EXTENDED TO NOVEMBER 15, 2017

Form **990-PF** | **Return of Private Foundation** or Section 4947(a)(1) Trust Treated as Private Foundation | OMB No 1545-0052 **2016**

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.

A Employer identification number
58-2176142

Number and street (or P O box number if mail is not delivered to street address) Room/suite
4705 LELAND DRIVE

B Telephone number
770-886-2250

City or town, state or province, country, and ZIP or foreign postal code
CUMMING, GA 30041

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (*from Part II, col. (c), line 16*) ▶$ 53,758,132.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis.*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	5,254,088.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,093,765.	1,093,765.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,161,497.			
	b Gross sales price for all assets on line 6a 10,105,667.				
	7 Capital gain net income (from Part IV, line 2)		2,161,497.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less. Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	8,509,350.	3,255,262.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 2	159,737.	159,737.		0.
	17 Interest				
	18 Taxes STMT 3	41,710.	41,710.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	201,447.	201,447.		0.
	25 Contributions, gifts, grants paid	826,450.			826,450.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,027,897.	201,447.		826,450.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	7,481,453.			
	b **Net investment income** (if negative, enter -0-)		3,053,815.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	42,894,363.	48,669,845.	53,758,132.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	42,894,363.	48,669,845.	53,758,132.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	42,894,363.	48,669,845.	
	30 Total net assets or fund balances	42,894,363.	48,669,845.	
	31 Total liabilities and net assets/fund balances	42,894,363.	48,669,845.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	42,894,363.
2	Enter amount from Part I, line 27a	2	7,481,453.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	44,123.
4	Add lines 1, 2, and 3	4	50,419,939.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	1,750,094.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	48,669,845.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	10,105,667.		7,944,170.	2,161,497.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				2,161,497.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 2,161,497.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	3,432,948.	44,417,602.	.077288
2014	1,408,960.	39,161,469.	.035978
2013	1,291,500.	32,040,942.	.040308
2012	1,189,162.	25,797,368.	.046096
2011	849,321.	23,010,711.	.036910

2	Total of line 1, column (d)	2	.236580
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047316
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	47,395,942.
5	Multiply line 4 by line 3	5	2,242,586.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	30,538.
7	Add lines 5 and 6	7	2,273,124.
8	Enter qualifying distributions from Part XII, line 4	8	826,450.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	61,076.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	61,076.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	61,076.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,840.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	58,868.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	62,708.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	1,632.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MIKE HULL Telephone no. ▶ (770) 886-2250
Located at ▶ 4705 LELAND DRIVE,, CUMMING, GA ZIP+4 ▶ 30041

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☒ Yes ☐ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	X	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS N. BAGWELL 4705 LELAND DR CUMMING, GA 30041	PRESIDENT/CEO 0.00	0.	0.	0.
CHANTAL BAGWELL 4705 LELAND DR CUMMING, GA 30041	CFO/TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	47,890,972.
b	Average of monthly cash balances		1b	226,736.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	48,117,708.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	48,117,708.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	721,766.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	47,395,942.
6	**Minimum investment return.** Enter 5% of line 5		6	2,369,797.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	2,369,797.
2a	Tax on investment income for 2016 from Part VI, line 5	2a 61,076.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	61,076.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	2,308,721.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	2,308,721.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	2,308,721.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	826,450.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	826,450.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	826,450.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,308,721.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			437,358.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 826,450.				
a Applied to 2015, but not more than line 2a			437,358.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				389,092.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				1,919,629.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

THOMAS N. BAGWELL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABBA HOUSE 6800 DAHLONEGA HWY CUMMING, GA 30028	NONE	501(C)3	GENERAL FUND	15,000.
ABRAHAM BALDWIN AGRICULTURAL COLLEGE 2802 MOORE HIGHWAY TIFTON, GA 31793	NONE	501(C)3	GENERAL FUND	4,000.
ALBANY TECH FOUNDATION 1704 S. SLAPPEY BOULEVARD ALBANY, GA 31701	NONE	501(C)3	ANNUAL SCHOLARSHIP	4,000.
AUSTIN CHILDREN'S SHELTER P.O. BOX 19454 AUSTIN, TX 78760	NONE	501(C)3	GENERAL FUND	4,000.
AUSTIN PETS ALIVE! 1156 W CESAR CHAVEZ STREET AUSTIN, TX 78703	NONE	501(C)3	GENERAL FUND	2,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	826,450.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,093,765.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,161,497.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		3,255,262.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 3,255,262.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: ✓ Date: 9-18-2017 Title: VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JAMES W. GODBEE, JR.		5/17/11		P00346450

Firm's name ▶ HENDERSON & GODBEE, LLP — Firm's EIN ▶ 20-3934701

Firm's address ▶ P.O. BOX 2241 VALDOSTA, GA 31604-2241 — Phone no. (229) 245-6040

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS AX 77805 - SEE ATTACHED	P		
b	UBS AX 77805 - SEE ATTACHED	P		
c	MERRILL LYNCH (COVERED SALES) - SEE ATTACHED	P		
d	MERRILL LYNCH (NONCOVERED SALES) - SEE ATTACHED	P		
e	CHUBB LTD	P		
f	MERRILL LYNCH (COVERED SALES) - SEE ATTACHED	P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	173,892.		170,412.	3,480.
b	8,102,247.		6,228,153.	1,874,094.
c	216,418.		186,099.	30,319.
d	148,290.		229,947.	-81,657.
e	64.			64.
f	1,464,756.		1,129,559.	335,197.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				3,480.
b				1,874,094.
c				30,319.
d				-81,657.
e				64.
f				335,197.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	2,161,497.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHAMPIONS OFF THE FIELD P.O. BOX 13165 AUSTIN, TX 78711	NONE	501(C)3	GENERAL FUND	25,000.
CHILDRENS HEALTHCARE OF ATLANTA	NONE	501(C)3	GENERAL FUND	10,000.
DELL CHILDREN'S MEDICAL CENTER OF CENTRAL TEXAS 4900 MUELLER BLVD AUSTIN, TX 78723	NONE	501(C)3	GENERAL FUND	8,210.
EAGLE RANCH CHILDREN'S HOME 5500 UNION CHURCH ROAD FLOWERY BRANCH, GA 30502	NONE	501(C)3	SUPPORT CHRISTIAN BASED CHILDREN'S HOME	60,000.
FIRST BAPTIST CHURCH	NONE	501(C)3	GENERAL FUND	3,000.
FORSYTH COUNTY FAMILY YMCA 6050 Y STREET CUMMING, GA 30040	NONE	501(C)3	GENERAL FUND.	3,720.
FORSYTH COUNTY SCHOOLS - REACH SCHOLARSHIPS 2082 E. EXCHANGE PLACE TUCKER, GA 30084	NONE	501(C)3	GENERAL FUND	25,000.
GIRL SCOUTS OF GREATER ATLANTA 5601 N ALLEN ROAD SE MABLETON, GA 30126	NONE	501(C)3	GENERAL FUND	25,000.
LAKE LANIER ASSOCIATION 615F OAK ST, #100 GAINESVILLE, GA 30501	NONE	501(C)3	GENERAL FUND	42,770.
LANIER TECHNICAL COLLEGE FOUNDATION 2990 LANDRUM EDUCATION DRIVE OAKWOOD, GA 30566	NONE	501(C)3	ADULT LITERACY PROGRAM-PERSONNEL OPERATION COSTS	20,000.
Total from continuation sheets				796,950.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEITALIFT FOUNDATION 1200 WOODSTOCK ROAD ROSWELL, GA 30075	NONE	501(C)3	GENERAL FUND	3,500.
LITTLE HELPING HANDS 8711 BURNET ROAD, B-33 AUSTIN, TX 78757	NONE	501(C)3	GENERAL FUND	2,500.
MOULTRIE TECHNICAL COLLEGE 361 INDUSTRIAL DRIVE MOULTRIE, GA 31788	NONE	501(C)3	GENERAL FUND	2,000.
NORTH GEORGIA COMMUNITY FOUNDATION 615 OAK STREET GAINESVILLE, GA 30501	NONE	501(C)3	GENERAL FUND	50,000.
NORTHEAST GEORGIA COUNCIL, BOY SCOUTS OF AMERICA P.O. BOX 399 JEFFERSON, GA 30594	NONE	501(C)3	SUPPORT BOY SCOUTS OF AMERICA MISSION TO SHAPE AND MINDS	35,000.
OKEFENOKEE TECHNICAL COLLEGE 1701 CARSWELL AVENUE WAYCROSS, GA 31503	NONE	501(C)3	GENERAL FUND	2,000.
PLEASANT GROVE BAPTIST CHURCH 7227 A.C. SMITH ROAD DAWSONVILLE, GA 30534	NONE	501(C)3	ASSIST FAMILIES IN NEED OF FOOD, SHELTER, MEDICAL CARE	10,000.
RIVER PLACE ELEMENTARY SCHOOL 6500 SITIO DEL RIO BLVD AUSTIN, TX 78730	NONE	501(C)3	GENERAL FUND	2,500.
RONALD MCDONALD HOUSE CHARITIES 1315 BARBARA JORDAN BLVD AUSTIN, TX 78723	NONE	501(C)3	GENERAL FUND	2,500.
SAFFT 219 CASTLEBERRY RD CUMMING, GA 30040	NONE	501(C)3	GENERAL FUND	102,000.
Total from continuation sheets				

THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC. 58-2176142

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SNOW HILL ELEMENTARY PTA 9042 CAREER LANE OOLTEWAH, TN 37363	NONE	501(C)3	GENERAL FUND	1,500.
SOUTHWEST GEORGIA STEM CHARTER, INC. 185 PECAN STREET SHELLMAN, GA 39886	NONE	501(C)3	GENERAL FUND	10,250.
THE HIGHTOWER BAPTIST ASSOCIATION 214 CANTON HIGHWAY CUMMING, GA 30040	NONE	501(C)3	FUNDS TO OPERATE AND SUPPLY FOOD PANTRY	24,000.
THE ROTARY FOUNDATION	NONE	501(C)3	GENERAL FUND	250,000.
THE SALVATION ARMY 681 DORSEY ST GAINESVILLE, GA 30501	NONE	501(C)3	TO PREACH THE GOSPEL OF JESUS CHRIST AND TO MEET HUMAN NEEDS IN HIS NAME WITHOUT DISCRIMINATION.	2,500.
THE THORACIC SURGERY FOUNDATION	NONE	501(C)3	GENERAL FUND	50,000.
WALLACE STATE COMMUNITY COLLEGE 801 MAIN STREET HANCEVILLE, AL 35077	NONE	501(C)3	ANNUAL SCHOLARSHIP	20,000.
WELLSPRING LIVING, INC. 1040 BOULEVARD, SUITE M ATLANTA, GA 30312	NONE	501(C)3	GENERAL FUND	2,500.
WOLFTEVER CREEK ELEMENTARY 5080 OOLTEWAH RINGGOLD ROAD COLLEGEDALE, TN 37363	NONE	501(C)3	GENERAL FUND	1,500.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization
THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.

Employer identification number
58-2176142

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.	58-2176142

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMERICAN PROTEINS 4705 LELAND DRIVE CUMMING, GA 30041	$ 1,480.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	SOLON INVESTMENTS 4705 LELAND DRIVE CUMMING, GA 30041	$ 5,252,608.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.	58-2176142

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	APPRECIATED SECURITIES	$ 5,252,608.	07/11/16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization: THE CHANTAL AND TOMMY BAGWELL FOUNDATION INC.

Employer identification number: 58-2176142

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $ ______

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	271,170.	0.	271,170.	271,170.	
UBS AX 65898 GL	46.	0.	46.	46.	
UBS AX 77805 GL	822,549.	0.	822,549.	822,549.	
TO PART I, LINE 4	1,093,765.	0.	1,093,765.	1,093,765.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	158,287.	158,287.		0.
ACCOUNTING FEE	1,450.	1,450.		0.
TO FORM 990-PF, PG 1, LN 16C	159,737.	159,737.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	41,680.	41,680.		0.
TAXES AND LICENSES	30.	30.		0.
TO FORM 990-PF, PG 1, LN 18	41,710.	41,710.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
FOREIGN TAXES WITHHELD	41,680.
NONTAXABLE DISTRIBUTIONS	2,443.
TOTAL TO FORM 990-PF, PART III, LINE 3	44,123.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
DIFFERENCE IN BOOK VALUE & TAX VALUE OF SECURITIES SOLD	1,750,094.
TOTAL TO FORM 990-PF, PART III, LINE 5	1,750,094.

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS

2016 03/06/2017 CORRECTED

This section of your tax information statement provides proceeds transactions grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier For example, Proceeds of sale and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
IPATH BLOOMBERG COMMODITY INDEX TOTAL RETURN ETN / CUSIP: 06738C778 / Symbol: DJP							
05/19/16	4,144.000	96,760.29	11/13/15	94,192.60	...	2,567.69	Sale
SCHWAB CORE EQUITY FUND / CUSIP: 808509806 / Symbol:							
	3 transactions for 07/12/16						
	427.312	*8,439.41*	*12/10/15*	*8,341.13*	...	*98.28*	*Sale*
	623.569	*12,315.49*	*12/10/15*	*12,172.06*	...	*143.43*	*Sale*
	2,829.610	*55,884.80*	*12/10/15*	*55,233.99*	...	*650.81*	*Sale*
07/12/16	3,880.491	76,639.70	Various	75,747.18	...	892.52	Total of 3 transactions
VANGUARD FTSE EMERGING MARKETS ETF / CUSIP: 922042858 / Symbol: VWO							
04/15/16	14.000	492.10	11/13/15	471.99	...	20.11	Sale
	Totals:	**173,892.09**		**170,411.77**	...	**3,480.32**	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
IPATH BLOOMBERG COMMODITY INDEX TOTAL RETURN ETN / CUSIP: 06738C778 / Symbol: DJP							
11/21/16	1,658.000	38,703.01	11/13/15	37,686.13	...	1,016.88	Sale
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO							
	265 transactions for 07/12/16						
	199.870	9,132.39	04/09/99	6,194.23	...	2,938.16	Sale
	220.000	10,052.15	04/09/99	6,805.59	...	3,246.56	Sale
	419.870	19,184.53	04/09/99	12,993.58	...	6,190.95	Sale
	419.870	19,184.53	04/09/99	13,019.82	...	6,164.71	Sale
	419.870	19,184.54	04/09/99	13,006.70	...	6,177.84	Sale
	1.032	47.15	07/02/99	33.59	...	13.56	Sale
	1.032	47.15	07/02/99	33 59	...	13.56	Sale
	1.032	47.15	07/02/99	33.59	...	13.56	Sale
	1.032	47.16	07/02/99	33.59	...	13.57	Sale
	1.354	61.86	10/04/99	33.68	...	28.18	Sale
	1.354	61.87	10/04/99	33.68	...	28.19	Sale
	1.354	61.87	10/04/99	33.68	...	28.19	Sale
	1.354	61.87	10/04/99	33.68	...	28.19	Sale
	1.118	51.08	12/16/99	33.79	...	17.29	Sale
	1.118	51.08	12/16/99	33.79	...	17.29	Sale
	1.118	51.08	12/16/99	33.79	...	17.29	Sale
	1.118	51.09	12/16/99	33 79	...	17.30	Sale
	1.454	66.43	04/04/00	36 00	...	30.43	Sale
	1.454	66.43	04/04/00	36.00	...	30.43	Sale
	1.454	66.44	04/04/00	36 00	...	30.44	Sale
	1.454	66 44	04/04/00	36.00	...	30.44	Sale
	1.220	55.74	07/05/00	36.11	...	19.63	Sale
	1.220	55.74	07/05/00	36.11	...	19.63	Sale
	1.220	55.75	07/05/00	36.11	...	19.64	Sale
	1.220	55.75	07/05/00	36.11	...	19.64	Sale
	1.268	57.93	10/03/00	36.22	...	21.71	Sale

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	1.268	57.93	10/03/00	36.22	...	21.71	Sale
	1.268	57.94	10/03/00	36.22	...	21.72	Sale
	1.268	57.94	10/03/00	36.22	..	21.72	Sale
	1.344	61.41	12/18/00	36.33	...	25 08	Sale
	1.344	61.41	12/18/00	36.33	...	25.08	Sale
	1.344	61.41	12/18/00	36.33	...	25.08	Sale
	1.344	61.41	12/18/00	36.33	...	25.08	Sale
	1.692	77.31	04/03/01	38.59	...	38.72	Sale
	1.692	77.31	04/03/01	38.59	...	38.72	Sale
	1.692	77.31	04/03/01	38.59	...	38.72	Sale
	1.692	77.31	04/03/01	38.59	...	38.72	Sale
	1.684	76.94	07/03/01	38.74	...	38.20	Sale
	1.684	76.94	07/03/01	38.74	...	38.20	Sale
	1.684	76.95	07/03/01	38.74	...	38.21	Sale
	1.684	76.95	07/03/01	38.74	...	38.21	Sale
	1.682	76.85	10/02/01	38.90	..	37.95	Sale
	1.682	76.85	10/02/01	38.90	...	37.95	Sale
	1.682	76.85	10/02/01	38 90	...	37.95	Sale
	1.682	76.86	10/02/01	38.90	...	37.96	Sale
	1.680	76.76	12/18/01	39.05	...	37.71	Sale
	1.680	76.76	12/18/01	39.05	...	37.71	Sale
	1.680	76.76	12/18/01	39 05	..	37.71	Sale
	1.680	76.77	12/18/01	39.05	...	37.72	Sale
	1.668	76.21	04/02/02	43.55	...	32.66	Sale
	1.668	76.21	04/02/02	43.55	...	32.66	Sale
	1.668	76.22	04/02/02	43.55	...	32.67	Sale
	1.668	76.22	04/02/02	43.55	...	32.67	Sale
	1.534	70.09	07/02/02	43.71	...	26.38	Sale
	1.534	70.09	07/02/02	43.71	...	26.38	Sale
	1.534	70.09	07/02/02	43.71	...	26.38	Sale
	1.534	70.09	07/02/02	43.71	...	26.38	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
Proceeds Not Reported to the IRS (continued)
2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	1.748	79.87	10/02/02	43.87	...	36.00	Sale
	1.748	79.87	10/02/02	43.87	...	36.00	Sale
	1.748	79.87	10/02/02	43.87	...	36.00	Sale
	1.748	79.87	10/02/02	43.87	...	36.00	Sale
	1.920	87.72	12/17/02	44.04	...	43.68	Sale
	1.920	87.73	12/17/02	44.04	...	43.69	Sale
	1.920	87.73	12/17/02	44.04	...	43.69	Sale
	1.920	87.73	12/17/02	44.04	...	43.69	Sale
	2.364	108.01	04/02/03	48.66	...	59.35	Sale
	2.364	108.01	04/02/03	48.66	...	59.35	Sale
	2.364	108.02	04/02/03	48.66	...	59.36	Sale
	2.364	108.02	04/02/03	48.66	...	59.36	Sale
	2.106	96.22	07/02/03	48.93	...	47.29	Sale
	2.106	96.22	07/02/03	48.93	...	47.29	Sale
	2.106	96.23	07/02/03	48.93	...	47.30	Sale
	2.106	96.23	07/02/03	48 93	...	47.30	Sale
	2.236	102.16	10/02/03	49 15	...	53.01	Sale
	2.236	102.17	10/02/03	49.15	...	53.02	Sale
	2.236	102.17	10/02/03	49.15	...	53.02	Sale
	2.236	102.17	10/02/03	49.15	...	53.02	Sale
	1.976	90.28	12/16/03	49.40	...	40.88	Sale
	1.976	90.29	12/16/03	49.40	..	40.89	Sale
	1.976	90.29	12/16/03	49.40	...	40.89	Sale
	2.026	92.57	12/16/03	49.40	...	43.17	Sale
	2.208	100.88	04/02/04	56.38	...	44.50	Sale
	2.208	100.89	04/02/04	56.38	...	44.51	Sale
	2.208	100.89	04/02/04	56.38	...	44.51	Sale
	2.208	100.89	04/02/04	56.38	...	44.51	Sale
	2.238	102.25	07/02/04	56.66	...	45.59	Sale
	2.238	102.26	07/02/04	56.66	...	45.60	Sale
	2.238	102.26	07/02/04	56.66	...	45.60	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
Proceeds Not Reported to the IRS
2016 (continued) 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	2.238	102.26	07/02/04	56.66	...	45.60	Sale
	2.790	127.48	10/04/04	56.94	...	70.54	Sale
	2.790	127.48	10/04/04	56.94	...	70.54	Sale
	2.790	127.48	10/04/04	56.94	...	70.54	Sale
	2.790	127.48	10/04/04	56.94	...	70.54	Sale
	2.760	126.10	12/16/04	57.28	...	68.82	Sale
	2.760	126.11	12/16/04	57.28	...	68 83	Sale
	2.760	126.11	12/16/04	57.28	...	68.83	Sale
	2.760	126 11	12/16/04	57.28	...	68.83	Sale
	2.938	134.24	04/04/05	61.13	...	73.11	Sale
	2.938	134.24	04/04/05	61.13	...	73.11	Sale
	2.938	134.24	04/04/05	61.13	...	73.11	Sale
	2.938	134.25	04/04/05	61.13	...	73.12	Sale
	2.898	132.41	07/05/05	61.52	...	70.89	Sale
	2.898	132.41	07/05/05	61.52	...	70.89	Sale
	2.898	132.42	07/05/05	61.52	...	70.90	Sale
	2.898	132.42	07/05/05	61.52	...	70.90	Sale
	2.882	131.68	10/05/05	61.90	...	69.78	Sale
	2.882	131.68	10/05/05	61.90	...	69.78	Sale
	2.882	131.68	10/05/05	61.90	...	69.78	Sale
	2.882	131.69	10/05/05	61.90	...	69.79	Sale
	3.012	137.62	12/16/05	62.28	...	75 34	Sale
	3.012	137.62	12/16/05	62.28	...	75.34	Sale
	3.012	137.62	12/16/05	62.28	...	75.34	Sale
	3.012	137.63	12/16/05	62.28	...	75.35	Sale
	3.320	151.69	04/04/06	69.41	...	82.28	Sale
	3.320	151.70	04/04/06	69.41	...	82.29	Sale
	3.320	151.70	04/04/06	69.41	...	82.29	Sale
	3.320	151.70	04/04/06	69.41	...	82.29	Sale
	3.226	147.40	07/05/06	69 88	.	77.52	Sale
	3.226	147.40	07/05/06	69.88	...	77.52	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS
(continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	3.226	147.40	07/05/06	69.88	...	77.52	Sale
	3.226	147.40	07/05/06	69.88	...	77.52	Sale
	3.156	144.20	10/03/06	70.36	...	73.84	Sale
	3.156	144.20	10/03/06	70.36	...	73.84	Sale
	3.156	144.20	10/03/06	70.36	...	73.84	Sale
	3.156	144.21	10/03/06	70.36	...	73.85	Sale
	2.894	132.23	12/18/06	70.82	...	61.41	Sale
	2.894	132.23	12/18/06	70.82	...	61.41	Sale
	2.894	132.23	12/18/06	70.82	...	61.41	Sale
	2.894	132.24	12/18/06	70.82	...	61.42	Sale
	3.204	146.39	04/03/07	78.15	...	68.24	Sale
	3.204	146.39	04/03/07	78.15	...	68.24	Sale
	3.204	146.40	04/03/07	78.15	...	68.25	Sale
	3.204	146.40	04/03/07	78.15	...	68.25	Sale
	2.978	136.07	07/03/07	78 67	...	57.40	Sale
	2.978	136.07	07/03/07	78.67	...	57.40	Sale
	2.978	136.07	07/03/07	78.67	...	57.40	Sale
	2.978	136.07	07/03/07	78.67	...	57.40	Sale
	2.728	124.64	10/02/07	79.14	...	45.50	Sale
	2.728	124.65	10/02/07	79.14	...	45.51	Sale
	2.728	124.65	10/02/07	79.14	...	45.51	Sale
	2.728	124.65	10/02/07	79.14	...	45.51	Sale
	2.544	116.24	12/18/07	79.58	...	36.66	Sale
	2.544	116.24	12/18/07	79.58	...	36.66	Sale
	2.544	116.24	12/18/07	79.58	...	36.66	Sale
	2.544	116.24	12/18/07	79.58	...	36.66	Sale
	2.922	133.51	04/02/08	89.40	...	44.11	Sale
	2.922	133.51	04/02/08	89.40	...	44.11	Sale
	2.922	133.51	04/02/08	89.40	...	44.11	Sale
	2.922	133.51	04/02/08	89.40	...	44.11	Sale
	3.504	160.10	07/02/08	89.93	...	70.17	Sale

UBS FINANCIAL SERVICES INC. | Account: AX 77805
2016 | **Proceeds Not Reported to the IRS** (continued) | 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	3.504	160.10	07/02/08	89.93	...	70.17	Sale
	3.504	160.11	07/02/08	89.93	...	70.18	Sale
	3.554	162.39	07/02/08	89.93	...	72.46	Sale
	3.388	154.80	10/02/08	90.56	...	64.24	Sale
	3.388	154.80	10/02/08	90.56	...	64.24	Sale
	3.388	154.80	10/02/08	90.56	...	64.24	Sale
	3.388	154.81	10/02/08	90 56	...	64.25	Sale
	4.006	183.04	12/16/08	91.17	...	91 87	Sale
	4.006	183.04	12/16/08	91.17	...	91.87	Sale
	4.006	183.04	12/16/08	91.17	...	91.87	Sale
	4.006	183.04	12/16/08	91.17	...	91.87	Sale
	4.398	200.95	04/02/09	99 40	...	101.55	Sale
	4.398	200.95	04/02/09	99.40	...	101.55	Sale
	4.398	200.95	04/02/09	99.40	...	101.55	Sale
	4.398	200.96	04/02/09	99.40	...	101.56	Sale
	4.100	187.33	07/02/09	100.30	...	87.03	Sale
	4.100	187.33	07/02/09	100.30	...	87.03	Sale
	4.100	187.34	07/02/09	100.30	...	87.04	Sale
	4.100	187.34	07/02/09	100.30	...	87.04	Sale
	3.808	173.99	10/02/09	101.14	...	72.85	Sale
	3.808	173.99	10/02/09	101.14	...	72.85	Sale
	3.808	174.00	10/02/09	101.14	...	72.86	Sale
	3.808	174.00	10/02/09	101.14	...	72.86	Sale
	3.448	157.54	12/16/09	101.92	...	55.62	Sale
	3.448	157.54	12/16/09	101.92	...	55.62	Sale
	3.448	157.54	12/16/09	101.92	..	55.62	Sale
	3.448	157.55	12/16/09	101.92	...	55.63	Sale
	3.984	182.03	04/05/10	110.52	...	71.51	Sale
	3.984	182.04	04/05/10	110.52	...	71.52	Sale
	3.984	182.04	04/05/10	110.52	...	71.52	Sale
	3 984	182.04	04/05/10	110.52	...	71.52	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS
(continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	4.436	202.68	07/02/10	111.40	...	91.28	Sale
	4.436	202.69	07/02/10	111.40	...	91.29	Sale
	4.436	202.69	07/02/10	111 40	...	91.29	Sale
	4.436	202.69	07/02/10	111.40	...	91.29	Sale
	3.812	174.17	10/04/10	112.38	...	61.79	Sale
	3.812	174.17	10/04/10	112.38	...	61.79	Sale
	3.812	174.18	10/04/10	112.38	...	61.80	Sale
	3 812	174.18	10/04/10	112.38	..	61.80	Sale
	3.488	159.37	12/16/10	113.22	...	46.15	Sale
	3.488	159.37	12/16/10	113.22	...	46.15	Sale
	3.488	159.37	12/16/10	113.22	...	46.15	Sale
	3.488	159.38	12/16/10	113.22	...	46.16	Sale
	3.632	165.95	04/04/11	122.12	...	43.83	Sale
	3.632	165.95	04/04/11	122.12	...	43.83	Sale
	3.632	165.95	04/04/11	122.12	...	43.83	Sale
	3.632	165.96	04/04/11	122.12	...	43.84	Sale
	3.604	164.67	07/05/11	122.97	...	41.70	Sale
	3.604	164.67	07/05/11	122.97	...	41.70	Sale
	3.604	164.67	07/05/11	122.97	...	41.70	Sale
	3.604	164.68	07/05/11	122.97	...	41.71	Sale
	3.820	174.54	10/04/11	123 82	..	50.72	Sale
	3.820	174.54	10/04/11	123.82	...	50.72	Sale
	3.820	174.54	10/04/11	123.82	...	50.72	Sale
	3.870	176.83	10/04/11	123.82	...	53.01	Sale
	3.690	168.60	12/16/11	124.71	...	43.89	Sale
	3.690	168.60	12/16/11	124.71	...	43.89	Sale
	3.690	168.60	12/16/11	124.71	...	43.89	Sale
	3.690	168.61	12/16/11	124.71	...	43.90	Sale
	3.700	169.06	04/03/12	136.72	...	32.34	Sale
	3.700	169.06	04/03/12	136.72	...	32.34	Sale
	3.700	169.06	04/03/12	136.72	..	32.34	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS
(continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	3.750	171.34	04/03/12	136.72	...	34.62	Sale
	3.538	161.65	06/29/12	137.66	..	23.99	Sale
	3.538	161.66	06/29/12	137.66	...	24.00	Sale
	3.538	161.66	06/29/12	137 66	...	24.00	Sale
	3.538	161.66	06/29/12	137.66	...	24.00	Sale
	3.641	166.36	10/01/12	138.57	...	27.79	Sale
	3.641	166.36	10/01/12	138.57	. .	27.79	Sale
	3.641	166.36	10/01/12	138.57	...	27.79	Sale
	3.641	166.37	10/01/12	138.57	...	27.80	Sale
	3.702	169.15	12/17/12	139.50	...	29.65	Sale
	3.702	169.15	12/17/12	139.50	...	29.65	Sale
	3.702	169.15	12/17/12	139.50	...	29.65	Sale
	3.702	169.15	12/17/12	139.50	...	29.65	Sale
	3.813	174.22	04/01/13	154.73	...	19.49	Sale
	3.813	174.22	04/01/13	154 73	...	19.49	Sale
	3.813	174.22	04/01/13	154.73	. .	19.49	Sale
	3.813	174.23	04/01/13	154.73	...	19.50	Sale
	3.871	176.87	07/01/13	155.80	...	21.07	Sale
	3.871	176.87	07/01/13	155 80	...	21.07	Sale
	3.871	176 87	07/01/13	155.80	...	21.07	Sale
	3.871	176.88	07/01/13	155 80	...	21.08	Sale
	4.126	188.52	10/01/13	156.88	...	31.64	Sale
	4.126	188.52	10/01/13	156.88	...	31.64	Sale
	4.126	188.52	10/01/13	156.88	...	31.64	Sale
	4.126	188.53	10/01/13	156.88	...	31.65	Sale
	4.011	183.27	12/16/13	158.03	...	25.24	Sale
	4.011	183.27	12/16/13	158.03	...	25.24	Sale
	4.011	183.27	12/16/13	158.03	...	25.24	Sale
	4.011	183.27	12/16/13	158.03	...	25.24	Sale
	4.474	204.42	04/01/14	173.84	...	30.58	Sale
	4.474	204.42	04/01/14	173.84	...	30.58	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS
(continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO (cont'd)							
	4.474	204.43	04/01/14	173.84	...	30.59	Sale
	4.474	204.43	04/01/14	173.84	...	30.59	Sale
	4.128	188.61	07/01/14	175.21	...	13.40	Sale
	4.128	188.61	07/01/14	175.21	...	13.40	Sale
	4.128	188.62	07/01/14	175.21	...	13.41	Sale
	4.128	188.62	07/01/14	175.21	...	13.41	Sale
	4.164	190.26	10/01/14	176 46	...	13.80	Sale
	4.164	190.26	10/01/14	176.46	...	13.80	Sale
	4.164	190.26	10/01/14	176.46	...	13.80	Sale
	4.164	190.26	10/01/14	176.46	...	13.80	Sale
	4.285	195.78	12/15/14	177.73	...	18.05	Sale
	4.285	195.79	12/15/14	177 73	..	18.06	Sale
	4.285	195.79	12/15/14	177.73	...	18.06	Sale
	4.285	195.79	12/15/14	177.73	...	18.06	Sale
	4.764	217.67	04/01/15	194.07	...	23.60	Sale
	4.764	217.67	04/01/15	194.07	...	23.60	Sale
	4.764	217.68	04/01/15	194.07	...	23.61	Sale
	4.764	217.68	04/01/15	194.07	...	23.61	Sale
	4.967	226.95	07/01/15	195.60	...	31.35	Sale
	4.967	226.95	07/01/15	195.60	...	31.35	Sale
	4.967	226.95	07/01/15	195.60	...	31.35	Sale
	4.967	226.95	07/01/15	195.60	..	31.35	Sale
07/12/16	2,456.000	112,218.58	Various	75,292.60	...	36,925.98	Total of 265 transactions
DOUBLE LINE TOTAL RETURN FUND INSTL / CUSIP: 258620103 / Symbol:							
	7 transactions for 07/12/16						
	4,498.650	49,170.24	06/13/11	50,000 00	...	-829.76	Sale
	30.126	329.28	06/30/11	331.39	...	-2.11	Sale
	30.804	336.69	07/29/11	341.62	...	-4.93	Sale
	79.664	870.72	10/31/11	885.07	...	-14.35	Sale

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
Description of property							
DOUBLE LINE TOTAL RETURN FUND INSTL / CUSIP: 258620103 / Symbol: (cont'd)							
	85.587	935.47	11/30/11	947.45	...	-11.98	Sale
	8,296.584	90,681.66	12/16/11	92,192.95	...	-1,511.29	Sale
	175.554	1,918.81	12/30/11	1,936.36	...	-17.55	Sale
07/12/16	13,196.969	144,242.87	Various	146,634.84	...	-2,391.97	Total of 7 transactions
FIRST EAGLE OVERSEAS FUND CLASS I / CUSIP: 32008F200 / Symbol:							
	17 transactions for 07/12/16						
	983.766	23,512.01	03/13/03	12,786.82	...	10,725.19	Sale
	1,214.229	29,020.07	09/25/08	25,000.00	...	4,020.07	Sale
	3.016	72.08	12/17/08	50.54	...	21.54	Sale
	238.990	5,711.86	12/17/08	4,004.98	...	1,706.88	Sale
	74.668	1,784.57	12/16/09	1,494.10	...	290.47	Sale
	615.347	14,706 79	07/02/10	12,213.00	...	2,493.79	Sale
	493.486	11,794.32	07/07/10	10,000.00	...	1,794.32	Sale
	1,946 609	46,523.95	07/28/10	40,000.00	...	6,523.95	Sale
	1,233.715	29,485.79	08/12/10	25,000.00	...	4,485.79	Sale
	739.487	17,673.74	08/13/10	15,000.00	...	2,673.74	Sale
	446.872	10,680.24	10/15/10	10,000.00	...	680.24	Sale
	81.041	1,936.88	12/16/10	1,827.51	...	109.37	Sale
	0.638	15.25	12/13/11	13.11	...	2.14	Sale
	288.148	6,886.74	12/13/11	5,924.32	...	962.42	Sale
	407.359	9,735.88	12/13/11	8,375.31	...	1,360.57	Sale
	1,136 261	27,156.63	12/28/12	25,245.00	...	1,911.63	Sale
	680.909	16,273.73	12/17/14	15,000.00	...	1,273.73	Sale
07/12/16	10,584.541	252,970.53	Various	211,934.69	...	41,035.84	Total of 17 transactions
OAKMARK INTL FUND CLASS I / CUSIP: 413838202 / Symbol:							
07/12/16	4,095.004	81,040.13	03/13/15	100,000.00	...	-18,959.87	Sale

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ISHARES S&P 500 GROWTH ETF / CUSIP: 464287309 / Symbol: IVW							
	9 transactions for 07/12/16						
	1,199.000	*144,020 74*	*12/30/08*	*52,954.39*	...	*91,066.35*	*Sale*
	85.000	*10,209.98*	*08/17/09*	*4,271.63*	...	*5,938.35*	*Sale*
	100.000	*12,011.73*	*08/17/09*	*5,025.43*	..	*6,986.30*	*Sale*
	100.000	*12,011.74*	*08/17/09*	*5,024.53*	...	*6,987.21*	*Sale*
	100.000	*12,011.74*	*08/17/09*	*5,025.38*	...	*6,986.36*	*Sale*
	200.000	*24,023.48*	*08/17/09*	*10,051.06*	...	*13,972.42*	*Sale*
	475.000	*57,055.75*	*08/31/09*	*24,632.48*	...	*32,423.27*	*Sale*
	341.000	*40,960.03*	*09/24/09*	*18,287.01*	...	*22,673.02*	*Sale*
	37.000	*4,444.34*	*11/10/14*	*4,099.31*	...	*345.03*	*Sale*
07/12/16	2,637.000	316,749.53	Various	129,371.22	...	187,378.31	Total of 9 transactions
ISHARES CORE S&P MIDCAP ETF / CUSIP: 464287507 / Symbol: IJH							
04/15/16	112.000	16,355.82	04/07/14	15,047.79	...	1,308.03	Sale
08/05/16	481.000	75,101.70	04/07/14	64,624.88	...	10,476.82	Sale
11/21/16	1,468.000	236,696.92	04/07/14	197,233.53	...	39,463.39	Sale
	Security total:	328,154.44		276,906.20	...	51,248.24	
ISHARES CORE S&P SMALL-CAP ETF / CUSIP: 464287804 / Symbol: IJR							
05/19/16	3,799.000	418,640.67	04/07/14	409,175.13	...	9,465.54	Sale
08/05/16	2,692.000	330,436.07	04/07/14	289,944.57	...	40,491.50	Sale
11/21/16	2,330.000	308,166.54	04/07/14	250,955.00	...	57,211.54	Sale
	Security total.	1,057,243.28		950,074.70	...	107,168.58	
ISHARES MSCI EAFE SMALL CAP ETF / CUSIP: 464288273 / Symbol: SCZ							
05/19/16	2,684.000	133,955.79	04/07/14	139,572.12	...	-5,616.33	Sale
08/05/16	4,061.000	204,728.55	04/07/14	211,178.25	6,449.70 W	0.00	Sale
	Security total:	338,684.34		350,750.37	6,449.70 W	-5,616.33	

UBS FINANCIAL SERVICES INC. Account: AX 77805
Proceeds Not Reported to the IRS (continued)
2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property / Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information	
ISHARES CORE MSCI EAFE ETF / CUSIP: 46432F842 / Symbol: IEFA								
05/19/16	5,530.000	292,088.23	04/07/14	335,931.77	...	-43,843.54	Sale	
08/05/16	10,668.000	573,397.99	04/07/14	648,050.65	74,652.66 W	0.00	Sale	
	Security total:	865,486.22		983,982.42	74,652.66 W	-43,843.54		
MATTHEWS ASIA DIVIDEND FUND INSTL / CUSIP: 577130750 / Symbol:								
	18 transactions for 07/12/16							
	8,827.593	*145,125 63*	*11/19/10*	*124,370.87*	...	*20,754.76*	*Sale*	*C*
	24.693	*405.95*	*12/09/10*	*343.95*	...	*62.00*	*Sale*	*C*
	105.691	*1,737.56*	*12/09/10*	*1,472.18*	...	*265.38*	*Sale*	*C*
	3,581.589	*58,881.32*	*12/16/10*	*49,744.75*	...	*9,136.57*	*Sale*	*C*
	96.894	*1,592.94*	*03/24/11*	*1,345.73*	...	*247.21*	*Sale*	*C*
	1,668.754	*27,434.32*	*04/25/11*	*23,881.08*	...	*3,553.24*	*Sale*	*C*
	121.898	*2,004.00*	*06/23/11*	*1,686.91*	...	*317.09*	*Sale*	*C*
	140 638	*2,312.09*	*09/22/11*	*1,743.73*	...	*568.36*	*Sale*	*C*
	39.015	*641.41*	*12/08/11*	*482 19*	...	*159.22*	*Sale*	*C*
	75.288	*1,237.73*	*12/08/11*	*930.48*	...	*307.25*	*Sale*	*C*
	18.336	*301.44*	*03/22/12*	*248.43*	...	*53.01*	*Sale*	*C*
	51.571	*847.83*	*03/22/12*	*698.72*	...	*149.11*	*Sale*	*C*
	163 094	*2,681.27*	*06/21/12*	*2,095 55*	...	*585.72*	*Sale*	*C*
	1,324.689	*21,777.88*	*12/28/12*	*19,080.59*	...	*2,697.29*	*Sale*	*C*
	1,314.930	*21,617.45*	*05/23/14*	*20,631.84*	...	*985.61*	*Sale*	*C*
	4,463.010	*73,371.89*	*10/29/14*	*69,985.46*	...	*3,386.43*	*Sale*	*C*
	1,277.494	*21,002.00*	*11/10/14*	*19,995.84*	...	*1,006.16*	*Sale*	*C*
	1,275.862	*20,975.17*	*11/25/14*	*19,995.84*	...	*979.33*	*Sale*	*C*
07/12/16	24,571.039	403,947.88	Various	358,734.14	...	45,213.74	Total of 18 transactions	
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE								
07/12/16	2,138.000	274,288.73	09/26/08	116,940.48	...	157,348.25	Sale	

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS
(continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC							
	11 transactions for 07/12/16						
	786.000	173,768.47	10/06/09	36,122.68	...	137,645.79	Sale
	45.000	9,948.58	03/19/12	2,788.52	...	7,160.06	Sale
	25.000	5,526.99	05/02/12	1,576.50	...	3,950.49	Sale
	255.000	56,375.27	02/14/13	16,792.67	...	39,582.60	Sale
	105.000	23,213.34	10/02/14	13,384.46	...	9,828.88	Sale
	125.000	27,634.94	10/17/14	15,523.71	...	12,111.23	Sale
	43.000	9,506.42	11/06/14	5,945.18	...	3,561.24	Sale
	53.000	11,717.21	12/04/14	7,397.74	...	4,319.47	Sale
	136.000	30,066.81	02/05/15	22,136.04	...	7,930.77	Sale
	100.000	22,107.95	03/06/15	16,024 39	...	6,083.56	Sale
	108.000	23,876.58	05/07/15	16,576.54	...	7,300.04	Sale
07/12/16	1,781.000	393,742.56	Various	154,268.43	...	239,474.13	Total of 11 transactions
PIMCO INCOME FUND CLASS I / CUSIP: 72201F490 / Symbol:							
	5 transactions for 07/12/16						
	4,922.113	58,917.69	03/16/12	55,000.00	...	3,917.69	Sale
	10.618	127.10	03/30/12	119.56	...	7.54	Sale
	24.099	288.47	04/30/12	273.76	...	14.71	Sale
	24.231	290.04	05/31/12	275.02	...	15.02	Sale
	4,669.360	55,892.24	09/11/12	55,998 80	...	-106.56	Sale
07/12/16	9,650.421	115,515.54	Various	111,667.14	...	3,848.40	Total of 5 transactions
SCHWAB CORE EQUITY FUND / CUSIP: 808509806 / Symbol:							
	49 transactions for 07/12/16						
	4,102.530	81,024.96	07/24/01	57,087.70	...	23,937.26	Sale
	4,102 530	81,024.97	07/24/01	57,087.70	...	23,937.27	Sale
	4,998.287	98,716.17	07/24/01	69,552.38	...	29,163.79	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
2016 **Proceeds Not Reported to the IRS** (continued) 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SCHWAB CORE EQUITY FUND / CUSIP: 808509806 / Symbol: (cont'd)							
	5,038.087	99,502.22	07/24/01	70,000.00	...	29,502.22	Sale
	39.164	773.49	12/28/01	544.98	...	228.51	Sale
	39.164	773.49	12/28/01	544 98	...	228.51	Sale
	48.096	949.89	12/28/01	669.27	...	280.62	Sale
	48.096	949.90	12/28/01	669 27	...	280.63	Sale
	5.344	105.54	12/22/05	74.36	...	31.18	Sale
	5.344	105.55	12/22/05	74.36	...	31.19	Sale
	6.563	129.62	12/22/05	91.32	...	38.30	Sale
	6.563	129.62	12/22/05	91.32	...	38.30	Sale
	16.657	328.97	12/21/06	231.78	...	97.19	Sale
	16.657	328.98	12/21/06	231.78	...	97.20	Sale
	20.455	403.98	12/21/06	284 64	...	119.34	Sale
	20.455	403.99	12/21/06	284.64	...	119.35	Sale
	9.668	190.94	12/17/07	184.82	...	6.12	Sale
	9.669	190.97	12/17/07	184 84	...	6.13	Sale
	11.982	236.64	12/17/07	229.06	...	7.58	Sale
	26.404	521.48	12/17/08	303.16	...	218.32	Sale
	26.404	521.48	12/17/08	303.16	...	218.32	Sale
	32.425	640.39	12/17/08	372.30	...	268.09	Sale
	32.425	640.40	12/17/08	372.30	...	268.10	Sale
	42.455	838.49	01/30/09	450.00	...	388.49	Sale
	51.888	1,024.79	01/30/09	550.00	...	474.79	Sale
	51.889	1,024.80	01/30/09	550.00	...	474.80	Sale
	75.474	1,490.61	01/30/09	800.00	...	690.61	Sale
	51.782	1,022.69	05/21/09	550.00	...	472.69	Sale
	42.729	843.90	12/23/09	649.91	...	193.99	Sale
	42.824	845.77	12/23/09	651.35	...	194.42	Sale
	52.471	1,036.30	12/23/09	798.09	...	238 21	Sale
	52.709	1,041.01	12/23/09	801.71	...	239.30	Sale
	43.002	849.29	12/23/10	722.01	...	127.28	Sale
	43.098	851.18	12/23/10	723.62	...	127.56	Sale

UBS FINANCIAL SERVICES INC. — Account: AX 77805

2016 — **Proceeds Not Reported to the IRS** (continued) — 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SCHWAB CORE EQUITY FUND / CUSIP: 808509806 / Symbol: (cont'd)							
	52.808	1,042.96	12/23/10	886.64	...	156.32	Sale
	53.047	1,047.68	12/23/10	890.66	...	157.02	Sale
	43.564	860.39	12/22/11	730.56	...	129.83	Sale
	43.660	862.29	12/22/11	732.18	...	130.11	Sale
	53.496	1,056.54	12/22/11	897.13	...	159.41	Sale
	53.739	1,061.35	12/22/11	901.20	...	160.15	Sale
	26.506	523.49	09/11/12	506.00	...	17.49	Sale
	80.311	1,586.14	07/24/13	1,807.00	...	-220.86	Sale
	81.822	1,615.99	07/24/13	1,841.00	...	-225.01	Sale
	96.489	1,905.66	07/24/13	2,171.00	...	-265.34	Sale
	136.622	2,698.28	07/24/13	3,074.00	...	-375.72	Sale
	654.923	12,934.73	01/14/15	14,500.00	...	-1,565.27	Sale
	654.923	12,934.73	01/14/15	14,500.00	...	-1,565.27	Sale
	813.008	16,056.90	01/14/15	18,000.00	...	-1,943.10	Sale
	813.008	16,056.91	01/14/15	18,000.00	...	-1,943.09	Sale
07/12/16	22,871.216	451,706.51	Various	346,154.18	...	105,552.33	Total of 49 transactions
SCHWAB S P 500 INDEX FUND SELECTED SHARES / CUSIP: 808509855 / Symbol:							
	63 transactions for 07/12/16						
	3,082.640	103,576.70	07/25/01	56,903.11	...	46,673.59	Sale
	3,082.640	103,576.71	07/25/01	56,903.11	...	46,673.60	Sale
	3,785.617	127,196.73	07/25/01	69,880.99	...	57,315.74	Sale
	3,785.617	127,196.73	07/25/01	69,880.99	...	57,315.74	Sale
	33.110	1,112.49	12/14/01	611.19	...	501.30	Sale
	33.110	1,112.50	12/14/01	611.19	...	501.31	Sale
	40.660	1,366.17	12/14/01	750.57	...	615.60	Sale
	40.660	1,366.18	12/14/01	750.57	...	615.61	Sale
	48.229	1,620.49	12/13/02	890.27	...	730.22	Sale
	48.229	1,620.49	12/13/02	890.27	...	730.22	Sale
	59.228	1,990.06	12/13/02	1,093.32	...	896.74	Sale

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SCHWAB S P 500 INDEX FUND SELECTED SHARES / CUSIP: 808509855 / Symbol: (cont'd)							
	59.228	1,990.07	12/13/02	1,093.32	...	896.75	Sale
	43.002	1,444 86	12/12/03	793.79	...	651.07	Sale
	43.002	1,444.87	12/12/03	793 79	...	651.08	Sale
	52.809	1,774.38	12/12/03	974.83	...	799.55	Sale
	52.809	1,774.39	12/12/03	974.83	...	799.56	Sale
	54.931	1,845.68	12/10/04	1,013.99	...	831.69	Sale
	54.931	1,845.68	12/10/04	1,013 99	...	831.69	Sale
	67.459	2,266.62	12/10/04	1,245 26	...	1,021.36	Sale
	67.459	2,266.63	12/10/04	1,245.26	...	1,021.37	Sale
	55.643	1,869.60	12/16/05	1,027.12	...	842.48	Sale
	55.643	1,869.61	12/16/05	1,027.12	...	842.49	Sale
	68.333	2,295.99	12/16/05	1,261.39	...	1,034.60	Sale
	68.333	2,295.99	12/16/05	1,261.39	...	1,034.60	Sale
	55.318	1,858.68	12/15/06	1,021.13	...	837.55	Sale
	55.318	1,858.69	12/15/06	1,021.13	...	837.56	Sale
	67.933	2,282.55	12/15/06	1,254.02	...	1,028.53	Sale
	67.933	2,282.55	12/15/06	1,254 02	...	1,028.53	Sale
	61.407	2,063.28	12/11/07	1,401.60	...	661.68	Sale
	61.408	2,063 30	12/11/07	1,401.62	...	661.68	Sale
	75.502	2,536.86	12/11/07	1,723.37	...	813.49	Sale
	75.502	2,536.87	12/11/07	1,723.37	...	813.50	Sale
	104.724	3,518.72	12/10/08	1,448.90	...	2,069.82	Sale
	104.724	3,518.73	12/10/08	1,448.90	...	2,069.83	Sale
	128.606	4,321.16	12/10/08	1,779.35	...	2,541.81	Sale
	128.606	4,321.17	12/10/08	1,779 35	...	2,541.82	Sale
	0.001	0.03	01/30/09	0 01	...	0.02	Sale
	0.001	0.03	01/30/09	0 01	...	0.02	Sale
	35.288	1,185.68	01/30/09	449.99	...	735.69	Sale
	43.131	1,449.20	01/30/09	550.00	...	899.20	Sale
	43.131	1,449.21	01/30/09	549.99	...	899.22	Sale
	62.736	2,107.93	01/30/09	800.00	...	1,307.93	Sale

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SCHWAB S P 500 INDEX FUND SELECTED SHARES / CUSIP: 808509855 / Symbol: (cont'd)							
	50.954	1,712.05	12/10/09	872.84	...	839.21	Sale
	51.066	1,715.82	12/10/09	874.76	...	841.06	Sale
	62.572	2,102 42	12/10/09	1,071.86	...	1,030.56	Sale
	62.852	2,111.82	12/10/09	1,076.65	...	1,035.17	Sale
	67.777	2,277.31	12/09/10	1,299.29	...	978.02	Sale
	67.926	2,282.31	12/09/10	1,302.14	...	980.17	Sale
	83.231	2,796.56	12/09/10	1,595.54	...	1,201.02	Sale
	83.603	2,809.07	12/09/10	1,602.67	...	1,206.40	Sale
	76.304	2,563.82	12/08/11	1,464.28	...	1,099.54	Sale
	76.472	2,569.46	12/08/11	1,467.50	...	1,101.96	Sale
	93.703	3,148.42	12/08/11	1,798.16	...	1,350.26	Sale
	94.121	3,162.46	12/08/11	1,806.19	...	1,356.27	Sale
	22.350	750.96	09/11/12	506.00	...	244.96	Sale
	68.137	2,289.40	07/24/13	1,807.00	...	482.40	Sale
	69.419	2,332.48	07/24/13	1,841.00	...	491.48	Sale
	81 863	2,750.59	07/24/13	2,171.00	...	579.59	Sale
	115.913	3,894.68	07/24/13	3,074.00	...	820.68	Sale
	461.343	15,501.12	01/14/15	14,500.00	...	1,001.12	Sale
	461.343	15,501.13	01/14/15	14,500 00	...	1,001.13	Sale
	572.701	19,242.75	01/14/15	18,000 00	...	1,242.75	Sale
	572.701	19,242.76	01/14/15	18,000.00	...	1,242.76	Sale
07/12/16	19,250.942	646,831.65	Various	383,129.30	...	263,702.35	Total of 63 transactions
OPPENHEIMER STEELPATH MLP ALPHA FUND Y / CUSIP: 858268501 / Symbol:							
07/12/16	4,833.937	46,019.08	08/17/10	32,254.75	0.00	13,764.33	Sale
TWEEDY BROWNE GLOBAL VALUE FD / CUSIP: 901165100 / Symbol:							
	10 transactions for 07/12/16						
	1,256 539	31,174.73	09/24/09	25,000.00	...	6,174.73	Sale
	1,474.668	36,586.51	10/23/09	30,000.00	...	6,586.51	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
Proceeds Not Reported to the IRS
(continued)
2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TWEEDY BROWNE GLOBAL VALUE FD / CUSIP: 901165100 / Symbol: (cont'd)							
	251.515	6,240.09	10/30/09	5,000.00	...	1,240.09	Sale
	46.754	1,159.97	12/30/09	990.26	...	169.71	Sale
	761.287	18,887.53	03/29/10	16,782.72	...	2,104.81	Sale
	938.028	23,272.47	05/06/10	20,000.00	...	3,272.47	Sale
	2,414.493	59,903.57	05/27/10	50,000.00	...	9,903.57	Sale
	150 725	3,739.49	12/29/11	3,282.79	...	456.70	Sale
	202 402	5,021.59	12/29/11	4,408.32	...	613.27	Sale
	6,067.066	150,523.91	04/04/13	152,000.00	...	-1,476.09	Sale
07/12/16	13,563.477	336,509.86	Various	307,464.09	...	29,045.77	Total of 10 transactions
VANGUARD ENERGY FUND / CUSIP: 921908109 / Symbol:							
	23 transactions for 07/12/16						
	115.686	5,876.85	07/10/06	7,512.88	...	-1,636.03	Sale
	80.465	4,087.62	07/21/06	5,000.00	...	-912.38	Sale
	77.126	3,918.00	08/17/06	5,000.00	...	-1,082.00	Sale
	0.201	10.21	03/21/07	12.78	...	-2.57	Sale
	1.682	85.45	03/21/07	107.01	...	-21.56	Sale
	12.627	641 45	03/21/07	803.34	...	-161.89	Sale
	12.495	634.75	12/16/08	573.15	...	61.60	Sale
	23.802	1,209.14	12/16/08	1,091.80	...	117.34	Sale
	0.688	34.95	03/20/09	29.29	...	5.66	Sale
	13.919	707.09	12/30/09	841.85	...	-134.76	Sale
	1.230	62.48	03/22/10	72.54	...	-10.06	Sale
	5.109	259.54	03/22/10	301.31	...	-41.77	Sale
	3.086	156.77	12/16/10	193.31	...	-36.54	Sale
	11.732	595.98	12/16/10	734.89	...	-138.91	Sale
	17.892	908.91	12/16/10	1,120.76	...	-211.85	Sale
	0.195	9.91	03/22/11	14.13	...	-4.22	Sale
	1.201	61.01	03/22/11	87.12	...	-26.11	Sale
	4.243	215.55	03/22/11	307.68	...	-92.13	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805

Proceeds Not Reported to the IRS (continued)

2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
Description of property							
VANGUARD ENERGY FUND / CUSIP: 921908109 / Symbol: (cont'd)							
	1.125	57.15	12/16/11	64.79	...	-7.64	Sale
	7 214	366.47	12/16/11	415.58	...	-49.11	Sale
	12.970	658 87	12/16/11	747 21	...	-88.34	Sale
	0.314	15.95	03/21/12	19.83	...	-3.88	Sale
	6.619	336.25	03/21/12	418 45	...	-82.20	Sale
07/12/16	411.621	20,910.35	Various	25,469.70	...	-4,559.35	Total of 23 transactions
VANGUARD DIVIDEND GROWTH FUND / CUSIP: 921908604 / Symbol:							
	13 transactions for 07/12/16						
	2,701.158	64,638 71	02/23/10	35,000.00	...	29,638.71	Sale
	2,670.229	63,898.58	03/03/10	35,000.00	...	28,898.58	Sale
	2,579.646	61,730.93	03/18/10	35,000.00	...	26,730.93	Sale
	2,170.891	51,949.42	04/16/10	30,000.00	...	21,949.42	Sale
	106.163	2,540 48	06/28/10	1,325 97	...	1,214.51	Sale
	799.039	19,121.00	06/28/10	10,000.00	...	9,121.00	Sale
	2,874.281	68,781.55	07/06/10	35,000.00	...	33,781.55	Sale
	1,112.927	26,632.34	09/29/10	15,000.00	...	11,632.34	Sale
	362.182	8,667.02	10/15/10	5,000.00	...	3,667.02	Sale
	151.841	3,633.55	12/28/10	2,183.47	...	1,450.08	Sale
	157.623	3,771.92	06/29/11	2,406.90	...	1,365.02	Sale
	5,255.329	125,760.02	12/20/11	80,843.62	...	44,916.40	Sale
	3,002.145	71,841.33	11/11/14	70,000.00	...	1,841.33	Sale
07/12/16	23,943.454	572,966.85	Various	356,759.96	...	216,206.89	Total of 13 transactions
VANGUARD DIVIDEND APPRECIATION ETF / CUSIP: 921908844 / Symbol: VIG							
	14 transactions for 07/12/16						
	1,587.000	135,138.65	11/16/09	74,773.63	...	60,365.02	Sale
	213.000	18,137.71	12/28/09	10,093.22	...	8,044.49	Sale
	320.000	27,249.13	02/04/10	14,721.91	...	12,527.22	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
2016 **Proceeds Not Reported to the IRS** (continued) 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VANGUARD DIVIDEND APPRECIATION ETF / CUSIP: 921908844 / Symbol: VIG (cont'd)							
	100.000	8,515.35	03/03/10	4,767.02	...	3,748.33	Sale
	636.000	54,157.65	03/03/10	30,319.49	...	23,838.16	Sale
	503.000	42,832.22	04/16/10	25,033.20	...	17,799.02	Sale
	100.000	8,515.36	07/02/10	4,374.54	...	4,140.82	Sale
	146.000	12,432.41	07/02/10	6,386.68	...	6,045.73	Sale
	195.000	16,604.94	09/03/10	9,169.23	...	7,435.71	Sale
	200.000	17,030.71	09/03/10	9,404.33	...	7,626.38	Sale
	106.000	9,026.27	09/29/10	5,185.33	...	3,840.94	Sale
	200.000	17,030.71	09/29/10	9,783.65	...	7,247.06	Sale
	194.000	16,519.78	10/15/10	9,706.62	...	6,813.16	Sale
	141.000	12,006.65	11/19/10	7,147.43	...	4,859.22	Sale
07/12/16	4,641.000	395,197.54	Various	220,866.28	...	174,331.26	Total of 14 transactions
VANGUARD S&P 500 VALUE ETF / CUSIP: 921932703 / Symbol: VOOV							
05/19/16	170.000	14,732.83	04/07/14	14,087.90	...	644.93	Sale
	2 transactions for 11/21/16						
	998.000	94,750 68	04/07/14	82,796.95	...	11,953.73	Sale
	1,669.000	158,455.80	04/07/14	138,310.03	...	20,145.77	Sale
11/21/16	2,667.000	253,206.48	Various	221,106.98	...	32,099.50	Total of 2 transactions
	Security total:	267,939.31		235,194.88	...	32,744.43	
VANGUARD TOTAL STOCK MKT ETF / CUSIP: 922908769 / Symbol: VTI							
	14 transactions for 07/12/16						
	2,000.000	220,677.27	03/07/03	77,170.00	...	143,507.27	Sale
	193.000	21,295.35	11/05/08	9,438.70	...	11,856.65	Sale
	363.000	40,052.93	06/28/10	19,955.44	...	20,097.49	Sale
	48.000	5,296.25	08/13/10	2,646.89	...	2,649.36	Sale
	107.000	11,806.24	08/11/11	6,343.78	...	5,462.46	Sale

UBS FINANCIAL SERVICES INC. Account AX 77805
Proceeds Not Reported to the IRS
(continued)
2016 03/06/2017 CORRECTED

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VANGUARD TOTAL STOCK MKT ETF / CUSIP: 922908769 / Symbol: VTI (cont'd)							
	532.000	58,700.15	08/26/11	32,008.81	...	26,691.34	Sale
	180.000	19,860.95	03/31/14	17,536.05	...	2,324.90	Sale
	188.000	20,743.66	03/31/14	18,315.41	...	2,428.25	Sale
	200.000	22,067.73	03/31/14	19,482.10	...	2,585.63	Sale
	300.000	33,101.59	03/31/14	29,223.15	...	3,878.44	Sale
	300.000	33,101.59	03/31/14	29,223.15	...	3,878.44	Sale
	400.000	44,135.45	03/31/14	38,964.20	...	5,171.25	Sale
	400.000	44,135.46	03/31/14	38,964.20	...	5,171.26	Sale
	600.000	66,203.18	03/31/14	58,446.30	...	7,756 88	Sale
07/12/16	5,811.000	641,177.80	Various	397,718.18	...	243,459.62	Total of 14 transactions
	Totals:	8,102,246.59		6,309,254.68	81,102.36 W	1,874,094.27	

1 - 0		
1 - 1	Cost Basis	6,309,255.00 +
1 - 2	Wash Sale Adj	81,102.00 -
1 - T	Adjusted Basis	6,228,153.00 *