Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: P L DODGE FOUNDATION INC

A Employer identification number: 59-1032805

Number and street (or P O box number if mail is not delivered to street address): 1351 E TENNESSEE STREET | Room/suite:

B Telephone number (see instructions): (850)878-8780

City or town, state or province, country, and ZIP or foreign postal code: TALLAHASSEE, FL 32308

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,574,539

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	46,288			
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	(49,149)			
b	Gross sales price for all assets on line 6a 648,152				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) STM106	214	214		
12	**Total.** Add lines 1 through 11	(2,647)	214		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	36,000	18,000		18,000
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STM108	6,850	3,425		3,425
c	Other professional fees (attach schedule) STM109	9,983	9,983		
17	Interest				
18	Taxes (attach schedule) (see instructions) STM110	262	131		131
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	3,030	1,515		1,515
22	Printing and publications				
23	Other expenses (attach schedule) STM103	2,583	500		2,083
24	**Total operating and administrative expenses.** Add lines 13 through 23	58,708	33,554		25,154
25	Contributions, gifts, grants paid	90,000			90,000
26	**Total expenses and disbursements.** Add lines 24 and 25	148,708	33,554		115,154
27	Subtract line 26 from line 12.				
a	**Excess of revenue over expenses and disbursements**	(151,355)			
b	**Net investment income** (if negative, enter -0-)		0		
c	**Adjusted net income** (if negative, enter -0-)			0	

RECEIVED SEP 18 2017 OGDEN, UT B003 IRS-OSC

SCANNED SEP 19 2017

For Paperwork Reduction Act Notice, see instructions.

EEA

Form 990-PF (2016)

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	14,292	25,980	25,980
	2	Savings and temporary cash investments	147,032	32,515	32,515
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	4,216	3,780	3,780
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STM137	1,458,933	1,410,407	1,492,262
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ STM120)	2	2	20,002
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,624,475	1,472,684	1,574,539
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,624,475	1,472,684	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,624,475	1,472,684	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,624,475	1,472,684	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,624,475
2	Enter amount from Part I, line 27a	2	(151,355)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,473,120
5	Decreases not included in line 2 (itemize) ▶ STM116	5	436
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,472,684

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	SCHEDULE ATTACHED	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	111,668	1,728,839	0.064591
2014	314,954	1,898,434	0.165902
2013	157,014	1,971,484	0.079643
2012	127,879	1,975,753	0.064724
2011	129,871	2,063,142	0.062948

2	**Total** of line 1, column (d)	2	0.437808
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.087562
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,572,588
5	Multiply line 4 by line 3	5	137,699
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	137,699
8	Enter qualifying distributions from Part XII, line 4	8	115,154

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	0
6	Credits/Payments.		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 3,780		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	3,780
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,780
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 3,780 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEPHEN OCONNELL JR CPA Telephone no ▶ 850-878-8780

Located at ▶ 1351 E TENNESSEE STREET, TALLAHASSEE, FL ZIP+4 ▶ 32308

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to.			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LUTHER JONES 4702 SW 57TH AVE CONDO 9, FL 33155	PRESIDENT 5.00	12,000	0	0
RODERICK PETREY 508 CASTANIA AVE, FL 33146	VP/SEC 8.00	12,000	0	0
STEPHEN C OCONNELL Jr. 1351 E TENN ST, TALLAHASSEE, FL 32308	TREASURER 10.00	12,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,470,824
b	Average of monthly cash balances	1b	102,764
c	Fair market value of all other assets (see instructions)	1c	24,000
d	**Total** (add lines 1a, b, and c)	1d	1,597,588
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,597,588
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,000
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,572,588
6	**Minimum investment return.** Enter 5% of line 5	6	78,629

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	78,629
2a	Tax on investment income for 2016 from Part VI, line 5 2a		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	78,629
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	78,629
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** *as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,* line 1	7	78,629

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	115,154
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	115,154
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	115,154

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1	Distributable amount for 2016 from Part XI, line 7				78,629
2	Undistributed income, if any, as of the end of 2016				
a	Enter amount for 2015 only			26,166	
b	Total for prior years: ______, ______, ______				
3	Excess distributions carryover, if any, to 2016:				
a	From 2011 ... 29,205				
b	From 2012 ... 12,490				
c	From 2013 ... 59,044				
d	From 2014 ... 221,141				
e	From 2015 ... 26,166				
f	**Total** of lines 3a through e	348,046			
4	Qualifying distributions for 2016 from Part XII, line 4 ► $ 115,154				
a	Applied to 2015, but not more than line 2a			26,166	
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2016 distributable amount				78,629
e	Remaining amount distributed out of corpus	36,525			
5	Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	384,571			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	29,205			
9	**Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	355,366			
10	Analysis of line 9:				
a	Excess from 2012 ... 12,490				
b	Excess from 2013 ... 59,044				
c	Excess from 2014 ... 221,141				
d	Excess from 2015 ... 26,166				
e	Excess from 2016 ... 36,525				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. **a** "Assets" alternative test - enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMI KIDS 1820 ARTHUR LAND JR ROAD MIAMI BEACH, FL 33140	NONE	PC	PROGRAM SUPPORT FOR TEEN REHABILATION	10,000
THE EDUCATION FUND 900 N E 125 STREET STE 110 MIAMI, FL 33161	NONE	PC	FUNDING FOR PUBLIC SCHOOL MINI GRANTS	20,000
COUNCIL FOR EDUCATIONAL CHANGE 3520 S UNIVERSITY DRIVE DAVIE, FL 33328	NONE	PC	GRANT FOR EXECUTIVE PASS	10,000
EDUCATE TOMORROW 1717 N BAYSHORE DR STE 203 MIAMI, FL 33132	NONE	PC	PROGRAM SUPPORT GRANT	10,000
NEW EQUITY PARTNERS 201 S BISCAYNE BLVD STE 2805 MIAMI, FL 33131	NONE	PC	GRANT FOR NEW WEBSITE AND LEGAL OWL	15,000
VITAS COMMUNITY CONNECTION INC 201 S BISCAYNE BLVD STE 400 MIAMI, FL 33131	NONE	PC	PROGRAM GRANT	2,500
FEEDING SOUTH FLORIDA 5850 NW 32ND AVE Miami, FL 33142	NONE	PC	SUPPORT GRANT TO HELP FEED INDIVIDUALS IN	5,000
DELIEVER THE DREAM 3223 NW 10TH TERRACE STE 602 FT LAUDERDALE, FL 33309	NONE	PC	PROGRAM SUPPORT	2,500
Total ▶ 3a				
b Approved for future payment				
Total ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS AND GIRLS CLUB OF MIAMI 2805 SW 32ND AVENUE MIAMI, FL 33133	NONE	PC	PROGRAM SUPPORT	5,000
WOMEN OF TOMORROW 22 E FLAGLER STREET 6TH FLOOR MIAMI, FL 33133	NONE	PC	SUPPORT FOR ADVOCACY	10,000
Total . . . . ▶ 3a				90,000
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	46,288	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a OIL ROYALTIES			01	214	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				46,502	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 46,502

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 9/12/17 | Title TREASURER

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEPHEN C OCONNELL JR		09-12-2017		P01275919
Firm's name ▶ STEPHEN C OCONNELL JR PA			Firm's EIN ▶ 59-2481027	
Firm's address ▶ 1351 E TENNESSEE STREET TALLAHASSEE FL 32308			Phone no 850-878-8780	

Form 990PF - Part III - Line 5
Other Decreases Schedule

Statement #116

FEDERAL TAXES 2015	436
Total	436

PG01

Form 990PF - Part II - Line 15
Other Assets Schedule

Statement #120

Description	BOY Book	EOY Book	FMV
OIL LEASES	2	2	20,002
Total	2	2	20,002

PG01

Form 990PF - Part II - Line 10(b)
Investments: Corporate Stock Schedule

Statement #137

Category	BOY	Book Value	EOY FMV
COMMON STOCKS BONDS CS	701,021	707,223	750,859
STOCKS AND BONDS GE	757,912	703,184	741,403
Totals	1,458,933	1,410,407	1,492,262

Federal Supporting Statements

2016 PG01

Name(s) as shown on return: P L DODGE FOUNDATION INC

Your Social Security Number: 59-1032805

Form 990PF - Part I - Line 23 - Other Expenses Schedule

Statement #103~

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
BANK CHARGES	83	0	0	83
DUES	1,000	500	0	500
OFFICE EXPENSES	1,500	0	0	1,500
Totals	2,583	500	0	2,083

PG01

Form 990PF - Part I - Line 11 - Other Income Schedule

Statement #106~

Description	Revenue and expenses	Net investment	Adjusted net income
OIL ROYALITES	214	214	0
Totals	214	214	0

Federal Supporting Statements

2016 PG01

Name(s) as shown on return: P L DODGE FOUNDATION INC

Your Social Security Number: 59-1032805

Form 990PF - Part I - Line 16(b) - Accounting Fees Schedule

Statement #108~

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
ACCOUNTING FEES	6,850	3,425	0	3,425
Totals	6,850	3,425	0	3,425

PG01

Statement #109~

Form 990PF - Part I - Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
FINANCIAL INVESTMENT FEES	9,983	9,983	0	0
Totals	9,983	9,983	0	0

Federal Supporting Statements

2016 PG01

Name(s) as shown on return: P L DODGE FOUNDATION INC

Your Social Security Number: 59-1032805

Form 990PF - Part I - Line 18 - Taxes Schedule

Statement #110~

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
STATE TAXES	262	131	0	131
Totals	262	131	0	131

	Federal Supporting Statements	2016 PG01
Name(s) as shown on return P L DODGE FOUNDATION INC		Your Social Security Number 59-1032805

Form 990PF - Part XV - Line 2
Application Submission Information

Grant Program
P L DODGE FND

Applicant Name
LUTHER JONES

Address
4702 S W 67TH AVE, CONDO 9
MIAMI, FL 33155

Telephone
305-720-3078

Email Address

Form & Content
WRITTEN WITH SPECIFIC INFO ABOUT THE GRANT REQUEST AND A COPY OF THEIR 501C3 CERT.

Submission Deadline
NONE

Restrictions on Award
NO LOANS, PERSONAL GRANTS OR SCHOLARSHIPS WILL BE GRANTED.

Portfolio Report
As of 12/31/2016
12/30/2016 Prices

P. L. Dodge Foundation, Inc   Acct #: 6463-9053

Weight	Description	Quantity	Unit Cost	Cost Basis	Current Price	Current Value	Annual Income	Current Yield
Managed Assets								
Equities								
1 7%	AES Trust III Pref C	250	50 630	12,657 45	50.400	12.600.00	843 76	6 7%
1.7%	AGNC Investment 8% CUM	500	25 530	12.764 95	25 240	12,620 00	1,000 00	7 9%
2.8%	ALPS S&P Dividend ETF	500	42 996	21,497.85	42 070	21.035.00	704 60	3.3%
1 9%	Blackrock Global Energy	1,000	16.220	16,219.95	14 440	14.440 00	931 20	6.4%
2 0%	Blackstone Mortgage Trust	500	30.234	15.116 95	30 070	15,035 00	1,240 00	8 2%
1 9%	Bristol-Myers Squibb Co	250	49 925	12,481 20	58.440	14.610 00	380 00	2.6%
1 4%	Coca Cola Company	250	38 240	9.559 97	41 460	10,365 00	350.00	3.4%
1 0%	Dermira Inc	250	30.300	7,574 95	30.330	7.582 50	0 00	0.0%
2 4%	Dollar General Corp	250	63 790	15,947 45	74.070	18.517 50	250.00	1 4%
2.0%	Dover Corporation	200	72 520	14,503 95	74 930	14.986 00	352 00	2 3%
1 6%	Flexshs Disciplin'd Dur MB	500	24.840	12,419 95	24.140	12,070 00	408 72	3.4%
2.6%	Flowers Foods Inc	1,000	20 941	20,941.18	19 970	19.970 00	640 00	3.2%
0.9%	Freeport McMoran Copper	500	33.321	16,660.55	13.190	6,595.00	100.00	1.5%
0.8%	HC2 Holdings Inc	1,000	4.950	4,949 95	5 930	5.930.00	0.00	0.0%
2.7%	Healthequity Inc	500	31.195	15.597 45	40 520	20.260.00	0.00	0.0%
1.8%	Hercules Tech Growth Capit	1.000	12 851	12.851 17	14 110	14.110 00	1.240.00	8 8%
1.2%	Impinj Inc.	250	38.730	9,682.45	35 340	8.835 00	0 00	0 0%
0 7%	iShs Comex Gold Trust	500	17 218	8.608 97	11 080	5.540 00	0.00	0 0%
1 3%	John Hancock Preferred I	500	19 640	9.819 95	20 350	10.175 00	840.00	8 3%
2 3%	Johnson & Johnson	150	61 796	9.269 35	115 210	17,281 50	480.00	2 8%
2.4%	Lifelock Inc	750	9.393	7,044 55	23 920	17.940 00	0.00	0 0%
2.3%	Nuveen Floating Rate Inc	1,500	9 506	14,258.40	11.670	17.505 00	1.215.00	6 9%
2.6%	Portfolio Recovery Assoc	500	50 445	25.222.28	39 100	19.550.00	0 00	0.0%
0 7%	Proshares S&P 500 Div	100	55 710	5.571.00	53.910	5.391.00	169.48	3 1%
1.8%	Royce Value Trust Inc	1.000	13.566	13.566 35	13 390	13.390 00	1.080 00	8 1%
1 4%	Union Pacific Corp	100	88.110	8.810.95	103.680	10.368 00	242 00	2 3%
1.1%	VanEck M'star Wide Moat	250	34 890	8.722 45	34 830	8.707 50	102 00	1.2%

Portfolio Report
As of 12/31/2016
12/30/2016 Prices

P. L Dodge Foundation, Inc   Acct #. 6463-9053

Weight	Description	Quantity	Unit Cost	Cost Basis	Current Price	Current Value	Annual Income	Current Yield
Managed Assets								
Equities								
2.2%	Walgreens Boots Allianc	200	30 999	6,199 78	82 760	16.552.00	300 00	1 8%
2 1%	Watts Water Tech Inc	250	61 948	15,487.05	65.200	16,300 00	180 00	1 1%
50.9%				364.008.45		388.261 00	13.048.76	3 4%
Mutual Funds								
2 6%	Doubleline Total Return	1,846.722	10.830	20,000.00	10.620	19.612 19	717 12	3 7%
2.6%	Frost Total Return Bd	1,941 194	10.350	20.091.29	10 360	20,110 77	791 96	3.9%
1 4%	Parnassus Endeavor Fd	318.167	31.430	10,000.00	32.990	10.496.33	103.18	1.0%
1.7%	Permanent Portfolio	336.383	37.160	12.500.00	37.770	12,705 19	820.77	6 5%
3.8%	Pimco Incm Inst Cl	2.436 457	12.491	30.432 57	12.060	29,383.67	1.619 42	5 5%
4.2%	Prudential Short-Term Corp	2,916.406	11 166	32.565 07	11.040	32,197.12	847 80	2.6%
2.4%	RMB Medon Financial L/S	1.006.711	14.900	15,000 00	18 230	18,352 34	453.02	2 5%
1.7%	Rydex SGI Rebal Mthly	199 76	50.060	10,000.00	65 320	13,048 32	0.00	0.0%
2 0%	Shspost Private Eqty Fd	573.395	26 160	15,000 00	26.830	15.384 19	0.00	0.0%
3.4%	Tributary Small Co Instl	924 898	27.030	25,000.00	27 660	25,582.68	58.44	0.2%
5.9%	USAA High Income Fd	5.588.553	8 052	45.000 00	8.080	45.155 51	3,452.04	7 6%
2.0%	Vanguard Consumer Staples	228.594	65.619	15.000 00	66.060	15.100.92	436.16	2 9%
0.9%	Vanguard Health Care Fd	88.376	84.865	7.500 00	77.930	6.887.14	878.16	12.8%
5.7%	Western Asset Core Bd Fd	3.537.722	12.650	44,752 41	12 320	43.584 74	1.079 04	2 5%
40 3%				302.841.34		307.601 11	11.257.11	3 7%
User-Defined								
3.0%	iShares Barclays Treas. Infla	200	106.705	21,340 95	113.170	22.634 00	584 88	2 6%
2.0%	Omega Hlthcare Inv REIT	500	30.750	15.374.95	31 260	15,630 00	1,220.00	7 8%
2.2%	Welltower. Inc. REIT	250	64 580	16,144.95	66 930	16,732 50	770.25	4 6%
7.2%				52.860 85		54.996 50	2,575 13	4 7%

Portfolio Report
As of 12/31/2016
12/30/2016 Prices

P L. Dodge Foundation, Inc Acct #· 6463-9053

Weight	Description	Quantity	Unit Cost	Cost Basis	Current Price	Current Value	Annual Income	Current Yield
98.4%				719,710.64		750,858.61	26,881.00	3 6%
Unmanaged Assets								
1.6%				12,487 09		12,487 09	1.25	0.0%
100.0%				732,197 73		763,345.70	26,882.25	3.5%

The information contained herein is believed to be correct but is not guaranteed Income estimates are based on prior 12 months history

6463-9053 P L DODGE FOUNDATION INC

Charity Nonprof 1351 EAST TENNESSEE STREET

TALLAHASSEE FL

Total Known Realized Gain/(Loss) $/%
($35,136.28)/(5.54%)

Total Known Short-Term Gain/(Loss)
$5,033.05

Total Known Long-Term Gain/(Loss)
($40,169.33)

Total Known Proceeds
$598,617.25

Total Known Cost Basis
$633,753.53

Cost Basis - Realized Gain/(Loss) - Filtered by: Previous Year **Results: 52**

Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
06740APC7	BARCLAYS BANK DE 0XXX**MATURED** WILMINGTON DE	03/29/2016	03/22/2011	20,000 00000	$20,000 00	$20,000 00		$0 00	$0 00	0 00%	
AGNC	AMERN CAP AGY CRP REIT	06/13/2016	View Lots	750 00000	$14,032 42	$15,144 90		($1,112 48)	($1,112 48)	(7 35%)	
ALK	ALASKA AIR GROUP INC	10/21/2016	08/18/2016	100 00000	$7,384 89	$6,790 95	$593 94		$593 94	8 75%	
BFOCX	BERKSHIRE FOCUS FUND	08/17/2016	01/21/2016	617 28400	$10,969 14	$10,000.00	$969 14		$969.14	9 69%	
BRCD	BROCADE COMMUNS SYS	07/26/2016	05/27/2016	750 00000	$6,933 15	$6,471 20	$461 95		$461.95	7 14%	
BTU	PEABODY ENERGY CORP	01/12/2016	07/21/2015	133 00000	$567.90	$2,405.72	($1,837 82)		($1,837.82)	(76 39%)	
BXMT	BLACKSTONE MTG TR REIT	06/14/2016	08/12/2014	500 00000	$13,920 10	$14,034 95		($114 85)	($114 85)	(0 82%)	
CALM	CAL MAINE FOODS INC	10/04/2016	01/15/2016	125 00000	$4,746 20	$6,301 20	($1,555 00)		($1,555 00)	(24 68%)	
CENT	CENTRAL GARDEN & PET	01/07/2016	09/04/2015	500 00000	$6,544 93	$7,134 95	($590 02)		($590 02)	(8 27%)	
CSCO	CISCO SYSTEMS INC	01/04/2016	09/01/2015	500 00000	$12,934 81	$12,658.60	$276 21		$276 21	2 18%	
CWCO	CONSOLIDATE WATER CO F	08/12/2016	05/12/2016	700 00000	$9,061.65	$9,886 45	($824 80)		($824 80)	(8 34%)	

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
	DLFNX	DOUBLELINE CORE FIXED INCM FD CL N	02/17/2016	11/10/2015	1,270 27700	$13,604 67	$13,668 18	($63 51)		($63.51)	(0 46%)	
	F	FORD MOTOR COMPANY	06/24/2016	05/04/2016	1,000.00000	$12,491 68	$13,346 95	($855 27)		($855 27)	(6 41%)	
	F	FORD MOTOR COMPANY	02/05/2016	10/29/2014	1,000 00000	$11,441.24	$13,955 65		($2,514 41)	($2,514 41)	(18 02%)	
	FPRX	FIVE PRIME THERAPEUT	01/11/2016	08/24/2015	500 00000	$16,484.75	$9,584 75	$6,900 00		$6,900 00	71 99%	
⊞	GDX	VANECK VECTORS GOLD MINERS ETF	12/16/2016	View Lots	1,000.00000	$18,979 64	$19,809 90		($830 26)	($830 26)	(4 19%)	
	GEF	GREIF INC CLASS A	10/20/2016	03/04/2016	500 00000	$23,744 53	$13,873 10	$9,871 43		$9,871 43	71.16%	
	GGG	GRACO INCORPORATED	06/09/2016	05/27/2016	150 00000	$12,144 78	$12,105.45	$39.33		$39.33	0 32%	
	GIMO	GIGAMON INC	10/27/2016	09/20/2016	250 00000	$11,979 79	$13,081 73	($1,101 94)		($1,101 94)	(8 42%)	
	GPN	GLOBAL PAYMENTS INC	02/11/2016	09/21/2015	200.00000	$10,825 81	$11,619 95	($794 14)		($794 14)	(6 83%)	
⊞	GTSOX	GLENMEDE SECURED OPTIONS	08/19/2016	View Lots	2,418 89500	$29,752 41	$29,127 92	$624 49		$624 49	2 14%	
⊞	HACK	PUREFUNDS ISE CYBER SECURITY ETF	02/08/2016	View Lots	500 00000	$9,794.87	$16,122 08	($6,327 21)		($6,327 21)	(39 25%)	
	HCHC	HC2 HLDGS INC	06/30/2016	08/21/2015	1,000 00000	$4,285 26	$6,619.95	($2,334 69)		($2,334 69)	(35 27%)	
⊞	HQL	TEKLA LIFE SCIENCES INVE	07/05/2016	View Lots	750 00000	$13,019 95	$17,282.72	($4,262 77)		($4,262 77)	(24 66%)	
	HQL	TEKLA LIFE SCIENCES INVE	03/08/2016	07/31/2015	197 00000	$3,476 74	$5,872.53	($2,395 79)		($2,395 79)	(40 80%)	
	HTGC	HERCULES CAPITAL INC	08/02/2016	10/13/2014	500 00000	$6,650.15	$6,890 08		($239 93)	($239 93)	(3 48%)	
	IMPV	IMPERVA INC	11/30/2016	11/04/2016	250 00000	$9,529.84	$8,907 45	$622 39		$622.39	6 99%	
	INO	INOVIO PHARMA	06/13/2016	11/03/2014	450.00000	$4,670 98	$5,282.96		($611 98)	($611 98)	(11 58%)	
⊞	INO	INOVIO PHARMA	06/14/2016	View Lots	350 00000	$3,545 98	$3,032 48	$593 92	($80 42)	$513 50	16 93%	
	INO	INOVIO PHARMA	06/15/2016	12/16/2015	200.00000	$2,104 28	$1,337.96	$766 32		$766 32	57 28%	
	JPM	JPMORGAN CHASE & CO	08/12/2016	05/18/2016	100.00000	$6,492 01	$6,399 95	$92 06		$92.06	1.44%	
	KMI	KINDER MORGAN INC	09/14/2016	12/10/2015	500.00000	$10,754.82	$8,494 95	$2,259 87		$2,259.87	26.60%	
	LGND	LIGAND PHARMA	10/21/2016	08/12/2016	100 00000	$9,401 84	$11,519.95	($2,118 11)		($2,118 11)	(18 39%)	
	MHRPQ	MAGNUM HUNTE 10 25% PFDPFD SER C	05/20/2016	06/02/2011	1,000 00000	$0 00	$25,710 95		($25,710 95)	($25,710 95)	(100 00%)	

	Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis[3]	Short Term	Long Term	Total	Total %	Notes[1]
	NOV	NATIONAL OILWELL VAR	02/09/2016	08/06/2015	500 00000	$13,599 80	$20,054 95	($6,455 15)		($6,455 15)	(32 19%)	
	NRG	NRG ENERGY INC	05/25/2016	03/03/2016	1,000.00000	$15,779.71	$12,539 95	$3,239 76		$3,239 76	25.84%	
	OLN	OLIN CORP	07/05/2016	10/29/2015	500 00000	$12,114 84	$9,309.70	$2,805.14		$2,805 14	30.13%	
	OUSA	O SHARES FTSE US QUALITYDIV ETF	11/03/2016	07/19/2016	250 00000	$6,533 46	$7,072 43	($538 97)		($538 97)	(7 62%)	
	PG	PROCTER & GAMBLE	07/28/2016	08/05/2011	250.00000	$21,108 34	$15,231 45		$5,876.89	$5,876 89	38 58%	
	PRXL	PAREXEL INTL CORP	05/19/2016	09/17/2015	250 00000	$14,614 73	$18,199 95	($3,585 22)		($3,585 22)	(19 70%)	
	RARAX	COLUMBIA ABSOLUTE RETURN CURRENCY & INCM A	06/21/2016	12/08/2015	1,679.26100	$17,565 07	$20,000 00	($2,434 93)		($2,434 93)	(12 17%)	
	RICE	RICE ENERGY INC	06/10/2016	02/24/2016	500 00000	$10,364 82	$4,378 97	$5,985 85		$5,985 85	136 70%	
	RICE	RICE ENERGY INC	04/12/2016	02/24/2016	500 00000	$8,320 72	$4,378 98	$3,941 74		$3,941.74	90 02%	
⊞	RIG	TRANSOCEAN INC NEW F	10/25/2016	View Lots	750 00000	$7,540 94	$18,165 90		($10,624 96)	($10,624 96)	(58 49%)	
	RKUS	RUCKUS WIRELESS INC XXXMANDATORY MERGER EFF 05/27/16	05/27/2016	12/03/2014	1,000 00000	$12,901 30	$11,719 25		$1,182 05	$1,182 05	10 09%	
	SEF	PROSHARES SHORT FINANCIALS ETF	11/30/2016	05/02/2013	750 00000	$10,862 39	$18,476 70		($7,614 31)	($7,614 31)	(41 21%)	
	SHLM	SCHULMAN A INC	12/22/2016	09/01/2016	250 00000	$8,329 87	$6,457 45	$1,872 42		$1,872 42	29 00%	
	SYLD	CAMBRIA SHAREHOLDER YIELD ETF	11/04/2016	06/05/2013	500 00000	$14,606 23	$12,379 95		$2,226 28	$2,226 28	17.98%	
	THO	THOR INDUSTRIES INC	07/01/2016	05/06/2016	250 00000	$17,209.67	$16,082.45	$1,127 22		$1,127 22	7 01%	
⊞	UNP	UNION PACIFIC CORP	05/18/2016	View Lots	200 00000	$16,559 69	$15,646 34	$913 35		$913 35	5 84%	
	WATFX	WESTERN ASSET CORE BD FD I	02/25/2016	09/15/2015	2,050.86100	$25,123 05	$25,000 00	$123 05		$123 05	0 49%	
⊞	XON	INTREXON	09/14/2016	View Lots	500 00000	$13,211 41	$14,182 60	($971 19)		($971 19)	(6 85%)	

Disclosures

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page

We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.

Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation

Footnotes apply where indicated on the data view.

Missing: Indicates cost basis has not been provided for this security.
Not Tracked: Indicates original cost basis is not available

1 a Data for this holding has been edited or provided by the Advisor.
 e Data for this holding has been edited or provided by the end client
 t Data for this holding has been edited or provided by a third party
 w Cost Basis adjusted due to a wash sale
3 Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values Click the acquired/opened date to view original cost in the Details page Note Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on
8. Total excludes missing cost basis information, or values not tracked by Schwab

(0307-0369)

Glen Eagle Advisors, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

* 00009161 04 FP 1.428 04 TR 00089 X104PF03 100000

Account Number: H37-001791
Statement Period: 12/01/2016 - 12/31/2016

P.L. DODGE FOUNDATION, INC
1351 E TENNESSEE ST
TALLAHASSEE FL 32308-5107

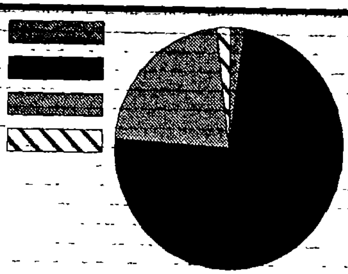
Your Account Executive:

Portfolio at a Glance

	This Period	Year-to-Date
Beginning Account Value	**$844,404.44**	**$830,092.18**
Withdrawals (Cash & Securities)	0.00	-75,000.00
Dividends, Interest and Other Income	3,149.83	25,432.70
Fees	-2.85	-4,215.01
Net Other Activity	-5.92	-133.26
Net Change in Portfolio	13,885.34	85,254.23
Ending Account Value	**$861,430.84**	**$861,430.84**
Estimated Annual Income	$24,784.04	

Asset Summary

	Prior Year-End	Last Period	This Period	% Allocation
Cash, Money Funds, and Bank Deposits	22,308.21	17,518.71	20,027.75	2%
Equities	555,570.47	622,273.79	635,908.44	74%
Mutual Funds	235,102.50	187,060.74	187,602.65	22%
Exchange-Traded Products	17,111.00	17,551.20	17,892.00	2%
Account Total (Pie Chart)	**$830,092.18**	**$844,404.44**	**$861,430.84**	**100%**



Please review your allocation periodically with your Account Executive.

PAR-02-ROLL

B0000000CSF104DP

[redacted]

Additional Information

Description	This Period	Year-to-Date
Securities Bought and Sold	-$632.02	$51,635.11

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	-11.68	26.59
Long-Term Gain/Loss	0.00	-834.61	138,192 44
Net Gain/Loss	**0.00**	**-846.29**	**138,219.03**

This summary excludes transactions where cost basis information is not available.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Dividend Income				
Equities	2,289.81	0.00	16,847.73	0 00
Money Market	0 00	0.00	0.07	0.00
Other Dividends	805.02	0.00	8,174.90	0 00
Expenses				
Withholding Taxes	-5.92	0.00	-133 26	0 00
Fees(Foreign Securities)	-2 85	0.00	-35.35	0.00
Total Dividends, Interest, Income and Expenses	**$3,086.06**	**$0.00**	**$24,854.09**	**$0.00**
Distributions				
Short - Term Capital Gain Distributions	55 00	0.00	55.00	0.00
Alternative Investments	0.00	0.00	0.00	355.00
Total Distributions	**$55.00**	**$0.00**	**$55.00**	**$355.00**

Cash Not Yet Received

Security	Record Date	Payable Date	Quantity Held	Rate	Dividend Option	Amount of Payment
Dividends						
ALTRIA GROUP INC COM	12/22/16	01/10/17	500.000	0.610000	Cash	305.00
GENERAL ELECTRIC CO COM	12/27/16	01/25/17	800.000	0.240000	Cash	192.00
MERCK & CO INC NEW COM	12/15/16	01/09/17	250.000	0.470000	Cash	117.50
Total Cash Not Yet Received						**$614.50**

Assets shown here are not reflected in your account. This information has been received from sources we believe to be reliable. Pershing does not guarantee the accuracy of the information.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 2.00% of Portfolio									
Cash Balance				17,518.71	20,027.75				

GLEN EAGLE ADVISORS, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits *(continued)*									
Money Market									
FEDERATED CAPITAL RESERVES									
12/01/16		N/A	10/13/16	0.00	0.00	0.00	0.07	0.00%	0.00%
Total Money Market				**$0.00**	**$0.00**	**$0.00**	**$0.07**		
Total Cash, Money Funds, and Bank Deposits				**$17,518.71**	**$20,027.75**	**$0.00**	**$0.07**		

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 74.00% of Portfolio								
Common Stocks								
AT&T INC COM				**Security Identifier: T**				
Dividend Option: Cash				CUSIP: 00206R102				
01/13/11	250.000	28.1240	7,031.03	42.5300	10,632.50	3,601.47	490.00	4.60%
03/16/11	250.000	27.5640	6,891.03	42.5300	10,632.50	3,741.47	490.00	4.60%
Total Covered	**500.000**		**13,922.06**		**21,265.00**	**7,342.94**	**980.00**	
Total	**500.000**		**$13,922.06**		**$21,265.00**	**$7,342.94**	**$980.00**	
ALTRIA GROUP INC COM				**Security Identifier: MO**				
Dividend Option: Cash				CUSIP: 02209S103				
11/22/10 *	300.000	24.6680	7,400.33	67.6200	20,286.00	12,885.67	732.00	3.60%
11/22/10 *	200.000	24.6530	4,930.60	67.6200	13,524.00	8,593.40	488.00	3.60%
Total Noncovered	**500.000**		**12,330.93**		**33,810.00**	**21,479.07**	**1,220.00**	
Total	**500.000**		**$12,330.93**		**$33,810.00**	**$21,479.07**	**$1,220.00**	
CSX CORP COM				**Security Identifier: CSX**				
Dividend Option: Cash				CUSIP: 126408103				
09/15/11	300.000	20.9970	6,299.13	35.9300	10,779.00	4,479.87	216.00	2.00%
CVR PARTNERS LP COM				**Security Identifier: UAN**				
Dividend Option: Cash				CUSIP: 126633106				
06/14/12	100.000	20.2280	2,022.80	6.0100	601.00	-1,421.80	71.00	11.81%
06/14/12	100.000	20.1880	2,018.80	6.0100	601.00	-1,417.80	71.00	11.81%
06/14/12	300.000	20.1880	6,056.34	6.0100	1,803.00	-4,253.34	213.00	11.81%

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CVR PARTNERS LP COM *(continued)*								
Total Covered	**500.000**		**10,097.94**		**3,005.00**	**-7,092.94**	**355.00**	
Total	**500.000**		**$10,097.94**		**$3,005.00**	**-$7,092.94**	**$355.00**	
CA INC COM			**Security Identifier: CA**					
Dividend Option: Cash			CUSIP: 12673P105					
07/21/14	800.000	28.3550	22,683.92	31.7700	25,416.00	2,732.08	816.00	3.21%
CAMPBELL SOUP CO COM			**Security Identifier: CPB**					
Dividend Option: Cash			CUSIP: 134429109					
07/14/11	300.000	34.1770	10,253.13	60.4700	18,141.00	7,887.87	420.00	2.31%
04/03/12	300.000	34.0480	10,214.40	60.4700	18,141.00	7,926.60	420.00	2.31%
Total Covered	**600.000**		**20,467.53**		**36,282.00**	**15,814.47**	**840.00**	
Total	**600.000**		**$20,467.53**		**$36,282.00**	**$15,814.47**	**$840.00**	
CATERPILLAR INC COM			**Security Identifier: CAT**					
Dividend Option: Cash			CUSIP: 149123101					
09/23/11	100.000	73.8870	7,388.71	92.7400	9,274.00	1,885.29	308.00	3.32%
CENTERPOINT ENERGY INC COM			**Security Identifier: CNP**					
Dividend Option: Cash			CUSIP: 15189T107					
10/25/10*	500.000	16.5060	8,253.05	24.6400	12,320.00	4,066.95	515.00	4.18%
CHEMOURS CO COM			**Security Identifier: CC**					
Dividend Option: Cash			CUSIP: 163851108					
09/23/11	40.000	10.4010	416.02	22.0900	883.60	467.58	4.80	0.54%
11/13/12	60.000	10.9110	654.68	22.0900	1,325.40	670.72	7.20	0.54%
Total Covered	**100.000**		**1,070.70**		**2,209.00**	**1,138.30**	**12.00**	
Total	**100.000**		**$1,070.70**		**$2,209.00**	**$1,138.30**	**$12.00**	
CHEVRON CORP NEW COM			**Security Identifier: CVX**					
Dividend Option: Cash			CUSIP: 166764100					
01/13/11	70.000	92.5330	6,477.30	117.7000	8,239.00	1,761.70	302.40	3.67%
03/16/11	70.000	100.5030	7,035.20	117.7000	8,239.00	1,203.80	302.40	3.67%
Total Covered	**140.000**		**13,512.50**		**16,478.00**	**2,965.50**	**604.80**	
Total	**140.000**		**$13,512.50**		**$16,478.00**	**$2,965.50**	**$604.80**	
CISCO SYSTEMS INC			**Security Identifier: CSCO**					
Dividend Option: Cash			CUSIP: 17275R102					
08/08/11	500.000	14.2460	7,123.00	30.2200	15,110.00	7,987.00	520.00	3.44%
09/15/11	300.000	16.5260	4,957.83	30.2200	9,066.00	4,108.17	312.00	3.44%
Total Covered	**800.000**		**12,080.83**		**24,176.00**	**12,095.17**	**832.00**	
Total	**800.000**		**$12,080.83**		**$24,176.00**	**$12,095.17**	**$832.00**	

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CLIFFS NAT RES INC COM			Security Identifier: CLF					
Dividend Option: Cash			CUSIP: 18683K101					
06/14/12	500.000	47.6480	23,823.90	8.4100	4,205.00	-19,618.90		
DIAMOND OFFSHORE DRILLING INC COM			Security Identifier: DO					
Dividend Option: Cash			CUSIP: 25271C102					
02/19/14	300.000	44.4800	13,344.00	17.7000	5,310.00	-8,034.00	150.00	2.82%
DIEBOLD NIXDORF INC COM			Security Identifier: DBD					
Dividend Option: Cash			CUSIP: 253651103					
10/14/13	100.000	30.4640	3,046.37	25.1500	2,515.00	-531.37	40.00	1.59%
10/14/13	100.000	30.4140	3,041.37	25.1500	2,515.00	-526.37	40.00	1.59%
10/14/13	600.000	30.4090	18,245.20	25.1500	15,090.00	-3,155.20	240.00	1.59%
Total Covered	**800.000**		**24,332.94**		**20,120.00**	**-4,212.94**	**320.00**	
Total	**800.000**		**$24,332.94**		**$20,120.00**	**-$4,212.94**	**$320.00**	
DU PONT E I DE NEMOURS & CO COM			Security Identifier: DD					
Dividend Option: Cash			CUSIP: 263534109					
09/23/11	200.000	38.8270	7,765.40	73.4000	14,680.00	6,914.60	304.00	2.07%
11/13/12	300.000	40.7340	12,220.12	73.4000	22,020.00	9,799.88	456.00	2.07%
Total Covered	**500.000**		**19,985.52**		**36,700.00**	**16,714.48**	**760.00**	
Total	**500.000**		**$19,985.52**		**$36,700.00**	**$16,714.48**	**$760.00**	
ENCANA CORP COM SHS			Security Identifier: ECA					
ISIN#CA2925051047			CUSIP: 292505104					
Dividend Option: Cash								
01/18/12*	600.000	17.5100	10,506.26	11.7400	7,044.00	-3,462.26	36.00	0.51%
01/18/12*	100.000	17.5040	1,750.40	11.7400	1,174.00	-576.40	6.00	0.51%
01/18/12*	300.000	17.5040	5,251.20	11.7400	3,522.00	-1,729.20	18.00	0.51%
Total Noncovered	**1,000.000**		**17,507.86**		**11,740.00**	**-5,767.86**	**60.00**	
Total	**1,000.000**		**$17,507.86**		**$11,740.00**	**-$5,767.86**	**$60.00**	
ENTERGY CORP NEW COM			Security Identifier: ETR					
Dividend Option: Cash			CUSIP: 29364G103					
11/22/10*	250.000	72.9640	18,241.03	73.4700	18,367.50	126.47	870.00	4.73%

[redacted]

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FORD MOTOR CO DEL COM PAR				**Security Identifier: F**				
Dividend Option: Cash				CUSIP: 345370860				
10/02/14	1,000.000	14.5290	14,529.00	12.1300	12,130.00	-2,399.00	600.00	4.94%
GENERAL ELECTRIC CO COM				**Security Identifier: GE**				
Dividend Option: Cash				CUSIP: 369604103				
08/09/11	500.000	15.6450	7,822.55	31.6000	15,800.00	7,977.45	480.00	3.03%
09/15/11	300.000	16.0570	4,817.13	31.6000	9,480.00	4,662.87	288.00	3.03%
Total Covered	**800.000**		**12,639.68**		**25,280.00**	**12,640.32**	**768.00**	
Total	**800.000**		**$12,639.68**		**$25,280.00**	**$12,640.32**	**$768.00**	
HELMERICH & PAYNE INC COM				**Security Identifier: HP**				
Dividend Option: Cash				CUSIP: 423452101				
11/13/14	250.000	78.6850	19,671.33	77.4000	19,350.00	-321.33	700.00	3.61%
INTEL CORP COM				**Security Identifier: INTC**				
Dividend Option: Cash				CUSIP: 458140100				
01/13/11	300.000	21.1880	6,356.43	36.2700	10,881.00	4,524.57	312.00	2.86%
03/16/11	300.000	20.0460	6,013.83	36.2700	10,881.00	4,867.17	312.00	2.86%
05/11/11	300.000	23.4060	7,021.83	36.2700	10,881.00	3,859.17	312.00	2.86%
Total Covered	**900.000**		**19,392.09**		**32,643.00**	**13,250.91**	**936.00**	
Total	**900.000**		**$19,392.09**		**$32,643.00**	**$13,250.91**	**$936.00**	
JOHNSON & JOHNSON COM				**Security Identifier: JNJ**				
Dividend Option: Cash				CUSIP: 478160104				
01/13/11	100.000	62.7680	6,276.81	115.2100	11,521.00	5,244.19	320.00	2.77%
03/16/11	100.000	58.1380	5,813.81	115.2100	11,521.00	5,707.19	320.00	2.77%
Total Covered	**200.000**		**12,090.62**		**23,042.00**	**10,951.38**	**640.00**	
Total	**200.000**		**$12,090.62**		**$23,042.00**	**$10,951.38**	**$640.00**	
KINDER MORGAN INC DEL COM				**Security Identifier: KMI**				
Dividend Option: Cash				CUSIP: 49456B101				
10/02/14	400.000	38.4450	15,378.00	20.7100	8,284.00	-7,094.00	200.00	2.41%
KRAFT HEINZ CO COM STK				**Security Identifier: KHC**				
Dividend Option: Cash				CUSIP: 500754106				
01/13/11	66.000	33.3260	2,199.54	87.3200	5,763.12	3,563.58	158.40	2.74%
MCDONALDS CORP				**Security Identifier: MCD**				
Dividend Option: Cash				CUSIP: 580135101				
01/13/11	100.000	73.1680	7,316.81	121.7200	12,172.00	4,855.19	376.00	3.08%
MERCK & CO INC NEW COM				**Security Identifier: MRK**				
Dividend Option: Cash				CUSIP: 58933Y105				
09/23/11	250.000	30.8630	7,715.78	58.8700	14,717.50	7,001.72	470.00	3.19%

Glen Eagle Advisors, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-4231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MICROSOFT CORP COM			**Security Identifier: MSFT**					
Dividend Option: Cash			CUSIP: 594918104					
09/23/11	300.000	24.9160	7,474.83	62.1400	18,642.00	11,167.17	468.00	2.51%
MONDELEZ INTL INC CL A			**Security Identifier: MDLZ**					
Dividend Option: Cash			CUSIP: 609207105					
01/13/11	200.000	20.5490	4,109.86	44.3300	8,866.00	4,756.14	152.00	1.71%
NOKIA CORP SPONSORED ADR			**Security Identifier: NOK**					
Dividend Option: Cash			CUSIP: 654902204					
10/25/10*	200.000	11.1460	2,229.22	4.8100	962.00	-1,267.22	40.55	4.21%
10/25/10*	300.000	11.1230	3,336.90	4.8100	1,443.00	-1,893.90	60.82	4.21%
11/22/10*	200.000	10.0310	2,006.22	4.8100	962.00	-1,044.22	40.55	4.21%
11/22/10*	300.000	10.0080	3,002.40	4.8100	1,443.00	-1,559.40	60.82	4.21%
Total Noncovered	**1,000.000**		**10,574.74**		**4,810.00**	**-5,764.74**	**202.74**	
Total	**1,000.000**		**$10,574.74**		**$4,810.00**	**-$5,764.74**	**$202.74**	
PROCTER & GAMBLE CO COM			**Security Identifier: PG**					
Dividend Option: Cash			CUSIP: 742718109					
09/23/11	125.000	60.9690	7,621.14	84.0800	10,510.00	2,888.86	334.75	3.18%
RPC INC COM			**Security Identifier: RES**					
Dividend Option: Cash			CUSIP: 749660106					
01/30/12	300.000	10.2560	3,076.80	19.8100	5,943.00	2,866.20	60.00	1.00%
01/30/12	150.000	10.2390	1,535.90	19.8100	2,971.50	1,435.60	30.00	1.00%
01/30/12	150.000	10.2390	1,535.90	19.8100	2,971.50	1,435.60	30.00	1.00%
01/30/12	900.000	10.2390	9,215.40	19.8100	17,829.00	8,613.60	180.00	1.00%
Total Covered	**1,500.000**		**15,364.00**		**29,715.00**	**14,351.00**	**300.00**	
Total	**1,500.000**		**$15,364.00**		**$29,715.00**	**$14,351.00**	**$300.00**	
RPM INTL INC			**Security Identifier: RPM**					
Dividend Option: Cash			CUSIP: 749685103					
11/22/10*	100.000	20.8580	2,085.80	53.8300	5,383.00	3,297.20	120.00	2.22%
11/22/10*	100.000	20.8180	2,081.80	53.8300	5,383.00	3,301.20	120.00	2.22%
11/22/10*	100.000	20.8180	2,081.80	53.8300	5,383.00	3,301.20	120.00	2.22%

[redacted]

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
RPM INTL INC *(continued)*								
11/22/10 *	200.000	20.8160	4,163.22	53.8300	10,766.00	6,602.78	240.00	2.22%
Total Noncovered	**500.000**		**10,412.62**		**26,915.00**	**16,502.38**	**600.00**	
Total	**500.000**		**$10,412.62**		**$26,915.00**	**$16,502.38**	**$600.00**	
THE SAINT JOE CO COM			**Security Identifier: JOE**					
Dividend Option: Cash			CUSIP: 790148100					
08/08/11	100.000	15.1180	1,511.80	19.0000	1,900.00	388.20		
08/08/11	100.000	15.0680	1,506.80	19.0000	1,900.00	393.20		
08/08/11	100.000	15.0780	1,507.80	19.0000	1,900.00	392.20		
08/08/11	100.000	15.0680	1,506.80	19.0000	1,900.00	393.20		
08/08/11	100.000	15.0680	1,506.80	19.0000	1,900.00	393.20		
Total Covered	**500.000**		**7,540.00**		**9,500.00**	**1,960.00**		
Total	**500.000**		**$7,540.00**		**$9,500.00**	**$1,960.00**	**$0.00**	
SOUTHERN COPPER CORP DEL COM			**Security Identifier: SCCO**					
Dividend Option: Cash			CUSIP: 84265V105					
04/06/11	121.000	39.8620	4,823.26	31.9400	3,864.74	-958.52	24.20	0.62%
SPARK NEW ZEALAND LTD SPONS ADR			**Security Identifier: SPKKY**					
ISIN#US84652A1025			CUSIP: 84652A102					
Dividend Option: Cash								
11/22/10 *	100.000	6.4220	642.19	11.7100	1,171.00	528.81	61.39	5.24%
11/22/10 *	100.000	6.4220	642.19	11.7100	1,171.00	528.81	61.39	5.24%
11/22/10 *	100.000	6.4220	642.19	11.7100	1,171.00	528.81	61.39	5.24%
11/22/10 *	200.000	6.4400	1,287.90	11.7100	2,342.00	1,054.10	122.80	5.24%
Total Noncovered	**500.000**		**3,214.47**		**5,855.00**	**2,640.53**	**306.97**	
Total	**500.000**		**$3,214.47**		**$5,855.00**	**$2,640.53**	**$306.97**	
UNILEVER PLC SPON ADR NEW			**Security Identifier: UL**					
ISIN#US9047677045			CUSIP 904767704					
Dividend Option: Cash								
11/13/14	200.000	40.7520	8,150.46	40.7000	8,140.00	-10.46	276.42	3.39%
11/13/14	300.000	40.7230	12,216.90	40.7000	12,210.00	-6.90	414.63	3.39%
Total Covered	**500.000**		**20,367.36**		**20,350.00**	**-17.36**	**691.05**	
Total	**500.000**		**$20,367.36**		**$20,350.00**	**-$17.36**	**$691.05**	
VALE S A ADR			**Security Identifier: VALE**					
ISIN#US91912E1055			CUSIP. 91912E105					
Dividend Option. Cash								
06/14/12	500.000	18.6980	9,349.00	7.6200	3,810.00	-5,539.00	20.43	0.53%

Glen Eagle Advisors, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-8231
Member FINRA / SIPC

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WINDSTREAM HLDGS INC COM NEW			**Security Identifier: WIN**					
Dividend Option: Cash			CUSIP: 97382A200					
09/30/10 *	4.333	15.4320	66.87	7.3300	31.76	-35.11	2.60	8.18%
09/30/10 *	33.333	15.1890	506.30	7.3300	244.33	-261.97	20.00	8.18%
09/30/10 *	95.000	15.1890	1,442.93	7.3300	696.35	-746.58	57.00	8.18%
09/30/10 *	33.333	15.1890	506.30	7.3300	244.34	-261.96	20.00	8.18%
Total Noncovered	**166.000**		**2,522.40**		**1,216.78**	**-1,305.62**	**99.60**	
Total	**166.000**		**$2,522.40**		**$1,216.78**	**-$1,305.62**	**$99.60**	
Total Common Stocks			**$459,649.08**		**$588,892.64**	**$129,243.56**	**$16,906.94**	
Real Estate Investment Trusts								
COMMUNICATIONS SALES & LEASING INC COM			**Security Identifier: CSAL**					
Dividend Option: Cash			CUSIP: 20341J104					
09/30/10 *	114.000	39.2190	4,471.00	25.4100	2,896.74	-1,574.26	273.60	9.44%
09/30/10 *	40.000	39.2200	1,568.78	25.4100	1,016.40	-552.38	96.00	9.44%
09/30/10 *	40.000	39.2200	1,568.78	25.4100	1,016.40	-552.38	96.00	9.44%
09/30/10 *	6.000	39.8430	239.06	25.4100	152.46	-86.60	14.40	9.44%
Total Noncovered	**200.000**		**7,847.62**		**5,082.00**	**-2,765.62**	**480.00**	
Total	**200.000**		**$7,847.62**		**$5,082.00**	**-$2,765.62**	**$480.00**	
IRON MTN INC NEW COM			**Security Identifier: IRM**					
Dividend Option: Cash			CUSIP: 46284V101					
01/13/11	150.000	23.8190	3,572.85	32.4800	4,872.00	1,299.15	330.00	6.77%
11/21/12	18.000	31.6820	570.27	32.4800	584.64	14.37	39.60	6.77%
11/04/14	17.000	35.1460	597.48	32.4800	552.16	-45.32	37.40	6.77%
Total Covered	**185.000**		**4,740.60**		**6,008.80**	**1,268.20**	**407.00**	
Total	**185.000**		**$4,740.60**		**$6,008.80**	**$1,268.20**	**$407.00**	
REALTY INCOME CORP COM			**Security Identifier: O**					
Dividend Option: Cash			CUSIP: 756109104					
01/14/14	300.000	37.7010	11,310.44	57.4800	17,244.00	5,933.56	729.00	4.22%
01/14/14	325.000	37.6850	12,247.54	57.4800	18,681.00	6,433.46	789.75	4.22%

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
REALTY INCOME CORP COM *(continued)*								
Total Covered	**625.000**		**23,557.98**		**35,925.00**	**12,367.02**	**1,518.75**	
Total	**625.000**		**$23,557.98**		**$35,925.00**	**$12,367.02**	**$1,518.75**	
Total Real Estate Investment Trusts			**$36,146.20**		**$47,015.80**	**$10,869.60**	**$2,405.75**	
Total Equities			**$495,795.28**		**$635,908.44**	**$140,113.16**	**$19,312.69**	

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 22.00% of Portfolio								
AMERICAN CENTURY SHORT DURATION FUND			**Security Identifier: ACSNX**					
INVESTOR CLASS			CUSIP: 024932436					
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
07/13/10*	2,378.687	10.5100	25,000.00	10.2400	24,357 76	-642.24	402.04	1.65%
Reinvestments to Date*	87.417	10.5310	920.55	10.2400	895.15	-25.40	14 78	1.65%
Total Noncovered	**2,466.104**		**25,920.55**		**25,252.91**	**-667.64**	**416.82**	
Reinvestments to Date	194.549	10 3760	2,018 68	10.2400	1,992.18	-26.50	32.88	1.65%
Total Covered	**194.549**		**2,018.68**		**1,992.18**	**-26.50**	**32.88**	
Total	**2,660.653**		**$27,939.23**		**$27,245.09**	**-$694.14**	**$449.70**	
BLACKROCKCREDIT STRATEGIES INCOME			**Security Identifier: BMSAX**					
FUND CLASS A			CUSIP: 09256H245					
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
07/13/10*	2,443.793	10.2300	25,000.00	10.0600	24,584.56	-415.44	1,001.08	4.07%
Reinvestments to Date*	205.597	10.2280	2,102.93	10.0600	2,068.31	-34.62	84 22	4.07%
Total Noncovered	**2,649.390**		**27,102.93**		**26,652.87**	**-450.06**	**1,085.30**	
Reinvestments to Date	703.816	10 2410	7,208.05	10 0600	7,080.38	-127 67	288 32	4 07%
Total Covered	**703.816**		**7,208.05**		**7,080.38**	**-127.67**	**288.32**	
Total	**3,353.206**		**$34,310.98**		**$33,733.25**	**-$577.73**	**$1,373.62**	
CALVERT SHORT DURATION INCOME			**Security Identifier: CSDAX**					
FUND CLASS A			CUSIP: 13161T104					
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option: Reinvest								
07/13/10*	1,519.757	16 4500	25,000 00	15.9900	24,300.92	-699.08	503.20	2.07%
07/13/10*	1,417.237	16.6870	23,649.18	15.9900	22,661.63	-987.55	469.26	2.07%

Glen Eagle Advisors, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
CALVERT SHORT DURATION INCOME *(continued)*								
07/30/10 *	1.595	16.7330	26.68	15.9900	25.50	-1.18	0.53	2.07%
07/30/10 *	1.595	16.7330	26.68	15.9900	25.50	-1.18	0.53	2.07%
07/30/10 *	1.594	16.7350	26.68	15.9900	25.49	-1.19	0.53	2.07%
08/27/10 *	1.436	16.8820	24.25	15.9900	22.97	-1.28	0.48	2.07%
08/27/10 *	1.436	16.8820	24.25	15.9900	22.97	-1.28	0.48	2.07%
08/27/10 *	1.436	16.8820	24.25	15.9900	22.97	-1.28	0.48	2.07%
09/30/10 *	1.430	16.8730	24.13	15.9900	22.87	-1.26	0.47	2.07%
09/30/10 *	1.429	16.8820	24.13	15.9900	22.85	-1.28	0.47	2.07%
09/30/10 *	1.430	16.8710	24.13	15.9900	22.87	-1.26	0.47	2.07%
10/29/10 *	1.152	16.8010	19.36	15.9900	18.43	-0.93	0.38	2.07%
10/29/10 *	1.153	16.7940	19.36	15.9900	18.43	-0.93	0.38	2.07%
10/29/10 *	1.152	16.8080	19.36	15.9900	18.42	-0.94	0.38	2.07%
11/24/10 *	0.963	16.8450	16.22	15.9900	15.40	-0.82	0.32	2.07%
11/24/10 *	0.963	16.8360	16.22	15.9900	15.40	-0.82	0.32	2.07%
11/24/10 *	0.962	16.8550	16.22	15.9900	15.39	-0.83	0.32	2.07%
12/03/10 *	14.999	16.6570	249.83	15.9900	239.83	-10.00	4.97	2.07%
12/03/10 *	14.999	16.6560	249.83	15.9900	239.84	-9.99	4.97	2.07%
12/03/10 *	14.998	16.6570	249.83	15.9900	239.82	-10.01	4.97	2.07%
12/23/10 *	0.811	16.5910	13.46	15.9900	12.97	-0.49	0.27	2.07%
12/23/10 *	0.811	16.5890	13.46	15.9900	12.97	-0.49	0.27	2.07%
12/23/10 *	0.811	16.5890	13.46	15.9900	12.97	-0.49	0.27	2.07%
01/28/11 *	1.225	16.6140	20.35	15.9900	19.59	-0.76	0.41	2.07%
01/28/11 *	1.225	16.6160	20.35	15.9900	19.58	-0.77	0.41	2.07%
01/28/11 *	1.225	16.6160	20.35	15.9900	19.58	-0.77	0.41	2.07%
02/25/11 *	1.042	16.6150	17.31	15.9900	16.66	-0.65	0.34	2.07%
02/25/11 *	1.042	16.6060	17.31	15.9900	16.67	-0.64	0.35	2.07%
02/25/11 *	1.041	16.6220	17.31	15.9900	16.65	-0.66	0.34	2.07%
03/31/11 *	0.818	16.6690	13.63	15.9900	13.07	-0.56	0.27	2.07%
03/31/11 *	0.818	16.6730	13.63	15.9900	13.07	-0.56	0.27	2.07%
03/31/11 *	0.819	16.6520	13.63	15.9900	13.09	-0.54	0.27	2.07%
04/27/11 *	1.253	16.5160	20.70	15.9900	20.04	-0.66	0.41	2.07%
04/27/11 *	12.770	16.5140	210.88	15.9900	204.19	-6.69	4.23	2.07%
04/27/11 *	0.982	16.5210	16.22	15.9900	15.70	-0.52	0.33	2.07%

[redacted]

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
CALVERT SHORT DURATION INCOME *(continued)*								
04/27/11 *	1.253	16.5190	20.70	15.9900	20.04	-0.66	0.41	2.07%
04/27/11 *	12.770	16.5140	210.88	15.9900	204.19	-6.69	4.23	2.07%
04/27/11 *	0.982	16.5240	16.22	15.9900	15.70	-0.52	0.33	2.07%
04/27/11 *	1.253	16.5190	20.70	15.9900	20.04	-0.66	0.41	2.07%
04/27/11 *	12.771	16.5130	210.88	15.9900	204.21	-6.67	4.23	2.07%
04/27/11 *	0.982	16.5240	16.22	15.9900	15.70	-0.52	0.33	2.07%
05/27/11 *	0.793	16.6040	13.17	15.9900	12.68	-0.49	0.26	2.07%
06/30/11 *	1.072	16.6090	17.80	15.9900	17.14	-0.66	0.35	2.07%
07/29/11 *	1.482	16.6870	24.73	15.9900	23.70	-1.03	0.49	2.07%
08/26/11 *	1.022	16.9360	17.31	15.9900	16.34	-0.97	0.34	2.07%
09/30/11 *	1.058	17.0950	18.08	15.9900	16.91	-1.17	0.35	2.07%
10/28/11 *	0.884	16.8720	14.92	15.9900	14.14	-0.78	0.29	2.07%
11/23/11 *	1.123	17.1350	19.25	15.9900	17.96	-1.29	0.37	2.07%
12/08/11 *	28.557	16.6830	476.42	15.9900	456.63	-19.79	9.46	2.07%
12/08/11 *	11.004	16.6840	183.59	15.9900	175.96	-7.63	3.64	2.07%
12/23/11 *	0.903	16.7180	15.09	15.9900	14.43	-0.66	0.30	2.07%
Reinvestments to Date *	92.724	16.2340	1,505.32	15.9900	1,482.66	-22.66	30.70	2.07%
Total Noncovered	**3,199.044**		**52,973.92**		**51,152.73**	**-1,821.19**	**1,059.25**	
01/27/12	0.629	16.7540	10.54	15.9900	10.06	-0.48	0.21	2.07%
02/24/12	0.767	16.7580	12.85	15.9900	12.26	-0.59	0.25	2.07%
03/30/12	0.732	16.6990	12.23	15.9900	11.71	-0.52	0.24	2.07%
04/27/12	0.849	16.8100	14.27	15.9900	13.57	-0.70	0.28	2.07%
05/31/12	0.648	16.9670	11.00	15.9900	10.37	-0.63	0.21	2.07%
06/29/12	0.596	17.0250	10.14	15.9900	9.52	-0.62	0.20	2.07%
07/27/12	0.488	17.1410	8.37	15.9900	7.81	-0.56	0.16	2.07%
08/31/12	0.701	17.0760	11.97	15.9900	11.21	-0.76	0.23	2.07%
09/28/12	0.696	17.1000	11.90	15.9900	11.13	-0.77	0.23	2.07%
11/02/12	0.675	17.0740	11.52	15.9900	10.79	-0.73	0.22	2.07%
11/30/12	0.855	17.1110	14.63	15.9900	13.67	-0.96	0.28	2.07%
12/07/12	36.369	16.6530	605.66	15.9900	581.53	-24.13	12.04	2.07%
12/07/12	10.613	16.6540	176.75	15.9900	169.70	-7.05	3.51	2.07%
12/28/12	1.173	16.5730	19.44	15.9900	18.76	-0.68	0.39	2.07%
01/31/13	1.250	16.4240	20.53	15.9900	19.99	-0.54	0.41	2.07%
02/28/13	1.440	16.4920	23.74	15.9900	23.02	-0.72	0.48	2.07%
03/28/13	1.192	16.4810	19.65	15.9900	19.07	-0.58	0.39	2.07%
04/26/13	1.164	16.5560	19.27	15.9900	18.61	-0.66	0.39	2.07%
05/31/13	0.934	16.3280	15.25	15.9900	14.93	-0.32	0.31	2.07%
06/28/13	1.116	15.9720	17.83	15.9900	17.85	0.02	0.37	2.07%
07/26/13	1.496	15.9340	23.84	15.9900	23.92	0.08	0.50	2.07%
08/30/13	1.492	15.8500	23.65	15.9900	23.86	0.21	0.49	2.07%

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
CALVERT SHORT DURATION INCOME (continued)								
09/27/13	2.021	15.9240	32.18	15.9900	32.31	0.13	0.67	2.07%
10/31/13	1.512	16.0010	24.20	15.9900	24.18	-0.02	0.50	2.07%
11/22/13	1.624	15.8950	25.81	15.9900	25.96	0.15	0.54	2.07%
12/27/13	1.476	15.8340	23.37	15.9900	23.60	0.23	0.49	2.07%
01/31/14	1.744	15.9600	27.84	15.9900	27.89	0.05	0.58	2.07%
02/28/14	1.713	15.9950	27.40	15.9900	27.39	-0.01	0.57	2.07%
03/28/14	1.445	15.9640	23.06	15.9900	23.10	0.04	0.48	2.07%
04/25/14	1.746	15.9640	27.88	15.9900	27.92	0.04	0.58	2.07%
05/30/14	1.589	16.1000	25.59	15.9900	25.41	-0.18	0.53	2.07%
06/27/14	1.669	16.0540	26.80	15.9900	26.69	-0.11	0.55	2.07%
07/31/14	1.618	15.9970	25.88	15.9900	25.87	-0.01	0.54	2.07%
08/29/14	1.607	16.1090	25.88	15.9900	25.69	-0.19	0.53	2.07%
09/26/14	1.740	16.0090	27.86	15.9900	27.83	-0.03	0.58	2.07%
10/31/14	1.512	16.0940	24.34	15.9900	24.18	-0.16	0.50	2.07%
11/26/14	1.506	16.0990	24.25	15.9900	24.09	-0.16	0.50	2.07%
12/30/14	1.291	16.1010	20.78	15.9900	20.64	-0.14	0.43	2.07%
01/30/15	1.332	16.3650	21.80	15.9900	21.30	-0.50	0.44	2.07%
02/27/15	1.403	16.1800	22.70	15.9900	22.43	-0.27	0.46	2.07%
03/27/15	0.591	16.1870	9.56	15.9900	9.44	-0.12	0.20	2.07%
04/24/15	1.496	16.2320	24.29	15.9900	23.93	-0.36	0.50	2.07%
Reinvestments to Date	225.329	16.1320	3,635.03	15.9900	3,603.02	-32.01	74.58	2.07%
Total Covered	**321.840**		**5,221.53**		**5,146.21**	**-75.32**	**106.54**	
Total	**3,520.884**		**$58,195.45**		**$56,298.94**	**-$1,896.51**	**$1,165.79**	
JP MORGAN LIMITED DURATION BOND FUND			**Security Identifier: ONUAX**					
CLASS A			CUSIP: 4812C1413					
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
07/13/10*	2,726.281	9.1660	24,989.32	9.9800	27,208.28	2,218.96	229.00	0.84%
Reinvestments to Date*	77.947	9.3890	731.84	9.9800	777.91	46.07	6.55	0.84%
Total Noncovered	**2,804.228**		**25,721.16**		**27,986.19**	**2,265.03**	**235.55**	

[redacted]

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
JP MORGAN LIMITED DURATION BOND FUND *(continued)*								
Reinvestments to Date	132.256	9.8260	1,299.49	9.9800	1,319 92	20.43	11.11	0.84%
Total Covered	**132.256**		**1,299.49**		**1,319.92**	**20.43**	**11.11**	
Total	**2,936.484**		**$27,020.65**		**$29,306.11**	**$2,285.46**	**$246.66**	
MILLER CONVERTIBLE BOND FUND CLASS A			**Security Identifier: MCFAX**					
Open End Fund			CUSIP. 60055P102					
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
02/15/11*	1,266.892	11.8590	15,024.00	12.7500	16,152.87	1,128.87	310 51	1.92%
03/16/11*	1,303.215	11.5280	15,024.00	12 7500	16,615.99	1,591.99	319.42	1 92%
Reinvestments to Date*	112.029	10.4350	1,169.06	12.7500	1,428.37	259.31	27.46	1.92%
Total Noncovered	**2,682.136**		**31,217.06**		**34,197.23**	**2,980.17**	**657.39**	
Reinvestments to Date	535.061	12.1340	6,492.54	12.7500	6,822.03	329.49	131.14	1 92%
Total Covered	**535.061**		**6,492.54**		**6,822.03**	**329.49**	**131.14**	
Total	**3,217.197**		**$37,709.60**		**$41,019.26**	**$3,309.66**	**$788.53**	
Total Mutual Funds			**$185,175.91**		**$187,602.65**	**$2,426.74**	**$4,024.30**	

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 2.00% of Portfolio								
ALPS ETF TR ALERIAN MLP ETF			**Security Identifier: AMLP**					
Dividend Option: Cash, Capital Gains Option: Cash			CUSIP: 00162Q866					
09/26/13	1,000.000	15.6440	15,644.35	12.6000	12,600.00	-3,044 35	1,019.00	8.08%
09/26/13	420.000	15.6390	6,568.52	12.6000	5,292.00	-1,276.52	427.98	8.08%
Total Covered	**1,420.000**		**22,212.87**		**17,892.00**	**-4,320.87**	**1,446.98**	
Total	**1,420.000**		**$22,212.87**		**$17,892.00**	**-$4,320.87**	**$1,446.98**	
Total Exchange-Traded Products			**$22,212.87**		**$17,892.00**	**-$4,320.87**	**$1,446.98**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$723,211.81**	**$861,430.84**	**$138,219.03**	**$0.00**	**$24,784.04**
Cost	20 027 75				
Net	723 184 10				

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as non-retirement, US taxpayers securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B

Portfolio Holdings *(continued)*

for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Options and any Debt Instruments that provide a simple fixed payment schedule for which a yield to maturity can be easily determined acquired on or after January 1, 2014.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/business_continuity.html for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be

[redacted]

Portfolio Holdings Disclosures *(continued)*

Proxy Vote *(continued)*
reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Securities						
Securities Bought	0.00	-632.02	-632.02	0.00	-4,351.41	-4,351.41
Securities Sold	0.00	0.00	0.00	55,986.52	0.00	55,986.52
Total Securities	**$0.00**	**-$632.02**	**-$632.02**	**$55,986.52**	**-$4,351.41**	**$51,635.11**
Dividends and Interest	**$3,094.83**	**$0.00**	**$3,094.83**	**$25,022.70**	**$0.00**	**$25,022.70**
Distributions	**$55.00**	**$0.00**	**$55.00**	**$410.00**	**$0.00**	**$410.00**
Fees	**$0.00**	**-$2.85**	**-$2.85**	**$0.00**	**-$4,215.01**	**-$4,215.01**
Taxes Withheld	**$0.00**	**-$5.92**	**-$5.92**	**$0.00**	**-$133.26**	**-$133.26**
Cash						
Withdrawals	0.00	0.00	0.00	0.00	-75,000.00	-75,000.00
Total Cash	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**-$75,000.00**	**-$75,000.00**
Totals	**$3,149.83**	**-$640.79**	**$2,509.04**	**$81,419.22**	**-$83,699.68**	**-$2,280.46**

Transactions by Type of Activity

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Securities Bought and Sold							
12/01/16	REINVEST CASH INCOME ACSNX	AMERICAN CENTURY SHORT DURATION FUND INVESTOR CLASS SHRS PURCH. AT $10.24000 FOR ACCRUAL PERIOD ENDING 11/30/16	3.611			-36.98	USD
12/01/16	REINVEST CASH INCOME BMSAX	BLACKROCKCREDIT STRATEGIES INCOME FUND CLASS A SHRS PURCH. AT $10.00000 FOR ACCRUAL PERIOD ENDING 11/30/16	10.595			-105.95	USD
12/22/16	REINVEST CASH INCOME BMSAX	BLACKROCKCREDIT STRATEGIES INCOME FUND CLASS A 3347 7330 SHRS SHRS PURCH AT $10.05000 RD 12/20 PD 12/21/16	5.473			-55.00	USD
12/28/16	REINVEST CASH INCOME CSDAX	CALVERT SHORT DURATION INCOME FUND CLASS A 3515 1740 SHRS SHRS PURCH. AT $15 95000 RD 12/23 PD 12/28/16	5.710			-91.08	USD

Glen Eagle Advisors, LLC
353 Nassau Street
Princeton, NJ 08540
Bus: 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Transactions by Type of Activity (continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Securities Bought and Sold *(continued)*							
12/29/16	REINVEST CASH INCOME ONUAX	JP MORGAN LIMITED DURATION BOND FUND CLASS A 2934.1300 SHRS SHRS PURCH. AT $9.97000 RD 12/27 PD 12/29/16	2.354			-23.47	USD
12/30/16	REINVEST CASH INCOME MCFAX	MILLER CONVERTIBLE BOND FUND CLASS A 3192.1940 SHRS SHRS PURCH. AT $12.78000 RD 12/28 PD 12/29/16	25.003			-319.54	USD
Total Securities Bought and Sold					**$0.00**	**-$632.02**	**USD**
Dividends and Interest							
12/01/16	CASH DIVIDEND RECEIVED ACSNX	AMERICAN CENTURY SHORT DURATION FUND INVESTOR CLASS FOR ACCRUAL PERIOD ENDING 11/30/16				36.98	USD
12/01/16	CASH DIVIDEND RECEIVED BMSAX	BLACKROCKCREDIT STRATEGIES INCOME FUND CLASS A FOR ACCRUAL PERIOD ENDING 11/30/16				105.95	USD
12/01/16	CASH DIVIDEND RECEIVED ETR	250 SHRS ENTERGY CORP NEW COM RD 11/10 PD 12/01/16				217.50	USD
12/01/16	CASH DIVIDEND RECEIVED F	1000 SHRS FORD MOTOR CO DEL COM PAR RD 10/27 PD 12/01/16				150.00	USD
12/01/16	CASH DIVIDEND RECEIVED HP	250 SHRS HELMERICH & PAYNE INC COM RD 11/11 PD 12/01/16				175.00	USD
12/01/16	CASH DIVIDEND RECEIVED INTC	900 SHRS INTEL CORP COM RD 11/07 PD 12/01/16				234.00	USD
12/06/16	CASH DIVIDEND RECEIVED CA	800 SHRS CA INC COM RD 11/17 PD 12/06/16				204.00	USD
12/06/16	CASH DIVIDEND RECEIVED JNJ	200 SHRS JOHNSON & JOHNSON COM RD 11/22 PD 12/06/16				160.00	USD
12/07/16	FOREIGN SECURITY DIVIDEND RECEIVED UL	500 SHRS UNILEVER PLC SPON ADR NEW ISIN#US9047677045 RD 10/28 PD 12/07/16				177.30	USD
12/08/16	CASH DIVIDEND RECEIVED MSFT	300 SHRS MICROSOFT CORP COM RD 11/17 PD 12/08/16				117.00	USD
12/09/16	CASH DIVIDEND RECEIVED CNP	500 SHRS CENTERPOINT ENERGY INC COM RD 11/16 PD 12/09/16				128.75	USD

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
Dividends and Interest *(continued)*							
12/09/16	CASH DIVIDEND RECEIVED DBD	800 SHRS DIEBOLD INC COM RD 11/18 PD 12/09/16				80.00	USD
12/09/16	CASH DIVIDEND RECEIVED RES	1500 SHRS RPC INC COM RD 11/10 PD 12/09/16				75 00	USD
12/12/16	CASH DIVIDEND RECEIVED CVX	140 SHRS CHEVRON CORP NEW COM RD 11/18 PD 12/12/16				151 20	USD
12/14/16	CASH DIVIDEND RECEIVED DD	500 SHRS DU PONT E I DE NEMOURS & CO COM RD 11/15 PD 12/14/16				190.00	USD
12/15/16	CASH DIVIDEND RECEIVED CSX	300 SHRS CSX CORP COM RD 11/30 PD 12/15/16				54.00	USD
12/15/16	CASH DIVIDEND RECEIVED CC	100 SHRS CHEMOURS CO COM RD 11/17 PD 12/15/16				3.00	USD
12/15/16	CASH DIVIDEND RECEIVED MCD	100 SHRS MCDONALDS CORP RD 12/01 PD 12/15/16				94 00	USD
12/15/16	CASH DIVIDEND RECEIVED O	625 SHRS REALTY INCOME CORP COM RD 12/01 PD 12/15/16				126.25	USD
12/16/16	CASH DIVIDEND RECEIVED KHC	66 SHRS KRAFT HEINZ CO COM STK RD 12/02 PD 12/16/16				39.60	USD
12/23/16	FOREIGN SECURITY DIVIDEND RECEIVED VALE	500 SHRS VALE S A ADR ISIN#US91912E1055 RD 12/06 PD 12/23/16				24.46	USD
12/28/16	CASH DIVIDEND RECEIVED CSDAX	CALVERT SHORT DURATION INCOME FUND CLASS A 3515 1740 SHRS RD 12/23 PD 12/28/16				91.08	USD
12/29/16	CASH DIVIDEND RECEIVED ONUAX	JP MORGAN LIMITED DURATION BOND FUND CLASS A 2934.1300 SHRS RD 12/27 PD 12/29/16				23 47	USD
12/30/16	FOREIGN SECURITY DIVIDEND RECEIVED ECA	1000 SHRS ENCANA CORP COM SHS ISIN#CA2925051047 RD 12/15 PD 12/30/16				15.00	USD
12/30/16	CASH DIVIDEND RECEIVED IRM	185 SHRS IRON MTN INC NEW COM RD 12/15 PD 12/30/16				101 75	USD
12/30/16	CASH DIVIDEND RECEIVED MCFAX	MILLER CONVERTIBLE BOND FUND CLASS A 3192.1940 SHRS RD 12/28 PD 12/29/16				319 54	USD
Total Dividends and Interest					**$0.00**	**$3,094.83**	**USD**
Distributions							
12/22/16	SHORT TERM CAPITAL GAIN DISTRIBUTION BMSAX	BLACKROCKCREDIT STRATEGIES INCOME FUND CLASS A 3347.7330 SHRS RD 12/20 PD 12/21/16				55 00	USD
Total Distributions					**$0.00**	**$55.00**	**USD**

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term *(continued)*								
AMERICAN CENTURY SHO *(continued)*	05/31/16	10/30/15	Covered	First In First Out / SELL	1.227	11.82	11.84	-0.02
	05/31/16	11/30/15	Covered	First In First Out / SELL	1.084	10.44	10.43	0.01
	05/31/16	12/31/15	Covered	First In First Out / SELL	1.285	12.37	12.34	0.03
	05/31/16	01/29/16	Covered	First In First Out / SELL	1.120	10.79	10.81	-0.02
	05/31/16	02/29/16	Covered	First In First Out / SELL	1.291	12.43	12.46	-0.03
	05/31/16	03/31/16	Covered	First In First Out / SELL	1.311	12.62	12.66	-0.04
	05/31/16	04/29/16	Covered	First In First Out / SELL	1.406	13.57	13.57	0.00
Total					13.397	129.04	129.21	-0.17
BLACKROCK STRATEGIC T PORTFOLIO FUND CL A Security Identifier: 09256H310	05/31/16	06/30/15	Covered	First In First Out / SELL	4.749	46.02	47.87	-1.85
	05/31/16	07/31/15	Covered	First In First Out / SELL	4.984	48.29	50.19	-1.90
	05/31/16	08/31/15	Covered	First In First Out / SELL	4.631	44.87	46.36	-1.49
	05/31/16	09/30/15	Covered	First In First Out / SELL	3.917	37.96	38.90	-0.94
	05/31/16	10/30/15	Covered	First In First Out / SELL	4.819	46.70	48.05	-1.35
	05/31/16	11/30/15	Covered	First In First Out / SELL	5.095	49.37	50.64	-1.27
	05/31/16	12/24/15	Covered	First In First Out / SELL	28.887	279.92	282.51	-2.59
	05/31/16	12/31/15	Covered	First In First Out / SELL	5.923	57.39	57.87	-0.48
	05/31/16	01/29/16	Covered	First In First Out / SELL	6.019	58.32	58.32	0.00
	05/31/16	02/29/16	Covered	First In First Out / SELL	5.231	50.69	50.32	0.37

GLEN EAGLE ADVISORS, LLC
353 Nassau Street
Princeton, NJ 08540
Bus 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Statement Period: 12/01/2016 - 12/31/2016

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term *(continued)*								
BLACKROCK STRATEGIC *(continued)*	05/31/16	03/31/16	Covered	First In First Out / SELL	4.659	45.15	45.01	0.14
	05/31/16	04/29/16	Covered	First In First Out / SELL	6.065	58.74	58.89	-0.15
Total					84.979	823.42	834.93	-11.51
Total Short Term						952.46	964.14	-11.68
Long Term								
AMERICAN CENTURY SHO VERNMENT FUND	05/31/16	07/13/10	Noncovered*	First In First Out / SELL	2,551.020	24,566.32	25,000.00	-433.68
Security Identifier: 025081506	05/31/16	07/30/10	Noncovered*	First In First Out / SELL	1.293	12.45	12.72	-0.27
	05/31/16	08/31/10	Noncovered*	First In First Out / SELL	1.904	18.34	18.75	-0.41
	05/31/16	09/30/10	Noncovered*	First In First Out / SELL	1.976	19.03	19.50	-0.47
	05/31/16	10/29/10	Noncovered*	First In First Out / SELL	2.133	20.54	21.07	-0.53
	05/31/16	11/30/10	Noncovered*	First In First Out / SELL	2.069	19.92	20.38	-0.46
	05/31/16	12/10/10	Noncovered*	First In First Out / SELL	12.999	125.18	126.74	-1.56
	05/31/16	12/31/10	Noncovered*	First In First Out / SELL	2.239	21.56	21.85	-0.29
	05/31/16	01/31/11	Noncovered*	First In First Out / SELL	1.922	18.51	18.76	-0.25
	05/31/16	02/28/11	Noncovered*	First In First Out / SELL	1.912	18.41	18.64	-0.23
	05/31/16	03/31/11	Noncovered*	First In First Out / SELL	1.978	19.05	19.25	-0.20

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
AMERICAN CENTURY SHO *(continued)*	05/31/16	04/29/11	Noncovered*	First In First Out / SELL	2 009	19.35	19 63	-0 28
	05/31/16	05/31/11	Noncovered*	First In First Out / SELL	2.003	19.29	19.63	-0.34
	05/31/16	06/30/11	Noncovered*	First In First Out / SELL	1 880	18 10	18.39	-0 29
	05/31/16	07/29/11	Noncovered*	First In First Out / SELL	1.841	17.73	18 06	-0.33
	05/31/16	08/31/11	Noncovered*	First In First Out / SELL	1.585	15.26	15.61	-0.35
	05/31/16	09/30/11	Noncovered*	First In First Out / SELL	1 593	15.34	15.66	-0 32
	05/31/16	10/31/11	Noncovered*	First In First Out / SELL	1 132	10.90	11.13	-0.23
	05/31/16	11/30/11	Noncovered*	First In First Out / SELL	1.246	12.00	12.25	-0.25
	05/31/16	12/09/11	Noncovered*	First In First Out / SELL	9 508	91.56	92.89	-1 33
	05/31/16	12/09/11	Noncovered*	First In First Out / SELL	7 489	72.12	73.17	-1.05
	05/31/16	12/30/11	Noncovered*	First In First Out / SELL	0.735	7.08	7.18	-0.10
	05/31/16	01/31/12	Covered	First In First Out / SELL	0 551	5.31	5 39	-0.08
	05/31/16	02/29/12	Covered	First In First Out / SELL	0.467	4 50	4 56	-0.06
	05/31/16	03/30/12	Covered	First In First Out / SELL	0.927	8.93	9.05	-0.12
	05/31/16	04/30/12	Covered	First In First Out / SELL	0.816	7.86	7.98	-0.12
	05/31/16	05/31/12	Covered	First In First Out / SELL	0.866	8.34	8.47	-0.13
	05/31/16	06/29/12	Covered	First In First Out / SELL	0.934	8.99	9.13	-0.14
	05/31/16	07/31/12	Covered	First In First Out / SELL	0.565	5.44	5 53	-0.09
	05/31/16	08/31/12	Covered	First In First Out / SELL	0.583	5.61	5 71	-0.10

Statement Period: 12/01/2016 - 12/31/2016

Schedule of Realized Gains and Losses Year-to-Date (continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
AMERICAN CENTURY SHO *(continued)*	05/31/16	09/28/12	Covered	First In First Out / SELL	0.490	4.72	4.79	-0.07
	05/31/16	10/31/12	Covered	First In First Out / SELL	0.982	9.46	9.59	-0.13
	05/31/16	11/30/12	Covered	First In First Out / SELL	1.090	10 50	10.65	-0.15
	05/31/16	12/07/12	Covered	First In First Out / SELL	6.370	61.34	61.85	-0.51
	05/31/16	12/07/12	Covered	First In First Out / SELL	8.934	86 03	86.75	-0.72
	05/31/16	12/31/12	Covered	First In First Out / SELL	0.340	3.27	3.30	-0.03
	05/31/16	03/28/13	Covered	First In First Out / SELL	0.174	1.68	1.69	-0.01
	05/31/16	04/30/13	Covered	First In First Out / SELL	1.334	12.85	12.97	-0.12
	05/31/16	05/31/13	Covered	First In First Out / SELL	0.765	7.37	7.41	-0.04
	05/31/16	06/28/13	Covered	First In First Out / SELL	0.258	2.48	2.49	-0.01
	05/31/16	07/31/13	Covered	First In First Out / SELL	0.573	5.52	5.54	-0 02
	05/31/16	08/30/13	Covered	First In First Out / SELL	0.583	5.61	5.63	-0 02
	05/31/16	09/30/13	Covered	First In First Out / SELL	0 511	4.92	4.93	-0.01
	05/31/16	10/31/13	Covered	First In First Out / SELL	0.486	4 68	4.69	-0.01
	05/31/16	11/29/13	Covered	First In First Out / SELL	0 488	4.70	4.71	-0.01

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
AMERICAN CENTURY SHO *(continued)*	05/31/16	12/31/13	Covered	First In First Out / SELL	0.447	4.30	4.31	-0.01
	05/31/16	01/31/14	Covered	First In First Out / SELL	0.521	5.02	5.03	-0.01
	05/31/16	02/28/14	Covered	First In First Out / SELL	0.558	5.37	5.39	-0.02
	05/31/16	03/31/14	Covered	First In First Out / SELL	0.588	5.66	5.67	-0.01
	05/31/16	04/30/14	Covered	First In First Out / SELL	0.778	7.49	7.51	-0.02
	05/31/16	05/30/14	Covered	First In First Out / SELL	0.867	8.35	8.38	-0.03
	05/31/16	06/30/14	Covered	First In First Out / SELL	0.856	8.24	8.26	-0.02
	05/31/16	07/31/14	Covered	First In First Out / SELL	0.948	9.13	9.14	-0.01
	05/31/16	08/29/14	Covered	First In First Out / SELL	1.031	9.93	9.95	-0.02
	05/31/16	09/30/14	Covered	First In First Out / SELL	0.988	9.51	9.52	-0.01
	05/31/16	10/31/14	Covered	First In First Out / SELL	1.174	11.31	11.34	-0.03
	05/31/16	11/28/14	Covered	First In First Out / SELL	1.070	10.30	10.35	-0.05
	05/31/16	12/31/14	Covered	First In First Out / SELL	2.015	19.40	19.42	-0.02
	05/31/16	01/30/15	Covered	First In First Out / SELL	1.185	11.41	11.48	-0.07
	05/31/16	02/27/15	Covered	First In First Out / SELL	1.184	11.40	11.44	-0.04
	05/31/16	03/31/15	Covered	First In First Out / SELL	1.156	11.13	11.18	-0.05
	05/31/16	04/30/15	Covered	First In First Out / SELL	1.193	11.49	11.54	-0.05
	05/31/16	05/29/15	Covered	First In First Out / SELL	1.189	11.45	11.49	-0.04
Total					2,659.301	25,609.04	26,055.47	-446.43

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
BLACKROCK STRATEGIC T PORTFOLIO FUND CL A	05/31/16	07/13/10	Noncovered*	First In First Out / SELL	2,556.237	24,769.94	25,000.00	-230.06
Security Identifier: 09256H310	05/31/16	07/30/10	Noncovered*	First In First Out / SELL	4.232	41.01	42.07	-1.06
	05/31/16	08/31/10	Noncovered*	First In First Out / SELL	8.037	77.88	80.69	-2.81
	05/31/16	09/30/10	Noncovered*	First In First Out / SELL	9.280	89.92	94.01	-4.09
	05/31/16	10/29/10	Noncovered*	First In First Out / SELL	8.853	85.79	90.48	-4.69
	05/31/16	11/30/10	Noncovered*	First In First Out / SELL	8.530	82.66	86.32	-3.66
	05/31/16	12/23/10	Noncovered*	First In First Out / SELL	37.767	365.96	375.78	-9.82
	05/31/16	12/31/10	Noncovered*	First In First Out / SELL	7.419	71.89	73.89	-2.00
	05/31/16	01/31/11	Noncovered*	First In First Out / SELL	7.247	70.22	72.61	-2.39
	05/31/16	02/28/11	Noncovered*	First In First Out / SELL	8.073	78.23	80.73	-2.50
	05/31/16	03/31/11	Noncovered*	First In First Out / SELL	7.956	77.09	79.24	-2.15
	05/31/16	04/29/11	Noncovered*	First In First Out / SELL	7.672	74.34	76.72	-2.38
	05/31/16	05/31/11	Noncovered*	First In First Out / SELL	7.221	69.97	72.21	-2.24
	05/31/16	06/30/11	Noncovered*	First In First Out / SELL	8.459	81.97	83.83	-1.86
	05/31/16	07/22/11	Noncovered*	First In First Out / SELL	4.631	44.87	45.89	-1.02

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
BLACKROCK STRATEGIC *(continued)*	05/31/16	07/29/11	Noncovered*	First In First Out / SELL	9.056	87 75	89.65	-1 90
	05/31/16	08/31/11	Noncovered*	First In First Out / SELL	9 286	89.98	89.98	0.00
	05/31/16	09/30/11	Noncovered*	First In First Out / SELL	7.695	74.56	73.49	1.07
	05/31/16	10/31/11	Noncovered*	First In First Out / SELL	6.780	65.70	65.22	0.48
	05/31/16	11/30/11	Noncovered*	First In First Out / SELL	7.169	69.47	68.11	1.36
	05/31/16	12/30/11	Noncovered*	First In First Out / SELL	6.621	64.16	62.97	1.19
	05/31/16	01/31/12	Covered	First In First Out / SELL	7.399	71.70	71.62	0 08
	05/31/16	02/29/12	Covered	First In First Out / SELL	7.848	76.05	76.52	-0.47
	05/31/16	03/30/12	Covered	First In First Out / SELL	7.440	72.09	72.76	-0.67
	05/31/16	04/30/12	Covered	First In First Out / SELL	6.683	64.76	65.36	-0.60
	05/31/16	05/31/12	Covered	First In First Out / SELL	7.939	76.93	77.17	-0.24
	05/31/16	06/29/12	Covered	First In First Out / SELL	7 884	76 40	76.87	-0.47
	05/31/16	07/31/12	Covered	First In First Out / SELL	8 120	78.68	79.90	-1.22
	05/31/16	08/31/12	Covered	First In First Out / SELL	7.393	71.64	73.04	-1.40
	05/31/16	09/28/12	Covered	First In First Out / SELL	7.073	68.54	70.59	-2.05
	05/31/16	10/31/12	Covered	First In First Out / SELL	7.772	75.31	77.95	-2.64
	05/31/16	11/30/12	Covered	First In First Out / SELL	6.857	66.44	68.98	-2.54
	05/31/16	12/31/12	Covered	First In First Out / SELL	6 221	60 28	62 83	-2 55
	05/31/16	01/31/13	Covered	First In First Out / SELL	6.141	59 51	62.39	-2.88

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
BLACKROCK-STRATEGIC *(continued)*	05/31/16	02/28/13	Covered	First In First Out / SELL	5.757	55.79	58.49	-2.70
	05/31/16	03/28/13	Covered	First In First Out / SELL	6.337	61.41	64.26	-2.85
	05/31/16	04/30/13	Covered	First In First Out / SELL	8.023	77.74	82.16	-4.42
	05/31/16	05/31/13	Covered	First In First Out / SELL	8.080	78.30	82.50	-4.20
	05/31/16	06/28/13	Covered	First In First Out / SELL	6.115	59.25	61.09	-1.84
	05/31/16	07/31/13	Covered	First In First Out / SELL	5.181	50.20	51.86	-1.66
	05/31/16	08/30/13	Covered	First In First Out / SELL	4.395	42.59	43.73	-1.14
	05/31/16	09/30/13	Covered	First In First Out / SELL	4.511	43.71	45.20	-1.49
	05/31/16	10/31/13	Covered	First In First Out / SELL	4.657	45.13	46.99	-1.86
	05/31/16	11/29/13	Covered	First In First Out / SELL	4.528	43.88	45.78	-1.90
	05/31/16	12/31/13	Covered	First In First Out / SELL	4.317	41.83	43.86	-2.03
	05/31/16	01/31/14	Covered	First In First Out / SELL	4.090	39.63	41.55	-1.92
	05/31/16	02/28/14	Covered	First In First Out / SELL	5.051	48.94	51.72	-2.78
	05/31/16	03/31/14	Covered	First In First Out / SELL	5.625	54.51	57.77	-3.26
	05/31/16	04/30/14	Covered	First In First Out / SELL	5.911	57.28	60.88	-3.60

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Description	Date Disposed	Date Acquired	Designation	Disposition Method / Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term *(continued)*								
BLACKROCK STRATEGIC *(continued)*	05/31/16	05/30/14	Covered	First In First Out / SELL	5.938	57.54	61.40	-3 86
	05/31/16	06/30/14	Covered	First In First Out / SELL	5.562	53.90	57.68	-3.78
	05/31/16	07/18/14	Covered	First In First Out / SELL	5.570	53 97	57.71	-3.74
	05/31/16	07/31/14	Covered	First In First Out / SELL	5.964	57.79	61 73	-3.94
	05/31/16	08/29/14	Covered	First In First Out / SELL	4.753	46.06	49.15	-3.09
	05/31/16	09/30/14	Covered	First In First Out / SELL	4.170	40.41	42.87	-2.46
	05/31/16	10/31/14	Covered	First In First Out / SELL	4.486	43 47	46 03	-2 56
	05/31/16	11/28/14	Covered	First In First Out / SELL	5.152	49.92	53.07	-3.15
	05/31/16	12/23/14	Covered	First In First Out / SELL	51.761	501 56	523.82	-22 26
	05/31/16	12/31/14	Covered	First In First Out / SELL	4.521	43.81	45.71	-1 90
	05/31/16	01/30/15	Covered	First In First Out / SELL	4.039	39.14	41.12	-1.98
	05/31/16	02/27/15	Covered	First In First Out / SELL	3.883	37 63	39.68	-2 05
	05/31/16	03/31/15	Covered	First In First Out / SELL	4.035	39.10	41.24	-2.14
	05/31/16	04/30/15	Covered	First In First Out / SELL	5.467	52.98	55.71	-2.73
	05/31/16	05/29/15	Covered	First In First Out / SELL	5.765	55.86	58 57	-2.71
Total					3,036 635	29,425 02	29,813.20	-388.18
Total Long Term						55,034.06	55,868.67	-834.61
Total Short and Long Term						55,986.52	56,832.81	-846.29

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L.110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as non-retirement, US taxpayers securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Options and any Debt Instruments that provide a simple fixed payment schedule for which a yield to maturity can be easily determined acquired on or after January 1, 2014.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Client Service Information

Your Account Executive: JEB

Client Service Information

To report a lost or stolen Debit Card or check call (800) 547-7008, 24 hours a day, 7 days a week.

Your Account Information

Investment Objective

Investment Objective: INCOME

Risk Exposure: LOW RISK

Please review your investment objective. If you wish to make a change or have any questions please contact your Account Executive.

[redacted]

Your Account Information *(continued)*

Tax Lot Default Disposition Method
Default Method for Mutual Funds: FIRST IN FIRST OUT
Default Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Method for all Other Securities: FIRST IN FIRST OUT

Bond Amortization Elections:
Amortize premium on taxable bonds based on Constant Yield Method: Yes
Accrual market discount method for all other bond types. Constant Yield Method
Include market discount in income annually: No

Electronic Delivery
You have not selected any account communications for electronic delivery To register and turn off paper communications, log in to your account or contact your Account Executive for more information.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at $1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform.

The U.S. will adopt a shortened settlement timeframe beginning with trade date September 5, 2017, for equities, corporate and municipal bonds, and unit investment trusts. Moving from a T+3 to a T+2 settlement cycle will provide faster access to sale proceeds, but it also means that funds will be due on purchase transactions earlier. This may be a good time to consider e-delivery of your account documents-including trade confirmations, account statements and more. Ask your advisor about how you can set up e-delivery.

Important Information and Disclosures

The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

353 Nassau Street
Princeton, NJ 08540
Bus 609-631-8231
Member FINRA / SIPC

CORESTONE ACCOUNT™

Important Information and Disclosures *(continued)*

Statement Period: 12/01/2016 - 12/31/2016

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action; who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.**

[redacted]

TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.

- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS— RULE 607(A)(1)-(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS. CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY AND OPTION ORDERS TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL. COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT