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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation Norma D. Hanley and Thomas R. Hanley Foundation		A Employer identification number 61-1326779
Number and street (or P O box number if mail is not delivered to street address) 7504 Chestnut Hill Drive	Room/suite	B Telephone number (see instructions) 502-228-0161
City or town, state or province, country, and ZIP or foreign postal code Prospect, KY 40059-9484		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,782,087.90	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	14.95	14.95	14.95	
	4 Dividends and interest from securities	72,985.89	72,985.89	72,985.89	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	(52,238.70)			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		(52,238.70)		
	8 Net short-term capital gain			(69,123.17)	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	20,762.14	20,762.14	3,877.67	
	13 Compensation of officers, directors, trustees, etc.	27,500.00	27,500.00	27,500.00	27,500.00
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	21,787.13	21,787.13	21,787.13	21,787.13
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,606.32	2,606.32	2,606.32	2,606.32
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,886.43	4,886.43	4,886.43	4,886.43
	22 Printing and publications				
	23 Other expenses (attach schedule)	15.00	15.00	15.00	15.00
	24 Total operating and administrative expenses. Add lines 13 through 23	56,794.88	56,794.88	56,794.88	56,794.88
	25 Contributions, gifts, grants paid	48,400.00			48,400.00
	26 Total expenses and disbursements. Add lines 24 and 25	105,194.88	56,794.88	56,794.88	105,194.88
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	(84,432.74)			
	b Net investment income (if negative, enter -0-)		0.00		
	c Adjusted net income (if negative, enter -0-)			0.00	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year (a) Book Value	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	101,733.36	20,510.51	20,510.51
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . .			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,475,390.04	2,444,502.37	2,761,577.39
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,577,123.40	2,465,012.88	2,782,087.90
Liabilities	17 Accounts payable and accrued expenses		3,117.94	
	18 Grants payable	51,675.00	21,500.00	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	51,675.00	24,617.94	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,525,448.40	2,440,394.94	
	30 Total net assets or fund balances (see instructions)	2,525,448.40	2,440,394.94	
	31 Total liabilities and net assets/fund balances (see instructions)	2,525,448.40	2,440,394.94	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,525,448.40
2 Enter amount from Part I, line 27a	2	(84,432.74)
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	2,441,015.66
5 Decreases not included in line 2 (itemize) ▶	5	620.72
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . .	6	2,440,394.94

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Merrill-Lynch 655-04086 (attached)			P	various	various
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	(52,238.70)	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	(69,123.17)	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	48,400	2,782,088	0.0174
2014	51,152	2,814,997	0.0182
2013	20,375	2,042,062	0.0100
2012	9,050	161,141	0.0421
2011	4,400	155,762	0.0273
2 Total of line 1, column (d)			2 0.1150
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.0230
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,684,687.71
5 Multiply line 4 by line 3			5 61,747.82
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0.00
7 Add lines 5 and 6			7 61,747.82
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 105,194.88

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)^f

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	0	00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	0	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0	00
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	✓
c Did the foundation file Form 1120-POL for this year?	1c	✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	✓
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	✓
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Kentucky		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	✓
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	✓
14 The books are in care of ▶ Thomas R. Hanley Telephone no. ▶ 502-228-0161 Located at ▶ 7504 Chestnut Hill Drive, Prospect, KY ZIP+4 ▶ 40059-9484		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.</i>)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b
	Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Information attached for seven (7) officers and directors				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	84,654.64
c	Fair market value of all other assets (see instructions)	1c	2,640,916.64
d	Total (add lines 1a, b, and c)	1d	2,725,571.28
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,725,571.28
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	40,883.57
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,684,687.71
6	Minimum investment return. Enter 5% of line 5	6	134,234.39

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	134,234.39
2a	Tax on investment income for 2016 from Part VI, line 5	2a	0.00
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.00
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	134,234.39
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	134,234.39
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	134,234.39

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	105,194.88
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	105,194.88
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.00
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	105,194.88

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				134,234.39
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0.00	
b Total for prior years: 20____, 20____, 20____		0.00		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				6,522.23
d From 2014				7,866.67
e From 2015				16,812.32
f Total of lines 3a through e	31,201.22			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 105,194.88				
a Applied to 2015, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required—see instructions)		0.00		
c Treated as distributions out of corpus (Election required—see instructions)	0.00			
d Applied to 2016 distributable amount				105,194.88
e Remaining amount distributed out of corpus	0.00			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	29,039.51			29,039.51
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,161.71			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.00		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0.00	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0.00			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.00			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	2,161.71			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015	2,161.71			
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
85% of line 2a				
Qualifying distributions from Part XII, line 4 for each year listed				
Amounts included in line 2c not used directly for active conduct of exempt activities				
Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> Attached				
Total			▶	3a
b <i>Approved for future payment</i> NONE				
Total			▶	3b

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p>		Yes	No
<p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p>			
<p>(1) Cash</p>	1a(1)		✓
<p>(2) Other assets</p>	1a(2)		✓
<p>b Other transactions:</p>			
<p>(1) Sales of assets to a noncharitable exempt organization</p>	1b(1)		✓
<p>(2) Purchases of assets from a noncharitable exempt organization</p>	1b(2)		✓
<p>(3) Rental of facilities, equipment, or other assets</p>	1b(3)		✓
<p>(4) Reimbursement arrangements</p>	1b(4)		✓
<p>(5) Loans or loan guarantees</p>	1b(5)		✓
<p>(6) Performance of services or membership or fundraising solicitations</p>	1b(6)		✓
<p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p>	1c		✓
<p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If “Yes,” complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/14/17
Date

Vice President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

Norma D. Hanley and Thomas R. Hanley Foundation Corporation
EIN 61-1326779

SCHEDULE OF INFORMATION FOR FORM 990-PF

Part I – Line 16c

Annual Merrill Lynch Account Fees \$ 21,787.13

Part I – Line 18

Federal Excise Tax on Investment Income \$ 2,606.32

Part I – Line 21

Travel, conferences and meetings \$ 4,886.43

Part I – Line 23

Kentucky Corporation Renewal Fee \$ 15.00

Part VIII – Line 1

List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Norma D. Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	President, Director 4.00	\$14,500	0	0
Thomas R. Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	Vice President, Director -4 00	\$13,000	0	0
Margaret M. Decker, 13600 LaGrange Road, Louisville, KY 40245	Director - 0.25	0	0	0
Thomas J. Hanley, 4508 Guam Street, Virginia Beach, VA 23455	Director - 0.25	0	0	0
Alan M. Hanley, 234 W. Magnolia Ave., Unit 315, Auburn, AL 36830	Director - 0.25	0	0	0
Andrew R. Hanley, 7512 Norbourne Ave., Louisville, KY 40222	Director - 0.25	0	0	0
Caitlin M Hanley, 7504 Chestnut Hill Drive, Prospect, KY 40059-9484	Director - 0.25	0	0	0

Part XV – Line 3a

Tau Beta Pi, Knoxville, TN	N/A	Public	Unrestricted Donation	50.00
University of Dayton, Dayton, OH	N/A	Public	Unrestricted Donation	1,000.00
Bellarmino University, Louisville, KY	N/A	Public	Unrestricted Donation	2,500.00
University of Louisville, Louisville, KY	N/A	Public	Unrestricted Donation	29,000.00
Trinity High School, Louisville, KY	N/A	Public	Unrestricted Donation	250.00
Unbridled Children's Fund, Louisville, KY	N/A	Public	Unrestricted Donation	8,000.00
Sacred Heart Academy, Louisville, KY	N/A	Public	Unrestricted Donation	1,500.00
United Way, Lee County, AL	N/A	Public	Unrestricted Donation	100.00
Auburn University, Auburn, AL	N/A	Public	Unrestricted Donation	2,000.00
AIChE, New York NY	N/A	Public	Unrestricted Donation	1,000.00
Virginia Tech, Blacksburg, VA	N/A	Public	Unrestricted Donation	2,000.00
Christ Church United Methodist, Louisville, KY	N/A	Public	Unrestricted Donation	1,000.00
TOTAL				48,400.00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		2,664.42	2,664.42			
17,672	ML BANK DEPOSIT PROGRAM	08/24/16	17,672.00	17,672.00			3.53
	Total Cash and Money Funds		20,336.42	20,336.42			3.53

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
270	ADVANCED ENERGY INDS INC	08/29/16	11,905.27	14,782.50	2,877.23	
269	ADVANCED ENERGY INDS INC	08/31/16	11,800.87	14,727.75	2,926.88	
294	ALTRIA GROUP INC	03/27/09	4,889.23	19,880.28	14,991.05	718.00
93	ALTRIA GROUP INC	01/21/15	5,013.63	6,288.66	1,275.03	227.00
1	ALTRIA GROUP INC	01/26/15	54.28	67.62	13.34	3.00
41	AMAZON COM INC COM	08/26/15	20,471.49	30,744.67	10,273.18	
25	AMAZON COM INC COM	09/01/15	12,411.75	18,746.75	6,335.00	
91	ABBVIE INC SHS	07/23/15	6,420.55	5,698.42	(722.13)	233.00
283	ABBVIE INC SHS	01/14/16	15,433.60	17,721.46	2,287.86	725.00



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
141	ABBVIE INC SHS	01/19/16	7,782.62	8,829.42	1,046.80	361.00
322	ABBVIE INC SHS	12/06/16	19,803.42	20,163.64	360.22	825.00
37	ALPHABET INC SHS CL A	01/04/16	27,983.13	29,320.65	1,337.52	
7	ALPHABET INC SHS CL A	05/06/16	5,055.60	5,547.15	491.55	
365	AMER EXPRESS COMPANY	11/04/16	24,071.39	27,039.20	2,967.81	468.00
29	APPLE INC	06/01/10	1,099.87	3,358.78	2,258.91	67.00
151	APPLE INC	08/22/11	7,787.29	17,488.82	9,701.53	345.00
27	APPLE INC	06/15/12	2,201.29	3,127.14	925.85	62.00
14	APPLE INC	06/19/12	1,173.15	1,621.48	448.33	32.00
21	APPLE INC	06/29/12	1,748.96	2,432.22	683.26	48.00
42	APPLE INC	11/15/12	3,174.90	4,864.44	1,689.54	96.00
28	APPLE INC	11/15/12	2,111.70	3,242.96	1,131.26	64.00
35	APPLE INC	02/15/13	2,325.80	4,053.70	1,727.90	80.00
75	BIOGEN INC	05/16/16	19,991.47	21,268.50	1,277.03	
10	BIOGEN INC	05/26/16	2,826.16	2,835.80	9.64	
5	BLACKROCK INC	09/14/11	750.34	1,902.70	1,152.36	46.00
20	BLACKROCK INC	05/24/12	3,375.03	7,610.80	4,235.77	184.00

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Statement Period
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Account No
655-04086



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
25	BLACKROCK INC	11/28/12	4,948 26	9,513 50	4,565 24	229 00
60	BLACKROCK INC	11/28/12	11,875 82	22,832 40	10,956 58	550 00
3	BLACKROCK INC	12/05/12	578 05	1,141 62	563 57	28 00
9	BLACKROCK INC	12/10/12	1,759 32	3,424 86	1,665 54	83 00
16	BLACKROCK INC	12/11/12	3,170 52	6,088 64	2,918 12	147 00
13	BLACKROCK INC	12/12/12	2,605 54	4,947 02	2,341 48	120 00
8	BLACKROCK INC	12/13/12	1,604 92	3,044 32	1,439 40	74 00
2	BLACKROCK INC	12/18/12	413 68	761 08	347 40	19 00
60	BLACKROCK INC	01/21/15	20,908 80	22,832 40	1,923 60	550 00
11	BLACKROCK INC	07/08/15	3,722 70	4,185 94	463 24	101 00
154	COMCAST CORP NEW CL A	05/24/11	3,750 86	10,633 70	6,882 84	170 00
448	COMCAST CORP NEW CL A	01/21/15	24,804 28	30,934 40	6,130 12	493 00
3	COMCAST CORP NEW CL A	01/26/15	169 89	207 15	37 26	4 00
157	COMCAST CORP NEW CL A	06/01/15	9,220 50	10,840 85	1,620 35	173 00
239	CITRIX SYSTEMS INC COM	05/16/16	19,905 78	21,345 09	1,439 31	
65	CITRIX SYSTEMS INC COM	06/27/16	5,004 86	5,805 15	800 29	
26	COSTCO WHOLESALE CRP DEL	05/13/11	2,148 81	4,162 86	2,014 05	47 00



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
531	CONTINENTAL RESOURCES INC	06/28/16	23,197 64	27,367 74	4,170 10	
747	BLACKSTONE MORTGAGE TRUS INC	10/21/15	21,137 64	22,462 29	1,324 65	1,853 00
352	BLACKSTONE MORTGAGE TRUS INC	04/06/16	9,325 61	10,584 64	1,259 03	873 00
50	CHUBB LTD	05/24/11	3,389 63	6,606 00	3,216 37	138 00
249	CHUBB LTD	01/21/15	27,536 79	32,897 88	5,361 09	688 00
1	CHUBB LTD	01/26/15	112 95	132 12	19 17	3 00
71	CHUBB LTD	10/21/15	8,086 89	9,380 52	1,293 63	196 00
28	EXXON MOBIL CORP COM	07/20/11	2,345 28	2,527 28	182 00	84 00
19	EXXON MOBIL CORP COM	06/21/12	1,607 48	1,714 94	107 46	57 00
19	EXXON MOBIL CORP COM	06/25/12	1,545 30	1,714 94	169 64	57 00
126	FEDEX CORP DELAWARE COM	11/22/16	23,720 68	23,461 20	(259 48)	202 00
159	FACEBOOK INC CLASS A COMMON STOCK	01/14/16	15,641 35	18,292 95	2,651 60	
84	FACEBOOK INC CLASS A COMMON STOCK	01/19/16	8,014 56	9,664 20	1,649 64	
24	FACEBOOK INC CLASS A COMMON STOCK	05/06/16	2,857 52	2,761 20	(96 32)	

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EMATM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
51	GENL DYNAMICS CORP COM	02/20/13	3,405 88	8,805 66	5,399.78	156 00
10	GENL DYNAMICS CORP COM	02/21/13	663 98	1,726 60	1,062 62	31 00
238	GENL DYNAMICS CORP COM	01/21/15	32,965 28	41,093 08	8,127 80	724 00
17	GENL DYNAMICS CORP COM	01/21/15	2,361 00	2,935 22	574 22	52 00
108	GENL DYNAMICS CORP COM	09/27/16	16,763 34	18,647 28	1,883 94	329 00
1,024	GENERAL ELECTRIC	03/23/16	31,846 40	32,358 40	512 00	984 00
57	HOME DEPOT INC	12/09/15	7,521 19	7,642 56	121 37	158 00
194	HOME DEPOT INC	01/11/16	24,344 87	26,011 52	1,666 65	536 00
16	INTEL CORP	10/20/11	379 88	580 32	200 44	17 00
7	INTEL CORP	02/15/13	148 26	253 89	105 63	8 00
1,119	INTEL CORP	01/21/15	40,347 00	40,586 13	239 13	1,164 00
13	INTEL CORP	01/26/15	464 98	471 51	6 53	14 00
87	INTEL CORP	03/23/16	2,790 95	3,155 49	364 54	91 00
152	INTL BUSINESS MACHINES CORP IBM	12/07/16	25,094 84	25,230 48	135 64	852.00
198	JOHNSON AND JOHNSON COM	09/14/16	23,322 32	22,811 58	(510 74)	634 00
209	LOCKHEED MARTIN CORP	01/21/15	40,853 69	52,237 46	11,383 77	1,522 00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2	LOCKHEED MARTIN CORP	01/26/15	390 92	499 88	108 96	15 00
22	LOCKHEED MARTIN CORP	06/01/15	4,222 75	5,498 68	1,275 93	161 00
18	LOCKHEED MARTIN CORP	07/29/15	3,777 72	4,498 92	721 20	132 00
81	LOCKHEED MARTIN CORP	09/01/15	16,050 98	20,245 14	4,194 16	590 00
132	LEAR CORP SHS	02/03/15	14,347 33	17,472 84	3,125 51	159 00
6	LEAR CORP SHS	02/17/15	648 26	794 22	145 96	8 00
75	LEAR CORP SHS	03/02/15	8,399 95	9,927 75	1,527 80	90 00
36	ELI LILLY & CO	01/15/14	1,923 52	2,647 80	724 28	75 00
51	ELI LILLY & CO	01/16/14	2,790 09	3,751 05	960 96	107 00
266	ELI LILLY & CO	01/21/15	19,093 11	19,564 30	471 19	554 00
2	ELI LILLY & CO	01/26/15	143 84	147 10	3 26	5 00
147	ELI LILLY & CO	06/01/15	11,690 84	10,811 85	(878 99)	306 00
641	MICROSOFT CORP	11/18/15	34,240 75	39,831 74	5,590 99	1,000 00
118	O'REILLY AUTOMOTIVE INC	03/30/15	25,782 75	32,852 38	7,069 63	
19	O'REILLY AUTOMOTIVE INC	07/01/15	4,387 52	5,289 79	902 27	
28	O'REILLY AUTOMOTIVE INC	09/16/15	7,078 90	7,795 48	716 58	
2	THE PRICELINE GROUP INC	09/06/12	1,216 99	2,932 12	1,715 13	



Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2	THE PRICELINE GROUP INC	09/07/12	1,238 74	2,932 12	1,693 38	
3	THE PRICELINE GROUP INC	09/17/12	1,932 84	4,398 18	2,465 34	
3	THE PRICELINE GROUP INC	03/01/13	2,086 96	4,398 18	2,311 22	
10	THE PRICELINE GROUP INC	03/01/13	6,956 54	14,660 60	7,704 06	
1	THE PRICELINE GROUP INC	03/12/13	719 12	1,466 06	746 94	
1	THE PRICELINE GROUP INC	03/22/13	696 43	1,466 06	769 63	
3	THE PRICELINE GROUP INC	01/21/15	3,122 04	4,398 18	1,276 14	
321	PACKAGING CORP AMERICA	08/18/16	24,899 71	27,227 22	2,327 51	809 00
240	PRUDENTIAL FINANCIAL INC	12/01/16	24,648 46	24,974 40	325 94	673 00
251	PARKER HANNIFIN CORP	07/08/16	27,724 15	35,140 00	7,415 85	633 00
624	PARSLEY ENERGY INC SHS CL A	05/16/16	15,844 73	21,989 76	6,145 03	
265	PARSLEY ENERGY INC SHS CL A	07/08/16	7,219 98	9,338 60	2,118 62	
84	BROADCOM LTD	08/04/16	13,999 74	14,848 68	848 94	343 00
56	BROADCOM LTD	08/31/16	9,879 74	9,899 12	19 38	229 00
641	PFIZER INC	06/21/16	22,247 00	20,819 68	(1,427 32)	821 00
175	PFIZER INC	07/05/16	6,267 91	5,684 00	(583 91)	224 00



Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
588	QUALCOMM INC	06/22/16	32,024 60	38,337 60	6,313 00	1,247 00
302	REYNOLDS AMERICAN INC	12/20/10	4,930 05	16,924 08	11,994 03	556 00
194	REYNOLDS AMERICAN INC	08/25/15	8,060 20	10,871 76	2,811 56	357 00
421	REYNOLDS AMERICAN INC	10/06/15	19,095 00	23,592 84	4,497 84	775 00
165	SIMON PROPERTY GROUP DEL REIT	01/21/15	32,764 53	29,315 55	(3,448 98)	1,073 00
14	SBA COMMUNICATIONS CRP A	06/27/12	806 43	1,445 64	639 21	
24	SBA COMMUNICATIONS CRP A	11/27/12	1,631.03	2,478 24	847 21	
10	SBA COMMUNICATIONS CRP A	02/15/13	689 80	1,032 60	342 80	
14	SPIRIT AEROSYSTEMS HLDGS INC	01/04/13	250 36	816 90	566 54	6 00
20	SPIRIT AEROSYSTEMS HLDGS INC	02/15/13	337 19	1,167 00	829 81	8 00
43	SPIRIT AEROSYSTEMS HLDGS INC	08/19/13	1,010 07	2,509 05	1,498 98	18 00
132	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	4,878 60	7,702 20	2,823 60	53 00
366	SPIRIT AEROSYSTEMS HLDGS INC	08/18/14	13,527 03	21,356 10	7,829 07	147 00

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Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
24	SPIRIT AEROSYSTEMS HLDGS INC	08/29/14	920 24	1,400 40	480 16	10 00
22	SPIRIT AEROSYSTEMS HLDGS INC	10/20/14	809 42	1,283 70	474 28	9 00
25	SPIRIT AEROSYSTEMS HLDGS INC	10/28/14	971 26	1,458.75	487 49	10 00
9	SPIRIT AEROSYSTEMS HLDGS INC	12/23/14	389 87	525 15	135 28	4 00
263	SPIRIT AEROSYSTEMS HLDGS INC	01/21/15	11,054 15	15,346 05	4,291 90	106 00
44	STARBUCKS CORP	09/30/13	1,691 97	2,442 88	750 91	44 00
68	STARBUCKS CORP	10/31/13	2,766 74	3,775 36	1,008 62	68 00
42	STARBUCKS CORP	11/08/13	1,701 86	2,331 84	629 98	42 00
229	TEXAS INSTRUMENTS	12/07/16	16,506 66	16,710 13	203 47	459 00
227	UNITED CONTL HLDGS INC	12/07/16	16,480 20	16,543 76	63 56	
430	UNION PACIFIC CORP	08/15/16	39,996 02	44,582 40	4,586 38	1,041 00
32	VERIZON COMMUNICATNS COM	03/27/09	911 82	1,708 16	796 34	74 00
37	VERIZON COMMUNICATNS COM	12/06/13	1,821 20	1,975 06	153 86	86 00
37	VERIZON COMMUNICATNS COM	12/09/13	1,818 60	1,975 06	156 46	86 00

EMASM Fiscal Statement

NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Equities						
22	VERIZON COMMUNICATNS COM	12/10/13	1,081 50	1,174 36	92 86	51 00
14	VERIZON COMMUNICATNS COM	12/23/13	681 01	747 32	66 31	33 00
411	VERIZON COMMUNICATNS COM	01/21/15	19,736 22	21,939 18	2,202 96	950 00
6	VERIZON COMMUNICATNS COM	01/26/15	281 58	320 28	38 70	14 00
286	VERIZON COMMUNICATNS COM	09/15/16	14,701 80	15,266 68	564 88	661 00
164	VISA INC CL A SHRS	05/10/11	3,296 87	12,795 28	9,498 41	109 00
148	VISA INC CL A SHRS	12/31/12	5,528 23	11,546 96	6,018 73	98 00
240	VISA INC CL A SHRS	12/31/12	8,964 69	18,724 80	9,760 11	159 00
46	VISA INC CL A SHRS	09/22/15	3,233 62	3,588 92	355 30	31 00
435	WAL-MART STORES INC	07/06/16	32,090 47	30,067 20	(2,023 27)	870 00
Total Equities			1,373,159.76	1,684,162.69	311,002.93	34,984.00
Mutual Funds/Closed End Funds/UIT						
1,430	SPDR US FINANCIAL SECTOR ETF	12/07/16	33,604.43	33,247 50	(356 93)	665.00
3,069	FIRST EAGLE GLOBAL CLASS I 6730 Fractional Share	02/18/11 12/15/16	156,895 24 36 71	167,229.81 36 67	10,334 57 (0 04)	1,071 00 1 00

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
39	PRINCIPAL PREFERRED SECURITIES FUND CL P 3300 Fractional Share	03/31/16	389 58	385 32	(4 26)	19 00
		12/28/16	3 26	3 26		1.00
7,301	ALLIANZGI SHRT DURATION HIGH INCOME FUND CL P 0520 Fractional Share	05/09/16	109,528 29	110,464 13	935 84	5,863 00
		12/01/16	0 79	0 79		1.00
10,127	CALAMOS MARKET NEUTRAL INCOME FD CL I 2620 Fractional Share	01/30/13	128,593 20	130,334 49	1,741 29	1,833 00
		05/09/16	3 33	3 37	0 04	1 00
14,262	FIRST EAGLE GLOBAL INCOME BUILDER FUND CL I 0630 Fractional Share	08/08/16	155,642 05	156,739 38	1,097 33	5,248 00
		12/01/16	0 68	0 69	0 01	1 00
23,105	LORD ABBETT SHORT DURATION INCOME FD CL F 2810 Fractional Share	12/01/16	99,351 50	99,351 50		4,020 00
		12/01/16	1 21	1 21		1.00
12,594	BLACKROCK MULTI ASSET INCOME PORTFOLIO INSTL 2980 Fractional Share	10/23/12	138,688 28	134,377 98	(4,310 30)	6,297 00
		01/26/15	3 36	3 18	(0 18)	1 00
2,998	ALLIANZGI INCOME AND GROWTH FUND CL P 6670 Fractional Share	12/12/16	34,087 26	33,727 50	(359 76)	1,277 00
		12/12/16	7 58	7 50	(0 08)	1 00

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NORMA D & THOMAS R HANLEY

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/16	Unrealized Gain or (Loss)	Est Annual Income
Mutual Funds/Closed End Funds/UIT						
6.264	GOTHAM ABSOLUTE RETURN FUND INSTL CLASS 4200 Fractional Share	12/17/13 01/26/15	84,831.23 5.77	83,248.56 5.58	(1,582.67) (0.19)	
13.358	JANUS GLOBAL UNCONSTRAINED BOND FUND CLASS I 9880 Fractional Share	06/21/16 07/12/16	129,659.28 9.58	128,236.80 9.48	(1,422.48) (0.10)	4,716.00 1.00
Total Mutual Funds/Closed End Funds/UIT			1,071,342.61	1,077,414.70	6,072.09	31,018.00

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