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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation RAYMOND FOUNDATION INC. C/O LINDA RAYMOND		A Employer identification number 61-1229966
Number and street (or P.O. box number if mail is not delivered to street address) P. O. BOX 3082	Room/suite	B Telephone number (812) 824-9693
City or town, state or province, country, and ZIP or foreign postal code BLOOMINGTON, IN 47402-3082		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,405,913.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	300,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	35,633.	35,633.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	66,366.			
	b Gross sales price for all assets on line 6a	1,001,404.			
	7 Capital gain net income (from Part IV, line 2)		66,366.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	401,999.	101,999.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	1,930.	0.		1,930.
	c Other professional fees	12,289.	12,289.		0.
	17 Interest				
	18 Taxes	4,229.	176.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	6,637.	0.		6,637.
	24 Total operating and administrative expenses. Add lines 13 through 23	25,085.	12,465.		8,567.
	25 Contributions, gifts, grants paid	511,000.			511,000.
26 Total expenses and disbursements. Add lines 24 and 25	536,085.	12,465.		519,567.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<134,086.>				
b Net investment income (if negative, enter -0-)		89,534.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		331,733.	539,581.	539,581.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		445,058.	479,940.	474,702.
	b	Investments - corporate stock STMT 7		609,060.	430,895.	575,999.
	c	Investments - corporate bonds STMT 8		365,720.	266,971.	266,730.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 9		618,432.	519,457.	548,901.
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,370,003.	2,236,844.	2,405,913.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		2,370,003.	2,236,844.		
30	Total net assets or fund balances		2,370,003.	2,236,844.		
31	Total liabilities and net assets/fund balances		2,370,003.	2,236,844.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,370,003.
2	Enter amount from Part I, line 27a	2	<134,086.>
3	Other increases not included in line 2 (itemize) ▶ NONDIVIDEND DISTRIBUTION	3	1,960.
4	Add lines 1, 2, and 3	4	2,237,877.
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	1,033.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,236,844.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OLD NATIONAL TRUST COMPANY - SEE ATTACHMENT	P		
b OLD NATIONAL TRUST COMPANY - SEE ATTACHMENT	P		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 169,624.		179,331.	<9,707.>
b 827,486.		755,707.	71,779.
c 4,294.			4,294.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<9,707.>
b			71,779.
c			4,294.
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	66,366.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	246,962.	2,656,897.	.092951
2014	342,244.	2,901,366.	.117960
2013	248,172.	2,884,830.	.086027
2012	231,135.	2,830,586.	.081656
2011	285,073.	2,941,769.	.096905

2 Total of line 1, column (d)	2	.475499
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.095100
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,210,693.
5 Multiply line 4 by line 3	5	210,237.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	895.
7 Add lines 5 and 6	7	211,132.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	519,567.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	895.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	895.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	895.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,600.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,600.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,705.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 920. Refunded <input checked="" type="checkbox"/>	11	1,785.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ LINDA RAYMOND Telephone no. ▶ 812-824-9693 Located at ▶ P.O. BOX 3082, BLOOMINGTON, IN ZIP+4 ▶ 47402-3082			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ , , ,			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL CAIN P.O. BOX 3082 BLOOMINGTON, IN 47402	VICE PRESIDENT - DIRECTOR 1.00	0.	0.	0.
ANNE H. RAYMOND P.O. BOX 3082 BLOOMINGTON, IN 47402	SECRETARY/TREASURER - DIRECTOR 1.00	0.	0.	0.
LINDA A. RAYMOND P.O. BOX 3082 BLOOMINGTON, IN 47402	PRESIDENT - DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,923,335.
b	Average of monthly cash balances	1b	321,023.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,244,358.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,244,358.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33,665.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,210,693.
6	Minimum investment return. Enter 5% of line 5	6	110,535.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	110,535.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	895.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	895.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	109,640.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	109,640.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	109,640.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	519,567.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	519,567.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	895.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	518,672.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				109,640.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	140,915.			
b From 2012	91,922.			
c From 2013	107,812.			
d From 2014	203,358.			
e From 2015	117,690.			
f Total of lines 3a through e	661,697.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 519,567.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				109,640.
e Remaining amount distributed out of corpus	409,927.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,071,624.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	140,915.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	930,709.			
10 Analysis of line 9:				
a Excess from 2012	91,922.			
b Excess from 2013	107,812.			
c Excess from 2014	203,358.			
d Excess from 2015	117,690.			
e Excess from 2016	409,927.			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b

Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3)

or

4942(j)(5)

2 a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2016

(b) 2015

(c) 2014

(d) 2013

(e) Total

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities.

3

Complete 3a, b, or c for the alternative test relied upon:

a

"Assets" alternative test - enter:

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c

"Support" alternative test - enter:

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here

if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 11

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

623801 11-23-16

10

Form 990-PF (2016)

09220424 757979 234503

2016.03030 RAYMOND FOUNDATION INC. C/O 234503_1

RAYMOND FOUNDATION INC.

Form 990-PF (2016)

C/O LINDA RAYMOND

61-1229966 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COMMUNITY FOUNDATION OF BLOOMINGTON AND MONROE COUNTY 101 W. KIRKWOOD FOUNTAIN SQUARE BLOOMINGTON, IN 47404	N/A	PUBLIC CHARITY	EDUCATIONAL - ENDOWMENT FUNDING	250,000.
FOUNDATION OF THE MONROE COUNTY COMMUNITY SCHOOLS 315 NORTH DR BLOOMINGTON, IN 47401	N/A	PUBLIC CHARITY	EDUCATIONAL - SCIENCE AND ENVIRONMENTAL GRANTS	5,000.
LOTUS EDUCATION AND ARTS FOUNDATION 103 N COLLEGE AVE BLOOMINGTON, IN 47404	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	20,000.
MIDDLE WAY HOUSE INC 338 S. WASHINGTON ST BLOOMINGTON, IN 47401	N/A	PUBLIC CHARITY	EDUCATIONAL - YOUTH EMPOWERMENT PROGRAM	30,000.
MIDWAY COLLEGE 512 E. STEPHENS MIDWAY, KY 40347	N/A	SCHOOL	EDUCATIONAL - SCIENCE SCHOLARSHIPS	40,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	511,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0

Form 990-PF (2016)

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	35,633.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	66,366.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		101,999.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	101,999.

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	...	1a(1)	X
(2) Other assets	...	1a(2)	X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	...	1b(1)	X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3) Rental of facilities, equipment, or other assets	...	1b(3)	X
(4) Reimbursement arrangements	...	1b(4)	X
(5) Loans or loan guarantees	1b(5)	X
(6) Performance of services or membership or fundraising solicitations	...	1b(6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	...	1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee *Sinda Raymond* **Date** 4/28/17 **Title** PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)?
☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	CHRISTINE N KOENIG	<i>Christine N Koenig</i>	4 24 17		P01022180
	Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC			Firm's EIN ▶ 61-1064249	
	Firm's address ▶ 9300 SHELBYVILLE RD STE 1100 LOUISVILLE, KY 40222-5187			Phone no. (502) 426-9660	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

RAYMOND FOUNDATION INC.
C/O LINDA RAYMOND

Employer identification number

61-1229966

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a **private** foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the **General Rule** and a **Special Rule**. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 **exclusively** for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions **exclusively** for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an **exclusively** religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received **nonexclusively** religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules **doesn't** file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

RAYMOND FOUNDATION INC.
C/O LINDA RAYMOND

Employer identification number

61-1229966

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LINDA RAYMOND AND MICHAEL CAIN P.O. BOX 3082 BLOOMINGTON, IN 47402	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	ANNE RAYMOND P.O. BOX 3082 BLOOMINGTON, IN 47402	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

RAYMOND FOUNDATION INC.
C/O LINDA RAYMOND

Employer identification number

61-1229966

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

RAYMOND FOUNDATION INC.

C/O LINDA RAYMOND

61-1229966

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

RAYMOND FOUNDATION INC.
C/O LINDA RAYMOND

61-1229966

Part.XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MONROE COUNTY COMMUNITY SCHOOL SYSTEM 401 E MILLER DRIVE BLOOMINGTON, IN 47401	N/A	SCHOOL	EDUCATIONAL - ROBOTICS PROGRAM, SOLAR RACING PROGRAM	5,000.
MONROE COUNTY UNITED MINISTRIES 827 W. 14TH COURT BLOOMINGTON, IN 47404	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	31,000.
NORTH LAWRENCE COMMUNITY SCHOOLS PO BOX 729 BEDFORD, IN 47421	N/A	SCHOOL	EDUCATION PROGRAMS - ENVIRONMENTAL AND OUTDOOR	4,500.
SYCAMORE LAND TRUST P.O. BOX 7801 BLOOMINGTON, IN 47407-7801	N/A	PUBLIC CHARITY	ENVIRONMENTAL EDUCATION	50,000.
UNIVERSITY OF KENTUCKY 10 FUNKHOUSER BUILDING LEXINGTON, KY 40506	N/A	PUBLIC UNIVERSITY	EDUCATIONAL - ENGINEERING SCHOLARSHIPS	40,000.
WONDERLAB MUSEUM OF SCIENCE HEALTH AND TECHNOLOGY 308 W FOURTH ST BLOOMINGTON, IN 47404	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	30,000.
NEWTON'S ATTIC 4974 OLD US HWY 60 LEXINGTON, KY 40510	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	5,500.
Total from continuation sheets				166,000.

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
ABBOTT LABS - 002824100 (CONTINUED)				
03/09/16 SOLD 477 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 39.206 TR TRAN#=01603090000836 TR CD=151 REG=5053 PORT=PRIN	-1839.47		6622.29	1603000012
	-1839.47	0.00	6622.29	
ALPHABET INC CL A - 02079K305				
03/09/16 SOLD 10 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 729.96 TR TRAN#=01603090000843 TR CD=151 REG=5053 PORT=PRIN	1827.57		7299.24	1603000013
	1827.57	0.00	7299.24	
APPLE INC 3.2% 05/13/2025 - 037833BG4 - MINOR = 230				
03/24/16 SOLD 30000 03/21/16 TO FIRST TENNESSEE SECURITIES @ 103.415 TR TRAN#=01603240000426 TR CD=151 REG=5053 PORT=PRIN	1121.14		31024.50	1603000057
	1121.14	0.00	31024.50	
BERKSHIRE HATHAWAY INC CL B - 084670702 - MINOR = 453				
03/09/16 SOLD 20 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 137.5501 TR TRAN#=01603090000863 TR CD=151 REG=5053 PORT=PRIN	-178.29		2750.54	1603000015
	-178.29	0.00	2750.54	
BLACKROCK INC - 09247X101 - MINOR = 452				
03/09/16 SOLD 15 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 322.6901 TR TRAN#=01603090000866 TR CD=151 REG=5053 PORT=PRIN	-568.76		4839.94	1603000016
	-568.76	0.00	4839.94	
BOEING COMPANY - 097023105				
03/09/16 SOLD 166 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 121.835 TR TRAN#=01603090000869 TR CD=151 REG=5053 PORT=PRIN	-1216.88		6090.62	1603000017
	-1216.88	0.00	6090.62	
CROWN CASTLE INTL CORP - 22822V101				
03/09/16 SOLD 40 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 87.376 TR TRAN#=01603090000981 TR CD=151 REG=5053 PORT=PRIN	89.39		3494.16	1603000024
10/11/16 SOLD 6 SHS 10/05/16 TO CONVERGEX @ 89.1101 TR TRAN#=01610110006657 TR CD=151 REG=5053 PORT=PRIN	23.33		534.05	1610000013
	112.72	0.00	4028.21	
DANAHER CORP - 235851102 - MINOR = 456				
05/25/16 SOLD 19 SHS 05/20/16 TO CONVERGEX @ 98.7401 TR TRAN#=01605250000866 TR CD=151 REG=5053 PORT=PRIN	110.40		1874.50	1605000010
10/11/16 SOLD 95 SHS 10/05/16 TO CONVERGEX @ 77.7213 TR TRAN#=01610110006673 TR CD=151 REG=5053 PORT=PRIN	251.80		2563.10	1610000014
	362.20	0.00	4437.60	
DEUTSCHE CROCI INTERNATIONAL FUND - 25156G731				
03/07/16 SOLD 200.222 SHS 03/04/16 @ 40.4 TR TRAN#=01603070001727 TR CD=151 REG=8167 PORT=PRIN	-2108.34		8088.97	1603000002

SALES EXIST AFTER RETURN OF CAPITAL

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
DEUTSCHE CROCI INTERNATIONAL FUND - 25156G731 (CONTINUED)				
12/22/16 SOLD 922.780 SHS 12/21/16	88.26		1576.71	1612000003
@ 42.33				
TR TRAN#=01612220001637 TR CD=151 REG=8167 PORT=PRIN				
	-2020.08	0.00	9665.68	
DISNEY WALT CO - 254687106 - MINOR = 431				
03/09/16 SOLD 20 SHS 03/04/16	-180.05		1964.35	1603000026
TO SUNGUARD BROKERAGE FOX RIVER				
@ 98.2401				
TR TRAN#=01603090000994 TR CD=151 REG=5053 PORT=PRIN				
	-180.05	0.00	1964.35	
DRIEHAUS EMERGING MKTS GROWTH FD #3 - 262028301				
03/07/16 SOLD 289.559 SHS 03/04/16	-222.37		999.75	1603000003
@ 26.21				
TR TRAN#=01603070001763 TR CD=151 REG=8167 PORT=PRIN				
	-222.37	0.00	999.75	
EDWARDS LIFESCIENCES CORP - 28176E108 - MINOR = 457				
10/11/16 SOLD 6 SHS 10/05/16	195.24		719.38	1610000016
TO CONVERGEX				
@ 120				
TR TRAN#=01610110006693 TR CD=151 REG=5053 PORT=PRIN				
	195.24	0.00	719.38	
EQUIFAX INC - 294429105 - MINOR = 414				
05/25/16 SOLD 13 SHS 05/20/16	181.09		1593.68	1605000012
TO CONVERGEX				
@ 122.6738				
TR TRAN#=01605250000900 TR CD=151 REG=5053 PORT=PRIN				
	181.09	0.00	1593.68	
GENERAL ELEC CO - 369604103 - MINOR = 411				
03/09/16 SOLD 110 SHS 03/04/16	454.56		3349.58	1603000028
TO SUNGUARD BROKERAGE FOX RIVER				
@ 30.4714				
TR TRAN#=01603090001138 TR CD=151 REG=5053 PORT=PRIN				
05/25/16 SOLD 24 SHS 05/20/16	74.96		706.60	1605000013
TO MORGAN STANLEY				
@ 29.5223				
TR TRAN#=01605250000922 TR CD=151 REG=5053 PORT=PRIN				
	529.52	0.00	4056.18	
GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 452				
05/25/16 SOLD 9 SHS 05/20/16	-549.26		1386.34	1605000014
TO CONVERGEX				
@ 154.121				
TR TRAN#=01605250000932 TR CD=151 REG=5053 PORT=PRIN				
	-549.26	0.00	1386.34	
HOME DEPOT - 437076102 - MINOR = 436				
05/25/16 SOLD 7 SHS 05/20/16	40.99		922.37	1605000015
TO CONVERGEX				
@ 131.85				
TR TRAN#=01605250000962 TR CD=151 REG=5053 PORT=PRIN				
	40.99	0.00	922.37	
ISHARES NASDAQ BIOTECHNOLOGY ETF - 464287556				
03/09/16 SOLD 80 SHS 03/04/16	-6927.99		21310.27	1603000030
TO SUNGUARD BROKERAGE FOX RIVER				
@ 266.4042				
TR TRAN#=01603090001191 TR CD=151 REG=5053 PORT=PRIN				
	-6927.99	0.00	21310.27	
JANUS ENTERPRISE CL I FD #102 - 47103C795				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
JANUS ENTERPRISE CL I FD #102 - 47103C795 (CONTINUED)				
10/07/16 SOLD 84.245 SHS 10/06/16 @ 96.44 TR TRAN#=01610070001468 TR CD=151 REG=8167 PORT=PRIN	594.75		8124.56	1610000004
	594.75	0.00	8124.56	
LENNOX INTL INC - 526107107 - MINOR = 408				
10/11/16 SOLD 5 SHS 10/05/16 TO CONVERGEX @ 159.242 TR TRAN#=01610110006734 TR CD=151 REG=5053 PORT=PRIN	128.25		795.69	1610000017
	128.25	0.00	795.69	
LILLY ELI & CO - 532457108 - MINOR = 461				
10/11/16 SOLD 19 SHS 10/05/16 TO CONVERGEX @ 81.63 TR TRAN#=01610110006738 TR CD=151 REG=5053 PORT=PRIN	136.03		1549.03	1610000018
	136.03	0.00	1549.03	
MCKESSON HBOC INC COM - 58155Q103				
03/09/16 SOLD 15 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 163.89 TR TRAN#=01603090001253 TR CD=151 REG=5053 PORT=PRIN	-976.34		2458.00	1603000032
	-976.34	0.00	2458.00	
BOSTON PARTNERS ALL-CAP VALUE INSTL FD #7007 - 749255139				
10/07/16 SOLD 61 504 SHS 10/06/16 @ 23.22 TR TRAN#=01610070001673 TR CD=151 REG=8167 PORT=PRIN	114.99		1428.10	1610000006
	114.99	0.00	1428.10	
SELECT SECTOR SPDR CONSUMER STAPLES - 81369Y308 - MINOR = 437				
10/11/16 SOLD 16 SHS 10/05/16 TO CONVERGEX @ 52.332 TR TRAN#=01610110006827 TR CD=151 REG=5053 PORT=PRIN	0.06		261.15	1610000022
	0.06	0.00	261.15	
SELECT SECTOR SPDR CONSUMER DISCRETIONARY - 81369Y407 - MINOR = 423				
05/25/16 SOLD 29 SHS 05/20/16 TO CONVERGEX @ 77.6227 TR TRAN#=01605250001120 TR CD=151 REG=5053 PORT=PRIN	-39.38		2248.69	1605000023
10/11/16 SOLD 33 SHS 10/05/16 TO CONVERGEX @ 80.11 TR TRAN#=01610110006832 TR CD=151 REG=5053 PORT=PRIN	37.26		2640.93	1610000023
	-2.12	0.00	4889.62	
SELECT SECTOR SPDR FINL - 81369Y605				
03/09/16 SOLD 110 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 22.326 TR TRAN#=01603090001390 TR CD=151 REG=5053 PORT=PRIN	-317.30		2453.60	1603000042
05/25/16 SOLD 25 SHS 05/20/16 TO CONVERGEX @ 23.1613 TR TRAN#=01605250001131 TR CD=151 REG=5053 PORT=PRIN	-52.73		577.02	1605000024
	-370.03	0.00	3030.62	
SELECT SECTOR SPDR INDUSTRIAL ETF - 81369Y704 - MINOR = 406				
03/09/16 SOLD 80 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 53.9901 TR TRAN#=01603090001391 TR CD=151 REG=5053 PORT=PRIN	359.91		4317.51	1603000043

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
SELECT SECTOR SPDR INDUSTRIAL ETF - 81369Y704 - MINOR = 406 (CONTINUED)				
10/11/16 SOLD 56 SHS 10/05/16 TO CONVERGEX @ 58.3301 TR TRAN#=01610110006841 TR CD=151 REG=5053 PORT=PRIN	295.61		3261.93	1610000025
	655.52	0.00	7579.44	
REAL ESTATE SELECT SECTOR SPDR FD ETF - 81369Y860				
10/11/16 SOLD 43 SHS 10/05/16 TR TRAN#=01701060005104 TR CD=151 REG=5053 PORT=PRIN	-41.05		1332.13	1610000037
11/03/16 SOLD .692 SHS 10/31/16 TO CONVERGEX @ 30.8905 TR TRAN#=01701060004943 TR CD=151 REG=5053 PORT=PRIN	-0.79	21.31 SALES EXIST AFTER WASH SALE		1611000003
	-41.84	0.00	1353.44	
SELECT SECTOR SPDR TR UTILS - 81369Y886 - MINOR = 50				
05/25/16 SOLD 26 SHS 05/20/16 TO CONVERGEX @ 48.1501 TR TRAN#=01605250001147 TR CD=151 REG=5053 PORT=PRIN	24.97		1201.72	1605000025
	24.97	0.00	1201.72	
STARBUCKS CORP - 855244109				
03/09/16 SOLD 45 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 58.656 TR TRAN#=01603090001404 TR CD=151 REG=5053 PORT=PRIN	-9.43		2638.57	1603000044
	-9.43	0.00	2638.57	
STRYKER CORP - 863667101 - MINOR = 457				
03/09/16 SOLD 25 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 103.215 TR TRAN#=01603090001408 TR CD=151 REG=5053 PORT=PRIN	105.31		2579.82	1603000045
05/25/16 SOLD 7 SHS 05/20/16 TO CONVERGEX @ 110.1801 TR TRAN#=01605250001166 TR CD=151 REG=5053 PORT=PRIN	77.82		770.68	1605000026
	183.13	0.00	3350.50	
TWENTY-FIRST CENTURY FOX INC - 90130A101 - MINOR = 431				
03/09/16 SOLD 105 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 27.756 TR TRAN#=01603090001445 TR CD=151 REG=5053 PORT=PRIN	-550.88		2912.22	1603000048
05/25/16 SOLD 25 SHS 05/20/16 TO STIFEL NICOLAUS AND CO INC @ 28.1614 TR TRAN#=01605250001202 TR CD=151 REG=5053 PORT=PRIN	-122.53		702.02	1605000029
	-673.41	0.00	3614.24	
UNION PACIFIC CORP - 907818108				
01/19/16 SOLD 212 SHS 01/13/16 TO MORGAN STANLEY @ 73.5812 TR TRAN#=01601190000902 TR CD=151 REG=5053 PORT=PRIN	-837.71		1838.25	1601000002
	-837.71	0.00	1838.25	
VICTORY SYCAMORE ESTABLISHED VALUE CL I FD #203 - 92646A831 - MINOR = 492				
10/07/16 SOLD 336.743 SHS 10/06/16 @ 34.8 TR TRAN#=01610070001909 TR CD=151 REG=8167 PORT=PRIN	818.28		11718.65	1610000007
	818.28	0.00	11718.65	
ALLERGAN PLC - G0177J108				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
ALLERGAN PLC - G0177J108 (CONTINUED)				
03/09/16 SOLD 10 SHS 03/04/16	-191.42		2874.83	1603000011
TO SUNGUARD BROKERAGE FOX RIVER				
@ 287.5101				
TR TRAN#=01603090000819 TR CD=151 REG=5053 PORT=PRIN				
	-191.42	0.00	2874.83	
MEDTRONIC PLC - G5960L103				
05/25/16 SOLD 15 SHS 05/20/16	71.46		1206.42	1605000003
TO CONVERGEX				
@ 80.5101				
TR TRAN#=01605250000678 TR CD=151 REG=5053 PORT=PRIN				
	71.46	0.00	1206.42	
TOTAL REPORTABLE FOR CODE 45	-9707.54	0.00	169623.77	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
ABBOTT LABS - 002824100 (CONTINUED)				
03/09/16 SOLD 477 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 39.206 TR TRAN#=01603090000836 TR CD=151 REG=5053 PORT=PRIN	5285.30		12069.02	1603000012
	5285.30	0.00	12069.02	
APPLE COMPUTER INC - 037833100				
03/09/16 SOLD 95 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 103.396 TR TRAN#=01603090000855 TR CD=151 REG=5053 PORT=PRIN	4919.58		9820.51	1603000014
05/25/16 SOLD 175 SHS 05/20/16 TO INTERNATIONAL STRATEGY AND INVE @ 95.0305 TR TRAN#=01605250000732 TR CD=151 REG=5053 PORT=PRIN	7593.19		16621.22	1605000004
	12512.77	0.00	26441.73	
BERKSHIRE HATHAWAY INC CL B - 084670702 - MINOR = 453				
05/25/16 SOLD 13 SHS 05/20/16 TO CONVERGEX @ 141.5398 TR TRAN#=01605250000758 TR CD=151 REG=5053 PORT=PRIN	-64.80		1838.94	1605000005
	-64.80	0.00	1838.94	
BLACKROCK INC - 09247X101 - MINOR = 452				
10/11/16 SOLD 6 SHS 10/05/16 TO CONVERGEX @ 358.49 TR TRAN#=01610110006594 TR CD=151 REG=5053 PORT=PRIN	-13.19		2150.29	1610000008
	-13.19	0.00	2150.29	
BOEING COMPANY - 097023105				
03/09/16 SOLD 166 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 121.835 TR TRAN#=01603090000869 TR CD=151 REG=5053 PORT=PRIN	-1218.57		14130.23	1603000017
	-1218.57	0.00	14130.23	
CVS CORP - 126650100 - MINOR = 438				
03/09/16 SOLD 65 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 99.4332 TR TRAN#=01603090000880 TR CD=151 REG=5053 PORT=PRIN	1239.51		6461.71	1603000018
10/11/16 SOLD 15 SHS 10/05/16 TO CONVERGEX @ 86.18 TR TRAN#=01610110006604 TR CD=151 REG=5053 PORT=PRIN	86.05		1291.17	1610000009
	1325.56	0.00	7752.88	
CHEVRONTXACO CORP - 166764100				
03/09/16 SOLD 125 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 88.496 TR TRAN#=01603090000909 TR CD=151 REG=5053 PORT=PRIN	-2915.18		11059.25	1603000019
05/25/16 SOLD 10 SHS 05/20/16 TO CONVERGEX @ 99.6701 TR TRAN#=01605250000801 TR CD=151 REG=5053 PORT=PRIN	-67.04		995.88	1605000006
10/11/16 SOLD 23 SHS 10/05/16 TO CONVERGEX @ 102.4202 TR TRAN#=01610110006626 TR CD=151 REG=5053 PORT=PRIN	-34.84		2353.77	1610000010
	-3017.06	0.00	14408.90	
CHURCH & DWIGHT INC - 171340102 - MINOR = 442				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
CHURCH & DWIGHT INC - 171340102 - MINOR = 442 (CONTINUED)				
03/09/16 SOLD 20 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 91.6001 TR TRAN#=01603090000921 TR CD=151 REG=5053 PORT=PRIN	896.56		1831.56	1603000020
10/11/16 SOLD 9 SHS 10/05/16 TO CONVERGEX @ 46.25 TR TRAN#=01610110006638 TR CD=151 REG=5053 PORT=PRIN	240.59		415.34	1610000011
	1137.15	0.00	2246.90	
CITIGROUP INC - 172967424				
03/09/16 SOLD 30 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 43.3501 TR TRAN#=01603090000925 TR CD=151 REG=5053 PORT=PRIN	344.68		1299.88	1603000021
05/25/16 SOLD 9 SHS 05/20/16 TO CONVERGEX @ 44.8605 TR TRAN#=01605250000817 TR CD=151 REG=5053 PORT=PRIN	116.46		403.02	1605000007
10/11/16 SOLD 93 SHS 10/05/16 TO CONVERGEX @ 48.7201 TR TRAN#=01610110006645 TR CD=151 REG=5053 PORT=PRIN	1690.15		4523.43	1610000012
	2151.29	0.00	6226.33	
CONOCOPHILLIPS - 20825C104				
03/09/16 SOLD 70 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 41.456 TR TRAN#=01603090000960 TR CD=151 REG=5053 PORT=PRIN	-1922.07		2900.46	1603000022
05/25/16 SOLD 245 SHS 05/20/16 TO STIFEL NICOLAUS AND CO INC @ 43.4401 TR TRAN#=01605250000838 TR CD=151 REG=5053 PORT=PRIN	-6248.50		10630.34	1605000008
	-8170.57	0.00	13530.80	
COSTCO WHSL CORP NEW - 22160K105 - MINOR = 438				
03/09/16 SOLD 40 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 150.93 TR TRAN#=01603090000978 TR CD=151 REG=5053 PORT=PRIN	1282.04		6036.27	1603000023
05/25/16 SOLD 5 SHS 05/20/16 TO CONVERGEX @ 142.5201 TR TRAN#=01605250000846 TR CD=151 REG=5053 PORT=PRIN	352.18		712.18	1605000009
	1634.22	0.00	6748.45	
DANAHER CORP - 235851102 - MINOR = 456				
03/09/16 SOLD 75 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 90.5112 TR TRAN#=01603090000991 TR CD=151 REG=5053 PORT=PRIN	3687.45		6786.69	1603000025
10/11/16 SOLD 95 SHS 10/05/16 TO CONVERGEX @ 77.7213 TR TRAN#=01610110006673 TR CD=151 REG=5053 PORT=PRIN	2882.84		4815.51	1610000014
	6570.29	0.00	11602.20	
DEUTSCHE CROCI INTERNATIONAL FUND - 25156G731				
10/07/16 SOLD 24.875 SHS 10/06/16 @ 40.88 TR TRAN#=01610070001259 TR CD=151 REG=8167 PORT=PRIN	-249.99		1016.89	1610000001
12/22/16 SOLD 922.780 SHS 12/21/16 @ 42.33 TR TRAN#=01612220001637 TR CD=151 REG=8167 PORT=PRIN	-7615.59		37484.57	1612000003
	-7865.58	0.00	38501.46	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
DISNEY WALT CO - 254687106 - MINOR = 431				
10/11/16 SOLD 6 SHS 10/05/16 TO CONVERGEX @ 92.46 TR TRAN#=01610110006687 TR CD=151 REG=5053 PORT=PRIN	-89.17		554.15	1610000015
	-89.17	0.00	554.15	
DRIEHAUS EMERGING MKTS GROWTH FD #3 - 262028301				
03/07/16 SOLD 289 559 SHS 03/04/16 @ 26.21 TR TRAN#=01603070001763 TR CD=151 REG=8167 PORT=PRIN	-1063.48		6589.59	1603000003
10/07/16 SOLD 39.604 SHS 10/06/16 @ 30.6 TR TRAN#=01610070001299 TR CD=151 REG=8167 PORT=PRIN	6.33		1211.88	1610000002
	-1057.15	0.00	7801.47	
EAGLE SMALL CAP GROWTH CL I FD #3933 - 269858304				
03/07/16 SOLD 70.622 SHS 03/04/16 @ 47.88 TR TRAN#=01603070001800 TR CD=151 REG=8167 PORT=PRIN	455.51		3381.38	1603000004
	455.51	0.00	3381.38	
EBAY INC - 278642103 - MINOR = 464				
03/09/16 SOLD 70 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 24.5808 TR TRAN#=01603090000998 TR CD=151 REG=5053 PORT=PRIN	196.85		1719.22	1603000027
05/25/16 SOLD 200 SHS 05/20/16 TO STIFEL NICOLAUS AND CO INC @ 23.65 TR TRAN#=01605250000882 TR CD=151 REG=5053 PORT=PRIN	366.39		4713.90	1605000011
	563.24	0.00	6433.12	
FED HOME LN BK 2.125% 06/10/2016 - 313373SZ6 - MINOR = 050				
02/29/16 SOLD 50000 02/26/16 TO MISC BROKER @ 100.439 TR TRAN#=01602290001126 TR CD=151 REG=5649 PORT=PRIN	-976.39		50219.50	1602000002
	-976.39	0.00	50219.50	
FED FARM CR BK 2.94% 08/01/2029 - 3133EAC71 - MINOR = 050				
07/01/16 FULL CALL @ 100 ON 07/01/2016 15,000 PAR VALUE TR TRAN#=01606300005676 TR CD=155 REG=5649 PORT=PRIN	324.66		15000.00	1607000001
	324.66	0.00	15000.00	
FED FARM CR BK 3.125% 11/02/2034 - 3133EAP29 - MINOR = 050				
07/01/16 FULL CALL @ 100 ON 07/01/2016 15,000 PAR VALUE TR TRAN#=01606300005731 TR CD=155 REG=5649 PORT=PRIN	1169.31		15000.00	1607000002
	1169.31	0.00	15000.00	
FED FARM CR BK 2.68% 10/23/2025 - 3133EAYW2 - MINOR = 050				
07/01/16 FULL CALL @ 100 ON 07/01/2016 25,000 PAR VALUE TR TRAN#=01606300005787 TR CD=155 REG=5649 PORT=PRIN	1541.01		25000.00	1607000003
	1541.01	0.00	25000.00	
FEDERATED CLOVER SMALL VALUE CL IS FD #659 - 314172263				
03/07/16 SOLD 25.999 SHS 03/04/16 @ 21.93 TR TRAN#=01603070001835 TR CD=151 REG=8167 PORT=PRIN	30.16		570.16	1603000005
	30.16	0.00	570.16	
GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 452				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 452 (CONTINUED)				
03/09/16 SOLD 25 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 158.0301 TR TRAN#=01603090001150 TR CD=151 REG=5053 PORT=PRIN	1099.32		3950.16	1603000029
	1099.32	0.00	3950.16	
GOLDMAN SACHS MID CAP VALUE INSTL FD #864 - 38141W398 - MINOR = 62				
03/07/16 SOLD 213.607 SHS 03/04/16 @ 31.79 TR TRAN#=01603070001913 TR CD=151 REG=8167 PORT=PRIN	1268.83		6790.57	1603000006
05/23/16 SOLD 1956.664 SHS 05/20/16 @ 33.56 TR TRAN#=01605230001469 TR CD=151 REG=8167 PORT=PRIN	15085.88		65665.64	1605000001
	16354.71	0.00	72456.21	
GOLDMAN SACHS GROWTH OPPORTUNITIES CL I FD #1132 - 38142Y401				
03/07/16 SOLD 243.218 SHS 03/04/16 @ 22.39 TR TRAN#=01603070001952 TR CD=151 REG=8167 PORT=PRIN	189.71		5445.65	1603000007
05/23/16 SOLD 1933.111 SHS 05/20/16 @ 23.44 TR TRAN#=01605230001489 TR CD=151 REG=8167 PORT=PRIN	3537.58		45312.12	1605000002
	3727.29	0.00	50757.77	
GOLDMAN SACHS GROUP INC 3.625% 02/07/2016 - 38143USC6 - MINOR = 220				
02/08/16 RECD PROCEEDS ON MATURITY OF 25,000 PAR VALUE TR TRAN#=01602025000031 TR CD=155 REG=5053 PORT=PRIN	-13.53		25000.00	1602000001
	-13.53	0.00	25000.00	
OAKMARK INTL FD #109 - 413838202				
03/07/16 SOLD 319.168 SHS 03/04/16 @ 20.5 TR TRAN#=01603070001995 TR CD=151 REG=8167 PORT=PRIN	-1439.45		6542.94	1603000008
10/07/16 SOLD 46.036 SHS 10/06/16 @ 21.89 TR TRAN#=01610070001423 TR CD=151 REG=8167 PORT=PRIN	-143.64		1007.72	1610000003
	-1583.09	0.00	7550.66	
HONEYWELL INTL INC 5% 02/15/2019 - 438516AZ9 - MINOR = 210				
03/14/16 SOLD 50000 03/09/16 TO WELLS FARGO SECURITIES @ 109.86 TR TRAN#=01603140000871 TR CD=151 REG=5053 PORT=PRIN	188.46		54930.00	1603000056
	188.46	0.00	54930.00	
JP MORGAN CHASE & CO - 46625H100 - MINOR = 450				
03/09/16 SOLD 45 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 60.296 TR TRAN#=01603090001199 TR CD=151 REG=5053 PORT=PRIN	1013.01		2712.36	1603000031
	1013.01	0.00	2712.36	
MCDONALDS CORP - 580135101				
01/19/16 SOLD 94 SHS 01/13/16 TO MORGAN STANLEY @ 116.8952 TR TRAN#=01601190000785 TR CD=151 REG=5053 PORT=PRIN	3221.07		10983.25	1601000001
	3221.07	0.00	10983.25	
MCKESSON HBOC INC COM - 58155Q103				
05/25/16 SOLD 50 SHS 05/20/16 TO CONVERGEX @ 182.1604 TR TRAN#=01605250001010 TR CD=151 REG=5053 PORT=PRIN	-2344.00		9103.82	1605000016

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
MCKESSON HBOC INC COM - 58155Q103 (CONTINUED)				
	-2344 00	0.00	9103.82	
METLIFE INC - 59156R108				
03/09/16 SOLD 75 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 42.336 TR TRAN#=01603090001256 TR CD=151 REG=5053 PORT=PRIN	459.21		3173.63	1603000033
05/25/16 SOLD 24 SHS 05/20/16 TO CONVERGEX @ 44.5202 TR TRAN#=01605250001018 TR CD=151 REG=5053 PORT=PRIN	199.86		1066.54	1605000017
10/11/16 SOLD 242 SHS 10/05/16 TO CONVERGEX @ 46.0805 TR TRAN#=01610110006753 TR CD=151 REG=5053 PORT=PRIN	2682.81		11139.14	1610000019
	3341 88	0.00	15379.31	
NOBLE ENERGY INC - 655044105				
03/09/16 SOLD 199 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 32.8212 TR TRAN#=01603090001277 TR CD=151 REG=5053 PORT=PRIN	-1749.41		6527.29	1603000034
	-1749.41	0.00	6527.29	
OPPENHEIMER INTL GROWTH CL I FD #1961 - 68380L605				
03/07/16 SOLD 376.774 SHS 03/04/16 @ 35.26 TR TRAN#=01603070002071 TR CD=151 REG=8167 PORT=PRIN	-248.67		13285.05	1603000009
10/07/16 SOLD 29.498 SHS 10/06/16 @ 37.02 TR TRAN#=01610070001598 TR CD=151 REG=8167 PORT=PRIN	32.43		1092 00	1610000005
	-216.24	0.00	14377.05	
ORACLE CORPORATION - 68389X105 - MINOR = 466				
03/09/16 SOLD 95 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 37.986 TR TRAN#=01603090001302 TR CD=151 REG=5053 PORT=PRIN	1074.69		3606.69	1603000035
05/25/16 SOLD 26 SHS 05/20/16 TO CONVERGEX @ 39.3505 TR TRAN#=01605250001037 TR CD=151 REG=5053 PORT=PRIN	328.04		1021.01	1605000018
	1402.73	0.00	4627.70	
DUKE ENERGY IN INC 6.05% 06/15/2016 - 693627AZ4 - MINOR = 200				
03/02/16 SOLD 20000 02/26/16 TO BOND DESK TRADING @ 101.264 TR TRAN#=01603020001031 TR CD=151 REG=5053 PORT=PRIN	-1410.45		20252 80	1603000001
	-1410.45	0.00	20252 80	
PAYPAL HLDGS INC - 70450Y103 - MINOR = 463				
03/09/16 SOLD 70 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 39.136 TR TRAN#=01603090001308 TR CD=151 REG=5053 PORT=PRIN	495.14		2738.06	1603000036
05/25/16 SOLD 8 SHS 05/20/16 TO CONVERGEX @ 37.5601 TR TRAN#=01605250001048 TR CD=151 REG=5053 PORT=PRIN	43.50		299.83	1605000019
	538.64	0.00	3037.89	
PFIZER INC - 717081103 - MINOR = 461				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
PFIZER INC - 717081103 - MINOR = 461 (CONTINUED)				
03/09/16 SOLD 315 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 29.756 TR TRAN#=01603090001311 TR CD=151 REG=5053 PORT=PRIN	855.86		9366.63	1603000037
05/25/16 SOLD 29 SHS 05/20/16 TO MORGAN STANLEY @ 33.84 TR TRAN#=01605250001061 TR CD=151 REG=5053 PORT=PRIN	227.04		979.02	1605000020
10/11/16 SOLD 5 SHS 10/05/16 TO CONVERGEX @ 33.8211 TR TRAN#=01610110006803 TR CD=151 REG=5053 PORT=PRIN	38.95		168.60	1610000020
	1121.85	0.00	10514.25	
QUALCOMM INC - 747525103 - MINOR = 467				
03/09/16 SOLD 75 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 52.736 TR TRAN#=01603090001350 TR CD=151 REG=5053 PORT=PRIN	361.71		3953.61	1603000038
05/25/16 SOLD 21 SHS 05/20/16 TO CONVERGEX @ 54.4107 TR TRAN#=01605250001084 TR CD=151 REG=5053 PORT=PRIN	135.19		1140.92	1605000021
	496.90	0.00	5094.53	
BOSTON PARTNERS ALL-CAP VALUE INSTL FD #7007 - 749255139				
03/07/16 SOLD 612.706 SHS 03/04/16 @ 20.39 TR TRAN#=01603070002121 TR CD=151 REG=8167 PORT=PRIN	336.99		12493.08	1603000010
	336.99	0.00	12493.08	
SELECT SECTOR SPDR MATERIALS ETF - 81369Y100				
03/09/16 SOLD 70 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 43.686 TR TRAN#=01603090001378 TR CD=151 REG=5053 PORT=PRIN	-474.25		3056.55	1603000039
05/25/16 SOLD 14 SHS 05/20/16 TO CONVERGEX @ 46.2005 TR TRAN#=01605250001100 TR CD=151 REG=5053 PORT=PRIN	-74.25		645.39	1605000022
10/11/16 SOLD 196 SHS 10/05/16 TO CONVERGEX @ 47.3805 TR TRAN#=01610110006821 TR CD=151 REG=5053 PORT=PRIN	-659.86		9270.69	1610000021
	-1208.36	0.00	12972.63	
SELECT SECTOR SPDR CONSUMER STAPLES - 81369Y308 - MINOR = 437				
03/09/16 SOLD 60 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 52.046 TR TRAN#=01603090001382 TR CD=151 REG=5053 PORT=PRIN	1085.09		3121.49	1603000040
10/11/16 SOLD 16 SHS 10/05/16 TO CONVERGEX @ 52.332 TR TRAN#=01610110006827 TR CD=151 REG=5053 PORT=PRIN	201.20		574.54	1610000022
	1286.29	0.00	3696.03	
SELECT SECTOR SPDR CONSUMER DISCRETIONARY - 81369Y407 - MINOR = 423				
03/09/16 SOLD 125 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 76.8101 TR TRAN#=01603090001386 TR CD=151 REG=5053 PORT=PRIN	1164.80		9598.55	1603000041
	1164.80	0.00	9598.55	
SELECT SECTOR SPDR FINL - 81369Y605				

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
SELECT SECTOR SPDR FINL - 81369Y605 (CONTINUED)				
10/11/16 SOLD 314 SHS 10/05/16 TO CONVERGEX @ 19.6019 TR TRAN#=01610260003850 TR CD=151 REG=5053 PORT=PRIN	-737.99		6139.16	1610000033
	-737.99	0.00	6139.16	
SELECT SECTOR SPDR TR UTILS - 81369Y886 - MINOR = 50				
05/25/16 SOLD 26 SHS 05/20/16 TO CONVERGEX @ 48.1501 TR TRAN#=01605250001147 TR CD=151 REG=5053 PORT=PRIN	4.23		48.07	1605000025
10/11/16 SOLD 5 SHS 10/05/16 TO CONVERGEX @ 47.2112 TR TRAN#=01610110006850 TR CD=151 REG=5053 PORT=PRIN	16.35		235.55	1610000027
12/05/16 SOLD 159 SHS 11/30/16 TO STIPEL NICOLAUS AND CO INC @ 46.9516 TR TRAN#=01612050000857 TR CD=151 REG=5053 PORT=PRIN	830.74		7457.19	1612000001
	851.32	0.00	7740.81	
SYNCHRONY BK, DRAPER UT CTF DEP 0 8% 12/20/2016 - 87165FAY0 - MINOR = 235				
12/20/16 RECD PROCEEDS ON MATURITY OF 30,000 PAR VALUE TR TRAN#=01612155000013 TR CD=155 REG=5053 PORT=PRIN			30000.00	1612000002
	0.00	0.00	30000.00	
TJX CO INC - 872540109 - MINOR = 436				
03/09/16 SOLD 145 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 75.3212 TR TRAN#=01603090001419 TR CD=151 REG=5053 PORT=PRIN	2216.01		10918.43	1603000046
05/25/16 SOLD 22 SHS 05/20/16 TO CONVERGEX @ 75.3901 TR TRAN#=01605250001176 TR CD=151 REG=5053 PORT=PRIN	922.81		1656.79	1605000027
	3138.82	0.00	12575.22	
THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 50				
03/09/16 SOLD 85 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 140.1051 TR TRAN#=01603090001438 TR CD=151 REG=5053 PORT=PRIN	7647.71		11906.97	1603000047
05/25/16 SOLD 6 SHS 05/20/16 TO CONVERGEX @ 148.7501 TR TRAN#=01605250001189 TR CD=151 REG=5053 PORT=PRIN	591.35		892.00	1605000028
10/11/16 SOLD 27 SHS 10/05/16 TO CONVERGEX @ 158.161 TR TRAN#=01610110006894 TR CD=151 REG=5053 PORT=PRIN	2915.15		4268.09	1610000028
	11154.21	0.00	17067.06	
UNION PACIFIC CORP - 907818108				
01/19/16 SOLD 212 SHS 01/13/16 TO MORGAN STANLEY @ 73.5812 TR TRAN#=01601190000902 TR CD=151 REG=5053 PORT=PRIN	-2409.81		13750.08	1601000002
	-2409.81	0.00	13750.08	
US TREASURY N/B 0.75% 12/31/2017 - 912828UE8 - MINOR = 030				
03/11/16 SOLD 20000 03/10/16 TO MISC BROKER @ 99.667969 TR TRAN#=01603110007211 TR CD=151 REG=5649 PORT=PRIN	23.28		19933.59	1603000054
	23.28	0.00	19933.59	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)				
REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING (CONTINUED)				
VANGUARD VALUE ETF - 922908744 - MINOR = 493				
03/09/16 SOLD 70 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 80.996 TR TRAN#-01603090001457 TR CD=151 REG=5053 PORT=PRIN	770.83		5668.19	1603000049
05/25/16 SOLD 14 SHS 05/20/16 TO CONVERGEX @ 82.8015 TR TRAN#-01605250001223 TR CD=151 REG=5053 PORT=PRIN	178.33		1157.80	1605000030
	949.16	0.00	6825.99	
VISA INC CL A - 92826C839 - MINOR = 465				
03/09/16 SOLD 165 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 73.976 TR TRAN#-01603090001468 TR CD=151 REG=5053 PORT=PRIN	8992.44		12202.47	1603000050
05/25/16 SOLD 19 SHS 05/20/16 TO CONVERGEX @ 77.5805 TR TRAN#-01605250001231 TR CD=151 REG=5053 PORT=PRIN	1102.84		1472.48	1605000031
	10095.28	0.00	13674.95	
VMWARE INC CL A - 928563402 - MINOR = 465				
03/09/16 SOLD 50 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 50.0411 TR TRAN#-01603090001472 TR CD=151 REG=5053 PORT=PRIN	-1857.75		2501.00	1603000051
05/25/16 SOLD 140 SHS 05/20/16 TO CONVERGEX @ 59.5401 TR TRAN#-01605250001239 TR CD=151 REG=5053 PORT=PRIN	-3880.28		8324.23	1605000032
	-5738.03	0.00	10825.23	
VOYA GNMA INCOME CL I FD #2159 - 92913L734 - MINOR = 495				
03/11/16 SOLD 1740.139 SHS 03/10/16 @ 8.6 TR TRAN#-01603110008818 TR CD=151 REG=8167 PORT=PRIN	-118.16		14965.20	1603000055
	-118.16	0.00	14965.20	
WALMART STORES INC - 931142103				
03/09/16 SOLD 45 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 66.576 TR TRAN#-01603090001475 TR CD=151 REG=5053 PORT=PRIN	782.77		2994.95	1603000052
05/25/16 SOLD 120 SHS 05/20/16 TO MORGAN STANLEY @ 69.9349 TR TRAN#-01605250001254 TR CD=151 REG=5053 PORT=PRIN	2486.84		8386.00	1605000033
	3269.61	0.00	11380.95	
WELLS FARGO & CO NEW - 949746101				
03/09/16 SOLD 125 SHS 03/04/16 TO SUNGUARD BROKERAGE FOX RIVER @ 50.356 TR TRAN#-01603090001485 TR CD=151 REG=5053 PORT=PRIN	3345.70		6291.86	1603000053
05/25/16 SOLD 31 SHS 05/20/16 TO STIFEL NICOLAUS AND CO INC @ 48.6891 TR TRAN#-01605250001263 TR CD=151 REG=5053 PORT=PRIN	776.20		1506.85	1605000034
10/11/16 SOLD 382 SHS 10/05/16 TO CONVERGEX @ 45.041 TR TRAN#-01610110006935 TR CD=151 REG=5053 PORT=PRIN	8182.71		17186.19	1610000029
	12304.61	0.00	24984.90	
TOTAL REPORTABLE FOR CODE 509	71779.15	0.00	827486.39	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
509 LONG-TERM GAIN/LOSS - CURRENT SALES (CONTINUED) NON-REPORTABLE FOR 1099 DIV,INT,OID,B PROCESSING				
SELECT SECTOR SPDR FINL - 81369Y605				
10/11/16 REVERSED ON 10/25/2016 TO ADJUST COST BASIS DUE TO SPIN OFF SOLD 314 SHS 10/05/16 TR TRAN#=01610110006837 TR CD=151 REG=5053 PORT=PRIN		***ADDED STOP*** 11/09/16	6139.16	1610000024
10/11/16 TO REVERSE ENTRY OF 10/11/16 RECORD ENTRY NO 1610110006837 TO ADJUST COST BASIS DUE TO SPIN OFF TR TRAN#=01610250004740 TR CD=151 REG=5053 PORT=PRIN		***ADDED STOP*** 11/09/16	-6139.16	1610000030
10/11/16 REVERSED ON 10/26/2016 REPOSTED PRIOR TO COST BASIS ADJ SOLD 314 SHS 10/05/16 TR TRAN#=01610250005398 TR CD=151 REG=5053 PORT=PRIN		***ADDED STOP*** 11/09/16	6139.16	1610000031
10/11/16 TO REVERSE ENTRY OF 10/11/16 RECORD ENTRY NO 1610250005398 REPOSTED PRIOR TO COST BASIS ADJ TR TRAN#=01610260003205 TR CD=151 REG=5053 PORT=PRIN		***ADDED STOP*** 11/09/16	-6139.16	1610000032
	0.00	0.00	0.00	
TOTAL NON-REPORTABLE FOR CODE 509	0.00	0.00	0.00	
TOTAL CODE 509 - LONG-TERM GAIN/LOSS - CURRENT SALES	71779.15	0.00	827486.39	

The Raymond Foundation, Inc.
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Statement Of Assets

<i>Shares</i>	<i>Description</i>	<i>Market Price</i>	<i>Total Cost</i>	<i>Market Value</i>	<i>% Of Category</i>	<i>Estimated Current Income Yield</i>	<i>Unrealized Gain / Loss</i>
Cash & Equivalents							
Money Markets							
539,314.100	Goldman Sachs Financial Square Treasury Obligation #468	1.000	539,314.10	539,314.10	99.95	2,083 0.4	0.00
	Total Money Markets		539,314.10	539,314.10	99.95	2,083 0.4	0.00
Cash							
	Principal Cash		-276,118.74	-276,118.74	-51.17	0 0.0	0.00
	Income Cash		276,385.73	276,385.73	51.22	0 0.0	0.00
	Total Cash		266.99	266.99	0.05	0 0.0	0.00
	Total Cash & Equivalents		539,581.09	539,581.09 03.04	100.00	2,083 0.4	0.00

Fixed Income

U.S. Governments							
35,000.000	Fed Home Ln Bk 1.875% 11/29/2021	99.426	34,618.05	34,799.10	3.95	656 1.9	181.05
35,000.000	Fed Home Ln Bk 2.375% 09/08/2023	100.019	36,611.61	35,006.65	3.98	831 2.4	-1,604.96
30,000.000	Fed Home Ln Bk 2.81% 08/04/2025	100.300	31,446.60	30,090.00	3.42	843 2.8	-1,356.60
40,000.000	Fed Home Ln Bk 3% 03/18/2020	104.173	41,164.49	41,669.20	4.73	1,200 2.9	504.71
50,000.000	Fed Home Ln Bk 1.7% 11/30/2018	100.803	50,474.03	50,401.50	5.72	850 1.7	-72.53
40,000.000	Fed Home Ln Bk 1.85% 05/22/2019	100.831	40,849.44	40,332.40	4.58	740 1.8	-517.04
40,000.000	Fed Home Ln Bk 2.125% 06/10/2022	100.087	38,199.25	40,034.80	4.55	850 2.1	1,835.55
30,000.000	Fed Nat Mtg Assoc 2.125% 04/24/2026	94.688	30,312.30	28,406.40	3.23	637 2.2	-1,905.90
25,000.000	Fed Nat Mtg Assoc 2.625% 09/06/2024	101.073	24,973.00	25,268.25	2.87	656 2.6	295.25
50,000.000	Fed Home Ln Mtg 1.25% 10/02/2019	99.415	49,810.41	49,707.50	5.65	625 1.3	-102.91

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Statement Of Assets

Shares	Description	Market Price	Total Cost	Market Value	% Of Category	Estimated Income	Current Yield	Unrealized Gain / Loss
25,000.000	US Treasury N/B 2% 02/15/2025	97.348	25,543.95	24,337.00	2.76	500	2.1	-1,206.95
30,000.000	US Treasury N/B 1.75% 03/31/2022	98.686	29,819.53	29,605.80	3.36	525	1.8	-213.73
25,000.000	US Treasury N/B 2.25% 07/31/2018	101.821	25,895.25	25,455.25	2.89	562	2.2	-440.00
	Total U.S. Governments		459,717.91	455,113.85	51.69	9,475	2.1	-4,604.06
Corporate Bonds								
20,000.000	Apple Inc 3.25% 02/23/2026 Callable	100.053	20,559.00	20,010.60	2.27	650	3.2	-548.40
30,000.000	Citigroup Inc 6.125% 05/15/2018	105.501	32,846.03	31,650.30	3.59	1,837	5.8	-1,195.73
25,000.000	Ebay Inc 2.6% 07/15/2022	96.927	24,315.00	24,231.75	2.75	650	2.7	-83.25
15,000.000	Exxon Mobil Corp 2.726% 03/01/2023 Callable	100.340	15,000.00	15,051.00	1.71	408	2.7	51.00
30,000.000	Gen Elec Cap Corp 2.9% 01/09/2017	100.035	30,624.21	30,010.50	3.41	870	2.9	-613.71
40,000.000	JPMorgan Chase & Co 4.625% 05/10/2021	107.673	40,632.18	43,069.20	4.89	1,850	4.3	2,437.02
50,000.000	Natl Rural Util Coop 3% 09/15/2020	100.880	50,000.00	50,440.00	5.73	1,500	3.0	440.00
30,000.000	Virginia Elec & Pwr Co 3.45% 09/01/2022	103.712	31,368.90	31,113.60	3.53	1,035	3.3	-255.30
20,000.000	Zimmer Hldgs Inc 4.625% 11/30/2019	105.764	21,625.89	21,152.80	2.40	925	4.4	-473.09
	Total Corporate Bonds		266,971.21	266,729.75	30.29	9,725	3.6	-241.46
Foreign Bonds								
30,000.000	Royal Bk Canada 1.5% 01/16/2018	99.860	29,981.25	29,958.00	3.40	450	1.5	-23.25
	Total Foreign Bonds		29,981.25	29,958.00	3.40	450	1.5	-23.25
Municipals Taxable								
20,000.000	IL St Go Taxbl 3.86% 04/01/2021	97.942	20,222.40	19,588.40	2.22	772	3.9	-634.00



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Shares	Description	Market Price	Total Cost	Market Value	% Of Category	Estimated Current Income Yield	Unrealized Gain / Loss
Total Municipals Taxable							
			20,222.40	19,588.40	2.22	772 3.9	-634.00
Other Fixed Income							
40,000.000	Discover Bk, Greenwood DE CTF Dep 1.1% 06/19/2017	100.171	40,000.00	40,068.40	4.55	440 1.1	68.40
Total Other Fixed Income							
			40,000.00	40,068.40	4.55	440 1.1	68.40
Fixed Income Mutual Funds							
8,161.941	Voya GNMMA Income Cl I Fd #2159	8.460	70,575.15	69,050.02	7.84	1,991 2.9	-1,525.13
Total Fixed Income Mutual Funds							
			70,575.15	69,050.02	7.84	1,991 2.9	-1,525.13
Total Fixed Income							
			887,467.92	880,508.42	100.00	22,853 2.6	-6,959.50
Equities							
Common Stock							
Consumer Discretionary							
45,000	Disney Walt Co	104.220	4,824.90	4,689.90	0.48	70 1.5	-135.00
99,000	Home Depot Inc	134.080	12,522.89	13,273.92	1.35	273 2.1	751.03
345,000	Select Sector SPDR Consumer Discretionary	81.400	16,328.70	28,083.00	2.85	479 1.7	11,754.30
267,000	Starbucks Corp	55.520	15,029.10	14,823.84	1.50	267 1.8	-205.26
220,000	Tjx Co Inc	75.130	5,615.13	16,528.60	1.68	228 1.4	10,913.47
312,000	Twenty-First Century Fox Inc	28.040	10,290.35	8,748.48	0.89	112 1.3	-1,541.87
Total Consumer Discretionary							
			64,611.07	86,147.74	8.74	1,429 1.7	21,536.67

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Statement Of Assets

Shares	Description	Market Price	Total Cost	Market Value	% Of Category	Estimated Income	Current Yield	Unrealized Gain / Loss
Consumer Staples								
180,000	CVS Health Corp	78.910	11,868.64	14,203.80	1.44	360	2.5	2,335.16
111,000	Church & Dwight Inc	44.190	2,155.24	4,905.09	0.50	78	1.6	2,749.85
106,000	Costco Whsl Corp New	160.110	7,632.08	16,971.66	1.72	190	1.1	9,339.58
219,000	Kroger Co	34.510	7,574.09	7,557.69	0.77	105	1.4	-16.40
168,000	Select Sector SPDR Consumer Staples	51.710	5,701.92	8,687.28	0.88	219	2.5	2,985.36
Total Consumer Staples			34,931.97	52,325.52	5.31	952	1.8	17,393.55
Energy								
56,000	Chevron Corp	117.700	5,638.19	6,591.20	0.67	241	3.7	953.01
97,000	Eog Resources Inc	101.100	8,323.56	9,806.70	0.99	64	0.7	1,483.14
183,000	Exxon Mobil Corp	90.260	15,413.06	16,517.58	1.68	549	3.3	1,104.52
46,000	Pioneer Nat Res Co	180.070	7,559.39	8,283.22	0.84	3	0.0	723.83
Total Energy			36,934.20	41,198.70	4.18	857	2.1	4,264.50
Financials								
52,000	Berkshire Hathaway Inc Cl B	162.980	7,614.96	8,474.96	0.86	0	0.0	860.00
41,000	Blackrock Inc	380.540	13,122.82	15,602.14	1.58	375	2.4	2,479.32
73,000	Goldman Sachs	239.450	9,015.14	17,479.85	1.77	189	1.1	8,464.71
211,000	JPMorgan Chase & Co	86.290	9,935.30	18,207.19	1.85	405	2.2	8,271.89
272,000	Suntrust Bks Inc	54.850	12,470.76	14,919.20	1.51	282	1.9	2,448.44
Total Financials			52,158.98	74,683.34	7.58	1,251	1.7	22,524.36
Health Care								
121,000	Danaher Corp	77.840	3,771.82	9,418.64	0.96	60	0.6	5,646.82

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Shares	Description	Market Price	Total Cost	Market Value	% Of Category	Estimated Income	Current Yield	Unrealized Gain / Loss
109,000	Edwards Lifesciences Corp	93.700	9,521.97	10,213.30	1.04	0	0.0	691.33
151,000	Eli Lilly & Co	73.550	11,143.79	11,106.05	1.13	314	2.8	-37.74
392,000	Pfizer Inc	32.480	10,164.63	12,732.16	1.29	501	3.9	2,567.53
112,000	Stryker Corp	119.810	11,085.82	13,418.72	1.36	190	1.4	2,332.90
86,000	Thermo Fisher Scientific Inc	141.100	4,309.38	12,134.60	1.23	51	0.4	7,825.22
Total Health Care			49,997.41	69,023.47	7.00	1,116	1.6	19,026.06
Industrials								
20,000	Acuity Brands Inc	230.860	5,035.00	4,617.20	0.47	10	0.2	-417.80
77,000	Equifax Inc	118.230	8,366.91	9,103.71	0.92	101	1.1	736.80
68,000	Fedex Corp	186.200	11,853.94	12,661.60	1.28	108	0.9	807.66
171,000	Fortive Corp	53.630	6,072.21	9,170.73	0.93	47	0.5	3,098.52
319,000	Gen Elec Co	31.600	8,395.57	10,080.40	1.02	306	3.0	1,684.83
36,000	Lennox Intl Inc	153.170	4,805.55	5,514.12	0.56	61	1.1	708.57
213,000	Select Sector SPDR Industrial Etf	62.220	10,537.11	13,252.86	1.34	273	2.1	2,715.75
Total Industrials			55,066.29	64,400.62	6.53	906	1.4	9,334.33
Information Technology								
30,000	Alphabet Inc Cl A	792.450	16,415.01	23,773.50	2.41	0	0.0	7,358.49
140,000	Apple Inc	115.820	7,222.43	16,214.80	1.64	319	2.0	8,992.37
113,000	Facebook Inc Cl A	115.050	13,277.24	13,000.65	1.32	0	0.0	-276.59
286,000	Oracle Corp	38.450	7,622.67	10,996.70	1.12	171	1.6	3,374.03
192,000	Paypal Hldgs Inc	39.470	6,148.89	7,578.24	0.77	0	0.0	1,429.35
231,000	Qualcomm Inc	65.200	11,063.05	15,061.20	1.53	489	3.3	3,998.15
250,000	Visa Inc Cl A	78.020	4,863.68	19,505.00	1.98	165	0.8	14,641.32

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Shares	Description	Market Price	Total Cost	Market Value	% Of Category	Estimated Income	Current Yield	Unrealized Gain / Loss
Total Information Technology								
			66,612.97	106,130.09	10.77	1,144	1.1	39,517.12
Materials								
29,000	Sherwin Williams Co							
		268.740	8,393.78	7,793.46	0.79	97	1.3	-600.32
Total Materials								
			8,393.78	7,793.46	0.79	97	1.3	-600.32
Real Estate								
122,000	Crown Castle Intl Corp	86.770	10,384.55	10,585.94	1.07	463	4.4	201.39
115,000	Extra Space Storage Inc	77.240	8,546.80	8,882.60	0.90	358	4.0	335.80
Total Real Estate								
			18,931.35	19,468.54	1.97	821	4.2	537.19
Utilities								
215,000	Select Sector SPDR Tr Units	48.570	7,828.12	10,442.55	1.06	356	3.4	2,614.43
Total Utilities								
			7,828.12	10,442.55	1.06	356	3.4	2,614.43
Total Common Stock								
			395,466.14	531,614.03	53.93	8,929	1.7	136,147.89
Foreign Stock								
28,000	Allergan PLC	210.010	8,585.50	5,880.28	0.60	78	1.3	-2,705.22
101,000	Aon PLC	111.530	11,302.79	11,264.53	1.14	133	1.2	-38.26
185,000	Medtronic PLC	71.230	13,997.84	13,177.55	1.34	318	2.4	-820.29
Total Foreign Stock								
			33,886.13	30,322.36	3.08	529	1.7	-3,563.77
Equity Mutual Funds								
Large Cap Funds								



The Raymond Foundation, Inc.
December 1, 2016 - December 31, 2016

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Statement Of Assets

<i>Shares</i>	<i>Description</i>	<i>Market Price</i>	<i>Total Cost</i>	<i>Market Value</i>	<i>% Of Category</i>	<i>Estimated Income</i>	<i>Current Yield</i>	<i>Unrealized Gain / Loss</i>
2,357.237	Boston Partners All-Cap Value Instl Fd #81	23.480	46,830.78	55,347.92	5.61	643	1.2	8,517.14
Total Large Cap Funds			46,830.78	55,347.92	5.61	643	1.2	8,517.14
Mid Cap Funds								
514.167	Janus Enterprise Cl I Fd #102	95.220	45,956.21	48,958.98	4.97	82	0.2	3,002.77
2,071.742	Victory Sycamore Established Value Cl I Fd #203	36.160	67,062.29	74,914.19	7.60	573	0.8	7,851.90
Total Mid Cap Funds			113,018.50	123,873.17	12.57	655	0.5	10,854.67
Small Cap Funds								
576.973	Eagle Small Cap Growth Cl I Fd #3933	54.700	26,275.62	31,560.42	3.20	0	0.0	5,284.80
1,301.778	Federated Clover Small Value Cl Is Fd #659	27.100	28,685.18	35,278.18	3.58	266	0.8	6,593.00
1,224.057	JPMorgan US Small Company Instl Fd #1384	18.300	20,576.39	22,400.24	2.27	104	0.5	1,823.85
Total Small Cap Funds			75,537.19	89,238.84	9.05	370	0.4	13,701.65
International Funds								
1,466.929	Driehaus Emerging Mkts Growth Fd #3	27.980	42,500.42	41,044.67	4.16	159	0.4	-1,455.75
1,891.326	Oakmark Intl Fd #109	22.700	46,942.77	42,933.10	4.36	635	1.5	-4,009.67
1,078.154	Oppenheimer Intl Growth Cl I Fd #1961	34.680	38,722.48	37,390.38	3.79	580	1.6	-1,332.10
Total International Funds			128,165.67	121,368.15	12.31	1,374	1.1	-6,797.52
Closed End - Equity Funds								

The Raymond Foundation, Inc.
December 1, 2016 - December 31, 2016

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Statement Of Assets

<i>Shares</i>	<i>Description</i>	<i>Market Price</i>	<i>Total Cost</i>	<i>Market Value</i>	<i>% Of Category</i>	<i>Estimated Income</i>	<i>Current Yield</i>	<i>Unrealized Gain / Loss</i>
215.000	Vanguard Value Etf	93.010	15,348.40	19,997.15	2.03	488	2.4	4,648.75
Total Closed End - Equity Funds			15,348.40	19,997.15	2.03	488	2.4	4,648.75
Total Equity Mutual Funds			378,900.54	409,825.23	41.57	3,530	0.9	30,924.69
Closely Held Stock								
750.000	Zoeller Co Cl Z Non Vtg Certificate #3346 -- 750 Restricted --	18.750	1,542.25	14,062.50	1.43	0	0.0	12,520.25
Total Closely Held Stock			1,542.25	14,062.50	1.43	0	0.0	12,520.25
Total Equities			809,795.06	985,824.12	100.00	12,988	1.3	176,029.06
Total Assets			2,236,844.07	2,405,913.63		37,924	1.6	169,069.56

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
OLD NATIONAL TRUST CO.	39,927.	4,294.	35,633.	35,633.		
TO PART I, LINE 4	39,927.	4,294.	35,633.	35,633.		

FORM 990-PF		ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
TAX PREPARATION	1,930.	0.		1,930.		
TO FORM 990-PF, PG 1, LN 16B	1,930.	0.		1,930.		

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
TRUST - INVESTMENT MANAGEMENT FEES	12,289.	12,289.		0.		
TO FORM 990-PF, PG 1, LN 16C	12,289.	12,289.		0.		

FORM 990-PF		TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
EXCISE TAX PAYMENT - PRIOR YEAR	453.	0.		0.		
FOREIGN TAX PAYMENTS	176.	176.		0.		
EXCISE TAX ESTIMATE PAYMENTS - CURRENT YEAR	3,600.	0.		0.		
TO FORM 990-PF, PG 1, LN 18	4,229.	176.		0.		

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ADMINISTRATIVE EXPENSES	6,637.	0.		6,637.	
TO FORM 990-PF, PG 1, LN 23	6,637.	0.		6,637.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
OLD NATIONAL TRUST CO. - SEE ATTACHED	X		459,718.	455,114.	
OLD NATIONAL TRUST CO. - SEE ATTACHED		X	20,222.	19,588.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			459,718.	455,114.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			20,222.	19,588.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			479,940.	474,702.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
OLD NATIONAL TRUST CO. - SEE ATTACHED	430,895.		575,999.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	430,895.		575,999.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
OLD NATIONAL TRUST CO. - SEE ATTACHED	266,971.	266,730.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	266,971.	266,730.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OLD NATIONAL TRUST CO. - MUTUAL FUNDS - SEE ATTACHED	COST	378,901.	409,825.
OLD NATIONAL TRUST CO. - FOREIGN BONDS - SEE ATTACHED	COST	29,981.	29,958.
OLD NATIONAL TRUST CO. - FIXED INCOME SECURITIES - SEE ATTACHED	COST	40,000.	40,068.
OLD NATIONAL TRUST CO. - FIXED INCOME MUTUAL BONDS - SEE ATTACHED	COST	70,575.	69,050.
TOTAL TO FORM 990-PF, PART II, LINE 13		519,457.	548,901.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

MICHAEL CAIN
ANNE H. RAYMOND
LINDA A. RAYMOND

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LINDA RAYMOND
P.O. BOX 3082
BLOOMINGTON, IN 47402-3082

TELEPHONE NUMBER

812-824-9693

FORM AND CONTENT OF APPLICATIONS

APPLICANTS ARE REQUIRED TO SUBMIT WRITTEN GRANT PROPOSALS SETTING FORTH THE PURPOSE FOR WHICH THE ASSISTANCE IS SOUGHT, BUDGET FOR HOW THE FUNDS WILL BE USED AND AN EXPLANATION OF HOW THE PROGRAM EVALUATION WILL BE PERFORMED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

EDUCATIONAL - TO INSTITUTIONS OF HIGHER EDUCATION FOR SPECIFIED PURPOSES OF ASSISTING STUDENTS AND EDUCATORS. MEDICAL - TO ASSIST PROGRAMS AND INSTITUTIONS PROVIDING CARE FOR THE YOUNG. RELIGIOUS - FOCUS ON AWARENESS AND ACTIVITIES OF PROTESTANT FAITHS (WILL CONSIDER ALL FAITHS). TO PROVIDE FUNDS IN TRAINING, RESEARCH AND SCHOLARSHIP FOR THE ABOVE AREAS.