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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	28,078	76,578	76,578
	2	Savings and temporary cash investments	632,481	261,972	261,972
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,557,477	2,011,718	2,011,718
	c	Investments—corporate bonds (attach schedule)	1,802,881	2,113,597	2,113,597
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,020,917	4,463,865	4,463,865	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,020,917	4,463,865	
30	Total net assets or fund balances (see instructions)	4,020,917	4,463,865		
31	Total liabilities and net assets/fund balances (see instructions) .	4,020,917	4,463,865		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,020,917
2	Enter amount from Part I, line 27a	2	442,948
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,463,865
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,463,865

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; font-size: small;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	218,013
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-6,609

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	408,440	3,645,927	0 11203
2014	11,000	3,481,634	0 00316
2013	129,861	3,360,942	0 03864
2012	140,484	2,343,413	0 05995
2011	132,000	2,285,407	0 05776

2 Total of line 1, column (d)	2	0 271529
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054306
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,080,957
5 Multiply line 4 by line 3	5	221,620
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,209
7 Add lines 5 and 6	7	224,829
8 Enter qualifying distributions from Part XII, line 4	8	36,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	6,418
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	6,418
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,418
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	76
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	6,494
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► WILLIAM R BATTLE III Telephone no ► (205) 348-3620			

Located at ► 6552 WATERFRONT DRIVE TUSCALOOSA AL ZIP+4 ► 35406

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	5b		No
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WILLIAM R BATTLE III 6552 WATERFRONT DRIVE TUSCALOOSA, AL 35406	TRUSTEE 1 00	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. <input type="checkbox"/>				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 TO PAY OUT OR USE INCOME OR PRINCIPAL EXCLUSIVELY TOOR FOR CHARITABLE ORGANIZATIONS DESCRIBED IN AND EXEMPTFROM TAX UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUECODE	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,823,293
b	Average of monthly cash balances.	1b	319,811
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,143,104
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,143,104
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	62,147
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,080,957
6	Minimum investment return. Enter 5% of line 5.	6	204,048

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	204,048
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	6,418
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,418
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	197,630
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	197,630
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	197,630

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	36,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	36,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	36,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				197,630
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	18,359			
b From 2012.	25,444			
c From 2013.				
d From 2014.				
e From 2015.	231,264			
f Total of lines 3a through e.	275,067			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 36,500				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).	0			
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				36,500
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	161,130			161,130
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	113,937			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	113,937			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.	113,937			
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) WILLIAM R BATTLE III
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a The name, address, and telephone number or email address of the person to whom applications should be addressed
b The form in which applications should be submitted and information and materials they should include
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
NATIONAL FOOTBALL FOUNDATION 433 E LAS COLINAS BLVD SUITE 1130 IRVING, TX 75039	NONE	501(C)(3)	EDUCATIONAL PURPOSES	10,000
STUBBS' EDUCATIONAL FOUNDATION 1260 TIMBERLANE ROAD TALLAHASSEE, FL 32312	NONE	501(C)(3)	EDUCATIONAL PURPOSES	11,500
FRIENDS OF HOSPICE 115 NW STATE SUITE 117 PULLMAN, WA 99163	NONE	501(C)(3)	MEDICAL RESEARCH	5,000
CROSS POINT CHURCH 299 COWAN STREET NASHVILLE, TN 37213	NONE	501(C)(3)	CHARITY	10,000
Total			▶ 3a	36,500
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
----------	--

[illegible]

Part XVII

- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name Joseph R Rollins CPA	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01373744
Firm's name ▶ ROLLINS & VAN LEAR PC				Firm's EIN ▶
Firm's address ▶ 3343 Peachtree Rd NE Suite 500 Atlanta, GA 30326				Phone no (404) 892-7967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ADIENT PLC CMN	P	2016-10-31	2016-11-01
0 ADIENT PLC CMN	P		2016-10-31
2 ADIENT PLC CMN	P	2016-10-31	2016-11-01
2 ADIENT PLC CMN	P	2016-10-31	2016-11-01
2 ADIENT PLC CMN	P	2016-10-31	2016-11-01
2 ADIENT PLC CMN	P	2016-10-31	2016-11-01
6 ADVANCE AUTO PARTS, INC CMN	P	2014-04-04	2016-06-02
2 ADVANCE AUTO PARTS, INC CMN	P	2014-04-07	2016-06-22
2 ADVANCE AUTO PARTS, INC CMN	P	2014-04-04	2016-06-22
3 ADVANCE AUTO PARTS, INC CMN	P	2014-05-15	2016-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
91		93	-2
44			44
91		93	-2
91		93	-2
91		93	-2
91		93	-2
907		733	174
307		238	69
307		244	63
485		364	121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			44
			-2
			-2
			-2
			-2
			174
			69
			63
			121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 ADVANCE AUTO PARTS, INC CMN	P	2014-04-07	2016-07-05
5 ADVANCE AUTO PARTS, INC CMN	P	2014-05-21	2016-07-05
14 ADVANCE AUTO PARTS, INC CMN	P	2014-07-22	2016-07-29
1 ADVANCE AUTO PARTS, INC CMN	P	2015-01-14	2016-08-16
9 ADVANCE AUTO PARTS, INC CMN	P	2014-09-19	2016-08-16
6 ADVANCE AUTO PARTS, INC CMN	P	2015-01-14	2016-12-14
1 ADVANCE AUTO PARTS, INC CMN	P	2015-02-12	2016-12-14
1 ADVANSIX INC CMN	P	2016-01-07	2016-10-06
0 ADVANSIX INC CMN	P		2016-10-03
1 ADVANSIX INC CMN	P	2014-10-01	2016-10-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
808		596	212
808		609	199
2,365		1,747	618
163		150	13
1,471		1,189	282
1,027		902	125
171		153	18
14		8	6
16			16
14		10	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			212
			199
			618
			13
			282
			125
			18
			6
			16
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 AETNA INC CMN	P	2015-09-08	2016-11-11
12 AETNA INC CMN	P	2015-10-07	2016-11-11
10 AETNA INC CMN	P	2015-11-20	2016-11-11
10 AIR METHODS CORP NEW CMN	P	2013-01-31	2016-02-29
10 AIR METHODS CORP NEW CMN	P	2013-01-31	2016-04-22
10 AIR METHODS CORP NEW CMN	P	2013-01-31	2016-07-18
6 ALLERGAN PLC CMN	P	2016-04-07	2016-11-15
1 ALLERGAN PLC CMN	P	2016-06-13	2016-11-15
8 ALLERGAN PLC CMN	P	2016-04-05	2016-11-15
7 ALLERGAN PLC CMN	P	2016-05-10	2016-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,787		2,687	100
1,454		1,307	147
1,212		1,043	169
364		436	-72
393		436	-43
378		436	-58
1,179		1,469	-290
196		244	-48
1,572		1,861	-289
1,375		1,585	-210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			100
			147
			169
			-72
			-43
			-58
			-290
			-48
			-289
			-210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ALPHABET INC CMN CLASS C	P	2012-10-19	2016-02-01
1 ALPHABET INC CMN CLASS C	P	2011-11-07	2016-02-01
1 ALPHABET INC CMN CLASS C	P	2014-05-16	2016-09-23
1 ALPHABET INC CMN CLASS C	P	2014-12-09	2016-09-23
27 AMERICAN AIRLINES GROUP INC CMN	P	2014-10-01	2016-01-25
29 AMERICAN AIRLINES GROUP INC CMN	P	2015-05-26	2016-03-09
7 AMERICAN AIRLINES GROUP INC CMN	P	2014-12-09	2016-03-09
4 AMERICAN AIRLINES GROUP INC CMN	P	2014-12-09	2016-01-25
19 AMERICAN AIRLINES GROUP INC CMN	P	2014-09-05	2016-01-25
1 AMERICAN AIRLINES GROUP INC CMN	P	2015-05-26	2016-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
751		347	404
751		298	453
786		516	270
786		524	262
1,067		925	142
1,205		1,212	-7
291		337	-46
158		158	
751		728	23
27		42	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			404
			453
			270
			262
			142
			-7
			-46
			23
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
19 AMERICAN AIRLINES GROUP INC CMN	P	2015-07-14	2016-06-24
40 AMERICAN AIRLINES GROUP INC CMN	P	2016-04-29	2016-06-24
20 AMERICAN AIRLINES GROUP INC CMN	P	2016-01-07	2016-06-24
4 AMERICAN AIRLINES GROUP INC CMN	P	2014-12-09	2016-06-24
39 ANADARKO PETROLEUM CORP CMN	P	2014-10-09	2016-02-09
7 ANADARKO PETROLEUM CORP CMN	P	2014-11-03	2016-02-09
8 ANADARKO PETROLEUM CORP CMN	P	2014-11-10	2016-02-09
8 ANADARKO PETROLEUM CORP CMN	P	2014-12-09	2016-02-09
4 ANADARKO PETROLEUM CORP CMN	P	2015-08-20	2016-02-09
5 ANALOGIC CORP (NEW) CMN	P	2014-02-14	2016-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
519		801	-282
1,092		1,380	-288
546		805	-259
109		196	-87
1,455		3,627	-2,172
261		649	-388
298		739	-441
298		613	-315
149		286	-137
416		478	-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-282
			-288
			-259
			-87
			-2,172
			-388
			-441
			-315
			-137
			-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 ANALOGIC CORP (NEW) CMN	P	2014-02-10	2016-12-08
50000 ANHEUSER-BUSCH INBEV WORLDWIDE 5 375% 01/15/2020 USD SER B SR LIEN	P	2010-05-19	2016-01-06
14 AON PLC CMN	P	2014-10-15	2016-03-24
2 APACHE CORP CMN	P	2015-08-07	2016-01-11
8 APACHE CORP CMN	P	2014-01-24	2016-01-11
9 APACHE CORP CMN	P	2014-10-08	2016-01-11
16 APACHE CORP CMN	P	2014-12-02	2016-01-11
9 APACHE CORP CMN	P	2013-08-30	2016-01-11
7 APPLE INC CMN	P	2013-09-23	2016-03-17
8 APPLE INC CMN	P	2013-08-12	2016-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
416		477	-61
55,517		51,440	4,077
1,398		1,106	292
72		93	-21
288		661	-373
324		757	-433
576		1,019	-443
324		764	-440
738		496	242
843		533	310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-61
			4,077
			292
			-21
			-373
			-433
			-443
			-440
			242
			310

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 APPLE INC CMN	P	2013-09-23	2016-05-09
24 APPLE INC CMN	P	2014-04-24	2016-05-09
2 APPLE INC CMN	P	2015-08-24	2016-06-22
11 APPLE INC CMN	P	2014-04-24	2016-06-22
2 APPLE INC CMN	P	2015-08-07	2016-06-22
14 APPLE INC CMN	P	2015-08-24	2016-07-25
44 APPLE INC CMN	P	2015-11-06	2016-09-12
13 APPLE INC CMN	P	2015-08-24	2016-09-12
39 APPLIED MATERIALS INC CMN	P	2015-05-22	2016-06-02
30 APPLIED MATERIALS INC CMN	P	2015-05-22	2016-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,309		992	317
2,245		1,927	318
191		215	-24
1,051		883	168
191		230	-39
1,372		1,504	-132
4,597		5,343	-746
1,358		1,397	-39
956		787	169
919		605	314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			317
			318
			-24
			168
			-39
			-132
			-746
			-39
			169
			314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 ASPEN TECHNOLOGY INC CMN	P	2015-02-18	2016-01-26
10 ASPEN TECHNOLOGY INC CMN	P	2015-02-17	2016-01-26
20 ASPEN TECHNOLOGY INC CMN	P	2015-02-18	2016-01-29
77 BANK OF AMERICA CORP CMN	P	2015-08-13	2016-07-07
75 BANK OF AMERICA CORP CMN	P	2015-08-13	2016-11-10
57 BANK OF AMERICA CORP CMN	P	2015-08-13	2016-11-14
60000 BARCLAYS BANK PLC LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 0	P	2014-05-23	2016-05-26
18 BAXALTA INCORPORATED CMN	P	2015-07-09	2016-01-04
17 BAXALTA INCORPORATED CMN	P	2015-08-26	2016-01-04
3 BAXALTA INCORPORATED CMN	P	2015-08-26	2016-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
168		195	-27
335		387	-52
648		782	-134
995		1,358	-363
1,384		1,323	61
1,138		1,005	133
66,985		60,000	6,985
718		545	173
678		599	79
115		106	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-27
			-52
			-134
			-363
			61
			133
			6,985
			173
			79
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
60 BAXALTA INCORPORATED CMN	P	2015-10-06	2016-03-17
47 BAXALTA INCORPORATED CMN	P	2015-08-26	2016-01-11
3 BLACKBAUD INC CMN	P	2013-01-31	2016-01-07
3 BLACKBAUD INC CMN	P	2013-01-31	2016-01-08
35 CARDTRONICS, INC CMN	P	2013-01-31	2016-07-01
30 CAVIUM INC CMN	P	2013-01-31	2016-06-16
1 CBOE HOLDINGS, INC CMN	P	2014-09-04	2016-10-14
25 CBOE HOLDINGS, INC CMN	P	2016-01-15	2016-10-14
11 CBOE HOLDINGS, INC CMN	P	2014-08-19	2016-10-14
16 CBOE HOLDINGS, INC CMN	P	2014-12-09	2016-10-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,290		1,854	436
1,904		1,655	249
185		76	109
183		76	107
1,393		901	492
1,198		971	227
66		53	13
1,650		1,579	71
726		569	157
1,056		990	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			436
			249
			109
			107
			492
			227
			13
			71
			157
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CBOE HOLDINGS, INC CMN	P	2016-06-22	2016-10-19
7 CBOE HOLDINGS, INC CMN	P	2016-01-15	2016-10-19
43 CBOE HOLDINGS, INC CMN	P	2016-06-22	2016-11-14
159 CELGENE CORPORATION CMN	P	2011-09-01	2016-12-16
24 CHARLES SCHWAB CORPORATION CMN	P	2016-01-25	2016-11-10
19 CHEVRON CORPORATION CMN	P	2015-12-03	2016-07-26
6 CHEVRON CORPORATION CMN	P	2015-12-03	2016-12-15
75000 CIGNA CORPORATION 4 0% 02/15/2022 USD SR LIEN	P	2012-07-05	2016-09-14
19 CISCO SYSTEMS, INC CMN	P	2014-08-14	2016-03-29
4 CISCO SYSTEMS, INC CMN	P	2013-08-15	2016-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
260		254	6
454		442	12
2,952		2,734	218
18,723		4,755	13,968
826		621	205
1,947		1,727	220
696		545	151
80,859		77,752	3,107
534		468	66
112		98	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			12
			218
			13,968
			205
			220
			151
			3,107
			66
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
38 CISCO SYSTEMS, INC CMN	P	2014-01-24	2016-03-29
1 CISCO SYSTEMS, INC CMN	P	2013-08-29	2016-03-29
15 CISCO SYSTEMS, INC CMN	P	2014-10-10	2016-06-22
21 CISCO SYSTEMS, INC CMN	P	2014-08-14	2016-06-22
24 CITIGROUP INC CMN	P	2013-04-03	2016-06-24
15 CITIGROUP INC CMN	P	2012-10-15	2016-06-24
17 CITIGROUP INC CMN	P	2013-09-27	2016-06-24
22 CITIGROUP INC CMN	P	2014-05-15	2016-06-24
7 CITIGROUP INC CMN	P	2015-08-07	2016-06-24
5 CLARCOR, INC CMN	P	2013-01-31	2016-11-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,068		854	214
28		24	4
432		357	75
604		517	87
982		1,033	-51
614		540	74
695		831	-136
900		1,024	-124
286		404	-118
345		253	92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			214
			4
			75
			87
			-51
			74
			-136
			-124
			-118
			92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 CLECO CORPORATION CMN	P	2016-03-18	2016-04-14
10 COGNEX CORP CMN	P	2013-01-31	2016-03-23
10 COGNEX CORP CMN	P	2013-01-31	2016-10-28
150 COGNEX CORP CMN	P	2011-06-07	2016-12-16
10 COGNEX CORP CMN	P	2011-05-20	2016-12-16
13 COPART INC CMN	P	2015-10-19	2016-10-28
7 CORRECTIONS CORP OF AMERICA CMN	P	2011-11-07	2016-03-23
15 CORRECTIONS CORP OF AMERICA CMN	P	2012-05-14	2016-03-23
6 CORRECTIONS CORP OF AMERICA CMN	P	2012-06-14	2016-03-29
4 CORRECTIONS CORP OF AMERICA CMN	P	2012-05-14	2016-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
554		484	70
397		198	199
517		198	319
9,727		2,526	7,201
648		164	484
685		455	230
222		146	76
475		386	89
196		156	40
131		103	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			70
			199
			319
			7,201
			484
			230
			76
			89
			40
			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 CORRECTIONS CORP OF AMERICA CMN	P	2012-06-14	2016-04-13
31 CORRECTIONS CORP OF AMERICA CMN	P	2013-06-04	2016-04-13
19 CORRECTIONS CORP OF AMERICA CMN	P	2013-02-08	2016-04-13
6 CORRECTIONS CORP OF AMERICA CMN	P	2013-03-20	2016-04-13
8 CORRECTIONS CORP OF AMERICA CMN	P	2013-05-20	2016-04-13
7 CORRECTIONS CORP OF AMERICA CMN	P	2013-08-20	2016-04-13
7 CORRECTIONS CORP OF AMERICA CMN	P	2014-02-10	2016-08-18
26 CORRECTIONS CORP OF AMERICA CMN	P	2013-08-20	2016-08-18
22 CORRECTIONS CORP OF AMERICA CMN	P	2013-08-23	2016-08-18
21 CORRECTIONS CORP OF AMERICA CMN	P	2013-09-04	2016-08-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
383		310	73
988		1,052	-64
606		674	-68
191		226	-35
255		326	-71
223		236	-13
98		216	-118
365		875	-510
309		717	-408
295		662	-367

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			73
			-64
			-68
			-35
			-71
			-13
			-118
			-510
			-408
			-367

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
46 CORRECTIONS CORP OF AMERICA CMN	P	2015-05-08	2016-08-18
11 CVS HEALTH CORP CMN	P	2015-09-30	2016-11-08
38 CVS HEALTH CORP CMN	P	2015-08-24	2016-11-08
12 CVS HEALTH CORP CMN	P	2015-11-02	2016-11-08
8 DECKERS OUTDOORS CORP CMN	P	2015-05-01	2016-03-04
11 DECKERS OUTDOORS CORP CMN	P	2015-05-01	2016-05-11
9 DELPHI AUTOMOTIVE PLC CMN	P	2015-10-16	2016-10-18
12 DELPHI AUTOMOTIVE PLC CMN	P	2015-10-16	2016-11-28
4 DRIL-QUIP, INC CMN	P	2015-01-15	2016-01-15
14 DRIL-QUIP, INC CMN	P	2014-12-10	2016-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
645		1,614	-969
799		1,061	-262
2,761		3,869	-1,108
872		1,184	-312
461		601	-140
592		826	-234
577		728	-151
805		971	-166
214		290	-76
749		1,040	-291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-969
			-262
			-1,108
			-312
			-140
			-234
			-151
			-166
			-76
			-291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 DRIL-QUIP, INC CMN	P	2015-01-20	2016-02-23
30 DRIL-QUIP, INC CMN	P	2015-01-15	2016-02-23
1 DRIL-QUIP, INC CMN	P	2015-01-16	2016-02-23
15 EATON CORP PLC CMN	P	2016-02-03	2016-04-19
7 ELI LILLY & CO CMN	P	2014-03-14	2016-02-19
21 ELI LILLY & CO CMN	P	2014-02-04	2016-02-19
1 ELI LILLY & CO CMN	P	2015-02-27	2016-08-05
12 ELI LILLY & CO CMN	P	2014-03-14	2016-08-05
5 ELI LILLY & CO CMN	P	2015-05-01	2016-11-01
10 ELI LILLY & CO CMN	P	2015-02-27	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
52		73	-21
1,567		2,176	-609
52		74	-22
943		766	177
507		410	97
1,521		1,110	411
82		70	12
980		703	277
367		364	3
734		701	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-21
			-609
			-22
			177
			97
			411
			12
			277
			3
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32 ELI LILLY & CO CMN	P	2015-04-27	2016-11-01
10 ELI LILLY & CO CMN	P	2015-08-07	2016-12-12
13 ELI LILLY & CO CMN	P	2016-01-07	2016-12-12
4 ELI LILLY & CO CMN	P	2015-05-01	2016-12-12
12 EOG RESOURCES INC CMN	P	2014-10-08	2016-08-16
4 EOG RESOURCES INC CMN	P	2014-12-02	2016-12-15
5 EOG RESOURCES INC CMN	P	2015-08-07	2016-12-15
4 FEI COMPANY CMN	P	2013-01-31	2016-05-27
12 FEI COMPANY CMN	P	2013-01-31	2016-07-29
5 FEI COMPANY CMN	P	2013-01-31	2016-08-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,350		2,272	78
675		815	-140
878		1,057	-179
270		291	-21
1,082		1,129	-47
415		362	53
519		373	146
430		246	184
1,276		737	539
533		307	226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			78
			-140
			-179
			-21
			-47
			53
			146
			184
			539
			226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 FEI COMPANY CMN	P	2013-01-31	2016-08-31
4 FEI COMPANY CMN	P	2013-01-31	2016-09-01
15 GAP INC CMN	P	2016-03-29	2016-05-10
63 GAP INC CMN	P	2016-03-08	2016-05-10
20 GLACIER BANCORP INC (NEW) CMN	P	2013-01-31	2016-04-15
20 GLACIER BANCORP INC (NEW) CMN	P	2013-01-31	2016-04-20
10 GRAND CANYON EDUCATION, INC CMN	P	2013-01-31	2016-11-22
60 GRAND CANYON EDUCATION, INC CMN	P	2011-12-21	2016-12-16
195 GRAND CANYON EDUCATION, INC CMN	P	2012-01-04	2016-12-16
17 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2012-10-25	2016-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
532		307	225
426		246	180
289		436	-147
1,214		1,884	-670
515		316	199
526		316	210
564		232	332
3,537		936	2,601
11,495		3,118	8,377
725		379	346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			225
			180
			-147
			-670
			199
			210
			332
			2,601
			8,377
			346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2013-03-20	2016-07-07
25 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2013-08-06	2016-07-29
25 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2013-08-06	2016-09-21
1 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2014-02-04	2016-10-20
18 HARTFORD FINANCIAL SRVCS GROUP CMN	P	2013-08-06	2016-10-20
5 HEICO CORPORATION (NEW) CMN	P	2014-12-16	2016-02-22
29 HEWLETT PACKARD ENTERPRISE CO CMN	P	2014-11-10	2016-02-12
20 HEWLETT PACKARD ENTERPRISE CO CMN	P	2014-12-09	2016-02-12
14 HEWLETT PACKARD ENTERPRISE CO CMN	P	2015-03-10	2016-02-12
33 HEWLETT PACKARD ENTERPRISE CO CMN	P	2015-08-26	2016-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
896		545	351
982		795	187
1,064		795	269
43		33	10
773		573	200
271		281	-10
364		565	-201
251		401	-150
176		242	-66
414		459	-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			351
			187
			269
			10
			200
			-10
			-201
			-150
			-66
			-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 HEWLETT PACKARD ENTERPRISE CO CMN	P	2014-01-24	2016-02-12
33 HEWLETT PACKARD ENTERPRISE CO CMN	P	2014-10-10	2016-02-12
11 HEWLETT PACKARD ENTERPRISE CO CMN	P	2013-01-02	2016-02-12
20 HEWLETT PACKARD ENTERPRISE CO CMN	P	2014-05-29	2016-02-12
4 HONEYWELL INTL INC CMN	P	2011-05-31	2016-02-03
22 HONEYWELL INTL INC CMN	P	2011-05-13	2016-02-03
1 HONEYWELL INTL INC CMN	P	2011-11-07	2016-02-22
11 HONEYWELL INTL INC CMN	P	2011-05-31	2016-02-22
3 HONEYWELL INTL INC CMN	P	2011-04-11	2016-02-22
4 HONEYWELL INTL INC CMN	P	2014-09-05	2016-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
88		107	-19
414		591	-177
138		87	51
251		356	-105
405		237	168
2,230		1,333	897
108		54	54
1,189		652	537
324		175	149
457		379	78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-19
			-177
			51
			-105
			168
			897
			54
			537
			149
			78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 HONEYWELL INTL INC CMN	P	2011-11-29	2016-06-02
4 HONEYWELL INTL INC CMN	P	2014-10-15	2016-10-07
8 HONEYWELL INTL INC CMN	P	2014-09-05	2016-10-07
21 HONEYWELL INTL INC CMN	P	2014-10-01	2016-10-07
23 HOST HOTELS & RESORTS INC CMN	P	2015-10-07	2016-12-08
18 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2014-10-07	2016-05-09
22 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2014-08-28	2016-05-09
17 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2014-10-07	2016-05-11
47 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2015-08-06	2016-05-11
37 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2014-11-04	2016-05-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
800		365	435
424		334	90
847		755	92
2,225		1,924	301
431		401	30
336		347	-11
411		418	-7
307		328	-21
850		1,139	-289
669		749	-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			435
			90
			92
			301
			30
			-11
			-7
			-21
			-289
			-80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2014-11-24	2016-05-11
31 HOUGHTON MIFFLIN HARCOURT CO CMN	P	2015-05-01	2016-05-11
7 HP INC CMN	P	2014-01-24	2016-02-08
33 HP INC CMN	P	2014-10-10	2016-02-08
11 HP INC CMN	P	2013-01-02	2016-02-08
20 HP INC CMN	P	2014-05-29	2016-02-08
29 HP INC CMN	P	2014-11-10	2016-02-08
14 HP INC CMN	P	2015-03-10	2016-02-08
20 HP INC CMN	P	2014-12-09	2016-02-08
33 HP INC CMN	P	2015-08-26	2016-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
524		569	-45
560		725	-165
67		96	-29
317		527	-210
106		78	28
192		318	-126
279		504	-225
135		216	-81
192		358	-166
317		409	-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-45
			-165
			-29
			-210
			28
			-126
			-225
			-81
			-166
			-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 INTERCONTINENTAL EXCHANGE INC CMN	P	2013-10-02	2016-03-01
2 INTERCONTINENTAL EXCHANGE INC CMN	P	2013-09-03	2016-03-01
23 INTERNATIONAL PAPER CO CMN	P	2013-12-18	2016-01-25
44 INTERNATIONAL PAPER CO CMN	P	2013-12-18	2016-02-03
3 INTERNATIONAL PAPER CO CMN	P	2013-12-18	2016-02-08
13 INTERNATIONAL PAPER CO CMN	P	2014-03-14	2016-02-08
15 INTERNATIONAL PAPER CO CMN	P	2014-04-30	2016-02-08
19 INTERNATIONAL PAPER CO CMN	P	2014-12-09	2016-02-08
60 J C PENNEY CO INC (HLDNG CO) CMN	P	2013-09-05	2016-03-04
11 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-29	2016-04-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
919		718	201
459		364	95
760		1,103	-343
1,473		2,110	-637
102		144	-42
442		595	-153
510		698	-188
646		1,040	-394
674		836	-162
404		351	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			201
			95
			-343
			-637
			-42
			-153
			-188
			-394
			-162
			53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-19	2016-04-19
9 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-19	2016-01-25
34 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-02	2016-01-25
0 26 JOHNSON CONTROLS INTERNATIONAL CMN	P	2013-04-29	2016-09-06
23 KELLOGG COMPANY CMN	P	2015-03-04	2016-04-01
16 KELLOGG COMPANY CMN	P	2015-03-04	2016-04-13
18 KELLOGG COMPANY CMN	P	2015-03-04	2016-02-01
9 KELLOGG COMPANY CMN	P	2015-03-04	2016-02-03
17 KELLOGG COMPANY CMN	P	2015-03-04	2016-06-03
8 KELLOGG COMPANY CMN	P	2015-03-04	2016-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
367		313	54
295		282	13
1,113		1,075	38
38			38
1,785		1,481	304
1,224		1,030	194
1,330		1,159	171
656		580	76
1,276		1,095	181
613		515	98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			13
			38
			38
			304
			194
			171
			76
			181
			98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 KELLOGG COMPANY CMN	P	2015-04-30	2016-07-14
13 KELLOGG COMPANY CMN	P	2015-04-30	2016-07-11
2 KELLOGG COMPANY CMN	P	2015-03-04	2016-07-11
3 KELLOGG COMPANY CMN	P	2015-05-11	2016-07-14
14 KELLOGG COMPANY CMN	P	2015-05-11	2016-07-21
11 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-07-21	2016-02-19
16 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-07-21	2016-08-03
13 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-07-21	2016-11-17
19 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-08-26	2016-11-17
9 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-08-04	2016-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
684		506	178
1,061		823	238
163		129	34
256		192	64
1,151		897	254
706		1,348	-642
1,296		1,961	-665
721		1,593	-872
1,054		1,573	-519
499		1,025	-526

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			178
			238
			34
			64
			254
			-642
			-665
			-872
			-519
			-526

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-09-03	2016-11-17
14 MALLINCKRODT PUBLIC LIMITED CO CMN	P	2015-09-24	2016-11-17
3 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2016-01-07
2 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2016-01-08
3 MARKETAXESS HOLDINGS INC CMN	P	2013-01-31	2016-06-02
20 MARKETAXESS HOLDINGS INC CMN	P	2011-06-07	2016-12-16
70 MARKETAXESS HOLDINGS INC CMN	P	2011-06-22	2016-12-16
105 MARKETAXESS HOLDINGS INC CMN	P	2012-09-12	2016-12-16
50000 MEDCO HEALTH SOLUTIONS, INC 7 125% 03/15/2018 USD SR LIEN	P	2009-07-31	2016-07-28
20 MONSANTO COMPANY CMN	P	2015-11-20	2016-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
222		331	-109
777		973	-196
321		113	208
213		75	138
422		113	309
3,292		430	2,862
11,523		1,613	9,910
17,284		3,085	14,199
54,715		51,516	3,199
2,145		1,920	225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-109
			-196
			208
			138
			309
			2,862
			9,910
			14,199
			3,199
			225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
37 MSG NETWORKS INC CMN	P	2014-06-27	2016-02-17
13 MSG NETWORKS INC CMN	P	2014-10-15	2016-02-17
5 MSG NETWORKS INC CMN	P	2015-07-27	2016-02-17
39 MSG NETWORKS INC CMN	P	2015-10-02	2016-02-17
10 MSG NETWORKS INC CMN	P	2014-12-09	2016-02-17
14 MSG NETWORKS INC CMN	P	2015-08-06	2016-02-17
8 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-06-16	2016-04-19
3 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-10-07	2016-06-27
46 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-07-30	2016-06-27
1 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-06-16	2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
574		572	2
202		199	3
78		105	-27
605		743	-138
155		187	-32
217		271	-54
411		256	155
117		104	13
1,801		1,518	283
39		32	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			3
			-27
			-138
			-32
			-54
			155
			13
			283
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-10-21	2016-09-02
26 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-10-07	2016-09-02
5 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2015-01-09	2016-10-18
6 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2014-10-21	2016-10-18
18 NORWEGIAN CRUISE LINE HLDG LTD CMN	P	2015-01-09	2016-11-09
1 NXP SEMICONDUCTORS N V CMN	P	2014-12-09	2016-09-29
8 NXP SEMICONDUCTORS N V CMN	P	2014-10-15	2016-09-29
13 NXP SEMICONDUCTORS N V CMN	P	2015-07-14	2016-10-10
5 NXP SEMICONDUCTORS N V CMN	P	2014-12-09	2016-10-10
1 NXP SEMICONDUCTORS N V CMN	P	2015-07-14	2016-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36		33	3
946		904	42
191		229	-38
229		201	28
648		823	-175
93		76	17
747		452	295
1,335		1,234	101
514		379	135
100		95	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			42
			-38
			28
			-175
			17
			295
			101
			135
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 NXP SEMICONDUCTORS N V CMN	P	2015-07-21	2016-10-27
15 NXP SEMICONDUCTORS N V CMN	P	2015-08-25	2016-10-27
7 NXP SEMICONDUCTORS N V CMN	P	2016-02-24	2016-10-27
11 NXP SEMICONDUCTORS N V CMN	P	2016-06-24	2016-10-27
14 NXP SEMICONDUCTORS N V CMN	P	2016-09-12	2016-10-27
100000 OMNICOM GROUP INC 5 9% 04/15/2016 SR LIEN	P	2011-07-05	2016-04-15
37 ONEMAIN HOLDINGS, INC CMN	P	2015-03-03	2016-01-26
28 ONEMAIN HOLDINGS, INC CMN	P	2015-03-03	2016-02-22
13 ONEMAIN HOLDINGS, INC CMN	P	2015-04-29	2016-02-22
1 ONEMAIN HOLDINGS, INC CMN	P	2015-08-07	2016-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,103		1,003	100
1,504		1,264	240
702		488	214
1,103		877	226
1,404		1,159	245
100,000		100,000	
1,087		1,797	-710
689		1,360	-671
320		659	-339
25		46	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			100
			240
			214
			226
			245
			-710
			-671
			-339
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
34 ONEMAIN HOLDINGS, INC CMN	P	2015-05-26	2016-02-22
4 PARKER-HANNIFIN CORP CMN	P	2014-10-22	2016-03-30
1 PARKER-HANNIFIN CORP CMN	P	2015-02-27	2016-03-30
6 PARKER-HANNIFIN CORP CMN	P	2014-09-30	2016-03-30
1 PARKER-HANNIFIN CORP CMN	P	2014-08-18	2016-01-08
3 PARKER-HANNIFIN CORP CMN	P	2014-09-05	2016-01-08
4 PARKER-HANNIFIN CORP CMN	P	2014-09-30	2016-01-08
6 PARKER-HANNIFIN CORP CMN	P	2015-02-27	2016-06-27
6 PARKER-HANNIFIN CORP CMN	P	2015-07-14	2016-06-27
8 PARKER-HANNIFIN CORP CMN	P	2015-06-03	2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
836		1,591	-755
445		445	
111		123	-12
667		685	-18
92		89	3
275		272	3
366		388	-22
604		736	-132
604		688	-84
806		972	-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-755
			-12
			-18
			3
			3
			-22
			-132
			-84
			-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 PARKER-HANNIFIN CORP CMN	P	2014-09-15	2016-06-27
3 PARKER-HANNIFIN CORP CMN	P	2014-10-28	2016-06-27
3 PARKER-HANNIFIN CORP CMN	P	2014-10-03	2016-06-27
17 PFIZER INC CMN	P	2014-02-05	2016-04-05
25 PFIZER INC CMN	P	2014-01-27	2016-04-05
25 PFIZER INC CMN	P	2014-05-09	2016-06-13
11 PFIZER INC CMN	P	2014-03-13	2016-06-13
4 PFIZER INC CMN	P	2014-02-05	2016-06-13
18 PFIZER INC CMN	P	2014-04-07	2016-06-13
31 PFIZER INC CMN	P	2014-04-11	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101		125	-24
302		373	-71
302		382	-80
525		522	3
772		755	17
870		723	147
383		341	42
139		123	16
626		566	60
1,079		937	142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-24
			-71
			-80
			3
			17
			147
			42
			16
			60
			142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 PFIZER INC CMN	P	2014-11-10	2016-07-07
11 PFIZER INC CMN	P	2014-05-09	2016-07-07
21 PFIZER INC CMN	P	2014-05-16	2016-07-07
15 PIER 1 IMPORTS INC (DELAWARE) CMN	P	2013-07-24	2016-04-20
30 PIER 1 IMPORTS INC (DELAWARE) CMN	P	2013-06-25	2016-04-15
20 PIER 1 IMPORTS INC (DELAWARE) CMN	P	2013-06-25	2016-04-20
12 PIONEER NATURAL RESOURCES CO CMN	P	2016-01-06	2016-04-12
2 PIONEER NATURAL RESOURCES CO CMN	P	2016-01-06	2016-08-16
5 POWER INTEGRATIONS INC CMN	P	2013-01-31	2016-04-27
15 PRA GROUP INC CMN	P	2013-01-31	2016-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
393		333	60
393		318	75
750		612	138
105		348	-243
209		700	-491
140		467	-327
1,792		1,397	395
356		233	123
233		187	46
374		534	-160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			60
			75
			138
			-243
			-491
			-327
			395
			123
			46
			-160

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-05-04	2016-10-13
15 PROCTER & GAMBLE COMPANY (THE) CMN	P	2015-05-04	2016-10-19
20 PROTO LABS INC CMN	P	2013-02-12	2016-11-01
4 PROTO LABS INC CMN	P	2014-06-16	2016-11-01
1 QUALCOMM INC CMN	P	2013-03-20	2016-01-28
12 QUALCOMM INC CMN	P	2013-04-03	2016-01-28
18 QUALCOMM INC CMN	P	2013-05-01	2016-01-28
11 QUALCOMM INC CMN	P	2015-01-29	2016-01-28
17 QUALCOMM INC CMN	P	2015-03-10	2016-01-28
16 QUINTILES IMS HOLDINGS INC CMN	P	2016-07-11	2016-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
529		485	44
1,283		1,212	71
908		854	54
182		309	-127
44		66	-22
528		797	-269
792		1,114	-322
484		702	-218
748		1,235	-487
1,228		1,137	91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			44
			71
			54
			-127
			-22
			-269
			-322
			-218
			-487
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 ROCKWELL AUTOMATION INC CMN	P	2014-12-16	2016-03-24
6 ROCKWELL AUTOMATION INC CMN	P	2014-12-16	2016-03-30
8 ROCKWELL AUTOMATION INC CMN	P	2014-12-16	2016-04-19
4 ROCKWELL AUTOMATION INC CMN	P	2015-01-26	2016-10-21
3 ROCKWELL AUTOMATION INC CMN	P	2014-12-16	2016-10-21
10 ROCKWELL AUTOMATION INC CMN	P	2015-08-26	2016-12-16
4 ROCKWELL AUTOMATION INC CMN	P	2015-01-26	2016-12-16
25 ROFIN-SINAR TECHNOLOGIES INC CMN	P	2013-01-31	2016-03-18
10 ROFIN-SINAR TECHNOLOGIES INC CMN	P	2013-01-31	2016-06-07
10 ROFIN-SINAR TECHNOLOGIES INC CMN	P	2013-01-31	2016-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
557		530	27
682		635	47
929		847	82
494		428	66
371		318	53
1,348		1,057	291
539		428	111
785		641	144
319		257	62
319		257	62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			47
			82
			66
			53
			291
			111
			144
			62
			62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
100000 ROYAL BANK OF CANADA LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE D	P	2014-03-27	2016-03-31
30 SCIREQUEST, INC CMN	P	2013-01-31	2016-07-29
15 SCIREQUEST, INC CMN	P	2014-03-27	2016-07-29
10 SCIREQUEST, INC CMN	P	2014-04-10	2016-07-29
26 SKYWORKS SOLUTIONS INC CMN	P	2016-03-17	2016-10-24
120000 SOCIETE GENERALE LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 10/19	P	2014-10-14	2016-10-19
20 SOLERA HOLDINGS INC CMN	P	2013-01-31	2016-03-04
10 SOLERA HOLDINGS INC CMN	P	2013-10-10	2016-03-04
250 SPDR S&P BANK ETF	P	2013-01-25	2016-08-30
14 STRYKER CORP CMN	P	2016-01-27	2016-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
112,202		100,000	12,202
533		501	32
266		392	-126
178		254	-76
2,038		1,934	104
120,000		120,000	
1,117		1,088	29
559		534	25
8,502		6,348	2,154
1,635		1,381	254

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,202
			32
			-126
			-76
			104
			29
			25
			2,154
			254

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 TEAM HEALTH HOLDINGS, INC CMN	P	2015-07-23	2016-12-08
11 TEAM HEALTH HOLDINGS, INC CMN	P	2015-07-24	2016-12-08
7 TEAM HEALTH HOLDINGS, INC CMN	P	2015-09-25	2016-12-08
10 TEAM HEALTH HOLDINGS, INC CMN	P	2015-12-31	2016-12-08
4 TERADYNE INC CMN	P	2014-09-26	2016-04-20
24 TERADYNE INC CMN	P	2014-01-13	2016-04-20
63 TERADYNE INC CMN	P	2014-08-06	2016-04-20
41 TERADYNE INC CMN	P	2014-06-10	2016-04-20
61 TERADYNE INC CMN	P	2014-09-26	2016-08-02
61 TERADYNE INC CMN	P	2016-01-28	2016-08-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
426		659	-233
468		719	-251
298		426	-128
426		442	-16
83		78	5
497		441	56
1,304		1,180	124
849		790	59
1,190		1,191	-1
1,190		1,141	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-233
			-251
			-128
			-16
			5
			56
			124
			59
			-1
			49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 TERADYNE INC CMN	P	2014-12-09	2016-08-02
15 TEXAS ROADHOUSE, INC CMN	P	2013-01-31	2016-02-23
4 TORO CO (DELAWARE) CMN	P	2013-01-31	2016-02-17
8 TORO CO (DELAWARE) CMN	P	2013-01-31	2016-06-03
150 TORO CO (DELAWARE) CMN	P	2011-06-07	2016-12-16
10 TUMI HOLDINGS, INC CMN	P	2014-06-16	2016-03-03
10 TUMI HOLDINGS, INC CMN	P	2014-06-17	2016-03-03
10 TUMI HOLDINGS, INC CMN	P	2014-06-20	2016-05-04
10 TUMI HOLDINGS, INC CMN	P	2014-06-19	2016-05-04
5 TUMI HOLDINGS, INC CMN	P	2014-06-18	2016-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
429		433	-4
619		262	357
299		177	122
709		354	355
8,341		2,269	6,072
257		199	58
257		200	57
267		204	63
267		202	65
133		101	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			357
			122
			355
			6,072
			58
			57
			63
			65
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 TUMI HOLDINGS, INC CMN	P	2014-11-10	2016-08-02
5 TUMI HOLDINGS, INC CMN	P	2014-06-20	2016-08-02
15 TUMI HOLDINGS, INC CMN	P	2014-06-23	2016-08-02
3 TYLER TECHNOLOGIES INC CMN	P	2013-01-31	2016-01-07
20 TYLER TECHNOLOGIES INC CMN	P	2012-04-26	2016-12-16
11 UNION PACIFIC CORP CMN	P	2015-05-15	2016-07-15
7 UNION PACIFIC CORP CMN	P	2015-07-21	2016-08-05
9 UNION PACIFIC CORP CMN	P	2015-05-15	2016-08-05
6 UNION PACIFIC CORP CMN	P	2015-07-21	2016-11-28
4 UNION PACIFIC CORP CMN	P	2015-11-20	2016-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
535		408	127
134		102	32
401		309	92
493		162	331
2,837		816	2,021
1,042		1,140	-98
660		695	-35
848		932	-84
605		596	9
403		351	52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			127
			32
			92
			331
			2,021
			-98
			-35
			-84
			9
			52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 UNITED TECHNOLOGIES CORP CMN	P	2015-10-21	2016-10-21
35 UNITEDHEALTH GROUP INCORPORATE CMN	P	2010-10-22	2016-12-16
215 UNITEDHEALTH GROUP INCORPORATE CMN	P	2011-03-16	2016-12-16
18 UNITEDHEALTH GROUP INCORPORATE CMN	P	2010-06-08	2016-12-16
55 UNIVERSAL FOREST PRODUCTS INC CMN	P	2011-06-07	2016-12-16
14 VALERO ENERGY CORPORATION CMN	P	2016-07-26	2016-12-12
11 VIACOM INC CMN CLASS B	P	2013-11-14	2016-02-09
11 VIACOM INC CMN CLASS B	P	2012-11-15	2016-02-09
7 VIACOM INC CMN CLASS B	P	2012-11-06	2016-02-09
12 VIACOM INC CMN CLASS B	P	2012-11-13	2016-02-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
882		881	1
5,696		1,295	4,401
34,988		8,961	26,027
2,929		554	2,375
5,659		1,461	4,198
934		738	196
382		897	-515
382		549	-167
243		353	-110
417		589	-172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			4,401
			26,027
			2,375
			4,198
			196
			-515
			-167
			-110
			-172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 VIACOM INC CMN CLASS B	P	2012-11-14	2016-02-09
10 VIACOM INC CMN CLASS B	P	2014-01-27	2016-04-29
6 VIACOM INC CMN CLASS B	P	2014-11-03	2016-04-29
1 VIACOM INC CMN CLASS B	P	2014-11-05	2016-04-29
2 VIACOM INC CMN CLASS B	P	2013-11-14	2016-04-29
13 VIACOM INC CMN CLASS B	P	2014-09-23	2016-04-29
13 VIACOM INC CMN CLASS B	P	2014-11-05	2016-06-13
10 VIACOM INC CMN CLASS B	P	2014-11-10	2016-06-13
17 VIACOM INC CMN CLASS B	P	2015-01-16	2016-06-13
13 VIACOM INC CMN CLASS B	P	2015-01-14	2016-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
243		341	-98
416		818	-402
250		436	-186
42		69	-27
83		163	-80
541		1,010	-469
545		902	-357
420		702	-282
713		1,158	-445
545		877	-332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-98
			-402
			-186
			-27
			-80
			-469
			-357
			-282
			-445
			-332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 VIACOM INC CMN CLASS B	P	2015-01-29	2016-06-13
11 VISA INC CMN CLASS A	P	2013-12-11	2016-09-08
42 VISA INC CMN CLASS A	P	2011-03-28	2016-12-16
154 VISA INC CMN CLASS A	P	2011-09-01	2016-12-16
530 VISA INC CMN CLASS A	P	2011-09-01	2016-12-16
89 VISTEON CORPORATION CMN	P	2011-03-16	2016-12-16
100 VISTEON CORPORATION CMN	P	2013-05-17	2016-12-16
29 VISTEON CORPORATION CMN	P	2011-03-15	2016-12-16
162 VISTEON CORPORATION CMN	P	2011-09-01	2016-12-16
22 VOYA FINANCIAL INC CMN	P	2015-03-12	2016-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
461		742	-281
909		569	340
3,297		765	2,532
12,087		3,359	8,728
41,599		11,636	29,963
7,339		1,173	6,166
8,246		1,565	6,681
2,391		383	2,008
13,359		121	13,238
762		981	-219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-281
			340
			2,532
			8,728
			29,963
			6,166
			6,681
			2,008
			13,238
			-219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 VOYA FINANCIAL INC CMN	P	2015-04-17	2016-01-06
10 VOYA FINANCIAL INC CMN	P	2015-07-15	2016-01-06
18 VOYA FINANCIAL INC CMN	P	2015-07-27	2016-01-06
7 WD 40 CO CMN	P	2013-01-31	2016-06-03
3 WD 40 CO CMN	P	2013-01-31	2016-10-19
43 WELLS FARGO & CO (NEW) CMN	P	2015-11-11	2016-08-25
84 WELLS FARGO & CO (NEW) CMN	P	2015-11-11	2016-09-12
16 WELLS FARGO & CO (NEW) CMN	P	2016-01-06	2016-09-12
4 WEST PHARMACEUTICAL SERVICES INC	P	2013-01-31	2016-07-18
70 WEST PHARMACEUTICAL SERVICES INC	P	2011-03-14	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
692		857	-165
346		471	-125
623		830	-207
777		374	403
322		160	162
2,081		2,398	-317
4,060		4,685	-625
773		831	-58
310		119	191
5,957		1,450	4,507

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-165
			-125
			-207
			403
			162
			-317
			-625
			-58
			191
			4,507

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 WEST PHARMACEUTICAL SERVICES INC	P	2011-05-20	2016-12-16
120 WEST PHARMACEUTICAL SERVICES INC	P	2011-06-07	2016-12-16
23 ZOETIS INC CMN CLASS A	P	2013-08-20	2016-01-26
3 ZOETIS INC CMN CLASS A	P	2013-08-21	2016-01-26
6 ZOETIS INC CMN CLASS A	P	2013-08-08	2016-01-26
15 ZOETIS INC CMN CLASS A	P	2013-08-21	2016-02-19
4 ZOETIS INC CMN CLASS A	P	2013-08-21	2016-08-10
4 ZOETIS INC CMN CLASS A	P	2013-08-21	2016-11-28
4 ZOETIS INC CMN CLASS A	P	2013-09-03	2016-11-28
5 ZOETIS INC CMN CLASS A	P	2013-08-27	2016-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,510		2,283	6,227
10,211		2,642	7,569
1,007		686	321
131		90	41
263		188	75
628		449	179
203		120	83
200		120	80
200		117	83
249		147	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,227
			7,569
			321
			41
			75
			179
			83
			80
			83
			102

TY 2016 Other Income Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	14,870	14,870	14,870
NON-DIVIDEND DISTRIBUTION	1,274		
UNREALIZED LOSS ON ASSETS	-91,305		

TY 2016 Other Professional Fees Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	18	18	18	0
MANAGEMENT FEES	20,571	20,571	20,571	0

TY 2016 Taxes Schedule**Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	476	476	476	
OTHER TAXES	25	25	25	

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM R BATTLE III 6552 WATERFRONT DRIVE TUSCALOOSA, AL35406	\$ 248,584	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small>	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE BATTLE FAMILY FOUNDATION	Employer identification number 58-6343708
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	