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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation PATRICIA AND RODES HART FOUNDATION		A Employer identification number 62-1355032	
Number and street (or P O box number if mail is not delivered to street address) 3001 HILLSBORO ROAD		Room/suite	B Telephone number (see instructions) (615) 370-5801
City or town, state or province, country, and ZIP or foreign postal code BRENTWOOD, TN 37027		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 917,849	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	4,001,435			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	812	812		
	4 Dividends and interest from securities	1,301	1,301		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-14,183			
	b Gross sales price for all assets on line 6a 3,009,971				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	143	143		
	12 Total. Add lines 1 through 11	3,989,508	2,256		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,950			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	268	268		
	24 Total operating and administrative expenses. Add lines 13 through 23	3,218	268		0
	25 Contributions, gifts, grants paid	3,589,558			3,589,558
	26 Total expenses and disbursements. Add lines 24 and 25	3,592,776	268		3,589,558
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	396,732			
	b Net investment income (if negative, enter -0-)		1,988		
	c Adjusted net income(if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	400,777	792,014	792,014		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)					
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	107,416	112,911	125,835		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)						
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	508,193	904,925	917,849			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)		0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	508,193	904,925			
30	Total net assets or fund balances (see instructions)	508,193	904,925				
31	Total liabilities and net assets/fund balances (see instructions) .	508,193	904,925				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	508,193
2	Enter amount from Part I, line 27a	2	396,732
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	904,925
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	904,925

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a SEE ATTACHED SCHEDULE	D		
b SEE ATTACHED SCHEDULE	D		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 44,573		44,365	208
b 2,964,128		2,979,789	-15,661
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			208
b			-15,661
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-14,183
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	208

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,324,255	1,944,249	1 709789
2014	2,842,858	3,668,012	0 775041
2013	1,757,370	1,400,637	1 254693
2012	2,326,997	868,737	2 678598
2011	1,647,970	123,181	13 378443


2 Total of line 1, column (d)	2	19 796564
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	3 959313
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	711,980
5 Multiply line 4 by line 3	5	2,818,952
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	20
7 Add lines 5 and 6	7	2,818,972
8 Enter qualifying distributions from Part XII, line 4	8	3,589,558

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	20
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	20
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,656
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,656
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	2,636
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 2,636 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TN _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> 	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► H RODES HART Telephone no ► (615) 370-5801			

Located at **►** 3001 HILLSBORO ROAD BRENTWOOD TN ZIP+4 **►** 37027

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to <ul style="list-style-type: none"> (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
PATRICIA I HART 3001 HILLSBORO ROAD BRENTWOOD, TN 37027	TRUSTEE 1 00	0	0	0
H RODES HART 3001 HILLSBORO ROAD BRENTWOOD, TN 37027	TRUSTEE 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	127,285
b	Average of monthly cash balances.	1b	595,537
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	722,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	722,822
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,842
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	711,980
6	Minimum investment return. Enter 5% of line 5.	6	35,599

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	35,599
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	20
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	20
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	35,579
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	35,579
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	35,579

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,589,558
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,589,558
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	20
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,589,538

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				35,579
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	1,641,815			
b From 2012.	2,263,587			
c From 2013.	1,687,375			
d From 2014.	2,662,113			
e From 2015.	3,227,043			
f Total of lines 3a through e.	11,481,933			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,589,558</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				35,579
e Remaining amount distributed out of corpus	3,553,979			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,035,912			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	1,641,815			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	13,394,097			
10 Analysis of line 9				
a Excess from 2012.	2,263,587			
b Excess from 2013.	1,687,375			
c Excess from 2014.	2,662,113			
d Excess from 2015.	3,227,043			
e Excess from 2016.	3,553,979			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RODES PATRICIA HART

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	3,589,558
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

1-(1)	No
-------	----

1a(1)		No
1a(2)		No

1b(1)	No
--------------	-----------

1b(2)		No
1b(3)		No

1b(3)		No
1b(4)		No
1b(5)		No

1b(5)		No
1b(6)		No

1c		No
-----------	--	-----------

value

ue

Learning arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c)(3) of the Code (other than section 501(c)(29)) or in section 527? ☐ Yes ☒ No

☐ Yes ☒ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Form **990-PF** (2016)

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN RED CROSS 2201 CHARLOTTE AVENUE NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	11,000
ASPEN MUSIC FESTIVAL SC 225 MUSIC SCHOOL ROAD ASPEN, CO 81611	NONE	PUB CHARITY	DONATION	10,000
AUGSBURG COLLEGE 2211 RIVERSIDE AVENUE MINNEAPOLIS, MN 55454	NONE	PUB CHARITY	DONATION	12,500
BRIDGES PO BOX 1592 FRANKLIN, TN 37065	NONE	PUB CHARITY	DONATION	5,000
CASA 100 WEST HARRISON STREET NORTH TOWER SUITE 500 SEATTLE, WA 98119	NONE	PUB CHARITY	DONATION	25,000
CENTER FOR LIVING LEARNING PO BOX 50272 NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	1,000
CHEEKWOOD 1200 FORREST PARK DRIVE NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	13,500
COUNCIL ON AGING 95 WHITE BRIDGE ROAD SUITE 114 NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	2,500
CURREY INGRAM FUND 6544 MURRAY LANE BRENTWOOD, TN 37027	NONE	PUB CHARITY	DONATION	1,500
DAYSTAR MINISTRIES 2801 AZALEA PLACE NASHVILLE, TN 37204	NONE	PUB CHARITY	DONATION	50,000
DISCOVERY PLACE INC 1635 SPENCER MILL ROAD BURNS, TN 37029	NONE	PUB CHARITY	DONATION	15,000
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE 2ND FL NEW YORK, NY 10001	NONE	PUB CHARITY	DONATION	1,000
ENSWORTH 211 ENSWORTH AVENUE NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	250,000
FAITH FAMILY MEDICAL CENTER 326 21ST AVENUE NORTH NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	1,000
FANNIE BATTLE DAY HOME FOR CHILDREN 108 CHAPEL AVE NASHVILLE, TN 37206	NONE	PUB CHARITY	DONATION	1,000
Total ► 3a				3,589,558


Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FIFTY FORWARD 174 RAINS AVENUE NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	556,250
FIRST PRESBYTERIAN CHURCH 4815 FRANKLIN ROAD NASHVILLE, TN 37220	NONE	PUB CHARITY	DONATION	25,000
FRATERNAL ORDER OF POLICE 440 WELSHWOOD DRIVE NASHVILLE, TN 37211	NONE	PUB CHARITY	DONATION	200
INTERFAITH DENTAL CLINIC 1721 PATTERSON STREET NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	1,000
MARCH OF DIMES 1101 KERMIT DR SUITE 201 NASHVILLE, TN 37214	NONE	PUB CHARITY	DONATION	750
MUSIC FOR SENIORS 161 RAINS AVENUE NASHVILLE, TN 372035330	NONE	PUB CHARITY	DONATION	15,000
NASHVILLE COACHING COALITION 4721 TROUSDALE DRIVE SUITE 125 NASHVILLE, TN 37220	NONE	PUB CHARITY	DONATION	25,000
NASHVILLE FIREFIGHTERS ASSOC 100 ARLINGTON AVENUE NASHVILLE, TN 37210	NONE	PUB CHARITY	DONATION	60
NASHVILLE OPERA 3622 REDMON STREET NASHVILLE, TN 37209	NONE	PUB CHARITY	DONATION	50,000
NASHVILLE PUBLIC TELEVISION 161 RAINS AVENUE NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	500
NASHVILLE SYMPHONY 1 SYMPHONY PLACE NASHVILLE, TN 37201	NONE	PUB CHARITY	DONATION	20,000
PLANNED PARENTHOOD 412 DR DB TODD JR BLVD NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	500
ROOM IN THE INN 705 DREXEL ST NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	1,000
SADDLE UP 1549 OLD HILLSBORO ROAD FRANKLIN, TN 37069	NONE	PUB CHARITY	DONATION	1,000
SECOND HARVEST FOOD BANK 331 GREAT CIRCLE ROAD NASHVILLE, TN 37228	NONE	PUB CHARITY	DONATION	50,000
Total ►				3,589,558
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SENIOR CENTER FOR THE ARTS 108 DONELSON PIKE NASHVILLE, TN 37214	NONE	PUB CHARITY	DONATION	1,000
SPECIAL OLYMPICS TENNESSEE 461 CRAIGHEAD STREET NASHVILLE, TN 37204	NONE	PUB CHARITY	DONATION	500
ST GEORGE'S 4715 HARDING ROAD NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	500
STARS 1704 CHARLOTTE AVENUE NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	51,250
THE FRIST CENTER 919 BROADWAY NASHVILLE, TN 37203	NONE	PUB CHARITY	DONATION	12,500
THE METROPOLITAN OPERA 30 LINCOLN CENTER NEW YORK, NY 10023	NONE	PUB CHARITY	DONATION	30,000
THE NASHVILLE ZOO 3777 NOLENSVILLE ROAD NASHVILLE, TN 37211	NONE	PUB CHARITY	DONATION	50,000
THE RETREAT 1221 WAYZATA BLVD E WAYZATA, MN 55391	NONE	PUB CHARITY	DONATION	25,000
THE SALVATION ARMY 631 DICKERSON PIKE NASHVILLE, TN 37207	NONE	PUB CHARITY	DONATION	10,000
THE SMITHSONIAN PO BOX 37012 WASHINGTON, DC 20013	NONE	PUB CHARITY	DONATION	10,000
TIME TO RISE 900 20TH AVE S SUITE 1704 NASHVILLE, TN 37212	NONE	PUB CHARITY	DONATION	5,000
TN STATE MUSEUM FOUNDATION 505 DEADERICK STREET NASHVILLE, TN 372431120	NONE	PUB CHARITY	DONATION	1,000
UNITED WAY OF WILLIAMSON 209 GOTHIC COURT STE 107 FRANKLIN, TN 37067	NONE	PUB CHARITY	DONATION	550,050
VANDERBILT -VARIOUS 2301 VANDERBILT PLACE NASHVILLE, TN 37240	NONE	PUB CHARITY	DONATION	1,688,748
WALDEN'S PUDDLE PO BOX 641 JOELTON, TN 37080	NONE	PUB CHARITY	DONATION	250
Total ▶ 3a				3,589,558

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WEILL CORNELL MEDICINE 1300 YORK AVENUE BOX 314 NEW YORK, NY 10065	NONE	PUB CHARITY	DONATION	1,000
WEST END HOME FOUNDATION 109 KENNER AVENUE SUITE 202 NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	5,000
WESTMINSTER PRESBYTERIAN CHURCH 3900 WEST END AVENUE NASHVILLE, TN 37205	NONE	PUB CHARITY	DONATION	500
YOUTH VILLAGES 3310 PERIMETER HILL DRIVE NASHVILLE, TN 37211	NONE	PUB CHARITY	DONATION	1,000
Total  3a				3,589,558

TY 2016 Accounting Fees Schedule**Name:** PATRICIA AND RODES HART FOUNDATION**EIN:** 62-1355032

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	2,950			

TY 2016 Investments - Other Schedule**Name:** PATRICIA AND RODES HART FOUNDATION**EIN:** 62-1355032

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
2,366.726 SHS OW FIXED INCOME FUND	AT COST	26,717	26,152
1,562,808 SHS OW LARGE CAP CORE FD	AT COST	23,319	21,988
3,340.047 SHS OW LARGE CAP STRATEGI	AT COST	26,250	42,852
1,007.801 SHS OW SMALL & MID CAP	AT COST	16,910	15,358
2,619.057 SHS OW STRATEGIC OPPTYS	AT COST	19,715	19,485
4,859.611 SHS OW REAL RETURN FUND	AT COST		
1,150.214 SHS OW FIXED INCOME FUND	AT COST		
1,459.486 SHS OW LARGE CAP CORE FD	AT COST		
2,788.238 SHS OW LARGE CAP STRATEGIE	AT COST		
977.318 SHS OW SMALL & MID CAP FUND	AT COST		
2,726.179 SHS OW STRATEGIC OPPTYS FU	AT COST		

TY 2016 Other Expenses Schedule**Name:** PATRICIA AND RODES HART FOUNDATION**EIN:** 62-1355032**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	268	268		
WIRE FEES				

TY 2016 Other Income Schedule

Name: PATRICIA AND RODES HART FOUNDATION

EIN: 62-1355032

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CASH LIQUIDATION DISTRIBUTION	143	143	

**TY 2016 Substantial Contributors
Schedule****Name:** PATRICIA AND RODES HART FOUNDATION**EIN:** 62-1355032**Name****Address**

RODES PATRICIA HART

3001 HILLSBORO ROAD
BRENTWOOD, TN 37027

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2016
	Name of the organization PATRICIA AND RODES HART FOUNDATION	Employer identification number 62-1355032

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization PATRICIA AND RODES HART FOUNDATION	Employer identification number 62-1355032
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RODES PATRICIA HART 3001 HILLSBORO ROAD BRENTWOOD, TN 37027	\$ 4,001,435	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization PATRICIA AND RODES HART FOUNDATION	Employer identification number 62-1355032
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Part II **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____		\$ _____	_____

Name of organization PATRICIA AND RODES HART FOUNDATION	Employer identification number 62-1355032
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

Additional Data

Software ID:
Software Version:
EIN: 62-1355032
Name: PATRICIA AND RODES HART FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	70 SHS AETNA INC	<u>\$ 8,951</u>	<u>2016-11-22</u>
<u>1</u>	560 SHS NXP SEMICONDUCTORS NV	<u>\$ 55,325</u>	<u>2016-11-22</u>
<u>1</u>	350 SHS TATA MOTORS LTD	<u>\$ 14,390</u>	<u>2016-10-04</u>
<u>1</u>	500 SHS AMERICAN INTL GROUP INC	<u>\$ 31,803</u>	<u>2016-11-22</u>
<u>1</u>	8799 128 SHS OW LARGE CAP STRAT	<u>\$ 116,148</u>	<u>2016-10-04</u>
<u>1</u>	485 SHS ZIMMER BIOMET HOLDINGS	<u>\$ 62,730</u>	<u>2016-10-04</u>
<u>1</u>	450 SHS AMERICAN WATER WORKS	<u>\$ 32,735</u>	<u>2016-10-04</u>
<u>1</u>	16,203 135 SHS OW LARGE CAP STRA	<u>\$ 210,479</u>	<u>2016-11-22</u>
<u>1</u>	295 SHS APPLE INC	<u>\$ 33,474</u>	<u>2016-10-04</u>
<u>1</u>	39,197 408 SHS OW SMALL & MID CA	<u>\$ 633,430</u>	<u>2016-10-04</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	925 SHS DOLLAR GENERAL CORP	<u>\$ 63,469</u>	<u>2016-10-04</u>
<u>1</u>	99,030 925 SHS OW SMALL & MID CA	<u>\$ 1,592,417</u>	<u>2016-11-22</u>
<u>1</u>	765 SHS NIELSEN HOLDINGS PLC	<u>\$ 41,000</u>	<u>2016-10-04</u>
<u>1</u>	695 SHS RAYTHEON CO	<u>\$ 102,235</u>	<u>2016-11-22</u>

PATRICIA AND RODES HART FOUNDATION
62-1355032
PART IV - CAPITAL GAINS AND LOSSES DETAIL
12/31/2016

# Shares	Description	Date Acquired	Date Sold	Sales Price	Cost	Gain/(Loss)
SHORT TERM						
493 171	OW Large Cap Strategies Fd	11/22/2016	11/23/2016	6,401.36	6,406 29	(4 93)
1,423 35	OW Small & Mid Cap Fund	11/22/2016	11/23/2016	22,901.64	22,887 40	14 24
93 366	OW Strategic Opptys Fund	Various	5/12/2016	666 63	680 64	(14 01)
350	TATA Motors LTD ADR	10/4/2016	10/5/2016	14,603.43	14,390 25	213 18
TOTAL SHORT TERM				44,573.06	44,364.58	208.48
LONG TERM						
70	AETNA INC NEW	Various	11/22/2016	8,932.51	8,950 55	(18 04)
500	American Intl Group Inc	Various	11/22/2016	31,844.35	31,802 50	41 85
450	American Water Works Co	Various	10/5/2016	32,500.54	32,735 25	(234 71)
295	Apple Inc	Various	10/5/2016	33,303.29	33,473 65	(170 36)
925	Dollar General Corp	Various	10/5/2016	62,172.51	63,468 88	(1,296 37)
8,799 128	OW Large Cap Strategies Fd	Various	10/5/2016	116,500.45	116,148 49	351 96
15,709 964	OW Large Cap Strategies Fd	Various	11/23/2016	203,915.33	204,072 43	(157 10)
151 286	OW All Cap Core Fd	7/1/2014	5/12/2016	2,000.00	2,296 52	(296 52)
165 673	OW Small & Mid Cap Strat Fd	10/3/2008	5/12/2016	2,500.00	2,841 29	(341 29)
39,197 408	OW Small & Mid Cap Fund	Various	10/5/2016	634,998.01	633,430 11	1,567 90
97,607 579	OW Small & Mid Cap Fund	Various	11/23/2016	1,570,505 94	1,585,754 71	(15,248 77)
466 858	OW Strategic Opptys Fund	Various	5/12/2016	3,333.37	3,524 78	(191 41)
695	Raytheon Co New	Various	11/22/2016	102,434.51	102,234 50	200 01
485	Zimmer Biomet Holdings Inc	Various	10/5/2016	62,920.09	62,729 90	190 19
765	Nielsen Holdings PLC	Various	10/5/2016	40,962.40	41,000 18	(37 77)
560	NXP SemiConductors NV	Various	11/22/2016	55,304.45	55,325 20	(20 75)
TOTAL LONG TERM				2,964,127.75	2,979,788.94	(15,661.19)
TOTAL SHORT TERM & LONG TERM				3,008,700.81	3,024,153.52	(15,452.71)