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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

**2016**Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)**Open to Public Inspection**

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

**STOCK YARDS BANK FOUNDATION INC**

Number and street (or P O box number if mail is not delivered to street address)

**P.O. BOX 34290**

City or town, state or province, country, and ZIP or foreign postal code

**LOUISVILLE, KY 40232-4290**

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) **\$ 280,754.**J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

**61-1380342**

B Telephone number (see instructions)

**502-625-2282**C If exemption application is  
pending, check here . . . . . ☐D 1 Foreign organizations, check here . . . . . ☐2 Foreign organizations meeting the  
85% test, check here and attach  
computation . . . . . ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here . . . . . ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here . . . . . ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions).)(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	42,855.			
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	4,594.	4,151.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-17,075.			
	b	Gross sales price for all assets on line 6a	248,964.			
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	30,374.	4,151.		
	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	750.	NONE	NONE	750.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	528.	81.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	Total operating and administrative expenses. Add lines 13 through 23.	1,278.	81.	NONE	750.
	25	Contributions, gifts, grants paid	226,500.			226,500.
	26	Total expenses and disbursements. Add lines 24 and 25	227,778.	81.	NONE	227,250.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-197,404.			
	b	Net investment income (if negative, enter -0-)		4,070.		
	c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	12,155.	13,689.	13,689.
	3	Accounts receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	4	Pledges receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . . . . .			
	c	Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment, basis ▶ . . . . .			
	Less: accumulated depreciation ▶ (attach schedule) . . . . .				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .	446,865.	247,731.	267,065.	
14	Land, buildings, and equipment, basis ▶ . . . . .				
	Less: accumulated depreciation ▶ (attach schedule) . . . . .				
15	Other assets (describe ▶ . . . . .)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	459,020.	261,420.	280,754.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ . . . . .)			
23	Total liabilities (add lines 17 through 22) . . . . .			NONB	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	459,020.	261,420.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30	Total net assets or fund balances (see instructions) . . . . .	459,020.	261,420.	
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	459,020.	261,420.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	459,020.
2	Enter amount from Part I, line 27a . . . . .	2	-197,404.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	261,616.
5	Decreases not included in line 2 (itemize) ▶ RETURN OF CAPITAL ADJ. . . . .	5	196.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	261,420.

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**Part IV Capital Gains and Losses for Tax on Investment Income**(a) List and describe the kind(s) of property sold (e.g., real estate,  
2-story brick warehouse; or common stock, 200 shs. MLC Co.)(b) How  
acquired  
P - Purchase  
D - Donation(c) Date acquired  
(mo., day, yr.)(d) Date sold  
(mo., day, yr.)**1 a PUBLICLY TRADED SECURITIES****b****c****d****e**

(e) Gross sales price

(f) Depreciation allowed  
(or allowable)(g) Cost or other basis  
plus expense of sale(h) Gain or (loss)  
(e) plus (f) minus (g)**a** 248,964.

266,039.

-17,075.

**b****c****d****e**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69

(j) Adjusted basis  
as of 12/31/69(k) Excess of col. (i)  
over col. (j), if any(l) Gains (Col. (h) gain minus  
col. (k), but not less than -0-) or  
Losses (from col. (h))**a**

-17,075.

**b****c****d****e****2** Capital gain net income or (net capital loss){ If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7 }**2**

-17,075.

**3** Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in  
Part I, line 8**3****Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	196,200.	489,959.	0.400442
2014	208,700.	419,146.	0.497917
2013	164,950.	463,651.	0.355763
2012	206,178.	406,120.	0.507678
2011	175,790.	289,583.	0.607045

**2** Total of line 1, column (d)**2**

2.368845

**3** Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the  
number of years the foundation has been in existence if less than 5 years.**3**

0.473769

**4** Enter the net value of noncharitable-use assets for 2016 from Part X, line 5**4**

322,132.

**5** Multiply line 4 by line 3.**5**

152,616.

**6** Enter 1% of net investment income (1% of Part I, line 27b)**6**

41.

**7** Add lines 5 and 6.**7**

152,657.

**8** Enter qualifying distributions from Part XII, line 4**8**

227,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	41.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .	2	
3	Add lines 1 and 2 . . . . .	3	41.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .	4	NONE
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	41.
6	<b>Credits/Payments.</b>		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 . . . . .	6a	628.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d . . . . .	7	628.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	587.
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input type="checkbox"/> . . . . .	11	543.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> <u>KY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	X	

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>STOCK YARDS BANK &amp; TRUST</u> Telephone no. <u>(502) 625-2282</u> Located at <u>P O BOX 34290, LOUISVILLE, KY</u> ZIP+4 <u>40232-4290</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**6b****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID P. HEINTZMAN	PRESIDENT			
1040 EAST MAIN ST, LOUISVILLE, KY 40206	1	-0-	-0-	-0-
NANCY B. DAVIS	SECR/TREAS			
1040 EAST MAIN ST, LOUISVILLE, KY 40206	1	-0-	-0-	-0-
KATHY C. THOMPSON	DIRECTOR			
1040 EAST MAIN ST, LOUISVILLE, KY 40206	1	-0-	-0-	-0-

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

**Part IX-A Summary of Direct Charitable Activities**

## Expenses

**Part IX-B Summary of Program-Related Investments (see instructions)**

Amount

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	317,366.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	9,672.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	327,038.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	327,038.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	4,906.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	322,132.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	16,107.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	16,107.
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	41.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	41.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	16,066.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	16,066.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	16,066.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	227,250.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	227,250.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	41.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	227,209.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				16,066.
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only. . . . .			NONE	
<b>b</b> Total for prior years. 20 <u>14</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011 . . . . .	159,581.			
<b>b</b> From 2012 . . . . .	186,016.			
<b>c</b> From 2013 . . . . .	142,431.			
<b>d</b> From 2014 . . . . .	188,551.			
<b>e</b> From 2015 . . . . .	172,329.			
<b>f</b> Total of lines 3a through e . . . . .	848,908.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ <u>227,250.</u>				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2016 distributable amount. . . . .				16,066.
<b>e</b> Remaining amount distributed out of corpus. . . . .	211,184.			
<b>5</b> Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	1,060,092.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017. . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	159,581.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	900,511.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012 . . . . .	186,016.			
<b>b</b> Excess from 2013 . . . . .	142,431.			
<b>c</b> Excess from 2014 . . . . .	188,551.			
<b>d</b> Excess from 2015 . . . . .	172,329.			
<b>e</b> Excess from 2016 . . . . .	211,184.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE STATEMENT 15				226,500.
<b>Total</b> . . . . .			▶ <b>3a</b>	226,500.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

Enter gross amounts unless otherwise indicated.

Worksheet: Analysis of Income-Producing Activities						
Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)	
<b>1</b> Program service revenue:						
a						
b						
c						
d						
e						
f						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments . . . . .						
<b>4</b> Dividends and interest from securities . . . . .						
			14	4,594.		
<b>5</b> Net rental income or (loss) from real estate:						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property. . . . .						
<b>7</b> Other investment income . . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory						
			18	-17,075.		
<b>9</b> Net income or (loss) from special events . . . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .						
<b>11</b> Other revenue: a						
b						
c						
d						
e						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .						
				-12,481.		
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .						
					13	-12,481.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2016**

Name of the organization

Employer identification number

STOCK YARDS BANK FOUNDATION INC

61-1380342

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>STOCK YARDS BANK FOUNDATION INC</b>	Employer identification number <b>61-1380342</b>
--	---

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STOCK YARDS BANK P O BOX 34290 LOUISVILLE, KY 40232	\$ 42,855.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	750.			750.
TOTALS	750.	NONE	NONE	750.

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FEDERAL ESTIMATES - PRINCIPAL	447.	
FOREIGN TAXES ON QUALIFIED FOR	66.	66.
FOREIGN TAXES ON NONQUALIFIED	15.	15.
	-----	-----
TOTALS	528.	81.
	=====	=====

=====

## RECIPIENT NAME:

LOUISVILLE OLMSTEAD PARKS  
CONSERVANCY

## ADDRESS:

1299 TREVILIAN WAY  
LOUISVILLE, KY 40213

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PLEDGE CONTRIBUTION

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

METRO UNITED WAY

## ADDRESS:

DEPT 52860  
LOUISVILLE, KY 40295-0148

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

CORPORATE PLEDGE

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 13,500.

## RECIPIENT NAME:

JUNIOR ACHIEVEMENT OF  
KENTUCKIANA

## ADDRESS:

P O BOX 24403  
LOUISVILLE, KY 40224-4403

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

SUPPORT THE JA ECONOMIC PROGRAMS

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 15,000.

=====

RECIPIENT NAME:

BELLARMINE UNIVERSITY

ADDRESS:

2001 NEWBURG ROAD

LOUISVILLE, KY

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PLEDGE CONTRIBUTION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 50,000.

RECIPIENT NAME:

SPALDING UNIVERSITY

ADDRESS:

851 SOUTH FOURTH STREET

LOUISVILLE, KY 40203-2188

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PLEDGE CONTRIBUTION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 12,500.

RECIPIENT NAME:

FUND FOR THE ARTS

ADDRESS:

623 WEST MAIN STREET

LOUISVILLE, KY 40202

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CAPITAL CAMPAIGN

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 42,500.

RECIPIENT NAME:

SPAVA

ADDRESS:

P O BOX 22067

LOUISVILLE, KY 40252

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL FUNDING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

CLOUT

ADDRESS:

P O BOX 5853

ATHENS, OH 45701

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.

RECIPIENT NAME:

COURT APPOINTED SPECIAL ADVOC (CASA

ATTN: KRISTEN RIDDICK

ADDRESS:

514 W LIBERTY STREET

LOUISVILLE, KY 40202

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL PROGRAMS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 250.

RECIPIENT NAME:  
BOYS & GIRLS CLUB OF KENTUCKIANA  
ADDRESS:  
P O BOX 4989  
LOUISVILLE, KY 40204  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL PROGRAMS  
FOUNDATION STATUS OF RECIPIENT:  
SO-I  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
21ST CENTURY PARKS  
ADDRESS:  
471 W. MAIN ST., STE 202  
LOUISVILLE, KY 40202  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PLEDGE  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
YMCA of Greater Louisville  
Bullitt Co Family Branch  
ADDRESS:  
409 JOE B HALL AVENUE  
Shepherdsville, KY 40165  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

Kiwanis Club of Louisville Char Fdn

## ADDRESS:

PO BOX 965

Louisville, KY 40201

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

Oldham County Board of Education

## ADDRESS:

6165 WEST HIGHWAY 146

Crestwood, KY 40014

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

WELLSPRING, INC.

## ADDRESS:

225 W. BRECKINRIDGE STREET

LOUISVILLE, KY 40203

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 8,000.

=====

## RECIPIENT NAME:

MARYHURST, INC.

## ADDRESS:

1015 DORSEY LANE

LOUISVILLE, KY 40223

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

HISTORIC LOCAL GROVE, INC

## ADDRESS:

561 BLANKENBAKER LANE

LOUISVILLE, KY 40207

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

ASSUMPTION HIGH SCHOOL, INC.

## ADDRESS:

2170 TYLER LANE

LOUISVILLE, KY 40205

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.



## RECIPIENT NAME:

YMCA BULLITT COUNTY

## ADDRESS:

409 JOE BHALL AVE

SHEPHERDSVILLE, KY 40165

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 250.

## RECIPIENT NAME:

OLDHAM COUNTY FISCAL COURT

## ADDRESS:

100 W JEFFERSON, SUITE 4

LAGRANGE, KY 40031

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

PRIMROSE SCHOOL OF WESTCHESTER

## ADDRESS:

ATTN. ROLAND &amp; DORA YOUNG

WEST CHESTER, OH 45069

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

EASTERN HIGH SCHOOL

## ADDRESS:

12400 OLD SHELBYVILLE RD

LOUISVILLE, KY 40243

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 50.

## RECIPIENT NAME:

NAMI LOUISVILLE

## ADDRESS:

708 W MAGAZINE ST., STE 144

LOUISVILLE, KY 40203

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 100.

## RECIPIENT NAME:

CHRIST WAY MISSIONARY BAPTIST CHURCH

## ADDRESS:

ATTN. REV. THOMAS M. BAKER

LOUISVILLE, KY 40203

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 200.

RECIPIENT NAME:  
KAUFFMAN FASTTRAC INC.  
ADDRESS:  
ATTN: DAVID OETKEN  
LOUISVILLE, KY 40222  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 300.

RECIPIENT NAME:  
BULLITT COUNTY SCHOOLS  
ADDRESS:  
1040 HIGHWAY 44 EAST  
SHEPHERDSVILLE, KY 40165  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:  
FOUNDATION FOR APPALACHIAN KY  
LESLIE COMM. FOUNDATION  
ADDRESS:  
420 MAIN STREET  
HAZARD, KY 41701  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 4,500.

=====

## RECIPIENT NAME:

SHILOH OUTREACH MINISTRIES

## ADDRESS:

805 NEW CASTLE MERCER RD

MERCER, PA 16137

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

SARABANDE BOOKS INC.

## ADDRESS:

822 E MARKET ST.

LOUISVILLE, KY 40206

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL FUNDING

## FOUNDATION STATUS OF RECIPIENT:

POF

AMOUNT OF GRANT PAID ..... 250.

## RECIPIENT NAME:

QUALITY DEER MANAGEMENT ASSOC.

## ADDRESS:

C/O T&amp;C CONTRACTING

LOUISVILLE, KY 40272

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

EO

AMOUNT OF GRANT PAID ..... 2,500.

RECIPIENT NAME:  
SIDE BY SIDE STUDIO  
ADDRESS:  
2528 FRANKFORT AVE  
LOUISVILLE, KY 40206  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
THE CHILD CONNECTION  
ADDRESS:  
2210 MEADOW DRIVE, STE 28  
LOUISVILLE, KY 40218-1335  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 250.

RECIPIENT NAME:  
GREEN HILL THERAPY INC.  
ADDRESS:  
1410 LONG RUN RD.  
LOUISVILLE, KY 40245  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 100.

RECIPIENT NAME:  
CARMEL FOUNTAIN SQUARE COMMITTEE INC.  
ADDRESS:  
PO BOX 4155  
CARMEL, IN 46082  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 500.

RECIPIENT NAME:  
LINCOLN HERITAGE COUNCIL, BOY SCOUTS  
OF AMERICA  
ADDRESS:  
12001 SYCAMORE STATION PLACE  
LOUISVILLE, KY 40299  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
FRATERNAL ORDER OF POLICE  
ADDRESS:  
PO BOX 17151  
LOUISVILLE, KY 40217  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
PROGRAM SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 150.

## RECIPIENT NAME:

LOUISVILLE LADY SLGUGERS

## ADDRESS:

1120 GILLILAND ROAD

LOUISVILLE, KY 40245

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 100.

## RECIPIENT NAME:

ACADEMY OF OUR LADY OF MERCY

## ADDRESS:

5801 FEGENBUSH LANE

LOUISVILLE, KY 40228

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

PROGRAM SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

TOTAL GRANTS PAID:

226,500.  
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# ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2016 TO DECEMBER 31, 2016

## ASSET DETAIL

DESCRIPTION	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CASH AND EQUIVALENTS</b>				
<b>MONEY MARKET FUNDS</b>				
SYB MONEY MARKET FUND	11,770.10 11,770.10	1.00 1.00	68.78	0.58
<b>TOTAL MONEY MARKET FUNDS</b>	<b>SUB-TOTAL</b> 11,770.10 11,770.10		68.78	0.58
<b>TOTAL CASH AND EQUIVALENTS</b>	11,770.10 11,770.10		68.78	0.58

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>EQUITIES</b>						
<b>MUTUAL FUNDS - EQUITY</b>						
<b>MF EQUITY - LARGE CAP BLEND</b>						
J P MORGAN DISCIPLINED EQUITY FUND	JDEAX	2,589.145	60,741.34 59,635.95	23.46 23.03	709.43	1.17
<b>TOTAL MF EQUITY - LARGE CAP BLEND</b>		<b>SUB-TOTAL</b>	60,741.34 59,635.95		709.43	1.17
<b>MF EQUITY - LARGE CAP GROWTH</b>						
MFS GROWTH FUND	MFEGX	921.271	65,152.29 52,172.24	70.72 56.63		
<b>TOTAL MF EQUITY - LARGE CAP GROWTH</b>		<b>SUB-TOTAL</b>	65,152.29 52,172.24		0.00	0.00
<b>MF EQUITY - MID CAP BLEND</b>						
J P MORGAN MID CAP EQUITY FUND	JCMAX	189.429	8,306.46 7,889.72	43.85 41.65	8.52	0.10
<b>TOTAL MF EQUITY - MID CAP BLEND</b>		<b>SUB-TOTAL</b>	8,306.46 7,889.72		8.52	0.10
<b>MF EQUITY - MID CAP VALUE</b>						
AMG MANAGERS FAIRPOINTE MID CAP FUND	CHTTX	197.814	8,068.83 6,796.88	40.79 34.36	26.11	0.32
<b>TOTAL MF EQUITY - MID CAP VALUE</b>		<b>SUB-TOTAL</b>	8,068.83 6,796.88		26.11	0.32
<b>MF EQUITY - SMALL CAP GROWTH</b>						
VOYA SMALL CAP OPPORTUNITIES FUND	NSPAX	141.484	7,696.73 8,241.39	54.40 58.25		
<b>TOTAL MF EQUITY - SMALL CAP GROWTH</b>		<b>SUB-TOTAL</b>	7,696.73 8,241.39		0.00	0.00
<b>MF EQUITY - SMALL CAP VALUE</b>						
MFS NEW DISCOVERY VALUE FUND	NDVAX	562.641	8,147.04 7,500.00	14.48 13.33	34.32	0.42
<b>TOTAL MF EQUITY - SMALL CAP VALUE</b>		<b>SUB-TOTAL</b>	8,147.04 7,500.00		34.32	0.42



# ACCOUNT STATEMENT

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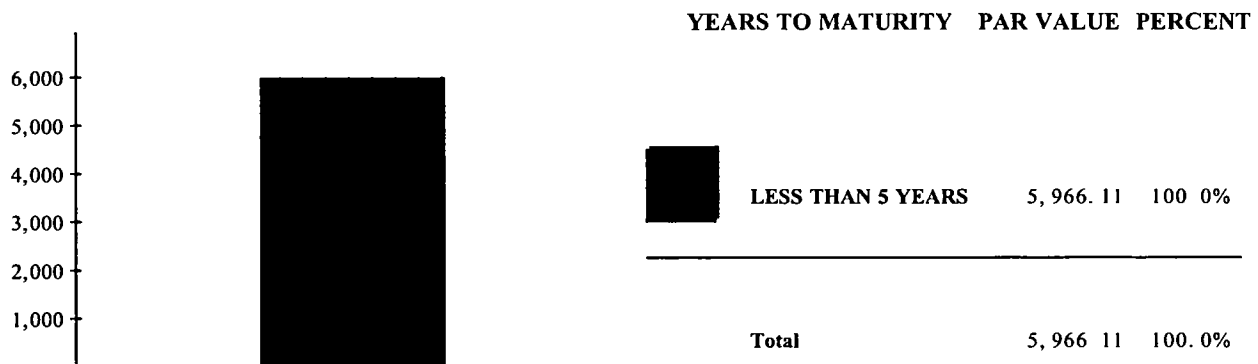
ACCOUNT NUMBER: 1050002967

DECEMBER 01, 2016 TO DECEMBER 31, 2016

## ASSET DETAIL ( CONTINUED )

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>MF INTERNATIONAL - BLEND</b>						
IVY INTERNATIONAL CORE EQUITY CL Y	IVVYX	871 502	14,606 37 12,567 06	16 76 14 42	238.79	1 63
<b>TOTAL MF INTERNATIONAL - BLEND</b>		<b>SUB- TOTAL</b>	<b>14,606.37 12,567.06</b>		<b>238.79</b>	<b>1.63</b>
<b>MF INTERNATIONAL - GROWTH</b>						
HENDERSON INTERNATIONAL OPPORTUNITIES FUND	HFOAX	541 544	13,598 17 11,100 94	25 11 20 50	210 66	1 55
<b>TOTAL MF INTERNATIONAL - GROWTH</b>		<b>SUB- TOTAL</b>	<b>13,598.17 11,100.94</b>		<b>210.66</b>	<b>1.55</b>
<b>MF INTERNATIONAL - EMERGING MKTS</b>						
OPPENHEIMER DEVELOPING MARKETS	ODMAX	222 694	7,219.74 7,675 12	32.42 34 46	16 26	0 23
<b>TOTAL MF INTERNATIONAL - EMERGING MKTS</b>		<b>SUB- TOTAL</b>	<b>7,219.74 7,675.12</b>		<b>16.26</b>	<b>0.23</b>
<b>MF INTERNATIONAL - SMALL CAP</b>						
OPPENHEIMER INTERNATIONAL SMALL MID COMPANY FUND	OSMAX	196.395	7,268 58 7,166 45	37 01 36 49	11 00	0 15
<b>TOTAL MF INTERNATIONAL - SMALL CAP</b>		<b>SUB- TOTAL</b>	<b>7,268.58 7,166.45</b>		<b>11.00</b>	<b>0.15</b>
<b>TOTAL MUTUAL FUNDS - EQUITY</b>		<b>SUB- TOTAL</b>	<b>200,805.55 180,745.75</b>		<b>1,255.09</b>	<b>0.63</b>
<b>TOTAL EQUITIES</b>			<b>200,805.55 180,745.75</b>		<b>1,255.09</b>	<b>0.63</b>

## BOND MATURITY SUMMARY



AVERAGE TIME TO MATURITY: 0.0 YEARS

CURRENT YIELD: 2.77%

# ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2016 TO DECEMBER 31, 2016

## ASSET DETAIL ( CONTINUED )

DESCRIPTION	RATING	PAR VALUE	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
<b>FIXED INCOME</b>						
<b>MUTUAL FUNDS</b>						
BAIRD CORE PLUS BOND FUND		2,860 274	32,778 74 32,776 61	11 46 11 46	806 60	2 46
FEDERATED TOTAL RETURN BOND FUND		3,105 832	33,480 87 34,208 91	10 78 11 01	1,028 03	3 07
<b>TOTAL MUTUAL FUNDS</b>		<b>SUB- TOTAL</b>	<b>66,259.61 66,985.52</b>		<b>1,834.63</b>	<b>2.77</b>
<b>TOTAL FIXED INCOME</b>			<b>66,259.61 66,985.52</b>		<b>1,834.63</b>	<b>2.77</b>
<b>TOTAL PRINCIPAL ASSETS</b>			<b>278,835.26 259,501.37</b>		<b>3,158.50</b>	<b>1.13</b>

# ACCOUNT STATEMENT

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ACCOUNT NUMBER: 1050002967

DECEMBER 01, 2016 TO DECEMBER 31, 2016

## ASSET DETAIL - INCOME ASSETS

DESCRIPTION		MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CASH AND EQUIVALENTS</b>					
<b>MONEY MARKET FUNDS</b>					
SYB MONEY MARKET FUND		1,919.39	1.00	11.22	0.58
		1,919.39	1.00		
<b>TOTAL MONEY MARKET FUNDS</b>	<b>SUB- TOTAL</b>	1,919.39		11.22	0.58
		1,919.39			
<b>TOTAL CASH AND EQUIVALENTS</b>		1,919.39		11.22	0.58
		1,919.39			
<b>TOTAL INCOME ASSETS</b>		1,919.39		11.22	0.58
		1,919.39			