 6 | 7,936.      |
| 7 Add lines 5 and 6                                                                                                                                                                                                     | 7 | 797,003.    |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | 8 | 851,333.    |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)****1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

**b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**5** **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-**6** Credits/Payments:**a** 2016 estimated tax payments and 2015 overpayment credited to 2016**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached**9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed****10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid****11** Enter the amount of line 10 to be: **Credited to 2017 estimated tax**

21,493. Refunded

|    |         |
|----|---------|
| 6a | 29,429. |
| 6b |         |
| 6c |         |
| 6d |         |

|    |         |
|----|---------|
| 1  | 7,936.  |
| 2  | 0.      |
| 3  | 7,936.  |
| 4  | 0.      |
| 5  | 7,936.  |
| 7  | 29,429. |
| 8  |         |
| 9  |         |
| 10 | 21,493. |
| 11 | 0.      |

**Part VII-A Statements Regarding Activities****1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

**c** Did the foundation file **Form 1120-POL** for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

**3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on **Form 990-T** for this year?**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

**6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

**7** Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV**8a** Enter the states to which the foundation reports or with which it is registered (see instructions) AL**b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

|    | Yes | No |
|----|-----|----|
| 1a |     | X  |
| 1b |     | X  |
| 1c |     | X  |
| 2  |     | X  |
| 3  |     | X  |
| 4a | X   |    |
| 4b | X   |    |
| 5  |     | X  |
| 6  | X   |    |
| 7  | X   |    |
| 8b | X   |    |
| 9  |     | X  |
| 10 |     | X  |

**Part VII-A** Statements Regarding Activities (continued)

|                                                                                                                                                                                              | Yes           | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   |               | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | X             |    |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | X             |    |
| Website address <b>N/A</b>                                                                                                                                                                   |               |    |
| 14 The books are in care of <b>Drayton Nabers, Jr.</b> Telephone no. <b>205-726-4336</b>                                                                                                     |               |    |
| Located at <b>800 Lakeshore Drive, Brooks Hall 227, Birmingham,</b> ZIP+4 <b>35229</b>                                                                                                       |               |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year       | 15 <b>N/A</b> |    |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | Yes           | No |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country                                                             | 16            | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                         | N/A                                                                 |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                         | 1b                                                                  |    |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  | 1c                                                                  | X  |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If "Yes," list the years                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                       | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.                                                                                                                                                                                                                                                                                                                                                                                | 2b                                                                  |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 3b                                                                  |    |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                               | 4a                                                                  | X  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 4b                                                                  | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                                                                   | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Drayton Nabers, Jr<br>800 Lakeshore Drive Brooks Hall 227<br>Birmingham, AL 35229      | President/Director<br>2.00                                | 0.                                        | 0.                                                                    | 0.                                    |
| Fairfax Smathers Nabers<br>800 Lakeshore Drive Brooks Hall 227<br>Birmingham, AL 35229 | Secretary/Trustee<br>1.00                                 | 0.                                        | 0.                                                                    | 0.                                    |
| Mary Nabers Doyle<br>800 Lakeshore Drive Brooks Hall 227<br>Birmingham, AL 35229       | Treasurer/Trustee<br>1.00                                 | 0.                                        | 0.                                                                    | 0.                                    |
|                                                                                        |                                                           |                                           |                                                                       |                                       |
|                                                                                        |                                                           |                                           |                                                                       |                                       |
|                                                                                        |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

(continued)

## 0

0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |             |
|---|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a | Average monthly fair market value of securities                                                             | 1a | 12,595,611. |
| b | Average of monthly cash balances                                                                            | 1b | 2,373,706.  |
| c | Fair market value of all other assets                                                                       | 1c |             |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 14,969,317. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 14,969,317. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 224,540.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 14,744,777. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 737,239.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 737,239. |
| 2a | Tax on investment income for 2016 from Part VI, line 5                                                    | 2a | 7,936.   |
| b  | Income tax for 2016. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 7,936.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 729,303. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 729,303. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 729,303. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 851,333. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 851,333. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 7,936.   |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 843,397. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 729,303.    |
| 2 Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| a Enter amount for 2015 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| a From 2011                                                                                                                                                                | 30,928.       |                            |             |             |
| b From 2012                                                                                                                                                                | 11,389.       |                            |             |             |
| c From 2013                                                                                                                                                                | 83,998.       |                            |             |             |
| d From 2014                                                                                                                                                                | 33,152.       |                            |             |             |
| e From 2015                                                                                                                                                                | 225,789.      |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 385,256.      |                            |             |             |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$                                                                                                            | 851,333.      |                            |             |             |
| a Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 729,303.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 122,030.      |                            |             |             |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 507,286.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 30,928.       |                            |             |             |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 476,358.      |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2012                                                                                                                                                         | 11,389.       |                            |             |             |
| b Excess from 2013                                                                                                                                                         | 83,998.       |                            |             |             |
| c Excess from 2014                                                                                                                                                         | 33,152.       |                            |             |             |
| d Excess from 2015                                                                                                                                                         | 225,789.      |                            |             |             |
| e Excess from 2016                                                                                                                                                         | 122,030.      |                            |             |             |

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:  
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(p)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Drayton Nabers, Jr

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                           | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business)                                                 |                                                                                                           |                                |                                  |          |
| a Paid during the year                                                              |                                                                                                           |                                |                                  |          |
| Cornerstone School<br>118 55th Street North<br>Birmingham, AL 35212                 | None                                                                                                      | PC                             | For General Support              | 198,054. |
| Mustard Seed Project (Shyria Diocese)<br>1305 Merchant Street<br>Ambridge, PA 15003 | None                                                                                                      | PC                             | For General Support              | 78,952.  |
| St. Anne's Episcopal Schools<br>211 Silver Lakes Road<br>Middletown, DE 19709       | None                                                                                                      | PC                             | For General Support              | 3,000.   |
| National Christian Foundation<br>4401 W. Kennedy Blvd<br>Tampa, FL 33609            | None                                                                                                      | PC                             | For General Support              | 550,000. |
| WBHM<br>650 11th Street South<br>Birmingham, AL 35223                               | None                                                                                                      | PC                             | For General Support              | 500.     |
| Total                                                                               |                                                                                                           |                                | See continuation sheet(s) ▶ 3a   | 837,906. |
| b Approved for future payment                                                       |                                                                                                           |                                |                                  |          |
| None                                                                                |                                                                                                           |                                |                                  |          |
|                                                                                     |                                                                                                           |                                |                                  |          |
|                                                                                     |                                                                                                           |                                |                                  |          |
| Total                                                                               |                                                                                                           |                                | ▶ 3b                             | 0.       |





63-1189714

**3 Grants and Contributions Paid During the Year (Continuation)**

623631  
04-01-16

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

Nabers Charitable Foundation

Employer identification number

63-1189714

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

**Nabers Charitable Foundation****63-1189714****Part I****Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                        | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|----------------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <u>1</u>   | <u>Drayton Nabers, Jr.</u><br><u>800 Lakeshore Drive, Brooks Hall 227</u><br><u>Birmingham, AL 35229</u> | \$ <u>50,000.</u>          | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                                                                                          | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

Employer identification number

63-1189714

## Part II

[illegible]

Name of organization

Employer identification number

**Nabers Charitable Foundation****63-1189714****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------|-----------------------------------------|-----------------|------------------------------------------|
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     | (e) Transfer of gift                    |                 |                                          |
|                     | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     | (e) Transfer of gift                    |                 |                                          |
|                     | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     | (e) Transfer of gift                    |                 |                                          |
|                     | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     | (e) Transfer of gift                    |                 |                                          |
|                     | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     | (e) Transfer of gift                    |                 |                                          |
|                     | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |
|                     |                                         |                 |                                          |

## Nabers Charitable Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. |                                     | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                | NBC Securities #7607 (see attached) | P                                                | 01/01/16                             | 12/31/16                         |
| b                                                                                                                                 | NBC Securities #7607 (see attached) | P                                                | 01/01/15                             | 12/31/16                         |
| c                                                                                                                                 | NBC Securities #6481 (see attached) | P                                                | 01/01/16                             | 12/31/16                         |
| d                                                                                                                                 | NBC Securities #6481 (see attached) | P                                                | 01/01/15                             | 12/31/16                         |
| e                                                                                                                                 | NBC Securities #1910 (see attached) | P                                                | 01/01/16                             | 12/31/16                         |
| f                                                                                                                                 | NBC Securities #1910 (see attached) | P                                                | 01/01/15                             | 12/31/16                         |
| g                                                                                                                                 | NBC Securities #9467 (see attached) | P                                                | 01/01/15                             | 12/31/16                         |
| h                                                                                                                                 | NBC Securities #9955 (see attached) | P                                                | 01/01/16                             | 12/31/16                         |
| i                                                                                                                                 | NBC Securities #9955 (see attached) | P                                                | 01/01/15                             | 12/31/16                         |
| j                                                                                                                                 | PTP Sales Adjustment                | P                                                | 01/01/15                             | 12/31/16                         |
| k                                                                                                                                 | PTP Sales Adjustment                | P                                                | 01/01/14                             | 12/31/16                         |
| l                                                                                                                                 | NBC Securities #9467 (see attached) | P                                                | 01/01/16                             | 12/31/16                         |
| m                                                                                                                                 | Fidelity #4850 (see attached)       | P                                                | 01/01/16                             | 12/31/16                         |
| n                                                                                                                                 | Fidelity #3728 (see attached)       | P                                                | 01/01/16                             | 12/31/16                         |
| o                                                                                                                                 | Capital Gains Dividends             |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 51,658.             |                                            | 104,764.                                        | -53,106.                                     |
| b 30,872.             |                                            | 75,213.                                         | -44,341.                                     |
| c 408,331.            |                                            | 391,467.                                        | 16,864.                                      |
| d 1,296,032.          |                                            | 1,140,904.                                      | 155,128.                                     |
| e 1,560,696.          |                                            | 1,740,290.                                      | -179,594.                                    |
| f 140,561.            |                                            | 130,861.                                        | 9,700.                                       |
| g 1,440,949.          |                                            | 1,282,614.                                      | 158,335.                                     |
| h 504,441.            |                                            | 526,914.                                        | -22,473.                                     |
| i 3,790,505.          |                                            | 3,480,665.                                      | 309,840.                                     |
| j 8,242.              |                                            |                                                 | 8,242.                                       |
| k 9,858.              |                                            |                                                 | 9,858.                                       |
| l 2,187,150.          |                                            | 2,153,709.                                      | 33,441.                                      |
| m 180,523.            |                                            | 184,510.                                        | -3,987.                                      |
| n 27,366.             |                                            | 24,781.                                         | 2,585.                                       |
| o 74,872.             |                                            |                                                 | 74,872.                                      |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | -53,106.                                                                                                |
| b                         |                                      |                                                 | -44,341.                                                                                                |
| c                         |                                      |                                                 | 16,864.                                                                                                 |
| d                         |                                      |                                                 | 155,128.                                                                                                |
| e                         |                                      |                                                 | -179,594.                                                                                               |
| f                         |                                      |                                                 | 9,700.                                                                                                  |
| g                         |                                      |                                                 | 158,335.                                                                                                |
| h                         |                                      |                                                 | -22,473.                                                                                                |
| i                         |                                      |                                                 | 309,840.                                                                                                |
| j                         |                                      |                                                 | 8,242.                                                                                                  |
| k                         |                                      |                                                 | 9,858.                                                                                                  |
| l                         |                                      |                                                 | 33,441.                                                                                                 |
| m                         |                                      |                                                 | -3,987.                                                                                                 |
| n                         |                                      |                                                 | 2,585.                                                                                                  |
| o                         |                                      |                                                 | 74,872.                                                                                                 |

|                                                                                                                                                                                     |   |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | 475,364. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A      |

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### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

| QUANTITY | DESCRIPTION                                                                                                           | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 300.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 09/23/15     | 02/05/16      | 2,120.99        | 7,151.97    | -5,030.98             |
| 300.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 09/28/15     | 02/05/16      | 2,120.99        | 6,146.32    | -4,025.33             |
| 180.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 08/07/15     | 02/05/16      | 1,272.59        | 5,052.49    | -3,779.90             |
| 200.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 11/04/15     | 02/05/16      | 1,413.99        | 4,199.79    | -2,785.80             |
| 200.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 10/26/15     | 02/05/16      | 1,413.99        | 4,059.98    | -2,645.99             |
| 100.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 12/02/15     | 02/05/16      | 707.00          | 1,824.96    | -1,117.96             |
| 66.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                       | <input checked="" type="checkbox"/> | 08/07/15     | 05/17/16      | 1,465.23        | 1,818.30    | -353.07               |
| 200.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                       | <input checked="" type="checkbox"/> | 08/07/15     | 05/20/16      | 4,429.51        | 5,508.20    | -1,078.69             |
| 47.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                       | <input checked="" type="checkbox"/> | 08/07/15     | 05/20/16      | 1,040.93        | 1,294.85    | -253.92               |
| 327.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                       | <input checked="" type="checkbox"/> | 08/07/15     | 05/26/16      | 7,148.71        | 9,008.85    | -1,860.14             |
| 300.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                       | <input checked="" type="checkbox"/> | 11/20/15     | 05/26/16      | 6,558.45        | 7,610.57    | -1,052.12             |

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### Schedule of Realized Gains and Losses (con't)

#### Short-Term (con't)

| QUANTITY                   | DESCRIPTION                                                                 | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS  | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------------------------|-----------------------------------------------------------------------------|-------------------------------------|--------------|---------------|------------------|-------------|-----------------------|
| 200.000                    | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105             | <input checked="" type="checkbox"/> | 09/28/15     | 05/26/16      | 4,372.30         | 4,871.20    | -498.90               |
| 0.886                      | SEMGROUP CORP<br>CLASS A<br>SYMBOL: SEMG, CUSIP: 81663A105                  | <input type="checkbox"/>            | 09/29/16     | 10/04/16      | 30.93            | 29.77       | 1.16                  |
| 15.088                     | SEMGROUP CORP<br>CLASS A<br>SYMBOL: SEMG, CUSIP: 81663A105                  | <input type="checkbox"/>            | 09/29/16     | 10/21/16      | 522.04           | 506.66      | 15.38                 |
| 34.912                     | SEMGROUP CORP<br>CLASS A<br>SYMBOL: SEMG, CUSIP: 81663A105                  | <input type="checkbox"/>            | 09/29/16     | 10/21/16      | 1,207.93         | 1,172.34    | 35.59                 |
| 0.180                      | TARGA RESOURCES CORP<br>SYMBOL: TRGP, CUSIP: 87612G101                      | <input type="checkbox"/>            | 02/17/16     | 03/03/16      | 4.03             | 3.11        | 0.92                  |
| 400.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 08/07/15     | 02/17/16      | 4,278.00         | 12,759.20   | -8,481.20             |
| 380.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 08/07/15     | 02/17/16      | 4,064.10         | 12,141.76   | -8,077.66             |
| 300.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 10/08/15     | 02/17/16      | 3,208.50         | 9,418.50    | -6,210.00             |
| 200.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 12/02/15     | 02/17/16      | 2,139.00         | 4,355.60    | -2,216.60             |
| 100.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 11/04/15     | 02/17/16      | 1,069.50         | 2,949.80    | -1,880.30             |
| 100.000                    | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 08/21/15     | 02/17/16      | 1,069.50         | 2,880.00    | -1,810.50             |
| <b>Short-Term Subtotal</b> |                                                                             |                                     |              |               | <b>51,658.21</b> |             | <b>-53,106.01</b>     |

#### Long-Term

| QUANTITY | DESCRIPTION                                                                                                           | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 100.000  | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL: ETE, CUSIP: 29273V100 | <input checked="" type="checkbox"/> | 01/06/15     | 02/05/16      | 707.00          | 2,571.98    | -1,864.98             |
| 80.000   | ENERGY TRANSFER EQUITY L P                                                                                            | <input checked="" type="checkbox"/> | 07/30/14     | 02/05/16      | 565.60          | 2,277.85    | -1,712.25             |

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**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                                                                         | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|          | COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL ETE, CUSIP 29273V100                               |                                     |              |               |                 |             |                       |
| 60.000   | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL ETE, CUSIP 29273V100 | <input checked="" type="checkbox"/> | 11/04/14     | 02/05/16      | 424.20          | 1,717.20    | -1,293.00             |
| 86.000   | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL ETE, CUSIP 29273V100 | <input checked="" type="checkbox"/> | 02/21/14     | 02/05/16      | 608.02          | 1,875.93    | -1,267.91             |
| 20.000   | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL ETE, CUSIP 29273V100 | <input checked="" type="checkbox"/> | 07/30/14     | 02/05/16      | 141.40          | 569.46      | -428.06               |
| 26.000   | ENERGY TRANSFER EQUITY L P<br>COMMON UNITS REPRESENTING<br>LIMITED PARTNER INTERESTS<br>SYMBOL ETE, CUSIP 29273V100 | <input checked="" type="checkbox"/> | 02/21/14     | 02/05/16      | 183.82          | 567.14      | -383.32               |
| 100.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 05/06/14     | 04/28/16      | 2,271.89        | 4,196.66    | -1,924.77             |
| 53.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 06/24/14     | 04/28/16      | 1,204.10        | 2,209.04    | -1,004.93             |
| 20.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 05/22/13     | 04/28/16      | 454.38          | 922.60      | -468.22               |
| 26.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 03/20/13     | 04/28/16      | 590.69          | 945.88      | -355.19               |
| 14.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 05/29/13     | 04/28/16      | 318.06          | 610.61      | -292.55               |
| 8.000    | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 12/11/13     | 04/28/16      | 181.75          | 337.06      | -155.31               |
| 197.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 06/24/14     | 05/05/16      | 4,471.79        | 8,210.94    | -3,739.15             |
| 28.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 12/12/14     | 05/05/16      | 635.59          | 809.14      | -173.56               |
| 122.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 12/12/14     | 05/13/16      | 2,702.24        | 3,525.56    | -823.32               |
| 100.000  | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 12/19/14     | 05/13/16      | 2,214.95        | 2,867.20    | -652.25               |
| 16.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 05/07/15     | 05/13/16      | 354.39          | 563.84      | -209.45               |
| 43.000   | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL: MMLP, CUSIP: 573331105                                                     | <input checked="" type="checkbox"/> | 05/07/15     | 05/16/16      | 978.22          | 1,515.32    | -537.10               |

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**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY                      | DESCRIPTION                                                                 | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS  | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-------------------------------|-----------------------------------------------------------------------------|-------------------------------------|--------------|---------------|------------------|-------------|-----------------------|
| 241.000                       | MARTIN MIDSTREAM PARTNERS L P<br>SYMBOL MMLP, CUSIP: 573331105              | <input checked="" type="checkbox"/> | 05/07/15     | 05/17/16      | 5,350.33         | 8,492.84    | -3,142.51             |
| 100.000                       | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 08/06/14     | 02/17/16      | 1,069.50         | 6,571.80    | -5,502.30             |
| 150.000                       | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 12/12/14     | 02/17/16      | 1,604.25         | 6,356.18    | -4,751.93             |
| 60.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 11/04/14     | 02/17/16      | 641.70           | 3,488.40    | -2,846.70             |
| 70.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 05/29/13     | 02/17/16      | 748.65           | 3,361.96    | -2,613.31             |
| 70.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 01/05/15     | 02/17/16      | 748.65           | 3,322.76    | -2,574.11             |
| 65.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 03/13/13     | 02/17/16      | 695.18           | 2,804.48    | -2,109.30             |
| 64.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP 87611X105  | <input checked="" type="checkbox"/> | 03/13/13     | 02/17/16      | 684.48           | 2,761.33    | -2,076.85             |
| 30.000                        | TARGA RESOURCES PARTNERS LP<br>UNIT LTD PARTNERSHIP INT<br>CUSIP: 87611X105 | <input checked="" type="checkbox"/> | 11/24/14     | 02/17/16      | 320.85           | 1,760.10    | -1,439.25             |
| <b>Long-Term Subtotal</b>     |                                                                             |                                     |              |               | <b>30,871.68</b> |             | <b>-44,341.58</b>     |
| <b>Total Gains and Losses</b> |                                                                             |                                     |              |               | <b>82,529.89</b> |             | <b>-97,447.59</b>     |
| <b>Short-Term</b>             |                                                                             |                                     |              |               |                  |             | <b>-53,106.01</b>     |
| <b>Long-Term</b>              |                                                                             |                                     |              |               |                  |             | <b>-44,341.58</b>     |
| <b>Term Unknown</b>           |                                                                             |                                     |              |               |                  |             | <b>0.00</b>           |

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients, the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS

#### Short-Term

| QUANTITY | DESCRIPTION                                                               | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 275.000  | ATMOS ENERGY CORP<br>SYMBOL ATO, CUSIP 049560105                          | <input type="checkbox"/> | 01/07/16     | 03/15/16      | 19,669.22       | 17,167.21   | 2,502.02              |
| 250.000  | ATMOS ENERGY CORP<br>SYMBOL ATO, CUSIP 049560105                          | <input type="checkbox"/> | 01/07/16     | 08/29/16      | 18,584.89       | 15,606.55   | 2,978.34              |
| 375.000  | BCE INC<br>COM NEW<br>SYMBOL BCE, CUSIP 05534B760                         | <input type="checkbox"/> | 05/17/16     | 08/29/16      | 17,924.64       | 17,476.09   | 448.55                |
| 7.021    | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP 13057Q107                    | <input type="checkbox"/> | 01/07/16     | 05/17/16      | 11.59           | 7.95        | 3.64                  |
| 25.000   | CHARTER COMMUNICATIONS INC DEL<br>CL A<br>CUSIP 16117M305                 | <input type="checkbox"/> | 02/09/15     | 01/07/16      | 4,357.57        | 4,316.26    | 41.32                 |
| 100.000  | COGENT COMMUNICATIONS HOLDINGS<br>INC<br>SYMBOL CCOI, CUSIP 19239V302     | <input type="checkbox"/> | 01/07/16     | 05/17/16      | 3,822.50        | 3,356.95    | 465.55                |
| 75.000   | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                     | <input type="checkbox"/> | 03/17/15     | 03/15/16      | 1,687.56        | 2,010.74    | -323.18               |
| 700.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                     | <input type="checkbox"/> | 12/08/15     | 05/17/16      | 17,856.67       | 12,838.63   | 5,018.04              |
| 475.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                     | <input type="checkbox"/> | 12/08/15     | 06/20/16      | 12,096.94       | 8,711.93    | 3,385.01              |
| 900.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                     | <input type="checkbox"/> | 01/07/16     | 06/20/16      | 22,920.52       | 16,811.73   | 6,108.79              |
| 575.000  | COMMUNICATIONS SALES & LEASING<br>INC COM<br>SYMBOL CSAL, CUSIP 20341J104 | <input type="checkbox"/> | 05/17/16     | 08/29/16      | 17,410.68       | 13,735.26   | 3,675.42              |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                                           | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 75.000   | CROWN CASTLE INTERNATIONAL<br>CORP<br>SYMBOL: CCI, CUSIP: 22822V101                   | <input type="checkbox"/> | 02/09/15     | 01/07/16      | 6,383.50        | 6,469.91    | -86.41                |
| 400.000  | EDISON INTERNATIONAL<br>SYMBOL: EIX, CUSIP: 281020107                                 | <input type="checkbox"/> | 01/07/16     | 03/15/16      | 28,068.98       | 23,367.56   | 4,701.42              |
| 325.000  | EDISON INTERNATIONAL<br>SYMBOL: EIX, CUSIP: 281020107                                 | <input type="checkbox"/> | 01/07/16     | 08/29/16      | 23,533.41       | 18,986.14   | 4,547.27              |
| 250.000  | ENBRIDGE INC<br>SYMBOL: ENB, CUSIP: 29250N105                                         | <input type="checkbox"/> | 01/16/15     | 01/07/16      | 7,854.96        | 11,568.68   | -3,713.72             |
| 150.000  | ENBRIDGE INC<br>SYMBOL: ENB, CUSIP: 29250N105                                         | <input type="checkbox"/> | 02/09/15     | 01/07/16      | 4,712.97        | 7,388.18    | -2,675.21             |
| 100.000  | ENBRIDGE INC<br>SYMBOL: ENB, CUSIP: 29250N105                                         | <input type="checkbox"/> | 01/21/15     | 01/07/16      | 3,141.98        | 4,837.99    | -1,696.01             |
| 125.000  | EQT CORPORATION<br>SYMBOL: EQT, CUSIP: 26884L109                                      | <input type="checkbox"/> | 01/07/16     | 08/29/16      | 9,031.55        | 6,336.83    | 2,694.73              |
| 300.000  | HESS CORPORATION<br>SYMBOL: HES, CUSIP: 42809H107                                     | <input type="checkbox"/> | 02/09/15     | 01/07/16      | 13,461.86       | 22,254.09   | -8,792.23             |
| 175.000  | HESS CORPORATION<br>SYMBOL: HES, CUSIP: 42809H107                                     | <input type="checkbox"/> | 01/16/15     | 01/07/16      | 7,852.75        | 12,280.63   | -4,427.88             |
| 400.000  | ITC HOLDINGS CORP<br>CUSIP: 465685105                                                 | <input type="checkbox"/> | 03/17/15     | 02/09/16      | 15,514.75       | 14,522.00   | 992.75                |
| 200.000  | LIBERTY GLOBAL PLC<br>CLASS A<br>SYMBOL: LBTYA, CUSIP: G5480U104                      | <input type="checkbox"/> | 03/17/15     | 01/07/16      | 7,919.41        | 10,058.27   | -2,138.86             |
| 250.000  | LIBERTY GLOBAL PLC<br>CLASS A<br>SYMBOL: LBTYA, CUSIP: G5480U104                      | <input type="checkbox"/> | 01/16/15     | 01/07/16      | 9,899.27        | 11,191.72   | -1,292.46             |
| 175.000  | LIBERTY GLOBAL PLC<br>CLASS A<br>SYMBOL: LBTYA, CUSIP: G5480U104                      | <input type="checkbox"/> | 01/21/15     | 01/07/16      | 6,929.49        | 7,794.17    | -864.68               |
| 10.000   | LIBERTY GLOBAL PLC<br>LILAC CLASS A ORDINARY SHARES<br>SYMBOL: LILA, CUSIP: G5480U138 | <input type="checkbox"/> | 03/17/15     | 01/07/16      | 378.41          | 491.63      | -113.22               |
| 12.500   | LIBERTY GLOBAL PLC<br>LILAC CLASS A ORDINARY SHARES<br>SYMBOL: LILA, CUSIP: G5480U138 | <input type="checkbox"/> | 01/16/15     | 01/07/16      | 473.02          | 547.03      | -74.01                |
| 8.750    | LIBERTY GLOBAL PLC<br>LILAC CLASS A ORDINARY SHARES<br>SYMBOL: LILA, CUSIP: G5480U138 | <input type="checkbox"/> | 01/21/15     | 01/07/16      | 331.11          | 380.96      | -49.85                |
| 100.000  | MARATHON PETE CORP<br>COM<br>SYMBOL: MPC, CUSIP: 56585A102                            | <input type="checkbox"/> | 02/22/16     | 03/15/16      | 3,640.32        | 3,322.13    | 318.19                |

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 BIRMINGHAM AL 35229-0001

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### Schedule of Realized Gains and Losses (con't)

#### Short-Term (con't)

| QUANTITY                   | DESCRIPTION                                                     | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS   | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------------------------|-----------------------------------------------------------------|--------------------------|--------------|---------------|-------------------|-------------|-----------------------|
| 550.000                    | MARATHON PETE CORP<br>COM<br>SYMBOL MPC, CUSIP. 56585A102       | <input type="checkbox"/> | 02/22/16     | 08/29/16      | 23,386.65         | 18,271.72   | 5,114.94              |
| 600.000                    | PORTLAND GENERAL ELECTRIC CO<br>SYMBOL POR, CUSIP 736508847     | <input type="checkbox"/> | 05/17/16     | 08/29/16      | 25,313.44         | 24,328.80   | 984.64                |
| 275.000                    | T MOBILE US INC<br>SYMBOL TMUS, CUSIP: 872590104                | <input type="checkbox"/> | 01/07/16     | 03/15/16      | 10,087.88         | 10,984.77   | -896.89               |
| 425.000                    | T MOBILE US INC<br>SYMBOL TMUS, CUSIP 872590104                 | <input type="checkbox"/> | 01/07/16     | 05/17/16      | 17,568.69         | 16,976.46   | 592.24                |
| 325.000                    | T MOBILE US INC<br>SYMBOL TMUS, CUSIP. 872590104                | <input type="checkbox"/> | 01/07/16     | 08/29/16      | 15,209.73         | 12,982.00   | 2,227.74              |
| 125.000                    | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP 907818108               | <input type="checkbox"/> | 01/07/16     | 10/20/16      | 11,697.73         | 9,069.88    | 2,627.85              |
| 300.000                    | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP. 969457100         | <input type="checkbox"/> | 01/21/15     | 01/07/16      | 6,564.21          | 12,901.50   | -6,337.29             |
| 450.000                    | ZAYO GROUP HOLDINGS INC<br>COM<br>SYMBOL ZAYO, CUSIP: 98919V105 | <input type="checkbox"/> | 05/17/16     | 08/29/16      | 13,031.71         | 12,113.91   | 917.80                |
| <b>Short-Term Subtotal</b> |                                                                 |                          |              |               | <b>408,330.56</b> |             | <b>16,864.35</b>      |

#### Long-Term

| QUANTITY | DESCRIPTION                                                         | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 150.000  | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL AMT, CUSIP. 03027X100  | <input type="checkbox"/> | 02/01/13     | 01/07/16      | 14,496.05       | 11,553.98   | 2,942.08              |
| 175.000  | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL AMT, CUSIP: 03027X100  | <input type="checkbox"/> | 02/01/13     | 03/15/16      | 17,156.97       | 13,479.64   | 3,677.33              |
| 175.000  | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL: AMT, CUSIP. 03027X100 | <input type="checkbox"/> | 02/01/13     | 05/17/16      | 18,550.36       | 13,479.64   | 5,070.72              |
| 25.000   | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL: AMT, CUSIP 03027X100  | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 2,847.85        | 2,065.10    | 782.75                |
| 50.000   | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL AMT, CUSIP: 03027X100  | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 5,695.70        | 4,857.00    | 838.70                |
| 25.000   | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL: AMT, CUSIP: 03027X100 | <input type="checkbox"/> | 02/01/13     | 08/29/16      | 2,847.85        | 1,925.66    | 922.19                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                        | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 25.000   | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL: AMT, CUSIP 03027X100 | <input type="checkbox"/> | 08/01/13     | 08/29/16      | 2,847.85        | 1,795.14    | 1,052.71              |
| 50.000   | AMERICAN TOWER CORPORATION<br>REIT<br>SYMBOL: AMT, CUSIP 03027X100 | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 5,695.70        | 4,055.50    | 1,640.20              |
| 25.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 02/06/13     | 01/07/16      | 1,478.76        | 960.27      | 518.49                |
| 300.000  | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 02/01/13     | 01/07/16      | 17,745.09       | 11,546.43   | 6,198.66              |
| 25.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 02/06/13     | 03/15/16      | 1,709.69        | 960.27      | 749.42                |
| 150.000  | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 10,258.17       | 5,949.60    | 4,308.57              |
| 150.000  | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 10,258.17       | 5,932.49    | 4,325.68              |
| 200.000  | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 02/13/13     | 03/15/16      | 13,677.56       | 7,870.80    | 5,806.76              |
| 75.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 03/07/13     | 05/17/16      | 5,527.25        | 2,974.80    | 2,552.45              |
| 50.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 3,761.98        | 2,101.49    | 1,660.50              |
| 50.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 01/08/14     | 08/29/16      | 3,761.98        | 2,076.84    | 1,685.14              |
| 75.000   | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 03/07/13     | 08/29/16      | 5,642.97        | 2,974.80    | 2,668.17              |
| 250.000  | AMERICAN WTR WKS CO INC<br>SYMBOL: AWK, CUSIP 030420103            | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 18,809.91       | 10,378.60   | 8,431.31              |
| 0.256    | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107            | <input type="checkbox"/> | 01/08/14     | 03/24/16      | 0.32            | 0.41        | -0.09                 |
| 2.340    | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107            | <input type="checkbox"/> | 01/13/14     | 05/17/16      | 3.86            | 3.71        | 0.15                  |
| 6.765    | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107            | <input type="checkbox"/> | 01/08/14     | 05/17/16      | 11.16           | 10.87       | 0.29                  |
| 25.745   | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107            | <input type="checkbox"/> | 01/08/14     | 05/17/16      | 42.48           | 41.38       | 1.10                  |

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ACCOUNT NUMBER:  
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# **Schedule of Realized Gains and Losses (con't)**

## **Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                              | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 42.128   | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107                  | <input type="checkbox"/> | 02/09/15     | 05/17/16      | 69.52           | 60.91       | 8.61                  |
| 0.445    | CHARTER COMMUNICATIONS INC<br>NEW CL A<br>SYMBOL: CHTR, CUSIP: 16119P108 | <input type="checkbox"/> | 02/09/15     | 05/23/16      | 102.02          | 84.97       | 17.05                 |
| 100.000  | CHARTER COMMUNICATIONS INC<br>NEW CL A<br>SYMBOL: CHTR, CUSIP: 16119P108 | <input type="checkbox"/> | 02/09/15     | 08/29/16      | 25,237.45       | 19,094.25   | 6,143.20              |
| 100.000  | CHARTER COMMUNICATIONS INC DEL<br>CL A<br>CUSIP: 16117M305               | <input type="checkbox"/> | 02/09/15     | 03/15/16      | 18,404.59       | 17,265.02   | 1,139.57              |
| 250.000  | CHEVRON CORPORATION<br>SYMBOL: CVX, CUSIP: 166764100                     | <input type="checkbox"/> | 02/09/15     | 03/15/16      | 23,106.59       | 27,728.68   | -4,622.09             |
| 275.000  | CHEVRON CORPORATION<br>SYMBOL: CVX, CUSIP: 166764100                     | <input type="checkbox"/> | 02/09/15     | 08/29/16      | 28,013.63       | 30,501.54   | -2,487.91             |
| 500.000  | COGENT COMMUNICATIONS HOLDINGS<br>INC<br>SYMBOL: CCOI, CUSIP: 19239V302  | <input type="checkbox"/> | 02/10/15     | 03/15/16      | 18,553.09       | 18,702.02   | -148.93               |
| 175.000  | COGENT COMMUNICATIONS HOLDINGS<br>INC<br>SYMBOL: CCOI, CUSIP: 19239V302  | <input type="checkbox"/> | 02/10/15     | 05/17/16      | 6,689.37        | 6,545.71    | 143.66                |
| 75.000   | COGENT COMMUNICATIONS HOLDINGS<br>INC<br>SYMBOL: CCOI, CUSIP: 19239V302  | <input type="checkbox"/> | 02/25/15     | 05/17/16      | 2,866.87        | 2,666.20    | 200.67                |
| 625.000  | COGENT COMMUNICATIONS HOLDINGS<br>INC<br>SYMBOL: CCOI, CUSIP: 19239V302  | <input type="checkbox"/> | 02/11/15     | 05/17/16      | 23,890.60       | 23,365.09   | 525.51                |
| 150.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP: 198280109                   | <input type="checkbox"/> | 01/21/15     | 03/15/16      | 3,375.12        | 4,266.17    | -891.05               |
| 100.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP: 198280109                   | <input type="checkbox"/> | 01/16/15     | 03/15/16      | 2,250.08        | 2,801.49    | -551.41               |
| 75.000   | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP: 198280109                   | <input type="checkbox"/> | 01/13/14     | 03/15/16      | 1,687.56        | 1,604.93    | 82.63                 |
| 225.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP: 198280109                   | <input type="checkbox"/> | 04/22/13     | 03/15/16      | 5,062.68        | 4,473.06    | 589.62                |
| 150.000  | COLUMBIA PIPELINE GROUP INC                                              | <input type="checkbox"/> | 05/13/13     | 03/15/16      | 3,375.12        | 2,766.63    | 608.49                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                                                       | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|          | COM<br>CUSIP 198280109                                                                            |                          |              |               |                 |             |                       |
| 225.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                                             | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 5,062.68        | 3,928.11    | 1,134.57              |
| 375.000  | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                                             | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 8,437.80        | 6,734.83    | 1,702.98              |
| 75.000   | COLUMBIA PIPELINE GROUP INC<br>COM<br>CUSIP 198280109                                             | <input type="checkbox"/> | 03/17/15     | 05/17/16      | 1,913.22        | 2,010.74    | -97.52                |
| 75.000   | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 02/06/13     | 01/07/16      | 4,133.39        | 2,922.29    | 1,211.10              |
| 100.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 02/20/13     | 01/07/16      | 5,511.19        | 4,153.50    | 1,357.69              |
| 100.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 02/01/13     | 01/07/16      | 5,511.19        | 3,824.50    | 1,686.69              |
| 150.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 8,821.91        | 6,230.25    | 2,591.66              |
| 250.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 14,703.18       | 10,174.75   | 4,528.43              |
| 200.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 13,154.71       | 11,265.00   | 1,889.71              |
| 250.000  | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101                                           | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 16,443.39       | 10,103.75   | 6,339.64              |
| 150.000  | CROWN CASTLE INTERNATIONAL<br>CORP<br>SYMBOL CCI, CUSIP: 22822V101                                | <input type="checkbox"/> | 02/09/15     | 03/15/16      | 12,832.82       | 12,939.81   | -106.99               |
| 275.000  | CROWN CASTLE INTERNATIONAL<br>CORP<br>SYMBOL CCI, CUSIP: 22822V101                                | <input type="checkbox"/> | 02/09/15     | 08/29/16      | 25,811.59       | 23,676.75   | 2,134.85              |
| 25.000   | DOMINION RESOURCES INC VA NEW<br>SYMBOL: D, CUSIP: 25746U109                                      | <input type="checkbox"/> | 03/03/15     | 03/15/16      | 1,817.81        | 1,765.06    | 52.75                 |
| 200.000  | DOMINION RESOURCES INC VA NEW<br>ORIGINAL COST 14,120.48<br>WASH SALE REPLACEMENT ADDITION 346.72 | <input type="checkbox"/> | 03/03/15     | 03/15/16      | 14,542.48       | 14,467.20   | 75.28                 |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|          | WASH SALE LOSS DISALLOWED 0 00                             |                          |              |               |                 |             |                       |
|          | SYMBOL D, CUSIP 25746U109                                  |                          |              |               |                 |             |                       |
| 375.000  | DOMINION RESOURCES INC VA NEW<br>SYMBOL D, CUSIP 25746U109 | <input type="checkbox"/> | 03/03/15     | 05/17/16      | 26,618.91       | 26,475.90   | 143.01                |
| 100.000  | DOMINION RESOURCES INC VA NEW<br>SYMBOL D, CUSIP 25746U109 | <input type="checkbox"/> | 03/11/15     | 05/17/16      | 7,098.37        | 6,921.13    | 177.24                |
| 25.000   | DTE ENERGY CO<br>SYMBOL DTE, CUSIP 233331107               | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 2,203.15        | 1,621.75    | 581.40                |
| 50.000   | DTE ENERGY CO<br>SYMBOL DTE, CUSIP 233331107               | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 4,406.30        | 3,331.40    | 1,074.90              |
| 200.000  | DTE ENERGY CO<br>SYMBOL: DTE, CUSIP 233331107              | <input type="checkbox"/> | 02/13/13     | 03/15/16      | 17,625.21       | 12,891.98   | 4,733.23              |
| 50.000   | DTE ENERGY CO<br>SYMBOL: DTE, CUSIP 233331107              | <input type="checkbox"/> | 05/23/13     | 08/29/16      | 4,670.50        | 3,439.00    | 1,231.50              |
| 125.000  | DTE ENERGY CO<br>SYMBOL: DTE, CUSIP 233331107              | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 11,676.25       | 9,093.74    | 2,582.51              |
| 125.000  | DTE ENERGY CO<br>SYMBOL: DTE, CUSIP 233331107              | <input type="checkbox"/> | 03/07/13     | 08/29/16      | 11,676.25       | 8,328.50    | 3,347.75              |
| 250.000  | ENBRIDGE INC<br>SYMBOL ENB, CUSIP 29250N105                | <input type="checkbox"/> | 03/07/13     | 01/07/16      | 7,854.96        | 11,132.13   | -3,277.17             |
| 250.000  | ENBRIDGE INC<br>SYMBOL ENB, CUSIP 29250N105                | <input type="checkbox"/> | 04/05/13     | 01/07/16      | 7,854.96        | 11,105.83   | -3,250.87             |
| 125.000  | ENBRIDGE INC<br>SYMBOL ENB, CUSIP 29250N105                | <input type="checkbox"/> | 02/20/13     | 01/07/16      | 3,927.48        | 5,484.99    | -1,557.51             |
| 50.000   | ENBRIDGE INC<br>SYMBOL ENB, CUSIP 29250N105                | <input type="checkbox"/> | 03/18/14     | 01/07/16      | 1,570.99        | 2,236.16    | -665.17               |
| 125.000  | EQT CORPORATION<br>SYMBOL: EQT, CUSIP 26884L109            | <input type="checkbox"/> | 01/16/15     | 03/15/16      | 7,645.83        | 9,398.25    | -1,752.42             |
| 50.000   | EQT CORPORATION<br>SYMBOL: EQT, CUSIP 26884L109            | <input type="checkbox"/> | 01/13/14     | 03/15/16      | 3,058.33        | 4,281.68    | -1,223.35             |
| 150.000  | EQT CORPORATION<br>SYMBOL: EQT, CUSIP 26884L109            | <input type="checkbox"/> | 04/22/13     | 03/15/16      | 9,175.00        | 9,991.49    | -816.49               |
| 125.000  | EQT CORPORATION<br>SYMBOL: EQT, CUSIP 26884L109            | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 7,645.83        | 8,216.24    | -570.41               |
| 25.000   | EQT CORPORATION<br>SYMBOL: EQT, CUSIP 26884L109            | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 1,529.17        | 1,509.25    | 19.92                 |
| 50.000   | EQT CORPORATION<br>SYMBOL EQT, CUSIP 26884L109             | <input type="checkbox"/> | 01/16/15     | 05/17/16      | 3,553.64        | 3,759.30    | -205.66               |
| 100.000  | EQT CORPORATION<br>SYMBOL EQT, CUSIP 26884L109             | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 7,225.24        | 7,665.99    | -440.75               |

NABERS CHARITABLE FOUNDATION  
 PROAM W H REAVES  
 SAMFORD UNIVERSITY  
 800 LAKESHORE DRIVE BROOKS 227  
 BIRMINGHAM AL 35229-0001

ACCOUNT NUMBER:  
 871-16481  
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### Schedule of Realized Gains and Losses (con't)

#### Long-Term (con't)

| QUANTITY | DESCRIPTION                                              | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 50.000   | EQT CORPORATION<br>SYMBOL: EQT, CUSIP: 26884L109         | <input type="checkbox"/> | 02/09/15     | 08/29/16      | 3,612.62        | 3,971.62    | -359.00               |
| 50.000   | EQT CORPORATION<br>SYMBOL: EQT, CUSIP: 26884L109         | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 3,612.62        | 3,759.30    | -146.68               |
| 75.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 02/06/13     | 01/07/16      | 3,836.10        | 3,066.67    | 769.43                |
| 50.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 02/06/13     | 03/15/16      | 2,825.34        | 2,044.45    | 780.89                |
| 75.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 4,238.01        | 3,112.20    | 1,125.81              |
| 125.000  | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 7,063.34        | 5,294.75    | 1,768.59              |
| 200.000  | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 02/13/13     | 03/15/16      | 11,301.35       | 8,235.30    | 3,066.05              |
| 25.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 03/07/13     | 05/17/16      | 1,407.39        | 1,058.95    | 348.44                |
| 50.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 2,717.05        | 2,119.27    | 597.78                |
| 75.000   | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 03/07/13     | 08/29/16      | 4,075.57        | 3,176.85    | 898.72                |
| 100.000  | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 05/24/13     | 08/29/16      | 5,434.09        | 4,255.08    | 1,179.01              |
| 175.000  | EVERSOURCE ENERGY<br>COM<br>SYMBOL: ES, CUSIP: 30040W108 | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 9,509.66        | 7,788.29    | 1,721.37              |
| 275.000  | HESS CORPORATION<br>SYMBOL: HES, CUSIP: 42809H107        | <input type="checkbox"/> | 01/08/14     | 01/07/16      | 12,340.04       | 22,363.80   | -10,023.76            |
| 25.000   | HESS CORPORATION<br>SYMBOL: HES, CUSIP: 42809H107        | <input type="checkbox"/> | 01/13/14     | 01/07/16      | 1,121.82        | 1,984.61    | -862.79               |
| 175.000  | ITC HOLDINGS CORP<br>CUSIP: 465685105                    | <input type="checkbox"/> | 02/13/13     | 01/07/16      | 6,948.00        | 4,759.41    | 2,188.59              |
| 375.000  | ITC HOLDINGS CORP<br>CUSIP: 465685105                    | <input type="checkbox"/> | 02/06/13     | 01/07/16      | 14,888.57       | 10,220.50   | 4,668.07              |

# Schedule of Realized Gains and Losses (con't)

## Long-Term (con't)

| QUANTITY | DESCRIPTION                                                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 200 000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 01/21/15     | 02/09/16      | 7,757.38        | 8,583.90    | -826.52               |
| 100.000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 01/16/15     | 02/09/16      | 3,878.69        | 4,262.50    | -383.81               |
| 150 000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 01/13/14     | 02/09/16      | 5,818.03        | 4,764.58    | 1,053.45              |
| 150.000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 03/07/13     | 02/09/16      | 5,818.03        | 4,272.00    | 1,546.03              |
| 225 000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 04/22/13     | 02/09/16      | 8,727.05        | 6,760.39    | 1,966.66              |
| 425.000  | ITC HOLDINGS CORP<br>CUSIP 465685105                                                   | <input type="checkbox"/> | 02/13/13     | 02/09/16      | 16,484.43       | 11,558.57   | 4,925.86              |
| 250 000  | LIBERTY GLOBAL PLC<br>CLASS A<br>SYMBOL: LBTYA, CUSIP: G5480U104                       | <input type="checkbox"/> | 01/08/14     | 01/07/16      | 9,899.27        | 10,831.29   | -932.02               |
| 250.000  | LIBERTY GLOBAL PLC<br>CLASS C<br>SYMBOL: LBTYK, CUSIP G5480U120                        | <input type="checkbox"/> | 01/08/14     | 01/07/16      | 9,545.17        | 10,444.35   | -899.18               |
| 11 750   | LIBERTY GLOBAL PLC<br>LILAC CLASS A ORDINARY SHARES<br>SYMBOL: LILA, CUSIP: G5480U138  | <input type="checkbox"/> | 01/08/14     | 01/07/16      | 444.64          | 497.64      | -53.00                |
| 12.000   | LIBERTY GLOBAL PLC<br>LILAC CLASS C ORDINARY SHARES<br>SYMBOL: LILAK, CUSIP: G5480U153 | <input type="checkbox"/> | 01/08/14     | 01/07/16      | 477.95          | 506.65      | -28.70                |
| 350.000  | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 07/22/13     | 01/07/16      | 24,264.77       | 20,791.58   | 3,473.19              |
| 25.000   | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 01/13/14     | 03/15/16      | 1,736.26        | 1,591.87    | 144.40                |
| 50 000   | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 07/22/13     | 03/15/16      | 3,472.52        | 2,970.23    | 502.30                |
| 100.000  | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 08/16/13     | 03/15/16      | 6,945.05        | 5,753.33    | 1,191.72              |
| 200.000  | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 14,099.75       | 14,103.98   | -4.23                 |
| 25.000   | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP: 636274300                | <input type="checkbox"/> | 03/17/15     | 08/29/16      | 1,762.47        | 1,601.75    | 160.72                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                             | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 25.000   | NATIONAL GRID PLC NEW<br>SPONSORED ADR<br>SYMBOL: NGG, CUSIP. 636274300 | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 1,762.47        | 1,591.87    | 170.60                |
| 150.000  | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP 65339F101                      | <input type="checkbox"/> | 02/13/13     | 03/15/16      | 17,327.92       | 10,886.99   | 6,440.93              |
| 150.000  | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP 65339F101                      | <input type="checkbox"/> | 02/06/13     | 03/15/16      | 17,327.92       | 10,770.89   | 6,557.03              |
| 50.000   | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP 65339F101                      | <input type="checkbox"/> | 03/07/13     | 05/17/16      | 5,973.89        | 3,701.50    | 2,272.39              |
| 50.000   | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP 65339F101                      | <input type="checkbox"/> | 02/13/13     | 05/17/16      | 5,973.89        | 3,629.00    | 2,344.89              |
| 25.000   | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP. 65339F101                     | <input type="checkbox"/> | 03/07/13     | 08/29/16      | 3,047.09        | 1,850.75    | 1,196.34              |
| 100.000  | NEXTERA ENERGY INC<br>SYMBOL NEE, CUSIP 65339F101                       | <input type="checkbox"/> | 01/08/14     | 08/29/16      | 12,188.36       | 8,516.64    | 3,671.72              |
| 100.000  | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP: 65339F101                     | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 12,188.36       | 7,981.99    | 4,206.37              |
| 125.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP 65473P105                      | <input type="checkbox"/> | 02/20/13     | 01/07/16      | 2,440.04        | 1,222.22    | 1,217.82              |
| 50.000   | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP 65473P105                      | <input type="checkbox"/> | 01/16/15     | 03/15/16      | 1,129.67        | 784.50      | 345.17                |
| 75.000   | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP 65473P105                      | <input type="checkbox"/> | 01/13/14     | 03/15/16      | 1,694.51        | 898.86      | 795.65                |
| 100.000  | NISOURCE INC<br>COM<br>SYMBOL NI, CUSIP: 65473P105                      | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 2,259.35        | 977.77      | 1,281.58              |
| 150.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP 65473P105                      | <input type="checkbox"/> | 05/13/13     | 03/15/16      | 3,389.02        | 1,549.49    | 1,839.54              |
| 225.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP. 65473P105                     | <input type="checkbox"/> | 04/22/13     | 03/15/16      | 5,083.54        | 2,505.20    | 2,578.34              |
| 375.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP. 65473P105                     | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 8,472.56        | 3,771.92    | 4,700.64              |
| 50.000   | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP: 65473P105                     | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 1,211.02        | 784.50      | 426.52                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                           | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 150.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP: 65473P105                   | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 3,633.07        | 2,389.32    | 1,243.75              |
| 150.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP: 65473P105                   | <input type="checkbox"/> | 03/17/15     | 08/29/16      | 3,633.07        | 2,252.28    | 1,380.79              |
| 650.000  | NISOURCE INC<br>COM<br>SYMBOL: NI, CUSIP: 65473P105                   | <input type="checkbox"/> | 08/06/15     | 08/29/16      | 15,743.31       | 10,916.94   | 4,826.36              |
| 275.000  | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 01/08/14     | 03/15/16      | 18,619.29       | 25,144.80   | -6,525.51             |
| 250.000  | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 02/09/15     | 08/29/16      | 19,392.32       | 20,560.24   | -1,167.92             |
| 75.000   | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 01/08/14     | 08/29/16      | 5,817.70        | 6,857.66    | -1,039.96             |
| 25.000   | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 1,939.23        | 2,251.85    | -312.62               |
| 125.000  | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 01/08/14     | 03/15/16      | 16,673.89       | 21,916.01   | -5,242.12             |
| 25.000   | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 08/05/14     | 03/15/16      | 3,334.78        | 5,262.13    | -1,927.35             |
| 25.000   | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 01/13/14     | 03/15/16      | 3,334.78        | 4,211.76    | -876.98               |
| 50.000   | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 9,060.17        | 7,519.95    | 1,540.23              |
| 125.000  | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 22,650.43       | 18,622.00   | 4,028.43              |
| 25.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108                     | <input type="checkbox"/> | 02/13/13     | 01/07/16      | 1,682.64        | 1,962.00    | -279.36               |
| 150.000  | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108                     | <input type="checkbox"/> | 02/13/13     | 03/15/16      | 10,861.68       | 11,771.99   | -910.31               |
| 25.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108                     | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 1,810.28        | 1,938.75    | -128.47               |
| 75.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108                     | <input type="checkbox"/> | 04/22/13     | 03/15/16      | 5,430.84        | 5,313.34    | 117.50                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                        | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 75 000   | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 01/16/15     | 05/17/16      | 5,623.42        | 6,050.70    | -427.28               |
| 25.000   | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 01/13/14     | 05/17/16      | 1,874.47        | 2,205.41    | -330.94               |
| 100.000  | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 04/22/13     | 05/17/16      | 7,497.90        | 7,084.45    | 413.44                |
| 150.000  | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 12,205.98       | 12,101.40   | 104.58                |
| 50.000   | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 02/09/15     | 09/12/16      | 3,919.13        | 4,314.48    | -395.35               |
| 100.000  | SCHLUMBERGER LTD<br>SYMBOL SLB, CUSIP. 806857108   | <input type="checkbox"/> | 01/21/15     | 09/12/16      | 7,838.26        | 8,171.99    | -333.73               |
| 50.000   | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP. 816851109      | <input type="checkbox"/> | 01/10/14     | 03/15/16      | 4,993.79        | 4,593.91    | 399.88                |
| 175.000  | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP. 816851109      | <input type="checkbox"/> | 01/08/14     | 03/15/16      | 17,478.27       | 15,725.48   | 1,752.79              |
| 25.000   | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP. 816851109      | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 2,619.55        | 2,804.75    | -185.20               |
| 75.000   | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP. 816851109      | <input type="checkbox"/> | 01/10/14     | 08/29/16      | 7,858.65        | 6,890.87    | 967.78                |
| 125.000  | SEMPRA ENERGY<br>SYMBOL SRE, CUSIP. 816851109      | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 13,097.75       | 11,420.00   | 1,677.75              |
| 100.000  | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 02/20/13     | 03/15/16      | 7,916.23        | 6,855.00    | 1,061.23              |
| 125.000  | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 9,895.28        | 8,543.74    | 1,351.54              |
| 25 000   | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 03/07/13     | 05/17/16      | 2,070.77        | 1,708.75    | 362.02                |
| 50.000   | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 4,799.61        | 5,751.80    | -952.19               |
| 50.000   | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 01/16/15     | 08/29/16      | 4,799.61        | 5,570.50    | -770.89               |
| 50.000   | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 03/07/13     | 08/29/16      | 4,799.61        | 3,417.50    | 1,382.11              |
| 100.000  | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 9,599.22        | 7,346.50    | 2,252.72              |
| 50.000   | UNION PACIFIC CORP<br>SYMBOL UNP, CUSIP. 907818108 | <input type="checkbox"/> | 02/09/15     | 10/20/16      | 4,679.09        | 6,057.90    | -1,378.81             |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY                      | DESCRIPTION                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-------------------------------|--------------------------------------------------------|--------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 50.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 02/06/13     | 01/07/16      | 2,122.81            | 1,506.50    | 616.31                |
| 75.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 03/07/13     | 01/07/16      | 3,184.21            | 2,371.05    | 813.16                |
| 350.000                       | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 02/20/13     | 01/07/16      | 14,859.64           | 11,055.24   | 3,804.40              |
| 150.000                       | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 04/22/13     | 03/15/16      | 7,091.54            | 5,069.55    | 2,021.99              |
| 225.000                       | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 03/07/13     | 03/15/16      | 10,637.32           | 7,113.15    | 3,524.17              |
| 25.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 04/22/13     | 05/17/16      | 1,289.98            | 844.93      | 445.05                |
| 25.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 01/13/14     | 08/29/16      | 1,378.85            | 834.35      | 544.50                |
| 50.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 04/22/13     | 08/29/16      | 2,757.70            | 1,689.85    | 1,067.85              |
| 50.000                        | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 05/28/13     | 08/29/16      | 2,757.70            | 1,606.10    | 1,151.60              |
| 300.000                       | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 01/21/15     | 08/29/16      | 16,546.20           | 12,782.91   | 3,763.29              |
| 250.000                       | WESTAR ENERGY INC<br>SYMBOL: WR, CUSIP 95709T100       | <input type="checkbox"/> | 03/17/15     | 08/29/16      | 13,788.50           | 9,476.50    | 4,312.00              |
| 200.000                       | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP 969457100 | <input type="checkbox"/> | 05/30/13     | 01/07/16      | 4,376.14            | 7,175.58    | -2,799.44             |
| 175.000                       | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP 969457100 | <input type="checkbox"/> | 04/22/13     | 01/07/16      | 3,829.12            | 6,621.04    | -2,791.92             |
| 125.000                       | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP 969457100 | <input type="checkbox"/> | 03/07/13     | 01/07/16      | 2,735.09            | 4,283.50    | -1,548.41             |
| 75.000                        | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP 969457100 | <input type="checkbox"/> | 01/13/14     | 01/07/16      | 1,641.05            | 2,953.78    | -1,312.73             |
| 50.000                        | WILLIAMS COMPANIES INC<br>SYMBOL: WMB, CUSIP 969457100 | <input type="checkbox"/> | 03/18/14     | 01/07/16      | 1,094.03            | 2,081.83    | -987.80               |
| <b>Long-Term Subtotal</b>     |                                                        |                          |              |               | <b>1,296,032.29</b> |             | <b>155,128.25</b>     |
| <b>Total Gains and Losses</b> |                                                        |                          |              |               | <b>1,704,362.85</b> |             | <b>171,992.60</b>     |
| <b>Short-Term</b>             |                                                        |                          |              |               |                     |             | <b>16,864.35</b>      |
| <b>Long-Term</b>              |                                                        |                          |              |               |                     |             | <b>155,128.25</b>     |
| <b>Term Unknown</b>           |                                                        |                          |              |               |                     |             | <b>0.00</b>           |

CORRECTED COPY: March 15, 2017

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients, the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

| QUANTITY | DESCRIPTION                                                                    | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 398.000  | AMAG PHARMACEUTICALS INC<br>SYMBOL: AMAG, CUSIP: 00163U106                     | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 9,818.96        | 27,308.16   | -17,489.20            |
| 482.000  | AMAG PHARMACEUTICALS INC<br>SYMBOL: AMAG, CUSIP: 00163U106                     | <input type="checkbox"/> | 12/07/15     | 01/26/16      | 11,891.30       | 13,821.42   | -1,930.12             |
| 33.000   | AMAG PHARMACEUTICALS INC<br>SYMBOL: AMAG, CUSIP: 00163U106                     | <input type="checkbox"/> | 09/02/15     | 01/26/16      | 814.13          | 2,095.80    | -1,281.67             |
| 214.000  | AMBARELLA INC<br>SHS<br>SYMBOL: AMBA, CUSIP: G037AX101                         | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 7,987.62        | 15,001.40   | -7,013.78             |
| 181.000  | AMBARELLA INC<br>SHS<br>SYMBOL: AMBA, CUSIP: G037AX101                         | <input type="checkbox"/> | 12/07/15     | 01/26/16      | 6,755.89        | 9,897.32    | -3,141.43             |
| 27.000   | AMBARELLA INC<br>SHS<br>SYMBOL: AMBA, CUSIP: G037AX101                         | <input type="checkbox"/> | 09/02/15     | 01/26/16      | 1,007.78        | 2,084.00    | -1,076.22             |
| 781.000  | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP: 024061103 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 11,606.96       | 19,336.00   | -7,729.04             |
| 202.000  | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP: 024061103 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 3,052.56        | 5,001.12    | -1,948.56             |
| 166.000  | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP: 024061103 | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 2,508.54        | 3,613.49    | -1,104.95             |
| 250.000  | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP: 024061103 | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 3,777.92        | 3,349.71    | 428.21                |
| 42.000   | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP: 024061103 | <input type="checkbox"/> | 01/26/16     | 06/08/16      | 711.54          | 562.75      | 148.79                |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                                    | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 367.000  | AMERICAN AXLE & MANUFACTURING<br>HOLDINGS INC<br>SYMBOL: AXL, CUSIP. 024061103 | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,451.08        | 4,917.37    | 533.71                |
| 200.000  | AMERISAFE INC<br>COM<br>SYMBOL: AMSF, CUSIP 03071H100                          | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 10,077.80       | 9,173.50    | 904.30                |
| 189.000  | AMERISAFE INC<br>COM<br>SYMBOL: AMSF, CUSIP 03071H100                          | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 9,580.22        | 8,668.96    | 911.26                |
| 17.000   | AMERISAFE INC<br>COM<br>SYMBOL: AMSF, CUSIP 03071H100                          | <input type="checkbox"/> | 06/17/15     | 06/08/16      | 1,078.45        | 779.75      | 298.70                |
| 93.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP 001744101                        | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 2,639.97        | 2,725.60    | -85.63                |
| 374.000  | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP 001744101                        | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 11,799.74       | 10,961.03   | 838.71                |
| 245.000  | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP: 001744101                       | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 7,781.40        | 7,180.36    | 601.04                |
| 21.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP. 001744101                       | <input type="checkbox"/> | 06/17/15     | 06/08/16      | 842.19          | 615.46      | 226.73                |
| 79.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP: 001744101                       | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 3,238.91        | 2,323.21    | 915.70                |
| 156.000  | APOGEE ENTERPRISES INC<br>SYMBOL: APOG, CUSIP. 037598109                       | <input type="checkbox"/> | 09/02/15     | 03/15/16      | 6,344.61        | 7,924.44    | -1,579.83             |
| 167.000  | APOGEE ENTERPRISES INC<br>SYMBOL: APOG, CUSIP 037598109                        | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 6,870.46        | 8,483.21    | -1,612.75             |
| 45.000   | APOGEE ENTERPRISES INC<br>SYMBOL: APOG, CUSIP. 037598109                       | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 2,082.42        | 2,285.89    | -203.48               |
| 64.000   | APOGEE ENTERPRISES INC<br>SYMBOL: APOG, CUSIP 037598109                        | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 2,961.66        | 2,491.33    | 470.33                |
| 141.000  | ARRIS INTERNATIONAL PLC<br>SHS<br>SYMBOL: ARRS, CUSIP. G0551A103               | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 3,044.15        | 4,885.35    | -1,841.20             |
| 347.000  | ARRIS INTERNATIONAL PLC<br>SHS<br>SYMBOL: ARRS, CUSIP G0551A103                | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,651.28        | 12,022.82   | -4,371.54             |
| 327.000  | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP. 043436104                   | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 16,015.40       | 28,292.78   | -12,277.38            |
| 21.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP 043436104                    | <input type="checkbox"/> | 12/07/15     | 01/26/16      | 1,028.51        | 1,532.51    | -504.00               |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                                      | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 217.000   | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP: 046433108                  | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,604.52        | 13,872.88   | -6,268.36             |
| 79.000    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP 046433108                   | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 2,858.98        | 5,050.50    | -2,191.51             |
| 44.300    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP 046433108                   | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 1,603.20        | 2,832.12    | -1,228.92             |
| 59.700    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP 046433108                   | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 2,160.52        | 2,597.72    | -437.20               |
| 97.000    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP 046433108                   | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 3,161.16        | 3,463.86    | -302.70               |
| 18.300    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP 046433108                   | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 596.38          | 796.29      | -199.90               |
| 11.700    | ASTRONICS CORP<br>SYMBOL ATRO, CUSIP: 046433108                  | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 381.29          | 509.10      | -127.81               |
| 1,069.000 | BANCORPSOUTH INC<br>SYMBOL BXS, CUSIP: 059692103                 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 22,266.99       | 26,090.23   | -3,823.24             |
| 150.000   | BANCORPSOUTH INC<br>SYMBOL BXS, CUSIP. 059692103                 | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 3,124.46        | 2,993.20    | 131.26                |
| 227.000   | BANK OF THE OZARKS INC<br>SYMBOL OZRK, CUSIP: 063904106          | <input type="checkbox"/> | 03/15/16     | 03/16/16      | 9,497.58        | 9,424.99    | 72.59                 |
| 154.000   | BANK OF THE OZARKS INC<br>SYMBOL OZRK, CUSIP: 063904106          | <input type="checkbox"/> | 03/15/16     | 07/07/16      | 5,509.32        | 6,394.05    | -884.73               |
| 23.000    | BANK OF THE OZARKS INC<br>SYMBOL: OZRK, CUSIP: 063904106         | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 822.82          | 906.36      | -83.54                |
| 158.000   | BERRY PLASTICS GROUP INC<br>COM<br>SYMBOL: BERY, CUSIP 08579W103 | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 5,006.94        | 5,804.21    | -797.27               |
| 216.000   | BERRY PLASTICS GROUP INC<br>COM<br>SYMBOL BERY, CUSIP 08579W103  | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 6,948.69        | 7,934.87    | -986.18               |
| 8.000     | BERRY PLASTICS GROUP INC<br>COM<br>SYMBOL BERY, CUSIP 08579W103  | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 315.22          | 293.88      | 21.33                 |
| 127.000   | BERRY PLASTICS GROUP INC<br>COM<br>SYMBOL BERY, CUSIP 08579W103  | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,004.04        | 3,831.29    | 1,172.75              |
| 235.000   | BJS RESTAURANTS INC<br>SYMBOL BJRI, CUSIP. 09180C106             | <input type="checkbox"/> | 09/02/15     | 03/15/16      | 10,346.67       | 10,153.79   | 192.88                |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 202.000  | BJS RESTAURANTS INC<br>SYMBOL: BJRI, CUSIP 09180C106                   | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 8,706.03        | 8,727.94    | -21.91                |
| 140.000  | BJS RESTAURANTS INC<br>SYMBOL: BJRI, CUSIP 09180C106                   | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 6,050.67        | 6,049.07    | 1.60                  |
| 80.000   | BLACKHAWK NETWORK HOLDINGS INC<br>COM<br>SYMBOL: HAWK, CUSIP 09238E104 | <input type="checkbox"/> | 09/02/15     | 03/15/16      | 2,715.15        | 3,066.92    | -351.77               |
| 195.000  | BLACKHAWK NETWORK HOLDINGS INC<br>COM<br>SYMBOL: HAWK, CUSIP 09238E104 | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 6,440.72        | 7,475.62    | -1,034.90             |
| 133.000  | BLACKHAWK NETWORK HOLDINGS INC<br>COM<br>SYMBOL: HAWK, CUSIP 09238E104 | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 4,376.34        | 5,098.75    | -722.41               |
| 109.000  | CARRIZO OIL & GAS INC<br>SYMBOL: CRZO, CUSIP 144577103                 | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 3,973.72        | 4,599.84    | -626.12               |
| 49.000   | CASEYS GENERAL STORES INC<br>SYMBOL: CASY, CUSIP 147528103             | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 5,252.68        | 5,792.57    | -539.89               |
| 83.000   | CASEYS GENERAL STORES INC<br>SYMBOL: CASY, CUSIP 147528103             | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 8,974.59        | 9,811.90    | -837.31               |
| 55.000   | CASEYS GENERAL STORES INC<br>SYMBOL: CASY, CUSIP 147528103             | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 7,261.49        | 6,501.86    | 759.63                |
| 187.000  | CHEMTURA CORPORATION<br>SYMBOL: CHMT, CUSIP 163893209                  | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 4,768.48        | 4,741.04    | 27.44                 |
| 252.000  | CHEMTURA CORPORATION<br>SYMBOL: CHMT, CUSIP 163893209                  | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 6,355.53        | 6,389.00    | -33.47                |
| 23.000   | CHEMTURA CORPORATION<br>SYMBOL: CHMT, CUSIP 163893209                  | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 604.80          | 633.66      | -28.86                |
| 170.000  | CHEMTURA CORPORATION<br>SYMBOL: CHMT, CUSIP 163893209                  | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 4,470.27        | 4,310.04    | 160.23                |
| 98.000   | CHESAPEAKE UTILITIES<br>CORPORATION<br>SYMBOL: CPK, CUSIP 165303108    | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 5,886.73        | 5,910.40    | -23.67                |
| 119.000  | CHESAPEAKE UTILITIES<br>CORPORATION<br>SYMBOL: CPK, CUSIP 165303108    | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 7,142.35        | 7,176.91    | -34.56                |
| 79.000   | CHESAPEAKE UTILITIES<br>CORPORATION<br>SYMBOL: CPK, CUSIP 165303108    | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,134.10        | 4,764.51    | 369.59                |
| 406.000  | CIENA CORPORATION<br>SYMBOL: CIEN, CUSIP 171779309                     | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 6,873.47        | 9,993.86    | -3,120.39             |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                              | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 446.000  | CIENA CORPORATION<br>SYMBOL CIEN, CUSIP: 171779309       | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 7,655.42        | 10,978.48   | -3,323.06             |
| 48.000   | CIENA CORPORATION<br>SYMBOL CIEN, CUSIP 171779309        | <input type="checkbox"/> | 12/07/15     | 06/08/16      | 1,045.41        | 1,181.54    | -136.13               |
| 58.000   | CIENA CORPORATION<br>SYMBOL CIEN, CUSIP: 171779309       | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 1,069.50        | 1,427.69    | -358.19               |
| 209.000  | CIENA CORPORATION<br>SYMBOL CIEN, CUSIP 171779309        | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,853.90        | 3,778.70    | 75.20                 |
| 410.000  | COLONY CAPITAL INC<br>CL A<br>CUSIP: 19624R106           | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 6,597.90        | 10,819.53   | -4,221.63             |
| 586.000  | COLONY CAPITAL INC<br>CL A<br>CUSIP: 19624R106           | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,359.38        | 15,464.01   | -6,104.63             |
| 264.000  | COLONY CAPITAL INC<br>CL A<br>CUSIP: 19624R106           | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 4,016.24        | 5,186.25    | -1,170.01             |
| 102.000  | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 5,185.58        | 6,447.01    | -1,261.43             |
| 201.000  | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 12,066.46       | 12,704.41   | -637.95               |
| 105.000  | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,227.09        | 6,636.63    | -409.54               |
| 37.000   | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 2,194.31        | 2,200.39    | -6.08                 |
| 10.000   | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 593.06          | 454.76      | 138.30                |
| 102.000  | COLUMBIA SPORTSWEAR CO<br>SYMBOL: COLM, CUSIP: 198516106 | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 5,862.93        | 4,638.55    | 1,224.38              |
| 210.000  | COMFORT SYSTEMS USA INC<br>SYMBOL: FIX, CUSIP: 199908104 | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 6,054.24        | 7,042.71    | -988.47               |
| 226.000  | COMFORT SYSTEMS USA INC<br>SYMBOL: FIX, CUSIP: 199908104 | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 6,526.84        | 7,579.29    | -1,052.45             |
| 155.000  | COMFORT SYSTEMS USA INC<br>SYMBOL: FIX, CUSIP: 199908104 | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 5,034.65        | 5,198.19    | -163.54               |
| 102.000  | CONVERGYS CORP<br>SYMBOL: CVG, CUSIP: 212485106          | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 2,423.59        | 2,357.19    | 66.40                 |
| 384.000  | CONVERGYS CORP<br>SYMBOL: CVG, CUSIP 212485106           | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 10,041.42       | 8,874.12    | 1,167.30              |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                 | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 305.000  | CONVERGYS CORP<br>SYMBOL: CVG, CUSIP: 212485106             | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,929.85        | 7,048.46    | 881.39                |
| 94.000   | CORESITE RLTY CORP<br>SYMBOL: COR, CUSIP: 21870Q105         | <input type="checkbox"/> | 12/07/15     | 01/26/16      | 5,924.86        | 5,424.53    | 500.33                |
| 148.000  | CORESITE RLTY CORP<br>SYMBOL: COR, CUSIP: 21870Q105         | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 9,784.15        | 8,540.75    | 1,243.40              |
| 143.000  | CORESITE RLTY CORP<br>SYMBOL: COR, CUSIP: 21870Q105         | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 9,489.35        | 8,252.21    | 1,237.14              |
| 12.000   | CORESITE RLTY CORP<br>SYMBOL: COR, CUSIP: 21870Q105         | <input type="checkbox"/> | 12/07/15     | 06/08/16      | 990.06          | 692.49      | 297.57                |
| 85.000   | CORESITE RLTY CORP<br>SYMBOL: COR, CUSIP: 21870Q105         | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 7,614.99        | 4,905.16    | 2,709.83              |
| 606.000  | DEPOMED INC<br>SYMBOL: DEPO, CUSIP: 249908104               | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 8,782.24        | 10,086.29   | -1,304.05             |
| 441.000  | DEPOMED INC<br>SYMBOL: DEPO, CUSIP: 249908104               | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 6,350.30        | 7,340.02    | -989.72               |
| 78.000   | DEPOMED INC<br>SYMBOL: DEPO, CUSIP: 249908104               | <input type="checkbox"/> | 01/26/16     | 06/08/16      | 1,605.53        | 1,298.24    | 307.29                |
| 287.000  | DEPOMED INC<br>SYMBOL: DEPO, CUSIP: 249908104               | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,601.25        | 4,776.84    | 824.41                |
| 69.000   | DYCOM INDUSTRIES INC<br>SYMBOL: DY, CUSIP: 267475101        | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 3,870.81        | 5,791.18    | -1,920.37             |
| 114.000  | DYCOM INDUSTRIES INC<br>SYMBOL: DY, CUSIP: 267475101        | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 6,756.70        | 9,568.04    | -2,811.34             |
| 22.000   | DYCOM INDUSTRIES INC<br>SYMBOL: DY, CUSIP: 267475101        | <input type="checkbox"/> | 12/07/15     | 06/08/16      | 1,939.25        | 1,846.46    | 92.79                 |
| 22.000   | DYCOM INDUSTRIES INC<br>SYMBOL: DY, CUSIP: 267475101        | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 2,035.40        | 1,846.46    | 188.93                |
| 35.000   | DYCOM INDUSTRIES INC<br>SYMBOL: DY, CUSIP: 267475101        | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,238.13        | 2,209.20    | 1,028.93              |
| 256.000  | ECHO GLOBAL LOGISTICS INC<br>SYMBOL: ECHO, CUSIP: 27875T101 | <input type="checkbox"/> | 03/15/16     | 03/16/16      | 6,684.23        | 6,601.85    | 82.38                 |
| 173.000  | ECHO GLOBAL LOGISTICS INC<br>SYMBOL: ECHO, CUSIP: 27875T101 | <input type="checkbox"/> | 03/15/16     | 07/07/16      | 3,838.98        | 4,461.40    | -622.42               |
| 39.000   | ECHO GLOBAL LOGISTICS INC<br>SYMBOL: ECHO, CUSIP: 27875T101 | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 865.44          | 921.57      | -56.13                |
| 89.000   | EMERGENT BIOSOLUTIONS INC<br>SYMBOL: EBS, CUSIP: 29089Q105  | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 3,206.14        | 2,757.65    | 448.49                |

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**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                               | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 228.000  | EMERGENT BIOSOLUTIONS INC<br>SYMBOL EBS, CUSIP: 29089Q105 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,535.71        | 7,064.53    | 471.18                |
| 230.000  | EMERGENT BIOSOLUTIONS INC<br>SYMBOL EBS, CUSIP: 29089Q105 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,620.21        | 7,126.50    | 493.71                |
| 518.000  | ENCORE CAPITAL GROUP INC<br>SYMBOL ECPG, CUSIP: 292554102 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 13,333.09       | 21,740.41   | -8,407.32             |
| 143.000  | ENCORE CAPITAL GROUP INC<br>SYMBOL ECPG, CUSIP: 292554102 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 3,629.36        | 6,001.69    | -2,372.33             |
| 211.000  | ENCORE CAPITAL GROUP INC<br>SYMBOL ECPG, CUSIP: 292554102 | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 5,355.22        | 6,619.70    | -1,264.48             |
| 18.000   | ENCORE CAPITAL GROUP INC<br>SYMBOL ECPG, CUSIP: 292554102 | <input type="checkbox"/> | 12/07/15     | 06/08/16      | 466.77          | 564.71      | -97.94                |
| 231.000  | ENCORE CAPITAL GROUP INC<br>SYMBOL ECPG, CUSIP: 292554102 | <input type="checkbox"/> | 01/26/16     | 06/08/16      | 5,990.25        | 5,158.11    | 832.14                |
| 30.000   | EPAM SYSTEMS INC<br>SYMBOL: EPAM, CUSIP: 29414B104        | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 2,174.26        | 1,996.80    | 177.46                |
| 96.000   | EPAM SYSTEMS INC<br>SYMBOL: EPAM, CUSIP: 29414B104        | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 6,782.25        | 6,389.75    | 392.50                |
| 111.000  | EPAM SYSTEMS INC<br>SYMBOL: EPAM, CUSIP: 29414B104        | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,973.13        | 7,388.15    | 584.98                |
| 96.000   | EURONET WORLDWIDE INC<br>SYMBOL: EEFT, CUSIP: 298736109   | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 6,725.62        | 6,978.43    | -252.81               |
| 114.000  | EURONET WORLDWIDE INC<br>SYMBOL: EEFT, CUSIP: 298736109   | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 8,076.89        | 8,286.89    | -210.00               |
| 76.000   | EURONET WORLDWIDE INC<br>SYMBOL EEFT, CUSIP: 298736109    | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,316.09        | 5,524.59    | -208.50               |
| 141.000  | EXPRESS INC<br>SYMBOL: EXPR, CUSIP: 30219E103             | <input type="checkbox"/> | 12/07/15     | 01/26/16      | 2,427.25        | 2,423.56    | 3.69                  |
| 631.000  | EXPRESS INC<br>SYMBOL: EXPR, CUSIP: 30219E103             | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 13,118.33       | 10,845.84   | 2,272.49              |
| 436.000  | EXPRESS INC<br>SYMBOL EXPR, CUSIP: 30219E103              | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 9,046.93        | 7,494.12    | 1,552.81              |
| 296.000  | EXPRESS INC<br>SYMBOL. EXPR, CUSIP: 30219E103             | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 4,235.67        | 5,087.75    | -852.08               |
| 120.000  | EXPRESS INC<br>SYMBOL: EXPR, CUSIP: 30219E103             | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 1,717.16        | 1,789.08    | -71.92                |
| 154.000  | FABRINET<br>SYMBOL: FN, CUSIP: G3323L100                  | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 5,514.15        | 5,827.91    | -313.76               |

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**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                               | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|-----------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 1,172.000 | FEDERAL SIGNAL CORP<br>SYMBOL: FSS, CUSIP: 313855108      | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 15,083.43       | 19,581.50   | -4,498.07             |
| 1,049.000 | FERRO CORP<br>SYMBOL: FOE, CUSIP: 315405100               | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 9,626.91        | 16,644.02   | -7,017.11             |
| 425.000   | FIRST MERCHANTS CORP<br>SYMBOL: FRME, CUSIP: 320817109    | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 9,801.10        | 9,878.09    | -76.99                |
| 406.000   | FIRST MERCHANTS CORP<br>SYMBOL: FRME, CUSIP: 320817109    | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,419.17        | 9,436.49    | -17.32                |
| 118.000   | FIRST MERCHANTS CORP<br>SYMBOL: FRME, CUSIP: 320817109    | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 2,900.50        | 2,592.23    | 308.27                |
| 495.000   | FTI CONSULTING INC<br>SYMBOL: FCN, CUSIP: 302941109       | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 16,859.73       | 20,520.13   | -3,660.40             |
| 57.000    | FTI CONSULTING INC<br>SYMBOL: FCN, CUSIP: 302941109       | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 1,941.42        | 2,021.61    | -80.19                |
| 205.000   | G-III APPAREL GROUP LTD<br>SYMBOL: GIII, CUSIP: 36237H101 | <input type="checkbox"/> | 09/02/15     | 03/15/16      | 10,905.04       | 13,455.85   | -2,550.81             |
| 172.000   | G-III APPAREL GROUP LTD<br>SYMBOL: GIII, CUSIP: 36237H101 | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 9,093.72        | 11,289.79   | -2,196.07             |
| 95.000    | G-III APPAREL GROUP LTD<br>SYMBOL: GIII, CUSIP: 36237H101 | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 4,379.65        | 4,799.76    | -420.11               |
| 20.000    | G-III APPAREL GROUP LTD<br>SYMBOL: GIII, CUSIP: 36237H101 | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 922.03          | 1,312.77    | -390.74               |
| 29.000    | G-III APPAREL GROUP LTD<br>SYMBOL: GIII, CUSIP: 36237H101 | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 1,336.94        | 1,250.81    | 86.13                 |
| 427.000   | GIGAMON INC<br>COM<br>SYMBOL: GIMO, CUSIP: 37518B102      | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 12,409.19       | 10,336.52   | 2,072.67              |
| 264.000   | GIGAMON INC<br>COM<br>SYMBOL: GIMO, CUSIP: 37518B102      | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 7,859.50        | 6,390.73    | 1,468.77              |
| 184.000   | GIGAMON INC<br>COM<br>SYMBOL: GIMO, CUSIP: 37518B102      | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 7,161.12        | 4,454.14    | 2,706.98              |
| 124.000   | GLOBUS MEDICAL INC<br>SYMBOL: GMED, CUSIP: 379577208      | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 3,144.73        | 3,143.86    | 0.87                  |
| 349.000   | GLOBUS MEDICAL INC<br>SYMBOL: GMED, CUSIP: 379577208      | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,590.48        | 8,848.44    | -257.96               |
| 310.000   | GLOBUS MEDICAL INC<br>SYMBOL: GMED, CUSIP: 379577208      | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,591.70        | 7,859.65    | -267.95               |

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**Schedule of Realized Gains and Losses (cont)**

**Short-Term (cont)**

| QUANTITY | DESCRIPTION                                                          | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 992.000  | GRAPHIC PACKAGING HOLDING<br>COMPANY<br>SYMBOL. GPK, CUSIP 388689101 | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 11,021.90       | 14,590.34   | -3,568.44             |
| 302.000  | GREEN PLAINS INC<br>SYMBOL GPPE, CUSIP 393222104                     | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 5,305.88        | 9,569.75    | -4,263.87             |
| 223.000  | GREEN PLAINS INC<br>SYMBOL GPPE, CUSIP. 393222104                    | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 3,917.92        | 7,157.63    | -3,239.71             |
| 263.000  | HAWAIIAN HOLDINGS INC<br>SYMBOL HA, CUSIP 419879101                  | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 11,837.40       | 6,440.84    | 5,396.56              |
| 147.000  | HAWAIIAN HOLDINGS INC<br>SYMBOL. HA, CUSIP 419879101                 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,783.91        | 3,600.02    | 3,183.89              |
| 23.000   | HAWAIIAN HOLDINGS INC<br>SYMBOL HA, CUSIP: 419879101                 | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 924.58          | 959.56      | -34.98                |
| 265.000  | HOME BANCSHARES INC<br>SYMBOL. HOMB, CUSIP 436893200                 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 10,904.54       | 9,009.97    | 1,894.57              |
| 230.000  | HOME BANCSHARES INC<br>SYMBOL HOMB, CUSIP 436893200                  | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,328.79        | 7,819.98    | 1,508.81              |
| 589.000  | INFINERA CORP<br>SYMBOL INFN, CUSIP: 45667G103                       | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 9,276.60        | 11,843.37   | -2,566.77             |
| 417.000  | INFINERA CORP<br>SYMBOL INFN, CUSIP: 45667G103                       | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,609.34        | 8,384.86    | -1,775.52             |
| 84.000   | INFINERA CORP<br>SYMBOL. INFN, CUSIP. 45667G103                      | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 1,331.38        | 1,226.15    | 105.22                |
| 337.000  | INFINERA CORP<br>SYMBOL INFN, CUSIP. 45667G103                       | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,681.02        | 4,919.22    | -1,238.19             |
| 102.000  | INFINERA CORP<br>SYMBOL INFN, CUSIP. 45667G103                       | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 1,114.14        | 1,344.35    | -230.21               |
| 165.000  | INTEGRATED DEVICE TECHNOLOGY<br>SYMBOL. IDTI, CUSIP 458118106        | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 3,265.73        | 3,088.47    | 177.26                |
| 401.000  | INTEGRATED DEVICE TECHNOLOGY<br>SYMBOL. IDTI, CUSIP 458118106        | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,932.72        | 7,505.92    | 426.80                |
| 114.000  | INTEGRATED DEVICE TECHNOLOGY<br>SYMBOL: IDTI, CUSIP. 458118106       | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 2,241.58        | 2,138.41    | 103.17                |
| 275.000  | INTELIQUENT INC<br>COM<br>CUSIP: 45825N107                           | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 4,375.29        | 4,411.53    | -36.24                |
| 331.000  | INTELIQUENT INC<br>COM<br>CUSIP. 45825N107                           | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 5,170.40        | 5,309.87    | -139.47               |

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**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 229.000  | INTELIQUENT INC<br>COM<br>CUSIP 45825N107                    | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 4,459.49        | 3,673.60    | 785.89                |
| 199.000  | J & J SNACK FOOD CORP<br>SYMBOL: JJSF, CUSIP: 466032109      | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 21,586.88       | 21,669.00   | -82.12                |
| 468.000  | JABIL CIRCUIT INC<br>SYMBOL: JBL, CUSIP: 466313103           | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 10,006.93       | 8,997.87    | 1,009.06              |
| 369.000  | JABIL CIRCUIT INC<br>SYMBOL: JBL, CUSIP 466313103            | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 8,033.98        | 7,094.47    | 939.51                |
| 249.000  | JABIL CIRCUIT INC<br>SYMBOL: JBL, CUSIP 466313103            | <input type="checkbox"/> | 01/26/16     | 06/08/16      | 4,764.36        | 4,767.41    | -3.05                 |
| 65.000   | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP 516012101          | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 2,230.65        | 3,991.42    | -1,760.77             |
| 27.000   | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP: 516012101         | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 538.43          | 1,657.98    | -1,119.55             |
| 342.000  | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP 516012101          | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,522.89        | 21,001.02   | -14,478.13            |
| 14.000   | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP 516012101          | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 267.02          | 820.18      | -553.16               |
| 154.000  | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP: 516012101         | <input type="checkbox"/> | 12/07/15     | 03/30/16      | 2,748.19        | 5,484.79    | -2,736.60             |
| 81.000   | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP: 516012101         | <input type="checkbox"/> | 09/02/15     | 03/30/16      | 1,445.48        | 3,927.85    | -2,482.37             |
| 27.000   | LANNETT COMPANY INC<br>SYMBOL: LCI, CUSIP: 516012101         | <input type="checkbox"/> | 06/17/15     | 03/30/16      | 481.83          | 1,581.77    | -1,099.95             |
| 29.000   | LIGAND PHARMACEUTICALS INC<br>SYMBOL: LGND, CUSIP: 53220K504 | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 2,961.94        | 2,706.62    | 255.32                |
| 89.000   | LIGAND PHARMACEUTICALS INC<br>SYMBOL: LGND, CUSIP: 53220K504 | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 8,736.04        | 8,306.51    | 429.53                |
| 77.000   | LIGAND PHARMACEUTICALS INC<br>SYMBOL: LGND, CUSIP: 53220K504 | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 7,470.37        | 7,186.53    | 283.84                |
| 145.000  | LTC PROPERTIES INC<br>SYMBOL: LTC, CUSIP 502175102           | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 7,528.20        | 6,969.30    | 558.90                |
| 133.000  | MANHATTAN ASSOCIATES INC<br>SYMBOL: MANH, CUSIP: 562750109   | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,513.13        | 7,471.58    | 41.55                 |
| 138.000  | MANHATTAN ASSOCIATES INC<br>SYMBOL: MANH, CUSIP 562750109    | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,874.39        | 7,752.47    | 121.92                |
| 53.000   | MANHATTAN ASSOCIATES INC<br>SYMBOL: MANH, CUSIP: 562750109   | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,511.71        | 3,000.72    | 510.99                |

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**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                              | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 114.000  | MASIMO CORP<br>SYMBOL: MASI, CUSIP 574795100                             | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 5,954.39        | 5,894.65    | 59.74                 |
| 105.000  | MATRIX SERVICE CO<br>SYMBOL: MTRX, CUSIP: 576853105                      | <input type="checkbox"/> | 09/02/15     | 03/15/16      | 1,937.23        | 2,326.37    | -389.14               |
| 283.000  | MATRIX SERVICE CO<br>SYMBOL: MTRX, CUSIP 576853105                       | <input type="checkbox"/> | 09/02/15     | 03/16/16      | 5,246.87        | 6,270.13    | -1,023.26             |
| 193.000  | MATRIX SERVICE CO<br>SYMBOL: MTRX, CUSIP: 576853105                      | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 3,238.97        | 4,276.10    | -1,037.13             |
| 64.000   | MATRIX SERVICE CO<br>SYMBOL: MTRX, CUSIP: 576853105                      | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 1,074.06        | 1,106.93    | -32.87                |
| 390.000  | METHODE ELECTRONICS INC<br>SYMBOL: MEI, CUSIP: 591520200                 | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 10,026.84       | 17,862.94   | -7,836.09             |
| 283.000  | METHODE ELECTRONICS INC<br>SYMBOL: MEI, CUSIP: 591520200                 | <input type="checkbox"/> | 09/02/15     | 01/26/16      | 7,275.89        | 7,708.75    | -432.86               |
| 865.000  | MUELLER WATER PRODUCTS INC<br>COM SER A<br>SYMBOL: MWA, CUSIP: 624758108 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,534.15        | 8,865.21    | -1,331.06             |
| 743.000  | MUELLER WATER PRODUCTS INC<br>COM SER A<br>SYMBOL: MWA, CUSIP: 624758108 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,724.22        | 7,614.86    | -890.64               |
| 71.000   | MULTI COLOR CORP<br>SYMBOL: LABL, CUSIP: 625383104                       | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 3,672.74        | 4,727.46    | -1,054.72             |
| 131.000  | MULTI COLOR CORP<br>SYMBOL: LABL, CUSIP: 625383104                       | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 6,678.51        | 8,722.50    | -2,043.99             |
| 274.000  | NATUS MEDICAL INC DEL<br>SYMBOL: BABY, CUSIP: 639050103                  | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 10,477.80       | 11,164.70   | -686.90               |
| 199.000  | NATUS MEDICAL INC DEL<br>SYMBOL: BABY, CUSIP: 639050103                  | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,528.40        | 8,108.67    | -580.27               |
| 29.000   | NATUS MEDICAL INC DEL<br>SYMBOL: BABY, CUSIP: 639050103                  | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 1,129.78        | 1,020.82    | 108.96                |
| 78.000   | NATUS MEDICAL INC DEL<br>SYMBOL: BABY, CUSIP: 639050103                  | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,038.73        | 2,853.08    | 185.65                |
| 85.000   | NEENAH PAPER INC<br>SYMBOL: NP, CUSIP: 640079109                         | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 5,073.53        | 5,046.58    | 26.95                 |
| 107.000  | NEENAH PAPER INC<br>SYMBOL: NP, CUSIP: 640079109                         | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 6,415.58        | 6,352.76    | 62.82                 |
| 44.000   | NEENAH PAPER INC<br>SYMBOL: NP, CUSIP: 640079109                         | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,192.57        | 2,557.97    | 634.60                |

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**Schedule of Realized Gains and Losses (cont)**

**Short-Term (cont)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 131.000  | ON ASSIGNMENT INC<br>SYMBOL: ASGN, CUSIP: 682159108          | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 4,658.77        | 5,023.90    | -365.13               |
| 61.000   | PAREXEL INTERNATIONAL CORP<br>SYMBOL: PRXL, CUSIP: 699462107 | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 3,873.40        | 4,251.69    | -378.29               |
| 136.000  | PAREXEL INTERNATIONAL CORP<br>SYMBOL: PRXL, CUSIP: 699462107 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,030.73        | 9,479.19    | -1,448.46             |
| 127.000  | PAREXEL INTERNATIONAL CORP<br>SYMBOL: PRXL, CUSIP: 699462107 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,430.66        | 8,851.89    | -1,421.23             |
| 30.000   | PAREXEL INTERNATIONAL CORP<br>SYMBOL: PRXL, CUSIP: 699462107 | <input type="checkbox"/> | 09/02/15     | 06/08/16      | 1,867.81        | 1,918.20    | -50.39                |
| 144.000  | PATRICK INDUSTRIES INC<br>SYMBOL: PATK, CUSIP: 703343103     | <input type="checkbox"/> | 03/15/16     | 03/16/16      | 6,150.10        | 6,134.80    | 15.30                 |
| 12.000   | PATRICK INDUSTRIES INC<br>SYMBOL: PATK, CUSIP: 703343103     | <input type="checkbox"/> | 03/15/16     | 06/08/16      | 637.54          | 511.23      | 126.31                |
| 94.000   | PATRICK INDUSTRIES INC<br>SYMBOL: PATK, CUSIP: 703343103     | <input type="checkbox"/> | 03/15/16     | 07/07/16      | 5,959.47        | 4,004.66    | 1,954.81              |
| 69.000   | PDC ENERGY INC<br>COM<br>SYMBOL: PDCE, CUSIP: 69327R101      | <input type="checkbox"/> | 06/17/15     | 03/15/16      | 3,825.27        | 3,996.95    | -171.68               |
| 97.000   | PDC ENERGY INC<br>COM<br>SYMBOL: PDCE, CUSIP: 69327R101      | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 5,385.32        | 5,618.90    | -233.58               |
| 64.000   | PDC ENERGY INC<br>COM<br>SYMBOL: PDCE, CUSIP: 69327R101      | <input type="checkbox"/> | 06/17/15     | 06/08/16      | 3,786.37        | 3,707.32    | 79.05                 |
| 455.000  | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 12,572.41       | 21,052.80   | -8,480.39             |
| 134.000  | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 3,751.93        | 6,200.17    | -2,448.24             |
| 56.000   | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 06/17/15     | 03/16/16      | 1,567.97        | 2,381.67    | -813.70               |
| 172.000  | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 4,815.91        | 5,236.56    | -420.65               |
| 95.000   | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 2,518.52        | 2,892.29    | -373.76               |
| 37.000   | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 980.90          | 964.93      | 15.97                 |
| 135.000  | PEBBLEBROOK HOTEL TRUST<br>SYMBOL: PEB, CUSIP: 70509V100     | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 3,578.96        | 3,262.04    | 316.92                |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 181.000  | PINNACLE FINL PARTNERS INC<br>SYMBOL: PNFP, CUSIP: 72346Q104           | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,808.17        | 8,461.03    | 347.14                |
| 195.000  | PINNACLE FINL PARTNERS INC<br>SYMBOL: PNFP, CUSIP: 72346Q104           | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,297.39        | 9,115.47    | 181.92                |
| 50.000   | PINNACLE FINL PARTNERS INC<br>SYMBOL: PNFP, CUSIP: 72346Q104           | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 2,423.82        | 2,271.00    | 152.82                |
| 342.000  | PNM RESOURCES INC<br>COM<br>SYMBOL: PNM, CUSIP: 69349H107              | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 10,278.43       | 9,743.55    | 534.88                |
| 234.000  | PNM RESOURCES INC<br>COM<br>SYMBOL: PNM, CUSIP: 69349H107              | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,834.16        | 6,666.64    | 1,167.52              |
| 216.000  | PNM RESOURCES INC<br>COM<br>SYMBOL: PNM, CUSIP: 69349H107              | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,155.94        | 6,153.82    | 1,002.12              |
| 184.000  | PRESTIGE BRANDS HLDGS INC<br>SYMBOL: PBH, CUSIP: 74112D101             | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 9,481.55        | 8,589.74    | 891.81                |
| 168.000  | PRESTIGE BRANDS HLDGS INC<br>SYMBOL: PBH, CUSIP: 74112D101             | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 8,767.75        | 7,842.81    | 924.94                |
| 113.000  | PRESTIGE BRANDS HLDGS INC<br>SYMBOL: PBH, CUSIP: 74112D101             | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 6,249.33        | 5,275.22    | 974.11                |
| 170.000  | PRIMERICA INC<br>SYMBOL: PRI, CUSIP: 74164M108                         | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,408.91        | 8,013.15    | -604.24               |
| 215.000  | PRIMERICA INC<br>SYMBOL: PRI, CUSIP: 74164M108                         | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,442.25        | 10,134.28   | -692.03               |
| 268.000  | RENASANT CORPORATION<br>SYMBOL: RNST, CUSIP: 75970E107                 | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,680.33        | 8,153.71    | 526.62                |
| 290.000  | RENASANT CORPORATION<br>SYMBOL: RNST, CUSIP: 75970E107                 | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,337.82        | 8,823.05    | 514.77                |
| 670.000  | RUDOLPH TECHNOLOGIES INC<br>SYMBOL: RTEC, CUSIP: 781270103             | <input type="checkbox"/> | 12/07/15     | 03/15/16      | 8,770.64        | 9,760.95    | -990.31               |
| 594.000  | RUDOLPH TECHNOLOGIES INC<br>SYMBOL: RTEC, CUSIP: 781270103             | <input type="checkbox"/> | 12/07/15     | 03/16/16      | 7,763.94        | 8,653.73    | -889.79               |
| 408.000  | RUDOLPH TECHNOLOGIES INC<br>SYMBOL: RTEC, CUSIP: 781270103             | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 6,235.24        | 5,943.98    | 291.26                |
| 297.000  | SINCLAIR BROADCAST GROUP INC<br>CL A<br>SYMBOL: SBGI, CUSIP: 829226109 | <input type="checkbox"/> | 01/26/16     | 03/15/16      | 9,607.92        | 9,064.23    | 543.69                |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                                         | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|---------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 276.000   | SINCLAIR BROADCAST GROUP INC<br>CLA<br>SYMBOL SBGI, CUSIP 829226109 | <input type="checkbox"/> | 01/26/16     | 03/16/16      | 8,927.85        | 8,423.32    | 504.53                |
| 189.000   | SINCLAIR BROADCAST GROUP INC<br>CLA<br>SYMBOL SBGI, CUSIP 829226109 | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 5,613.81        | 5,768.14    | -154.33               |
| 167.000   | SONIC CORP<br>SYMBOL SONC, CUSIP 835451105                          | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 4,983.37        | 5,089.47    | -106.10               |
| 291.000   | SONIC CORP<br>SYMBOL SONC, CUSIP 835451105                          | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,994.89        | 8,868.48    | 126.41                |
| 279.000   | SONIC CORP<br>SYMBOL SONC, CUSIP 835451105                          | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 8,788.56        | 8,502.77    | 285.79                |
| 115.000   | SOUTH STATE CORPORATION<br>COM<br>SYMBOL SSB, CUSIP 840441109       | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 7,474.92        | 7,933.53    | -458.61               |
| 148.000   | SOUTH STATE CORPORATION<br>COM<br>SYMBOL SSB, CUSIP 840441109       | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,474.07        | 10,210.10   | -736.03               |
| 205.000   | SOUTHWEST GAS HOLDINGS INC<br>SYMBOL SWX, CUSIP 844895102           | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 11,660.82       | 11,814.03   | -153.21               |
| 125.000   | SOUTHWEST GAS HOLDINGS INC<br>SYMBOL SWX, CUSIP 844895102           | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,063.34        | 7,203.68    | 859.66                |
| 114.000   | SOUTHWEST GAS HOLDINGS INC<br>SYMBOL SWX, CUSIP 844895102           | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,272.27        | 6,569.76    | 702.51                |
| 46.000    | SOUTHWEST GAS HOLDINGS INC<br>SYMBOL SWX, CUSIP 844895102           | <input type="checkbox"/> | 12/07/15     | 07/07/16      | 3,548.17        | 2,410.68    | 1,137.49              |
| 59.000    | SOVRAN SELF STORAGE INC<br>CUSIP 84610H108                          | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 6,556.55        | 5,352.64    | 1,203.91              |
| 76.000    | SOVRAN SELF STORAGE INC<br>CUSIP 84610H108                          | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,606.06        | 6,894.93    | 1,711.13              |
| 86.000    | SOVRAN SELF STORAGE INC<br>CUSIP 84610H108                          | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,743.59        | 7,802.16    | 1,941.43              |
| 9.000     | SOVRAN SELF STORAGE INC<br>CUSIP 84610H108                          | <input type="checkbox"/> | 06/08/16     | 07/07/16      | 944.12          | 950.40      | -6.28                 |
| 1,124.000 | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 13,318.27       | 15,439.76   | -2,121.49             |
| 822.000   | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 9,674.91        | 11,291.36   | -1,616.45             |

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**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY                   | DESCRIPTION                                                         | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------------------------|---------------------------------------------------------------------|--------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 129.000                    | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 09/02/15     | 07/07/16      | 1,706.76            | 1,545.16    | 161.60                |
| 346.000                    | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 01/26/16     | 07/07/16      | 4,577.82            | 3,494.25    | 1,083.57              |
| 34.000                     | SUPERNUS PHARMACEUTICALS INC<br>COM<br>SYMBOL SUPN, CUSIP 868459108 | <input type="checkbox"/> | 03/30/16     | 06/08/16      | 665.25              | 522.91      | 142.34                |
| 299.000                    | SUPERNUS PHARMACEUTICALS INC<br>COM<br>SYMBOL SUPN, CUSIP 868459108 | <input type="checkbox"/> | 03/30/16     | 07/07/16      | 6,379.20            | 4,598.50    | 1,780.70              |
| 228.000                    | TREEHOUSE FOODS INC<br>SYMBOL THS, CUSIP 89469A104                  | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 17,061.26           | 19,379.19   | -2,317.94             |
| 57.000                     | TREEHOUSE FOODS INC<br>SYMBOL THS, CUSIP 89469A104                  | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 4,265.31            | 4,076.43    | 188.88                |
| 27.000                     | VAIL RESORTS INC<br>SYMBOL MTN, CUSIP 91879Q109                     | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 3,374.23            | 2,751.90    | 622.33                |
| 67.000                     | VAIL RESORTS INC<br>SYMBOL: MTN, CUSIP 91879Q109                    | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 8,760.73            | 6,828.79    | 1,931.94              |
| 69.000                     | VAIL RESORTS INC<br>SYMBOL: MTN, CUSIP 91879Q109                    | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 8,972.57            | 7,032.64    | 1,939.93              |
| 764.000                    | VASCO DATA SECURITY INTL INC<br>SYMBOL: VDSI, CUSIP 92230Y104       | <input type="checkbox"/> | 06/17/15     | 01/26/16      | 11,680.64           | 25,708.52   | -14,027.88            |
| 615.000                    | VASCO DATA SECURITY INTL INC<br>SYMBOL: VDSI, CUSIP 92230Y104       | <input type="checkbox"/> | 09/02/15     | 01/26/16      | 9,402.61            | 9,608.92    | -206.31               |
| 46.000                     | VCA INC<br>SYMBOL: WOOF, CUSIP 918194101                            | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 2,345.61            | 2,527.23    | -181.62               |
| 209.000                    | VCA INC<br>SYMBOL: WOOF, CUSIP 918194101                            | <input type="checkbox"/> | 04/28/15     | 03/15/16      | 11,461.37           | 11,482.41   | -21.04                |
| 142.000                    | VCA INC<br>SYMBOL: WOOF, CUSIP 918194101                            | <input type="checkbox"/> | 04/28/15     | 03/16/16      | 7,782.91            | 7,801.45    | -18.54                |
| 432.000                    | WEST CORPORATION<br>SYMBOL: WSTC, CUSIP: 952355204                  | <input type="checkbox"/> | 04/28/15     | 01/26/16      | 7,848.43            | 13,780.04   | -5,931.61             |
| 160.000                    | WEST CORPORATION<br>SYMBOL: WSTC, CUSIP: 952355204                  | <input type="checkbox"/> | 09/02/15     | 01/26/16      | 2,906.82            | 3,817.31    | -910.49               |
| <b>Short-Term Subtotal</b> |                                                                     |                          |              |               | <b>1,560,695.64</b> |             | <b>-179,593.72</b>    |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Long-Term**

| QUANTITY | DESCRIPTION                                                      | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 110.000  | AMERISAFE INC<br>COM<br>SYMBOL: AMSF, CUSIP: 03071H100           | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 6,663.66        | 5,045.43    | 1,618.23              |
| 65.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP: 001744101         | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 2,664.93        | 1,904.99    | 759.94                |
| 161.000  | ARRIS INTERNATIONAL PLC<br>SHS<br>SYMBOL: ARRS, CUSIP: G0551A103 | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 3,741.59        | 5,578.31    | -1,836.72             |
| 86.000   | ARRIS INTERNATIONAL PLC<br>SHS<br>SYMBOL: ARRS, CUSIP: G0551A103 | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 1,998.61        | 2,745.92    | -747.31               |
| 95.000   | COLONY CAPITAL INC<br>CL A<br>CUSIP: 19624R106                   | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 1,445.24        | 2,288.38    | -843.14               |
| 39.000   | COLONY CAPITAL INC<br>CL A<br>CUSIP: 19624R106                   | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 593.31          | 1,029.17    | -435.87               |
| 205.000  | CONVERGYS CORP<br>SYMBOL: CVG, CUSIP: 212485106                  | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,154.15        | 4,737.49    | 416.66                |
| 25.000   | EMERGENT BIOSOLUTIONS INC<br>SYMBOL: EBS, CUSIP: 29089Q105       | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 1,083.10        | 774.62      | 308.48                |
| 133.000  | EMERGENT BIOSOLUTIONS INC<br>SYMBOL: EBS, CUSIP: 29089Q105       | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 3,899.82        | 4,120.98    | -221.16               |
| 76.000   | EPAM SYSTEMS INC<br>SYMBOL: EPAM, CUSIP: 29414B104               | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 4,887.46        | 5,058.55    | -171.09               |
| 160.000  | FIRST MERCHANTS CORP<br>SYMBOL: FRME, CUSIP: 320817109           | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 3,932.87        | 3,718.81    | 214.06                |
| 212.000  | GLOBUS MEDICAL INC<br>SYMBOL: GMED, CUSIP: 379577208             | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,149.92        | 5,374.98    | -225.06               |
| 98.000   | HAWAIIAN HOLDINGS INC<br>SYMBOL: HA, CUSIP: 419879101            | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 3,939.52        | 2,400.01    | 1,539.51              |
| 312.000  | HOME BANCSHARES INC<br>SYMBOL: HOMB, CUSIP: 436893200            | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,013.85        | 5,303.98    | 709.87                |
| 154.000  | INTEGRATED DEVICE TECHNOLOGY<br>SYMBOL: IDTI, CUSIP: 458118106   | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 3,028.09        | 2,882.57    | 145.52                |
| 53.000   | LIGAND PHARMACEUTICALS INC<br>SYMBOL: LGND, CUSIP: 53220K504     | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 6,548.00        | 4,946.57    | 1,601.43              |
| 9.000    | MANHATTAN ASSOCIATES INC<br>SYMBOL: MANH, CUSIP: 562750109       | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 613.51          | 505.60      | 107.91                |

CORRECTED COPY: March 15, 2017

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                              | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 32.000   | MANHATTAN ASSOCIATES INC<br>SYMBOL: MANH, CUSIP: 562750109               | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 2,120.27        | 1,797.67    | 322.60                |
| 75.000   | MUELLER WATER PRODUCTS INC<br>COM SER A<br>SYMBOL: MWA, CUSIP: 624758108 | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 865.56          | 768.66      | 96.90                 |
| 436.000  | MUELLER WATER PRODUCTS INC<br>COM SER A<br>SYMBOL: MWA, CUSIP: 624758108 | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,001.42        | 4,468.48    | 532.94                |
| 87.000   | MULTI COLOR CORP<br>SYMBOL: LABL, CUSIP: 625383104                       | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 5,439.76        | 5,792.81    | -353.05               |
| 59.000   | NATUS MEDICAL INC DEL<br>SYMBOL: BABY, CUSIP: 639050103                  | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 2,298.53        | 2,404.08    | -105.55               |
| 28.000   | NEENAH PAPER INC<br>SYMBOL: NP, CUSIP: 640079109                         | <input type="checkbox"/> | 06/17/15     | 07/07/16      | 2,031.63        | 1,662.40    | 369.23                |
| 59.000   | PAREXEL INTERNATIONAL CORP<br>SYMBOL: PRXL, CUSIP: 699462107             | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 3,673.35        | 4,112.29    | -438.94               |
| 83.000   | PINNACLE FINL PARTNERS INC<br>SYMBOL: PNFP, CUSIP: 72346Q104             | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 4,023.54        | 3,879.92    | 143.62                |
| 142.000  | PNM RESOURCES INC<br>COM<br>SYMBOL: PNM, CUSIP: 69349H107                | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,079.50        | 4,045.57    | 1,033.93              |
| 26.000   | PRIMERICA INC<br>SYMBOL: PRI, CUSIP: 74164M108                           | <input type="checkbox"/> | 04/28/15     | 06/08/16      | 1,519.30        | 1,225.54    | 293.76                |
| 120.000  | PRIMERICA INC<br>SYMBOL: PRI, CUSIP: 74164M108                           | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,877.91        | 5,656.34    | 1,221.57              |
| 198.000  | RENASANT CORPORATION<br>SYMBOL: RNST, CUSIP: 75970E107                   | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,327.94        | 6,024.01    | 303.93                |
| 199.000  | SONIC CORP<br>SYMBOL: SONC, CUSIP: 835451105                             | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,431.64        | 6,064.70    | -633.06               |
| 99.000   | SOUTH STATE CORPORATION<br>COM<br>SYMBOL: SSB, CUSIP: 840441109          | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,647.21        | 6,829.73    | -182.52               |
| 28.000   | SOUTHWEST GAS HOLDINGS INC<br>SYMBOL: SWX, CUSIP: 844895102              | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 2,159.76        | 1,613.62    | 546.13                |
| 57.000   | SOVRAN SELF STORAGE INC<br>CUSIP: 84610H108                              | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 5,979.42        | 5,168.49    | 810.93                |
| 67.000   | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL: INN, CUSIP: 866082100             | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 886.46          | 920.34      | -33.88                |

**ACCOUNT NUMBER:**  
871-21910  
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### Schedule of Realized Gains and Losses (con't)

| QUANTITY                      | DESCRIPTION                                       | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-------------------------------|---------------------------------------------------|--------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 47.000                        | VAIL RESORTS INC<br>SYMBOL: MTN, CUSIP. 91879Q109 | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,536.14            | 4,790.35    | 1,745.79              |
| 95.000                        | VCA INC<br>SYMBOL: WOOF, CUSIP 918194101          | <input type="checkbox"/> | 04/28/15     | 07/07/16      | 6,304.07            | 5,219.28    | 1,084.79              |
|                               | <b>Long-Term Subtotal</b>                         |                          |              |               | <b>140,561.04</b>   |             | <b>9,700.38</b>       |
| <b>Total Gains and Losses</b> |                                                   |                          |              |               | <b>1,701,256.68</b> |             | <b>-169,893.34</b>    |
|                               | <b>Short-Term</b>                                 |                          |              |               |                     |             | <b>-179,593.72</b>    |
|                               | <b>Long-Term</b>                                  |                          |              |               |                     |             | <b>9,700.38</b>       |
|                               | <b>Term Unknown</b>                               |                          |              |               |                     |             | <b>0.00</b>           |

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

| QUANTITY | DESCRIPTION                                                                   | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 706.000  | ACTIVISION BLIZZARD INC<br>SYMBOL: ATVI, CUSIP: 00507V109                     | <input type="checkbox"/> | 08/02/16     | 10/20/16      | 31,282.24       | 28,032.93   | 3,249.31              |
| 0.800    | ADVANSIX INC<br>COMMON STOCK<br>SYMBOL: ASIX, CUSIP: 00773T101                | <input type="checkbox"/> | 11/25/15     | 10/01/16      | 11.54           | 10.96       | 0.58                  |
| 13.000   | ADVANSIX INC<br>COMMON STOCK<br>SYMBOL: ASIX, CUSIP: 00773T101                | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 214.23          | 178.15      | 36.08                 |
| 143.000  | ALASKA AIR GROUP INC<br>SYMBOL: ALK, CUSIP: 011659109                         | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 11,317.08       | 9,345.19    | 1,971.89              |
| 566.000  | ALASKA AIR GROUP INC<br>SYMBOL: ALK, CUSIP: 011659109                         | <input type="checkbox"/> | 06/05/15     | 05/12/16      | 37,491.87       | 36,988.67   | 503.20                |
| 13.000   | AMAZON.COM INC<br>SYMBOL: AMZN, CUSIP: 023135106                              | <input type="checkbox"/> | 05/12/16     | 07/22/16      | 9,726.90        | 9,350.55    | 376.35                |
| 35.000   | AMAZON.COM INC<br>SYMBOL: AMZN, CUSIP: 023135106                              | <input type="checkbox"/> | 05/12/16     | 10/20/16      | 28,393.13       | 25,174.55   | 3,218.58              |
| 166.000  | AMERICAN FINANCIAL GROUP INC<br>(HOLDING CO)<br>SYMBOL: AFG, CUSIP: 025932104 | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 11,711.12       | 12,240.14   | -529.02               |
| 87.000   | AMERICAN FINANCIAL GROUP INC<br>(HOLDING CO)<br>SYMBOL: AFG, CUSIP: 025932104 | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 6,313.46        | 6,415.01    | -101.55               |
| 332.000  | AMERICAN FINANCIAL GROUP INC<br>(HOLDING CO)<br>SYMBOL: AFG, CUSIP: 025932104 | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 24,761.08       | 24,480.29   | 280.79                |
| 13.000   | AMERICAN FINANCIAL GROUP INC<br>(HOLDING CO)<br>SYMBOL: AFG, CUSIP: 025932104 | <input type="checkbox"/> | 11/25/15     | 10/24/16      | 979.00          | 958.57      | 20.43                 |
| 93.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP: 001744101                      | <input type="checkbox"/> | 03/17/16     | 05/10/16      | 3,580.47        | 2,959.20    | 621.27                |
| 348.000  | AMN HEALTHCARE SVCS INC<br>SYMBOL: AMN, CUSIP: 001744101                      | <input type="checkbox"/> | 03/17/16     | 07/22/16      | 15,205.07       | 11,073.15   | 4,131.92              |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                             | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 449.000  | AMN HEALTHCARE SVCS INC<br>SYMBOL AMN, CUSIP 001744101  | <input type="checkbox"/> | 03/17/16     | 10/20/16      | 15,131.06       | 14,286.91   | 844.15                |
| 28.000   | AMN HEALTHCARE SVCS INC<br>SYMBOL AMN, CUSIP 001744101  | <input type="checkbox"/> | 03/17/16     | 10/24/16      | 950.29          | 890.94      | 59.35                 |
| 92.000   | AVERY DENNISON CORP<br>SYMBOL AVY, CUSIP 053611109      | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 6,358.21        | 5,609.51    | 748.70                |
| 72.000   | BECTON DICKINSON & CO<br>SYMBOL BDX, CUSIP 075887109    | <input type="checkbox"/> | 12/15/15     | 03/15/16      | 10,790.58       | 11,047.29   | -256.71               |
| 16.000   | BECTON DICKINSON & CO<br>SYMBOL BDX, CUSIP 075887109    | <input type="checkbox"/> | 12/15/15     | 05/12/16      | 2,673.42        | 2,454.95    | 218.47                |
| 44.000   | BECTON DICKINSON & CO<br>SYMBOL BDX, CUSIP 075887109    | <input type="checkbox"/> | 12/15/15     | 07/22/16      | 7,590.38        | 6,751.12    | 839.26                |
| 148.000  | BECTON DICKINSON & CO<br>SYMBOL BDX, CUSIP 075887109    | <input type="checkbox"/> | 12/15/15     | 10/20/16      | 25,641.45       | 22,708.32   | 2,933.13              |
| 5.000    | BECTON DICKINSON & CO<br>SYMBOL BDX, CUSIP 075887109    | <input type="checkbox"/> | 12/15/15     | 10/24/16      | 867.99          | 767.17      | 100.82                |
| 110.000  | BRISTOL MYERS SQUIBB CO<br>SYMBOL BMY, CUSIP 110122108  | <input type="checkbox"/> | 12/15/15     | 03/15/16      | 6,991.50        | 7,737.25    | -745.75               |
| 38.000   | BRISTOL MYERS SQUIBB CO<br>SYMBOL BMY, CUSIP 110122108  | <input type="checkbox"/> | 12/15/15     | 05/12/16      | 2,707.06        | 2,672.87    | 34.19                 |
| 120.000  | BRISTOL MYERS SQUIBB CO<br>SYMBOL BMY, CUSIP 110122108  | <input type="checkbox"/> | 12/15/15     | 07/22/16      | 9,043.12        | 8,440.63    | 602.49                |
| 207.000  | BRISTOL MYERS SQUIBB CO<br>SYMBOL BMY, CUSIP 110122108  | <input type="checkbox"/> | 12/15/15     | 10/20/16      | 10,436.92       | 14,560.09   | -4,123.17             |
| 64.000   | BRISTOL MYERS SQUIBB CO<br>SYMBOL BMY, CUSIP 110122108  | <input type="checkbox"/> | 12/15/15     | 11/23/16      | 3,630.70        | 4,501.67    | -870.97               |
| 0.303    | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107 | <input type="checkbox"/> | 08/28/15     | 03/24/16      | 0.37            | 0.38        | -0.01                 |
| 47.371   | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107 | <input type="checkbox"/> | 08/28/15     | 04/08/16      | 57.79           | 59.49       | -1.70                 |
| 15.260   | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107 | <input type="checkbox"/> | 11/25/15     | 04/08/16      | 18.62           | 20.22       | -1.60                 |
| 10.370   | CALIFORNIA RESOURCES<br>CORPORATION<br>CUSIP: 13057Q107 | <input type="checkbox"/> | 08/28/15     | 04/08/16      | 12.65           | 13.02       | -0.37                 |
| 320.000  | CISCO SYSTEMS INC<br>SYMBOL CSCO, CUSIP 17275R102       | <input type="checkbox"/> | 03/31/15     | 03/15/16      | 8,854.84        | 8,799.38    | 55.46                 |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                                       | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|-------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 1,119.000 | CITIGROUP INC<br>COM<br>SYMBOL: C, CUSIP: 172967424               | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 47,122.30       | 59,283.50   | -12,161.20            |
| 91.000    | CITRIX SYSTEMS INC<br>SYMBOL: CTXS, CUSIP: 177376100              | <input type="checkbox"/> | 05/10/16     | 07/22/16      | 7,881.33        | 7,578.80    | 302.53                |
| 510.000   | CITRIX SYSTEMS INC<br>SYMBOL: CTXS, CUSIP: 177376100              | <input type="checkbox"/> | 05/10/16     | 08/02/16      | 42,539.45       | 42,474.58   | 64.87                 |
| 106.000   | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101           | <input type="checkbox"/> | 03/15/16     | 07/22/16      | 7,135.06        | 6,251.67    | 883.39                |
| 345.000   | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101           | <input type="checkbox"/> | 03/15/16     | 10/20/16      | 22,246.28       | 20,347.41   | 1,898.87              |
| 13.000    | COMCAST CORP<br>CL A<br>SYMBOL: CMCSA, CUSIP: 20030N101           | <input type="checkbox"/> | 03/15/16     | 10/24/16      | 842.41          | 766.71      | 75.70                 |
| 260.000   | COMFORT SYSTEMS USA INC<br>SYMBOL: FIX, CUSIP: 199908104          | <input type="checkbox"/> | 03/17/16     | 07/22/16      | 8,060.78        | 7,785.67    | 275.11                |
| 1,276.000 | COMFORT SYSTEMS USA INC<br>SYMBOL: FIX, CUSIP: 199908104          | <input type="checkbox"/> | 03/17/16     | 08/02/16      | 37,247.15       | 38,209.70   | -962.55               |
| 50.000    | CONSTELLATION BRANDS INC<br>CL A<br>SYMBOL: STZ, CUSIP: 21036P108 | <input type="checkbox"/> | 04/08/16     | 07/22/16      | 8,363.44        | 7,938.45    | 424.99                |
| 141.000   | CONSTELLATION BRANDS INC<br>CL A<br>SYMBOL: STZ, CUSIP: 21036P108 | <input type="checkbox"/> | 04/08/16     | 10/20/16      | 23,545.10       | 22,386.43   | 1,158.67              |
| 5.000     | CONSTELLATION BRANDS INC<br>CL A<br>SYMBOL: STZ, CUSIP: 21036P108 | <input type="checkbox"/> | 04/08/16     | 10/24/16      | 843.99          | 793.84      | 50.15                 |
| 295.000   | D R HORTON INC<br>SYMBOL: DHI, CUSIP: 23331A109                   | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 8,708.30        | 8,835.16    | -126.86               |
| 410.000   | D R HORTON INC<br>SYMBOL: DHI, CUSIP: 23331A109                   | <input type="checkbox"/> | 08/28/15     | 07/22/16      | 13,923.78       | 12,279.38   | 1,644.40              |
| 115.000   | DANAHER CORPORATION<br>SYMBOL: DHR, CUSIP: 235851102              | <input type="checkbox"/> | 10/22/15     | 03/15/16      | 10,578.64       | 10,815.78   | -237.14               |
| 240.000   | DANAHER CORPORATION<br>SYMBOL: DHR, CUSIP: 235851102              | <input type="checkbox"/> | 10/22/15     | 10/20/16      | 19,274.50       | 17,215.83   | 2,058.67              |
| 177.000   | ELECTRONIC ARTS INC<br>SYMBOL: EA, CUSIP: 285512109               | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 11,538.62       | 12,003.91   | -465.29               |
| 759.000   | ELECTRONIC ARTS INC<br>SYMBOL: EA, CUSIP: 285512109               | <input type="checkbox"/> | 08/28/15     | 05/10/16      | 48,992.53       | 51,474.39   | -2,481.86             |

# Schedule of Realized Gains and Losses (con't)

## Short-Term (con't)

| QUANTITY | DESCRIPTION                                                                 | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 88.000   | EXPEDIA INC<br>SYMBOL: EXPE, CUSIP: 30212P303                               | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 10,230.65       | 10,228.51   | 2.14                  |
| 421.000  | EXPEDIA INC<br>SYMBOL: EXPE, CUSIP: 30212P303                               | <input type="checkbox"/> | 08/28/15     | 03/17/16      | 47,034.77       | 48,934.14   | -1,899.37             |
| 708.000  | EXPRESS SCRIPTS HOLDING<br>COMPANY<br>SYMBOL: ESRX, CUSIP: 30219G108        | <input type="checkbox"/> | 11/25/15     | 01/19/16      | 50,826.17       | 60,786.54   | -9,960.37             |
| 169.000  | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP: 30231G102                           | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 13,907.17       | 13,736.07   | 171.10                |
| 100.000  | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP: 30231G102                           | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 9,378.13        | 8,127.85    | 1,250.28              |
| 252.000  | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP: 30231G102                           | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 21,939.50       | 20,482.18   | 1,457.32              |
| 7.000    | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP: 30231G102                           | <input type="checkbox"/> | 11/25/15     | 10/24/16      | 608.65          | 568.95      | 39.70                 |
| 195.000  | EXXON MOBIL CORP<br>SYMBOL: XOM, CUSIP: 30231G102                           | <input type="checkbox"/> | 11/25/15     | 11/15/16      | 16,906.72       | 15,849.31   | 1,057.41              |
| 149.000  | FACEBOOK INC<br>CL A<br>SYMBOL: FB, CUSIP: 30303M102                        | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 16,400.49       | 15,735.44   | 665.05                |
| 86.000   | FACEBOOK INC<br>CL A<br>SYMBOL: FB, CUSIP: 30303M102                        | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 10,399.97       | 9,082.20    | 1,317.77              |
| 171.000  | FACEBOOK INC<br>CL A<br>SYMBOL: FB, CUSIP: 30303M102                        | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 22,259.16       | 18,058.80   | 4,200.36              |
| 34.000   | FACEBOOK INC<br>CL A<br>SYMBOL: FB, CUSIP: 30303M102                        | <input type="checkbox"/> | 11/25/15     | 10/24/16      | 4,519.95        | 3,590.64    | 929.31                |
| 123.000  | FIRST REPUBLIC BANK SAN<br>FRANCISCO CALIF<br>SYMBOL: FRC, CUSIP: 33616C100 | <input type="checkbox"/> | 05/10/16     | 07/22/16      | 8,698.83        | 8,472.30    | 226.53                |
| 354.000  | FIRST REPUBLIC BANK SAN<br>FRANCISCO CALIF<br>SYMBOL: FRC, CUSIP: 33616C100 | <input type="checkbox"/> | 05/10/16     | 10/20/16      | 26,963.59       | 24,383.70   | 2,579.89              |
| 11.000   | FIRST REPUBLIC BANK SAN<br>FRANCISCO CALIF<br>SYMBOL: FRC, CUSIP: 33616C100 | <input type="checkbox"/> | 05/10/16     | 10/24/16      | 845.77          | 757.69      | 88.08                 |
| 74.000   | FISERV INC<br>SYMBOL: FISV, CUSIP: 337738108                                | <input type="checkbox"/> | 05/10/16     | 07/22/16      | 8,176.82        | 7,785.84    | 390.98                |
| 216.000  | FISERV INC<br>SYMBOL: FISV, CUSIP: 337738108                                | <input type="checkbox"/> | 05/10/16     | 10/20/16      | 21,541.43       | 22,726.23   | -1,184.80             |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                                | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 69.000    | FOOT LOCKER INC<br>SYMBOL: FL, CUSIP 344849104             | <input type="checkbox"/> | 02/01/16     | 03/15/16      | 4,437.99        | 4,789.28    | -351.29               |
| 763.000   | FOOT LOCKER INC<br>SYMBOL: FL, CUSIP 344849104             | <input type="checkbox"/> | 02/01/16     | 05/10/16      | 46,534.50       | 52,959.67   | -6,425.17             |
| 244.000   | FORTIVE CORPORATION<br>COM<br>SYMBOL: FTV, CUSIP 34959J108 | <input type="checkbox"/> | 10/22/15     | 07/22/16      | 12,197.58       | 10,891.02   | 1,306.56              |
| 33.000    | GENERAL DYNAMICS CORP<br>SYMBOL: GD, CUSIP 369550108       | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 4,381.97        | 4,601.14    | -219.17               |
| 39.000    | GLOBAL PAYMENTS INC<br>SYMBOL: GPN, CUSIP: 37940X102       | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 2,302.50        | 2,824.35    | -521.85               |
| 266.000   | GLOBAL PAYMENTS INC<br>SYMBOL: GPN, CUSIP 37940X102        | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 20,657.10       | 19,263.51   | 1,393.59              |
| 319.000   | GLOBAL PAYMENTS INC<br>SYMBOL: GPN, CUSIP 37940X102        | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 23,523.62       | 23,101.72   | 421.90                |
| 250.000   | GLOBAL PAYMENTS INC<br>SYMBOL: GPN, CUSIP: 37940X102       | <input type="checkbox"/> | 11/25/15     | 11/15/16      | 16,978.62       | 18,104.80   | -1,126.18             |
| 55.000    | HARRIS CORP-DEL<br>SYMBOL: HRS, CUSIP: 413875105           | <input type="checkbox"/> | 12/15/15     | 03/15/16      | 4,227.75        | 4,595.33    | -367.58               |
| 130.000   | HARRIS CORP-DEL<br>SYMBOL: HRS, CUSIP: 413875105           | <input type="checkbox"/> | 12/15/15     | 07/22/16      | 11,215.11       | 10,861.70   | 353.41                |
| 310.000   | HARRIS CORP-DEL<br>SYMBOL: HRS, CUSIP 413875105            | <input type="checkbox"/> | 12/15/15     | 10/20/16      | 28,234.49       | 25,900.97   | 2,333.52              |
| 240.000   | HOME BANCSHARES INC<br>SYMBOL: HOMB, CUSIP: 436893200      | <input type="checkbox"/> | 10/22/15     | 03/15/16      | 9,986.40        | 10,293.12   | -306.72               |
| 254.000   | HOME BANCSHARES INC<br>SYMBOL: HOMB, CUSIP: 436893200      | <input type="checkbox"/> | 10/22/15     | 07/22/16      | 5,308.78        | 5,446.78    | -138.00               |
| 1,110.000 | HOME BANCSHARES INC<br>SYMBOL: HOMB, CUSIP: 436893200      | <input type="checkbox"/> | 10/22/15     | 10/20/16      | 22,634.29       | 23,802.84   | -1,168.55             |
| 127.000   | HONEYWELL INTL INC<br>SYMBOL: HON, CUSIP: 438516106        | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 13,754.82       | 13,149.80   | 605.02                |
| 70.000    | HONEYWELL INTL INC<br>SYMBOL: HON, CUSIP: 438516106        | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 8,026.20        | 7,247.92    | 778.28                |
| 187.000   | HONEYWELL INTL INC<br>SYMBOL: HON, CUSIP: 438516106        | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 20,265.38       | 19,259.79   | 1,005.59              |
| 8.000     | HONEYWELL INTL INC<br>SYMBOL: HON, CUSIP: 438516106        | <input type="checkbox"/> | 11/25/15     | 10/24/16      | 876.00          | 823.95      | 52.05                 |
| 188.000   | ILLINOIS TOOL WORKS INC<br>SYMBOL: ITW, CUSIP 452308109    | <input type="checkbox"/> | 08/02/16     | 10/20/16      | 21,313.33       | 21,610.94   | -297.61               |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                               | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 6.000    | ILLINOIS TOOL WORKS INC<br>SYMBOL: ITW, CUSIP 452308109   | <input type="checkbox"/> | 08/02/16     | 10/24/16      | 682.24          | 689.71      | -7.47                 |
| 146.000  | JOHNSON & JOHNSON<br>SYMBOL: JNJ, CUSIP: 478160104        | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 15,759.30       | 14,903.46   | 855.84                |
| 86.000   | JOHNSON & JOHNSON<br>SYMBOL: JNJ, CUSIP: 478160104        | <input type="checkbox"/> | 11/25/15     | 07/22/16      | 10,725.04       | 8,778.75    | 1,946.29              |
| 190.000  | JOHNSON & JOHNSON<br>SYMBOL: JNJ, CUSIP 478160104         | <input type="checkbox"/> | 11/25/15     | 10/20/16      | 21,833.07       | 19,394.92   | 2,438.15              |
| 5.000    | JOHNSON & JOHNSON<br>SYMBOL JNJ, CUSIP 478160104          | <input type="checkbox"/> | 11/25/15     | 10/24/16      | 567.64          | 510.39      | 57.25                 |
| 76.000   | LAM RESEARCH CORP<br>SYMBOL: LRCX, CUSIP: 512807108       | <input type="checkbox"/> | 12/15/15     | 03/15/16      | 5,838.38        | 6,114.00    | -275.62               |
| 152.000  | LAM RESEARCH CORP<br>SYMBOL: LRCX, CUSIP: 512807108       | <input type="checkbox"/> | 12/15/15     | 07/22/16      | 13,646.78       | 12,228.01   | 1,418.77              |
| 302.000  | LAM RESEARCH CORP<br>SYMBOL: LRCX, CUSIP: 512807108       | <input type="checkbox"/> | 12/15/15     | 10/20/16      | 29,250.11       | 24,295.12   | 4,954.99              |
| 137.000  | LENNOX INTL INC<br>SYMBOL: LII, CUSIP: 526107107          | <input type="checkbox"/> | 08/02/16     | 10/20/16      | 20,420.36       | 21,288.16   | -867.80               |
| 6.000    | LENNOX INTL INC<br>SYMBOL: LII, CUSIP: 526107107          | <input type="checkbox"/> | 08/02/16     | 10/24/16      | 905.76          | 932.33      | -26.57                |
| 109.000  | LENNOX INTL INC<br>SYMBOL: LII, CUSIP: 526107107          | <input type="checkbox"/> | 08/02/16     | 11/15/16      | 16,411.33       | 16,937.29   | -525.96               |
| 161.000  | LOWES COMPANIES INC<br>SYMBOL: LOW, CUSIP: 548661107      | <input type="checkbox"/> | 03/31/15     | 03/15/16      | 11,793.01       | 11,976.77   | -183.76               |
| 427.000  | NASDAQ INC<br>COM<br>SYMBOL: NDAQ, CUSIP: 631103108       | <input type="checkbox"/> | 03/31/15     | 03/15/16      | 28,663.92       | 21,616.09   | 7,047.83              |
| 488.000  | NEENAH PAPER INC<br>SYMBOL: NP, CUSIP: 640079109          | <input type="checkbox"/> | 11/25/15     | 02/01/16      | 29,011.35       | 32,139.73   | -3,128.38             |
| 195.000  | NEWELL BRANDS INC<br>SHS<br>SYMBOL: NWL, CUSIP: 651229106 | <input type="checkbox"/> | 05/10/16     | 07/22/16      | 9,508.65        | 9,557.75    | -49.10                |
| 518.000  | NEWELL BRANDS INC<br>SHS<br>SYMBOL: NWL, CUSIP: 651229106 | <input type="checkbox"/> | 05/10/16     | 10/20/16      | 27,206.31       | 25,389.30   | 1,817.01              |
| 16.000   | NEWELL BRANDS INC<br>SHS<br>SYMBOL: NWL, CUSIP: 651229106 | <input type="checkbox"/> | 05/10/16     | 10/24/16      | 845.30          | 784.23      | 61.07                 |
| 851.000  | NORTHERN TRUST CORP<br>SYMBOL: NTRS, CUSIP: 665859104     | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 54,948.72       | 59,425.93   | -4,477.21             |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY | DESCRIPTION                                                           | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 114.000  | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 7,738.17        | 8,144.78    | -406.61               |
| 163.000  | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 11/25/15     | 03/17/16      | 11,569.81       | 12,289.02   | -719.21               |
| 506.000  | OCCIDENTAL PETE CORP<br>SYMBOL: OXY, CUSIP: 674599105                 | <input type="checkbox"/> | 08/28/15     | 03/17/16      | 35,916.11       | 36,151.39   | -235.28               |
| 217.000  | OMEGA HEALTHCARE INVESTORS INC<br>SYMBOL: OHI, CUSIP: 681936100       | <input type="checkbox"/> | 03/15/16     | 07/22/16      | 7,743.13        | 7,264.54    | 478.59                |
| 625.000  | OMEGA HEALTHCARE INVESTORS INC<br>SYMBOL: OHI, CUSIP: 681936100       | <input type="checkbox"/> | 03/15/16     | 10/20/16      | 20,763.92       | 20,872.04   | -108.12               |
| 23.000   | OMEGA HEALTHCARE INVESTORS INC<br>SYMBOL: OHI, CUSIP: 681936100       | <input type="checkbox"/> | 03/15/16     | 10/24/16      | 763.64          | 768.09      | -4.45                 |
| 637.000  | ONEOK INC<br>SYMBOL: OKE, CUSIP: 682680103                            | <input type="checkbox"/> | 03/17/16     | 07/22/16      | 29,311.55       | 19,306.20   | 10,005.35             |
| 578.000  | ONEOK INC<br>SYMBOL: OKE, CUSIP: 682680103                            | <input type="checkbox"/> | 03/17/16     | 10/20/16      | 29,369.26       | 17,518.02   | 11,851.24             |
| 14.000   | ONEOK INC<br>SYMBOL: OKE, CUSIP: 682680103                            | <input type="checkbox"/> | 03/17/16     | 10/24/16      | 713.18          | 424.31      | 288.87                |
| 36.000   | ONEOK INC<br>SYMBOL: OKE, CUSIP: 682680103                            | <input type="checkbox"/> | 03/17/16     | 11/15/16      | 1,797.68        | 1,091.09    | 706.59                |
| 90.000   | PENTAIR PLC<br>SHS<br>SYMBOL: PNR, CUSIP: G7S00T104                   | <input type="checkbox"/> | 05/12/16     | 07/22/16      | 5,557.38        | 5,301.35    | 256.03                |
| 345.000  | PENTAIR PLC<br>SHS<br>SYMBOL: PNR, CUSIP: G7S00T104                   | <input type="checkbox"/> | 05/12/16     | 10/20/16      | 20,138.38       | 20,321.84   | -183.46               |
| 15.000   | PENTAIR PLC<br>SHS<br>SYMBOL: PNR, CUSIP: G7S00T104                   | <input type="checkbox"/> | 05/12/16     | 10/24/16      | 888.77          | 883.56      | 5.21                  |
| 279.000  | PENTAIR PLC<br>SHS<br>SYMBOL: PNR, CUSIP: G7S00T104                   | <input type="checkbox"/> | 05/12/16     | 11/15/16      | 16,414.21       | 16,434.19   | -19.98                |
| 248.000  | PFIZER INC<br>SYMBOL: PFE, CUSIP: 717081103                           | <input type="checkbox"/> | 05/06/16     | 07/22/16      | 9,112.16        | 8,317.67    | 794.49                |
| 624.000  | PFIZER INC<br>SYMBOL: PFE, CUSIP: 717081103                           | <input type="checkbox"/> | 05/06/16     | 10/20/16      | 20,337.58       | 20,928.34   | -590.76               |
| 160.000  | PG&E CORP<br>SYMBOL: PCG, CUSIP: 69331C108                            | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 9,249.84        | 8,185.47    | 1,064.37              |
| 16.000   | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL: PXD, CUSIP: 723787107 | <input type="checkbox"/> | 04/28/16     | 07/22/16      | 2,427.14        | 2,725.04    | -297.90               |

**Schedule of Realized Gains and Losses (con't)**

**Short-Term (con't)**

| QUANTITY  | DESCRIPTION                                                         | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|---------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 188.000   | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL PXD, CUSIP 723787107 | <input type="checkbox"/> | 04/28/16     | 10/20/16      | 35,581.04       | 32,019.27   | 3,561.77              |
| 2.000     | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL PXD, CUSIP 723787107 | <input type="checkbox"/> | 04/28/16     | 10/24/16      | 372.67          | 340.63      | 32.04                 |
| 7.000     | PIONEER NATURAL RESOURCES<br>COMPANY<br>SYMBOL PXD, CUSIP 723787107 | <input type="checkbox"/> | 04/28/16     | 11/15/16      | 1,230.70        | 1,192.21    | 38.49                 |
| 111.000   | PPG INDUSTRIES INC<br>SYMBOL PPG, CUSIP 693506107                   | <input type="checkbox"/> | 02/01/16     | 11/15/16      | 10,638.47       | 10,630.08   | 8.39                  |
| 140.000   | REYNOLDS AMERICAN INC<br>SYMBOL RAI, CUSIP 761713106                | <input type="checkbox"/> | 03/15/16     | 07/22/16      | 7,322.32        | 7,139.72    | 182.60                |
| 409.000   | REYNOLDS AMERICAN INC<br>SYMBOL RAI, CUSIP 761713106                | <input type="checkbox"/> | 03/15/16     | 10/20/16      | 19,277.13       | 20,858.18   | -1,581.05             |
| 380.000   | REYNOLDS AMERICAN INC<br>SYMBOL RAI, CUSIP 761713106                | <input type="checkbox"/> | 03/15/16     | 10/24/16      | 20,741.23       | 19,379.24   | 1,361.99              |
| 53.000    | SIGNATURE BANK<br>SYMBOL SBNY, CUSIP 82669G104                      | <input type="checkbox"/> | 11/25/15     | 03/15/16      | 7,553.92        | 8,513.94    | -960.02               |
| 328.000   | SIGNATURE BANK<br>SYMBOL SBNY, CUSIP 82669G104                      | <input type="checkbox"/> | 11/25/15     | 05/10/16      | 43,224.33       | 52,690.05   | -9,465.72             |
| 112.000   | STANLEY BLACK & DECKER INC<br>SYMBOL SWK, CUSIP 854502101           | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 11,150.83       | 11,587.45   | -436.62               |
| 451.000   | STANLEY BLACK & DECKER INC<br>SYMBOL SWK, CUSIP 854502101           | <input type="checkbox"/> | 06/05/15     | 03/17/16      | 45,935.78       | 46,660.19   | -724.41               |
| 107.000   | STERIS PLC<br>COM<br>SYMBOL STE, CUSIP G84720104                    | <input type="checkbox"/> | 01/22/16     | 03/15/16      | 7,172.11        | 7,408.04    | -235.93               |
| 68.000    | STERIS PLC<br>COM<br>SYMBOL STE, CUSIP G84720104                    | <input type="checkbox"/> | 01/22/16     | 07/22/16      | 4,674.90        | 4,707.91    | -33.01                |
| 658.000   | STERIS PLC<br>COM<br>SYMBOL STE, CUSIP G84720104                    | <input type="checkbox"/> | 01/22/16     | 08/02/16      | 46,631.44       | 45,555.97   | 1,075.47              |
| 1,024.000 | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 03/15/16     | 07/22/16      | 14,643.70       | 12,095.59   | 2,548.11              |
| 1,559.000 | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 03/15/16     | 10/20/16      | 20,799.09       | 18,415.06   | 2,384.03              |
| 65.000    | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL INN, CUSIP 866082100          | <input type="checkbox"/> | 03/15/16     | 10/24/16      | 870.33          | 767.79      | 102.54                |

### Schedule of Realized Gains and Losses (con't)

#### Short-Term (con't)

| QUANTITY                   | DESCRIPTION                                                    | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------------------------|----------------------------------------------------------------|--------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 272.000                    | SUMMIT HOTEL PROPERTIES INC<br>SYMBOL: INN, CUSIP. 866082100   | <input type="checkbox"/> | 03/15/16     | 11/23/16      | 3,854.42            | 3,212.89    | 641.53                |
| 174.000                    | TARGET CORP<br>SYMBOL: TGT, CUSIP. 87612E106                   | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 14,205.99           | 13,830.60   | 375.39                |
| 599.000                    | TARGET CORP<br>SYMBOL: TGT, CUSIP: 87612E106                   | <input type="checkbox"/> | 06/05/15     | 05/12/16      | 45,495.27           | 47,612.23   | -2,116.96             |
| 248.000                    | US BANCORP DEL<br>COM<br>SYMBOL: USB, CUSIP. 902973304         | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 10,068.60           | 10,507.74   | -439.14               |
| 157.000                    | US BANCORP DEL<br>COM<br>SYMBOL: USB, CUSIP: 902973304         | <input type="checkbox"/> | 08/28/15     | 07/22/16      | 6,598.56            | 6,652.07    | -53.51                |
| 151.000                    | VAIL RESORTS INC<br>SYMBOL: MTN, CUSIP: 91879Q109              | <input type="checkbox"/> | 08/02/16     | 10/20/16      | 23,793.73           | 21,648.72   | 2,145.01              |
| 6.000                      | VAIL RESORTS INC<br>SYMBOL: MTN, CUSIP: 91879Q109              | <input type="checkbox"/> | 08/02/16     | 10/24/16      | 955.77              | 860.21      | 95.56                 |
| 228.000                    | VCA INC<br>SYMBOL: WOOF, CUSIP: 918194101                      | <input type="checkbox"/> | 08/28/15     | 03/15/16      | 12,512.45           | 12,774.70   | -262.25               |
| 65.000                     | VCA INC<br>SYMBOL: WOOF, CUSIP 918194101                       | <input type="checkbox"/> | 08/28/15     | 05/10/16      | 4,210.61            | 3,641.91    | 568.70                |
| 155.000                    | VCA INC<br>SYMBOL: WOOF, CUSIP: 918194101                      | <input type="checkbox"/> | 08/28/15     | 07/22/16      | 10,572.70           | 8,684.56    | 1,888.14              |
| 60.000                     | WALT DISNEY CO<br>SYMBOL: DIS, CUSIP. 254687106                | <input type="checkbox"/> | 06/05/15     | 03/15/16      | 5,875.22            | 6,624.04    | -748.82               |
| 81.000                     | WYNDHAM WORLDWIDE CORPORATION<br>SYMBOL: WYN, CUSIP. 98310W108 | <input type="checkbox"/> | 03/17/16     | 07/22/16      | 6,220.05            | 6,398.66    | -178.61               |
| 552.000                    | WYNDHAM WORLDWIDE CORPORATION<br>SYMBOL: WYN, CUSIP. 98310W108 | <input type="checkbox"/> | 03/17/16     | 08/02/16      | 38,055.93           | 43,605.68   | -5,549.75             |
| <b>Short-Term Subtotal</b> |                                                                |                          |              |               | <b>2,187,150.47</b> |             | <b>33,441.46</b>      |

#### Long-Term

| QUANTITY | DESCRIPTION                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|----------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 54.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP 00287Y109  | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 2,991.00        | 2,766.96    | 224.04                |
| 66.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109 | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 3,655.66        | 3,288.78    | 366.88                |
| 111.000  | ABBVIE INC<br>SYMBOL: ABBV, CUSIP 00287Y109  | <input type="checkbox"/> | 07/03/14     | 03/17/16      | 6,136.20        | 6,420.02    | -283.82               |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                             | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 120.000  | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 09/23/14     | 03/17/16      | 6,633.73        | 6,915.36    | -281.63               |
| 82.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 06/05/14     | 03/17/16      | 4,533.05        | 4,521.48    | 11.57                 |
| 50.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 06/12/14     | 03/17/16      | 2,764.05        | 2,694.00    | 70.05                 |
| 18.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 05/05/14     | 03/17/16      | 995.06          | 922.32      | 72.74                 |
| 93.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 06/03/14     | 03/17/16      | 5,141.14        | 5,063.85    | 77.29                 |
| 97.000   | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 06/10/14     | 03/17/16      | 5,362.27        | 5,240.91    | 121.36                |
| 119.000  | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 07/22/14     | 03/17/16      | 6,578.45        | 6,432.58    | 145.87                |
| 181.000  | ABBVIE INC<br>SYMBOL: ABBV, CUSIP: 00287Y109                            | <input type="checkbox"/> | 05/13/14     | 03/17/16      | 10,005.88       | 9,453.45    | 552.43                |
| 27.000   | ALPHABET INC<br>CLASS A COMMON STOCK<br>SYMBOL: GOOGL, CUSIP: 02079K305 | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 20,131.30       | 14,737.41   | 5,393.89              |
| 9.000    | ALPHABET INC<br>CLASS A COMMON STOCK<br>SYMBOL: GOOGL, CUSIP: 02079K305 | <input type="checkbox"/> | 11/19/14     | 07/22/16      | 6,806.28        | 4,912.47    | 1,893.81              |
| 3.000    | ALPHABET INC<br>CLASS A COMMON STOCK<br>SYMBOL: GOOGL, CUSIP: 02079K305 | <input type="checkbox"/> | 08/28/15     | 10/20/16      | 2,467.12        | 1,971.15    | 495.97                |
| 24.000   | ALPHABET INC<br>CLASS A COMMON STOCK<br>SYMBOL: GOOGL, CUSIP: 02079K305 | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 19,736.92       | 13,099.92   | 6,637.00              |
| 6.000    | ALPHABET INC<br>CLASS A COMMON STOCK<br>SYMBOL: GOOGL, CUSIP: 02079K305 | <input type="checkbox"/> | 08/28/15     | 10/24/16      | 5,000.95        | 3,942.30    | 1,058.65              |
| 34.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP: 031162100                             | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 4,880.59        | 3,773.63    | 1,106.96              |
| 22.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP: 031162100                             | <input type="checkbox"/> | 06/03/14     | 07/22/16      | 3,649.50        | 2,579.28    | 1,070.22              |
| 41.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP: 031162100                             | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 6,801.34        | 4,550.55    | 2,250.79              |
| 18.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP: 031162100                             | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 2,913.65        | 2,110.32    | 803.33                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 20.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP 031162100                   | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 3,237.39        | 2,327.40    | 909.99                |
| 27.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP 031162100                   | <input type="checkbox"/> | 07/03/14     | 10/20/16      | 4,370.47        | 3,283.74    | 1,086.73              |
| 40.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP 031162100                   | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 6,474.78        | 4,729.20    | 1,745.58              |
| 46.000   | AMGEN INC<br>SYMBOL: AMGN, CUSIP: 031162100                  | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 7,445.99        | 5,380.16    | 2,065.83              |
| 3.000    | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 314.36          | 254.27      | 60.09                 |
| 18.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 1,886.18        | 1,462.81    | 423.37                |
| 42.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 4,401.08        | 3,592.80    | 808.28                |
| 25.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 2,468.44        | 2,118.93    | 349.51                |
| 33.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 3,867.57        | 3,075.27    | 792.30                |
| 47.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 5,508.35        | 4,425.05    | 1,083.30              |
| 56.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 6,563.14        | 5,161.60    | 1,401.54              |
| 56.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 6,563.14        | 5,086.00    | 1,477.14              |
| 98.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100                   | <input type="checkbox"/> | 05/13/14     | 10/20/16      | 11,485.50       | 8,306.20    | 3,179.30              |
| 160.000  | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP 043436104  | <input type="checkbox"/> | 05/13/14     | 01/19/16      | 7,185.08        | 10,223.68   | -3,038.60             |
| 90.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP 043436104  | <input type="checkbox"/> | 09/15/14     | 01/19/16      | 4,041.61        | 5,914.80    | -1,873.19             |
| 88.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 06/10/14     | 01/19/16      | 3,951.80        | 5,762.24    | -1,810.44             |
| 83.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 06/05/14     | 01/19/16      | 3,727.26        | 5,444.80    | -1,717.54             |
| 73.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 06/03/14     | 01/19/16      | 3,278.19        | 4,861.07    | -1,582.88             |
| 60.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP 043436104  | <input type="checkbox"/> | 07/03/14     | 01/19/16      | 2,694.41        | 4,273.20    | -1,578.79             |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 60.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 07/22/14     | 01/19/16      | 2,694.41        | 4,239.00    | -1,544.59             |
| 50.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 06/12/14     | 01/19/16      | 2,245.34        | 3,169.50    | -924.16               |
| 40.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 09/23/14     | 01/19/16      | 1,796.27        | 2,592.00    | -795.73               |
| 30.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 07/25/14     | 01/19/16      | 1,347.20        | 2,121.00    | -773.80               |
| 35.000   | ASBURY AUTOMOTIVE GROUP INC<br>SYMBOL: ABG, CUSIP: 043436104 | <input type="checkbox"/> | 05/05/14     | 01/19/16      | 1,571.74        | 2,207.80    | -636.06               |
| 66.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 06/21/13     | 03/15/16      | 2,536.32        | 2,286.18    | 250.14                |
| 17.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 06/21/13     | 07/22/16      | 736.00          | 588.86      | 147.14                |
| 30.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 1,298.82        | 1,083.27    | 215.55                |
| 66.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 05/05/14     | 07/22/16      | 2,857.41        | 2,354.88    | 502.53                |
| 88.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 04/25/14     | 07/22/16      | 3,809.88        | 3,037.76    | 772.12                |
| 38.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 1,469.95        | 1,327.34    | 142.61                |
| 95.000   | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 3,674.88        | 3,325.00    | 349.88                |
| 138.000  | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 05/13/14     | 10/20/16      | 5,338.25        | 4,983.04    | 355.21                |
| 129.000  | AT&T INC<br>SYMBOL: T, CUSIP: 00206R102                      | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 4,990.10        | 4,541.96    | 448.14                |
| 64.000   | AVERY DENNISON CORP<br>SYMBOL: AVY, CUSIP: 053611109         | <input type="checkbox"/> | 06/05/15     | 07/22/16      | 4,656.05        | 3,902.27    | 753.78                |
| 287.000  | AVERY DENNISON CORP<br>SYMBOL: AVY, CUSIP: 053611109         | <input type="checkbox"/> | 06/05/15     | 10/20/16      | 21,821.11       | 17,499.22   | 4,321.89              |
| 111.000  | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100   | <input type="checkbox"/> | 06/10/14     | 03/15/16      | 5,163.12        | 5,601.89    | -438.77               |
| 208.000  | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100   | <input type="checkbox"/> | 07/03/14     | 03/15/16      | 9,675.03        | 10,093.12   | -418.09               |
| 60.000   | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100   | <input type="checkbox"/> | 06/12/14     | 03/15/16      | 2,790.87        | 2,980.20    | -189.33               |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY  | DESCRIPTION                                                | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 7.000     | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100 | <input type="checkbox"/> | 06/05/14     | 03/15/16      | 325.60          | 361.06      | -35.46                |
| 60.000    | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100 | <input type="checkbox"/> | 07/25/14     | 03/15/16      | 2,790.87        | 2,716.80    | 74.07                 |
| 162.000   | BRINKER INTERNATIONAL INC<br>SYMBOL: EAT, CUSIP: 109641100 | <input type="checkbox"/> | 07/22/14     | 03/15/16      | 7,535.36        | 7,334.49    | 200.87                |
| 21.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 1,697.86        | 1,345.89    | 351.97                |
| 70.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 5,659.55        | 4,736.20    | 923.35                |
| 47.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 07/22/14     | 05/06/16      | 3,615.80        | 3,320.55    | 295.25                |
| 62.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 06/03/14     | 05/06/16      | 4,769.79        | 4,412.54    | 357.25                |
| 50.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 06/12/14     | 05/06/16      | 3,846.60        | 3,441.00    | 405.60                |
| 63.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 06/10/14     | 05/06/16      | 4,846.72        | 4,410.63    | 436.09                |
| 70.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 06/05/14     | 05/06/16      | 5,385.24        | 4,946.90    | 438.34                |
| 43.000    | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 05/05/14     | 05/06/16      | 3,308.08        | 2,755.87    | 552.21                |
| 123.000   | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 07/03/14     | 05/06/16      | 9,462.64        | 8,637.95    | 824.69                |
| 141.000   | CARDINAL HEALTH INC<br>SYMBOL: CAH, CUSIP: 14149Y108       | <input type="checkbox"/> | 05/13/14     | 05/06/16      | 10,847.41       | 9,307.13    | 1,540.28              |
| 396.000   | CDW CORPORATION<br>COM<br>SYMBOL: CDW, CUSIP: 12514G108    | <input type="checkbox"/> | 12/04/14     | 03/15/16      | 16,162.42       | 13,621.54   | 2,540.88              |
| 1,210.000 | CDW CORPORATION<br>COM<br>SYMBOL: CDW, CUSIP: 12514G108    | <input type="checkbox"/> | 12/04/14     | 05/03/16      | 46,329.40       | 41,621.38   | 4,708.02              |
| 201.000   | CISCO SYSTEMS INC<br>SYMBOL: CSCO, CUSIP: 17275R102        | <input type="checkbox"/> | 09/22/14     | 03/15/16      | 5,561.95        | 5,008.02    | 553.93                |
| 358.000   | CISCO SYSTEMS INC<br>SYMBOL: CSCO, CUSIP: 17275R102        | <input type="checkbox"/> | 03/31/15     | 07/22/16      | 11,020.21       | 9,844.31    | 1,175.90              |
| 825.000   | CISCO SYSTEMS INC<br>SYMBOL: CSCO, CUSIP: 17275R102        | <input type="checkbox"/> | 03/31/15     | 10/20/16      | 24,908.68       | 22,685.90   | 2,222.78              |
| 9.000     | COMCAST CORP                                               | <input type="checkbox"/> | 09/22/14     | 07/22/16      | 605.81          | 502.45      | 103.36                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                           | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|          | CL A<br>SYMBOL CMCSA, CUSIP 20030N101                 |                          |              |               |                 |             |                       |
| 85.000   | COMCAST CORP<br>CL A<br>SYMBOL CMCSA, CUSIP 20030N101 | <input type="checkbox"/> | 11/26/13     | 07/22/16      | 5,721.51        | 4,238.01    | 1,483.50              |
| 2.000    | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 09/04/13     | 03/15/16      | 202.80          | 117.36      | 85.44                 |
| 50.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 5,069.89        | 3,755.50    | 1,314.39              |
| 59.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 5,982.48        | 4,517.04    | 1,465.44              |
| 64.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 6,489.46        | 4,711.68    | 1,777.78              |
| 42.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 4,044.55        | 3,215.52    | 829.03                |
| 22.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 05/13/14     | 10/20/16      | 1,924.15        | 1,684.32    | 239.83                |
| 60.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 5,247.68        | 4,715.40    | 532.28                |
| 61.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 5,335.14        | 4,757.39    | 577.75                |
| 80.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 6,996.90        | 6,232.80    | 764.10                |
| 2.000    | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/10/14     | 10/24/16      | 176.04          | 155.98      | 20.06                 |
| 7.000    | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/12/14     | 10/24/16      | 616.12          | 537.39      | 78.73                 |
| 122.000  | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 07/03/14     | 11/18/16      | 9,059.59        | 9,351.30    | -291.71               |
| 38.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 07/22/14     | 11/18/16      | 2,821.84        | 2,964.38    | -142.54               |
| 33.000   | CVS HEALTH CORPORATION<br>SYMBOL CVS, CUSIP 126650100 | <input type="checkbox"/> | 06/12/14     | 11/18/16      | 2,450.55        | 2,533.41    | -82.86                |
| 624.000  | D R HORTON INC<br>SYMBOL DHI, CUSIP 23331A109         | <input type="checkbox"/> | 08/28/15     | 10/20/16      | 18,351.49       | 18,688.61   | -337.12               |
| 24.000   | D R HORTON INC<br>SYMBOL DHI, CUSIP 23331A109         | <input type="checkbox"/> | 08/28/15     | 10/24/16      | 705.22          | 718.79      | -13.57                |
| 600.000  | D R HORTON INC<br>SYMBOL DHI, CUSIP 23331A109         | <input type="checkbox"/> | 08/28/15     | 11/15/16      | 16,848.05       | 17,969.82   | -1,121.77             |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 12.000   | DANAHER CORPORATION<br>SYMBOL: DHR, CUSIP: 235851102         | <input type="checkbox"/> | 10/22/15     | 10/24/16      | 970.29          | 860.79      | 109.50                |
| 60.000   | DR PEPPER SNAPPLE GROUP INC<br>SYMBOL: DPS, CUSIP: 26138E109 | <input type="checkbox"/> | 01/20/15     | 02/01/16      | 5,747.29        | 4,662.42    | 1,084.87              |
| 271.000  | DR PEPPER SNAPPLE GROUP INC<br>SYMBOL: DPS, CUSIP: 26138E109 | <input type="checkbox"/> | 01/20/15     | 03/15/16      | 24,709.43       | 21,058.60   | 3,650.83              |
| 89.000   | DR PEPPER SNAPPLE GROUP INC<br>SYMBOL: DPS, CUSIP: 26138E109 | <input type="checkbox"/> | 01/20/15     | 07/22/16      | 8,747.62        | 6,915.92    | 1,831.70              |
| 226.000  | DR PEPPER SNAPPLE GROUP INC<br>SYMBOL: DPS, CUSIP: 26138E109 | <input type="checkbox"/> | 01/20/15     | 10/20/16      | 19,714.07       | 17,561.78   | 2,152.29              |
| 10.000   | DR PEPPER SNAPPLE GROUP INC<br>SYMBOL: DPS, CUSIP: 26138E109 | <input type="checkbox"/> | 01/20/15     | 10/24/16      | 880.88          | 777.07      | 103.81                |
| 62.000   | GENERAL DYNAMICS CORP<br>SYMBOL: GD, CUSIP: 369550108        | <input type="checkbox"/> | 06/05/15     | 07/22/16      | 8,846.59        | 8,644.57    | 202.02                |
| 163.000  | GENERAL DYNAMICS CORP<br>SYMBOL: GD, CUSIP: 369550108        | <input type="checkbox"/> | 06/05/15     | 10/20/16      | 24,604.83       | 22,726.86   | 1,877.97              |
| 5.000    | GENERAL DYNAMICS CORP<br>SYMBOL: GD, CUSIP: 369550108        | <input type="checkbox"/> | 06/05/15     | 10/24/16      | 759.33          | 697.14      | 62.19                 |
| 64.000   | HOME BANCSHARES INC<br>SYMBOL: HOMB, CUSIP: 436893200        | <input type="checkbox"/> | 10/22/15     | 10/24/16      | 1,355.50        | 1,372.42    | -16.92                |
| 175.000  | HORMEL FOODS CORP<br>SYMBOL: HRL, CUSIP: 440452100           | <input type="checkbox"/> | 11/19/14     | 02/01/16      | 14,397.93       | 9,626.31    | 4,771.62              |
| 758.000  | HORMEL FOODS CORP<br>SYMBOL: HRL, CUSIP: 440452100           | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 33,549.86       | 20,847.85   | 12,702.01             |
| 601.000  | HORMEL FOODS CORP<br>SYMBOL: HRL, CUSIP: 440452100           | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 22,683.04       | 16,529.76   | 6,153.28              |
| 22.000   | HORMEL FOODS CORP<br>SYMBOL: HRL, CUSIP: 440452100           | <input type="checkbox"/> | 11/19/14     | 10/24/16      | 832.08          | 605.08      | 227.00                |
| 190.000  | JPMORGAN CHASE & CO<br>SYMBOL: JPM, CUSIP: 46625H100         | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 11,170.38       | 11,506.02   | -335.64               |
| 140.000  | JPMORGAN CHASE & CO<br>SYMBOL: JPM, CUSIP: 46625H100         | <input type="checkbox"/> | 11/19/14     | 07/22/16      | 8,928.08        | 8,478.12    | 449.96                |
| 390.000  | JPMORGAN CHASE & CO<br>SYMBOL: JPM, CUSIP: 46625H100         | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 26,653.34       | 23,617.62   | 3,035.72              |
| 13.000   | JPMORGAN CHASE & CO<br>SYMBOL: JPM, CUSIP: 46625H100         | <input type="checkbox"/> | 11/19/14     | 10/24/16      | 894.28          | 787.25      | 107.03                |
| 9.000    | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103         | <input type="checkbox"/> | 09/04/13     | 03/15/16      | 1,212.20        | 798.70      | 413.50                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                          | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 34.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 4,579.42        | 3,601.00    | 978.42                |
| 43.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 5,791.62        | 4,496.92    | 1,294.70              |
| 34.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 03/13/13     | 03/15/16      | 4,579.42        | 3,047.65    | 1,531.77              |
| 56.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 7,542.58        | 5,960.58    | 1,582.00              |
| 15.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/03/14     | 07/22/16      | 2,012.20        | 1,598.02    | 414.18                |
| 31.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 4,158.56        | 3,299.60    | 858.95                |
| 26.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 3,102.12        | 2,735.02    | 367.10                |
| 42.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 5,011.12        | 4,487.75    | 523.37                |
| 43.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 5,130.43        | 4,580.99    | 549.44                |
| 46.000   | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 5,488.37        | 4,890.02    | 598.35                |
| 2.000    | KIMBERLY CLARK CORP<br>SYMBOL: KMB, CUSIP: 494368103 | <input type="checkbox"/> | 06/12/14     | 10/24/16      | 232.55          | 210.39      | 22.16                 |
| 62.000   | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 2,366.66        | 1,446.71    | 919.95                |
| 157.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 06/05/14     | 03/15/16      | 5,993.00        | 3,783.54    | 2,209.45              |
| 254.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 06/03/14     | 03/15/16      | 9,695.67        | 6,075.43    | 3,620.24              |
| 45.000   | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 06/05/14     | 04/08/16      | 1,709.98        | 1,084.46    | 625.53                |
| 128.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 07/22/14     | 04/08/16      | 4,863.96        | 3,218.56    | 1,645.40              |
| 140.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 06/12/14     | 04/08/16      | 5,319.95        | 3,323.60    | 1,996.35              |
| 194.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 09/22/14     | 04/08/16      | 7,371.93        | 5,057.87    | 2,314.06              |
| 186.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 06/10/14     | 04/08/16      | 7,067.94        | 4,457.49    | 2,610.45              |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                          | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 260.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 09/23/14     | 04/08/16      | 9,879.91        | 6,771.34    | 3,108.57              |
| 292.000  | KROGER CO (THE)<br>SYMBOL: KR, CUSIP: 501044101      | <input type="checkbox"/> | 07/03/14     | 04/08/16      | 11,095.90       | 7,270.39    | 3,825.51              |
| 141.000  | LOWES COMPANIES INC<br>SYMBOL: LOW, CUSIP: 548661107 | <input type="checkbox"/> | 03/31/15     | 07/22/16      | 11,330.51       | 10,488.98   | 841.53                |
| 265.000  | LOWES COMPANIES INC<br>SYMBOL: LOW, CUSIP: 548661107 | <input type="checkbox"/> | 03/31/15     | 10/20/16      | 18,524.10       | 19,713.32   | -1,189.22             |
| 14.000   | LOWES COMPANIES INC<br>SYMBOL: LOW, CUSIP: 548661107 | <input type="checkbox"/> | 03/31/15     | 10/24/16      | 994.67          | 1,041.46    | -46.79                |
| 72.000   | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/03/14     | 03/15/16      | 3,843.48        | 2,903.62    | 939.86                |
| 170.000  | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 9,074.87        | 6,860.86    | 2,214.02              |
| 51.000   | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/05/14     | 07/22/16      | 2,876.51        | 2,097.02    | 779.49                |
| 94.000   | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/03/14     | 07/22/16      | 5,301.80        | 3,790.83    | 1,510.97              |
| 66.000   | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 3,774.02        | 2,713.79    | 1,060.23              |
| 90.000   | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 5,146.39        | 3,656.70    | 1,489.69              |
| 126.000  | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 7,204.95        | 5,175.83    | 2,029.12              |
| 180.000  | MICROSOFT CORP<br>SYMBOL: MSFT, CUSIP: 594918104     | <input type="checkbox"/> | 07/03/14     | 10/20/16      | 10,292.79       | 7,514.50    | 2,778.29              |
| 75.000   | NASDAQ INC<br>COM<br>SYMBOL: NDAQ, CUSIP: 631103108  | <input type="checkbox"/> | 03/31/15     | 07/22/16      | 5,060.14        | 3,796.74    | 1,263.40              |
| 343.000  | NASDAQ INC<br>COM<br>SYMBOL: NDAQ, CUSIP: 631103108  | <input type="checkbox"/> | 03/31/15     | 10/20/16      | 22,538.06       | 17,363.75   | 5,174.31              |
| 15.000   | NASDAQ INC<br>COM<br>SYMBOL: NDAQ, CUSIP: 631103108  | <input type="checkbox"/> | 03/31/15     | 10/24/16      | 998.97          | 759.35      | 239.62                |
| 103.000  | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP: 65339F101  | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 11,940.73       | 10,661.12   | 1,279.61              |
| 81.000   | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP: 65339F101  | <input type="checkbox"/> | 11/19/14     | 07/22/16      | 10,474.69       | 8,383.99    | 2,090.70              |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                         | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|-----------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 174.000  | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP: 65339F101 | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 21,608.75       | 18,010.04   | 3,598.71              |
| 7.000    | NEXTERA ENERGY INC<br>SYMBOL: NEE, CUSIP: 65339F101 | <input type="checkbox"/> | 11/19/14     | 10/24/16      | 866.44          | 724.54      | 141.90                |
| 165.000  | PG&E CORP<br>SYMBOL: PCG, CUSIP: 69331C108          | <input type="checkbox"/> | 06/05/15     | 07/22/16      | 10,659.32       | 8,441.27    | 2,218.05              |
| 342.000  | PG&E CORP<br>SYMBOL: PCG, CUSIP: 69331C108          | <input type="checkbox"/> | 06/05/15     | 10/20/16      | 20,821.66       | 17,496.45   | 3,325.21              |
| 13.000   | PG&E CORP<br>SYMBOL: PCG, CUSIP: 69331C108          | <input type="checkbox"/> | 06/05/15     | 10/24/16      | 785.21          | 665.07      | 120.14                |
| 47.000   | PPG INDUSTRIES INC<br>SYMBOL: PPG, CUSIP: 693506107 | <input type="checkbox"/> | 01/20/15     | 03/15/16      | 4,915.15        | 5,372.21    | -457.06               |
| 36.000   | PPG INDUSTRIES INC<br>SYMBOL: PPG, CUSIP: 693506107 | <input type="checkbox"/> | 01/20/15     | 07/22/16      | 3,886.83        | 4,114.89    | -228.06               |
| 164.000  | PPG INDUSTRIES INC<br>SYMBOL: PPG, CUSIP: 693506107 | <input type="checkbox"/> | 01/20/15     | 10/20/16      | 15,191.50       | 18,745.59   | -3,554.09             |
| 53.000   | PPG INDUSTRIES INC<br>SYMBOL: PPG, CUSIP: 693506107 | <input type="checkbox"/> | 01/20/15     | 11/15/16      | 5,079.63        | 6,058.03    | -978.40               |
| 48.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 3,472.74        | 4,882.56    | -1,409.82             |
| 36.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 2,604.55        | 3,647.16    | -1,042.61             |
| 42.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 01/06/14     | 03/15/16      | 3,038.65        | 3,709.61    | -670.97               |
| 100.000  | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 8,036.07        | 10,028.94   | -1,992.87             |
| 3.000    | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 05/05/14     | 07/22/16      | 241.08          | 303.93      | -62.85                |
| 63.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 07/03/14     | 10/20/16      | 5,212.71        | 7,374.77    | -2,162.06             |
| 66.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 5,460.93        | 6,860.03    | -1,399.10             |
| 37.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 07/22/14     | 10/20/16      | 3,061.43        | 4,200.24    | -1,138.81             |
| 52.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 4,302.55        | 5,392.39    | -1,089.84             |
| 45.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108   | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 3,723.36        | 4,794.75    | -1,071.39             |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                             | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 30.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 2,482.24        | 3,216.30    | -734.06               |
| 23.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 07/25/14     | 10/20/16      | 1,903.05        | 2,572.78    | -669.73               |
| 2.000    | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 07/25/14     | 10/24/16      | 160.25          | 223.72      | -63.47                |
| 15.000   | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 07/25/14     | 11/15/16      | 1,212.88        | 1,677.90    | -465.02               |
| 9.000    | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 09/22/14     | 11/15/16      | 727.73          | 918.05      | -190.32               |
| 4.000    | SCHLUMBERGER LTD<br>SYMBOL: SLB, CUSIP: 806857108       | <input type="checkbox"/> | 09/23/14     | 11/15/16      | 323.43          | 408.96      | -85.53                |
| 43.000   | SNAP-ON INC<br>SYMBOL: SNA, CUSIP: 833034101            | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 6,627.44        | 5,824.78    | 802.66                |
| 43.000   | SNAP-ON INC<br>SYMBOL: SNA, CUSIP: 833034101            | <input type="checkbox"/> | 11/19/14     | 07/22/16      | 6,827.39        | 5,824.78    | 1,002.61              |
| 142.000  | SNAP-ON INC<br>SYMBOL: SNA, CUSIP: 833034101            | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 22,628.48       | 19,235.31   | 3,393.17              |
| 582.000  | US BANCORP DEL<br>COM<br>SYMBOL: USB, CUSIP: 902973304  | <input type="checkbox"/> | 08/28/15     | 10/20/16      | 25,607.96       | 24,659.28   | 948.68                |
| 18.000   | US BANCORP DEL<br>COM<br>SYMBOL: USB, CUSIP: 902973304  | <input type="checkbox"/> | 08/28/15     | 10/24/16      | 793.42          | 762.66      | 30.76                 |
| 30.000   | VALERO ENERGY CORP NEW<br>SYMBOL: VLO, CUSIP: 91913Y100 | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 1,902.63        | 1,497.84    | 404.79                |
| 722.000  | VALERO ENERGY CORP NEW<br>SYMBOL: VLO, CUSIP: 91913Y100 | <input type="checkbox"/> | 11/19/14     | 04/28/16      | 44,684.83       | 36,048.01   | 8,636.82              |
| 374.000  | VCA INC<br>SYMBOL: WOOF, CUSIP: 918194101               | <input type="checkbox"/> | 08/28/15     | 10/20/16      | 25,390.30       | 20,955.00   | 4,435.30              |
| 27.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP: 92343V104  | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 1,421.04        | 1,242.54    | 178.50                |
| 61.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP: 92343V104  | <input type="checkbox"/> | 03/13/13     | 03/15/16      | 3,210.51        | 2,925.56    | 284.95                |
| 24.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP: 92343V104  | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 1,345.97        | 1,144.75    | 201.22                |
| 39.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP: 92343V104  | <input type="checkbox"/> | 04/25/14     | 07/22/16      | 2,187.20        | 1,794.78    | 392.42                |
| 50.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP: 92343V104  | <input type="checkbox"/> | 05/05/14     | 07/22/16      | 2,804.11        | 2,358.50    | 445.61                |

**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                                  | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|--------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 53.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP. 92343V104       | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 2,601.89        | 2,622.97    | -21.08                |
| 64.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP. 92343V104       | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 3,141.91        | 3,157.12    | -15.21                |
| 80.000   | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP. 92343V104       | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 3,927.38        | 3,936.80    | -9.42                 |
| 3.000    | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP. 92343V104       | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 147.28          | 146.76      | 0.52                  |
| 103.000  | VERIZON COMMUNICATIONS<br>SYMBOL: VZ, CUSIP. 92343V104       | <input type="checkbox"/> | 05/13/14     | 10/20/16      | 5,056.51        | 4,912.90    | 143.61                |
| 98.000   | VISA INC<br>CL A COMMON STOCK<br>SYMBOL: V, CUSIP. 92826C839 | <input type="checkbox"/> | 11/19/14     | 03/15/16      | 6,991.17        | 6,111.60    | 879.57                |
| 137.000  | VISA INC<br>CL A COMMON STOCK<br>SYMBOL: V, CUSIP. 92826C839 | <input type="checkbox"/> | 11/19/14     | 07/22/16      | 10,865.39       | 8,543.77    | 2,321.62              |
| 334.000  | VISA INC<br>CL A COMMON STOCK<br>SYMBOL: V, CUSIP. 92826C839 | <input type="checkbox"/> | 11/19/14     | 10/20/16      | 27,584.48       | 20,829.33   | 6,755.15              |
| 64.000   | WALT DISNEY CO<br>SYMBOL: DIS, CUSIP. 254687106              | <input type="checkbox"/> | 06/05/15     | 07/22/16      | 6,258.58        | 7,065.64    | -807.06               |
| 235.000  | WALT DISNEY CO<br>SYMBOL: DIS, CUSIP. 254687106              | <input type="checkbox"/> | 06/05/15     | 10/20/16      | 21,669.67       | 25,944.16   | -4,274.49             |
| 10.000   | WALT DISNEY CO<br>SYMBOL: DIS, CUSIP. 254687106              | <input type="checkbox"/> | 06/05/15     | 10/24/16      | 931.70          | 1,104.01    | -172.31               |
| 22.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 05/13/14     | 03/15/16      | 1,094.76        | 1,096.00    | -1.24                 |
| 83.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 05/05/14     | 03/15/16      | 4,130.22        | 4,112.65    | 17.57                 |
| 63.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 04/25/14     | 03/15/16      | 3,134.99        | 3,103.25    | 31.73                 |
| 72.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 05/13/14     | 07/22/16      | 3,463.30        | 3,586.90    | -123.60               |
| 101.000  | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 06/10/14     | 10/20/16      | 4,542.21        | 5,303.31    | -761.10               |
| 94.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 06/05/14     | 10/20/16      | 4,227.41        | 4,853.22    | -625.81               |
| 99.000   | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP. 949746101            | <input type="checkbox"/> | 06/03/14     | 10/20/16      | 4,452.27        | 5,053.95    | -601.68               |

**ACCOUNT NUMBER:**  
871-19467  
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## Long-Term (con't)

| QUANTITY                      | DESCRIPTION                                       | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-------------------------------|---------------------------------------------------|--------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 115.000                       | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP 949746101  | <input type="checkbox"/> | 05/13/14     | 10/20/16      | 5,171.83            | 5,729.07    | -557.24               |
| 56.000                        | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP: 949746101 | <input type="checkbox"/> | 06/12/14     | 10/20/16      | 2,518.45            | 2,913.12    | -394.67               |
| 17.000                        | WELLS FARGO & CO<br>SYMBOL WFC, CUSIP: 949746101  | <input type="checkbox"/> | 07/03/14     | 10/24/16      | 773.52              | 899.59      | -126.08               |
| 4.000                         | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP 949746101  | <input type="checkbox"/> | 06/12/14     | 10/24/16      | 182.00              | 208.08      | -26.08                |
| 144.000                       | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP: 949746101 | <input type="checkbox"/> | 07/03/14     | 11/23/16      | 7,519.80            | 7,620.08    | -100.27               |
| 50.000                        | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP 949746101  | <input type="checkbox"/> | 09/23/14     | 11/23/16      | 2,611.04            | 2,615.00    | -3.96                 |
| 50.000                        | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP: 949746101 | <input type="checkbox"/> | 09/15/14     | 11/23/16      | 2,611.04            | 2,596.50    | 14.54                 |
| 40.000                        | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP 949746101  | <input type="checkbox"/> | 07/25/14     | 11/23/16      | 2,088.83            | 2,068.80    | 20.03                 |
| 99.000                        | WELLS FARGO & CO<br>SYMBOL: WFC, CUSIP 949746101  | <input type="checkbox"/> | 07/22/14     | 11/23/16      | 5,169.87            | 5,082.66    | 87.21                 |
| <b>Long-Term Subtotal</b>     |                                                   |                          |              |               | <b>1,440,948.99</b> |             | <b>158,334.73</b>     |
| <b>Total Gains and Losses</b> |                                                   |                          |              |               | <b>3,628,099.46</b> |             | <b>191,776.19</b>     |
| <b>Short-Term</b>             |                                                   |                          |              |               |                     |             | <b>33,441.46</b>      |
| <b>Long-Term</b>              |                                                   |                          |              |               |                     |             | <b>158,334.73</b>     |
| <b>Term Unknown</b>           |                                                   |                          |              |               |                     |             | <b>0.00</b>           |

THE NABERS CHARITABLE FOUNDATI  
 DRAYTON NABERS JR  
 SAMFORD UNIVERSITY  
 BROOKS HALL 227  
 800 LAKESHORE DRIVE  
 BIRMINGHAM AL 35229-0001

ACCOUNT NUMBER:  
 871-09955  
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CORRECTED COPY: March 15, 2017

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC.

Market discount, acquisition premium and life to date OID are only provided for covered securities. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

For more information on original cost and other tax attributes reported on fixed income securities, please see the enclosed insert.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

| QUANTITY  | DESCRIPTION                                                                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|--------------------------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 500.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 06/03/16      | 39,010.65       | 41,935.00   | -2,924.35             |
| 301.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 06/03/16      | 23,484.41       | 25,244.87   | -1,760.46             |
| 199.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 06/03/16      | 15,526.24       | 16,690.13   | -1,163.89             |
| 943.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 10/14/16      | 78,313.50       | 79,089.41   | -775.91               |
| 801.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 10/14/16      | 66,520.80       | 67,179.87   | -659.07               |
| 256.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL: IPCC, CUSIP: 45665Q103                                 | <input type="checkbox"/> | 12/03/15     | 10/14/16      | 21,260.08       | 21,470.72   | -210.64               |
| 2,246.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 03/11/15     | 02/05/16      | 62,169.86       | 65,922.91   | -3,753.05             |
| 354.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 03/11/15     | 02/05/16      | 9,798.81        | 10,394.04   | -595.22               |
| 1,400.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 03/11/15     | 02/22/16      | 38,994.87       | 41,106.36   | -2,111.48             |

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### Schedule of Realized Gains and Losses (con't)

#### Short-Term (con't)

| QUANTITY                   | DESCRIPTION                                                                                           | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS   | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------------------------|-------------------------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-------------------|-------------|-----------------------|
| 600.000                    | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP 756158101 | <input type="checkbox"/> | 03/26/15     | 02/22/16      | 16,712.09         | 17,934.18   | -1,222.09             |
| 2,000.000                  | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP 756158101 | <input type="checkbox"/> | 03/26/15     | 02/23/16      | 55,666.78         | 59,780.60   | -4,113.82             |
| 1,400.000                  | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP 756158101 | <input type="checkbox"/> | 03/26/15     | 03/03/16      | 39,148.88         | 41,846.42   | -2,697.54             |
| 600.000                    | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP 756158101 | <input type="checkbox"/> | 04/29/15     | 03/03/16      | 16,778.09         | 18,120.00   | -1,341.91             |
| 22.951                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                 | <input type="checkbox"/> | 09/13/16     | 10/24/16      | 4,563.81          | 4,570.84    | -7.03                 |
| 25.506                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                 | <input type="checkbox"/> | 06/21/16     | 10/24/16      | 5,071.87          | 4,900.75    | 171.12                |
| 27.303                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                 | <input type="checkbox"/> | 03/21/16     | 10/24/16      | 5,429.20          | 5,159.21    | 269.99                |
| 30.126                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                 | <input type="checkbox"/> | 12/21/15     | 10/24/16      | 5,990.56          | 5,568.23    | 422.33                |
| <b>Short-Term Subtotal</b> |                                                                                                       |                          |              |               | <b>504,440.50</b> |             | <b>-22,473.02</b>     |

#### Long-Term

| QUANTITY | DESCRIPTION                                 | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 300.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP 037833100  | <input type="checkbox"/> | 01/24/13     | 05/09/16      | 28,006.79       | 19,345.20   | 8,661.59              |
| 700.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 01/24/13     | 05/09/16      | 65,349.17       | 45,179.80   | 20,169.37             |
| 300.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 01/24/13     | 05/16/16      | 28,117.79       | 19,345.20   | 8,772.59              |
| 700.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 01/25/13     | 05/16/16      | 65,608.17       | 44,350.00   | 21,258.17             |

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**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY | DESCRIPTION                                 | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|----------|---------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 01/25/13     | 05/20/16      | 47,436.96       | 31,750.71   | 15,686.25             |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 05/06/15     | 07/27/16      | 51,849.87       | 61,952.00   | -10,102.13            |
| 300.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 05/20/15     | 07/27/16      | 31,109.92       | 39,313.14   | -8,203.22             |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 10/15/14     | 07/27/16      | 51,849.87       | 48,502.00   | 3,347.87              |
| 200.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 01/25/13     | 07/27/16      | 20,739.95       | 12,700.29   | 8,039.66              |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 04/30/15     | 07/28/16      | 51,936.86       | 62,690.75   | -10,753.89            |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 04/30/15     | 08/03/16      | 52,836.84       | 62,690.75   | -9,853.91             |
| 450.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/04/15     | 09/13/16      | 48,649.79       | 58,145.73   | -9,495.94             |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 05/05/15     | 09/13/16      | 54,055.32       | 63,037.00   | -8,981.68             |
| 50.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 05/20/15     | 09/13/16      | 5,405.53        | 6,552.19    | -1,146.66             |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/12/15     | 10/14/16      | 58,762.72       | 63,776.95   | -5,014.24             |
| 400.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/09/15     | 10/14/16      | 47,010.17       | 50,889.68   | -3,879.51             |
| 100.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/04/15     | 10/14/16      | 11,752.54       | 12,921.27   | -1,168.73             |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/12/15     | 10/25/16      | 58,962.71       | 63,627.99   | -4,665.28             |
| 423.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/26/15     | 10/25/16      | 49,882.45       | 53,669.55   | -3,787.10             |
| 77.000   | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/29/15     | 10/25/16      | 9,080.26        | 9,660.71    | -580.45               |
| 493.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 06/29/15     | 11/16/16      | 54,167.68       | 61,853.64   | -7,685.95             |
| 7.000    | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 07/01/15     | 11/16/16      | 769.12          | 886.36      | -117.24               |
| 500.000  | APPLE INC<br>SYMBOL: AAPL, CUSIP: 037833100 | <input type="checkbox"/> | 07/01/15     | 11/21/16      | 55,686.78       | 63,311.14   | -7,624.36             |

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**Schedule of Realized Gains and Losses (con't)**

**Long-Term (con't)**

| QUANTITY  | DESCRIPTION                                                                   | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|-------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|-----------------|-------------|-----------------------|
| 1,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP. 369604103                | <input type="checkbox"/>            | 01/02/13     | 10/14/16      | 28,937.37       | 21,309.60   | 7,627.77              |
| 2,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP. 369604103                | <input type="checkbox"/>            | 01/17/13     | 10/14/16      | 57,874.73       | 42,479.20   | 15,395.53             |
| 3,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP 369604103                 | <input type="checkbox"/>            | 01/17/13     | 10/18/16      | 86,956.10       | 63,718.80   | 23,237.30             |
| 1,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP. 369604103                | <input type="checkbox"/>            | 10/10/14     | 10/21/16      | 28,837.37       | 24,686.00   | 4,151.37              |
| 1,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP 369604103                 | <input type="checkbox"/>            | 10/10/14     | 10/21/16      | 28,927.22       | 24,686.00   | 4,241.22              |
| 1,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP 369604103                 | <input type="checkbox"/>            | 10/10/14     | 10/21/16      | 28,837.37       | 24,549.00   | 4,288.37              |
| 1,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP 369604103                 | <input type="checkbox"/>            | 10/10/14     | 10/21/16      | 28,837.37       | 24,399.00   | 4,438.37              |
| 2,000.000 | GENERAL ELECTRIC COMPANY<br>COM<br>SYMBOL GE, CUSIP. 369604103                | <input type="checkbox"/>            | 11/05/14     | 10/21/16      | 57,854.43       | 51,670.00   | 6,184.43              |
| 751.000   | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL IPCC, CUSIP. 45665Q103         | <input checked="" type="checkbox"/> | 06/01/09     | 06/03/16      | 58,593.99       | 28,373.88   | 30,220.12             |
| 1,249.000 | INFINITY PROPERTY & CASUALTY<br>CORP<br>SYMBOL IPCC, CUSIP. 45665Q103         | <input checked="" type="checkbox"/> | 06/01/08     | 06/03/16      | 97,448.60       | 49,722.69   | 47,725.91             |
| 500.000   | JOHNSON & JOHNSON<br>SYMBOL: JNJ, CUSIP: 478160104                            | <input type="checkbox"/>            | 06/05/15     | 10/14/16      | 59,212.71       | 49,351.50   | 9,861.21              |
| 500.000   | JOHNSON & JOHNSON<br>SYMBOL: JNJ, CUSIP. 478160104                            | <input type="checkbox"/>            | 06/05/15     | 10/14/16      | 59,212.71       | 49,299.50   | 9,913.21              |
| 3,000.000 | PIMCO CORPORATE & INCOME<br>OPPORTUNITY FUND<br>SYMBOL: PTY, CUSIP. 72201B101 | <input checked="" type="checkbox"/> | 09/19/11     | 10/24/16      | 43,767.54       | 52,173.61   | -8,406.07             |
| 2,000.000 | PIMCO CORPORATE & INCOME<br>OPPORTUNITY FUND<br>SYMBOL PTY, CUSIP: 72201B101  | <input checked="" type="checkbox"/> | 09/20/11     | 10/24/16      | 29,178.36       | 35,077.93   | -5,899.57             |
| 2,000.000 | PIMCO CORPORATE & INCOME                                                      | <input checked="" type="checkbox"/> | 11/28/11     | 10/24/16      | 29,178.36       | 33,223.00   | -4,044.64             |

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### Schedule of Realized Gains and Losses (con't)

#### Long-Term (con't)

| QUANTITY  | DESCRIPTION                                                                                                                                                           | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|           | OPPORTUNITY FUND<br>SYMBOL PTY, CUSIP 72201B101                                                                                                                       |                                     |              |               |                 |             |                       |
| 2,000.000 | PIMCO CORPORATE & INCOME<br>OPPORTUNITY FUND<br>SYMBOL PTY, CUSIP 72201B101                                                                                           | <input checked="" type="checkbox"/> | 11/25/11     | 10/24/16      | 29,178.36       | 33,191.00   | -4,012.64             |
| 800.000   | PIMCO CORPORATE & INCOME<br>OPPORTUNITY FUND<br>SYMBOL PTY, CUSIP 72201B101                                                                                           | <input checked="" type="checkbox"/> | 09/16/11     | 10/24/16      | 11,671.34       | 13,858.89   | -2,187.55             |
| 200.000   | PIMCO CORPORATE & INCOME<br>OPPORTUNITY FUND<br>SYMBOL PTY, CUSIP 72201B101                                                                                           | <input type="checkbox"/>            | 10/02/14     | 10/24/16      | 2,917.84        | 3,484.00    | -566.16               |
| 2,000.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>ORIGINAL COST 60,452.00<br>WASH SALE LOSS DISALLOWED 475.31<br>SYMBOL UTG, CUSIP 756158101   | <input type="checkbox"/>            | 04/29/15     | 11/01/16      | 59,976.69       | 59,976.69   | 0.00                  |
| 1,000.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>ORIGINAL COST 30,200.00<br>WASH SALE LOSS DISALLOWED: 211.66<br>SYMBOL UTG, CUSIP: 756158101 | <input type="checkbox"/>            | 04/29/15     | 11/01/16      | 29,988.34       | 29,988.34   | 0.00                  |
| 400.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>ORIGINAL COST 12,080.00<br>WASH SALE LOSS DISALLOWED: 145.30<br>SYMBOL UTG, CUSIP 756158101  | <input type="checkbox"/>            | 04/29/15     | 11/01/16      | 11,934.70       | 11,934.70   | 0.00                  |
| 4.000     | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>ORIGINAL COST 120.00<br>WASH SALE LOSS DISALLOWED: 0.65<br>SYMBOL UTG, CUSIP 756158101       | <input type="checkbox"/>            | 04/30/15     | 11/01/16      | 119.35          | 119.35      | 0.00                  |
| 136.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>ORIGINAL COST 4,101.96<br>WASH SALE LOSS DISALLOWED 44.17<br>SYMBOL UTG, CUSIP 756158101     | <input type="checkbox"/>            | 04/30/15     | 11/01/16      | 4,057.80        | 4,057.80    | 0.00                  |
| 1,000.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL                                                                                                             | <input type="checkbox"/>            | 04/30/15     | 11/02/16      | 29,441.35       | 29,441.35   | 0.00                  |

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### Schedule of Realized Gains and Losses (con't)

#### Long-Term (con't)

| QUANTITY  | DESCRIPTION                                                                                            | NON-<br>COVERED          | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-----------|--------------------------------------------------------------------------------------------------------|--------------------------|--------------|---------------|-----------------|-------------|-----------------------|
|           | INTEREST                                                                                               |                          |              |               |                 |             |                       |
|           | ORIGINAL COST 30,161.50                                                                                |                          |              |               |                 |             |                       |
|           | WASH SALE LOSS DISALLOWED 720 15                                                                       |                          |              |               |                 |             |                       |
|           | SYMBOL: UTG, CUSIP: 756158101                                                                          |                          |              |               |                 |             |                       |
| 864.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 04/30/15     | 11/09/16      | 25,347.47       | 26,059.54   | -712.07               |
| 136.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 05/01/15     | 11/09/16      | 3,989.88        | 4,106.10    | -116.22               |
| 1,760.000 | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 05/05/15     | 11/11/16      | 50,570.73       | 52,564.16   | -1,993.43             |
| 240.000   | REAVES UTILITY INCOME FUND<br>COMMON SHARES OF BENEFICIAL<br>INTEREST<br>SYMBOL: UTG, CUSIP: 756158101 | <input type="checkbox"/> | 05/01/15     | 11/11/16      | 6,896.01        | 7,246.06    | -350.05               |
| 5.100     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 03/23/15     | 10/24/16      | 1,014.14        | 991.00      | 23.13                 |
| 21.048    | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 06/22/15     | 10/24/16      | 4,185.39        | 4,095.14    | 90.25                 |
| 26.695    | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 09/21/15     | 10/24/16      | 5,308.30        | 4,820.08    | 488.22                |
| 500.000   | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 06/15/15     | 10/24/16      | 99,425.00       | 96,535.00   | 2,890.00              |
| 500.000   | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 06/30/15     | 10/24/16      | 99,425.00       | 95,180.00   | 4,245.00              |
| 1,000.000 | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 06/04/15     | 10/24/16      | 198,850.00      | 194,000.00  | 4,850.00              |
| 1,000.000 | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL: VFIAX, CUSIP: 922908710                  | <input type="checkbox"/> | 05/06/15     | 10/24/16      | 198,850.00      | 192,120.00  | 6,730.00              |

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## Schedule of Realized Gains and Losses (con't)

| QUANTITY                      | DESCRIPTION                                                                                                                                                     | NON-<br>COVERED                     | OPEN<br>DATE | CLOSE<br>DATE | NET<br>PROCEEDS     | NET<br>COST | REALIZED<br>GAIN/LOSS |
|-------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------|---------------|---------------------|-------------|-----------------------|
| 1,000.000                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL. VFIAX, CUSIP. 922908710                                                                           | <input type="checkbox"/>            | 03/13/15     | 10/24/16      | 198,850.00          | 190,230.00  | 8,620.00              |
| 1,000.000                     | VANGUARD INDEX TR<br>VANGUARD 500 INDEX FD ADMIRAL<br>SYMBOL VFIAX, CUSIP: 922908710                                                                            | <input type="checkbox"/>            | 03/25/15     | 10/24/16      | 198,850.00          | 190,000.00  | 8,850.00              |
| 50,000.000                    | VULCAN MATERIALS<br>SR UNSECURED<br>7.500% DUE 06/15/2021<br>ORIGINAL COST: 50,625.00, PREMIUM AMORTIZED: 272.09, ORIGINAL PRICE 101.250<br>CUSIP 929160AR0     | <input checked="" type="checkbox"/> | 09/08/11     | 08/29/16      | 60,497.60           | 50,352.91   | 10,144.69             |
| 200,000.000                   | VULCAN MATERIALS<br>SR UNSECURED<br>7.500% DUE 06/15/2021<br>ORIGINAL COST: 204,000.00, PREMIUM AMORTIZED: 1,723.42, ORIGINAL PRICE 102.000<br>CUSIP 929160AR0  | <input checked="" type="checkbox"/> | 09/07/11     | 08/29/16      | 241,990.40          | 202,276.58  | 39,713.82             |
| 450,000.000                   | VULCAN MATERIALS<br>SR UNSECURED<br>7.500% DUE 06/15/2021<br>ORIGINAL COST: 455,625.00, PREMIUM AMORTIZED: 2,460.20, ORIGINAL PRICE 101.250<br>CUSIP. 929160AR0 | <input checked="" type="checkbox"/> | 09/08/11     | 09/02/16      | 544,488.00          | 453,164.80  | 91,323.20             |
| <b>Long-Term Subtotal</b>     |                                                                                                                                                                 |                                     |              |               | <b>3,790,505.18</b> |             | <b>309,839.93</b>     |
| <b>Total Gains and Losses</b> |                                                                                                                                                                 |                                     |              |               | <b>4,294,945.68</b> |             | <b>287,366.91</b>     |
| <b>Short-Term</b>             |                                                                                                                                                                 |                                     |              |               |                     |             | <b>-22,473.02</b>     |
| <b>Long-Term</b>              |                                                                                                                                                                 |                                     |              |               |                     |             | <b>309,839.93</b>     |
| <b>Term Unknown</b>           |                                                                                                                                                                 |                                     |              |               |                     |             | <b>0.00</b>           |

Form 990-PF

Explanation Concerning Part VII-A, Line 12

Statement 11

Explanation

During its 2016 tax year, the Nabers Foundation made a \$550,000 grant to a donor advised fund over which a disqualified person has advisory privileges. The grant has been treated as a qualifying distribution on this return. Distributions will be made from the donor advised fund to public charities in furtherance of the Foundation's Section 170(C)(2)(b) purposes.

## Form 990-PF

## Dividends and Interest from Securities

## Statement 1

| Source                     | Gross Amount | Capital Gains Dividends | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|----------------------------|--------------|-------------------------|-----------------------|---------------------------|-------------------------|
| Fidelity #3728 - Dividends | 10,372.      | 0.                      | 10,372.               | 10,372.                   |                         |
| Fidelity #3728 - Dividends | 21.          | 0.                      | 21.                   | 21.                       |                         |
| Fidelity #4850 - Dividends | 1,813.       | 0.                      | 1,813.                | 1,813.                    |                         |
| Fidelity #4850 - Interest  | 10.          | 0.                      | 10.                   | 10.                       |                         |
| NBC #1910 - Dividends      | 4,791.       | 107.                    | 4,684.                | 4,684.                    |                         |
| NBC #1910 - Interest       | 15.          | 0.                      | 15.                   | 15.                       |                         |
| NBC #6122 - Dividends      | 9,678.       | 0.                      | 9,678.                | 9,678.                    |                         |
| NBC #6122 - Interest       | 1.           | 0.                      | 1.                    | 1.                        |                         |
| NBC #6481 - Dividends      | 25,853.      | 111.                    | 25,742.               | 25,742.                   |                         |
| NBC #6481 - Interest       | 16.          | 0.                      | 16.                   | 16.                       |                         |
| NBC #7607 - Dividends      | 2,235.       | 0.                      | 2,235.                | 2,235.                    |                         |
| NBC #7607 - Interest       | 3.           | 0.                      | 3.                    | 3.                        |                         |
| NBC #9467 - Dividends      | 50,760.      | 54.                     | 50,706.               | 50,706.                   |                         |
| NBC #9467 - Interest       | 15.          | 0.                      | 15.                   | 15.                       |                         |
| NBC #9955 - Dividends      | 235,476.     | 74,600.                 | 160,876.              | 160,876.                  |                         |
| NBC #9955 - Interest       | 103,545.     | 0.                      | 103,545.              | 103,545.                  |                         |
| To Part I, line 4          | 444,604.     | 74,872.                 | 369,732.              | 369,732.                  |                         |

## Form 990-PF

## Other Income

## Statement 2

| Description                           | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|---------------------------------------|-----------------------|---------------------------|-------------------------|
| Return of Capital                     | 6,200.                | 0.                        |                         |
| Partnership Taxable Income/Loss       | -74,628.              | -2,756.                   |                         |
| Other                                 | 6,170.                | 6,170.                    |                         |
| Total to Form 990-PF, Part I, line 11 | -62,258.              | 3,414.                    |                         |

| Form 990-PF                  | Accounting Fees              |                                   | Statement 3                   |                               |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                  | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| Accounting                   | 10,075.                      | 10,075.                           |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 16b | 10,075.                      | 10,075.                           |                               | 0.                            |

| Form 990-PF                  | Other Professional Fees      |                                   | Statement 4                   |                               |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                  | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| NBC Securities               | 44,566.                      | 44,566.                           |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 16c | 44,566.                      | 44,566.                           |                               | 0.                            |

| Form 990-PF                 | Taxes                        |                                   | Statement 5                   |                               |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| Foreign Taxes               | 88.                          | 88.                               |                               | 0.                            |
| Income Taxes                | 20,000.                      | 0.                                |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 18 | 20,088.                      | 88.                               |                               | 0.                            |

| Form 990-PF                 | Other Expenses               |                                   | Statement 6                   |                               |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
| Wire Fees                   | 200.                         | 200.                              |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 23 | 200.                         | 200.                              |                               | 0.                            |

| Form 990-PF                             | Corporate Stock | Statement 7       |
|-----------------------------------------|-----------------|-------------------|
| Description                             | Book Value      | Fair Market Value |
| Corporate Stock                         | 11,418,427.     | 11,896,355.       |
| Total to Form 990-PF, Part II, line 10b | 11,418,427.     | 11,896,355.       |

| Form 990-PF                             | Corporate Bonds | Statement 8       |
|-----------------------------------------|-----------------|-------------------|
| Description                             | Book Value      | Fair Market Value |
| Corporate Bonds                         | 1,596,376.      | 1,760,787.        |
| Total to Form 990-PF, Part II, line 10c | 1,596,376.      | 1,760,787.        |

| Form 990-PF                            | Other Investments |            | Statement 9       |
|----------------------------------------|-------------------|------------|-------------------|
| Description                            | Valuation Method  | Book Value | Fair Market Value |
| Other Investments                      | COST              | 158,733.   | 158,733.          |
| Total to Form 990-PF, Part II, line 13 |                   | 158,733.   | 158,733.          |

| Form 990-PF                      | Other Assets                  |                           | Statement 10         |
|----------------------------------|-------------------------------|---------------------------|----------------------|
| Description                      | Beginning of<br>Yr Book Value | End of Year<br>Book Value | Fair Market<br>Value |
| Partnership Investments          | 1,029,942.                    | 718,317.                  | 948,155.             |
| To Form 990-PF, Part II, line 15 | 1,029,942.                    | 718,317.                  | 948,155.             |