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Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning , and ending

Name of foundation <b>ROTH, IDALIA CHARITABLE TRUST</b>			A Employer identification number <b>62-6285270</b>	
Number and street (or P O box number if mail is not delivered to street address) <b>P O BOX 1908</b>		Room/suite	B Telephone number (see instructions) <b>(615) 748-4996</b>	
City or town, state or province, country, and ZIP or foreign postal code <b>ORLANDO FL 32802-1908</b>		C If exemption application is pending, check here <input type="checkbox"/>		
Foreign country name Foreign province/state/country Foreign postal code		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 3,550,908</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	59,000	59,000		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-11,876			
	b Gross sales price for all assets on line 6a <b>1,803,398</b>				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total. Add lines 1 through 11</b>	47,124	59,000	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	48,817	24,409		24,408
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500			2,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,206	1,506		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	16,042	16,042	16,042	
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 <b>Total operating and administrative expenses. Add lines 13 through 23</b>	53,523	25,915	0	26,908
	25 Contributions, gifts, grants paid	144,506			144,506
26 <b>Total expenses and disbursements. Add lines 24 and 25</b>	198,029	25,915	0	171,414	
27 Subtract line 26 from line 12					
a <b>Excess of revenue over expenses and disbursements</b>	-150,905				
b <b>Net investment income (if negative, enter -0-)</b>		33,085			
c <b>Adjusted net income (if negative, enter -0-)</b>			0		

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Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	348	4,038	4,038
	2	Savings and temporary cash investments	219,260	131,381	131,381
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	3,383,269	3,316,387	3,415,489	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,602,877	3,451,806	3,550,908	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,602,877	3,451,806	
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	3,602,877	3,451,806		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	3,602,877	3,451,806		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,602,877
2	Enter amount from Part I, line 27a	2	-150,905
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	2,756
4	Add lines 1, 2, and 3	4	3,454,728
5	Decreases not included in line 2 (itemize) ▶ MUTUAL FUND TIMING DIFFERENCE	5	2,922
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,451,806

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	-11,876
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	187,157	3,785,889	0.049435
2014	210,432	3,954,356	0.053215
2013	173,046	3,853,666	0.044904
2012	158,815	3,644,165	0.043581
2011	210,314	3,649,691	0.057625
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.248760
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.049752
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 3,545,496
<b>5</b> Multiply line 4 by line 3			<b>5</b> 176,396
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 331
<b>7</b> Add lines 5 and 6			<b>7</b> 176,727
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 171,414

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	662
<b>c</b>	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2	<b>3</b>	662
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	662
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	1,641
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments Add lines 6a through 6d	<b>7</b>	1,641
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	979
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> 662 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	317

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> TN		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14	The books are in care of ▶ SUNTRUST BANK Telephone no. ▶ (615) 748-4996 Located at ▶ PO BOX 1908, ORLANDO, FL ZIP+4 ▶ 32802-1908		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	Yes	No
			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)	Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				
<p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/></p>		5b	N/A	
<p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>N/A</i> <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</p>				
<p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				
<p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i></p>		6b		X
<p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>				
<p><b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?</p>		7b	N/A	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUNTRUST BANK, INC P O BOX 1908 ORLANDO, FL 32802-1908	TRUSTEE AS REQ'D	48,817		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
<b>Total number of others receiving over \$50,000 for professional services</b>		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 NONE		
2		
All other program-related investments. See instructions		
3 NONE		
<b>Total. Add lines 1 through 3</b>		▶ 0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,479,844
<b>b</b>	Average of monthly cash balances	<b>1b</b>	119,644
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,599,488
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,599,488
<b>4</b>	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	53,992
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	3,545,496
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	177,275

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	177,275
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	662
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	662
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	176,613
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	176,613
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	176,613

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	171,414
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	171,414
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	171,414

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				176,613
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011	16,594			
<b>b</b> From 2012				
<b>c</b> From 2013				
<b>d</b> From 2014	17,878			
<b>e</b> From 2015	1,145			
<b>f</b> Total of lines 3a through e	35,617			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 171,414				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2016 distributable amount				171,414
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	5,199			5,199
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,418			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b			0	
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	11,395			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	19,023			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012				
<b>b</b> Excess from 2013				
<b>c</b> Excess from 2014	17,878			
<b>d</b> Excess from 2015	1,145			
<b>e</b> Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
					0
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i> See Attached Statement</p>				
<p><b>Total</b></p>			▶ <b>3a</b>	144,506
<p><b>b</b> <i>Approved for future payment</i> NONE</p>				
<p><b>Total</b></p>			▶ <b>3b</b>	0





ROTH, IDALIA CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

MEMPHIS JEWISH HOME

**Street**

36 BAZEBERRY ROAD

**City**

CORDOVA

**State**

TN

**Zip Code**

38018

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,949

**Name**

LION'S CLUB OF MEMPHIS

**Street**

1142 FAIR MEADOW ROAD

**City**

MEMPHIS

**State**

TN

**Zip Code**

38117

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,949

**Name**

YOUTH VILLAGES

**Street**

3320 BROTHER BOULEVARD

**City**

MEMPHIS

**State**

TN

**Zip Code**

38133

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,949

**Name**

UNITED WAY OF THE MID-SOUTH

**Street**

1005 TILLMAN ST

**City**

MEMPHIS

**State**

TN

**Zip Code**

38112

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

22,117

**Name**

MEMPHIS JEWISH FEDERATION

**Street**

6560 POPLAR, SUITE 1

**City**

MEMPHIS

**State**

TN

**Zip Code**

38138

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

22,117

**Name**

MUSCULAR DYSTROPHY ASSOC INC

**Street**

6400 EAST GRANT ROADSUITE 170

**City**

TUCSON

**State**

AZ

**Zip Code**

85715

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

ROTH, IDALIA CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

MEMPHIS HUMANE SOCIETY

**Street**

935 FARM ROAD

**City**

MEMPHIS

**State**

TN

**Zip Code**

38134

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

**Name**

ST JUDE CHILDREN'S HOSPITAL

**Street**

501 ST. JUDE PLACE

**City**

MEMPHIS

**State**

TN

**Zip Code**

38105

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

**Name**

NAT'L MULTIPLE SCLEROSIS SOCIETY

**Street**

214 OVERLOOK CIRCLE, STE 153

**City**

BRENTWOOD

**State**

TN

**Zip Code**

37027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

**Name**

TEMPLE ISRAEL

**Street**

1376 EAST MASSEY ROAD

**City**

MEMPHIS

**State**

TN

**Zip Code**

38120

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

**Name**

THE SALVATION ARMY

**Street**

216 WEST CHESTNUT STREET

**City**

LOUISVILLE

**State**

KY

**Zip Code**

40202

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

2,950

**Name**

AMERICAN CANCER SOCIETY

**Street**

8400 SILVER CROSSING ROAD

**City**

OKLAHOMA CITY

**State**

OK

**Zip Code**

73132

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

14,745

ROTH, IDALIA CHARITABLE TRUST

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**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

AMERICAN HEART ASSOCIATION

**Street**

P. O. BOX 22035

**City**

ST. PETERSBURG

**State**

FL

**Zip Code**

33742

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

14,745

**Name**

JBI INTERNATIONAL JEWISH BRAILLE INSTITUTE

**Street**

110 EAST 30TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10016

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

14,745

**Name**

JEWISH GUILD FOR THE BLIND

**Street**

15 WEST 65TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10023

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

14,745

**Name**

THE SEEING EYE, INC

**Street**

501 ST JUDE PLACE

**City**

MEMPHIS

**State**

TN

**Zip Code**

38105

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL CHARITABLE PURPOSE

**Amount**

14,745

**Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Amount	Totals		Date Sold	Date Acquired	Acquisition Method	Check "X" if Purchaser is a Business	Purchaser	Check "X" to include in Part IV	Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
			Long Term CG Distributions	Short Term CG Distributions							Gross Sales	Other sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation		Adjustments
1 BRANDES INTL S/C EQUITY-I	105262737	16,161	0	0	10/19/2016	3/24/2014			X		3,457	3,458	0	0	0	0	0	11
2 EATON VANCE-ATLANTA SM	277902698	0	0	0	7/18/2016	4/8/2015			X		9,595	10,107	0	0	0	0	0	512
3 DOUBLELINE TOTL RET BND	258620103	0	0	0	10/19/2016	6/6/2012			X		13,016	12,589	0	0	0	0	0	-337
4 EATON VANCE-ATLANTA SM	277902698	0	0	0	10/19/2016	10/3/2016			X		1,900	1,767	0	0	0	0	0	33
5 EATON VANCE-ATLANTA SM	277902698	0	0	0	10/19/2016	4/8/2015			X		1,489	1,536	0	0	0	0	0	37
6 FEDERATED STRAT VAL DVC	314172560	0	0	0	7/18/2016	2/8/2016			X		9,673	10,931	0	0	0	0	0	1,258
7 FEDERATED STRAT VAL DVC	314172560	0	0	0	10/19/2016	10/3/2016			X		8,157	7,985	0	0	0	0	0	-172
8 GOTHAM NEUTRAL FUND-INT	360873111	0	0	0	10/19/2016	7/18/2016			X		8,607	8,504	0	0	0	0	0	-54
9 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	4/1/2009			X		8,418	25,201	0	0	0	0	0	16,783
10 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	5/21/2009			X		11,712	31,254	0	0	0	0	0	19,542
11 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	5/24/2010			X		81,161	169,687	0	0	0	0	0	88,526
12 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	4/8/2015			X		53,338	51,444	0	0	0	0	0	1,894
13 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	2/8/2016			X		28,800	34,197	0	0	0	0	0	5,397
14 SHARES CORE S&P 500 ETF	464287200	0	0	0	10/19/2016	7/18/2016			X		13,277	13,114	0	0	0	0	0	-133
15 SHARES U S TREASURY BC	464298267	0	0	0	10/19/2016	7/18/2016			X		14,299	14,113	0	0	0	0	0	-137
16 SHARES CORE MSCI PACIFI	46434V696	0	0	0	7/18/2016	8/18/2014			X		74,690	69,559	0	0	0	0	0	5,131
17 SHARES CORE MSCI PACIFI	46434V696	0	0	0	7/18/2016	10/27/2014			X		3,580	3,531	0	0	0	0	0	16
18 SHARES CORE MSCI PACIFI	46434V696	0	0	0	7/18/2016	2/8/2016			X		3,144	3,531	0	0	0	0	0	387
19 SHARES CORE MSCI EUROF	46434V738	0	0	0	7/18/2016	8/19/2014			X		75,272	63,415	0	0	0	0	0	11,857
20 SHARES CORE MSCI EUROF	46434V738	0	0	0	7/18/2016	10/27/2014			X		3,592	3,283	0	0	0	0	0	309
21 SHARES CORE MSCI EUROF	46434V738	0	0	0	7/18/2016	4/8/2015			X		40,239	34,470	0	0	0	0	0	5,769
22 SHARES CORE MSCI EUROF	46434V738	0	0	0	7/18/2016	10/19/2015			X		31,147	31,147	0	0	0	0	0	-3,217
23 SHARES CORE MSCI EUROF	46434V738	0	0	0	7/18/2016	2/8/2016			X		8,888	8,888	0	0	0	0	0	530
24 JOHN HANCOCK III-DISPLN	47803U640	0	0	0	2/8/2016	6/15/2015			X		128,527	102,592	0	0	0	0	0	25,935
25 T ROWE PRICE INST LC GRV	457751408	0	0	0	7/18/2016	5/19/2009			X		1,553	4,073	0	0	0	0	0	2,522
26 CAMBIAR SMALL CAP-INS	0075W0593	0	0	0	7/18/2016	10/27/2014			X		526	419	0	0	0	0	0	-110
27 CAMBIAR SMALL CAP-INS	0075W0593	0	0	0	7/18/2016	2/23/2015			X		2,787	2,295	0	0	0	0	0	472
28 CAMBIAR SMALL CAP-INS	0075W0593	0	0	0	10/3/2016	2/23/2015			X		345	290	0	0	0	0	0	-55
29 CAMBIAR SMALL CAP-INS	0075W0593	0	0	0	10/3/2016	3/11/2013			X		290	290	0	0	0	0	0	-54
30 CAMBIAR SMALL CAP-INS	0075W0593	0	0	0	10/19/2016	3/11/2013			X		1,934	1,541	0	0	0	0	0	393
31 EDGEWOOD GROWTH FUND	0075W0759	0	0	0	10/3/2016	7/18/2016			X		7,218	7,471	0	0	0	0	0	257
32 EDGEWOOD GROWTH FUND	0075W0759	0	0	0	10/19/2016	7/18/2016			X		9,442	9,637	0	0	0	0	0	195
33 CAMBIAR INTL EQUITY FUND	00769G543	0	0	0	10/3/2016	7/18/2016			X		3,612	3,684	0	0	0	0	0	72
34 CAMBIAR INTL EQUITY FUND	00769G543	0	0	0	10/19/2016	7/18/2016			X		8,947	9,074	0	0	0	0	0	127
35 JOHCM INTERNATIONAL SEL	00770G387	0	0	0	2/8/2016	6/15/2015			X		1,408	1,156	0	0	0	0	0	-252
36 JOHCM INTERNATIONAL SEL	00770G387	0	0	0	10/3/2016	6/15/2015			X		13,213	12,742	0	0	0	0	0	-471
37 JOHCM INTERNATIONAL SEL	00770G387	0	0	0	10/19/2016	6/15/2015			X		7,105	6,690	0	0	0	0	0	-415
38 BRANDES INTL S/C EQUITY-I	105262737	0	0	0	10/3/2016	3/24/2014			X		1,977	182	0	0	0	0	0	-7
39 BRANDES INTL S/C EQUITY-I	105262737	0	0	0	10/3/2016	3/24/2014			X		1,977	1,971	0	0	0	0	0	-6
40 JOHN HANCOCK III-DISPLN	47803U640	0	0	0	7/18/2016	9/15/2015			X		10,161	9,460	0	0	0	0	0	701
41 JOHN HANCOCK III-DISPLN	47803U640	0	0	0	7/18/2016	4/8/2015			X		9,480	5,122	0	0	0	0	0	359
42 JOHN HANCOCK III-DISPLN	47803U640	0	0	0	10/3/2016	4/8/2015			X		743	707	0	0	0	0	0	-36
43 JOHN HANCOCK III-DISPLN	47803U640	0	0	0	10/19/2016	4/8/2015			X		12,539	11,912	0	0	0	0	0	-627
44 JPMORGAN US EQUITY-INST	4812A1142	0	0	0	7/18/2016	3/11/2013			X		117,238	135,669	0	0	0	0	0	18,431
45 JPMORGAN US EQUITY-INST	4812A1142	0	0	0	7/18/2016	2/23/2013			X		106,882	101,481	0	0	0	0	0	-5,401
46 JPMORGAN US EQUITY-INST	4812A1142	0	0	0	7/18/2016	4/8/2015			X		67,133	64,169	0	0	0	0	0	2,964
47 JPMORGAN US EQUITY-INST	4812A1142	0	0	0	7/18/2016	10/19/2015			X		26,103	26,012	0	0	0	0	0	-91
48 MAINSTAY ICAP INTERNATIO	56063J716	0	0	0	7/18/2016	2/23/2015			X		3,123	2,752	0	0	0	0	0	371
49 MAINSTAY ICAP INTERNATIO	56063J716	0	0	0	7/18/2016	10/27/2014			X		522	447	0	0	0	0	0	-75
50 MAINSTAY ICAP INTERNATIO	56063J716	0	0	0	7/18/2016	2/23/2015			X		23,563	19,872	0	0	0	0	0	-3,691
51 MAINSTAY ICAP INTERNATIO	56063J716	0	0	0	7/18/2016	10/19/2015			X		3,272	3,032	0	0	0	0	0	-240
52 MAINSTAY ICAP INTERNATIO	56063J716	0	0	0	7/18/2016	8/15/2011			X		980	975	0	0	0	0	0	-5
53 OPPENHEIMER DEVELOPING	683974604	0	0	0	7/18/2016	2/8/2012			X		488	488	0	0	0	0	0	-7
54 OPPENHEIMER DEVELOPING	683974604	0	0	0	7/18/2016	8/6/2012			X		2,035	2,038	0	0	0	0	0	3
55 OPPENHEIMER DEVELOPING	683974604	0	0	0	7/18/2016	6/15/2015			X		529	485	0	0	0	0	0	-44
56 OPPENHEIMER DEVELOPING	683974604	0	0	0	7/18/2016	10/19/2015			X		1,833	1,833	0	0	0	0	0	-44
57 OPPENHEIMER DEVELOPING	683974604	0	0	0	10/19/2016	2/23/2015			X		13,702	13,510	0	0	0	0	0	-177
58 PIMCO INVESTMENT GRD CC	722005816	0	0	0	2/8/2016	7/29/2013			X		57,809	50,345	0	0	0	0	0	-7,464
59 OSTERWEIS STRATEGIC INC	742935489	0	0	0	2/8/2016	10/27/2014			X		68,133	68,133	0	0	0	0	0	-9,507
60 OSTERWEIS STRATEGIC INC	742935489	0	0	0	2/8/2016	4/8/2015			X		595	595	0	0	0	0	0	-94
61 OSTERWEIS STRATEGIC INC	742935489	0	0	0	10/19/2016	2/8/2016			X		5,962	5,140	0	0	0	0	0	-822
62 ABBEY CAP FUTURES STRA	742935489	0	0	0	7/18/2016	3/11/2013			X		3,076	3,076	0	0	0	0	0	-41
63 T ROWE OM US SMALL CAP	779917103	0	0	0	7/18/2016	3/11/2013			X		398	551	0	0	0	0	0	739
64 T ROWE OM US SMALL CAP	779917103	0	0	0	10/3/2016	3/11/2013			X		372	524	0	0	0	0	0	153
65 T ROWE OM US S/C GR EQ	779917103	0	0	0	10/19/2016	3/11/2013			X		739	1,023	0	0	0	0	0	284
66 VAN ECK EMERGING MARKE	921075438	0	0	0	7/18/2016	4/8/2015			X		6,673	6,673	0	0	0	0	0	-1,191
67 VAN ECK EMERGING MARKE	921075438	0	0	0	10/19/2016	4/8/2015			X		5,321	4,752	0	0	0	0	0	569
68 VANGUARD MIB SEC INDX-AI	92206C755	0	0	0	10/19/2016	10/3/2016			X</									

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Capital Gains/Losses		Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
								Gross Sales	Other sales								
Long Term CG Distributions      Amount      16,161 Short Term CG Distributions      0																	
73 WASATCH LONG/SHORT FUN	936793769	X				8/6/2012	2/8/2016		51,708	63,483						0	-11,775
74 WASATCH LONG/SHORT FUN	936793769	X				10/27/2014	2/8/2016		1,219	1,769						0	-551
75 WASATCH LONG/SHORT FUN	936793769	X				2/23/2015	2/8/2016		4,067	5,669						0	-1,602
76 WASATCH LONG/SHORT FUN	936793769	X				4/8/2015	2/8/2016		148,075	201,648						0	-53,573
77 WASATCH LONG/SHORT FUN	936793769	X				6/15/2015	2/8/2016		5,249	6,946						0	-1,697
78 WASATCH LONG/SHORT FUN	936793769	X				10/19/2015	2/8/2016		1,583	1,995						0	-312
79 OPPENHEIMER DEVELOPING	663574604	X				10/3/2016	10/19/2016		6,255	6,244						0	11
80 WF ABSOLUTE RETURN FUN	94987W737	X				3/24/2014	10/3/2016		71,397	74,899						0	-3,502
81 WF ABSOLUTE RETURN FUN	94987W737	X				10/27/2014	10/3/2016		1,232	1,291						0	-59
82 WF ABSOLUTE RETURN FUN	94987W737	X				6/15/2015	10/3/2016		309	320						0	-11
83 WF ABSOLUTE RETURN FUN	94987W737	X				2/8/2016	10/3/2016		2,172	1,955						0	217
84 WF ABSOLUTE RETURN FUN	94987W737	X				7/18/2016	10/3/2016		148	146						0	2
Totals								Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss			
								Other sales		1,803,398		1,815,274		-11,876			
								0		0		0		0			

**Part I, Line 16b (990-PF) - Accounting Fees**

		2,500	0	0	2,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,500			2,500

**Part I, Line 18 (990-PF) - Taxes**

		2,206	1,506	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	1,506	1,506		
2	ESTIMATED EXCISE TAXES PAID	700			

**Part II, Line 13 (990-PF) - Investments - Other**

		3,383,269	3,316,387	3,415,489
		Book Value	Book Value	FMV
		End of Year	End of Year	End of Year
1	Asset Description	Basis of Valuation	Book Value	FMV
2			End of Year	End of Year
1			0	
2	ISHARES TR S&P 500 INDEX ETF		322,597	333,435
3	FEDERATED STRATEGIC VALUE-I		209,122	259,741
4	EDGEWOOD GROWTH FUND-INS		278,957	278,706
5	PIMCO INVT GRADE CORP BD-I		275,625	274,616
6	JOHN HANCOCK III DISCIPLINED VALUE-I		256,892	305,837
7	DOUBLELINE TOTAL RETURN BD-I		250,847	241,653
8	ADVISORS CAMBIAR SMALL CAP-I		39,540	39,788
9	VANGUARD MTG BACKED SECS INDEX-S		138,188	137,911
10	T ROWE PRICE DIVRSFD SMALLCAP GRC		25,634	37,597
11	ADVISORS INNER CIRCLE FD CAM INTL		227,619	229,245
12	OPPENHEIMER DEVELOPING MKT-I		88,849	99,303
13	JOHCM INTERNATIONAL SEL-I		370,783	340,833
14	BRANDES INTL S/C EQUITY-I		70,789	69,361
15	GOTHAM NEUTRAL FUND-INSTL		141,070	147,979
16	VAN ECK EMERGING MARKETS-I		112,646	97,939
17	ABBAY CAP FUTURES STRAT-I		114,492	106,836
18	ISHARES TREASURY BOND ETF		313,863	307,237
19	EATON VANCE ATLANTA CAP SMID-CAP-I		78,874	107,472

**Part II, Line 14 (990-PF) - Land, Buildings, and Equipment**

	Asset Description	Cost or Other Basis	Accumulated Depreciation Beg. of Year	Accumulated Depreciation End of Year	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1							
2	AMERICAN INTL GROUP CL-ACT						
3	WASHINGTON MUTUAL INC CL-ACT						
4	AMGEN INC CLASS ACTION						

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	23
2	MUTUAL FUND TIMING DIFFERENCE	2	2,733
3	Total	3	2,756

**Line 5 - Decreases not included in Part III, Line 2**

1	MUTUAL FUND TIMING DIFFERENCE	1	2,922
2	Total	2	2,922







**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return		1	941
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment	9/13/2016	4	290
5 Fourth quarter estimated tax payment	12/13/2016	5	410
6 Other payments		6	
7 Total		7	1,641