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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

|                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>FRANK S. AND JULIA M. LADNER FAMILY FOUNDATION, INC.</b>                                                                                                                                                                                                                   |                                                                                                                                                                                                 | A Employer identification number<br><b>61 - 1248781</b>                                                                                                                                                                                                                                                                                                                                                                      |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>P. O. Box 220399</b>                                                                                                                                                                                        | Room/suite                                                                                                                                                                                      | B Telephone number (see instructions)<br><b>314 - 406-1842</b>                                                                                                                                                                                                                                                                                                                                                               |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>Kirkwood, Mo. 63122</b>                                                                                                                                                                                              |                                                                                                                                                                                                 | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                   |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/><br>E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                              |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>14,536,736</b>                                                                                                                                                                                           | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) |                                                                                                                                                                                                                                                                                                                                                                                                                              |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) |                                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                             | 1 Contributions, gifts, grants, etc., received (attach schedule)                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 3 Interest on savings and temporary cash investments                                           | <b>13,954</b>                      | <b>13,954</b>             |                         |                                                             |
|                                                                                                                                                                     | 4 Dividends and interest from securities                                                       | <b>262,378</b>                     | <b>262,378</b>            |                         |                                                             |
|                                                                                                                                                                     | 5a Gross rents                                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Net rental income or (loss)                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 6a Net gain or (loss) from sale of assets not on line 10                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Gross sales price for all assets on line 6a                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 7 Capital gain net income (from Part IV, line 2)                                               |                                    | <b>91,635</b>             |                         |                                                             |
|                                                                                                                                                                     | 8 Net short-term capital gain                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 9 Income modifications                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 10a Gross sales less returns and allowances                                                    |                                    |                           |                         |                                                             |
| b Less: Cost of goods sold                                                                                                                                          |                                                                                                |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                          |                                                                                                |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                                                                                                   | <b>91,635</b>                                                                                  |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                    | <b>367,967</b>                                                                                 | <b>367,967</b>                     | <b>0</b>                  |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                               | 13 Compensation of officers, directors, trustees, etc.                                         | <b>0</b>                           | <b>0</b>                  | <b>0</b>                | <b>0</b>                                                    |
|                                                                                                                                                                     | 14 Other employee salaries and wages                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 15 Pension plans, employee benefits                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 16a Legal fees (attach schedule) Schedule 1                                                    | <b>127</b>                         | <b>127</b>                |                         | <b>127</b>                                                  |
|                                                                                                                                                                     | b Accounting fees (attach schedule) Schedule 1                                                 | <b>8,500</b>                       | <b>8,500</b>              |                         | <b>8,500</b>                                                |
|                                                                                                                                                                     | c Other professional fees (attach schedule) Schedule 1                                         | <b>64,372</b>                      | <b>70,118</b>             |                         | <b>70,118</b>                                               |
|                                                                                                                                                                     | 17 Interest Schedule 2                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 18 Taxes (attach schedule) (see instructions)                                                  | <b>22,991</b>                      |                           |                         |                                                             |
|                                                                                                                                                                     | 19 Depreciation (attach schedule) and depletion                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 20 Occupancy                                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 21 Travel, conferences, and meetings                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 22 Printing and publications                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 23 Other expenses (attach schedule)                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 24 Total operating and administrative expenses. Add lines 13 through 23                        | <b>95,990</b>                      | <b>78,745</b>             | <b>0</b>                | <b>78,745</b>                                               |
|                                                                                                                                                                     | 25 Contributions, gifts, grants paid                                                           | <b>1,259,000</b>                   |                           |                         | <b>1,259,000</b>                                            |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                            | <b>1,354,990</b>                                                                               | <b>78,745</b>                      | <b>0</b>                  | <b>1,337,745</b>        |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                                   |                                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                 | <b>(987,023)</b>                                                                               |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                    |                                                                                                | <b>289,222</b>                     |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                      |                                                                                                |                                    | <b>0</b>                  |                         |                                                             |

For Paperwork Reduction Act Notice, see instructions.

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| Part II Balance Sheets      |                                                                                                              | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)              |                | Beginning of year     | End of year |           |
|-----------------------------|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|-----------|
|                             |                                                                                                              | (a) Book Value                                                                                                                    | (b) Book Value | (c) Fair Market Value |             |           |
| Assets                      | 1                                                                                                            | Cash—non-interest-bearing . . . . .                                                                                               |                |                       | 1,410,983   | 1,410,983 |
|                             | 2                                                                                                            | Savings and temporary cash investments . . . . .                                                                                  | 1,591,140      |                       |             |           |
|                             | 3                                                                                                            | Accounts receivable ▶                                                                                                             |                |                       |             |           |
|                             |                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                           |                |                       |             |           |
|                             | 4                                                                                                            | Pledges receivable ▶                                                                                                              |                |                       |             |           |
|                             |                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                           |                |                       |             |           |
|                             | 5                                                                                                            | Grants receivable . . . . .                                                                                                       |                |                       |             |           |
|                             | 6                                                                                                            | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                |                       |             |           |
|                             | 7                                                                                                            | Other notes and loans receivable (attach schedule) ▶ 207,350                                                                      |                |                       |             |           |
|                             |                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                           | 313,010        | 207,350               | 207,350     |           |
|                             | 8                                                                                                            | Inventories for sale or use . . . . .                                                                                             |                |                       |             |           |
|                             | 9                                                                                                            | Prepaid expenses and deferred charges . . . . .                                                                                   |                |                       |             |           |
|                             | 10a                                                                                                          | Investments—U.S. and state government obligations (attach schedule)                                                               |                |                       |             |           |
|                             | b                                                                                                            | Investments—corporate stock (attach schedule) , Schedule 4                                                                        | 10,733,262     | 10,032,056            | 12,918,403  |           |
|                             | c                                                                                                            | Investments—corporate bonds (attach schedule) Schedule 4                                                                          |                |                       |             |           |
|                             | 11                                                                                                           | Investments—land, buildings, and equipment: basis ▶                                                                               |                |                       |             |           |
|                             | Less: accumulated depreciation (attach schedule) ▶                                                           |                                                                                                                                   |                |                       |             |           |
| 12                          | Investments—mortgage loans . . . . .                                                                         |                                                                                                                                   |                |                       |             |           |
| 13                          | Investments—other (attach schedule) . . . . . Schedule                                                       |                                                                                                                                   |                |                       |             |           |
| 14                          | Land, buildings, and equipment: basis ▶                                                                      |                                                                                                                                   |                |                       |             |           |
|                             | Less: accumulated depreciation (attach schedule) ▶                                                           |                                                                                                                                   |                |                       |             |           |
| 15                          | Other assets (describe ▶ Schedule )                                                                          |                                                                                                                                   |                |                       |             |           |
| 16                          | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . . | 12,637,412                                                                                                                        | 11,650,389     | 14,536,736            |             |           |
| Liabilities                 | 17                                                                                                           | Accounts payable and accrued expenses . . . . .                                                                                   |                |                       |             |           |
|                             | 18                                                                                                           | Grants payable . . . . .                                                                                                          |                |                       |             |           |
|                             | 19                                                                                                           | Deferred revenue . . . . . Schedule                                                                                               |                |                       |             |           |
|                             | 20                                                                                                           | Loans from officers, directors, trustees, and other disqualified persons . . . . . Schedule                                       |                |                       |             |           |
|                             | 21                                                                                                           | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                |                       |             |           |
|                             | 22                                                                                                           | Other liabilities (describe ▶ )                                                                                                   |                |                       |             |           |
|                             | 23                                                                                                           | <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                      | 0              | 0                     |             |           |
| Net Assets or Fund Balances |                                                                                                              | <b>Foundations that follow SFAS 117, check here</b> . . . . . <input type="checkbox"/>                                            |                |                       |             |           |
|                             |                                                                                                              | <b>and complete lines 24 through 26 and lines 30 and 31.</b>                                                                      |                |                       |             |           |
|                             | 24                                                                                                           | Unrestricted . . . . .                                                                                                            |                |                       |             |           |
|                             | 25                                                                                                           | Temporarily restricted . . . . .                                                                                                  |                |                       |             |           |
|                             | 26                                                                                                           | Permanently restricted . . . . .                                                                                                  |                |                       |             |           |
|                             |                                                                                                              | <b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/>                                             |                |                       |             |           |
|                             |                                                                                                              | <b>and complete lines 27 through 31.</b>                                                                                          |                |                       |             |           |
|                             | 27                                                                                                           | Capital stock, trust principal, or current funds . . . . .                                                                        |                |                       |             |           |
|                             | 28                                                                                                           | Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                                          |                |                       |             |           |
| 29                          | Retained earnings, accumulated income, endowment, or other funds . . . . .                                   | 12,637,412                                                                                                                        | 11,650,389     |                       |             |           |
| 30                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                        | 12,637,412                                                                                                                        | 11,650,389     |                       |             |           |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                           | 12,637,412                                                                                                                        | 11,650,389     |                       |             |           |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |            |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 12,637,412 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | (987,023)  |
| 3 | Other increases not included in line 2 (itemize) ▶ Schedule                                                                                                        | 3 |            |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 11,650,389 |
| 5 | Decreases not included in line 2 (itemize) ▶ Schedule                                                                                                              | 5 |            |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                               | 6 | 11,650,389 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)                                                                                    |                                            | (b) How acquired<br>P—Purchase<br>D—Donation    | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| <b>1a Stock Sales - Short Term - See Attached</b>                                                                                                                                                                     |                                            | <b>P</b>                                        |                                                                                                 |                                  |
| <b>b Stock Sales - Long Term - See Attached</b>                                                                                                                                                                       |                                            | <b>P</b>                                        |                                                                                                 |                                  |
| <b>c Capital Gain Distribution</b>                                                                                                                                                                                    |                                            |                                                 |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                                                                 | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| <b>a</b> 2,820,744                                                                                                                                                                                                    |                                            | 2,768,144                                       | 52,600                                                                                          |                                  |
| <b>b</b> 2,050,300                                                                                                                                                                                                    |                                            | 2,036,963                                       | 13,337                                                                                          |                                  |
| <b>c</b> 25,698                                                                                                                                                                                                       |                                            |                                                 | 25,698                                                                                          |                                  |
| <b>d</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                           |                                            |                                                 |                                                                                                 |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                                                             | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| <b>a</b>                                                                                                                                                                                                              |                                            |                                                 | 52,600                                                                                          |                                  |
| <b>b</b>                                                                                                                                                                                                              |                                            |                                                 | 13,337                                                                                          |                                  |
| <b>c</b>                                                                                                                                                                                                              |                                            |                                                 | 25,698                                                                                          |                                  |
| <b>d</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| <b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                            |                                            |                                                 | <b>2</b>                                                                                        | <b>91,635</b>                    |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b><br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in<br>Part I, line 8 . . . . . } |                                            |                                                 | <b>3</b>                                                                                        |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                        | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2015                                                                                                                                                                                                                                     | 972,962                               | 12,668,375                                | 7.68%                                                    |
| 2014                                                                                                                                                                                                                                     | 878,758                               | 13,082,537                                | 6.72%                                                    |
| 2013                                                                                                                                                                                                                                     | 918,524                               | 12,184,123                                | 7.54%                                                    |
| 2012                                                                                                                                                                                                                                     | 3,657,222                             | 13,114,331                                | 27.89%                                                   |
| 2011                                                                                                                                                                                                                                     | 2,192,399                             | 14,291,566                                | 15.34%                                                   |
| <b>2 Total of line 1, column (d)</b> . . . . .                                                                                                                                                                                           |                                       |                                           | <b>2</b> 65.17%                                          |
| <b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the<br/>number of years the foundation has been in existence if less than 5 years</b> . . . . .                                        |                                       |                                           | <b>3</b> 13.03%                                          |
| <b>4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5</b> . . . . .                                                                                                                                          |                                       |                                           | <b>4</b> 14,114,445                                      |
| <b>5 Multiply line 4 by line 3</b> . . . . .                                                                                                                                                                                             |                                       |                                           | <b>5</b> 1,839,677                                       |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b> . . . . .                                                                                                                                                            |                                       |                                           | <b>6</b> 2,892                                           |
| <b>7 Add lines 5 and 6</b> . . . . .                                                                                                                                                                                                     |                                       |                                           | <b>7</b> 1,842,569                                       |
| <b>8 Enter qualifying distributions from Part XII, line 4</b> . . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the<br>Part VI instructions. |                                       |                                           | <b>8</b> 1,337,745                                       |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|           |                                                                                                                                                                                                                                     |           |        |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) |           |        |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                | <b>1</b>  | 2,892  |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                            |           |        |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           | <b>2</b>  | 0      |
| <b>3</b>  | Add lines 1 and 2 . . . . .                                                                                                                                                                                                         | <b>3</b>  | 2,892  |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | <b>4</b>  | 0      |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                            | <b>5</b>  | 2,892  |
| <b>6</b>  | <b>Credits/Payments:</b>                                                                                                                                                                                                            |           |        |
| <b>a</b>  | 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                   | <b>6a</b> | 14,000 |
| <b>b</b>  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                                                                                                       | <b>6b</b> |        |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                       | <b>6c</b> |        |
| <b>d</b>  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                   | <b>6d</b> |        |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                                                                                       | <b>7</b>  | 14,000 |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                            | <b>8</b>  |        |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .                                                                                                                               | <b>9</b>  | 0      |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .                                                                                                                   | <b>10</b> | 11,108 |
| <b>11</b> | Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <b>11,108</b> <b>Refunded</b> <b>▶</b>                                                                                                                     | <b>11</b> |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                       | Yes                                 | No                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                              |                                     | <input checked="" type="checkbox"/> |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> |                                     | <input checked="" type="checkbox"/> |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                                        |                                     | <input checked="" type="checkbox"/> |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br><b>(1)</b> On the foundation. <b>▶ \$</b> _____ <b>(2)</b> On foundation managers. <b>▶ \$</b> _____                                                                                                                                                   |                                     |                                     |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>▶ \$</b> _____                                                                                                                                                                                                      |                                     |                                     |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br><i>If "Yes," attach a detailed description of the activities.</i>                                                                                                                                                                               |                                     | <input checked="" type="checkbox"/> |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .                                                                                                                  |                                     | <input checked="" type="checkbox"/> |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                       |                                     | <input checked="" type="checkbox"/> |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                                            |                                     | <input checked="" type="checkbox"/> |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br><i>If "Yes," attach the statement required by General Instruction T.</i>                                                                                                                                                                         |                                     | <input checked="" type="checkbox"/> |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                 | <input checked="" type="checkbox"/> |                                     |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>                                                                                                                                                                                                                     | <input checked="" type="checkbox"/> |                                     |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b><br><b>KY</b>                                                                                                                                                                                                                                    |                                     |                                     |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i> . . . . .                                                                                                                         | <input checked="" type="checkbox"/> |                                     |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .                                                                                        |                                     | <input checked="" type="checkbox"/> |
| <b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .                                                                                                                                                                                                         |                                     | <input checked="" type="checkbox"/> |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                                                                                                                                                                                                                 | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) <b>Schedule</b>                                                                                                                      | 11  | ✓  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                     | 12  | ✓  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                                                                                                                                          | 13  | ✓  |
| Website address ► N/A                                                                                                                                                                                                                                                                                                           |     |    |
| 14 The books are in care of ► James C Bauer Telephone no. ► 314-308-5352                                                                                                                                                                                                                                                        |     |    |
| Located at ► 325 North Woodlawn, Kirkwood, Mo. ZIP+4 ► 63.122                                                                                                                                                                                                                                                                   |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15                                                                                                             |     |    |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16  | ✓  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes | No  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                        |     |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                |     |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                    |     |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                          |     |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                 |     |     |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                               |     |     |
| b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                        | 1b  | N/A |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                       |     |     |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                         | 1c  | ✓   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |     |     |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                           |     |     |
| If "Yes," list the years ► 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)                                                                                                                                                                                         | 2b  | ✓   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                            |     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                 |     |     |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | 3b  | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a  | ✓   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                               | 4b  | ✓   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address    | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE ATTACHED SCHEDULE 5 |                                                           | 0                                         | 0                                                                     | 0                                     |
|                         |                                                           |                                           |                                                                       |                                       |
|                         |                                                           |                                           |                                                                       |                                       |
|                         |                                                           |                                           |                                                                       |                                       |
|                         |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                           | 0                | 0                                                                     | 0                                     |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000 ☐ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service | (c) Compensation |
|---------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                            |                     | 0                |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
| <b>Total number of others receiving over \$50,000 for professional services</b> |                     | <b>0</b>         |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                  | 0        |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                             | 0      |
| 2                                                                                                                 |        |
| All other program-related investments. See instructions.                                                          |        |
| 3 N/A                                                                                                             | 0      |
| <b>Total. Add lines 1 through 3</b>                                                                               |        |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                       |           |            |
|----------|-----------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:           |           |            |
| <b>a</b> | Average monthly fair market value of securities . . . . .                                                             | <b>1a</b> |            |
| <b>b</b> | Average of monthly cash balances . . . . .                                                                            | <b>1b</b> | 12,918,403 |
| <b>c</b> | Fair market value of all other assets (see instructions) . . . . .                                                    | <b>1c</b> | 1,410,983  |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .                                                                       | <b>1d</b> | 14,329,386 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .   | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .                                                        | <b>2</b>  |            |
| <b>3</b> | Subtract line 2 from line 1d . . . . .                                                                                | <b>3</b>  | 14,329,386 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) . . . . .      | <b>4</b>  | 214,941    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . . | <b>5</b>  | 14,114,445 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5 . . . . .                                                        | <b>6</b>  | 705,722    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                                     |           |         |
|-----------|---------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6 . . . . .                                                             | <b>1</b>  | 705,722 |
| <b>2a</b> | Tax on investment income for 2016 from Part VI, line 5 . . . . .                                                    | <b>2a</b> |         |
| <b>b</b>  | Income tax for 2016. (This does not include the tax from Part VI.) . . . . .                                        | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b . . . . .                                                                                       | <b>2c</b> | 0       |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .                                     | <b>3</b>  | 705,722 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions . . . . .                                                 | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4 . . . . .                                                                                         | <b>5</b>  | 705,722 |
| <b>6</b>  | Deduction from distributable amount (see instructions) . . . . .                                                    | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . . | <b>7</b>  | 705,722 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                                |           |           |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                     |           |           |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .                                                                          | <b>1a</b> | 1,337,745 |
| <b>b</b> | Program-related investments—total from Part IX-B . . . . .                                                                                                     | <b>1b</b> |           |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .                                            | <b>2</b>  |           |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                           |           |           |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .                                                                                                       | <b>3a</b> |           |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .                                                                                                | <b>3b</b> |           |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .                                    | <b>4</b>  | 1,337,745 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . . | <b>5</b>  |           |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .                                                                                | <b>6</b>  | 1,337,745 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                             | (a)<br>Corpus    | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016    |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------------------------|-------------|----------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .                                                                                                                       |                  |                            |             | <b>705,722</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2016:                                                                                                                               |                  |                            |             |                |
| <b>a</b> Enter amount for 2015 only . . . . .                                                                                                                                               |                  |                            |             |                |
| <b>b</b> Total for prior years: 20 <u>20</u> , 20 <u>20</u> , 20 <u>20</u> . . . . .                                                                                                        |                  |                            |             |                |
| <b>3</b> Excess distributions carryover, if any, to 2016:                                                                                                                                   |                  |                            |             |                |
| <b>a</b> From 2011 . . . . .                                                                                                                                                                | <b>1,496,479</b> |                            |             |                |
| <b>b</b> From 2012 . . . . .                                                                                                                                                                | <b>3,016,979</b> |                            |             |                |
| <b>c</b> From 2013 . . . . .                                                                                                                                                                | <b>332,158</b>   |                            |             |                |
| <b>d</b> From 2014 . . . . .                                                                                                                                                                | <b>238,115</b>   |                            |             |                |
| <b>e</b> From 2015 . . . . .                                                                                                                                                                | <b>367,041</b>   |                            |             |                |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | <b>5,450,772</b> |                            |             |                |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ <u>1,337,745</u>                                                                                                     |                  |                            |             |                |
| <b>a</b> Applied to 2015, but not more than line 2a . . . . .                                                                                                                               |                  |                            |             |                |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .                                                                                      |                  |                            |             |                |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .                                                                                              |                  |                            |             |                |
| <b>d</b> Applied to 2016 distributable amount . . . . .                                                                                                                                     |                  |                            |             | <b>705,722</b> |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               | <b>632,023</b>   |                            |             |                |
| <b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)                                                  |                  |                            |             |                |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |                  |                            |             |                |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .                                                                                                                        | <b>6,082,795</b> |                            |             |                |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |                  | <b>0</b>                   |             |                |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |                  |                            |             |                |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .                                                                                                           |                  | <b>0</b>                   |             |                |
| <b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .                                                                            |                  |                            | <b>0</b>    |                |
| <b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .                                                              |                  |                            |             | <b>0</b>       |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .         |                  |                            |             |                |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .                                                                              | <b>1,496,479</b> |                            |             |                |
| <b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | <b>4,586,316</b> |                            |             |                |
| <b>10</b> Analysis of line 9:                                                                                                                                                               |                  |                            |             |                |
| <b>a</b> Excess from 2012 . . . . .                                                                                                                                                         | <b>3,016,979</b> |                            |             |                |
| <b>b</b> Excess from 2013 . . . . .                                                                                                                                                         | <b>332,158</b>   |                            |             |                |
| <b>c</b> Excess from 2014 . . . . .                                                                                                                                                         | <b>238,115</b>   |                            |             |                |
| <b>d</b> Excess from 2015 . . . . .                                                                                                                                                         | <b>367,041</b>   |                            |             |                |
| <b>e</b> Excess from 2016 . . . . .                                                                                                                                                         | <b>632,023</b>   |                            |             |                |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                                    | Prior 3 years |          |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2016      | (b) 2015 | (c) 2014 | (d) 2013 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                      | N/A           | N/A      | N/A      | N/A      | N/A       |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  | N/A           | N/A      | N/A      | N/A      | N/A       |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             | N/A           | N/A      | N/A      | N/A      | N/A       |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |               |          |          |          | N/A       |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   | N/A           | N/A      | N/A      | N/A      | N/A       |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:                                                                                                |               |          |          |          |           |
| <b>a</b> "Assets" alternative test—enter:                                                                                                                          |               |          |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |               |          |          |          | N/A       |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |               |          |          |          | N/A       |
| <b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                                | N/A           | N/A      | N/A      | N/A      | N/A       |
| <b>c</b> "Support" alternative test—enter:                                                                                                                         |               |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |          | N/A       |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |               |          |          |          | N/A       |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |               |          |          |          | N/A       |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |               |          |          |          | N/A       |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**SEE ATTACHED SCHEDULE 6**

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount           |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------------|
| <b>a Paid during the year</b>                    |                                                                                                                    |                                      |                                     |                  |
| SEE ATTACHED CONTRIBUTIONS                       | NONE                                                                                                               | PUBLIC                               | FOR CHARITABLE PURPOSES             | 1,259,000        |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3a</b>                           | <b>1,259,000</b> |
| <b>b Approved for future payment</b>             |                                                                                                                    |                                      |                                     |                  |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3b</b>                           |                  |

**Part XVI-A Analysis of Income-Producing Activities**

**Enter gross amounts unless otherwise indicated.**

| Enter gross amounts unless otherwise indicated. |                                                          | Unrelated business income |               | Excluded by section 512, 513, or 514 |                | (e)<br>Related or exempt<br>function income<br>(See instructions.) |
|-------------------------------------------------|----------------------------------------------------------|---------------------------|---------------|--------------------------------------|----------------|--------------------------------------------------------------------|
|                                                 |                                                          | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount  |                                                                    |
| <b>1</b>                                        | Program service revenue:                                 |                           |               |                                      |                |                                                                    |
| a                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| b                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| c                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| d                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| e                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| f                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| g                                               | Fees and contracts from government agencies              |                           |               |                                      |                |                                                                    |
| <b>2</b>                                        | Membership dues and assessments . . . . .                |                           |               |                                      |                |                                                                    |
| <b>3</b>                                        | Interest on savings and temporary cash investments       |                           |               | <b>514</b>                           | <b>13,954</b>  |                                                                    |
| <b>4</b>                                        | Dividends and interest from securities . . . . .         |                           |               | <b>514</b>                           | <b>262,378</b> |                                                                    |
| <b>5</b>                                        | Net rental income or (loss) from real estate:            |                           |               |                                      |                |                                                                    |
| a                                               | Debt-financed property . . . . .                         |                           |               |                                      |                |                                                                    |
| b                                               | Not debt-financed property . . . . .                     |                           |               |                                      |                |                                                                    |
| <b>6</b>                                        | Net rental income or (loss) from personal property       |                           |               |                                      |                |                                                                    |
| <b>7</b>                                        | Other investment income . . . . .                        |                           |               | <b>514</b>                           | <b>91,635</b>  |                                                                    |
| <b>8</b>                                        | Gain or (loss) from sales of assets other than inventory |                           |               |                                      |                |                                                                    |
| <b>9</b>                                        | Net income or (loss) from special events . . . . .       |                           |               |                                      |                |                                                                    |
| <b>10</b>                                       | Gross profit or (loss) from sales of inventory . . . . . |                           |               |                                      |                |                                                                    |
| <b>11</b>                                       | Other revenue: a _____                                   |                           |               |                                      |                |                                                                    |
| b                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| c                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| d                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| e                                               | _____                                                    |                           |               |                                      |                |                                                                    |
| <b>12</b>                                       | Subtotal. Add columns (b), (d), and (e) . . . . .        |                           | <b>0</b>      |                                      | <b>367,967</b> | <b>0</b>                                                           |
| <b>13</b>                                       | Total. Add line 12, columns (b), (d), and (e) . . . . .  |                           |               |                                      | <b>13</b>      | <b>367,967</b>                                                     |

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? |                                                                                                                                                                                                                                                                                                                                                                                              | Yes   | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| <b>a</b>                                                                                                                                                                                                                                              | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |    |
|                                                                                                                                                                                                                                                       | (1) Cash . . . . .                                                                                                                                                                                                                                                                                                                                                                           | 1a(1) | ✓  |
|                                                                                                                                                                                                                                                       | (2) Other assets . . . . .                                                                                                                                                                                                                                                                                                                                                                   | 1a(2) | ✓  |
| <b>b</b>                                                                                                                                                                                                                                              | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |    |
|                                                                                                                                                                                                                                                       | (1) Sales of assets to a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                         | 1b(1) | ✓  |
|                                                                                                                                                                                                                                                       | (2) Purchases of assets from a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                   | 1b(2) | ✓  |
|                                                                                                                                                                                                                                                       | (3) Rental of facilities, equipment, or other assets . . . . .                                                                                                                                                                                                                                                                                                                               | 1b(3) | ✓  |
|                                                                                                                                                                                                                                                       | (4) Reimbursement arrangements . . . . .                                                                                                                                                                                                                                                                                                                                                     | 1b(4) | ✓  |
|                                                                                                                                                                                                                                                       | (5) Loans or loan guarantees . . . . .                                                                                                                                                                                                                                                                                                                                                       | 1b(5) | ✓  |
|                                                                                                                                                                                                                                                       | (6) Performance of services or membership or fundraising solicitations . . . . .                                                                                                                                                                                                                                                                                                             | 1b(6) | ✓  |
| <b>c</b>                                                                                                                                                                                                                                              | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .                                                                                                                                                                                                                                                                                                   | 1c    | ✓  |
| <b>d</b>                                                                                                                                                                                                                                              | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**Treasurer**  
**Title**

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

James Bauer

Preparer's signature

Date

Check ☐ if self-employed

PTIN

**1310908**

Firm's name ► **CHIC FIT, INC**

Firm's address ► **325 North Woodlawn, Kirkwood, Mo. 63122**

Firm's EIN ▶ 20 - 4778785

Phone no. **314 - 308-5352**

Attachments to IRS Form 990-PF  
FRANK S. AND JULIA M. LADNER FAMILY FOUNDATION, INC.  
61-1248781  
Tax Year 2016

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**Schedule 1: Part I, Line 16a - Legal Fees**

| Type of service | Amount |
|-----------------|--------|
| 1 Greenbaum     | 127    |
| Total           | 127    |

**Schedule 1: Part I, Line 16b - Accounting Fees**

| Type of service | Amount |
|-----------------|--------|
| 1 CHIC FIT, INC | 8,500  |
| 2               |        |
| Total           | 8,500  |

**Schedule 1: Part I, Line 16c - Other Professional Fees**

| Type of service              | Amount |
|------------------------------|--------|
| 1 INVESTMENT MANAGEMENT FEES | 64,372 |
| 2                            |        |
| Total                        | 64,372 |

---

**Schedule 2: Part I, Line 18 - Taxes**

| Type of tax              | Amount |
|--------------------------|--------|
| 1 Balance due 2015       | 8,991  |
| 2 2016 estimated payment | 14,000 |
| Total                    | 22,991 |

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Attachments to IRS Form 990-PF  
**FRANK S. AND JULIA M. LADNER FAMILY FOUNDATION, INC.**  
61-1248781  
Tax Year 2016

**Schedule 3: Part II, Line 7 - Other Notes and Loans Receivable**

|                                                                                           |        | End of Year |                   |
|-------------------------------------------------------------------------------------------|--------|-------------|-------------------|
|                                                                                           |        | Book Value  | Fair Market Value |
| Notes Receivable not listed on line 6 and not acquired as investments (see details below) |        | 207,350     | 207,350           |
| Loans receivable from the normal activities of the filing organization:                   |        |             |                   |
| Type of loan:                                                                             | Amount |             |                   |
| 1                                                                                         |        |             |                   |
| 2                                                                                         |        |             |                   |
| 3                                                                                         |        |             |                   |
| Total                                                                                     | 0      | 0           |                   |
| Total                                                                                     |        | 207,350     | 207,350           |
| Less allowance for doubtful accounts                                                      |        | 0           | 0                 |
| Net amount                                                                                | 0      | 207,350     | 207,350           |

**Details of Receivables Reported Separately:**

| Borrower's Name                     | Relationship of Borrower | Original Amount | Balance Due at Year end       | Fair Market Value at Year end | Date of Note |
|-------------------------------------|--------------------------|-----------------|-------------------------------|-------------------------------|--------------|
| 1 Lawrence County Memorial Hospital | None                     | 80,000          | 35,620                        | 35,620                        | 7/19/2013    |
| 2 Lawrence County Memorial Hospital | None                     | 100,000         | 44,430                        | 44,430                        | 10/1/2013    |
| 3 Lawrence County Memorial Hospital | None                     | 30,000          | 12,500                        | 12,500                        | 11/15/2013   |
| 4 Lawrence County Memorial Hospital | None                     | 246,000         | 114,800                       | 114,800                       | 4/15/2014    |
| Total                               |                          |                 | 207,350                       | 207,350                       |              |
| Maturity Date                       | Repayment Terms          | Interest Rate   | Security Provided by Borrower |                               |              |

| Purpose of Loan | Description and Fair Market Value of Consideration |
|-----------------|----------------------------------------------------|
|-----------------|----------------------------------------------------|

**Schedule 4: Part II, Line 10 - Investments—Government Obligations, Corporate Stocks and Bonds**

|                                  |  | End of Year |   |
|----------------------------------|--|-------------|---|
| Total U S Government obligations |  |             |   |
| Total                            |  | 0           | 0 |

**Line 10b - Investments - Corporate Stock**

|                                     |     |            |            |
|-------------------------------------|-----|------------|------------|
| 1 COMMON STOCKS ( APPRAISAL REPORT) | FMV | 10,032,056 | 12,918,403 |
| Total                               |     | 10,032,056 | 12,918,403 |

**Line 10c - Investments - Corporate Bonds**

|       |  |   |   |
|-------|--|---|---|
| 1     |  |   |   |
| Total |  | 0 | 0 |



**Frank S. and Julia M. Ladner Family Foundation****Form 990 - PF****Part VIII - List of Officers, Directors, Trustees and Foundation Managers**

| <b>Name and Address</b>                                              | <b>Title</b> | <b>Hours<br/>Worked<br/>Per/Week</b> | <b>Compensation</b> | <b>Benefits</b> | <b>Expense<br/>Account</b> |
|----------------------------------------------------------------------|--------------|--------------------------------------|---------------------|-----------------|----------------------------|
| Julia M. Ladner<br>1808 Porter Avenue<br>Lawrenceville, IL 62439     | President    | 1                                    | \$0                 | \$0             | \$0                        |
| Margaret M. Ladner<br>1980 Goodrich<br>St. Paul, MN 55105            | Director     | 1                                    | \$0                 | \$0             | \$0                        |
| Thomas M. Ladner<br>2621 E. 23rd<br>Tulsa, OK 74114                  | Director     | 1                                    | \$0                 | \$0             | \$0                        |
| Julia M. Ladner<br>847 Via Campobello<br>Santa Barbara, CA 93111     | Director     | 1                                    | \$0                 | \$0             | \$0                        |
| William P. Ladner<br>PO Box 583<br>Lawrenceville, IL 62439           | Director     | 1                                    | \$0                 | \$0             | \$0                        |
| Mary F. L. Bauer<br>325 North Woodlawn Avenue<br>Kirkwood, Mo. 63122 | Treasurer    | 1                                    | \$0                 | \$0             | \$0                        |
| Ann Marie Ladner<br>PO Box 3614<br>Ponte Verda Beach, FL 32004       | Director     | 1                                    | \$0                 | \$0             | \$0                        |

**Frank S. and Julia M. Ladner Family Foundation**

**Form 990 - PF**

**Part XV - INFORMATION REGARDING FOUNDATION MANAGERS**

**Name and Address**

Julia M. Ladner  
1808 Porter Avenue  
Lawrenceville, IL 62439

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security              | Taxable                        |           | Nontaxable |           | Gain Distributions |           | Other Income | Total     |
|----------------------------|--------------------------------|-----------|------------|-----------|--------------------|-----------|--------------|-----------|
|                            | Interest                       | Dividends | Interest   | Dividends | Short-Term         | Long-Term |              |           |
| Money Market Funds         |                                |           |            |           |                    |           |              |           |
| GLDMM                      | H&L - Goldman Sachs            | 377.29    |            |           |                    |           |              | 377.29    |
| FTCMMF                     | MM-RT Jones Artesys            | 25.87     |            |           |                    |           |              | 25.87     |
| NOMXX                      | Northern MM Fund               | 4,612.95  |            |           |                    |           |              | 4,612.95  |
| Total Money Market Funds   |                                | 5,016.11  |            |           |                    |           |              | 5,016.11  |
| Exchange Traded Funds      |                                |           |            |           |                    |           |              |           |
| IWD                        | iShares Russell 1000 Value Ind |           | 1,721.44   |           |                    |           |              | 1,721.44  |
| NGREX                      | MFB Global RE Index            |           | 3,385.84   |           |                    |           |              | 3,385.84  |
| IWM                        | Russell 2000 Index             |           | 3,523.36   |           |                    |           |              | 3,523.36  |
| IWR                        | Russell Midcap Inde            |           | 4,149.17   |           |                    |           |              | 4,149.17  |
| SPY                        | S&P 500                        |           | 13,291.59  |           |                    |           |              | 13,291.59 |
| IJJ                        | S&P Midcap 400 Val             |           | 5,892.11   |           |                    |           |              | 5,892.11  |
| VBR                        | VG Small Cap Value             |           | 1,430.32   |           |                    |           |              | 1,430.32  |
| Total Exchange Traded Fund |                                |           | 33,393.83  |           |                    |           |              | 33,393.83 |
| Mutual Funds               |                                |           |            |           |                    |           |              |           |
| DFIVX                      | DF Advisor int'l               |           | 6,252.44   |           |                    |           |              | 6,252.44  |
| DFEOX                      | Dfa U.S. Core Equity 1 Portfol |           | 2,281.99   |           |                    | 585.65    |              | 2,867.64  |
| DFSTX                      | DFA US Small Cap               |           | 982.77     |           |                    | 2,467.66  |              | 3,450.43  |
| DODFX                      | Dodge & Cox Int'l FD           |           | 2,127.50   |           |                    | 1,360.00  |              | 3,487.50  |
| DBLFX                      | DoubleLine Core Fixed Income F |           | 2,892.02   |           |                    |           |              | 2,892.02  |
| AEPGX                      | Europacific GW Fund            |           | 2,702.31   |           |                    |           |              | 2,702.31  |
| QDF                        | Flexshares Quality Dividend In |           | 8,297.86   |           |                    |           |              | 8,297.86  |
| GUNR                       | FS Global Upstream             |           | 558.17     |           |                    |           |              | 558.17    |
| IWF                        | iShares Trust Russell 1000 Gro |           | 4,132.46   |           |                    |           |              | 4,132.46  |
| IWP                        | iShares Trust Russell Midcap G |           | 1,346.11   |           |                    |           |              | 1,346.11  |
| JVLIX                      | John Hancock Fds III Discipln  |           | 18.39      |           |                    |           |              | 18.39     |
| NOEMX                      | MFB Nrtm Emerging              |           | 2,594.27   |           |                    |           |              | 2,594.27  |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security                         | Taxable         |                  | Nontaxable |                 | Gain Distributions |           | Other         |                  |
|---------------------------------------|-----------------|------------------|------------|-----------------|--------------------|-----------|---------------|------------------|
|                                       | Interest        | Dividends        | Interest   | Dividends       | Short-Term         | Long-Term | Income        | Total            |
| <b>Mutual Funds</b>                   |                 |                  |            |                 |                    |           |               |                  |
| NHFX MFB Nrtln high YD                | 8,938.36        |                  |            |                 |                    |           |               | 8,938.36         |
| NOIGX MFB Nrtln Intl-grow             |                 | 2,799.53         |            |                 |                    |           |               | 2,799.53         |
| NSIDX MFB Nrtln Sm. Cap In            |                 | 1,029.17         |            |                 | 2,890.15           |           |               | 3,919.32         |
| EFA MSCIEAFE Index Fund               |                 | 1,655.88         |            |                 |                    |           |               | 1,655.88         |
| NOFIX Northern Funds Fixed Income Fu  |                 | 684.60           |            |                 |                    |           |               | 684.60           |
| BSBAX Northern Funds Short Bond Fund  |                 |                  |            | 2,802.62        |                    |           |               | 2,802.62         |
| NMFIX Northern Multi-manager Global   |                 | 1,435.38         |            |                 |                    |           |               | 1,435.38         |
| NUSFX Northern Ultra-Short Fixed Inc  |                 |                  |            | 5,524.72        |                    |           |               | 5,524.72         |
| NOMIX NT Mid Cap Index                |                 | 1,960.72         |            |                 | 7,449.46           |           |               | 9,410.18         |
| NSIOX NT Short Inter US G             |                 | 714.55           |            |                 |                    |           |               | 714.55           |
| NOSIX NT Stock Index Fund             |                 | 5,562.38         |            |                 | 1,879.13           |           |               | 7,441.51         |
| PPIOX Pioneer Bond Fd Class A         |                 | 14,150.92        |            |                 |                    |           | 87.76         | 14,238.68        |
| PSSIX Principal Fds Inc. Smallcap S&  |                 | 7.12             |            |                 |                    |           | 0.63          | 7.75             |
| TIIMVX TIAA-CREF Mid-Cap Value Fund I |                 | 19.23            |            |                 |                    |           | 17.35         | 36.58            |
| <b>Total Mutual Funds</b>             | <b>8,938.36</b> | <b>64,205.77</b> |            | <b>8,327.34</b> | <b>16,632.05</b>   |           | <b>105.74</b> | <b>98,209.26</b> |
| <b>Common Stocks</b>                  |                 |                  |            |                 |                    |           |               |                  |
| MMM 3M CO COM                         |                 | 1,105.68         |            |                 |                    |           |               | 1,105.68         |
| ABT Abbott Laboratories               |                 | 11,685.33        |            |                 |                    |           |               | 11,685.33        |
| ABBV Abbvie Inc                       |                 | 454.86           |            |                 |                    |           |               | 454.86           |
| AES AES Corp Common Stk               |                 | 33.88            |            |                 |                    |           |               | 33.88            |
| AFL AFLAC Inc Common St               |                 | 157.44           |            |                 |                    |           |               | 157.44           |
| APD Air-Products & Chem               |                 | 322.31           |            |                 |                    |           |               | 322.31           |
| AA Alcoa Inc Com Stk                  |                 | 70.50            |            |                 |                    |           |               | 70.50            |
| MO ALTRIA GROUP INC                   |                 | 535.62           |            |                 |                    |           |               | 535.62           |
| AIG Amer Intl Group Inc               |                 | 5.76             |            |                 |                    |           | 839.66        | 845.42           |
| AXP American Express Co               |                 | 136.30           |            |                 |                    |           |               | 136.30           |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security | Taxable                        |           | Nontaxable |           | Gain Distributions |           | Other Income | Total    |
|---------------|--------------------------------|-----------|------------|-----------|--------------------|-----------|--------------|----------|
|               | Interest                       | Dividends | Interest   | Dividends | Short-Term         | Long-Term |              |          |
| Common Stocks |                                |           |            |           |                    |           |              |          |
| AMP           | Ameriprise Fnl Corp            | 66.74     |            |           |                    |           |              | 66.74    |
| AMGN          | Amgen Inc                      | 6,362.48  |            |           |                    |           |              | 6,362.48 |
| APC           | Anadarko Petroleum             | 45.20     |            |           |                    |           |              | 45.20    |
| ADI           | Analog Devices Inc.            | 179.76    |            |           |                    |           |              | 179.76   |
| AAPL          | Apple computer                 | 3,790.83  |            |           |                    |           |              | 3,790.83 |
| AMAT          | Applied Materials              | 35.40     |            |           |                    |           |              | 35.40    |
| T             | AT&T                           | 955.92    |            |           |                    |           |              | 955.92   |
| ADP           | Automatic Data Proc            | 69.43     |            |           |                    |           |              | 69.43    |
| BHI           | Baker Hughes Inc.              | 125.46    |            |           |                    |           |              | 125.46   |
| BAC           | Bank Amer. Corp                | 283.26    |            |           |                    |           |              | 283.26   |
| BK            | Bank of New York               | 191.33    |            |           |                    |           |              | 191.33   |
| BXLT          | Baxalta Inc                    | 10.85     |            |           |                    |           |              | 10.85    |
| BAX           | Baxter Intl Inc                | 37.98     |            |           |                    |           |              | 37.98    |
| BDX           | Becton Dickinson               | 2.64      |            |           |                    |           |              | 2.64     |
| BBY           | Best Buy, Inc.                 | 202.53    |            |           |                    |           |              | 202.53   |
| BA            | Boeing Co Com                  | 789.16    |            |           |                    |           |              | 789.16   |
| BMJ           | Bristol Myers Squib            | 225.72    |            |           |                    |           |              | 225.72   |
| BR            | Broadridge Financial Solutions | 9.60      |            |           |                    |           |              | 9.60     |
| CA            | CA                             | 109.59    |            |           |                    |           |              | 109.59   |
| CPB           | Campbell Soup Co               | 126.68    |            |           |                    |           |              | 126.68   |
| COF           | Capital One Finance            | 33.60     |            |           |                    |           |              | 33.60    |
| CAH           | Cardinal Health Inc            | 91.34     |            |           |                    |           |              | 91.34    |
| CAT           | Catepillar Inc.                | 532.84    |            |           |                    |           |              | 532.84   |
| CBS           | CBS CORP Inc                   | 43.80     |            |           |                    |           |              | 43.80    |
| CTL           | Centurytel Inc                 | 50.76     |            |           |                    |           |              | 50.76    |
| SCHW          | Charles Schwab Corp.           | 74.20     |            |           |                    |           |              | 74.20    |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security             | Taxable  |           | Nontaxable |           | Gain Distributions |           | Other Income | Total    |
|---------------------------|----------|-----------|------------|-----------|--------------------|-----------|--------------|----------|
|                           | Interest | Dividends | Interest   | Dividends | Short-Term         | Long-Term |              |          |
| Common Stocks             |          |           |            |           |                    |           |              |          |
| CC Chemours Co (The)      |          | 2.64      |            |           |                    |           |              | 2.64     |
| CVX Cherontexaco Corp     |          | 2,067.78  |            |           |                    |           |              | 2,067.78 |
| CSCO Cisco Systems        |          | 4,959.45  |            |           |                    |           |              | 4,959.45 |
| C Citigroup Inc           |          | 18.98     |            |           |                    |           |              | 18.98    |
| CLX Clorox Co             |          | 177.84    |            |           |                    |           |              | 177.84   |
| KO Coca Coca Co           |          | 863.10    |            |           |                    |           |              | 863.10   |
| CL Colgate-palmolive      |          | 115.50    |            |           |                    |           |              | 115.50   |
| CMCSA Comcast Corp New CL |          | 301.35    |            |           |                    |           |              | 301.35   |
| COP Conocophillips        |          | 33.60     |            |           |                    |           |              | 33.60    |
| COST Costco Whsl Corp     |          | 148.20    |            |           |                    |           |              | 148.20   |
| CVS CVS Corp Com Stk      |          | 4,741.30  |            |           |                    |           |              | 4,741.30 |
| DE Deere & Co             |          | 420.00    |            |           |                    |           |              | 420.00   |
| DFS Discover Financial    |          | 58.24     |            |           |                    |           |              | 58.24    |
| DIS Disney Walt           |          | 3,357.59  |            |           |                    |           |              | 3,357.59 |
| D Dominion Res Inc        |          | 624.40    |            |           |                    |           |              | 624.40   |
| DD Du Pont EI DeNemour    |          | 170.24    |            |           |                    |           |              | 170.24   |
| DUK Duke Energy Corp      |          | 369.60    |            |           |                    |           |              | 369.60   |
| EMC EMC Corp Mass         |          | 130.08    |            |           | 9,066.85           |           |              | 9,196.93 |
| EMR Emerson Electric Co   |          | 307.80    |            |           |                    |           |              | 307.80   |
| EXC Exelon Corp Com       |          | 429.76    |            |           |                    |           |              | 429.76   |
| EXPD Expeditors Intl was  |          | 1,468.00  |            |           |                    |           |              | 1,468.00 |
| XOM Exxon Mobil Corp      |          | 7,265.24  |            |           |                    |           |              | 7,265.24 |
| FAST Fastenal Co Com      |          | 3,744.00  |            |           |                    |           |              | 3,744.00 |
| FDX Fedex Corp Com        |          | 92.30     |            |           |                    |           |              | 92.30    |
| FTB Fifth Third Bancorp   |          | 37.96     |            |           |                    |           |              | 37.96    |
| F Ford Mtr Co Del         |          | 220.00    |            |           |                    |           |              | 220.00   |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security        | Taxable  |           | Nontaxable |           | Gain Distributions |           | Other  |          |
|----------------------|----------|-----------|------------|-----------|--------------------|-----------|--------|----------|
|                      | Interest | Dividends | Interest   | Dividends | Short-Term         | Long-Term | Income | Total    |
| <b>Common Stocks</b> |          |           |            |           |                    |           |        |          |
| FTR                  |          | 9.03      |            |           |                    |           |        | 9.03     |
| GCI                  |          | 21.76     |            |           |                    |           |        | 21.76    |
| GPS                  |          | 66.70     |            |           |                    |           |        | 66.70    |
| GD                   |          | 217.50    |            |           |                    |           |        | 217.50   |
| GE                   |          | 3,132.14  |            |           |                    |           |        | 3,132.14 |
| GIS                  |          | 358.80    |            |           |                    |           |        | 358.80   |
| HAL                  |          | 223.20    |            |           |                    |           |        | 223.20   |
| HBI                  |          | 29.92     |            |           |                    |           |        | 29.92    |
| HOG                  |          | 155.40    |            |           |                    |           |        | 155.40   |
| HDI                  |          | 2,779.00  |            |           |                    |           |        | 2,779.00 |
| HIG                  |          | 54.60     |            |           |                    |           |        | 54.60    |
| HPE                  |          | 62.92     |            |           |                    |           |        | 62.92    |
| HPO                  |          | 70.93     |            |           |                    |           |        | 70.93    |
| HD                   |          | 7,322.28  |            |           |                    |           |        | 7,322.28 |
| HSBC                 |          | 99.20     |            |           |                    |           |        | 99.20    |
| INTC                 |          | 1,052.48  |            |           |                    |           |        | 1,052.48 |
| IP                   |          | 188.32    |            |           |                    |           |        | 188.32   |
| IPG                  |          | 39.90     |            |           |                    |           |        | 39.90    |
| IBM                  |          | 3,410.00  |            |           |                    |           |        | 3,410.00 |
| JNJ                  |          | 7,093.80  |            |           |                    |           |        | 7,093.80 |
| JPM                  |          | 6,104.40  |            |           |                    |           |        | 6,104.40 |
| K                    |          | 227.00    |            |           |                    |           |        | 227.00   |
| KMB                  |          | 207.92    |            |           |                    |           |        | 207.92   |
| KSS                  |          | 148.00    |            |           |                    |           |        | 148.00   |
| KHC                  |          | 125.36    |            |           |                    |           |        | 125.36   |
| KR                   |          | 91.56     |            |           |                    |           |        | 91.56    |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security | Taxable                 |           | Nontaxable |           | Gain Distributions |           | Other | Total    |
|---------------|-------------------------|-----------|------------|-----------|--------------------|-----------|-------|----------|
|               | Interest                | Dividends | Interest   | Dividends | Short-Term         | Long-Term |       |          |
| Common Stocks |                         |           |            |           |                    |           |       |          |
| LLY           | Lilly Eli & Co Inc      | 189.72    |            |           |                    |           |       | 189.72   |
| LLTC          | Linear Tech Corp        | 73.60     |            |           |                    |           |       | 73.60    |
| LMT           | Lockheed Martin Co      | 675.84    |            |           |                    |           |       | 675.84   |
| LOW           | Lowes Cos Inc           | 302.12    |            |           |                    |           |       | 302.12   |
| M             | Macy's Inc              | 32.40     |            |           |                    |           |       | 32.40    |
| MMC           | Marsh & MC Lennan       | 124.80    |            |           |                    |           |       | 124.80   |
| MAS           | Masco Corp              | 49.98     |            |           |                    |           |       | 49.98    |
| MAT           | Mattel Incorp           | 4,970.40  |            |           |                    |           |       | 4,970.40 |
| MXIM          | Maxim Interqrated P     | 57.60     | --         |           |                    |           |       | 57.60    |
| MCD           | McDonalds Corp          | 1,158.81  |            |           |                    |           |       | 1,158.81 |
| MDT           | Medtronic Inc           | 174.15    |            |           |                    |           |       | 174.15   |
| MRK           | Merck and Company       | 468.28    |            |           |                    |           |       | 468.28   |
| MSFT          | Microsoft Corp.         | 6,844.32  |            |           |                    |           |       | 6,844.32 |
| MDLZ          | Mondelez Intl, Inc      | 167.28    |            |           |                    |           |       | 167.28   |
| MS            | Morqan Stanley          | 62.70     |            |           |                    |           |       | 62.70    |
| MSI           | Motorola Solutions Inc. | 25.83     |            |           |                    |           |       | 25.83    |
| NKE           | Nike Inc., Cl B         | 235.52    |            |           |                    |           |       | 235.52   |
| NTRS          | Northern Trust Corp     | 1,657.10  |            |           |                    |           |       | 1,657.10 |
| OMC           | Omnicom Group Inc       | 3,800.00  |            |           |                    |           |       | 3,800.00 |
| ORCL          | Oracle Corp             | 410.40    |            |           |                    |           |       | 410.40   |
| PAYX          | Paychex Inc.            | 109.20    |            |           |                    |           |       | 109.20   |
| PCRIX         | PC Realreturn Stat      | 213.07    |            |           |                    | 1.44      |       | 214.51   |
| PEP           | Pepsi Inc               | 516.57    |            |           |                    |           |       | 516.57   |
| PFE           | Pfizer                  | 6,070.80  |            |           |                    |           |       | 6,070.80 |
| PM            | PHILIP MORRIS INTL      | 966.96    |            |           |                    |           |       | 966.96   |
| PBI           | Pitney Bowes Inc        | 49.12     |            |           |                    |           |       | 49.12    |



## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security             | Taxable  |           | Nontaxable |           | Gain Distributions |           | Other  |          |
|---------------------------|----------|-----------|------------|-----------|--------------------|-----------|--------|----------|
|                           | Interest | Dividends | Interest   | Dividends | Short-Term         | Long-Term | Income | Total    |
| <b>Common Stocks</b>      |          |           |            |           |                    |           |        |          |
| PG Procter & Gamble       |          | 972.93    |            |           |                    |           |        | 972.93   |
| PGR Progressive Corp      |          | 4,789.18  |            |           |                    |           |        | 4,789.18 |
| QCOM Qualcomm Inc Com     |          | 587.88    |            |           |                    |           |        | 587.88   |
| RTN Raytheon Co           |          | 194.95    |            |           |                    |           |        | 194.95   |
| SJM Smucker JM co.        |          | 4.02      |            |           |                    |           |        | 4.02     |
| LUV Southwest Airlines    |          | 35.53     |            |           |                    |           |        | 35.53    |
| SE Spectra Energy Corp    |          | 133.66    |            |           |                    |           |        | 133.66   |
| SPLS Staples Inc          |          | 2,421.60  |            |           |                    |           |        | 2,421.60 |
| STT State STR Corp        |          | 137.70    |            |           |                    |           |        | 137.70   |
| SYK Stryker Corp          |          | 234.84    |            |           |                    |           |        | 234.84   |
| SYMC Symantec Corp        |          | 516.00    |            |           |                    |           |        | 516.00   |
| SYV Sysco Corp            |          | 199.95    |            |           |                    |           |        | 199.95   |
| TGT Target                |          | 369.36    |            |           |                    |           |        | 369.36   |
| TGNA Tegna Inc            |          | 38.08     |            |           |                    |           |        | 38.08    |
| TXN Tex Instruments Inc   |          | 228.00    |            |           |                    |           |        | 228.00   |
| TIME Time Inc             |          | 10.64     |            |           |                    |           |        | 10.64    |
| TWC Time Warner Cable Inc |          | 87.00     |            |           |                    |           |        | 87.00    |
| TWX Time Warner Inc.      |          | 371.92    |            |           |                    |           |        | 371.92   |
| TJX TJX Companies Inc.    |          | 1,576.65  |            |           |                    |           |        | 1,576.65 |
| TRV Travelers Companies   |          | 107.42    |            |           |                    |           |        | 107.42   |
| TYC Tyco Intl LTD         |          | 2,610.80  |            |           |                    |           |        | 2,610.80 |
| TEL Tyko Electronics LT   |          | 902.80    |            |           |                    |           |        | 902.80   |
| UNP Union Pacific Corp.   |          | 2,390.30  |            |           |                    |           |        | 2,390.30 |
| UTX United Tech. Corp     |          | 613.08    |            |           |                    |           |        | 613.08   |
| *USB US Bancorp Del New   |          | 4,387.13  |            |           |                    |           |        | 4,387.13 |
| VZ Verizon Comm.          |          | 608.52    |            |           |                    |           |        | 608.52   |

## Income / Dividend Received

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016  
By Monthly Totals

| Type/Security       | Taxable  |            | Nontaxable |           | Gain Distributions |           | Other      |
|---------------------|----------|------------|------------|-----------|--------------------|-----------|------------|
|                     | Interest | Dividends  | Interest   | Dividends | Short-Term         | Long-Term |            |
| Common Stocks       |          |            |            |           |                    |           |            |
| VIA/B               |          | 116.80     |            |           |                    |           | 116.80     |
| WBA                 |          | 283.72     |            |           |                    |           | 283.72     |
| WMT                 |          | 909.00     |            |           |                    |           | 909.00     |
| WFC                 |          | 5,707.01   |            |           |                    |           | 5,707.01   |
| WU                  |          | 28.80      |            |           |                    |           | 28.80      |
| WY                  |          | 164.92     |            |           |                    |           | 164.92     |
| XLNX                |          | 69.76      |            |           |                    |           | 69.76      |
| ZBH                 |          | 5.98       |            |           |                    |           | 5.98       |
| Total Common Stocks |          | 155,594.35 |            |           | 9,066.85           | 841.10    | 165,502.30 |
| Totals              |          | 13,954.47  | 253,193.95 | 8,327.34  | 25,698.90          | 946.84    | 302,121.50 |

**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

01/18/17

| Security                    | Acquisition Date | Sale Date | Years Held | Quantity    | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L  | Annl. % GL |
|-----------------------------|------------------|-----------|------------|-------------|-----------|------------|------------|---------------|--------------------|--------|------------|
| <b>Short Term Activity:</b> |                  |           |            |             |           |            |            |               |                    |        |            |
| iShares Trust Russell 1000  | 10/14/15         | 01/07/16  | 0.233      | 2,704.00000 | 96.376    | 260,602.05 | 91.048     | 246,194.67    | -14,407.38         | -5.53  | -21.7      |
| iShares Trust Russell 1000  | 01/07/16         | 01/07/16  | 0.000      | 126.00000   | 94.610    | 11,920.86  | 91.048     | 11,472.09     | -448.77            | -3.76  | 0.0        |
| Subtotal for 2 positions    |                  |           |            | 2,830.00000 |           | 272,522.91 |            | 257,666.76    | -14,856.15         | -5.45  |            |
| iShares Trust Russell Midc  | 11/04/15         | 01/07/16  | 0.175      | 1,160.00000 | 95.320    | 110,571.20 | 83.948     | 97,380.21     | -13,190.99         | -11.93 | -51.5      |
| iShares Trust Russell Midc  | 01/07/16         | 01/07/16  | 0.000      | 67.00000    | 87.200    | 5,842.40   | 83.949     | 5,624.55      | -217.85            | -3.73  | 0.0        |
| Subtotal for 2 positions    |                  |           |            | 1,227.00000 |           | 116,413.60 |            | 103,004.76    | -13,408.84         | -11.52 |            |
| VG Small Cap Value          | 10/15/15         | 01/07/16  | 0.230      | 656.00000   | 102.345   | 67,138.32  | 91.198     | 59,826.16     | -7,312.16          | -10.89 | -39.4      |
| VG Small Cap Value          | 01/07/16         | 01/07/16  | 0.000      | 58.00000    | 93.785    | 5,439.53   | 91.198     | 5,289.51      | -150.02            | -2.76  | 0.0        |
| Subtotal for 2 positions    |                  |           |            | 714.00000   |           | 72,577.85  |            | 65,115.67     | -7,462.18          | -10.28 |            |
| Pioneer Bond Fd Class A     | 09/30/15         | 03/07/16  | 0.436      | 7.39100     | 9.620     | 71.10      | 9.510      | 70.29         | -0.81              | -1.14  | -2.6       |
| Pioneer Bond Fd Class A     | 10/30/15         | 03/07/16  | 0.353      | 72.29100    | 9.650     | 697.61     | 9.510      | 687.49        | -10.12             | -1.45  | -4.1       |
| Pioneer Bond Fd Class A     | 11/24/15         | 03/07/16  | 0.285      | 70.28100    | 9.580     | 673.29     | 9.510      | 668.37        | -4.92              | -0.73  | -2.5       |
| Pioneer Bond Fd Class A     | 11/30/15         | 03/07/16  | 0.268      | 76.52200    | 9.590     | 733.84     | 9.510      | 727.72        | -6.12              | -0.83  | -3.1       |
| Pioneer Bond Fd Class A     | 12/31/15         | 03/07/16  | 0.184      | 145.00500   | 9.510     | 1,379.00   | 9.510      | 1,379.00      | 0.00               | 0.00   | 0.0        |
| Pioneer Bond Fd Class A     | 12/31/15         | 03/07/16  | 0.184      | 92.04100    | 9.510     | 875.31     | 9.510      | 875.31        | 0.00               | 0.00   | 0.0        |
| Pioneer Bond Fd Class A     | 01/29/16         | 03/07/16  | 0.104      | 114.65100   | 9.510     | 1,090.33   | 9.510      | 1,090.33      | 0.00               | 0.00   | 0.0        |
| Pioneer Bond Fd Class A     | 01/29/16         | 03/07/16  | 0.104      | 64.09600    | 9.510     | 609.55     | 9.510      | 609.55        | 0.00               | 0.00   | 0.0        |
| Pioneer Bond Fd Class A     | 01/29/16         | 03/07/16  | 0.104      | 9.46900     | 9.510     | 90.05      | 9.530      | 90.24         | 0.19               | 0.21   | 2.0        |
| Pioneer Bond Fd Class A     | 02/29/16         | 03/07/16  | 0.019      | 73.61200    | 9.490     | 698.58     | 9.530      | 701.55        | 2.97               | 0.43   | 24.8       |
| Pioneer Bond Fd Class A     | 02/29/16         | 03/07/16  | 0.019      | 114.50900   | 9.490     | 1,086.69   | 9.531      | 1,091.33      | 4.64               | 0.43   | 24.9       |
| Subtotal for 11 position    |                  |           |            | 839.86800   |           | 8,005.35   |            | 7,991.18      | -14.17             | 0.18   |            |
| John Hancock Fds III Disc   | 12/16/15         | 06/08/16  | 0.479      | 635.43100   | 17.440    | 11,081.92  | 17.770     | 11,291.61     | 209.69             | 1.89   | 4.0        |
| John Hancock Fds III Disc   | 12/16/15         | 06/08/16  | 0.479      | 270.70500   | 17.440    | 4,721.09   | 17.770     | 4,810.43      | 89.34              | 1.89   | 4.0        |
| John Hancock Fds III Disc   | 12/16/15         | 06/08/16  | 0.479      | 25.69800    | 17.440    | 448.17     | 17.770     | 456.65        | 8.48               | 1.89   | 4.0        |
| John Hancock Fds III Disc   | 01/07/16         | 06/08/16  | 0.419      | 137.89100   | 16.330    | 2,251.76   | 17.770     | 2,450.32      | 198.56             | 8.82   | 22.3       |
| Subtotal for 4 positions    |                  |           |            | 1,069.72500 |           | 18,502.94  |            | 19,009.01     | 506.07             | 2.74   |            |

**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

| Security | Acquisition Date | Sale Date | Years Held | Quantity | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|

**Short Term Activity:**

|                            |          |          |       |              |         |            |         |            |            |        |       |
|----------------------------|----------|----------|-------|--------------|---------|------------|---------|------------|------------|--------|-------|
| Principal Fds Inc. Smallca | 07/09/15 | 06/08/16 | 0.918 | 5,839.89500  | 25.030  | 146,172.56 | 23.260  | 135,835.93 | -10,336.63 | -7.0%  | -7.7  |
| Principal Fds Inc. Smallca | 08/25/15 | 06/08/16 | 0.789 | 261.58100    | 22.440  | 5,869.89   | 23.260  | 6,084.37   | 214.48     | 3.65   | 4.7   |
| Principal Fds Inc. Smallca | 12/17/15 | 06/08/16 | 0.477 | 14.32700     | 21.890  | 313.62     | 23.260  | 333.25     | 19.63      | 6.26   | 13.6  |
| Principal Fds Inc. Smallca | 12/17/15 | 06/08/16 | 0.477 | 441.34700    | 21.890  | 9,661.08   | 23.260  | 10,265.73  | 604.65     | 6.26   | 13.6  |
| Principal Fds Inc. Smallca | 12/21/15 | 06/08/16 | 0.466 | 83.08800     | 21.450  | 1,782.23   | 23.260  | 1,932.63   | 150.40     | 8.44   | 19.0  |
| Principal Fds Inc. Smallca | 12/22/15 | 06/08/16 | 0.463 | 47.88400     | 21.660  | 1,037.17   | 23.260  | 1,113.78   | 76.61      | 7.39   | 16.6  |
| Principal Fds Inc. Smallca | 01/07/16 | 06/08/16 | 0.419 | 32.64100     | 20.380  | 665.23     | 23.260  | 759.23     | 94.00      | 14.13  | 37.1  |
| Subtotal for 7 positions   |          |          |       | 6,720.76300  |         | 165,501.78 |         | 156,324.92 | -9,176.86  | -5.54  |       |
| TIAA-CREF Mid Cap Value    | 12/22/15 | 06/08/16 | 0.463 | 32,969.28900 | 20.260  | 667,957.79 | 22.110  | 728,950.98 | 60,993.19  | 9.13   | 20.8  |
| TIAA-CREF Mid Cap Value    | 01/07/16 | 06/08/16 | 0.419 | 343.85300    | 16.512  | 5,677.61   | 22.110  | 7,602.59   | 1,924.98   | 33.90  | 100.7 |
| Subtotal for 2 positions   |          |          |       | 33,313.14200 |         | 673,635.40 |         | 736,553.57 | 62,918.17  | 9.34   |       |
| AES Corp Common Stk        | 12/28/15 | 06/09/16 | 0.449 | 64.00000     | 9.580   | 613.12     | 27.334  | 1,749.40   | 1,136.28   | 185.33 | 931.4 |
| AFLAC Inc Common St        | 12/28/15 | 06/09/16 | 0.449 | 192.00000    | 60.180  | 11,554.56  | 69.338  | 13,312.98  | 1,758.42   | 15.22  | 37.1  |
| Air Products & Chem        | 12/28/15 | 06/09/16 | 0.449 | 193.00000    | 133.190 | 25,705.67  | 145.467 | 28,075.09  | 2,369.42   | 9.22   | 21.7  |
| Alcoa Inc Com Stk          | 12/28/15 | 06/09/16 | 0.449 | 240.00000    | 10.120  | 2,428.80   | 9.792   | 2,350.04   | -78.76     | -3.24  | -7.1  |
| Amer Intl Group Inc        | 12/28/15 | 06/09/16 | 0.449 | 18.00000     | 61.700  | 1,110.60   | 57.263  | 1,030.74   | -79.86     | -7.19  | -15.3 |
| Amer Intl Group Inc        | 12/28/15 | 06/09/16 | 0.449 | 9.00000      | 22.890  | 206.01     | 18.519  | 166.67     | -39.34     | -19.10 | -37.6 |
| Subtotal for 2 positions   |          |          |       | 27.00000     |         | 1,316.61   |         | 1,197.41   | -119.20    | -9.05  |       |
| American Express Co        | 12/28/15 | 06/09/16 | 0.449 | 235.00000    | 70.160  | 16,487.60  | 65.967  | 15,502.26  | -985.34    | -5.98  | -12.8 |
| Ameriprise Fnl Corp        | 12/28/15 | 06/09/16 | 0.449 | 47.00000     | 107.940 | 5,073.18   | 102.078 | 4,797.65   | 275.53     | 5.43   | 11.7  |
| Anadarko Petroleum         | 12/28/15 | 06/09/16 | 0.449 | 452.00000    | 51.220  | 23,151.44  | 53.359  | 24,118.19  | 966.75     | 4.18   | 9.5   |
| Applied Materials          | 12/28/15 | 06/09/16 | 0.449 | 354.00000    | 19.110  | 6,764.94   | 24.159  | 8,552.45   | 1,787.51   | 26.42  | 68.5  |
| AT&T                       | 12/28/15 | 06/09/16 | 0.449 | 977.00000    | 34.660  | 33,862.82  | 39.280  | 38,376.21  | 4,513.39   | 13.33  | 32.1  |
| Automatic Data Proc        | 12/28/15 | 06/09/16 | 0.449 | 131.00000    | 85.940  | 11,258.14  | 87.653  | 11,482.55  | 224.41     | 1.99   | 4.5   |

**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

01/18/17

| Security | Acquisition Date | Sale Date | Years Held | Quantity | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|

**Short Term Activity:**

|                             |          |          |       |           |         |           |         |           |           |        |         |
|-----------------------------|----------|----------|-------|-----------|---------|-----------|---------|-----------|-----------|--------|---------|
| Avon Products, Inc.         | 12/28/15 | 06/09/16 | 0.449 | 154.00000 | 4.150   | 639.10    | 4.325   | 666.03    | 26.93     | 4.21   | 9.6     |
| Becton Dickinson            | 12/28/15 | 06/09/16 | 0.449 | 4.00000   | 155.910 | 623.64    | 169.905 | 679.62    | 55.98     | 8.98   | 21.1    |
| Blackhawk Network Holding   | 12/28/15 | 06/09/16 | 0.449 | 19.00000  | 45.320  | 861.08    | 35.339  | 671.44    | -189.64   | -22.02 | -42.5   |
| Boston Scientific           | 12/28/15 | 06/09/16 | 0.449 | 166.00000 | 18.720  | 3,107.52  | 22.709  | 3,769.77  | 662.25    | 21.31  | 53.7    |
| Bristol Myers Squibb        | 12/28/15 | 06/09/16 | 0.449 | 297.00000 | 68.970  | 20,484.09 | 74.228  | 22,045.82 | 1,561.73  | 7.62   | 17.8    |
| Broadridge Financial Soluti | 12/28/15 | 06/09/16 | 0.449 | 32.00000  | 54.600  | 1,747.20  | 64.373  | 2,059.95  | 312.75    | 17.90  | 44.3    |
| CA                          | 12/28/15 | 06/09/16 | 0.449 | 217.00000 | 28.970  | 6,286.49  | 32.689  | 7,093.57  | 807.08    | 12.84  | 30.8    |
| Campbell Soup Co            | 12/28/15 | 06/09/16 | 0.449 | 203.00000 | 53.320  | 10,823.96 | 61.379  | 12,459.86 | 1,635.90  | 15.11  | 36.8    |
| Capital One Finance         | 12/28/15 | 06/09/16 | 0.449 | 84.00000  | 73.520  | 6,175.68  | 72.938  | 6,126.82  | -48.86    | -0.79  | -1.8    |
| Cardinal Health Inc         | 12/28/15 | 06/09/16 | 0.449 | 118.00000 | 89.710  | 10,585.78 | 80.368  | 9,483.45  | -1,102.33 | -10.41 | -21.7   |
| Catepillar Inc.             | 12/28/15 | 06/09/16 | 0.449 | 346.00000 | 69.380  | 24,005.48 | 76.378  | 26,426.90 | 2,421.42  | 10.09  | 23.8    |
| CCE Spincor Inc             | 12/28/15 | 06/09/16 | 0.449 | 17.00000  | 24.900  | 423.30    | 24.509  | 416.66    | -6.64     | -1.57  | -3.5    |
| Centurytel Inc              | 12/28/15 | 06/09/16 | 0.449 | 47.00000  | 25.870  | 1,215.89  | 27.109  | 1,274.14  | 58.25     | 4.79   | 11.0    |
| Charter Communications I    | 12/28/15 | 06/09/16 | 0.449 | 0.63545   | 76.088  | 48.35     | 325.753 | 207.00    | 158.65    | 328.13 | 2,444.7 |
| Charter Communications I    | 12/28/15 | 06/09/16 | 0.449 | 23.00000  | 76.090  | 1,750.08  | 221.625 | 5,097.37  | 3,347.29  | 191.26 | 979.8   |
| Subtotal for 2 positions    |          |          |       | 23.63545  |         | 1,798.43  |         | 5,304.37  | 3,505.94  | 194.94 |         |
| Chemours Co (The)           | 12/28/15 | 06/09/16 | 0.449 | 44.00000  | 5.740   | 252.56    | 8.450   | 371.79    | 119.23    | 47.21  | 136.5   |
| Colgate-palmolive           | 12/28/15 | 06/09/16 | 0.449 | 150.00000 | 66.990  | 10,048.50 | 71.698  | 10,754.76 | 706.26    | 7.03   | 16.3    |
| Comcast Corp New CL         | 12/28/15 | 06/09/16 | 0.449 | 574.00000 | 57.300  | 32,890.20 | 63.369  | 36,373.58 | 3,483.38  | 10.59  | 25.1    |
| Deere & Co                  | 12/28/15 | 06/09/16 | 0.449 | 350.00000 | 78.790  | 27,576.50 | 87.498  | 30,624.33 | 3,047.83  | 11.05  | 26.3    |

## Sold Securities

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016

| Security                      | Acquisition Date | Sale Date | Years Held | Quantity  | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L  | Annl. % GL |
|-------------------------------|------------------|-----------|------------|-----------|-----------|------------|------------|---------------|--------------------|--------|------------|
| <b>Short Term Activity:</b>   |                  |           |            |           |           |            |            |               |                    |        |            |
| Discover Financial            | 12/28/15         | 06/09/16  | 0.449      | 104.00000 | 54.770    | 5,696.08   | 57.284     | 5,957.49      | 261.41             | 4.59   | 10.5       |
| Dominion Res Inc              | 12/28/15         | 06/09/16  | 0.449      | 446.00000 | 67.770    | 30,225.42  | 72.718     | 32,432.41     | 2,206.99           | 7.30   | 17.0       |
| Du Pont EI DeNemour           | 12/28/15         | 06/09/16  | 0.449      | 224.00000 | 66.400    | 14,873.60  | 68.678     | 15,383.80     | 510.20             | 3.43   | 7.8        |
| Emerson Electric Co           | 12/28/15         | 06/09/16  | 0.449      | 324.00000 | 48.260    | 15,636.24  | 53.379     | 17,294.74     | 1,658.50           | 10.61  | 25.2       |
| Fannie Mae                    | 12/28/15         | 06/09/16  | 0.449      | 149.00000 | 1.710     | 254.79     | 2.310      | 344.18        | 89.39              | 35.08  | 95.3       |
| Fifth Third Bancorp           | 12/28/15         | 06/09/16  | 0.449      | 146.00000 | 20.520    | 2,995.92   | 19.220     | 2,806.05      | -189.87            | -6.34  | -13.6      |
| Ford Mtr Co Del               | 12/28/15         | 06/09/16  | 0.449      | 400.00000 | 14.310    | 5,724.00   | 13.140     | 5,255.88      | -468.12            | -8.18  | -17.3      |
| Freddie Mac                   | 12/28/15         | 06/09/16  | 0.449      | 124.00000 | 1.680     | 208.32     | 2.081      | 258.03        | 49.71              | 23.86  | 61.0       |
| Frontier Comm Coro            | 12/28/15         | 06/09/16  | 0.449      | 86.00000  | 4.860     | 417.96     | 5.150      | 442.89        | 24.93              | 5.96   | 13.8       |
| Gannett Inc Com               | 12/28/15         | 06/09/16  | 0.449      | 68.00000  | 16.210    | 1,102.28   | 15.320     | 1,041.73      | -60.55             | -5.49  | -11.8      |
| GAP Inc                       | 12/28/15         | 06/09/16  | 0.449      | 145.00000 | 25.360    | 3,677.20   | 19.010     | 2,756.38      | -920.82            | -25.04 | -47.3      |
| General Dynamics              | 12/28/15         | 06/09/16  | 0.449      | 150.00000 | 139.840   | 20,976.00  | 140.327    | 21,049.04     | 73.04              | 0.35   | 0.8        |
| Halyard Health Inc            | 12/28/15         | 06/09/16  | 0.449      | 14.00000  | 34.730    | 486.22     | 32.669     | 457.37        | -28.85             | -5.93  | -12.7      |
| Hanesbrands, Inc.             | 12/28/15         | 06/09/16  | 0.449      | 136.00000 | 28.960    | 3,938.56   | 27.239     | 3,704.55      | -234.01            | -5.94  | 12.7       |
| Hartford FINL SVCS            | 12/28/15         | 06/09/16  | 0.449      | 130.00000 | 43.660    | 5,675.80   | 44.449     | 5,778.37      | 102.57             | 1.81   | 4.1        |
| Hewlett Packard Enterprise (S | 12/28/15         | 06/09/16  | 0.449      | 572.00000 | 15.020    | 8,591.44   | 18.911     | 10,816.85     | 2,225.41           | 25.90  | 67.0       |
| Hewlett-Packard               | 12/28/15         | 06/09/16  | 0.449      | 572.00000 | 11.730    | 6,709.56   | 13.650     | 7,807.62      | 1,098.06           | 16.37  | 40.1       |
| HSBC Holdings Plc ADR (S      | 12/28/15         | 06/09/16  | 0.449      | 64.00000  | 40.360    | 2,583.04   | 32.454     | 2,077.07      | -505.97            | -19.59 | 38.4       |
| International Paper           | 12/28/15         | 06/09/16  | 0.449      | 214.00000 | 38.520    | 8,243.28   | 43.044     | 9,211.42      | 968.14             | 11.74  | 28.0       |

**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

01/18/17

| Security | Acquisition Date | Sale Date | Years Held | Quantity | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|

**Short Term Activity:**

|                         |          |          |       |           |         |           |         |           |           |        |       |
|-------------------------|----------|----------|-------|-----------|---------|-----------|---------|-----------|-----------|--------|-------|
| Interpublic Group       | 12/28/15 | 06/09/16 | 0.449 | 133.00000 | 23.550  | 3,132.15  | 23.669  | 3,148.04  | 15.89     | 0.51   | 1.1   |
| Kellogg Co.             | 12/28/15 | 06/09/16 | 0.449 | 227.00000 | 72.870  | 16,541.49 | 74.491  | 16,909.36 | 367.87    | 2.22   | 5.0   |
| Kraft Heinz Co (The)    | 12/28/15 | 06/09/16 | 0.449 | 109.00000 | 73.960  | 8,061.64  | 84.898  | 9,253.89  | 1,192.25  | 14.79  | 35.9  |
| Kroger Co               | 12/28/15 | 06/09/16 | 0.449 | 436.00000 | 42.460  | 18,512.56 | 35.971  | 15,683.31 | -2,829.25 | -15.28 | -30.9 |
| Lilly Eli & Co Inc      | 12/28/15 | 06/09/16 | 0.449 | 186.00000 | 85.850  | 15,968.10 | 74.758  | 13,905.05 | -2,063.05 | -12.92 | -26.5 |
| Linear Tech Corp        | 12/28/15 | 06/09/16 | 0.449 | 115.00000 | 43.590  | 5,012.85  | 47.039  | 5,409.48  | 396.63    | 7.91   | 18.5  |
| Lumentum Holdings Inc.  | 12/28/15 | 06/09/16 | 0.449 | 6.00000   | 20.780  | 124.68    | 25.108  | 150.65    | 25.97     | 20.83  | 52.4  |
| Macy's Inc              | 12/28/15 | 06/09/16 | 0.449 | 90.00000  | 35.480  | 3,193.20  | 34.204  | 3,078.38  | -114.82   | -3.60  | -7.8  |
| Masco Corp              | 12/28/15 | 06/09/16 | 0.449 | 263.00000 | 28.440  | 7,479.72  | 31.569  | 8,302.72  | 823.00    | 11.00  | 26.2  |
| Maxim Intergrated P     | 12/28/15 | 06/09/16 | 0.449 | 96.00000  | 37.870  | 3,635.52  | 37.669  | 3,616.24  | -19.28    | -0.53  | -1.2  |
| Morgan Stanley          | 12/28/15 | 06/09/16 | 0.449 | 209.00000 | 32.480  | 6,788.32  | 26.839  | 5,609.43  | -1,178.89 | -17.37 | -34.6 |
| Motorola Solutions Inc. | 12/28/15 | 06/09/16 | 0.449 | 63.00000  | 69.060  | 4,350.78  | 68.748  | 4,331.15  | -19.63    | -0.45  | -1.0  |
| Omnicom Group Inc       | 12/28/15 | 06/09/16 | 0.449 | 69.00000  | 136.723 | 9,433.92  | 82.378  | 5,684.09  | -3,749.83 | -39.75 | -67.6 |
| Paychex Inc.            | 12/28/15 | 06/09/16 | 0.449 | 130.00000 | 53.820  | 6,996.60  | 54.839  | 7,129.04  | 132.44    | 1.89   | 4.3   |
| Piper Jaffray Cos       | 12/28/15 | 06/09/16 | 0.449 | 4.00000   | 41.250  | 165.00    | 42.178  | 168.71    | 3.71      | 2.25   | 5.1   |
| Pitney Bowes Inc        | 12/28/15 | 06/09/16 | 0.449 | 131.00000 | 20.640  | 2,703.84  | 19.055  | 2,496.15  | -207.69   | -7.68  | -16.3 |
| Progressive Corp        | 12/28/15 | 06/09/16 | 0.449 | 612.00000 | 32.000  | 19,584.00 | 32.729  | 20,030.32 | 446.32    | 2.28   | 5.1   |
| Raytheon Co             | 12/28/15 | 06/09/16 | 0.449 | 139.00000 | 126.290 | 17,554.31 | 133.697 | 18,583.89 | 1,029.58  | 5.87   | 13.5  |
| Shire Plc               | 12/28/15 | 06/09/16 | 0.449 | 22.00000  | 143.522 | 3,157.49  | 190.175 | 4,183.86  | 1,026.37  | 32.51  | 87.1  |

**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

| Security | Acquisition Date | Sale Date | Years Held | Quantity | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|

**Short Term Activity:**

|                              |          |          |       |              |         |            |         |            |           |        |       |
|------------------------------|----------|----------|-------|--------------|---------|------------|---------|------------|-----------|--------|-------|
| Smucker JM co.               | 12/28/15 | 06/09/16 | 0.449 | 3.00000      | 124.780 | 374.34     | 131.817 | 395.45     | 21.11     | 5.64   | 13.0  |
| Southwest Airlines           | 12/28/15 | 06/09/16 | 0.449 | 203.00000    | 43.900  | 8,911.70   | 41.719  | 8,468.95   | -442.75   | -4.97  | -10.7 |
| Spectra Energy Corp          | 12/28/15 | 06/09/16 | 0.449 | 165.00000    | 23.860  | 3,936.90   | 32.759  | 5,405.28   | 1,468.38  | 37.30  | 102.5 |
| Sprint Nextel                | 12/28/15 | 06/09/16 | 0.449 | 185.00000    | 3.810   | 704.85     | 3.802   | 703.44     | -1.41     | -0.20  | -0.4  |
| Supervalu Inc.               | 12/28/15 | 06/09/16 | 0.449 | 35.00000     | 7.010   | 245.35     | 4.720   | 165.19     | -80.16    | -32.67 | -58.5 |
| Tegna Inc                    | 12/28/15 | 06/09/16 | 0.449 | 136.00000    | 25.930  | 3,526.48   | 22.829  | 3,104.81   | -421.67   | -11.90 | -24.7 |
| Tex Instruments Inc          | 12/28/15 | 06/09/16 | 0.449 | 300.00000    | 56.750  | 17,025.00  | 61.309  | 18,392.59  | 1,367.59  | 8.03   | 18.8  |
| Time Inc                     | 12/28/15 | 06/09/16 | 0.449 | 28.00000     | 15.630  | 437.64     | 16.929  | 474.02     | 36.38     | 8.31   | 19.4  |
| TopBuild Corp                | 12/28/15 | 06/09/16 | 0.449 | 29.00000     | 30.790  | 892.91     | 36.009  | 1,044.26   | 151.35    | 16.95  | 41.7  |
| Veritiv Corp                 | 12/28/15 | 06/09/16 | 0.449 | 4.00000      | 39.330  | 157.32     | 39.918  | 159.67     | 2.35      | 1.49   | 3.4   |
| Viacom Inc                   | 12/28/15 | 06/09/16 | 0.449 | 33.00000     | 41.170  | 1,358.61   | 6.890   | 227.36     | -1,131.25 | -83.27 | -98.1 |
| Western Union                | 12/28/15 | 06/09/16 | 0.449 | 180.00000    | 18.500  | 3,330.00   | 19.550  | 3,518.92   | 188.92    | 5.67   | 13.1  |
| Weyerhaeuser Co.             | 12/28/15 | 06/09/16 | 0.449 | 532.00000    | 30.720  | 16,343.04  | 31.092  | 16,541.00  | 197.96    | 1.21   | 2.7   |
| Xilinx Inc                   | 12/28/15 | 06/09/16 | 0.449 | 109.00000    | 47.850  | 5,215.65   | 46.849  | 5,106.53   | -109.12   | -2.04  | -4.6  |
| Zimmer Biomet Holdings In    | 12/28/15 | 06/09/16 | 0.449 | 13.00000     | 102.910 | 1,337.83   | 121.267 | 1,576.47   | 238.64    | 17.84  | 44.1  |
| Shire Plc                    | 12/28/15 | 07/13/16 | 0.542 | 0.97100      | 143.522 | 139.36     | 168.507 | 163.62     | 24.26     | 17.41  | 34.4  |
| Northern Ultra-short Fixed   | 08/12/16 | 08/19/16 | 0.019 | 9,775.07000  | 10.220  | 99,901.21  | 10.230  | 99,999.00  | 97.79     | 0.10   | 5.2   |
| Northern Ultra-short Fixed   | 08/12/16 | 08/19/16 | 0.019 | 19,846.77000 | 10.220  | 202,833.99 | 10.220  | 202,834.00 | 0.01      | 0.00   | 0.0   |
| Subtotal for 2 positions     |          |          |       | 29,621.84000 |         | 302,735.20 |         | 302,833.00 | 97.80     | 0.03   |       |
| Northern Ultra-short Fixed I | 08/12/16 | 08/23/16 | 0.030 | 24,212.92000 | 10.220  | 247,456.04 | 10.220  | 247,456.00 | -0.04     |        |       |



**Sold Securities**  
**Selected Portfolios**  
**Date Range - 01/01/2016 Thru 12/31/2016**

| Security | Acquisition Date | Sale Date | Years Held | Quantity | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|
|----------|------------------|-----------|------------|----------|-----------|------------|------------|---------------|--------------------|-------|------------|

**Short Term Activity:**

|                              |          |          |       |             |         |              |        |              |           |       |       |
|------------------------------|----------|----------|-------|-------------|---------|--------------|--------|--------------|-----------|-------|-------|
| NT Short Inter US G          | 08/26/16 | 08/26/16 | 0.000 | 0.36000     | 10.111  | 3.64         | 10.000 | 3.60         | -0.04     | -1.10 |       |
| Pioneer Bond Fd Class A      | 08/31/15 | 08/26/16 | 0.989 | 115.90700   | 9.640   | 1,117.35     | 9.860  | 1,142.84     | 25.49     | 2.28  | 2.3   |
| Pioneer Bond Fd Class A      | 08/31/15 | 08/26/16 | 0.989 | 74.20200    | 9.640   | 715.31       | 9.860  | 731.63       | 16.32     | 2.28  | 2.3   |
| Pioneer Bond Fd Class A      | 09/03/15 | 08/26/16 | 0.981 | 609.45500   | 9.640   | 5,875.15     | 9.860  | 6,009.23     | 134.08    | 2.28  | 2.3   |
| Pioneer Bond Fd Class A      | 09/30/15 | 08/26/16 | 0.907 | 112.82500   | 9.673   | 1,091.34     | 9.860  | 1,112.45     | 21.11     | 1.93  | 2.1   |
| Pioneer Bond Fd Class A      | 09/30/15 | 08/26/16 | 0.907 | 63.44600    | 9.620   | 610.35       | 9.860  | 625.58       | 15.23     | 2.50  | 2.8   |
| Pioneer Bond Fd Class A      | 10/14/15 | 08/26/16 | 0.868 | 893.59600   | 9.640   | 8,614.26     | 9.860  | 8,810.86     | 196.60    | 2.28  | 2.6   |
| Pioneer Bond Fd Class A      | 10/30/15 | 08/26/16 | 0.825 | 112.96800   | 9.650   | 1,090.14     | 9.860  | 1,113.86     | 23.72     | 2.18  | 2.6   |
| Pioneer Bond Fd Class A      | 11/04/15 | 08/26/16 | 0.811 | 584.32100   | 9.640   | 5,632.86     | 9.860  | 5,761.40     | 128.54    | 2.28  | 2.8   |
| Pioneer Bond Fd Class A      | 11/30/15 | 08/26/16 | 0.740 | 111.39700   | 9.590   | 1,068.30     | 9.860  | 1,098.37     | 30.07     | 2.81  | 3.8   |
| Pioneer Bond Fd Class A      | 03/31/16 | 08/26/16 | 0.405 | 72.33900    | 9.620   | 695.90       | 9.860  | 713.26       | 17.36     | 2.49  | 6.3   |
| Pioneer Bond Fd Class A      | 03/31/16 | 08/26/16 | 0.405 | 47.06700    | 9.620   | 452.78       | 9.860  | 464.08       | 11.30     | 2.50  | 6.3   |
| Subtotal for 11 position     |          |          |       | 2,797.52300 |         | 26,963.74    |        | 27,583.56    | 619.82    | 2.30  |       |
| Symantec Corp                | 12/28/15 | 08/30/16 | 0.674 | 120.00000   | 20.858  | 2,503.00     | 23.729 | 2,847.53     | 344.53    | 13.76 | 21.1  |
| Northern Ultra-short Fixed I | 08/12/16 | 09/12/16 | 0.085 | 9,863.50000 | 10.220  | 100,804.97   | 10.220 | 100,804.97   | 0.00      |       |       |
| Northern Ultra-short Fixed I | 08/12/16 | 09/14/16 | 0.090 | 1,957.44000 | 10.220  | 20,005.04    | 10.220 | 20,005.00    | -0.04     |       |       |
| Dell Technologies Inc. Class | 12/28/15 | 09/26/16 | 0.748 | 0.02042     | 233.595 | 4.77         | 47.013 | 0.96         | -3.81     | 79.87 | -88.3 |
| Northern Ultra-short Fixed I | 08/12/16 | 09/26/16 | 0.123 | 2,836.99000 | 10.220  | 28,994.04    | 10.220 | 28,994.00    | -0.04     |       |       |
| Janus Research I Shs         | 12/17/15 | 10/13/16 | 0.825 | 1,085.23000 | 41.590  | 45,134.73    | 42.030 | 45,612.22    | 477.49    | 1.06  | 1.3   |
| Janus Research I Shs         | 12/17/15 | 10/13/16 | 0.825 | 181.21900   | 41.590  | 7,536.89     | 42.030 | 7,616.64     | 79.75     | 1.06  | 1.3   |
| Janus Research I Shs         | 01/07/16 | 10/13/16 | 0.767 | 0.00166     | 42.169  | 0.07         | 42.169 | 0.07         | 0.00      | 0.00  | 0.0   |
| Janus Research I Shs         | 01/07/16 | 10/13/16 | 0.767 | 171.45068   | 39.260  | 6,731.15     | 42.030 | 7,206.07     | 474.92    | 7.06  | 9.3   |
| Subtotal for 4 positions     |          |          |       | 1,437.90134 |         | 59,402.84    |        | 60,435.00    | 1,032.16  | 1.74  |       |
| Total Short Term Activity:   |          |          |       |             |         | 2,768,144.25 |        | 2,820,743.77 | 52,599.52 | 1.90  |       |

**Long Term Activity:**

## Sold Securities

01/18/17

Selected Portfolios  
Date Range - 01/01/2016 Thru 12/31/2016

| Security                     | Acquisition Date | Sale Date | Years Held | Quantity     | Unit Cost | Total Cost | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L  | Annl. % GL |
|------------------------------|------------------|-----------|------------|--------------|-----------|------------|------------|---------------|--------------------|--------|------------|
| <b>Long Term Activity:</b>   |                  |           |            |              |           |            |            |               |                    |        |            |
| Pioneer Bond Fd Class A      | 01/17/14         | 01/07/16  | 1.973      | 1,440.51000  | 9.690     | 13,958.54  | 9.530      | 13,728.06     | -230.48            | -1.65  | -0.8       |
| Pioneer Bond Fd Class A      | 01/17/14         | 01/07/16  | 1.973      | 404.56300    | 9.690     | 3,920.22   | 9.530      | 3,855.48      | -64.74             | -1.65  | -0.8       |
| Subtotal for 2 positions     |                  |           |            | 1,845.07300  |           | 17,878.76  |            | 17,583.54     | -295.22            | -1.65  |            |
| Home Depot                   | 01/16/04         | 05/13/16  | 12.332     | 140.00000    | 34.940    | 4,891.60   | 137.168    | 19,203.48     | 14,311.88          | 292.58 | 11.7       |
| TJX Companies Inc.           | 10/15/04         | 05/13/16  | 11.584     | 195.00000    | 11.050    | 2,154.75   | 77.213     | 15,056.58     | 12,901.83          | 598.76 | 18.3       |
| John Hancock Fds III DiscI   | 02/10/15         | 06/08/16  | 1.326      | 19,321.22631 | 18.849    | 364,191.56 | 17.770     | 343,338.19    | -20,853.37         | -5.73  | -4.3       |
| Omnicom Group Inc            | 12/22/10         | 06/09/16  | 5.468      | 55.00000     | 46.372    | 2,550.45   | 82.378     | 4,530.80      | 1,980.35           | 77.65  | 11.1       |
| NT Short Inter US G          | 06/07/11         | 08/26/16  | 5.225      | 2,392.34000  | 10.450    | 25,000.00  | 9.990      | 23,899.50     | -1,100.50          | -4.41  | -0.9       |
| NT Short Inter US G          | 12/20/11         | 08/26/16  | 4.688      | 97.65000     | 10.141    | 990.23     | 9.990      | 975.52        | -14.71             | -1.49  | -0.3       |
| NT Short Inter US G          | 12/20/11         | 08/26/16  | 4.688      | 8.39000      | 10.136    | 85.04      | 9.990      | 83.82         | -1.22              | -1.42  | -0.3       |
| NT Short Inter US G          | 12/20/12         | 08/26/16  | 3.685      | 8.18000      | 10.001    | 81.81      | 9.990      | 81.72         | -0.09              | -0.11  | 0.0        |
| NT Short Inter US G          | 12/20/12         | 08/26/16  | 3.685      | 62.66000     | 10.001    | 626.65     | 9.990      | 625.97        | -0.68              | -0.11  | 0.0        |
| NT Short Inter US G          | 08/22/13         | 08/26/16  | 3.014      | 15,321.98000 | 9.780     | 149,849.00 | 9.990      | 153,066.76    | 3,217.76           | 2.15   | 0.7        |
| Subtotal for 6 positions     |                  |           |            | 17,891.20000 |           | 176,632.73 |            | 178,733.29    | 2,100.56           | 1.19   |            |
| Pioneer Bond Fd Class A      | 01/17/14         | 08/26/16  | 2.608      | 23,282.93400 | 9.690     | 225,611.63 | 9.860      | 229,569.70    | 3,958.07           | 1.75   | 0.7        |
| Pioneer Bond Fd Class A      | 01/17/14         | 08/26/16  | 2.608      | 53,784.29600 | 9.690     | 521,169.57 | 9.860      | 530,313.13    | 9,143.56           | 1.75   | 0.7        |
| Pioneer Bond Fd Class A      | 06/30/15         | 08/26/16  | 1.159      | 112.41300    | 9.680     | 1,088.16   | 9.860      | 1,108.39      | 20.23              | 1.86   | 1.6        |
| Pioneer Bond Fd Class A      | 06/30/15         | 08/26/16  | 1.159      | 72.26600     | 9.680     | 699.53     | 9.860      | 712.54        | 13.01              | 1.86   | 1.6        |
| Pioneer Bond Fd Class A      | 07/31/15         | 08/26/16  | 1.074      | 116.61300    | 9.690     | 1,129.98   | 9.860      | 1,149.80      | 19.82              | 1.75   | 1.6        |
| Pioneer Bond Fd Class A      | 07/31/15         | 08/26/16  | 1.074      | 74.96500     | 9.690     | 726.41     | 9.860      | 739.15        | 12.74              | 1.75   | 1.6        |
| Subtotal for 6 positions     |                  |           |            | 77,443.48700 |           | 750,425.28 |            | 763,592.71    | 13,167.43          | 1.75   |            |
| Northern Ultra-short Fixed I | 01/22/15         | 10/11/16  | 1.721      | 9,573.95000  | 10.200    | 97,654.31  | 10.210     | 97,750.00     | 95.69              | 0.10   | 0.1        |
| Janus Research I Shs         | 03/06/15         | 10/13/16  | 1.608      | 4,249.00415  | 45.669    | 194,049.04 | 42.030     | 178,585.67    | -15,463.37         | -7.97  | -5.0       |
| Janus Research I Shs         | 08/25/15         | 10/13/16  | 1.137      | 8,108.47684  | 41.653    | 337,741.40 | 42.030     | 340,799.33    | 3,057.93           | 0.91   | 0.8        |
| Janus Research I Shs         | 08/25/15         | 10/13/16  | 1.137      | 133.12353    | 41.653    | 5,544.97   | 42.030     | 5,595.18      | 50.21              | 0.91   | 0.8        |
| Janus Research I Shs         | 08/25/15         | 10/13/16  | 1.137      | 2,404.14     | 43.317    | 104.14     | 42.032     | 101.05        | -1.09              | -0.97  | -0.6       |
| Subtotal for 4 positions     |                  |           |            | 12,493.00866 |           | 537,439.55 |            | 525,081.23    | -12,358.32         | -2.30  |            |

**Sold Securities**

01/18/17

**Selected Portfolios****Date Range - 01/01/2016 Thru 12/31/2016**

| Security                         | Acquisition Date | Sale Date | Years Held | Quantity  | Unit Cost | Total Cost   | Unit Price | Sale Proceeds | Realized Gain/Loss | % G/L | Annl. % GL |
|----------------------------------|------------------|-----------|------------|-----------|-----------|--------------|------------|---------------|--------------------|-------|------------|
| <b>Long Term Activity:</b>       |                  |           |            |           |           |              |            |               |                    |       |            |
| S&P Dep Receipts                 | 03/12/15         | 10/17/16  | 1.603      | 400.00000 | 207.860   | 83,144.00    | 213.575    | 85,430.13     | 2,286.13           | 2.75  | 1.7        |
| <b>Total Long Term Activity:</b> |                  |           |            |           |           | 2,036,962.99 |            | 2,050,299.95  | 13,336.96          | 0.65  |            |
| <b>Total Net Activity</b>        |                  |           |            |           |           | 4,805,107.24 |            | 4,871,043.72  | 65,936.48          | 1.37  |            |

**FRANK S. AND JULIA M LADNER FAMILY FOUNDATION**  
**CHARITABLE CONTRIBUTIONS**  
**FOR YEAR ENDED DECEMBER 31, 2016**

| Charitable<br>Organization                     | Total      |
|------------------------------------------------|------------|
| Catholic Relief Services                       | \$ 100,000 |
| Sisters of Providence                          | \$ 100,000 |
| Bread for the World                            | \$ 50,000  |
| Cosgove Kitchen                                | \$ 32,000  |
| Amnesty International                          | \$ 30,000  |
| Habitat for Humanity                           | \$ 30,000  |
| Catholic Charities                             | \$ 30,000  |
| NGNS                                           | \$ 28,000  |
| Doctors Without Borders                        | \$ 25,000  |
| Pax Christi USA                                | \$ 25,000  |
| Saint Mary - of - the - Woods                  | \$ 25,000  |
| Saint John's University                        | \$ 25,000  |
| The Nature Conservancy                         | \$ 25,000  |
| The Carter Center                              | \$ 20,000  |
| Heifer International                           | \$ 20,000  |
| Network                                        | \$ 20,000  |
| The ST. Mary College Fund                      | \$ 20,000  |
| CRA-WA-LAW                                     | \$ 19,000  |
| Brady Campaign                                 | \$ 15,000  |
| Commonweal                                     | \$ 15,000  |
| National Catholic Reporter                     | \$ 15,000  |
| Food for the Poor                              | \$ 15,000  |
| Conservation Minnesota                         | \$ 15,000  |
| YMCA Camps                                     | \$ 15,000  |
| DPHS - Art Department                          | \$ 15,000  |
| Bread for the World Institute                  | \$ 15,000  |
| Food for the Poor                              | \$ 15,000  |
| The MHE Research Foundation                    | \$ 15,000  |
| SSM Health Cardinal Glennon Childrens Hospital | \$ 15,000  |
| American Red Cross                             | \$ 15,000  |
| Fish & Loaves                                  | \$ 14,000  |
| America                                        | \$ 12,000  |
| Call to Action                                 | \$ 10,000  |
| Mary's Pence                                   | \$ 10,000  |
| Transition House                               | \$ 10,000  |
| Storeyteller Children's Institute              | \$ 10,000  |
| Direct Relief International                    | \$ 10,000  |
| Urchoice                                       | \$ 10,000  |

**FRANK S. AND JULIA M LADNER FAMILY FOUNDATION  
CHARITABLE CONTRIBUTIONS  
FOR YEAR ENDED DECEMBER 31, 2016**

| <b>Charitable<br/>Organization</b>           | <b>Total</b> |
|----------------------------------------------|--------------|
| Lawrence County United Fund                  | \$ 10,000    |
| Friends of Spencer County                    | \$ 10,000    |
| Roman Catholic Foundation                    | \$ 10,000    |
| St Patrick Center                            | \$ 10,000    |
| St Peter Church                              | \$ 10,000    |
| Habitat for Humanity                         | \$ 10,000    |
| UNICEF                                       | \$ 10,000    |
| Our Lady of the Sea                          | \$ 10,000    |
| Lawrence Crawford Association                | \$ 8,000     |
| Saint Joseph School                          | \$ 8,000     |
| Special Olympics                             | \$ 8,000     |
| Saint Peter Claver                           | \$ 8,000     |
| KCARC                                        | \$ 8,000     |
| Camp Ondessonk                               | \$ 7,000     |
| CAPES, Inc                                   | \$ 7,000     |
| Child Abuse Network                          | \$ 7,000     |
| Day Center for the Homeless                  | \$ 7,000     |
| Domestic Violence Intervention Services, Inc | \$ 7,000     |
| Family & Childrens Services                  | \$ 7,000     |
| Legal Aid Services of Oklahoma, Inc          | \$ 7,000     |
| Meals on wheels - Tulsa                      | \$ 7,000     |
| Tulsa Boys Home                              | \$ 7,000     |
| Southern Poverty Law Center                  | \$ 7,000     |
| Northwestern Pritzker School of Law          | \$ 7,000     |
| Girl scouts of Southwest Indiana             | \$ 7,000     |
| Parkside Elementary Kids Fitness             | \$ 6,000     |
| Daily World Mission                          | \$ 5,000     |
| League of Women Voters                       | \$ 5,000     |
| Newman Catholic Student Center               | \$ 5,000     |
| Sinsinawa Dominicans                         | \$ 5,000     |
| Vincennes Catholic Schools                   | \$ 5,000     |
| Sisters of St Joseph of Carondelet           | \$ 5,000     |
| Doctors Without Borders                      | \$ 5,000     |
| Tulsa Habitat for Humanity                   | \$ 5,000     |
| Tulsa Educare, Inc.                          | \$ 5,000     |
| Community Food Bank of Eastern Oklahoma      | \$ 5,000     |
| Clowder House Foundation                     | \$ 5,000     |
| Eykamp Scout Center                          | \$ 5,000     |

**FRANK S. AND JULIA M LADNER FAMILY FOUNDATION  
CHARITABLE CONTRIBUTIONS  
FOR YEAR ENDED DECEMBER 31, 2016**

| <b>Charitable<br/>Organization</b>               | <b>Total</b>        |
|--------------------------------------------------|---------------------|
| Santa Claus Museum                               | \$ 5,000            |
| Heifer International                             | \$ 5,000            |
| BEAM                                             | \$ 5,000            |
| Children's Organ Transplant Association          | \$ 5,000            |
| Jacksonville Humane Society                      | \$ 5,000            |
| Mission House, Inc                               | \$ 5,000            |
| St Augustine Humane Society                      | \$ 5,000            |
| Second Harvest North Florida                     | \$ 5,000            |
| Children & Family Services                       | \$ 5,000            |
| Vincennes Football Association                   | \$ 5,000            |
| Vincennes LHS Athletic Booster                   | \$ 5,000            |
| United Farm Workers                              | \$ 4,000            |
| Make A Wish Foundation of Oklahoma               | \$ 4,000            |
| Neighbor for Neighbor                            | \$ 4,000            |
| Tulsa CASA, Inc                                  | \$ 4,000            |
| Chaminade Fund                                   | \$ 3,000            |
| WSIU Public Broadcasting                         | \$ 3,000            |
| WNIN Public Broadcasting                         | \$ 3,000            |
| Oklahoma City University School of Law           | \$ 3,000            |
| Spencer County Human society                     | \$ 3,000            |
| Eastern Illinois University Foundation - English | \$ 3,000            |
| Father Scott Donahue                             | \$ 2,000            |
| Amnesty International                            | \$ 2,000            |
| Vincennes University English Dep                 | \$ 2,000            |
| Vincennes University English Dep                 | \$ 2,000            |
| National Museum of Women In the Arts             | \$ 1,000            |
| St Paul Academy                                  | \$ 1,000            |
| UCLA Intervention Program                        | \$ -                |
|                                                  | <b>\$ 1,259,000</b> |