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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE CITTA FOUNDATION INC		A Employer identification number 59-2635738	
Number and street (or P O box number if mail is not delivered to street address) 201 HOOPER AVENUE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code TOMS RIVER, NJ 08753		B Telephone number (see instructions) (732) 349-0200	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	
I Fair market value of all assets at end of year (from Part II, col (c), line 16)▶\$ 5,869,116			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	191,078			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	19,116	19,116	19,116	
	4 Dividends and interest from securities	85,494	85,494	85,494	
	5a Gross rents	46,620	46,620	46,620	
	b Net rental income or (loss) 18,050				
	6a Net gain or (loss) from sale of assets not on line 10	21,485			
	b Gross sales price for all assets on line 6a 1,097,901				
	7 Capital gain net income (from Part IV, line 2)		21,485		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	17	17	17	
	12 Total. Add lines 1 through 11	363,810	172,732	151,247	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	58,231	58,231	58,231	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,203	2,203	2,203	2,203
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	28,620	28,620	50	50
	24 Total operating and administrative expenses. Add lines 13 through 23	89,054	89,054	60,484	2,253
	25 Contributions, gifts, grants paid	295,244			295,244
	26 Total expenses and disbursements. Add lines 24 and 25	384,298	89,054	60,484	297,497
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-20,488			
	b Net investment income (if negative, enter -0-)		83,678		
	c Adjusted net income (if negative, enter -0-)			90,763	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	410,626	412,529	412,529
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ 46,014 Less allowance for doubtful accounts ▶ _____	49,252	46,014	46,014
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	493,687	478,092	478,092
	b	Investments—corporate stock (attach schedule)	2,573,774	2,618,426	2,618,426
	c	Investments—corporate bonds (attach schedule)	1,398,689	1,426,895	1,426,895
	11	Investments—land, buildings, and equipment basis ▶ _____ 880,000 Less accumulated depreciation (attach schedule) ▶ _____	880,000	880,000	880,000
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	7,149	7,160	7,160	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,813,177	5,869,116	5,869,116	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	5,813,177	5,869,116	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	5,813,177	5,869,116	
31	Total liabilities and net assets/fund balances (see instructions) .	5,813,177	5,869,116		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 5,813,177
2	Enter amount from Part I, line 27a	2 -20,488
3	Other increases not included in line 2 (itemize) ▶ _____	3 76,427
4	Add lines 1, 2, and 3	4 5,869,116
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 5,869,116

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	21,485
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	-23,970

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	311,632	5,855,927	0 05322
2014	193,000	5,604,014	0 03444
2013	197,450	4,239,027	0 04658
2012	219,600	3,888,938	0 05647
2011	194,500	4,783,448	0 04066

2 Total of line 1, column (d)	2	0 231365
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046273
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,754,651
5 Multiply line 4 by line 3	5	266,285
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	837
7 Add lines 5 and 6	7	267,122
8 Enter qualifying distributions from Part XII, line 4 ,	8	297,497

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	837
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	837
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	837
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,637
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	3,637
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	2,800
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 2,800 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CITTA FOUNDATION Telephone no ► (732) 349-0200			

Located at **►** 201 HOOPER AVENUE TOMS RIVER NJ ZIP+4 **►** 08753

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ☐ c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	5b	No	
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	6b	No	
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b	No	

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

[illegible]

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, other allowances (e)
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,482,887
b	Average of monthly cash balances.	1b	479,398
c	Fair market value of all other assets (see instructions).	1c	880,000
d	Total (add lines 1a, b, and c).	1d	5,842,285
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,842,285
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	87,634
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,754,651
6	Minimum investment return. Enter 5% of line 5.	6	287,733

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	287,733
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	837
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	837
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	286,896
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	286,896
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	286,896

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	297,497
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	297,497
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	837
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	296,660

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				286,896
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				19,600
f Total of lines 3a through e.	19,600			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 297,497				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).	0			
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				286,896
e Remaining amount distributed out of corpus	10,601			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,201			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	30,201			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				19,600
e Excess from 2016.				10,601

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed KRISTIN FARFALLA 201 HOOPER AVENUE SUITE 5A TOMS RIVER, NJ 08753 (732) 349-0200	
b The form in which applications should be submitted and information and materials they should include NO REQUIREMENTS DEFINED	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	295,244
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.		1a(1)	No
(2) Other assets.		1a(2)	No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.		1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)	No
(3) Rental of facilities, equipment, or other assets.		1b(3)	No
(4) Reimbursement arrangements.		1b(4)	No
(5) Loans or loan guarantees.		1b(5)	No
(6) Performance of services or membership or fundraising solicitations.		1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c	No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2017-05-09	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01457717
	MARIANNE BROWN CPA				
	Firm's name ▶ Jump Perry and Company LLP				Firm's EIN ▶
	Firm's address ▶ 12 Lexington Avenue Toms River, NJ 087537545				Phone no (732) 240-7377

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ST NONCOVERED - UBS 527	P	2016-01-01	2016-12-31
LT NONCOVERED - UBS 14527	P	2015-01-01	2016-12-31
AEP 2006-2 A-4 DUE 01/01/18	P	2015-01-01	2016-12-31
CNP 2008-3 A-1 DUE 02/01/20	P	2015-01-01	2016-12-31
FHLMC PL G07961 DUE 03/01/45	P	2015-01-01	2016-12-31
FHLMC PL G08623 DUE 01/01/45	P	2015-01-01	2016-12-31
FHLMC PL G08624 DUE 01/01/45	P	2015-01-01	2016-12-31
FHLMC PL G08669 DUE 09/01/45	P	2015-01-01	2016-12-31
FHLMC PL G08682 DUE 02/01/46	P	2015-01-01	2016-12-31
FHLMC PL C09071 DUE 02/01/45	P	2015-01-01	2016-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
238,458		262,428	-23,970
792,647		753,111	39,536
3,411		3,411	
6,891		6,891	
3,208		3,208	
2,716		2,716	
2,590		2,590	
512		512	
288		288	
3,972		3,972	

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FHLMC PL E02892 DUE 05/01/26	P	2015-01-01	2016-12-31
FHLMC PL J23434 DUE 04/01/28	P	2015-01-01	2016-12-31
FHLMC PL V60773 DUE 03/01/30	P	2015-01-01	2016-12-31
FHLMC PL Q25332 DUE 03/01/44	P	2015-01-01	2016-12-31
FHLMC PL Q31605 DUE 02/01/45	P	2015-01-01	2016-12-31
FNMA PL 310151 DUE 11/01/34	P	2015-01-01	2016-12-31
FNMA PL AI7784 DUE 07/01/41	P	2015-01-01	2016-12-31
FNMA PL AL5213 DUE 04/01/44	P	2015-01-01	2016-12-31
FNMA PL AL5876 DUE 01/01/42	P	2015-01-01	2016-12-31
FNMA PL AL6745 DUE 08/01/44	P	2015-01-01	2016-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
465		465	
3,915		3,915	
1,701		1,701	
212		212	
653		653	
1,239		1,239	
408		408	
1,522		1,522	
517		517	
556		556	

[illegible]

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FNMA PL AL7231 DUE 08/01/45	P	2015-01-01	2016-12-31
FNMA PL AL7206 DUE 08/01/45	P	2015-01-01	2016-12-31
FNMA PL AS0907 DUE 11/01/28	P	2015-01-01	2016-12-31
FNMA PL AS4168 DUE 12/01/44	P	2015-01-01	2016-12-31
FNMA PL AS4783 DUE 04/01/45	P	2015-01-01	2016-12-31
FNMA PL AS6408 DUE 01/01/46	P	2015-01-01	2016-12-31
FNMA PL AU2299 DUE 09/01/28	P	2015-01-01	2016-12-31
FNMA PL AV4892 DUE 12/01/43	P	2015-01-01	2016-12-31
FNMA PL 00AV931 DUE 03/01/44	P	2015-01-01	2016-12-31
FNMA PL AX3008 DUE 10/01/44	P	2015-01-01	2016-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,168		3,168	
6,293		6,293	
2,099		2,099	
1,218		1,218	
478		478	
70		70	
3,182		3,182	
446		446	
358		358	
281		281	

[illegible]

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FNMA PL AX4887 DUE 12/01/77	P	2015-01-01	2016-12-31
FNMA PL AX7732 DUE 03/01/45	P	2015-01-01	2016-12-31
FNMA PL 932474 DUE 02/01/40	P	2015-01-01	2016-12-31
FNMA PL MA2771 DUE 09/01/46	P	2015-01-01	2016-12-31
FNMA PL AE7536 DUE 10/01/40	P	2015-01-01	2016-12-31
SLMA 2008-5 A-4 DUE 07/25/23	P	2015-01-01	2016-12-31
Capital Gain Dividends			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
377		377	
1,042		1,042	
531		531	
400		400	
2,986		2,986	
3,172		3,172	
			5,919

[illegible]

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KRISTIN FARFALLA	President 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				
JOSEPH A CITTA JR	Vice President 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				
MARIE ROSELLI	Secretary 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				
LORIANN ERBE	Trustee 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				
HEATHER MOFFITT	Trustee 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				
CHERYL ZAHN	Foundation Mgr 0 00	0		
201 HOOPER AVENUE SUITE 5A Toms River, NJ 08753				

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY 1035 HOOPER AVE TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
ANNA MARIE WESTEN SCHOLARSHIP FUND 711 HOOPER AVE TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
BIG BROTHERSSISTERS OF OCEAN 85 WILLIAMS ST LAKEWOOD, NJ 08701	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	10,500
CASEY COYLE MEMORIAL FUND 800 FLORA COURT GALLOWAY, NJ 08205	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
CONTACT OF OCEAN COUNTY 71 HADLEY AVE TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	2,000
COMMUNITY MEDICAL CENTER 99 HIGHWAY 37 WEST TOMS RIVER, NJ 08755	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	85,500
FAMILY PLANNING CENTER 290 RIVER AVE LAKEWOOD, NJ 08701	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	2,500
FOOD FOR THE POOR 6401 LYONS ROAD COCONUT CREEK, FL 33073	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
GIRL SCOUTS OF THE JERSEY 1405 OLD FREEHOLD ROAD TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	10,000
NEW JERSEY RETT SYNDROME FOUNDATION 417 BRICKYARD ROAD FREEHOLD, NJ 07728	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
OC COLLEGE FOUNDATION COLLEGE DR PO BOX 2001 TOMS RIVER, NJ 08754	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	10,000
OC FOUND FOR VOC-TECH EDUC 137 BEY LEA RD TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,500
OC HISTORICAL SOCIETY 26 HADLEY AVENUE TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
OC HOUSING ALLIANCE INC PO BOX 1290 POINT PLEASANT BEACH, NJ 08742	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
OC HUNGER RELIEF INC 21 GERMANIA STATION ROAD TOMS RIVER, NJ 08755	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	5,000
Total ►				295,244
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
OCEAN'S HARBOR HOUSE 808 CONIFER STREET TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	24,000
OPEN ARMS INC 104 POINT O WOODS TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
21 PLUS FOUNDATION INC 252 WASHINGTON STREET TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,500
SALVATION ARMY PO BOX 1906 TOMS RIVER, NJ 08754	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	10,000
ST JOSEPH'S CENTURY CLUB PO BOX 387 TOMS RIVER, NJ 08754	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
ST JOSEPH'S DEVOLPMENT 685 HOOPER AVE PO BOX 387 TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
UNITED WAY OF OC 650 WASHINGTON ST STE 2 TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
URSINUS COLLEGE PO BOX 1000 COLLEGEVILLE, PA 19426	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	5,000
YMCA 1088 WEST WHITTY ROAD TOMS RIVER, NJ 08755	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	6,000
YOUR GRANDMOTHER'S CUPBOARD 173A ROUTE 37 WEST TOMS RIVER, NJ 08755	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	2,000
JERSEY SHORE COUNCILBOY SCOUTS OF A 1518 RIDGEWAY ROAD TOMS RIVER, NJ 08754	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	10,000
LITERACY VOLUNTEERS OF OCEAN COUNTY COLLEGE DRIVE PO BOX 2001 TOMS RIVER, NJ 08754	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
HOPE SHEDS LIGHT INC 81 E WATER STREET SUITE 3C TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	2,500
MARSOC FOUNDATION PO BOX 2018 TEMECULA, CA 92593	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
TINA'S HOUSE CHILD ADVOCACY CENTER 7 HADLEY AVENUE TOMS RIVER, NJ 08753	PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	3,000
Total ► 3a				295,244

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
ENDICOTT COLLEGE 376 HALE STREET BEVERLY, MA 01915		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	50,744
FOODBANK OF MONMOUTH OCEAN 3300 ROUTE 66 NEPTUNE, NJ 07753		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	25,000
NORTHERN OCEAN HABITAT FOR HUMANITY 1201 LAKEWOOD ROAD TOMS RIVER, NJ 08753		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	5,000
THE MAXIMILIAN FOUNDATION 2500 EAST BAY AVENUE MANAHAWKIN, NJ 08050		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
TOMS RIVER HIGH SCHOOL SOUTH 55 HYERS STREET TOMS RIVER, NJ 08753		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	3,000
BARNEGAT BAY FOUNDATION PO BOX 364 ISLAND HEIGHTS, NJ 08732		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	1,000
GARDEN STATE PHILHARMONIC 1 COLLEGE DR PO BOX 2001 TOMS RIVER, NJ 08754		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	500
GREATER NY COUNCILS BOY SCOUTS OF A 350 FIFTH AVE STE 7820 NEW YORK, NY 10118		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	2,500
INTERFAITH HOSPITALITY NETWORK OF O 407 LEXINGTON AVE TOMS RIVER, NJ 08754		PUBLIC	OPERATING	GENERAL CHARITABLE PURPOSES	5,000
Total ► 3a					295,244

TY 2016 Investments Corporate Bonds Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS 14527 FIXED INCOME	1,426,895	1,426,895

TY 2016 Investments Corporate Stock Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS 14527 EQUITIES	2,494,686	2,494,686
UBS 14527 MUTUAL FUNDS	116,791	116,791
UBS 14375 EQUITIES	6,949	6,949

TY 2016 Investments Government Obligations Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0**US Government Securities - End
of Year Book Value:**

478,092

**US Government Securities - End
of Year Fair Market Value:**

478,092

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Land Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	880,000		880,000	880,000

TY 2016 Other Assets Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	7,149	7,160	7,160

TY 2016 Other Expenses Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NJ ANNUAL REPORT FEE	50	50	50	50
Rental Expenses	28,570	28,570		

TY 2016 Other Income Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITIGATION SETTLEMENT	17	17	17

TY 2016 Other Professional Fees Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNT ADVISORY FEES	56,791	56,791	56,791	0
INVESTMENT EXPENSE	1,440	1,440	1,440	0

TY 2016 Taxes Schedule**Name:** THE CITTA FOUNDATION INC**EIN:** 59-2635738**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,203	2,203	2,203	2,203

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS

02/27/2017

2016

This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ABBOTT LABS COMMON STOCK / CUSIP: 002824100 / Symbol: ABL							
02/16/16	6 000	227.36	05/06/15	278.82	...	-51.46	Sale
ADOBE SYSTEMS INC (DELAWARE) / CUSIP: 00724F101 / Symbol: ADBE							
01/27/16	19 000	1,611.33	06/29/15	1,548.39	...	62.94	Sale
02/22/16	20 000	1,675.72	06/29/15	1,629.89	...	45.83	Sale
06/08/16	14 000	1,381.58	06/29/15	1,140.92	...	240.66	Sale
Security total		4,668.63		4,319.20		349.43	
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01609W102 / Symbol: BABA							
09/07/16	32 000	3,287.50	10/09/15	2,195.82		1,091.68	Sale ²⁶
BHP BILLITON LIMITED SPON ADR / CUSIP: 088606108 / Symbol: BHP							
03/23/16	4 000	102.33	05/06/15	205.60		-103.27	Sale
BRF SA SPON ADR / CUSIP: 10552T107 / Symbol: BRFS							
05/10/16	5 000	69.89	05/22/15	105.08	...	-35.19	Sale
05/11/16	10 000	138.36	05/22/15	210.15		-71.79	Sale
05/12/16	53 000	723.06	05/22/15	1,113.82	...	-390.76	Sale
Security total:		931.31		1,429.05	...	-497.74	
CK HUTCHISON HLDGS LTD UNSPONSORED ADR / CUSIP: 12562Y100 / Symbol:							
09/30/16	389 000	4,910.55	11/30/15	5,096.60	...	-186.05	Sale ²⁶

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS

(continued)

2016

02/27/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CVS HEALTH CORP / CUSIP 126650100 / Symbol. CVS							
01/27/16	29 000	2,725.03	04/28/15	2,941.46	...	-216.43	Sale
02/22/16	23 000	2,240.65	04/28/15	2,332.88	..	-92.23	Sale
Security total		4,965.68		5,274.34	...	-308.66	
CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF. 06/2016** / CUSIP 13057Q107 / Symbol:							
3 transactions for 04/13/16							
0.187		0.31	05/06/15	0.27		0.04	Sale
3.745		6.10	12/02/15	5.04		1.06	Sale
2.153		3.51	01/27/16	2.49		1.02	Sale
6.085		9.92	Various	7.80		2.12	Total of 3 transactions
04/13/16							
CERNER CORP COMMON STOCK / CUSIP 156782104 / Symbol. CERN							
11/14/16	170 000	8,317.43	04/01/16	9,076.37	...	-758.94	Sale
CHINA LIFE INSURANCE CO LTD ADS SPON ADR / CUSIP 16939P106 / Symbol LFC							
12/20/16	213 000	2,724.79	05/19/16	2,229.05	...	495.74	Sale
CHIPOTLE MEXICAN GRILL INC CL A / CUSIP 169656105 / Symbol CMG							
06/15/16	13,000	5,215.75	11/24/15	7,370.39	...	-2,154.64	Sale
COGNIZANT TECH SOLUTIONS CRP / CUSIP 192446102 / Symbol CTSH							
07/05/16	56,000	3,162.34	07/15/15	3,415.73		-253.39	Sale
DENSO CORP ADR / CUSIP 24872B100 / Symbol:							
12/02/16	7,000	150.87	02/10/16	128.04	...	22.83	Sale
12/05/16	37 000	784.29	02/10/16	676.81	...	107.48	Sale
12/06/16	5 000	105.76	02/10/16	91.46	...	14.30	Sale
Security total		1,040.92		896.31	...	144.61	
DEUTSCHE BOERSE ADR / CUSIP 251542106 / Symbol.							
08/18/16	120 000	1,023.23	10/22/15	1,072.58	...	-49.35	Sale

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Proceeds Not Reported to the IRS (continued)

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EVERSOURCE ENERGY COM / CUSIP: 30040WV108 / Symbol: ES							
04/05/16	6,000	343.78	05/06/15	286.14	...	57.64	Sale
FINMA NTS 00.562 % DUE 04/01/16 DTD 04/06/11 / CUSIP: 3136FRGA5 / Symbol							
04/01/16	4,000,000	4,000.00	08/19/15	4,000.00	...	0.00	Sale
FIRST CASH FINCL SERVICES **NAME CHANGE EFF: 09/20/16** / CUSIP: 31942D107 / Symbol							
03/23/16	9,000	393.71	05/08/15	430.63	...	-36.92	Sale
FIRST FINCL BANKSHARES INC COMMON STOCK / CUSIP: 32020R109 / Symbol: FFIN							
12/07/16	77,000	3,449.28	01/29/16	1,993.92	...	1,455.36	Sale
FITBIT INC CL A / CUSIP: 33812L102 / Symbol: FIT							
4 transactions for 03/08/16							
	165,000	2,258.62	07/07/15	6,652.44	...	-4,393.82	Sale
	71,000	971.89	07/20/15	3,310.33	...	-2,338.44	Sale
	32,000	438.03	07/27/15	1,384.55	...	-946.52	Sale
	292,000	3,997.07	11/19/15	8,503.07	...	-4,506.00	Sale
	560,000	7,665.61	Various	19,850.39	...	-12,184.78	Total of 4 transactions
G&K SVCS INC COM .50 CTS PAR VALUE CL A / CUSIP: 361268105 / Symbol: GK							
2 transactions for 08/25/16							
	30,000	2,909.25	10/27/15	1,992.61	...	916.64	Sale
	39,000	3,782.01	01/28/16	2,411.82	...	1,370.19	Sale
	69,000	6,691.26	Various	4,404.43	...	2,286.83	Total of 2 transactions
GREAT WALL MTR CO LTD UNSPON ADR / CUSIP: 39137B109 / Symbol							
04/08/16	2,000	14.96	06/08/15	39.85	...	-24.89	Sale
2 transactions for 04/11/16							
	43,000	328.23	06/08/15	856.69	...	-528.46	Sale

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Proceeds Not Reported to the IRS

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
GREAT WALL MTR CO LTD UNSPON ADR / CUSIP: 39137B109 / Symbol (cont'd)							
	27,000	206.10	06/09/15	536.29		-330.19	Sale
04/11/16	70,000	534.33	Various	1,392.98		-858.65	Total of 2 transactions
3 transactions for 04/12/16							
	12,000	91.93	06/09/15	238.35		-146.42	Sale
	45,000	344.75	09/25/15	408.36		-63.61	Sale
	8,000	61.29	09/29/15	72.38		-11.09	Sale
04/12/16	65,000	497.97	Various	719.09		-221.12	Total of 3 transactions
2 transactions for 04/14/16							
	37,000	303.69	09/29/15	334.75		-31.06	Sale
	26,000	213.41	10/19/15	317.68		-104.27	Sale
04/14/16	63,000	517.10	Various	652.43		-135.33	Total of 2 transactions
	Security total.	1,564.36		2,804.35		-1,239.99	
HIMAX TECHNOLOGIES INC ADS REPSTG ORD SHS SPON ADR / CUSIP: 43289P106 / Symbol: HIMX							
2 transactions for 12/20/16							
	385,000	2,326.81	09/26/16	3,505.46		-1,178.65	Sale
	197,000	1,190.60	10/03/16	1,708.80		-518.20	Sale
12/20/16	582,000	3,517.41	Various	5,214.26		-1,696.85	Total of 2 transactions
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM							
01/27/16	2,000	244.19	05/06/15	339.12		-94.93	Sale
INTL BUSINESS MACH NOTE RATE 7.625 % MATURES 10/15/2018 / CUSIP: 459200GM7 / Symbol							
02/23/16	2,000,000	2,304.88	05/14/15	2,312.34	0.00	-7.46	Sale
							Original basis: \$2,401.54

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss (-) & Loss not allowed (X) also not reported (Z)	Additional information
LENOVO GROUP LTD SPON ADR / CUSIP: 526250105 / Symbol: 03/18/16	12,000	196.57	05/06/15	409.32		-212.75	Sale
LINKEDIN CORP *MERGER EFF 12/2016* CL A / CUSIP 53578A108 / Symbol:							
5 transactions for 06/15/16							
21,000	4,018.26	07/15/15		4,673.38		-655.12	Sale
6,000	1,148.07	08/27/15		1,099.22		48.85	Sale
15,000	2,870.18	08/28/15		2,758.65		111.53	Sale
11,000	2,104.80	09/04/15		1,978.06		126.74	Sale
9,000	1,722.11	02/22/16		1,047.34		674.77	Sale
62,000	11,863.42	Various		11,556.65		306.77	Total of 5 transactions
06/15/16							
MEDICLINIC INTL LTD ADR / CUSIP: 58470X106 / Symbol:							
2 transactions for 03/10/16							
32,000	1,219.35	03/24/15		1,673.43		-454.08	Merger
10,000	381.05	05/06/15		497.90		-116.85	Merger
42,000	1,600.40	Various		2,171.33		-570.93	Total of 2 transactions
03/10/16							
NEVRO CORP / CUSIP: 64157F103 / Symbol: NVRO							
01/25/16	58,000	4,007.15	01/22/16	4,006.72		0.43	Sale
PT ASTRA INTL TBK ADR / CUSIP: 69367X109 / Symbol:							
09/30/16	141,000	1,819.72	07/27/16	1,610.78		208.94	Sale
PACIRA PHARMACEUTICALS INC / CUSIP: 695127100 / Symbol: PCRX							
06/27/16	68,000	2,590.74	07/21/15	4,305.68		-1,714.94	Sale
PALO ALTO NETWORKS INC / CUSIP: 697435105 / Symbol: PANW							
11/14/16	12,000	1,877.13	02/01/16	1,774.45		102.68	Sale
11/21/16	8,000	1,276.97	02/01/16	1,182.97		94.00	Sale
Security total:		3,154.10		2,957.42		196.68	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column] Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GLIK TECHNOLOGIES INC COM **MERGER EFF- 08/2016** / CUSIP: 74733T105 / Symbol: 06/13/16	146 000	4,365.78	09/03/15	5,682.31	...	-1,316.53	Sale
QUESTAR CORP *MERGER EFF- 09/2016* / CUSIP: 748356102 / Symbol: 02/23/16	114,000	2,844.39	06/11/15	2,448.77	...	395.62	Sale
SANDVIK AB SPON ADR / CUSIP: 800212201 / Symbol: 12/30/16	4,000	49.52	08/10/16	44.46	...	5.06	Sale
SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW 11/14/16	51,000	1,865.99	06/21/16	1,451.62	...	414.37	Sale
SKYWORKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS							
3 transactions for 02/11/16							
	71,000	3,935.49	08/25/15	5,984.63	...	-2,049.14	Sale
	77 000	4,268.07	08/27/15	6,716.39	...	-2,448.32	Sale
	64 000	3,547.49	12/29/15	5,181.26	...	-1,633.77	Sale
02/11/16	212,000	11,751.05	Various	17,882.28	...	-6,131.23	Total of 3 transactions
SWATCH GROUP AG ADR / CUSIP: 870123106 / Symbol: 02/10/16	13 000	219.75	02/10/15	288.98	...	-69.23	Sale
03/30/16	43,000	750.74	03/31/15	912.42	...	-161.68	Sale
03/31/16	14,000	244.51	03/31/15	297.07	...	-52.56	Sale
Security total				1,498.47	...	-283.47	
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT 11/30/16	29,000	563.97	07/13/16	1,316.37	0.00	-752.40	Sale
TESLA INC / CUSIP: 88160R101 / Symbol: TSLA							
3 transactions for 04/04/16							
	17 000	4,248.32	08/07/15	4,108.71	...	139.61	Sale
	8,000	1,999.20	08/24/15	1,735.44	...	263.76	Sale

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC / CUSIP- 88160R101 / Symbol- TSLA (cont'd)							
	8,000	1,999.21	02/22/16	1,417.67		581.54	Sale
04/04/16	33,000	8,246.73	Various	7,261.82		984.91	Total of 3 transactions
U S TREASURY INFL NOTE COUNTRY: DE NOTE RATE 2.25 % MATURES 11/15/2025 / CUSIP- 912828M56 / Symbol.							
11/15/16	46,000,000	46,140.16	12/17/15	46,013.19	0.00	126.97	Sale Original basis: \$46,014.38
U S TSY NOTE 00.125 % DUE 04/15/16 FACTOR 1.073740000000 / CUSIP- 912828QD5 / Symbol							
04/15/16	2,000,000	2,147.49	05/12/15	2,179.57	0.00	-32.08	Sale
U S TREASURY INFL NOTE RATE 1.375 % MATURES 09/30/2023 / CUSIP- 912828T26 / Symbol-							
12/09/16	13,000,000	12,290.59	10/12/16	12,808.05	0.00	-517.46	Sale Original basis: \$12,808.05
U S TREASURY INFL NOTE RATE 1.625 % MATURES 10/31/2023 / CUSIP- 912828T91 / Symbol-							
3 transactions for 12/09/16							
	19,000,000	18,254.10	11/21/16	18,405.51	0.00	-151.41	Sale Original basis: \$18,405.51
	9,000,000	8,646.68	11/22/16	8,721.21	0.00	-74.53	Sale Original basis: \$8,721.21
	9,000,000	8,646.68	11/23/16	8,686.05	0.00	-39.37	Sale Original basis: \$8,686.05
12/09/16	37,000,000	35,547.46	Various	35,812.77	0.00	-265.31	Total of 3 transactions
U S TREASURY INFL NOTE BILL RATE 0.375 % MATURES 07/15/2025 / CUSIP- 912828XL9 / Symbol-							
10/11/16	10,000,000	10,385.54	11/06/15	9,814.49	26.66	544.39	Sale Original basis: \$9,691.68
UNITEDHEALTH GROUP INC / CUSIP- 91324P102 / Symbol- UNH							
01/08/16	11,000	1,210.23	01/14/15	1,130.64	...	79.59	Sale

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Proceeds Not Reported to the IRS (continued)

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WH GROUP LTD UNSPONSORED *EXCHANGE EFF: 01/2017* ADR / CUSIP 92890T106 / Symbol:							
2 transactions for 03/08/16							
	55 000	695 61	05/18/15	826.58		-130 97	Sale
	10 000	126 47	05/19/15	154.85		-28 38	Sale
03/08/16	65 000	822.08	Various	981 43	...	-159 35	Total of 2 transactions
3 transactions for 03/09/16							
	5,000	64.52	05/19/15	77.42	...	-12 90	Sale
	15 000	193 54	05/20/15	232.04		-38 50	Sale
	11,000	141.94	05/21/15	170.33		-28 39	Sale
03/09/16	31 000	400 00	Various	479.79	...	-79.79	Total of 3 transactions
Security total.							
		1,222.08		1,461.22	...	-239 14	
TYCO INTL PLC **REVERSE SPLIT EFF 09/2016** / CUSIP: G91442106 / Symbol:							
02/12/16	6 000	199 17	05/06/15	237 30	...	-38 13	Sale
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP G97822103 / Symbol: PRGO							
12/13/16	29 000	2,418 14	03/15/16	3,863.98	...	-1,445.84	Sale
Totals:		238,457.61		262,428.45	26.66 D	-23,997.50	

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AIA GROUP LTD SPON ADR / CUSIP: 001317205 / Symbol							
07/26/16	75,000	1,924.59	01/29/14	1,411.74	..	512.85	Sale
09/21/16	89,000	2,310.63	01/29/14	1,675.28		635.35	Sale
	Security total:	4,235.22		3,087.02		1,148.20	
ABBOTT LABS COMMON STOCK / CUSIP: 002824100 / Symbol: ABBT							
4 transactions for 02/16/16							
	30,000	1,136.81	02/06/12	796.04		340.77	Sale
	15,000	568.41	02/07/12	400.69	..	167.72	Sale
	11,000	416.83	02/08/12	293.14	..	123.69	Sale
	4,000	151.58	04/08/13	145.36		6.22	Sale
02/16/16	60,000	2,273.63	Various	1,635.23	..	638.40	Total of 4 transactions
ABBVIE INC COM / CUSIP: 00287Y108 / Symbol: ABBV							
6 transactions for 05/11/16							
	30,000	1,892.68	02/06/12	863.24		1,029.44	Sale
	15,000	946.34	02/07/12	434.52		511.82	Sale
	11,000	693.99	02/08/12	317.89		376.10	Sale
	4,000	252.35	04/08/13	166.91	..	85.44	Sale
	3,000	189.27	12/03/14	205.76		-16.49	Sale
	2,000	126.18	05/06/15	127.76	..	-1.58	Sale
05/11/16	65,000	4,100.81	Various	2,116.08		1,984.73	Total of 6 transactions
ACUTY BRANDS INC / CUSIP: 00508Y102 / Symbol: AYI							
09/27/16	13,000	3,405.51	08/11/15	2,709.43	..	696.08	Sale
ADOBE SYSTEMS INC (DELAWARE) / CUSIP: 00724F101 / Symbol: ADBE							
11/09/16	40,000	4,277.21	06/29/15	3,259.77	..	1,017.44	Sale

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ADVANSIX INC / CUSIP 00773T101 / Symbol: ASIX	0 240	3.57	04/07/11	1.87	...	1 70	Sale
5 transactions for 10/11/16							
0 720	11 29	04/07/11		5.59		5 70	Sale
0 240	3.76	02/07/13		2 22		1 54	Sale
1 200	18.81	04/08/13		11 62		7 19	Sale
0.240	3.76	05/06/15		3 20	..	0.56	Sale
0 600	9 41	05/22/15		8 43	..	0 98	Sale
10/11/16	3.000	47 03	Various	31 06	.	15.97	Total of 5 transactions
Security total:		50 60		32.93		17.67	
ALEXION PHARMACEUTICALS INC / CUSIP: 015351109 / Symbol: ALXN							
01/27/16	9.000	1,360.31	04/08/13	877.78	...	482.53	Sale
ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01609W102 / Symbol: BABA							
11/08/16	19 000	1,894.79	10/09/15	1,303.76	...	591.03	Sale ²⁶
ALLIANCE DATA SYSTEMS CORP / CUSIP: 018581108 / Symbol: ADS							
08/22/16	29 000	5,800.16	04/08/13	4,487.17	...	1,312.99	Sale
ALLIANZ FIXED INCOME SHARES: SERIES C / CUSIP: 01882B205 / Symbol: MFPQIN							
04/27/16	430 000	4,407.50	04/16/13	5,858.60	...	-1,449.10	Sale
12/02/16	480 000	4,795.20	04/16/13	6,537.60	...	-1,742.40	Sale
Security total		9,202.70		12,394.20		-3,191.50	
ALLIANZ FIXED INCOME SHARES: SERIES M / CUSIP: 01882B304 / Symbol:							
07/06/16	495.000	5,336.10	04/16/13	5,578.65		-242.55	Sale
AMAZON COM INC / CUSIP 023135106 / Symbol: AMZN							
2 transactions for 02/22/16							
1 000	559.00	09/04/13		294.21		264.79	Sale

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM INC / CUSIP: 023135106 / Symbol AMZN (cont'd)							
02/22/16	2,000	1,118.00	03/28/14	688.06		429.94	Sale
	3,000	1,677.00	Various	982.27	...	694.73	Total of 2 transactions
2 transactions for 11/14/16							
	3,000	2,163.80	03/28/14	1,032.10	..	1,131.70	Sale
11/14/16	1,000	721.27	04/11/14	312.37	...	408.90	Sale
	4,000	2,885.07	Various	1,344.47	...	1,540.60	Total of 2 transactions
Security total:							
		4,562.07		2,326.74		2,235.33	
AMCOR LTD NEW AU ADR / CUSIP: 02341R302 / Symbol:							
04/28/16	10,000	467.31	11/26/14	415.70	...	51.61	Sale
04/29/16	10,000	469.30	11/26/14	415.70	...	53.60	Sale
05/04/16	5,000	233.62	11/26/14	207.85	...	25.77	Sale
Security total:							
		1,170.23		1,039.25	.	130.98	
AMER EXPRESS CO / CUSIP: 025816109 / Symbol AXP							
3 transactions for 10/18/16							
	19,000	1,142.57	04/08/13	1,244.63		-102.06	Sale
	3,000	180.40	12/03/14	273.93		-93.53	Sale
	2,000	120.27	05/06/15	155.43		-35.16	Sale
10/18/16	24,000	1,443.24	Various	1,673.99	...	-230.75	Total of 3 transactions
AMETEK INC (NEW) / CUSIP: 031100100 / Symbol AME							
09/01/16	139,000	6,725.17	04/08/13	5,663.47	...	1,061.70	Sale

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS (continued)

2016

02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8849, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR / CUSIP: 056752108 / Symbol: BIDU							
06/29/16	10,000	1,663.18	11/26/14	2,470.55	...	-807.37	Sale
3 transactions for 08/09/16							
	4,000	659.89	02/11/15	860.62	...	-200.73	Sale ²⁶
	9,000	1,484.74	03/24/15	1,948.05	..	-463.31	Sale ²⁶
	1,000	164.96	05/06/15	189.91	.	-24.95	Sale ²⁶
08/09/16	14,000	2,309.59	Various	2,988.58	...	-688.99	Total of 3 transactions
2 transactions for 10/07/16							
	2,000	361.24	11/26/14	494.11	...	-132.87	Sale
	7,000	1,264.34	02/24/15	1,460.84	...	-196.50	Sale
10/07/16	9,000	1,625.58	Various	1,954.95	...	-329.37	Total of 2 transactions
2 transactions for 12/20/16							
	3,000	495.75	05/06/15	569.73	...	-73.98	Sale ²⁶
	16,000	2,644.01	07/01/15	3,144.77	...	-500.76	Sale ²⁶
12/20/16	19,000	3,139.76	Various	3,714.50	...	-574.74	Total of 2 transactions
Security total							
		8,738.11		11,138.58	...	-2,400.47	
BANCO DE CHILE ECH REPSTG 600 SHS OF COM STK ADR / CUSIP: 059520106 / Symbol: BCH							
07/14/16	0,072	4.69	12/03/14	4.96	.	-0.27	Sale
BANK OF CHINA ADR / CUSIP: 06426M104 / Symbol:							
3 transactions for 05/19/16							
	534,000	5,071.13	03/12/14	5,346.88	.	-275.75	Sale
	135,000	1,282.03	07/23/14	1,555.97	.	-273.94	Sale
	68,000	645.76	05/06/15	1,119.96	...	-474.20	Sale
05/19/16	737,000	6,998.92	Various	8,022.81	...	-1,023.89	Total of 3 transactions

UBS FINANCIAL SERVICES INC.

Account FD 14527

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(continued)

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BHP BILLITON LIMITED SPON ADR / CUSIP: 088606108 / Symbol: BHP							
2 transactions for 03/23/16							
	13 000	332 56	04/04/13	871 26	...	-538 70	Sale
	53 000	1,355 82	04/08/13	3,584 73	...	-2,228 91	Sale
03/23/16	66,000	1,688 38	Various	4,455.99		-2,767.61	Total of 2 transactions
BIOGEN INC / CUSIP: 09062X103 / Symbol: BIIB							
06/08/16	1,000	255.27	04/08/13	193.33		61.94	Sale
08/03/16	12 000	3,795.79	04/08/13	2,319 96	...	1,475.83	Sale
	Security total:	4,051.06		2,513.29	...	1,537 77	
BRAMBLES LTD SPON ADR / CUSIP: 105105209 / Symbol:							
02/23/16	40,000	690 10	11/26/14	663 87		26 23	Sale
BRF SA SPON ADR / CUSIP: 10552T107 / Symbol: BRFS							
04/07/16	5,000	67.45	11/26/14	133.19		-65.74	Sale
04/08/16	25 000	344 24	11/26/14	665.92		-321.68	Sale
04/11/16	10 000	138.06	11/26/14	266.38		-128 32	Sale
04/12/16	15,000	205.93	11/26/14	399 56		-193.62	Sale
05/02/16	20 000	277.65	11/26/14	532 74	...	-255 09	Sale
05/04/16	15 000	197.11	11/26/14	399 56	...	-202 45	Sale
05/05/16	15 000	197.83	11/26/14	399 56	...	-201 73	Sale
05/06/16	10,000	133 04	11/26/14	266 37		-133 33	Sale
05/10/16	45,000	629 04	11/26/14	1,198.67		-569.63	Sale
	Security total	2,190 35		4,261.94		-2,071.59	
BRISTOL MYERS SQUIBB CO COMMON STOCK / CUSIP: 110122108 / Symbol: BMY							
2 transactions for 01/08/16							
	29 000	1,862 57	01/17/12	978 34	..	884 23	Sale

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BRISTOL MYERS SQUIBB CO COMMON STOCK / CUSIP: 110122108 / Symbol: BMY (cont'd)							
	9 000	578.04	04/08/13	364.77		213.27	Sale
01/08/16	38,000	2,440.61	Various	1,343.11		1,097.50	Total of 2 transactions
2 transactions for 01/27/16							
	3,000	184.20	04/08/13	121.59	..	62.61	Sale
	38 000	2,333.25	11/14/13	2,009.54	.	323.71	Sale
01/27/16	41 000	2,517.45	Various	2,131.13	.	386.32	Total of 2 transactions
05/25/16	36 000	2,544.06	11/14/13	1,903.77	..	640.29	Sale
06/27/16	20 000	1,404.77	11/14/13	1,057.65	..	347.12	Sale
Security total:		8,906.89		6,435.66	..	2,471.23	
BRITISH AMER TOBACCO PLC GB SPON ADR / CUSIP: 110448107 / Symbol: BTI							
04/12/16	7,000	825.34	12/23/13	733.16		92.18	Sale
07/26/16	11 000	1,382.69	12/23/13	1,152.11		230.58	Sale
Security total:		2,208.03		1,885.27	..	322.76	
BURLINGTON STORES INC. / CUSIP: 122017106 / Symbol: BURL							
11/22/16	31 000	2,685.56	04/17/15	1,714.99	..	970.57	Sale
OK HUTCHISON HLDGS LTD UNSPONSORED ADR / CUSIP: 12562Y100 / Symbol:							
3 transactions for 09/21/16							
	358,000	4,546.07	11/26/14	4,072.02	.	474.05	Sale ²⁶
	7,000	88.89	07/15/15	101.17		-12.28	Sale ²⁶
	200,000	2,539.70	07/15/15	3,743.32	..	-1,203.62	Sale ²⁶
09/21/16	565 000	7,174.66	Various	7,916.51	.	-741.85	Total of 3 transactions
09/30/16	5 000	63.11	07/15/15	93.59	..	-30.48	Sale ²⁶
Security total		7,237.77		8,010.10	..	-772.33	

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CME GROUP INC / CUSIP: 12572Q105 / Symbol CME	14 000	1,615.33	01/31/14	1,029.51	...	585.82	Sale
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS							
6 transactions for 11/09/16							
11/09/16	14 000	1,075.67	04/28/15	1,420.01	-	-344.34	Sale
	32 000	2,458.67	05/01/15	3,204.68		-746.01	Sale
	17 000	1,306.16	05/05/15	1,683.10		-376.94	Sale
	32 000	2,458.67	05/27/15	3,314.21		-855.54	Sale
	28 000	2,151.34	06/12/15	2,870.46		-719.12	Sale
	28 000	2,151.33	08/05/15	3,110.29		-958.96	Sale
	151 000	11,601.84	Various	15,602.75		-4,000.91	Total of 6 transactions
CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF: 06/20/16** / CUSIP: 13057Q107 / Symbol:							
03/24/16	0 166	0.20	06/16/08	0.26	...	-0.05	Sale
8 transactions for 04/13/16							
	0.677	1.10	06/16/08	1.04		0.06	Sale
	1.311	2.14	07/06/09	1.37		0.77	Sale
	0 094	0.15	03/09/10	0.13		0.02	Sale
	0 749	1.22	02/17/11	1.35		-0.13	Sale
	0 281	0.46	05/03/12	0.44		0.02	Sale
	3 089	5.04	04/08/13	4.26		0.78	Sale
	0 187	0.30	12/03/14	0.27		0.03	Sale
	2 528	4.12	01/15/15	3.41		0.71	Sale
04/13/16	8.915	14.53	Various	12.27	...	2.26	Total of 8 transactions
Security total:		14.73		12.52	...	2.21	

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CDN NATL RAILWAY CO FOREIGN STOCK CAD / CUSIP: 136375102 / Symbol.							
2 transactions for 12/12/16							
	4 000	269.50	11/26/14	296.42	.	-26.92	Sale
	9,000	607.64	11/26/14	666.94	.	-59.30	Sale
12/12/16	13,000	877.14	Various	963.36	...	-86.22	Total of 2 transactions
CHINA LIFE INSURANCE CO LTD ADS SPON ADR / CUSIP: 16939P106 / Symbol. LFC							
12/20/16	183 000	2,341.01	12/03/14	3,207.45	.	-866.44	Sale
CHURCH & DWIGHT CO INC COMMON STOCK / CUSIP: 171340102 / Symbol. CHD							
11/04/16	198 000	8,718.58	04/08/13	6,255.11	...	2,463.47	Sale
CIELO S A SPON ADR / CUSIP: 171778202 / Symbol:							
02/11/16	58,000	405.15	11/26/14	840.76	.	-435.61	Sale
04/21/16	0,400	3.64	11/26/14	4.83	.	-1.19	Sale
	Security total.	408.79		845.59	...	-436.80	
COGNIZANT TECH SOLUTIONS CRP / CUSIP: 192446102 / Symbol CTSH							
5 transactions for 07/05/16							
	104 000	5,872.92	04/08/13	3,839.16	...	2,033.76	Sale
	50 000	2,823.52	04/22/13	1,671.32		1,152.20	Sale
	34 000	1,919.99	05/15/13	1,079.33		840.66	Sale
	72,000	4,065.86	07/16/13	2,886.02		1,179.84	Sale
	13 000	734.12	10/09/14	582.01		152.11	Sale
07/05/16	273 000	15,416.41	Various	10,057.84	...	5,358.57	Total of 5 transactions
COMPASS GROUP PLC SPON ADR / CUSIP: 20449X302 / Symbol.							
2 transactions for 07/05/16							
	5 000	94.43	11/26/14	83.62	...	10.81	Sale

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS (continued)

2016

02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
COMPASS GROUP PLC SPON ADR / CUSIP: 20449X302 / Symbol (cont'd)							
	25 000	470.76	11/26/14	418.13	...	52.63	Sale
07/05/16	30 000	565.19	Various	501.75	.	63.44	Total of 2 transactions
2 transactions for 07/06/16							
	9 000	168.51	11/26/14	150.52	.	17.99	Sale
	15 000	276.17	11/26/14	250.88	...	25.29	Sale
07/06/16	24 000	444.68	Various	401.40	...	43.28	Total of 2 transactions
Security total							
		1,009.87		903.15	...	106.72	
COMPUTER SVCS INC KENTUCKY / CUSIP: 20539A105 / Symbol: CSCI							
04/06/16	112 000	4,202.81	04/04/13	3,351.54	...	851.27	Sale
DBS GROUP HLDGS LTD. SPON ADR / CUSIP: 23304Y100 / Symbol							
2 transactions for 09/21/16							
	116 000	5,171.29	03/12/14	5,798.96	..	-627.67	Sale
	15 000	668.70	05/06/15	945.53	..	-276.83	Sale
09/21/16	131 000	5,839.99	Various	6,744.49	..	-904.50	Total of 2 transactions
DENSO CORP ADR / CUSIP: 24872B100 / Symbol							
11/16/16	54 000	1,185.12	11/26/14	1,254.69	..	-69.57	Sale
12/01/16	62 000	1,363.82	11/26/14	1,440.57	...	-76.75	Sale
12/02/16	22 000	474.17	11/26/14	511.17	...	-37.00	Sale
Security total							
		3,023.11		3,206.43	...	-183.32	
DENTSPLY SIRONA INC / CUSIP: 24908P109 / Symbol: XRAY							
03/01/16	0 109	6.59	04/08/13	4.37	...	2.22	Sale
DEUTSCHE BOERSE ADR / CUSIP: 251542106 / Symbol							
08/18/16	815 000	6,949.44	11/26/14	5,908.75	...	1,040.69	Sale

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS (continued)

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02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DOLLAR GEN CORP NEW / CUSIP: 256677105 / Symbol DG						
2 transactions for 08/08/16						
	15 000	1,399.58	1,105.40		294.18	Sale
	1 000	93.31	73.37	...	19.94	Sale
08/08/16	16,000	1,492.89	1,178.77	...	314.12	Total of 2 transactions
DU PONT DE NEMOURS COMMON STOCK / CUSIP: 263534109 / Symbol DD						
2 transactions for 11/17/16						
	14,000	959.01	550.39		408.62	Sale
	13 000	890.50	543.51	..	346.99	Sale
11/17/16	27,000	1,849.51	1,093.90	.	755.61	Total of 2 transactions
2 transactions for 12/09/16						
	8 000	598.39	334.46	...	263.93	Sale
	15,000	1,121.98	783.00		338.98	Sale
12/09/16	23 000	1,720.37	1,117.46		602.91	Total of 2 transactions
Security total:						
		3,569.88	2,211.36	...	1,358.52	
EMBRAER S A SPON ADR / CUSIP: 29082A107 / Symbol ERJ						
08/09/16	94,000	1,758.90	3,648.14	...	-1,889.24	Sale
ERICSSON SEK 10 NEW 2002 ADR / CUSIP: 294821608 / Symbol: ERIC						
11/01/16	373 000	1,821.17	4,702.41	.	-2,881.24	Sale
EVERSOURCE ENERGY COM / CUSIP: 30040W108 / Symbol: ES						
3 transactions for 03/01/16						
	10,000	542.16	336.80		205.36	Sale
	3,000	162.64	110.69		51.95	Sale

UBS FINANCIAL SERVICES INC.

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(continued)

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EVERSOURCE ENERGY COM / CUSIP 30040W108 / Symbol: ES (cont'd)							
	12,000	650.59	04/08/13	522.32	..	128.27	Sale
03/01/16	25,000	1,355.39	Various	969.81	...	385.58	Total of 3 transactions
2 transactions for 04/05/16							
	32,000	1,833.47	04/08/13	1,392.86	.	440.61	Sale
	3,000	171.88	12/03/14	153.42	..	18.46	Sale
04/05/16	35,000	2,005.35	Various	1,546.28	...	459.07	Total of 2 transactions
Security total							
		3,360.74		2,516.09	...	844.65	
EXXON MOBIL CORP / CUSIP 30231G102 / Symbol: XOM							
3 transactions for 10/17/16							
	9,000	776.79	11/10/09	567.26	...	209.53	Sale
	2,000	172.62	03/09/10	133.66	...	38.96	Sale
	4,000	345.24	02/17/11	339.86	.	5.38	Sale
10/17/16	15,000	1,294.65	Various	1,040.78	...	253.87	Total of 3 transactions
FACEBOOK INC CL A / CUSIP 30303M102 / Symbol: FB							
01/27/16	16,000	1,514.40	06/19/13	390.21	.	1,124.19	Sale
2 transactions for 01/29/16							
	29,000	3,242.46	06/19/13	707.25	...	2,535.21	Sale
	31,000	3,477.15	06/19/13	756.03	...	2,721.12	Sale
01/29/16	60,000	6,719.61	Various	1,463.28	...	5,256.33	Total of 2 transactions
Security total							
	5,000	538.14	06/19/13	121.94	...	416.20	Sale
02/22/16		8,772.15		1,975.43	.	6,796.72	
FNMA NTS 00.562 % DUE 04/01/16 DTD 04/06/11 FC 07012011 / CUSIP 3136FRGA5 / Symbol:							
04/01/16	52,000,000	52,000.00	05/13/13	52,000.00		0.00	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 9949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss (-) & Loss not allowed (X) also not reported (Z)	Additional information
FIREEYE INC / CUSIP 31816Q101 / Symbol: FEYE							
09/22/16	202,000	2,920.62	11/05/14	5,833.44		-2,912.82	Sale
FIRST CASH FINCL SERVICES **NAME CHANGE EFF: 09/20/16** / CUSIP 31942D107 / Symbol:							
03/23/16	134,000	5,861.84	04/04/13	7,474.33	...	-1,612.49	Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
2 transactions for 10/12/16							
	37,000	1,123.86	10/20/14	926.14		197.72	Sale
	136,000	4,130.94	12/04/14	3,706.41		424.53	Sale
10/12/16	173,000	5,254.80	Various	4,632.55		622.25	Total of 2 transactions
FORTUNE BRANDS HOME & SEC INC COM / CUSIP: 34964C106 / Symbol: FBHS							
4 transactions for 12/20/16							
	14,000	767.02	05/02/13	524.80		242.22	Sale
	73,000	3,999.47	07/01/13	2,884.96		1,114.51	Sale
	51,000	2,794.15	12/03/13	2,160.78	...	633.37	Sale
	75,000	4,109.05	07/29/15	3,561.59		547.46	Sale
12/20/16	213,000	11,669.69	Various	9,132.13		2,537.56	Total of 4 transactions
G&K SVCS INC COM .50 CTS PAR VALUE CLA / CUSIP 361268105 / Symbol: GK							
04/29/16	30,000	2,124.63	10/17/14	1,765.93	..	358.70	Sale
2 transactions for 08/25/16							
	19,000	1,842.52	10/17/14	1,118.43		724.09	Sale
	5,000	484.87	05/06/15	347.40		137.47	Sale
08/25/16	24,000	2,327.39	Various	1,465.83		861.56	Total of 2 transactions
Security total.				4,452.02		1,220.26	

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Proceeds Not Reported to the IRS (continued)

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02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GAP INC COMMON STOCK / CUSIP: 364760108 / Symbol: GPS							
08/25/16	53,000	1,410.94	08/13/15	1,833.74	..	-422.80	Sale
GENL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE							
04/05/16	32,000	993.24	04/12/94	264.95	..	728.29	Sale
GLOBUS MED INC CL A / CUSIP: 379577208 / Symbol: GMED							
10/12/16	136,000	3,080.52	03/12/14	3,565.21	..	-484.69	Sale
GOLDMAN SACHS GROUP INC 05.750% 100116 DTD091906 FCO40107 NTS / CUSIP: 38141GER1 / Symbol:							
10/01/16	10,000,000	10,000.00	09/09/15	10,000.00	..	0.00	Sale
GREAT WALL MTR CO LTD UNSPON ADR / CUSIP: 391378109 / Symbol:							
03/29/16	20,000	159.06	11/26/14	329.60	.	-170.54	Sale
03/30/16	10,000	79.51	11/26/14	164.80	.	-85.29	Sale
03/31/16	35,000	282.10	11/26/14	576.80		-294.70	Sale
04/01/16	10,000	77.92	11/26/14	164.80		-86.88	Sale
04/05/16	15,000	112.88	11/26/14	247.20		-134.32	Sale
04/06/16	130,000	983.12	11/26/14	2,142.40		-1,159.28	Sale
04/08/16	23,000	172.02	11/26/14	379.04	..	-207.02	Sale
Security total:		1,866.61		4,004.64		-2,138.03	
GRUPE CGI INC SV CL A FOREIGN STOCK CAD / CUSIP: 39945C109 / Symbol:							
04/18/16	10,000	491.56	11/26/14	368.20	..	123.36	Sale
05/04/16	10,000	446.36	11/26/14	368.20	..	78.16	Sale
05/05/16	10,000	448.74	11/26/14	368.20	..	80.54	Sale
05/10/16	15,000	671.48	11/26/14	552.30	..	119.18	Sale
05/16/16	6,000	274.24	11/26/14	220.92	..	53.32	Sale
Security total:		2,332.38		1,877.82	..	454.56	

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GRUPO TELEVISIA SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR / CUSIP: 40049J206 / Symbol: TV	5 000	112.60	11/26/14	185.09	...	-72.49	Sale
2 transactions for 11/18/16							
4 000	87.59	11/26/14	148.08		...	-60.49	Sale
12,000	259.01	11/26/14	444.21		.	-185.20	Sale
16,000	346.60	Various	592.29			-245.69	Total of 2 transactions
11/18/16							
11/21/16	6,000	127.80	11/26/14	222.10		-94.30	Sale
2 transactions for 11/22/16							
3 000	63.00	11/26/14	111.06		.	-48.06	Sale
10 000	208.75	11/26/14	370.18			-161.43	Sale
13 000	271.75	Various	481.24			-209.49	Total of 2 transactions
11/22/16							
2 transactions for 11/29/16							
3 000	63.00	11/26/14	111.05			-48.05	Sale
25,000	524.99	11/26/14	925.45		...	-400.46	Sale
28 000	587.99	Various	1,036.50			-448.51	Total of 2 transactions
11/29/16							
5,000	105.01	11/26/14	185.09		..	-80.08	Sale
12/20/16	15,000	315.37	11/26/14	555.27	.	-239.90	Sale
12/21/16							
Security total		1,867.12		3,257.58	.	-1,390.46	
GULFPORT ENERGY CORP NEW / CUSIP: 402635304 / Symbol: GPOR							
2 transactions for 12/29/16							
46 000	1,003.46	04/08/13	2,174.30		...	-1,170.84	Sale
58 000	1,265.23	10/15/14	2,442.44		..	-1,177.21	Sale
104 000	2,268.69	Various	4,616.74		...	-2,348.05	Total of 2 transactions
12/29/16							
HEARTLAND PMT SYSTEMS INC ***MERGER EFF 04/2016** / CUSIP: 42235N108 / Symbol							
99 000	8,810.45	07/08/14	4,110.67		...	4,699.78	Sale
01/20/16							

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
HENRY JACK & ASSOC INC COMMON STOCK / CUSIP: 426281101 / Symbol JKHY							
01/20/16	6 000	447.15	04/04/13	270 07	...	177 08	Sale
01/21/16	28 000	2,130.14	04/04/13	1,260 35	..	869 79	Sale
	Security total	2,577 29		1,530 42		1,046 87	
HOME DEPOT INC / CUSIP 437076102 / Symbol HD							
3 transactions for 03/01/16							
	3,000	376 27	02/17/11	114.22		262.05	Sale
	1,000	125 43	05/03/12	52.75		72 68	Sale
	6,000	752 54	04/08/13	424.02	..	328.52	Sale
03/01/16	10 000	1,254.24	Various	590.99	...	663 25	Total of 3 transactions
03/11/16	11,000	1,410.54	04/08/13	777.37	...	633 17	Sale
08/08/16	10,000	1,363.94	04/08/13	706.70	...	657.24	Sale
09/23/16	12,000	1,536 80	04/08/13	848.04	...	688.76	Sale
	Security total	5,565.52		2,923.10		2,642.42	
ILLUMINA INC / CUSIP: 452327109 / Symbol: ILMN							
08/12/16	5,000	832.46	02/11/14	805.42	...	27 04	Sale
INDUSTRIAL & COML BK CHINA ADR / CUSIP 455807107 / Symbol:							
02/26/16	137 000	1,344.98	11/26/14	1,831 69	...	-486 71	Sale
06/27/16	197 000	2,193.37	11/26/14	2,633 89	..	-440 52	Sale
06/29/16	11,000	120.73	11/26/14	147 07		-26 34	Sale
	Security total	3,659.08		4,612.65		-953.57	
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC							
5 transactions for 08/08/16							
	28 000	980.26	01/17/12	703.70		276.56	Sale
	103,000	3,605 95	09/17/12	2,393.83		1,212.12	Sale

UBS FINANCIAL SERVICES INC.

Account FD 14527

Proceeds Not Reported to the IRS

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2016

02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC (cont'd)							
	24 000	840.22	09/18/12	561.32	...	278.90	Sale
	91 000	3,185.85	02/14/14	2,238.43	...	947.42	Sale
	47 000	1,645.43	08/14/14	1,594.20	...	51.23	Sale
08/08/16	293 000	10,257.71	Various	7,491.48	...	2,766.23	Total of 5 transactions
INTERCEPT PHARMACEUTICALS INC. / CUSIP: 45845P108 / Symbol: ICPT							
09/20/16	19 000	3,171.26	03/13/15	5,210.77	...	-2,039.51	Sale
INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE							
05/21/16	15 000	3,782.49	05/14/15	3,599.92	...	182.57	Sale
11/14/16	31 000	1,719.34	05/14/15	1,487.96	...	231.38	Sale
	Security total	5,501.83		5,087.88	..	413.95	
INTL BUSINESS MACH / CUSIP: 459200101 / Symbol: IBM							
	3 transactions for 01/27/16						
	14 000	1,709.33	01/17/12	2,525.59		-816.26	Sale
	1 000	122.10	04/08/13	208.24		-86.14	Sale
	1 000	122.09	12/03/14	163.24		-41.15	Sale
01/27/16	16 000	1,953.52	Various	2,897.07		-943.55	Total of 3 transactions
INTL BUSINESS MACH NOTE RATE 7.625 % MATURES 10/15/2018 / CUSIP: 459200GM7 / Symbol:							
02/23/16	11,000.000	12,676.84	05/08/13	12,760.92	0.00	-84.08	Sale
							Original basis: \$14,549.70
INTL PAPER CO COMMON STOCK / CUSIP: 460146103 / Symbol: IP							
04/05/16	42 000	1,677.50	07/15/13	1,990.29	...	-312.79	Sale
IONIS PHARMACEUTICALS INC / CUSIP: 462222100 / Symbol: IONS							
05/26/16	92 000	2,164.71	12/10/14	5,644.03		-3,479.32	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property		Proceeds & Reported		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)		Additional information
Date sold or disposed	Quantity	(G)ross or (N)et	Date acquired					
ITAUNIBANCO HOLDING SA ADR / CUSIP, 465562106 / Symbol, ITUB								
10/25/16	0.200	2.26	01/28/14	2.06		0.20	Sale	
JAPAN TOBACCO INC UNSPONSORED ADR / CUSIP, 471105205 / Symbol,								
02/03/16	10.000	194.28	01/07/15	130.78	...	63.50	Sale	
02/05/16	20.000	398.43	01/07/15	261.56	...	136.87	Sale	
02/08/16	10.000	196.82	01/07/15	130.77	...	66.05	Sale	
03/01/16	15.000	306.56	01/07/15	196.17	...	110.39	Sale	
03/02/16	10.000	207.35	01/07/15	130.78		76.57	Sale	
	Security total	1,303.44		850.06		453.38		
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP, 478160104 / Symbol, JNJ								
05/19/16	11.000	1,236.15	01/17/12	717.81		518.34	Sale	
11/14/16	13.000	1,529.39	01/17/12	848.32	...	681.07	Sale	
11/17/16	14.000	1,629.53	01/17/12	913.58		715.95	Sale	
	Security total	4,395.07		2,479.71		1,915.36		
KIMBERLY CLARK CORP RATE 6.125 % MATURES 08/01/2017 CALLABLE / CUSIP, 494368BB8 / Symbol,								
	2 transactions for 09/01/16							
	12,000 000	12,549.84	04/16/13	12,556.89	0.00	-7.05	Sale	Original basis, \$14,563.56
	2,000 000	2,091.64	05/18/15	2,094.06	0.00	-2.42	Sale	Original basis, \$2,224.72
09/01/16	14,000 000	14,641.48	Various	14,650.95	0.00	-9.47	Total of 2 transactions	
KINGFISHER PLC NEW SPON ADR / CUSIP, 495724403 / Symbol,								
	2 transactions for 06/28/16							
	22,000	183.56	11/25/13	279.11		-95.55	Sale	
	8,000	66.75	11/26/13	103.39	...	-36.64	Sale	
06/28/16	30,000	250.31	Various	382.50		-132.19	Total of 2 transactions	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
KINGFISHER PLC NEW SPON ADR / CUSIP 495724403 / Symbol: (cont'd)							
06/29/16	20,000	173.15	11/26/13	258.48	...	-85.33	Sale
06/30/16	15,000	127.21	11/26/13	193.86	...	-66.65	Sale
07/01/16	15,000	130.04	11/26/13	193.86	...	-63.82	Sale
07/05/16	10,000	80.07	11/26/13	129.24	...	-49.17	Sale
07/06/16	15,000	117.94	11/26/13	193.85	...	-75.91	Sale
2 transactions for 07/15/16							
	96,000	834.16	11/26/13	1,240.70		-406.54	Sale
	22,000	191.16	12/13/13	263.50		-72.34	Sale
07/15/16	118,000	1,025.32	Various	1,504.20	...	-478.88	Total of 2 transactions
Security total.							
		1,904.04		2,855.99	...	-951.95	
KOMATSU LTD NEW SPON ADR / CUSIP 500458401 / Symbol:							
11/04/16	18,000	391.50	08/27/14	412.70	...	-21.20	Sale
LANDSTAR SYSTEMS INC COMMON STOCK / CUSIP: 515098101 / Symbol: LSTR							
11/30/16	43,000	3,526.15	04/04/13	2,320.75	...	1,205.40	Sale
LENNAR CORP *RECLASSIFICATION AS OF 4/03* / CUSIP: 526057104 / Symbol: LEN							
12/20/16	144,000	6,207.63	10/22/15	7,422.58		-1,214.95	Sale
LENOVO GROUP LTD SPON ADR / CUSIP: 526250105 / Symbol:							
03/18/16	216,000	3,538.33	01/29/14	6,138.22	...	-2,599.89	Sale
LINKEDIN CORP *MERGER EFF 12/2016* CL A / CUSIP: 53578A108 / Symbol							
01/27/16	5,000	942.39	04/08/13	858.70	...	83.69	Sale
8 transactions for 06/15/16							
	11,000	2,104.80	04/08/13	1,889.14	...	215.66	Sale
	7,000	1,339.42	01/29/14	1,458.53	...	-119.11	Sale
	10,000	1,913.45	02/25/14	2,097.62	...	-184.17	Sale
	14,000	2,678.84	03/10/14	2,846.15	...	-167.31	Sale

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Proceeds Not Reported to the IRS (continued)

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Symbol	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
LINKEDIN CORP *MERGER EFF: 12/20/16* CL A / CUSIP: 53578A108 / Symbol: (cont'd)								
	9,000	1,722.11	03/20/14		1,849.14		-127.03	Sale
	3,000	574.03	03/26/14		559.91		14.12	Sale
	4,000	765.39	03/28/14		768.72		-3.33	Sale
	7,000	1,339.41	10/09/14		1,433.92		-94.51	Sale
06/15/16	65,000	12,437.45	Various		12,903.13		-465.68	Total of 8 transactions
Security total								
		13,379.84			13,761.83		-381.99	
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD								
01/29/16	10,000	1,232.96	11/11/11		954.36		278.60	Sale
08/08/16	13,000	1,538.36	11/11/11		1,240.67		297.69	Sale
4 transactions for 08/25/16								
	30,000	3,460.09	11/11/11		2,863.08		597.01	Sale
	1,000	115.33	05/03/12		97.26		18.07	Sale
	3,000	346.01	12/03/14		286.14		59.87	Sale
	3,000	346.01	05/06/15		288.78		57.23	Sale
08/25/16	37,000	4,267.44	Various		3,536.26		732.18	Total of 4 transactions
Security total								
		7,038.76			5,730.29		1,308.47	
MEDICLINIC INTL LTD ADR / CUSIP: 58470X106 / Symbol:								
2 transactions for 03/10/16								
	83,000	3,162.70	09/03/14		3,717.71		-555.01	Merger
	80,000	3,048.38	12/03/14		3,356.96		-308.58	Merger
03/10/16	163,000	6,211.08	Various		7,074.67		-863.59	Total of 2 transactions
MERCADOLIBRE INC / CUSIP: 58733R102 / Symbol: MELI								
05/23/16	17,000	2,262.06	10/02/14		1,851.95		410.11	Sale
06/08/16	32,000	4,362.19	10/02/14		3,486.02		876.17	Sale

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Proceeds Not Reported to the IRS (continued)

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MERCADOLIBRE INC / CUSIP: 58733R102 / Symbol: MELI (cont'd)							
07/26/16	10,000	1,511.89	10/02/14	1,089.38	...	422.51	Sale
08/29/16	27,000	4,508.93	10/02/14	2,941.33	...	1,567.60	Sale
09/01/16	7,000	1,204.52	10/02/14	762.57	...	441.95	Sale
	Security total:	13,849.59		10,131.25		3,718.34	
MONSTER BEVERAGE CORP NEW COM / CUSIP: 61174X109 / Symbol: MNST							
05/09/16	15,000	2,222.85	05/08/15	1,990.73	...	232.12	Sale
07/14/16	26,000	4,192.15	05/08/15	3,450.61	...	741.54	Sale
08/04/16	10,000	1,573.83	05/08/15	1,327.15	...	246.68	Sale
	Security total:	7,988.83		6,768.49		1,220.34	
NATL BEVERAGE CORP COMMON STOCK / CUSIP: 635017106 / Symbol: FIZZ							
01/22/16	35,000	1,436.54	04/04/13	485.35	...	951.19	Sale
03/29/16	10,000	423.48	04/04/13	138.67	...	284.81	Sale
03/30/16	28,000	1,192.57	04/04/13	388.28	...	804.29	Sale
07/12/16	43,000	2,629.82	04/04/13	596.28	...	2,033.54	Sale ²⁸
	Security total:	5,682.41		1,608.58		4,073.83	
NETEASE INC SPON ADR / CUSIP: 64110W102 / Symbol: NTES							
07/26/16	19,000	3,906.24	01/28/14	1,450.22	...	2,456.02	Sale
11/08/16	12,000	2,990.69	01/28/14	915.93	...	2,074.76	Sale
	Security total:	6,896.93		2,366.15		4,530.78	
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE							
02/22/16	41,000	2,476.49	11/20/14	1,991.67	...	484.82	Sale
NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC							
05/19/16	6,000	1,263.42	06/16/08	393.25	...	870.17	Sale

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 9949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NOVO NORDISK ADR DENMARK ADR / CUSIP- 670100205 / Symbol NVO							
08/08/16	68 000	3 220 07	04/06/15	3,752.42		-532.35	Sale ²⁶
08/10/16	12 000	568 27	11/26/14	537.81		28.46	Sale
	2 transactions for 09/20/16						
	192,000	8,852.14	04/06/15	10,595.06		-1,742.92	Sale ²⁶
	72,000	3,319.55	08/07/15	4,133.10	...	-813.55	Sale ²⁶
09/20/16	264 000	12,171.69	Various	14,728.16	...	-2,556.47	Total of 2 transactions
	Security total.						
		15,956.03		19,018.39	...	-3,060.36	
O RELLY AUTOMOTIVE INC / CUSIP- 67103H107 / Symbol: ORLY							
07/19/16	14,000	3,788.47	04/08/13	1,450.45		2,338.02	Sale
OPPENHEIMER INTL BOND FUND CLASS Y / CUSIP- 68380T509 / Symbol-							
04/25/16	128,988	740.45	04/04/13	816.48	76.03 W	0.00	Sale
PRA GROUP INC COM / CUSIP- 69354N106 / Symbol- PRAA							
02/26/16	201,000	4,468.41	04/08/13	8,156.58	.	-3,688.17	Sale
PT ASTRA INTL TBK ADR / CUSIP- 69367X109 / Symbol							
	2 transactions for 09/30/16						
	230,000	2,966.34	01/29/14	2,435.40	.	532.94	Sale
	75,000	967.93	03/28/14	988.50	...	-20.57	Sale
09/30/16	305 000	3,936.27	Various	3,423.90		512.37	Total of 2 transactions
PENSKE AUTOMOTIVE GROUP INC / CUSIP- 70959W103 / Symbol- PAG							
	2 transactions for 02/02/16						
	105,000	3,152.82	04/18/13	3,026.66		126.16	Sale
	43,000	1,291.16	06/28/13	1,316.99		-25.83	Sale
02/02/16	148,000	4,443.98	Various	4,343.65	...	100.33	Total of 2 transactions

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02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PIMCO COMMODITYREALRETURN STRATEGY FUND CLASS P / CUSIP: 72201M842 / Symbol							
01/25/16	133.543	791.91	04/04/13	1,718.12	926.21 W	0.00	Sale
06/07/16	81.684	587.31	04/04/13	1,050.92	238.51 W	-225.10	Sale
	Security total:	1,379.22		2,769.04	1,164.72 W	-225.10	
PRICELINE GROUP INC NEW / CUSIP: 741503403 / Symbol: PCLN							
01/27/16	1.000	1,043.91	04/08/13	690.62		353.29	Sale
11/14/16	2.000	2,998.63	04/08/13	1,381.24		1,617.39	Sale
	Security total:	4,042.54		2,071.86	...	1,970.68	
PRIMERICA INC / CUSIP: 74164M108 / Symbol: PRI							
12/02/16	62.000	4,363.41	05/05/14	2,838.72	...	1,524.69	Sale
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG							
04/13/16	18.000	1,474.70	06/16/08	1,192.95	...	281.75	Sale
	3 transactions for 06/20/16						
	12.000	1,002.58	06/16/08	795.30		207.28	Sale
	2.000	167.10	03/09/10	126.68		40.42	Sale
	4.000	334.19	02/17/11	256.58	...	77.61	Sale
06/20/16	18.000	1,503.87	Various	1,178.56		325.31	Total of 3 transactions
	2 transactions for 06/24/16						
	9.000	748.42	02/17/11	577.29		171.13	Sale
	6.000	498.95	01/03/12	401.38		97.57	Sale
06/24/16	15.000	1,247.37	Various	978.67	...	268.70	Total of 2 transactions
	Security total:	4,225.94		3,350.18	...	875.76	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2016

02/27/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column] Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
QUESTAR CORP *MERGER EFF- 09/2016* / CUSIP: 748356102 / Symbol:							
2 transactions for 02/23/16							
	190,000	4,740.64	08/02/13	4,697.43	...	43.21	Sale
	119,000	2,969.14	01/27/14	2,756.62	...	212.52	Sale
02/23/16	309,000	7,709.78	Various	7,454.05	...	255.73	Total of 2 transactions
RAYTHEON CO NEW / CUSIP: 755111507 / Symbol: RTN							
3 transactions for 05/19/16							
	2,000	258.11	06/17/08	116.79	...	141.32	Sale
	3,000	387.16	03/09/10	170.96	...	216.20	Sale
	5,000	645.27	02/17/11	259.23	...	386.04	Sale
05/19/16	10,000	1,290.54	Various	546.98	...	743.56	Total of 3 transactions
3 transactions for 11/17/16							
	8,000	1,158.33	02/17/11	414.78	...	743.55	Sale
	2,000	289.59	05/03/12	107.79	...	181.80	Sale
	1,000	144.79	04/08/13	57.96	...	86.83	Sale
11/17/16	11,000	1,592.71	Various	580.53	...	1,012.18	Total of 3 transactions
Security total							
		2,883.25		1,127.51		1,755.74	
REYNOLDS AMERICAN INC (HOLDING CO) / CUSIP: 761713106 / Symbol: RAI							
09/23/16	34,000	1,650.91	09/03/15	1,434.31	...	216.60	Sale
ROBERT HALF INTL INC COMMON STOCK / CUSIP: 770323103 / Symbol: RHI							
02/03/16	141,000	5,549.32	04/08/13	5,002.23	...	547.09	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net) acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR / CUSIP 780259107 / Symbol RDSB							
06/24/16	23 000	1,197.06	11/26/14	1,723.52	..	-526.46	Sale
10/07/16	26 000	1,420.86	11/26/14	1,948.32	..	-527.46	Sale
	Security total:	2,617.92		3,671.84	..	-1,053.92	
SALLY BEAUTY CO INC / CUSIP: 79546E104 / Symbol SBH							
2 transactions for 09/15/16							
09/15/16	207 000	5,509.56	04/08/13	6,040.34	530.78 W	0.00	Sale
	100 000	2,661.62	11/19/13	2,778.21	80.45 W	-36.14	Sale
	307 000	8,171.18	Various	8,818.55	611.23 W	-36.14	Total of 2 transactions
SCHNEIDER ELECTRIC SE UNSPONSORED ADR / CUSIP 80887P106 / Symbol							
01/14/16	55 000	570.21	11/26/14	904.08	..	-333.87	Sale
01/15/16	10 000	103.20	11/26/14	164.38	..	-61.18	Sale
01/19/16	45 000	462.71	11/26/14	739.70	..	-276.99	Sale
01/22/16	15 000	160.26	11/26/14	246.57	..	-86.31	Sale
02/04/16	10 000	110.82	11/26/14	164.38	..	-53.56	Sale
02/05/16	76 000	848.68	11/26/14	1,249.27	..	-400.59	Sale
	Security total	2,255.88		3,468.38	..	-1,212.50	
SERVICENOW INC / CUSIP 81762P102 / Symbol NOW							
08/04/16	14 000	1,048.90	03/16/15	1,092.76	..	-43.86	Sale
2 transactions for 11/09/16							
11/09/16	47 000	3,986.49	03/16/15	3,668.54	..	317.95	Sale
	14 000	1,187.46	04/21/15	1,074.19	..	113.27	Sale
	61 000	5,173.95	Various	4,742.73	..	431.22	Total of 2 transactions
	Security total	6,222.85		5,835.49	..	387.36	

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2016

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SMITH & NEPHEW PLC NEW SPON ADR / CUSIP: 83175M205 / Symbol: SNN							
08/02/16	52 000	1,736.63	11/26/14	1,817.34		-80.71	Sale
SMITH A O CORP COMMON STOCK / CUSIP: 831865209 / Symbol: AOS							
07/19/16	37 000	3,365.01	04/08/13	1,277.25	..	2,087.76	Sale
SPLUNK INC / CUSIP: 848637104 / Symbol: SPLK							
06/03/16	36 000	2,075.83	07/08/14	1,719.09	..	356.74	Sale
08/08/16	54 000	3,321.56	07/08/14	2,578.63	..	742.93	Sale
Security total		5,397.39		4,297.72		1,099.67	
SWATCH GROUP AG ADR / CUSIP: 870123106 / Symbol:							
02/10/16	35 000	591.63	02/09/15	772.45		-180.82	Sale
02/17/16	59 000	1,035.52	02/10/15	1,311.55		-276.03	Sale
2 transactions for 03/21/16							
38 000		662.56	02/10/15	844.72	..	-182.16	Sale
2 000		34.87	03/10/15	41.62	..	-6.75	Sale
40 000		697.43	Various	886.34	..	-188.91	Total of 2 transactions
03/21/16							
03/30/16	12 000	209.51	03/10/15	249.71	..	-40.20	Sale
Security total		2,534.09		3,220.05	..	-685.96	
SYNGENTA AG SPON ADR / CUSIP: 87160A100 / Symbol: SYT							
2 transactions for 02/03/16							
5 000		406.61	11/26/14	332.09		74.52	Sale
14 000		1,138.88	11/26/14	929.86		209.02	Sale
19 000		1,545.49	Various	1,261.95		283.54	Total of 2 transactions
02/03/16							
03/08/16	14 000	1,148.47	11/26/14	929.85	..	218.62	Sale
04/21/16	10 000	833.20	11/26/14	664.18	..	169.02	Sale
05/24/16	10 000	792.68	11/26/14	664.18	..	128.50	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2016

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SYNGENTA AG SPON ADR / CUSIP: 87160A100 / Symbol: SYT (cont'd)							
07/27/16	12,000	953.38	11/26/14	797.02		156.36	Sale
08/23/16	15,000	1,307.18	11/26/14	996.28		310.90	Sale
	Security total:	6,580.40		5,313.46	...	1,266.94	
SYNTEL INC / CUSIP: 87162H103 / Symbol: SYNT							
2 transactions for 11/30/16							
	148,000	2,878.17	04/04/13	4,580.61	0.00	-1,702.44	Sale
	12,000	233.36	05/06/15	503.15	0.00	-269.79	Sale
11/30/16	160,000	3,111.53	Various	5,083.76	0.00	-1,972.23	Total of 2 transactions
TOW EMERGING MARKETS INCOME FUND CLASS I / CUSIP: 87234N765 / Symbol							
04/25/16	162,368	1,276.21	04/04/13	1,494.88	218.67 W	0.00	Sale
TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP: 874039100 / Symbol: TSM							
10/13/16	49,000	1,485.35	03/12/14	923.55	...	561.80	Sale ^{2a}
TARGET CORPORATION CALL@MMW+35BP RATE 6.00 % MATURES 01/15/2018 CALLABLE / CUSIP: 87612EAS5 / Symbol:							
02/18/16	13,000,000	14,159.73	05/09/13	14,180.60	0.00	-20.87	Sale
							Original basis: \$15,851.55
TELEFLEX INC COMMON STOCK / CUSIP: 879369106 / Symbol: TFX							
2 transactions for 04/01/16							
	9,000	1,403.52	02/01/13	680.88		722.64	Sale
	65,000	10,136.54	04/08/13	5,545.80	..	4,590.74	Sale
04/01/16	74,000	11,540.05	Various	6,226.68	..	5,313.38	Total of 2 transactions
TENCENT HLDGS LTD ADR / CUSIP: 88032Q109 / Symbol:							
12/20/16	122,000	2,864.53	01/29/14	1,645.73	...	1,218.80	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2016

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net) acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC / CUSIP: 88160R101 / Symbol: TSLA							
4 transactions for 04/04/16							
	1 000	249 90	11/19/13	125.66		124.24	Sale
	21 000	5,247 92	12/17/13	3,235.18		2,012 74	Sale
	20 000	4,998 01	12/27/13	3,035 92		1,962.09	Sale
	1 000	249 90	12/19/14	217 05		32 85	Sale
04/04/16	43 000	10 745 73	Various	6,613 81		4,131.92	Total of 4 transactions
TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP: 8923331307 / Symbol: TM							
02/25/16	18 000	1,882 14	11/26/14	2,188 08		-305.94	Sale
TRAVELERS COS INC/THE / CUSIP: 89417E109 / Symbol: TRV							
01/27/16	11 000	1,145 65	05/04/13	922 98		222 67	Sale
ULTA BEAUTY, INC / CUSIP: 90384S303 / Symbol: ULTA							
2 transactions for 06/28/16							
	14 000	3,288 88	07/02/13	1,376 32		1,912 56	Sale
	2 000	469 84	12/06/13	194 02		275 82	Sale
06/28/16	16 000	3,758 72	Various	1,570 34		2,188.38	Total of 2 transactions
UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI							
01/12/16	111 000	4,115 40	10/29/14	7,342.59		-3,227.19	Sale
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR / CUSIP: 911271302 / Symbol:							
02/17/16	20 000	489 87	11/26/14	738.00		-248.13	Sale
02/18/16	5 000	122 77	11/26/14	184.50		-61.73	Sale
08/16/16	58 000	1,522 88	11/26/14	2,140 20		-617 32	Sale
	Security total:	2,135 52		3,062 70		-927.18	
US TSY NOTE 00 125 % DUE 04/15/16 FACTOR 1 073740000000 / CUSIP: 912828QD5 / Symbol:							
04/15/16	12,000 000	12,884.91	01/12/15	12,848.15	36 76 D	0 00	Sale

UBS FINANCIAL SERVICES INC.

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Proceeds Not Reported to the IRS (continued)

2016

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
U S TREASURY INFL NOTE RATE 1.75 % MATURES 05/15/2022 / CUSIP 912828SV3 / Symbol:						
2 transactions for 09/29/16						
	37,000 000	37,965.47	37,325.30	0.00	640.17	Sale Original basis: \$37,511.64
	2,000 000	2,052.19	1,971.25	0.00	80.94	Sale Original basis: \$1,971.25
09/29/16	39,000,000	40,017.66	39,296.55	0.00	721.11	Total of 2 transactions
09/29/16	4,000,000	4,104.37	3,905.63	16.10 D	182.64	Sale Original basis: \$3,905.63
Security total:						
		44,122.03	43,202.18	16.10 D	903.75	
U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP 912828TE0 / Symbol:						
10/12/16	4,000 000	4,263.13	4,522.89	0.00	-259.76	Sale Original basis: \$4,519.69
10/13/16	4,000 000	4,267.84	4,522.65	0.00	-254.81	Sale Original basis: \$4,519.69
10/14/16	4,000,000	4,277.98	4,522.42	0.00	-244.44	Sale Original basis: \$4,519.69
10/17/16	6,000 000	6,426.96	6,783.43	0.00	-356.47	Sale Original basis: \$6,779.54
10/18/16	6,000,000	6,433.53	6,783.50	0.00	-349.97	Sale Original basis: \$6,779.53
10/27/16	2,000,000	2,139.15	2,096.86	0.00	42.29	Sale Original basis: \$2,070.65
2 transactions for 10/27/16						
	5,000,000	5,347.88	5,056.05	22.38 D	269.45	Sale Original basis: \$4,989.86
	2,000 000	2,139.15	2,046.65	5.99 D	86.51	Sale Original basis: \$2,020.17
10/27/16	7,000,000	7,487.03	7,102.70	28.37 D	355.96	Total of 2 transactions

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Proceeds Not Reported to the IRS

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP: 912828TE0 / Symbol: (cont'd)							
Security total:		35,295.62		36,334.45	28.37 D	-1,067.20	
U S TREASURY INFL NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:							
2 transactions for 09/13/16							
23,000,000		22,998.20	10/21/14	22,950.59	0.00	47.61	Sale Original basis: \$22,950.59
4,000,000		3,999.69	05/12/15	3,993.13	0.00	6.56	Sale Original basis: \$3,993.13
27,000,000		26,997.89	Various	26,943.72	0.00	54.17	Total of 2 transactions
09/13/16							
U S TREASURY INFL NOTE BILL RATE 0.375 % MATURES 07/15/2025 / CUSIP: 912828XL9 / Symbol:							
11/18/16		9,138.53	11/06/15	8,848.59	27.16 D	262.78	Sale Original basis: \$8,722.51
11/21/16		8,137.45	11/06/15	7,866.92	24.38 D	246.15	Sale Original basis: \$7,753.35
11/22/16		8,149.85	11/06/15	7,867.41	24.46 D	257.98	Sale Original basis: \$7,753.34
Security total:		25,425.83		24,582.92	76.00 D	766.91	
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP: 913017109 / Symbol: UTX							
2 transactions for 06/27/16							
25,000		2,429.71	04/08/13	2,325.42		104.29	Sale
3,000		291.57	05/06/15	344.49		-52.92	Sale
28,000		2,721.28	Various	2,669.91	.	51.37	Total of 2 transactions
06/27/16							
VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ							
02/11/16		1,288.14	01/17/12	1,017.01	...	271.13	Sale
03/11/16		1,307.98	01/17/12	977.90	...	330.08	Sale
Security total:		2,596.12		1,994.91	...	601.21	

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

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VILLAGE SUPER MARKET INC CL A NEW CL A COMMON STOCK / CUSIP: 927107409 / Symbol VLGEA							
2 transactions for 11/08/16							
	54 000	1,654.77	10/08/13	1,881.55		-226.78	Sale
	31 000	949.96	09/29/14	709.22		240.74	Sale
11/08/16	85 000	2,604.73	Various	2,590.77	...	13.96	Total of 2 transactions
VISA INC CL A / CUSIP: 92826C839 / Symbol V							
01/27/16	34 000	2,406.48	04/08/13	1,402.50		1,003.98	Sale
02/22/16	28 000	2,045.84	04/08/13	1,155.00		890.84	Sale
11/08/16	30 000	2,490.34	04/08/13	1,237.50		1,252.84	Sale
11/14/16	21 000	1,653.04	04/08/13	866.25		786.79	Sale
	Security total	8,595.70		4,661.25		3,934.45	
WD-40 COMPANY (DEL.) / CUSIP: 929236107 / Symbol WDFC							
07/07/16	25 000	2,902.31	04/04/13	1,311.30		1,591.01	Sale
WPP PLC NEW SPON ADR / CUSIP: 92937A102 / Symbol WPPGY							
08/02/16	7 000	785.53	11/26/14	730.32	...	55.21	Sale
WATSCO INC COMMON STOCK / CUSIP: 942622200 / Symbol WSO							
02/24/16	28 000	3,560.36	04/08/13	2,304.68	...	1,255.68	Sale
YAHOO JAPAN CORP ADR / CUSIP: 98433V102 / Symbol:							
11/08/16	165 000	1,289.94	11/26/14	1,241.69		48.25	Sale
TYCO INTL PLC **REVERSE SPLIT EFF 09/2016** / CUSIP: G91442106 / Symbol:							
2 transactions for 02/12/16							
	47 000	1,560.13	10/09/14	2,013.61	..	-453.48	Sale
	42 000	1,394.16	10/10/14	1,785.38	..	-391.22	Sale
02/12/16	89 000	2,954.29	Various	3,798.99	..	-844.70	Total of 2 transactions

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Proceeds Not Reported to the IRS

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PERRIGO CO PLC FOREIGN STOCK EUR / CUSIP: G97822103 / Symbol: PRGO							
12/13/16	32,000	2,668.29	03/12/14	5,312.76	...	-2,644.47	Sale
MORILEYE N V AMSTELVEEN FOREIGN STOCK EUR / CUSIP: N51488117 / Symbol: MBLV							
06/08/16	34,000	1,307.11	03/23/15	1,471.06	...	-163.95	Sale
06/21/16	52,000	2,115.24	03/23/15	2,249.86	..	-134.62	Sale
	Security total	3,422.35		3,720.92		-298.57	
BROADCOM LTD / CUSIP: Y09827109 / Symbol: AVGO							
02/09/16	6,000	720.25	07/31/13	221.40		498.85	Sale
Totals.		792,646.85		753,111.01	157.23 D 2,070.65 W	41,449.26	