

EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, and ending

Name of foundation TUCKER FOUNDATION		A Employer identification number 62-1603398
Number and street (or P O box number if mail is not delivered to street address) 9337 BRADMORE LANE		B Telephone number 423-756-1202
City or town, state or province, country, and ZIP or foreign postal code COLETAH, TN 37363		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 60,054,090.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		39,996,600.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		29,150.	9,536.		STATEMENT 1
4 Dividends and interest from securities		881,341.	881,341.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		52,465,016.			
b Gross sales price for all assets on line 6a 60,817,869.					
7 Capital gain net income (from Part IV, line 2)			52,465,016.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,267.	1,267.		STATEMENT 3
12 Total. Add lines 1 through 11		93,373,374.	53,357,160.		
13 Compensation of officers, directors, trustees, etc		206,253.	103,127.		
14 Other employee salaries and wages		82,898.	41,449.		
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 4		3,435.	1,718.		1,717.
17 Interest		32,900.	32,900.		0.
18 Taxes STMT 5		25,093.	15,365.		9,728.
19 Depreciation and depletion		1,534.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		60.	30.		30.
22 Printing and publications					
23 Other expenses STMT 6		204,242.	181,843.		22,399.
24 Total operating and administrative expenses. Add lines 13 through 23		556,415.	376,432.		178,450.
25 Contributions, gifts, grants paid		5,607,129.			4,513,679.
26 Total expenses and disbursements. Add lines 24 and 25		6,163,544.	376,432.		4,692,129.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		87,209,830.			
b Net investment income (if negative, enter -0-)			52,980,728.		
c Adjusted net income (if negative, enter -0-)				N/A	

632501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	406,079.	7,785,554.	7,785,554.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 900,000.			
	Less: allowance for doubtful accounts ▶ 0.	1,000,000.	900,000.	900,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	5,090,811.	39,474,776.	32,094,976.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	15,813,248.	19,270,005.	19,270,005.
	14 Land, buildings, and equipment basis ▶ 16,721.			
	Less: accumulated depreciation STMT 10 ▶ 12,716.	4,302.	4,005.	3,555.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	22,314,440.	67,434,340.	60,054,090.
	17 Accounts payable and accrued expenses			
	18 Grants payable	1,300,347.	1,093,450.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	1,300,347.	1,093,450.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	21,014,093.	66,340,890.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	21,014,093.	66,340,890.	
	31 Total liabilities and net assets/fund balances	22,314,440.	67,434,340.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,014,093.
2 Enter amount from Part I, line 27a	2	87,209,830.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	108,223,923.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	41,883,033.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	66,340,890.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 60,817,869.		8,352,853.	52,465,016.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			52,465,016.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 52,465,016.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,265,055.	28,891,098.	.043787
2014	3,435,956.	30,664,866.	.112049
2013	1,339,982.	29,401,254.	.045576
2012	1,318,667.	26,639,986.	.049500
2011	950,645.	26,687,643.	.035621

2 Total of line 1, column (d)	2	.286533
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057307
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	56,558,716.
5 Multiply line 4 by line 3	5	3,241,210.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	529,807.
7 Add lines 5 and 6	7	3,771,017.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	4,692,129.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	529,807.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	529,807.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	529,807.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	18,080.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	525,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	543,080.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	13,273.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 13,273. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► PAM CUZZORT Telephone no. ► 423-664-2107 Located at ► 9337 BRADMORE LANE, OOLTEWAH, TN ZIP+4 ► 37363		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		206,253.	18,595.	25,770.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	50,023,025.
b	Average of monthly cash balances	1b	7,396,991.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	57,420,016.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	57,420,016.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	861,300.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	56,558,716.
6	Minimum investment return. Enter 5% of line 5	6	2,827,936.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,827,936.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	529,807.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	529,807.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,298,129.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,298,129.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,298,129.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,692,129.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,692,129.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	529,807.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,162,322.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,298,129.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016.				
a From 2011				
b From 2012				
c From 2013				
d From 2014	1,781,614.			
e From 2015				
f Total of lines 3a through e	1,781,614.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 4,692,129.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				2,298,129.
e Remaining amount distributed out of corpus	2,394,000.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	4,175,614.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	4,175,614.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	1,781,614.			
d Excess from 2015				
e Excess from 2016	2,394,000.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
VARIOUS - SEE ATTACHED	SEE ATTACHED		VARIOUS	4,513,679.
Total			3a	4,513,679.
b Approved for future payment				
VARIOUS - SEE ATTACHED	SEE ATTACHED		VARIOUS	1,093,450.
Total			3b	1,093,450.

Form 990-PF (2016)


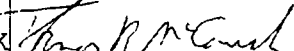
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr. 1)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		11-9-17 Date		PRESIDENT Title	
Paid Preparer Use Only	Print/Type preparer's name THOMAS K. MCCORMICK		Preparer's signature 		Date 11/03/17	Check <input type="checkbox"/> if self-employed
	Firm's name ▶ LPMC, PC		Firm's EIN ▶ 62-1199757		P00371192 Firm's address ▶ 605 CHESTNUT STREET, SUITE 1100 CHATTANOOGA, TN 37450 Phone no. (423) 756-6585	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

TUCKER FOUNDATION

Employer identification number

62-1603398

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

TUCKER FOUNDATION**62-1603398****Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	S.K. JOHNSTON, JR 9337 BRADMORE LANE OOLTEWAH, TN 37363	\$ 39,996,600.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

62-1603398

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization	Employer identification number
TUCKER FOUNDATION	62-1603398

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

TUCKER FOUNDATION

CONTINUATION FOR 990-PF, PART IV

62-1603398 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SUNTRUST ACCT 7937319	P		
b	SUNTRUST ACCT 7937319	P		
c	SUNTRUST ACCT 7937319	P		
d	1,022,133 COCA-COLA ENTERPRISES INC	P		06/01/16
e	SUNTRUST ACCT 7937320	P		
f	SUNTRUST ACCT 7937320	P		
g	SUNTRUST ACCT 7937320	P		
h	GENSPRING	P		
i	JP MORGAN ACCT 007	P		
j	JP MORGAN ACCT 004	P		
k	JP MORGAN ACCT 006	P		
l	HEADLANDS CAPITAL	P		
m	HEADLANDS CAPITAL	P		
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 331,889.		348,700.	-16,811.
b 1,345,196.		1,520,202.	-175,006.
c 1,532,854.		1,500,562.	32,292.
d 54,295,705.		1,929,372.	52,366,333.
e 20,082.		21,107.	-1,025.
f 158,446.		169,235.	-10,789.
g 178,250.		113,328.	64,922.
h 2,532,829.		2,554,090.	-21,261.
i 58,112.		58,232.	-120.
j 97,294.		97,577.	-283.
k 40,557.		40,448.	109.
l 4.			4.
m 10,594.			10,594.
n 216,057.			216,057.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-16,811.
b			-175,006.
c			32,292.
d			52,366,333.
e			-1,025.
f			-10,789.
g			64,922.
h			-21,261.
i			-120.
j			-283.
k			109.
l			4.
m			10,594.
n			216,057.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	52,465,016.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN - 001	14.	0.	
JP MORGAN - 004	109.	0.	
JP MORGAN - 006	3,635.	0.	
JP MORGAN - 007	1,232.	0.	
NATURE CONSERVANCY	9,536.	9,536.	
SUNTRUST BANK - 7294	13,940.	0.	
SUNTRUST BANK - 7319	271.	0.	
SUNTRUST BANK - 7319	69.	0.	
SUNTRUST BANK - 7320	344.	0.	
TOTAL TO PART I, LINE 3	29,150.	9,536.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GENSPRING	2,055.	0.	2,055.	2,055.	
GENSPRING	62,862.	0.	62,862.	62,862.	
HEADLAND CAPITAL	635.	0.	635.	635.	
JP MORGAN - 004	36,290.	10,900.	25,390.	25,390.	
JP MORGAN - 007	12,858.	0.	12,858.	12,858.	
SUNTRUST BANK - 7294	604,439.	9,853.	594,586.	594,586.	
SUNTRUST BANK - 7319	317,924.	195,038.	122,886.	122,886.	
SUNTRUST BANK - 7320	60,335.	266.	60,069.	60,069.	
TO PART I, LINE 4	1,097,398.	216,057.	881,341.	881,341.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	55.	55.	
HEADLANDS CAPITAL	1,212.	1,212.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,267.	1,267.	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	3,435.	1,718.		1,717.
TO FORM 990-PF, PG 1, LN 16C	3,435.	1,718.		1,717.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAX	19,396.	9,698.		9,698.
FOREIGN TAX	5,637.	5,637.		0.
TAXES & LICENSES	60.	30.		30.
TO FORM 990-PF, PG 1, LN 18	25,093.	15,365.		9,728.

FORM 990-PF	OTHER EXPENSES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	15,177.	7,589.		7,588.
GAS & OIL	10,800.	5,400.		5,400.
INVESTMENT EXPENSE	107,989.	107,989.		0.
EMPLOYEE INSURANCE	14,162.	7,081.		7,081.
WORKERS COMP	914.	457.		457.
BANK FEES	902.	451.		451.
OFFICE SUPPLIES	269.	134.		135.
HRO PAYROLL SERVICES	1,015.	508.		507.
TELEPHONE	836.	418.		418.
REPAIRS AND MAINTENANCE	85.	42.		43.
HOSPITALITY EXPENSES	638.	319.		319.
HEADLANDS CAPITAL EXPENSES	51,455.	51,455.		0.
TO FORM 990-PF, PG 1, LN 23	204,242.	181,843.		22,399.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
DESCRIPTION	AMOUNT		
OTHER UNREALIZED GAINS	1,886,433.		
TAX GAIN IN EXCESS OF BOOK ON CCE STOCK CONTRIBUTION	39,996,600.		
TOTAL TO FORM 990-PF, PART III, LINE 5	41,883,033.		

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
1,022,133 SHS COCA-COLA ENTERPRISES, INC.	0.	0.	
1,022,133 SHS COCA-COLA EUROPEAN PARTNERS PLC.	39,474,776.	32,094,976.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	39,474,776.	32,094,976.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GENSPRING	COST	2,379,620.	2,379,620.
BESSEMER TRUST	COST	10,646,441.	10,646,441.
SILVER SPRING CAPITAL	COST	2,039,214.	2,039,214.
HEADLANDS CAPITAL	COST	363,240.	363,240.
JP MORGAN	COST	3,841,490.	3,841,490.
TOTAL TO FORM 990-PF, PART II, LINE 13		19,270,005.	19,270,005.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
DESK-HAYNE	361.	305.	56.
DESK-AMY	945.	798.	147.
COMPUTER SOFTWARE	13,500.	13,500.	0.
PRINTER	134.	123.	11.
COMPUTER - AMY	1,781.	1,069.	712.
TOTAL TO FM 990-PF, PART II, LN 14	16,721.	15,795.	926.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
M. HAYNE HAMILTON 428 WALKER BRANCH ROAD DUNLAP, TN 37327	TRUSTEE 40.00	31,253.	0.	6,039.
ANDREW G. COPE 404 N PALISADES DRIVE SIGNAL MOUNTAIN, TN 37377	PRESIDENT 40.00	175,000.	18,595.	19,731.
PAMELA K. CUZZORT 9337 BRADMORE LANE OOLTEWAH, TN 37363	TREASURER 0.00	0.	0.	0.
LAVINIA J. JOHNSTON 9337 BRADMORE LANE OOLTEWAH, TN 37363	TRUSTEE 0.00	0.	0.	0.
DAVID Y SMITH 9337 BRADMORE LANE OOLTEWAH, TN 37363	TRUSTEE 0.00	0.	0.	0.
GILLIAN E. JOHNSTON 9337 BRADMORE LANE OOLTEWAH, TN 37363	TRUSTEE 0.00	0.	0.	0.
ROBERT T. JOHNSTON 9337 BRADMORE LANE OOLTEWAH, TN 37363	TRUSTEE 0.00	0.	0.	0.
KATHERINE TUDOR 9337 BRADMORE LANE OOLTEWAH, TN 37363	TRUSTEE 0.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

206,253. 18,595. 25,770.

2016 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	DESK-HAYNE	02/12/13	200DE	7.00		HY17	361.			181.	180.	101.		23.	124.
2	DESK-AMY	02/13/13	200DE	7.00		HY17	945.			473.	472.	266.		59.	325.
3	COMPUTER SOFTWARE	02/12/13	SL	3.00		16	13,500.			67.	13,500.	13,125.		375.	13,500.
4	PRINTER	02/20/13	200DE	5.00		HY17	134.			891.	890.	48.		8.	56.
15	COMPUTER - AMY	02/25/16	200DE	5.00		HY19B	1,781.			1,612.	15,109.	13,540.		1,069.	178.
	* TOTAL 990-PF PG 1 DEPR						16,721.							1,534.	14,183.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						14,940.			721.	14,219.	13,540.			14,005.
	ACQUISITIONS						1,781.			891.	890.	0.			178.
	DISPOSITIONS						0.			0.	0.	0.			0.
	ENDING BALANCE						16,721.			1,612.	15,109.	13,540.			14,183.
	ENDING ACCUM DEPR											15,795.			
	ENDING BOOK VALUE											926.			

628111 04-01-16

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone



Capital Gain and Loss Schedule
THE TUCKER FOUNDATION-CPREP
 Account Number F 23603-00-7

Short-Term Covered

* Code W indicates Wash Sale
 L indicates a Nondeductible Loss other than a Wash Sale
 ** Ordinary Income D indicates Ordinary Income gain or loss
 F indicates Foreign Exchange gain or loss on Capital Transactions

Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
M&T BANK CORPORATION	55261FAE4		10/21/2016 11/28/2016	19,000 000	19,643 18	19,636 83		6 35
MORGAN STANLEY	617474AA9		10/11/2016 10/25/2016	18,000 000	18,780 83	18,741 98		38 85
STATE STREET CORP	857477AQ6		09/20/2016 12/14/2016	19,000 000	19,687 96	19,853 42		-165.46
Total Short-Term Covered					58,111.97 ✓	58,232.23 ✓		-120.26



THE TUCKER FOUNDATION-ADV DYS									
Account Number F 26368-00-4									
Capital Gain and Loss Schedule									
Short-Term Covered									
Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**	
BMO PYRFORD INTL STOCK-I	09658L513		09/15/2016 11/09/2016	831 087	9,865 00	10,139 26		-274 26	
HARBOR HIGH YIELD BOND-INST	411511553		09/15/2016 11/04/2016	999 091	9,891 00	9,990 91		-99.91	
HARBOR HIGH YIELD BOND-INST	411511553		09/15/2016 11/14/2016	895 519	8,794 00	8,955 19		-161 19	
HARBOR HIGH YIELD BOND-INST	411511553		09/15/2016 11/16/2016	876 890	8,663 67	8,768.90		-105 23	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/24/2016	618 872	5,378 00	5,340 87		37 13	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/25/2016	639 310	5,562 00	5,517 25		44 75	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/26/2016	685 862	5,967 00	5,918 99		48 01	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/27/2016	657 768	5,716 00	5,676 54		39 46	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/28/2016	628 654	5,463 00	5,425 28		37 72	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 10/31/2016	651 093	5,658 00	5,618 93		39 07	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 11/01/2016	677 189	5,878 00	5,844 14		33 86	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 11/02/2016	644 752	5,590 00	5,564 21		25 79	
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 11/03/2016	611 894	5,299 00	5,280 64		18 36	

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions



THE TUCKER FOUNDATION-ADV DYS
Account Number F 26368-00-4

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income D indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 11/04/2016	666 975	5,776 00	5,755 99		20 01
RIDGEWORTH SEIX FLOATING-IS	76628U105		09/15/2016 11/07/2016	437 991	3,793 00	3,779 86		13 14
Total Short-Term Covered					97,293.67 ✓	97,676.96 ✓		-283.29



Capital Gain and Loss Schedule									
Short-Term Covered									
Description	CUSIP	Code*	Date Acquired Date Sold	Units	Proceeds	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**	
THE TUCKER FOUNDATION-UTHY Account Number F 21765-00-6									
ALLY FINANCIAL INC 3 250% 02/13/2018 DTD 02/13/2015	02005NAX8		09/26/2016 11/28/2016	8,000 000	8,040 00	8,087 64		-47 64	
AMERIGAS FINANCE LLC COR NON-TRADEABLE ASSET CORP ACTIONS CONTRA 7% MAY 20 2022 DTD 01/12/2012	03099ALE1		09/26/2016 12/29/2016	12,000 000	12,690 00	12,705 47		-15 47	
CCO HLDGS LLC/CAP CORP 6 5/8% JAN 31 2022 DTD 01/26/2012	1248EPAX1		09/26/2016 10/27/2016	8,000 000	8,360 00	8,410 14		-50 14	
CCO HLDGS LLC/CAP CORP 6 5/8% JAN 31 2022 DTD 01/26/2012	1248EPAX1		09/26/2016 10/27/2016	2,000 000	2,090 00	2,102 53		-12 53	
GRAPHIC PACKAGING INTL	38869PAK0		09/26/2016 10/06/2016	5,000 000	5,356 25	5,372 27		-16 02	
PRECISION DRILLING CORP	740212AC9		09/26/2016 12/06/2016	4,020 900	4,020 90	3,769 59		251 31	
Total Short-Term Covered					40,557.15 ✓	40,447.64 ✓		109.51	

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income D indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital transactions

Approved Future Grants

Bradford Brinton	200,000.00
Big Horn, WY	
Bright School	1,950.48
Chattanooga, TN	
Carolina Cup Raching Association	4,000.00
Camden, SC	
Chambliss Center for Children	100,000.00
Chattanooga, TN	
Chattanooga Girls Leadership Academy	200,000.00
Chattanooga, TN	
Cleveland/Bradley County Public Education Foundation	50,000.00
Cleveland, TN	
Lee University	25,000.00
Cleveland, TN	
Lula Lake Land Trust	50,000.00
Lookout Mountain, GA	
The Nature Conservancy of Wyoming	25,000.00
Lander, WY	
The University of the South	400,000.00
Sewanee, TN	
Young Women's Leadership Academy Foundation	37,500.00
San Antonio, TX	
 TOTAL	 1,093,450.48

Recipient	Purpose of Grant	Amount
Abraham Baldwin Agricultural College Foundation Tifton, GA	General Use	25,000.00
American Museum of Fly Fishing Manchester, VT	General Use	1,000.00
ArtsBuild Chattanooga, TN	General Use	8,400.00
Atlanta Steeplechase Atlanta, GA	General Use	1,000.00
Bachman Academy McDonald, TN	General Use	25,000.00
Baylor School Chattanooga, TN	General Use	1,100,000.00
Belmont University Nashville, TN	General Use	15,000.00
Bethel Bible Village Hixson, TN	General Use	20,000.00
The Bethlehem Center Chattanooga, TN	General Use	13,150.00
Big Horn Education Fund Sheridan, WY	General Use	5,000.00
Big Horn Equestrian Center Sheridan, WY	General Use	5,000.00
Bingham County Historical Society Museum Blackfoot, ID	General Use	1,500.00
Black Fox Elementary School Cleveland, TN	General Use	5,000.00
Bradford Brinton Big Horn, WY	General Use	205,000.00
Bradley County Scottish Rite Cleveland, TN	General Use	1,000.00

Bright School Chattanooga, TN	General Use	40,000.00
Buffalo Bill Center of the West Cody, WY	General Use	120,576.00
Camelia Foundation Memphis, TN	General Use	5,000.00
Caring Place Cleveland, TN	General Use	20,000.00
Carolina Cup Racing Association Camden, SC	General Use	2,000 00
CASA of Bradley & Polk Counties Cleveland, TN	General Use	3,100.00
Chambliss Center for Children Chattanooga, TN	General Use	100,000.00
Chattanooga Autism Center Chattanooga, TN	General Use	2,600.00
Chattanooga Chamber Foundation Chattanooga, TN	General Use	50,000.00
Chattanooga Girls Leadership Academy Chattanooga, TN	General Use	150,000.00
Chattanooga State Technical Community College Chattanooga, TN	General Use	26,200.00
Cherokee Area Council - Boy Scouts Chattanooga, TN	General Use	10,000.00
Cleveland/Bradley County Community Foundation Cleveland, TN	General Use	25,000.00
Cleveland/Bradley County Public Education Foundation Cleveland, TN	General Use	98,200.00
Cleveland Public Library Foundation Cleveland, TN	General Use	75,000.00
Community Foundation of Greater Chattanooga Chattanooga, TN	General Use	350,000.00
Community Spirit, Inc Adams, TN	General Use	3,000.00

Crooked Island Development Corporation Fort Worth, TX	General Use	15,000.00
Cystic Fibrosis Foundation Nashville, TN (For Chattanooga Chapter)	General Use	15,000.00
Erlanger Health System Foundations Chattanooga, TN	General Use	10,000.00
Family Cornerstones Cleveland, TN	General Use	11,500.00
Fellowship of Christian Athletes Nashville, TN	General Use	1,000.00
Florida Atlantic University - C Scribbling Scholarship Boca Raton, FL	Scholarship	8,364.30
Florida State University - M Miller Scholarship Tallahassee, FL	Scholarship	1,050.00
Food Group, Inc New Hope, MN	General Use	10,000.00
Georgia College & State University - C. Driver Scholarship Milledgeville, GA	Scholarship	5,817.00
Georgia Conservancy, Inc. Atlanta, GA	General Use	5,000.00
Girls, Inc. Chattanooga, TN	General Use	5,000.00
Grayson Jockey Club Research Foundation Lexington, KY	General Use	10,000.00
Humane Educational Society Chattanooga, TN	General Use	2,000.00
Hunter Museum of Art Chattanooga, TN	General Use	10,000.00
Inner City Ministry Nashville, TN	General Use	10,000.00
J.O.Y. School Nashville, TN	General Use	3,200.00
Joey's Foundation Inc Sheridan, WY	General Use	5,000.00

Junior Achievement of the Ocoee Region Cleveland, TN	General Use	3,000.00
Kentucky Horse Park Foundation Lexington, KY	General Use	845.00
Lee University Cleveland, TN	General Use	1,015,000.00
Lula Lake Land Trust Lookout Mountain, GA	General Use	50,000.00
Metropolitan Ministries, Inc. (MetMin) Chattanooga, TN	General Use	30,000.00
Museum Center of Five Points Cleveland, TN	General Use	14,833.00
National Museum of Racing & Hall of Fame Saratoga Springs, NY	General Use	10,000.00
National Steeplechase Foundation Elkton, MD	General Use	1,000.00
The Nature Conservancy Arlington, VA	Debt Relief	100,000.00
The Nature Conservancy of Wyoming Lander, WY	General Use	25,000.00
New Vocations Marysville, OH	General Use	50,000.00
Northside Neighborhood House Chattanooga, TN	General Use	15,000.00
On Point Hixson, TN	General Use	177,580.00
Palm Beach State College - J Dudman - Scholarship Lake Worth, FL	Scholarship	6,172.27
Philanthropy Roundtable Washington, DC	General Use	500.00
Place of Hope, Inc. Columbia, TN	General Use	50,000.00
Polo Training Foundation Tully, NY	General Use	10,000.00

Renew Chattanooga Chattanooga, TN	General Use	5,000.00
Retired Racehorse Project Davidsonville, MD	General Use	5,000.00
Saddle Up Franklin, TN	General Use	250.00
Samaritan's Purse Boone, NC	General Use	10,000.00
Sequatchie County Food Bank Dunlap, TN	General Use	2,500.00
Sheridan College Foundation Sheridan, WY	General Use	25,000.00
Skyuka Hall Chattanooga, TN	General Use	17,125.00
St Andrew's-Sewanee School Sewanee, TN	General Use	10,000.00
Tall Timbers Research Station Tallahassee, FL	General Use	1,000.00
Tennessee River Gardens & Nature Preserve Chattanooga, TN	General Use	250.00
Trousdale School Cleveland, TN	General Use	54,900.00
Ucross Foundation Clearmont, WY	General Use	1,000.00
United Way of Chattanooga Chattanooga, TN	General Use	10,000.00
Unity Center Cleveland, TN	General Use	4,950.00
University of Georgia - E. Driver Scholarship Athens, GA	Scholarship	1,061.00
University of North Carolina (N. MacCarty) Greensboro, NC	Scholarship	13,755.31
The University of the South Sewanee, TN	General Use	75,000.00

Virginia Polo	General Use	450.00
Charlottesville, VA		
Wyoming Wilderness Association	General Use	2,500.00
Sheridan, WY		
Young Women's Leadership Academy Foundation	General Use	75,000.00
San Antonio, TX		
YMCA of Metropolitan Chattanooga	General Use	350.00
Chattanooga, TN		
TOTAL		<u><u>4,513,678.88</u></u>
	Scholarships	36,219.88