SCANNED MAY 24 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation RICHARD H. SIMONS CHARITABLE TRUST			**A Employer identification number** 59-7034786
Number and street (or P O box number if mail is not delivered to street address) 4000 HOLLYWOOD BLVD	Room/suite 485 SOUTH		**B Telephone number (see instructions)** 954-966-2112
City or town, state or province, country, and ZIP or foreign postal code HOLLYWOOD FL 33021			
Foreign country name	Foreign province/state/county	Foreign postal code	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,105,971

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	2,280	2,280		
	4	Dividends and interest from securities	33,391	33,391		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	56,176			
	b	Gross sales price for all assets on line 6a 1,584,246				
	7	Capital gain net income (from Part IV, line 2)		56,176		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	90,000	90,000		
	12	**Total.** Add lines 1 through 11	181,847	181,847	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	204,000	40,800		163,200
	14	Other employee salaries and wages	3,600	720		2,880
	15	Pension plans, employee benefits	38,351	7,670		30,681
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	8,500	1,700		6,800
	c	Other professional fees (attach schedule)	13,252	2,650		10,602
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	10,580	2,116		8,464
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	277	55		222
	24	**Total operating and administrative expenses.** Add lines 13 through 23	278,560	55,711	0	222,849
	25	Contributions, gifts, grants paid	89,743			89,743
	26	**Total expenses and disbursements.** Add lines 24 and 25	368,303	55,711	0	312,592
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-186,456			
	b	**Net investment income** (if negative, enter -0-)		126,136		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

HTA

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	174,900	207,275	207,275
3	Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	703,920	949,316	1,166,058
c	Investments—corporate bonds (attach schedule)	61,381	34,407	41,230
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	2,197,389	1,759,719	1,690,991
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ PREPAID INCOME TAX)		417	417
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,137,590	2,951,134	3,105,971
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	3,137,590	2,951,134	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	3,137,590	2,951,134	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,137,590	2,951,134	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,137,590
2	Enter amount from Part I, line 27a	2	-186,456
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,951,134
5	Decreases not included in line 2 (itemize) ▶ TAX PAYMENTS	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,951,134

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	PER SCHEDULE	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,584,246		1,528,070	56,176
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				56,176
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	56,176
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2015	320,857	3,231,676	0.099285
2014	326,215	3,429,085	0.095132
2013	322,372	3,608,500	0 089337
2012	319,241	3,815,928	0.083660
2011	355,674	3,997,138	0.088982

2	**Total** of line 1, column (d)	2	0.456396
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091279
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,033,526
5	Multiply line 4 by line 3	5	276,897
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,261
7	Add lines 5 and 6	7	278,158
8	Enter qualifying distributions from Part XII, line 4	8	312,592

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,261
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,261
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,261
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 950		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	950
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	311
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ — **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT M. KRAMER, ESQ Telephone no. ▶ 954-966-2112
Located at ▶ 4000 HOLLYWOOD BOULEVARD 485 S HOLLYWOOD FL ZIP+4 ▶ 33021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X (No)
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT M KRAMER 4000 HOLLYWOOD BLVD #485 SOUTH HOLLYWOOD	TRUSTEE 15.00	204,000	34,001	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2 NOT APPLICABLE	
All other program-related investments See instructions	
3 NOT APPLICABLE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,871,336
b	Average of monthly cash balances	1b	207,973
c	Fair market value of all other assets (see instructions)	1c	413
d	**Total** (add lines 1a, b, and c)	1d	3,079,722
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,079,722
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	46,196
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,033,526
6	**Minimum investment return.** Enter 5% of line 5	6	151,676

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	151,676
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,261		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,261
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	150,415
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	150,415
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	150,415

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	312,592
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	312,592
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,261
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	311,331

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					150,415
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0	
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011	162,057				
b From 2012	130,225				
c From 2013	144,457				
d From 2014	157,735				
e From 2015	161,173				
f **Total** of lines 3a through e		755,647			
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ $ 312,592					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions)					
c Treated as distributions out of corpus (Election required—see instructions)					
d Applied to 2016 distributable amount					150,415
e Remaining amount distributed out of corpus		162,177			
5 Excess distributions carryover applied to 2016. *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5		917,824			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount—see instructions					
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		162,057			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		755,767			
10 Analysis of line 9:					
a Excess from 2012	130,225				
b Excess from 2013	144,457				
c Excess from 2014	157,735				
d Excess from 2015	161,173				
e Excess from 2016	162,177				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PER SCHEDULE ATTACHED			CHARITABLE	89,743
Total			▶ **3a**	89,743
b *Approved for future payment*				
Total			▶ **3b**	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				2,280	
4 Dividends and interest from securities				33,391	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				56,175	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a ANNUITY INCOME				90,000	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		- 0		181,846	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 181,846

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1a(1)**		X
	(2) Other assets	**1a(2)**		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		X
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		X
	(4) Reimbursement arrangements	**1b(4)**		X
	(5) Loans or loan guarantees	**1b(5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 05.11.2017 — Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT L WEINER	ROBERT L WEINER	5/10/2017		P00547298
Firm's name ► ROBERT L WEINER CPA			Firm's EIN ► 59-2731051	
Firm's address ► 1800 NE 26TH STREET, WILTON MANORS, FL 33305			Phone no. 954-561-2040	

ɨARD H SIMONS CHARITABLE TRUST 59-703-

t I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals:	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	1,584,246	1,528,070	56,17(
Other sales	0	0	(

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	PER SCHEDULE		X			P			1,584,246	1,528,070					56,17(

RICHARD H. SIMONS CHARITABLE TRUST
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2016
EIN 59-7034786

	DESCRIPTION	PROCEEDS	BASIS	GAIN/ LOSS
15060.514	BAIRD AGGREGATE BOND FUND	$166,268	$164,009	$2,259
14462.639	DOUBLETIME TOTAL RT BOND FD CL N	$157,209	$160,085	-$2,876
2189.373	VANGUARD INTERMED TERM TAX EXEM	$31,444	$29,683	$1,761
794.000	BP PLC SPONSORED ADR DISCRETION	$23,328	$30,191	-$6,862
50.000	DOMINION RESOURCES INC VA NEW	$3,440	$2,990	$450
800.000	DOMINION RESOURCES INC VA NEW	$55,280	$47,847	$7,433
62.000	HALYARD HEALTH INC COM	$1,549	$1,620	-$71
1091.000	ISHARES CORE S&P 500 ETF	$208,231	$206,373	$1,858
14.000	ISHARES NASDAQ BIOTECHNOLOGY	$4,022	$2,703	$1,319
100.000	ISHARES NASDAQ BIOTECHNOLOGY ETF	$28,721	$19,306	$9,414
100.000	ISHARES NASDAQ BIOTECHNOLOGY ETF	$28,738	$19,306	$9,432
297.000	JOHNSON & JOHNSON DISCRETION	$29,009	$18,916	$10,092
250.000	KIMBERLY CLARK CORP DISCRETION	$31,626	$18,918	$12,708
299.000	NEXTRA ENERGY INC COM	$31,813	$20,026	$11,788
215.000	PROCTOR & GAMBLE CO	$16,379	$14,030	$2,349
987.000	SECTOR SPDR TR SHS BEN INT UTIL	$42,305	$37,758	$4,547
6340.390	TEMPLETON GLOBAL BOND ADVISOR	$70,302	$83,893	-$13,591
1906.000	VANGUARD FTSE DEVELOPED MARKET	$64,624	$79,168	-$14,544
619.000	VANGUARD INTL EQUITY INDEX FDS	$18,177	$25,355	-$7,178
780 000	ISHARES TRUST ISHARES CURRENCY	$19,617	$20,509	-$892
439.000	NESTLE S A SPONSORED ADR	$35,298	$31,162	$4,136
382.000	NOVARTIS A G SPONSORED ADR	$30,282	$31,221	-$939
2368.000	ISHARES BONDS SEP 2016 AMT FREE	$62,653	$62,776	-$124
0.000	ADVANSIX INC COM	$10	$9	$1
12.000	ADVANSIX INC COM	$192	$165	$27
729.000	FIDELITY MSCI UTILS INDEX ETF	$22,082	$20,411	$1,672
318.000	HONEYWELL INTL INC	$35,724	$31,019	$4,706
893.000	ISHARES 7-10 YEAR TREASURY BOND	$94,748	$96,222	-$1,474
346.000	ISHARES TR 10-20 YR TRS ETF	$46,660	$47,558	-$898
703.000	ISHARES CURRENCY HEDGED MSCI	$17,429	$16,810	$619
409.000	PROCTOR AND GAMBLE CO COM	$33,827	$26,690	$7,137
200.000	SPDR SERIES TRUST SPDR RUSSELL	$15,469	$14,086	$1,383
300.000	SPDR SERIES TRUST SPDR RUSSELL	$23,208	$21,129	$2,079
263.000	UNION PACIFIC CORP DISCRETION	$26,108	$24,572	$1,536
280.000	VANGUARD INTL EQUITY INDEX FDS	$26,170	$30,212	-$4,042
316.000	VANGUARD INDEX FDS FORMERLY	$63,495	$55,034	$8,460
391.000	VERIZON COMMUNICATIONS	$18,809	$16,308	$2,500
		$1,584,246	$1,528,070	$56,175

Part I, Line 11 (990-PF) - Other Income

		90,000	90,000	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ANNUITY INCOME	90,000	90,000	

Part I, Line 16b (990-PF) - Accounting Fees

		8,500	1,700	0	6,800
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ROBERT L. WEINER CPA	8,500	1,700		6,800

Part I, Line 16c (990-PF) - Other Professional Fees

		13,252	2,650	0	10,602
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	NFP	13,252	2,650		10,602

Part I, Line 18 (990-PF) - Taxes

		10,580	2,116	0	8,464
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20	0			
2	Tax on investment income	0			
3	Income tax	0			
4	Payroll taxes	10,580	2,116		8,464

Part I, Line 23 (990-PF) - Other Expenses

		277	55	0	222
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	MISCELLANEOUS EXPENSE	277	55		222

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			703,920	949,316	1,006,221	1,166,058
	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	NFP SECURITIES		703,920	949,316	1,006,221	1,166,058

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

				61,381	34,407	42,651	41,230
	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	NFP SECURITIES STATEMENT			61,381	34,407	42,651	41,230

Part II, Line 13 (990-PF) - Investments - Other

		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			2,197,389	1,759,719	1,690,991
	Asset Description				
1	MUTUAL FUNDS	AT COST	437,670	0	0
2	ANNUITIES	AT COST	1,759,719	1,759,719	1,690,991

Part II, Line 15 (990-PF) - Other Assets

		0	417	417
	Asset Description	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	PREPAID INCOME TAX	0	417	417

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
59-7034786

KESTRA
INVESTMENT SERVICES

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

For additional information regarding your holdings, please refer to the footnotes at the end of the statement.

CASH AND CASH EQUIVALENTS - 10.93% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income
Bank Deposits					
BANK DEPOSIT SWEEP PROGRAM Estimated Annual Yield 0.01%	QPRMQ CASH	148,150.41	$1.00	$148,150.41	
Total Cash and Cash Equivalents				$148,150.41	

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC) Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC Insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC Insurance Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits. If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section.

HOLDINGS > EQUITIES - 34.37% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
Equity							
ANHEUSER-BUSCH INBEV SA/NV ADR EAH REP 1 ORD NPV Estimated Yield 1.61% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $119.93	BUD CASH	260	$105.44	$27,414.40	$442.79	$31,181.17	($3,766.77)
BRISTOL MYERS SQUIBB Estimated Yield 2.66% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/17 Average Unit Cost $64.34	BMY CASH	486	$58.44	$28,401.84	$758.16	$31,267.51	($2,865.67)

Statement for the Period December 1, 2016 to December 31, 2016

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
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KESTRA INVESTMENT SERVICES

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
FARMLAND PARTNERS INC COM Estimated Yield 4.57% Next Dividend Payable: 01/13/17 Average Unit Cost $11.71	FPI CASH	1,318	$11.16	$14,708.88	$672.18	$15,434.07	($725.19)
HUNTINGTON INGALLS INDS INC COM Estimated Yield 1.30% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $175.78	HII CASH	151	$184.19	$27,812 69	$362.40	$26,542.47	$1,270.22
INTL BUSINESS MACH Estimated Yield 3.37% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $160.29	IBM CASH	165	$165.99	$27,388.35	$924.00	$26,447.79	$940.56
JOHNSON & JOHNSON Estimated Yield 2.77% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $63.69	JNJ CASH	319	$115.21	$36,751.99	$1,020.80	$20,317.47	$16,434.52
KIMBERLY CLARK CORP Estimated Yield 3.22% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/04/17 Average Unit Cost $75 67	KMB CASH	246	$114.12	$28,073.52	$905.28	$18,615.42	$9,458.10
LILLY ELI &CO COM NPV Estimated Yield 2.82% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $41.07	LLY CASH	734	$73.55	$53,985.70	$1,526.72	$30,148.59	$23,837.11
LOCKHEED MARTIN CORP Estimated Yield 2.91% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $263.17	LMT CASH	100	$249.94	$24,994.00	$728.00	$26,316.79	($1,322.79)

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INVESTMENT SERVICES

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
MCCORMICK &CO INC COM NON VTG Estimated Yield 2.01% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/17/17 Average Unit Cost $91.65	MKC CASH	288	$93.33	$26,879.04	$541.44	$26,393.92	$485.12
NEXTERA ENERGY INC COM Estimated Yield 2.91% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $67.28	NEE CASH	294	$119.46	$35,121.24	$1,023.12	$19,781.56	$15,339.68
PHILIP MORRIS INTL INC COM Estimated Yield 4.54% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/10/17 Average Unit Cost $89.97	PM CASH	566	$91.49	$51,783.34	$2,354.56	$50,924.01	$859.33
UNION PACIFIC CORP Estimated Yield 2.33% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $93.43	UNP CASH	267	$103.68	$27,882.56	$648.14	$24,945.93	$2,736.63
VERIZON COMMUNICATIONS Estimated Yield 4.32% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/17 Average Unit Cost $41.71	VZ CASH	550	$53.38	$29,359.00	$1,270.50	$22,940.25	$6,418.75
VULCAN MATERIALS CO Estimated Yield 0.63% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $129.62	VMC CASH	204	$125.15	$25,530.60	$163.20	$26,442.99	($912.39)
Total Equity				$465,887.15	$13,339.29	$397,699.94	$68,187.21
Total Equities				$465,887.15	$13,339.29	$397,699.94	$68,187.21

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
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INVESTMENT SERVICES

HOLDINGS > FIXED INCOME - 3.04% of Total Account Value

For an explanation of fixed income pricing, please see the last page. Redemption schedule(s), bond rating(s), and other information are provided where available. If information does not appear regarding a particular investment, it is not available. The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment. "Ratings information from Standard & Poor's ("S&P") may not be reproduced S&P credit ratings are statements of opinion and are not statements of fact or recommendations to purchase, hold, or sell securities, nor do they address the suitability of securities for investment purposes, and should not be relied on as investment advice. S&P does not guarantee the accuracy, completeness, timeliness or availability of any information, including ratings, and is not responsible for errors or omissions (negligent or otherwise). S&P gives no express or implied warranties, including but not limited to any warranties of merchantability or fitness for a particular purpose or use. S&P shall not be liable for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including lost income or profits and opportunity costs) in connection with any use of ratings."

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received byNFS. There is no guarantee that the accrued interest will be paid by the issuer.

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/16	Estimated Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
Corporate Bonds							
GENERAL ELEC CAP CORP MTN BE 5.62500% 09/15/2017	36962G3H5 CASH	40,000	$103.075	$41,230.00	$2,250.00	$34,406.80	
MOODY'S A1 /S&P AA-							
CPN PMT SEMI-ANNUAL							
ON MAR 15, SEP 15							
Next Interest Payable: 03/15/17							
Accrued Interest $662.50							
Average Unit Cost $86.02							
Adjusted Cost Basis						$34,406.80 D	$6,823.20
Unrealized Market Discount Income	$628.45 Q						
Total Fixed Income		40,000		$41,230.00	$2,250.00	$34,406.80	$6,823.20

HOLDINGS > EXCHANGE TRADED PRODUCTS - 51.66% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
Equity							
FIDELITY MSCI HEALTH CARE INDEX ETF	FHLC CASH	858	$32.8901	$28,219.71	$396.40	$27,489.55	$730.16
Estimated Yield 1.40%							
Dividend Option Cash							
Capital Gain Option Cash							
Average Unit Cost $32.04							

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59-7034786

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INVESTMENT SERVICES

HOLDINGS > EXCHANGE TRADED PRODUCTS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
ISHARES CURRENCY HEDGED MSCI EUROZONE ETF Estimated Yield 3.11% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/04/17 Average Unit Cost $23.91	HEZU CASH	2,619	$26.60	$69,665.40	$2,169.06	$62,623.97	$7,041.43
ISHARES TR U.S. AER&DEF ETF Estimated Yield 1.07% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $140.24	ITA CASH	299	$140.52	$42,015.48	$451.39	$41,931.57	$83.91
ISHARES TRUST MSCI INDIA INDEX FD Estimated Yield 0.90% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $25.32	INDA CASH	399	$26.81	$10,697.19	$96.75	$10,101.51	$595.68
VANECK VECTORS ETF TR NAT RES ETF Estimated Yield 1.98% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $31.38	HAP CASH	1,648	$32.36	$53,329.28	$1,059.66	$51,716.26	$1,613.02
VANGUARD INDEX FDS FORMERLY VANGUARD INDEX TR TO 05/24/2001 S&P 500 ETF SHS NEW Estimated Yield 2.52% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $174.16	VOO CASH	439	$205.31	$90,131.09	$2,275.78	$76,455.44	$13,675.65
WISDOMTREE TR EUR HDG SMLCAP Estimated Yield 3.47% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $24.11	EUSC CASH	503	$25.8271	$12,991.03	$450.74	$12,126.07	$864.96
Total Equity				$307,049.18	$6,899.78	$282,444.37	$24,604.81

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
59-7034786

KESTRA INVESTMENT SERVICES

HOLDINGS > EXCHANGE TRADED PRODUCTS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/16	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
Fixed Income							
ISHARES TR 10-20 YR TRS ETF Estimated Yield 1.90% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $137.45	TLH CASH	992	$132.93	$131,866.56	$2,514.97	$136,351.45	($4,484.89)
SPDR SERIES TRUST BLOOMBERG LNG TR Estimated Yield 2.55% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/06/17 Average Unit Cost $71.37	TLO CASH	1,630	$68.89	$112,290.70	$2,872.75	$116,330.39	($4,039.69)
VANGUARD MUN BD FD INC TAX EXEMPT BD Estimated Yield 1.65% Dividend Option Cash Capital Gain Option Cash Average Unit Cost $50.93	VTEB CASH	2,660	$50.26	$133,691.60	$2,218.44	$135,467.29	($1,775.69)
Total Fixed Income				$377,848.86	$7,606.16	$388,149.13	($10,300.27)
Other							
CURRENCYSHARES BRIT POUND STERLING TR BRIT POUND STERLING SHS Dividend Option Cash Capital Gain Option Cash Average Unit Cost $120.53	FXB CASH	127	$120.26	$15,273.02		$15,306.86	($33.84)
Total Exchange Traded Products				$700,171.06	$14,505.94	$685,900.36	$14,270.70
Total Securities				$1,207,288.21	$30,095.23	$1,118,007.10	$89,281.11
TOTAL PORTFOLIO VALUE				$1,355,438.62	$30,095.23	$1,118,007.10	$89,281.11

000055 FIEB8M01 000407

rt VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										204,000	34,001	
1	ROBERT M KRAMER		4000 HOLLYWOOD BLVD #485 SOU	HOLLYWOOD	FL	33021		TRUSTEE	15 00	204,000	34,001	

SIMONS TRUST			
990PF COMPUTATIONS			
2016			
EIN 59-7034786			
		CASH	INVEST
JAN		$218,583	$2,926,049
FEB		$193,689	$2,926,049
MAR		$229,814	$2,926,049
APR		$218,685	$2,926,049
MAY		$199,571	$2,926,049
JUN		$181,939	$2,926,049
JUL		$159,363	$2,926,049
AUG		$140,685	$2,926,049
SEPT		$271,668	$2,780,380
OCT		$221,119	$2,780,370
NOV		$253,282	$2,743,442
DEC		$207,275	$2,743,442
		$2,495,673	$34,456,026
AVERAGE		$207,973	$2,871,336

RICHARD H. SIMONS CHARITABLE TRUST
SCHEDULE OF GRANTS PAID
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2016
EIN 59-7034786

GRANTEE:	AMOUNT
JEWISH MUSEUM OF FLORIDA	$125
JOE DIMAGGIO CHILDRENS' HOSPITAL	$500
JEWISH FEDERATION	$190
CHABAD OF SOUTHWEST DADE	$300
BAPTIST HOSPITAL	$10,000
GREATER MIAMI JEWISH FEDERATION	$2,000
B+ FOUNDATION	$75
UNITED WAY OF MIAMI	$14,758
CHILDRENS' BEREAVEMENT	$1,050
BOYS AND GIRLS CLUBS	$6,750
FAIRCHILD TROPICAL GARDEN	$41,000
UNITED JEWISH COMMUNITY	$100
NATIONAL JEWISH THEATRE	$1,000
LIGHTHOUSE FOR THE BLIND	$500
UNIVERSITY OF FLORIDA	$10,000
SAKS FIFTH AVENUE FOR DOLPHIN CANCER CARE BENEFIT	$350
THE MIAMI FOUNDATION - FAIRCHILD TROPICAL GARDEN	$1,045
	$89,743

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)