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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

|                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                      |                                                                                                                                                                              |                                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Name of foundation<br>THE JOHN AND MARGARET MCCARTY<br>FOUNDATION INC                                                                                                                                                                                                                                          |                                                                                                                                                                                                      | A Employer identification number<br>58-1867301                                                                                                                               |                                                         |
| Number and street (or P O box number if mail is not delivered to street address)<br>2660 S OCEAN BLVD APT 403W                                                                                                                                                                                                 |                                                                                                                                                                                                      | Room/suite                                                                                                                                                                   | B Telephone number (see instructions)<br>(404) 694-7971 |
| City or town, state or province, country, and ZIP or foreign postal code<br>PALM BEACH, FL 33480                                                                                                                                                                                                               |                                                                                                                                                                                                      | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |                                                         |
| G Check all that apply<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                                                                      | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |                                                         |
| H Check type of organization<br><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust<br><input type="checkbox"/> Other taxable private foundation                                                         |                                                                                                                                                                                                      | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |                                                         |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 9,010,384                                                                                                                                                                                                                 | J Accounting method<br><input type="checkbox"/> Cash<br><input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis ) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |                                                         |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) ) |                                                                                                                                              | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                             | 1 Contributions, gifts, grants, etc , received (attach schedule)                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 3 Interest on savings and temporary cash investments . . . . .                                                                               | 409                                | 409                       | 409                     |                                                             |
|                                                                                                                                                                     | 4 Dividends and interest from securities . . . . .                                                                                           | 185,884                            | 185,884                   | 185,884                 |                                                             |
|                                                                                                                                                                     | 5a Gross rents . . . . .                                                                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Net rental income or (loss) _____                                                                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 6a Net gain or (loss) from sale of assets not on line 10  | 37,445                             |                           |                         |                                                             |
|                                                                                                                                                                     | b Gross sales price for all assets on line 6a 4,542,478                                                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 7 Capital gain net income (from Part IV, line 2) . . . . .                                                                                   |                                    | 2,843                     |                         |                                                             |
|                                                                                                                                                                     | 8 Net short-term capital gain . . . . .                                                                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 9 Income modifications . . . . .                                                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 10a Gross sales less returns and allowances _____                                                                                            |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                               | b Less Cost of goods sold . . . . .                                                                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | c Gross profit or (loss) (attach schedule) . . . . .                                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 11 Other income (attach schedule) . . . . .                                                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 12 Total. Add lines 1 through 11 . . . . .                                                                                                   | 223,738                            | 189,136                   | 186,293                 |                                                             |
|                                                                                                                                                                     | 13 Compensation of officers, directors, trustees, etc                                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 14 Other employee salaries and wages . . . . .                                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 15 Pension plans, employee benefits . . . . .                                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 16a Legal fees (attach schedule) . . . . .                | 446                                | 446                       |                         |                                                             |
|                                                                                                                                                                     | b Accounting fees (attach schedule) . . . . .             | 6,525                              | 6,525                     |                         |                                                             |
|                                                                                                                                                                     | c Other professional fees (attach schedule) . . . . .                                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 17 Interest . . . . .                                                                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 18 Taxes (attach schedule) (see instructions) . . . . .   | 1,469                              | 1,469                     |                         |                                                             |
|                                                                                                                                                                     | 19 Depreciation (attach schedule) and depletion . . . . .                                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 20 Occupancy . . . . .                                                                                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 21 Travel, conferences, and meetings . . . . .                                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 22 Printing and publications . . . . .                                                                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 23 Other expenses (attach schedule) . . . . .             | 50,727                             | 50,727                    |                         |                                                             |
|                                                                                                                                                                     | 24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .                                                            | 59,167                             | 59,167                    |                         | 0                                                           |
|                                                                                                                                                                     | 25 Contributions, gifts, grants paid . . . . .                                                                                               | 1,318,445                          |                           |                         | 1,318,445                                                   |
|                                                                                                                                                                     | 26 Total expenses and disbursements. Add lines 24 and 25                                                                                     | 1,377,612                          | 59,167                    |                         | 1,318,445                                                   |
|                                                                                                                                                                     | 27 Subtract line 26 from line 12                                                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | a Excess of revenue over expenses and disbursements                                                                                          | -1,153,874                         |                           |                         |                                                             |
|                                                                                                                                                                     | b Net investment income (if negative, enter -0-)                                                                                             |                                    | 129,969                   |                         |                                                             |
| c Adjusted net income(if negative, enter -0-) . . . . .                                                                                                             |                                                                                                                                              |                                    |                           | 186,293                 |                                                             |

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

**Part III Analysis of Changes in Net Assets or Fund Balances**

|          |                                                                                                                                                          |          |            |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------|
| <b>1</b> | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | <b>1</b> | 8,453,753  |
| <b>2</b> | Enter amount from Part I, line 27a                                                                                                                       | <b>2</b> | -1,153,874 |
| <b>3</b> | Other increases not included in line 2 (itemize) ▶ _____                                                                                                 | <b>3</b> |            |
| <b>4</b> | Add lines 1, 2, and 3                                                                                                                                    | <b>4</b> | 7,299,879  |
| <b>5</b> | Decreases not included in line 2 (itemize) ▶ _____                                                                                                       | <b>5</b> | 1,202      |
| <b>6</b> | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | <b>6</b> | 7,298,677  |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a)<br>List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo , day , yr ) | (d)<br>Date sold<br>(mo , day , yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|------------------------------------------|--------------------------------------|
| <b>1a</b>                                                                                                                               |                                                 |                                          |                                      |
|                                                                                                                                         |                                                 |                                          |                                      |
|                                                                                                                                         |                                                 |                                          |                                      |
|                                                                                                                                         |                                                 |                                          |                                      |
|                                                                                                                                         |                                                 |                                          |                                      |

  

| (e)<br>Gross sales price | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |
|--------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| <b>a</b>                 |                                               |                                                    |                                                 |
| <b>b</b>                 |                                               |                                                    |                                                 |
| <b>c</b>                 |                                               |                                                    |                                                 |
| <b>d</b>                 |                                               |                                                    |                                                 |
| <b>e</b>                 |                                               |                                                    |                                                 |

  

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                         |                                                  | (l)<br>Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i)<br>F M V as of 12/31/69                                                                 | (j)<br>Adjusted basis<br>as of 12/31/69 | (k)<br>Excess of col (i)<br>over col (j), if any |                                                                                                 |
| <b>a</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>b</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>c</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>d</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>e</b>                                                                                    |                                         |                                                  |                                                                                                 |

  

|                                                                                                                                                                                                                                                |          |       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|
| <b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 }</span>                                                                 | <b>2</b> | 2,843 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 <span style="float: right;">{ }</span> | <b>3</b> |       |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a)<br>Base period years Calendar<br>year (or tax year beginning in)                                                                                                                   | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2015                                                                                                                                                                                   | 591,998                                  | 9,952,635                                    | 0 059482                                                  |
| 2014                                                                                                                                                                                   | 609,105                                  | 10,170,473                                   | 0 059890                                                  |
| 2013                                                                                                                                                                                   | 531,512                                  | 9,406,513                                    | 0 056505                                                  |
| 2012                                                                                                                                                                                   | 418,500                                  | 8,887,530                                    | 0 047088                                                  |
| 2011                                                                                                                                                                                   | 656,527                                  | 8,851,335                                    | 0 074173                                                  |
| <b>2 Total</b> of line 1, column (d)                                                                                                                                                   |                                          |                                              | <b>2</b> 0 297138                                         |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the<br>number of years the foundation has been in existence if less than 5 years |                                          |                                              | <b>3</b> 0 059428                                         |
| <b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                  |                                          |                                              | <b>4</b> 9,421,399                                        |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                     |                                          |                                              | <b>5</b> 559,895                                          |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                    |                                          |                                              | <b>6</b> 1,300                                            |
| <b>7</b> Add lines 5 and 6                                                                                                                                                             |                                          |                                              | <b>7</b> 561,195                                          |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                          |                                          |                                              | <b>8</b> 1,318,445                                        |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|           |                                                                                                                                                                                                                                   |           |       |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |           |       |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                              | <b>1</b>  | 1,300 |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                            |           |       |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | <b>2</b>  |       |
| <b>3</b>  | Add lines 1 and 2. . . . .                                                                                                                                                                                                        | <b>3</b>  | 1,300 |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       | <b>4</b>  |       |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                          | <b>5</b>  | 1,300 |
| <b>6</b>  | Credits/Payments                                                                                                                                                                                                                  |           |       |
| <b>a</b>  | 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                 | <b>6a</b> | 1,300 |
| <b>b</b>  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                                                                                                     | <b>6b</b> |       |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                     | <b>6c</b> |       |
| <b>d</b>  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                 | <b>6d</b> |       |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d. . . . .                                                                                                                                                                      | <b>7</b>  | 1,300 |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                          | <b>8</b>  |       |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶                                                                                                                           | <b>9</b>  |       |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶                                                                                                               | <b>10</b> |       |
| <b>11</b> | Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ▶ <b>Refunded</b> ▶                                                                                                                                       | <b>11</b> |       |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                  | Yes       | No  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                         | <b>1a</b> | No  |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | <b>1b</b> | No  |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                                   | <b>1c</b> | No  |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____                                                                                                                                                                             |           |     |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____                                                                                                                                                                                                         |           |     |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br><i>If "Yes," attach a detailed description of the activities</i>                                                                                                                                                                           | <b>2</b>  | No  |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .                                                                                                             | <b>3</b>  | No  |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                  | <b>4a</b> | No  |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                                       | <b>4b</b> |     |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br><i>If "Yes," attach the statement required by General Instruction T</i>                                                                                                                                                                     | <b>5</b>  | No  |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .             | <b>6</b>  | Yes |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .                                                                                                                                                                                                       | <b>7</b>  | Yes |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶ GA _____                                                                                                                                                                                                                                       |           |     |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .                                                                                                                                    | <b>8b</b> | Yes |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)?<br><i>If "Yes," complete Part XIV</i> . . . . .                                                                                | <b>9</b>  | No  |
| <b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .                                                                                                                                                                                                    | <b>10</b> | No  |

**Part VII-A Statements Regarding Activities** (continued)

|           |                                                                                                                                                                                          |           |            |           |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>11</b> | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | <b>11</b> |            | <b>No</b> |
| <b>12</b> | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | <b>12</b> |            | <b>No</b> |
| <b>13</b> | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>►</b> N/A                                      | <b>13</b> | <b>Yes</b> |           |
| <b>14</b> | The books are in care of <b>►</b> WILLIAM H CHENEY SR Telephone no <b>►</b> (404) 694-7971                                                                                               |           |            |           |

Located at **►** 2660 S OCEAN BLVD APT 403W PALM BEACH FL ZIP+4 **►** 33480

|           |                                                                                                                                                                                                                                                                                                                                                                                          |           |            |           |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>15</b> | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>►</b> <b>15</b>                                                                                                                             |           |            |           |
| <b>16</b> | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>►</b> | <b>16</b> | <b>Yes</b> | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |           |            |           |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>1a</b> | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                                       |           | <b>Yes</b> | <b>No</b> |
|           | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                               |           |            |           |
|           | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |           |            |           |
|           | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                           |           |            |           |
|           | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                 |           |            |           |
|           | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                        |           |            |           |
|           | (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                              |           |            |           |
| <b>b</b>  | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/><br>Organizations relying on a current notice regarding disaster assistance check here. <b>►</b> <input type="checkbox"/>                                                                                                                                            | <b>1b</b> |            |           |
| <b>c</b>  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>                                                                                                                                                                                                                                                                                                         | <b>1c</b> |            |           |
| <b>2</b>  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                                            |           |            |           |
| <b>a</b>  | At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____                                                                                                                                                                                                                                |           |            |           |
| <b>b</b>  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>                                                                                                                                                                           | <b>2b</b> |            |           |
| <b>c</b>  | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                           |           |            |           |
| <b>3a</b> | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                           |           |            |           |
| <b>b</b>  | If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> | <b>3b</b> |            |           |
| <b>4a</b> | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                          | <b>4a</b> |            | <b>No</b> |
| <b>b</b>  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                        | <b>4b</b> |            | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |           |  |           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|-----------|
| <b>5a</b> During the year did the foundation pay or incur any amount to<br><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> |           |  |           |
| <b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . <span style="float:right;"><input type="checkbox"/></span><br>Organizations relying on a current notice regarding disaster assistance check here. . . . . <span style="float:right;"><input type="checkbox"/></span>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>5b</b> |  |           |
| <b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span><br><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |           |  |           |
| <b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><i>If "Yes" to 6b, file Form 8870</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>6b</b> |  | <b>No</b> |
| <b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span><br><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>7b</b> |  |           |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

| <b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>                     |                                                              |                                           |                                                                       |                                       |
|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| (a) Name and address                                                                                                                | Title, and average hours per week<br>(b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
| WILLIAM H CHENEY SR<br>2660 S OCEAN BLVD 403W<br>PALM BEACH, FL 33480                                                               | PRESIDENT<br>000 00                                          | 0                                         | 0                                                                     | 0                                     |
| <b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b> |                                                              |                                           |                                                                       |                                       |
| (a)<br>Name and address of each employee paid more than \$50,000                                                                    | Title, and average hours per week<br>(b) devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
| NONE                                                                                                                                |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                              |                                           |                                                                       |                                       |
| <b>Total number of other employees paid over \$50,000.</b> . . . . . <span style="float:right;"><input type="checkbox"/></span>     |                                                              |                                           |                                                                       |                                       |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| (a) Name and address of each person paid more than \$50,000                         | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                                |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . . ▶ |                     |                  |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>1</b>                                                                                                                                                                                                                                               |          |
| <b>2</b>                                                                                                                                                                                                                                               |          |
| <b>3</b>                                                                                                                                                                                                                                               |          |
| <b>4</b>                                                                                                                                                                                                                                               |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| <b>1</b> N/A                                                                                                     |        |
| <b>2</b>                                                                                                         |        |
| All other program-related investments. See instructions                                                          |        |
| <b>3</b>                                                                                                         |        |
| Total. Add lines 1 through 3 . . . . . ▶                                                                         |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                              |           |           |
|----------|--------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes   |           |           |
| <b>a</b> | Average monthly fair market value of securities.                                                             | <b>1a</b> | 9,320,325 |
| <b>b</b> | Average of monthly cash balances.                                                                            | <b>1b</b> | 242,443   |
| <b>c</b> | Fair market value of all other assets (see instructions).                                                    | <b>1c</b> | 2,104     |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c).                                                                       | <b>1d</b> | 9,564,872 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).   | <b>1e</b> |           |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets.                                                        | <b>2</b>  |           |
| <b>3</b> | Subtract line 2 from line 1d.                                                                                | <b>3</b>  | 9,564,872 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).   | <b>4</b>  | 143,473   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4. | <b>5</b>  | 9,421,399 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5.                                                        | <b>6</b>  | 471,070   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                            |           |         |
|-----------|------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6.                                                             | <b>1</b>  | 471,070 |
| <b>2a</b> | Tax on investment income for 2016 from Part VI, line 5.                                                    | <b>2a</b> | 1,300   |
| <b>b</b>  | Income tax for 2016 (This does not include the tax from Part VI).                                          | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b.                                                                                       | <b>2c</b> | 1,300   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1.                                     | <b>3</b>  | 469,770 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions.                                                 | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4.                                                                                         | <b>5</b>  | 469,770 |
| <b>6</b>  | Deduction from distributable amount (see instructions).                                                    | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | <b>7</b>  | 469,770 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                       |           |           |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                             |           |           |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.                                                                          | <b>1a</b> | 1,318,445 |
| <b>b</b> | Program-related investments—total from Part IX-B.                                                                                                     | <b>1b</b> |           |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.                                            | <b>2</b>  |           |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                   |           |           |
| <b>a</b> | Suitability test (prior IRS approval required).                                                                                                       | <b>3a</b> |           |
| <b>b</b> | Cash distribution test (attach the required schedule).                                                                                                | <b>3b</b> |           |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.                                    | <b>4</b>  | 1,318,445 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions). | <b>5</b>  | 1,300     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.                                                                                | <b>6</b>  | 1,317,145 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7                                                                                                                                |               |                            |             | 469,770     |
| <b>2</b> Undistributed income, if any, as of the end of 2016                                                                                                                               |               |                            |             |             |
| <b>a</b> Enter amount for 2015 only. . . . .                                                                                                                                               |               |                            |             |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                                      |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2016                                                                                                                                   |               |                            |             |             |
| <b>a</b> From 2011. . . . . 221,156                                                                                                                                                        |               |                            |             |             |
| <b>b</b> From 2012. . . . .                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2013. . . . . 65,162                                                                                                                                                         |               |                            |             |             |
| <b>d</b> From 2014. . . . . 103,171                                                                                                                                                        |               |                            |             |             |
| <b>e</b> From 2015. . . . . 96,770                                                                                                                                                         |               |                            |             |             |
| <b>f</b> <b>Total</b> of lines 3a through e. . . . .                                                                                                                                       | 486,259       |                            |             |             |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,318,445</u>                                                                                                     |               |                            |             |             |
| <b>a</b> Applied to 2015, but not more than line 2a                                                                                                                                        |               |                            |             |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              |               |                            |             |             |
| <b>d</b> Applied to 2016 distributable amount. . . . .                                                                                                                                     |               |                            |             | 469,770     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                                        | 848,675       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2016<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                              |               |                            |             |             |
| <b>6</b> <b>Enter the net total of each column as indicated below:</b>                                                                                                                     |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   | 1,334,934     |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                         |               |                            |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .                                                                                                           |               |                            |             |             |
| <b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .                                                                             |               |                            |             |             |
| <b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .                                                               |               |                            |             | 0           |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .                                                                              | 221,156       |                            |             |             |
| <b>9</b> <b>Excess distributions carryover to 2017.</b><br>Subtract lines 7 and 8 from line 6a . . . . .                                                                                   | 1,113,778     |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| <b>a</b> Excess from 2012. . . . .                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2013. . . . . 65,162                                                                                                                                                  |               |                            |             |             |
| <b>c</b> Excess from 2014. . . . . 103,171                                                                                                                                                 |               |                            |             |             |
| <b>d</b> Excess from 2015. . . . . 96,770                                                                                                                                                  |               |                            |             |             |
| <b>e</b> Excess from 2016. . . . . 848,675                                                                                                                                                 |               |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                                    | Tax year | Prior 3 years |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2016 | (b) 2015      | (c) 2014 | (d) 2013 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                      |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                 |          |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter                                                                                                                           |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                               |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .                                |          |               |          |          |           |
| <b>c</b> "Support" alternative test—enter                                                                                                                          |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                       |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization                                                                                                   |          |               |          |          |           |
| <b>(4)</b> Gross investment income                                                                                                                                 |          |               |          |          |           |

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

◀ | ▶

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
WILLIAM CHENEY SR  
2660 S OCEAN BLVD 403W  
PALM BEACH, FL 33480  
(404) 694-7971  
FRANCESCHENEY@ME.COM

**b** The form in which applications should be submitted and information and materials they should include  
LETTER FORM, SHOULD INCLUDE A 501(C)(3) LETTER OR EXPLANATION OF PURPOSE

**c** Any submission deadlines  
NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
NONE

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                         | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
|-------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business)                               |                                                                                                                    |                                      |                                     |           |
| <b>a</b> <i>Paid during the year</i><br>See Additional Data Table |                                                                                                                    |                                      |                                     |           |
| <b>Total</b> . . . . .                                            |                                                                                                                    |                                      | <b>3a</b>                           | 1,318,445 |
| <b>b</b> <i>Approved for future payment</i>                       |                                                                                                                    |                                      |                                     |           |
| <b>Total</b> . . . . .                                            |                                                                                                                    |                                      | <b>3b</b>                           |           |

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated                                 | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions ) |
|--------------------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
|                                                                                | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                    |
| <b>1</b> Program service revenue                                               |                           |               |                                      |               |                                                                    |
| <b>a</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>b</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>c</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>d</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>e</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>f</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>g</b> Fees and contracts from government agencies                           |                           |               |                                      |               |                                                                    |
| <b>2</b> Membership dues and assessments. . . .                                |                           |               |                                      |               |                                                                    |
| <b>3</b> Interest on savings and temporary cash<br>investments . . . . .       |                           |               |                                      |               | 409                                                                |
| <b>4</b> Dividends and interest from securities. . . .                         |                           |               |                                      |               | 185,884                                                            |
| <b>5</b> Net rental income or (loss) from real estate                          |                           |               |                                      |               |                                                                    |
| <b>a</b> Debt-financed property. . . . .                                       |                           |               |                                      |               |                                                                    |
| <b>b</b> Not debt-financed property. . . . .                                   |                           |               |                                      |               |                                                                    |
| <b>6</b> Net rental income or (loss) from personal property                    |                           |               |                                      |               |                                                                    |
| <b>7</b> Other investment income. . . . .                                      |                           |               |                                      |               |                                                                    |
| <b>8</b> Gain or (loss) from sales of assets other than<br>inventory . . . . . |                           |               |                                      |               | 37,445                                                             |
| <b>9</b> Net income or (loss) from special events                              |                           |               |                                      |               |                                                                    |
| <b>10</b> Gross profit or (loss) from sales of inventory                       |                           |               |                                      |               |                                                                    |
| <b>11</b> Other revenue <b>a</b> _____                                         |                           |               |                                      |               |                                                                    |
| <b>b</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>c</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>d</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>e</b> _____                                                                 |                           |               |                                      |               |                                                                    |
| <b>12</b> Subtotal Add columns (b), (d), and (e). .                            |                           |               |                                      |               | 223,738                                                            |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .               |                           |               |                                      |               | 223,738                                                            |

(See worksheet in line 13 instructions to verify calculations )

| Part XVI-B | Relationship of Activities to the Accomplishment of Exempt Purposes |
|------------|---------------------------------------------------------------------|
|------------|---------------------------------------------------------------------|

[illegible]

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |                                                                                                                                                                                                                                                                                                                                                                                                                    |  |              |           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------|-----------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                       |  | <b>Yes</b>   | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                                         |  |              |           |
| <b>(1)</b> Cash.                                                                                                                                                                                                                                                                                                                                                                                                   |  | <b>1a(1)</b> | <b>No</b> |
| <b>(2)</b> Other assets.                                                                                                                                                                                                                                                                                                                                                                                           |  | <b>1a(2)</b> | <b>No</b> |
| <b>b</b> Other transactions                                                                                                                                                                                                                                                                                                                                                                                        |  |              |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization.                                                                                                                                                                                                                                                                                                                                                 |  | <b>1b(1)</b> | <b>No</b> |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization.                                                                                                                                                                                                                                                                                                                                           |  | <b>1b(2)</b> | <b>No</b> |
| <b>(3)</b> Rental of facilities, equipment, or other assets.                                                                                                                                                                                                                                                                                                                                                       |  | <b>1b(3)</b> | <b>No</b> |
| <b>(4)</b> Reimbursement arrangements.                                                                                                                                                                                                                                                                                                                                                                             |  | <b>1b(4)</b> | <b>No</b> |
| <b>(5)</b> Loans or loan guarantees.                                                                                                                                                                                                                                                                                                                                                                               |  | <b>1b(5)</b> | <b>No</b> |
| <b>(6)</b> Performance of services or membership or fundraising solicitations.                                                                                                                                                                                                                                                                                                                                     |  | <b>1b(6)</b> | <b>No</b> |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.                                                                                                                                                                                                                                                                                                                         |  | <b>1c</b>    | <b>No</b> |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received |  |              |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule |                          |                                 |
|---------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                    | (b) Type of organization | (c) Description of relationship |
|                                             |                          |                                 |
|                                             |                          |                                 |
|                                             |                          |                                 |
|                                             |                          |                                 |

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

|                                 |            |       |
|---------------------------------|------------|-------|
| *****                           | 2017-05-11 | ***** |
| Signature of officer or trustee | Date       | Title |

May the IRS discuss this return with the preparer shown below  
 (see instr.)? ☐ Yes ☐ No

|                                       |                                                             |                      |            |                                                 |                         |
|---------------------------------------|-------------------------------------------------------------|----------------------|------------|-------------------------------------------------|-------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name                                  | Preparer's Signature | Date       | Check if self-employed <input type="checkbox"/> | PTIN                    |
|                                       | GORDON MOREHOUSE                                            |                      | 2017-05-11 |                                                 | P01272726               |
|                                       | Firm's name ► MOREHOUSE GROUP                               |                      |            |                                                 | Firm's EIN ► 58-1713263 |
|                                       | Firm's address ► 507 S THORNTON AVE<br>DALTON, GA 307208286 |                      |            |                                                 | Phone no (706) 278-2713 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount    |
|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-----------|
| Name and address (home or business)                                                        |                                                                                                           |                                |                                  |           |
| a Paid during the year                                                                     |                                                                                                           |                                |                                  |           |
| VISITING NURSE HEALTH SYSTEM<br>5775 GLENRIDGE DRIVE NE<br>SUITE E200<br>ATLANTA, GA 30328 |                                                                                                           | 501(C)(3)                      | ALZHEIMER'S PROGRAM              | 200,000   |
| MISSIONARY MINISTRIES<br>4061 58 AVE NORTH LOT 309<br>ST PETERSBURG, FL 337141143          |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 60,000    |
| WESTERN SEMINARY<br>5511 S E HAWTHORNE BLVD<br>PORTLAND, OR 97215                          |                                                                                                           | 501(C)(3)                      | SCHOLARSHIP                      | 1,250     |
| BOYS & GIRLS CLUB OF MURRAY COUNTY<br>1000 GREEN RD<br>CHATSWORTH,GA 30705                 |                                                                                                           | 501(C)(3)                      | BUILDING PURCHASE CAMPAIGN       | 10,000    |
| MARION MILITARY INSTITUTE<br>1101 WASHINGTON ST<br>MARION, AL 36756                        |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 1,000,000 |
| LARRY PENLEY GOLF<br>CLEMSON UNIVERSITY<br>101 CALHOUN DR<br>CLEMSON, SC 29634             |                                                                                                           | 501(C)(3)                      | GOLF CAMP SCHOLARSHIP            | 1,045     |
| HABITAT FOR HUMANITY ATLANTA<br>824 MEMORIAL DR SE<br>ATLANTA, GA 30316                    |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 5,000     |
| WASHINGTON DC HUMANE SOCIETY<br>1201 NEW YORK AVE NE<br>WASHINGTON, DC 20002               |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 1,000     |
| RABUN GAP NACOOCHEE SCHOOLS<br>339 NACOOCHEE DR<br>RABUN GAP, GA 30568                     |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 15,000    |
| HIGHLANDS-CASHIERS HOSPITAL<br>190 HOSPITAL DR<br>HIGHLANDS, NC 28741                      |                                                                                                           | 501(C)(3)                      | GREATEST NEED                    | 25,000    |
| OCCIDENTAL COLLEGE<br>1600 CAMPUS RD<br>LOS ANGELES, CA 90041                              |                                                                                                           | 501(C)(3)                      | ANNUAL FUND                      | 150       |
| Total . . . . . ►<br>3a                                                                    |                                                                                                           |                                |                                  | 1,318,445 |

**TY 2016 Accounting Fees Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Category        | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|-----------------|--------|--------------------------|------------------------|---------------------------------------------|
| MOREHOUSE GROUP | 6,525  | 6,525                    |                        |                                             |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2016 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Name                         | Date<br>Acquired | How<br>Acquired | Date<br>Sold | Purchaser<br>Name | Gross Sales<br>Price | Basis     | Basis<br>Method | Sales<br>Expenses | Total<br>(net) | Accumulated<br>Depreciation |
|------------------------------|------------------|-----------------|--------------|-------------------|----------------------|-----------|-----------------|-------------------|----------------|-----------------------------|
| SEE ATTACHED - BNY<br>MELLON |                  | PURCHASE        |              |                   | 1,907,445            | 1,946,517 |                 |                   | -39,072        |                             |
| SEE ATTACHED - BNY<br>MELLON |                  | PURCHASE        |              |                   | 2,632,190            | 2,558,516 |                 |                   | 73,674         |                             |

**TY 2016 Investments Corporate Stock Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Name of Stock    | End of Year Book Value | End of Year Fair Market Value |
|------------------|------------------------|-------------------------------|
| CORPORATE STOCKS | 6,962,562              | 8,670,118                     |

**TY 2016 Legal Fees Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Category                  | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|---------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| ABRAMS DAVIS MASON & LONG | 446    | 446                      |                        |                                             |

## TY 2016 Other Assets Schedule

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

### Other Assets Schedule

| Description                  | Beginning of Year -<br>Book Value | End of Year - Book<br>Value | End of Year - Fair<br>Market Value |
|------------------------------|-----------------------------------|-----------------------------|------------------------------------|
| PREPAID FEDERAL INCOME TAXES | 1,300                             | 1,300                       | 1,300                              |

**TY 2016 Other Decreases Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Description                | Amount |
|----------------------------|--------|
| FEDERAL INCOME TAX EXPENSE | 1,202  |

**TY 2016 Other Expenses Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

**Other Expenses Schedule**

| Description  | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| EXPENSES     |                                      |                          |                        |                                             |
| ACCOUNT FEES | 50,727                               | 50,727                   |                        |                                             |

**TY 2016 Taxes Schedule**

**Name:** THE JOHN AND MARGARET MCCARTY  
FOUNDATION INC

**EIN:** 58-1867301

| Category             | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|----------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAX WITHHELD | 1,469  | 1,469                    |                        |                                             |



## 2016 Tax Information

**Account Number 10593017030**

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JOHN AND MARGARET MC CARTY FDN-IMA

## Income for 2016

A schedule of your current year sales is shown below. The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (Included in Net G/L)". For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (Included in Net G/L)".

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor.

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation.

† - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure

\* - Tax Cost has been adjusted for current year's return of capital

**◇ - Section 988 translation gains or losses**

## **Short Term Transactions**

| DESCRIPTION             | Date sold or disposed | Date acquired | Units  | CUSIP      |                     |           | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-------------------------|-----------------------|---------------|--------|------------|---------------------|-----------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                         |                       |               |        | Proceeds   | Cost or other basis |           |               |                         |                           |                                                                               |                                                    |
| AT&T INC                |                       |               |        |            |                     |           |               |                         |                           |                                                                               |                                                    |
|                         |                       |               |        | 00206R102  |                     |           |               |                         |                           |                                                                               |                                                    |
|                         | 11/01/2016            | 06/08/2016    | 40.00  | \$1,455.65 | \$1,594.33          | \$-138.68 | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
|                         | 11/02/2016            | 06/08/2016    | 240.00 | \$8,741.10 | \$9,585.97          | \$-824.87 | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
|                         | 11/04/2016            | 06/08/2016    | 80.00  | \$3,295.91 | \$3,587.24          | \$-291.33 | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| ABBOTT LABORATORIES     |                       |               |        | 002824100  |                     |           |               |                         |                           |                                                                               |                                                    |
|                         | 11/01/2016            | 04/15/2016    | 10.00  | \$387.43   | \$434.28            | \$-46.85  | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
|                         | 11/02/2016            | 04/15/2016    | 50.00  | \$1,944.40 | \$2,171.40          | \$-227.00 | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| ACTIVISION BLIZZARD INC |                       |               |        | 00507V109  |                     |           |               |                         |                           |                                                                               |                                                    |
|                         | 02/03/2016            | 10/13/2015    | 240.00 | \$7,890.52 | \$7,912.99          | \$-22.47  | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION              | CUSIP                 |               |             |             |                     |               | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |                         |
|--------------------------|-----------------------|---------------|-------------|-------------|---------------------|---------------|-------------------------------------------------------------------------------|----------------------------------------------------|-------------------------|
|                          | Date sold or disposed | Date acquired | Units       | Proceeds    | Cost or other basis | Net Gain/Loss |                                                                               |                                                    | Accrued market discount |
| ACTIVISION BLIZZARD INC  |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 00507V109                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 02/04/2016               | 10/09/2015            | 700.00        | \$22,273.79 | \$22,416.31 | \$-142.52           | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 02/04/2016               | 10/13/2015            | 180.00        | \$5,727.55  | \$5,934.75  | \$-207.20           | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| ADOBE SYS INC COM        |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 00724F101                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 07/12/2016               | 03/30/2016            | 10.00         | \$975.06    | \$939.04    | \$38.02             | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 07/12/2016               | 03/31/2016            | 40.00         | \$3,900.23  | \$3,751.06  | \$149.17            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 07/12/2016               | 04/15/2016            | 60.00         | \$5,850.34  | \$5,701.68  | \$148.66            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 09/30/2016               | 03/31/2016            | 90.00         | \$9,723.25  | \$8,439.89  | \$1,283.36          | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| ADVANSIX INC             |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 00773T101                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 10/08/2016               | 04/15/2016            | 2.08          | \$29.08     | \$31.80     | \$-2.72             | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| AETNA INC                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 00817Y108                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 11/01/2016               | 04/15/2016            | 7.00          | \$737.16    | \$768.53    | \$-31.37            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/02/2016               | 01/13/2016            | 25.00         | \$2,649.99  | \$2,640.52  | \$9.47              | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/02/2016               | 04/15/2016            | 21.00         | \$2,225.99  | \$2,305.58  | \$-79.59            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/04/2016               | 01/13/2016            | 464.00        | \$49,443.83 | \$49,008.10 | \$435.73            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| AGILENT TECHNOLOGIES INC |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 00846U101                |                       |               |             |             |                     |               |                                                                               |                                                    |                         |
| 10/08/2016               | 12/09/2015            | 210.00        | \$9,905.61  | \$8,666.15  | \$1,239.46          | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 10/18/2016               | 12/09/2015            | 380.00        | \$17,284.08 | \$15,681.61 | \$1,582.47          | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/01/2016               | 12/09/2015            | 20.00         | \$864.02    | \$825.35    | \$38.67             | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/02/2016               | 12/09/2015            | 90.00         | \$3,908.35  | \$3,714.07  | \$194.28            | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |
| 11/04/2016               | 12/09/2015            | 30.00         | \$1,330.53  | \$1,238.02  | \$92.51             | \$0.00        | \$0.00                                                                        | \$0.00                                             | \$0.00                  |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION                        | DATE SOLD OR<br>DISPOSED | DATE ACQUIRED | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCUMULATED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|------------------------------------|--------------------------|---------------|--------|-------------|------------------------|------------------|-----------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>ALEXION PHARMACEUTICALS INC</b> |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 11/04/2016               | 11/04/2016    | 13.00  | \$1,782.94  | \$1,775.72             | \$7.22           | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ALPHABET INC/CA</b>             |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 11/01/2016               | 09/23/2016    | 5.00   | \$4,000.57  | \$4,074.89             | \$-74.32         | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 11/02/2016               | 08/02/2016    | 14.00  | \$11,047.61 | \$11,158.08            | \$-110.47        | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 11/02/2016               | 09/23/2016    | 16.00  | \$12,625.83 | \$13,039.68            | \$-413.83        | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 11/04/2016               | 08/02/2016    | 11.00  | \$8,608.98  | \$8,767.07             | \$-158.09        | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 12/02/2016               | 04/15/2016    | 18.00  | \$13,726.88 | \$14,048.78            | \$-321.90        | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>AMERICAN TOWER CORP-CL A</b>    |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 11/01/2016               | 04/15/2016    | 9.00   | \$1,035.90  | \$951.64               | \$84.26          | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 11/02/2016               | 04/15/2016    | 26.00  | \$2,939.02  | \$2,749.19             | \$189.83         | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>AMGEN INC</b>                   |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 07/08/2016               | 10/12/2015    | 87.00  | \$13,858.42 | \$13,377.80            | \$480.62         | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 07/08/2016               | 04/15/2016    | 16.00  | \$2,548.67  | \$2,554.69             | \$-6.02          | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ANACOR PHARMACEUTICALS INC</b>  |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 05/26/2016               | 07/15/2015    | 254.00 | \$25,306.21 | \$33,973.31            | \$-8,667.10      | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 05/26/2016               | 04/15/2016    | 10.00  | \$996.31    | \$851.08               | \$345.23         | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ANALOG DEVICES INC</b>          |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 01/06/2016               | 10/21/2015    | 383.00 | \$19,795.65 | \$23,701.42            | \$-3,905.77      | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                                    | 01/07/2016               | 10/21/2015    | 707.00 | \$35,814.78 | \$43,751.70            | \$-7,936.94      | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>APPLE COMPUTER INC COM</b>      |                          |               |        |             |                        |                  |                                   |                              |                                                                                           |                                                             |
|                                    | 08/08/2016               | 03/30/2016    | 82.00  | \$8,108.13  | \$8,980.98             | \$-872.85        | \$0.00                            | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION                           | DATE ACQUIRED | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|---------------------------------------|---------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| CUSIP 037833100                       |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| APPLE COMPUTER INC COM                |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 06/08/2016                            | 03/31/2016    | 85.00  | \$8,404.77  | \$9,298.85             | \$-894.08        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 06/08/2016                            | 04/15/2016    | 84.00  | \$8,305.89  | \$9,235.83             | \$-929.74        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| BIOGEN IDEC INC 06082X103             |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/20/2016                            | 08/04/2015    | 16.00  | \$4,226.71  | \$5,280.07             | \$-1,053.36      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/19/2016                            | 04/15/2016    | 9.00   | \$2,566.72  | \$2,413.06             | \$153.66         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| BRISTOL MYERS SQUIBB CO COM 110122108 |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                            | 04/15/2016    | 10.00  | \$505.51    | \$680.68               | \$-175.17        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                            | 01/20/2016    | 50.00  | \$2,533.14  | \$3,131.93             | \$-598.79        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                            | 04/15/2016    | 40.00  | \$2,026.52  | \$2,722.72             | \$-696.20        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                            | 01/20/2016    | 30.00  | \$1,532.27  | \$1,879.16             | \$-346.89        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CVS CORP 126650100                    |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 05/28/2016                            | 08/25/2015    | 400.00 | \$38,800.55 | \$41,347.48            | \$-2,546.93      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/11/2016                            | 08/25/2015    | 160.00 | \$15,527.57 | \$16,538.98            | \$-1,011.42      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/11/2016                            | 08/22/2015    | 179.00 | \$17,371.46 | \$17,878.81            | \$-507.35        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/11/2016                            | 04/15/2016    | 44.00  | \$4,270.06  | \$4,502.43             | \$-232.36        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CAPITAL ONE FINL CORP COM 14040H105   |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 10/08/2016                            | 04/15/2016    | 70.00  | \$5,099.53  | \$4,985.26             | \$114.27         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CARDINAL HEALTH INC COM 14149Y108     |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 08/14/2016                            | 04/15/2016    | 40.00  | \$3,013.57  | \$3,372.32             | \$-358.75        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CELANESE CORP DEL 160870103           |               |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                            | 04/15/2016    | 10.00  | \$719.80    | \$695.08               | \$24.72          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



## 2016 Tax Information

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JOHN AND MARGARET MC CARTY FDN-IMA

## Short Term Transactions

| DESCRIPTION                    | CUSIP                 |               |             |             | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------------|-----------------------|---------------|-------------|-------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                                | Date sold or disposed | Date acquired | Units       | Proceeds    |                         |                           |                                                                               |                                                    |
| CELANESE CORP DEL              |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 11/02/2016                     | 04/15/2016            | 50.00         | \$3,610.52  | \$3,475.40  | \$135.12                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| CELGENE CORP                   |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 11/01/2016                     | 08/11/2016            | 5.00          | \$513.00    | \$568.94    | \$-55.94                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 08/11/2016            | 38.00         | \$3,923.11  | \$4,323.95  | \$-400.84               | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                     | 08/11/2016            | 12.00         | \$1,244.93  | \$1,365.46  | \$-120.53               | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| CHEESECAKE FACTORY INC         |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 04/18/2016                     | 04/15/2016            | 50.00         | \$2,560.51  | \$2,574.65  | \$-14.14                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| CHEVRONTXACO CORP              |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 07/27/2016                     | 03/03/2016            | 106.00        | \$10,832.00 | \$9,198.10  | \$1,632.90              | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/27/2016                     | 04/15/2016            | 60.00         | \$6,131.32  | \$5,835.48  | \$295.84                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016                     | 03/03/2016            | 7.00          | \$743.53    | \$607.49    | \$136.04                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 03/03/2016            | 59.00         | \$6,230.22  | \$5,120.26  | \$1,109.96              | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                     | 03/03/2016            | 20.00         | \$2,094.99  | \$1,735.68  | \$359.31                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| CITIZENS FINANCIAL GROUP INC   |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 11/01/2016                     | 04/15/2016            | 40.00         | \$1,053.26  | \$901.92    | \$151.34                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 01/19/2016            | 180.00        | \$4,693.75  | \$4,010.81  | \$682.94                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 04/15/2016            | 120.00        | \$3,129.17  | \$2,705.76  | \$423.41                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                     | 01/19/2016            | 100.00        | \$2,656.64  | \$2,228.23  | \$428.41                | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| COGNIZANT TECHNOLOGY SOLUTIONS |                       |               |             |             |                         |                           |                                                                               |                                                    |
| 08/27/2016                     | 12/01/2015            | 510.00        | \$28,328.41 | \$33,203.14 | \$-4,874.73             | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 08/27/2016                     | 12/09/2015            | 70.00         | \$3,888.21  | \$4,217.72  | \$-329.51               | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION                    | CUSIP         |        |             |                        |                  |                               | Portion Subject to<br>Ordinary Rates<br>Including Section<br>988 (included in<br>Net G/L) | Portion Subject<br>to 28% Rates<br>(included in<br>Net G/L) |
|--------------------------------|---------------|--------|-------------|------------------------|------------------|-------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Date sold or<br>disposed       | Date acquired | Units  | Proceeds    | Cost or<br>other basis | Net<br>Gain/Loss | Accrued<br>market<br>discount | Wash sale loss<br>disallowed                                                              |                                                             |
| COGNIZANT TECHNOLOGY SOLUTIONS |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 192446102                      |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 08/27/2016                     | 04/15/2016    | 70.00  | \$3,888.21  | \$4,222.84             | \$-334.63        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 08/30/2016                     | 12/09/2015    | 280.00 | \$15,979.03 | \$16,870.87            | \$-891.84        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 08/30/2016                     | 12/14/2015    | 300.00 | \$17,120.39 | \$17,827.53            | \$-707.14        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/01/2016                     | 09/30/2016    | 10.00  | \$512.91    | \$481.32               | \$31.59          | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/02/2016                     | 09/30/2016    | 40.00  | \$2,056.03  | \$1,925.27             | \$130.76         | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/04/2016                     | 09/30/2016    | 10.00  | \$520.30    | \$481.32               | \$38.98          | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/28/2016                     | 09/30/2016    | 380.00 | \$21,640.68 | \$18,280.04            | \$3,350.64       | \$0.00                        | \$0.00                                                                                    |                                                             |
| COMCAST CORP NEW CL A          |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 20030N101                      |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 01/07/2016                     | 07/08/2015    | 30.00  | \$1,655.10  | \$1,876.80             | \$-221.70        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 01/07/2016                     | 07/27/2015    | 280.00 | \$15,999.29 | \$17,884.15            | \$-1,884.86      | \$0.00                        | \$0.00                                                                                    |                                                             |
| 01/13/2016                     | 07/27/2015    | 60.00  | \$3,226.74  | \$3,698.03             | \$-467.29        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 08/19/2016                     | 04/15/2016    | 10.00  | \$665.13    | \$622.18               | \$42.95          | \$0.00                        | \$0.00                                                                                    |                                                             |
| 08/19/2016                     | 07/19/2016    | 280.00 | \$19,288.63 | \$19,484.13            | \$-175.50        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 08/02/2016                     | 04/15/2016    | 60.00  | \$3,961.67  | \$3,733.08             | \$228.59         | \$0.00                        | \$0.00                                                                                    |                                                             |
| CONSTELLATION BRANDS INC       |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 21036P108                      |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 11/01/2016                     | 04/15/2016    | 5.00   | \$815.78    | \$782.19               | \$33.59          | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/02/2016                     | 04/15/2016    | 19.00  | \$3,114.49  | \$2,972.32             | \$142.17         | \$0.00                        | \$0.00                                                                                    |                                                             |
| COSTCO WHSL CORP NEW           |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 22160K106                      |               |        |             |                        |                  |                               |                                                                                           |                                                             |
| 11/01/2016                     | 12/04/2015    | 5.00   | \$732.64    | \$634.24               | \$-101.40        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/02/2016                     | 12/04/2015    | 43.00  | \$6,294.08  | \$7,174.48             | \$-880.40        | \$0.00                        | \$0.00                                                                                    |                                                             |
| 11/04/2016                     | 12/04/2015    | 14.00  | \$1,999.31  | \$2,335.88             | \$-336.57        | \$0.00                        | \$0.00                                                                                    |                                                             |



**Account Number 10593017030**

**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| DESCRIPTION                       | CUSIP                 |               |             |             |                     | Wash sale loss disallowed | Accrued market discount | Net Gain/Loss | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------------------|-----------------------|---------------|-------------|-------------|---------------------|---------------------------|-------------------------|---------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                                   | Date sold or disposed | Date acquired | Units       | Proceeds    | Cost or other basis |                           |                         |               |                                                                               |                                                    |
| DEVON ENERGY CORPORATION NEW      |                       |               |             |             |                     |                           |                         |               |                                                                               |                                                    |
|                                   |                       |               | 25178M103   |             |                     |                           |                         |               |                                                                               |                                                    |
| 09/27/2016                        | 03/17/2016            | 1,350.00      | \$51,553.48 | \$36,879.84 | \$14,673.64         | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 08/27/2016                        | 04/15/2016            | 90.00         | \$3,436.90  | \$2,836.02  | \$600.28            | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| DISNEY (WALT) COMPANY HOLDING CO. |                       |               |             |             |                     |                           |                         |               |                                                                               |                                                    |
|                                   |                       |               | 254687108   |             |                     |                           |                         |               |                                                                               |                                                    |
| 11/01/2016                        | 04/15/2016            | 10.00         | \$916.30    | \$986.18    | \$-87.88            | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 04/15/2016            | 20.00         | \$1,842.20  | \$1,972.36  | \$-130.16           | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| DOMINION RES INC VA NEW           |                       |               |             |             |                     |                           |                         |               |                                                                               |                                                    |
|                                   |                       |               | 25748U109   |             |                     |                           |                         |               |                                                                               |                                                    |
| 08/23/2016                        | 01/08/2016            | 160.00        | \$12,145.10 | \$10,987.91 | \$1,177.19          | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 08/23/2016                        | 04/15/2016            | 70.00         | \$5,313.48  | \$5,108.81  | \$204.67            | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016                        | 01/08/2016            | 10.00         | \$749.50    | \$685.49    | \$84.01             | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 01/08/2016            | 80.00         | \$5,923.84  | \$5,483.95  | \$439.89            | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 01/08/2016            | 20.00         | \$1,484.01  | \$1,370.99  | \$113.02            | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| DOW CHEMICAL COMPANY COMMON       |                       |               |             |             |                     |                           |                         |               |                                                                               |                                                    |
|                                   |                       |               | 260543103   |             |                     |                           |                         |               |                                                                               |                                                    |
| 11/01/2016                        | 04/15/2016            | 20.00         | \$1,087.02  | \$1,050.16  | \$16.86             | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 04/15/2016            | 50.00         | \$2,679.54  | \$2,625.40  | \$54.14             | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| DYNEGY INCNEW                     |                       |               |             |             |                     |                           |                         |               |                                                                               |                                                    |
|                                   |                       |               | 26817R108   |             |                     |                           |                         |               |                                                                               |                                                    |
| 11/01/2016                        | 06/01/2016            | 60.00         | \$645.11    | \$1,177.93  | \$-532.82           | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 05/31/2016            | 80.00         | \$586.94    | \$1,503.09  | \$-916.15           | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 06/01/2016            | 330.00        | \$2,421.12  | \$6,478.63  | \$-4,057.51         | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 05/31/2016            | 140.00        | \$1,142.65  | \$2,630.40  | \$-1,487.75         | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 12/07/2016                        | 06/31/2016            | 300.00        | \$2,427.76  | \$5,636.58  | \$-3,208.82         | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |
| 12/07/2016                        | 07/27/2016            | 490.00        | \$3,965.33  | \$7,908.26  | \$-3,942.93         | \$0.00                    | \$0.00                  | \$0.00        | \$0.00                                                                        | \$0.00                                             |



**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

## DESCRIPTION

| Date sold or disposed | Date acquired | Units    | Proceeds    | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------|---------------|----------|-------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| DYNEGY INC/NEW        |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 26817R108             |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 12/08/2016            | 03/17/2016    | 130.00   | \$1,082.18  | \$1,768.47          | \$-706.29     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 12/08/2016            | 03/18/2016    | 140.00   | \$1,143.89  | \$1,918.84          | \$-774.95     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 12/08/2016            | 03/18/2016    | 480.00   | \$3,855.18  | \$6,578.88          | \$-2,723.70   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 12/08/2016            | 04/15/2016    | 170.00   | \$1,365.38  | \$2,670.12          | \$-1,304.74   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 12/08/2016            | 07/27/2016    | 730.00   | \$5,863.08  | \$11,781.89         | \$-5,918.81   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 12/09/2016            | 03/17/2016    | 1,510.00 | \$12,918.07 | \$20,541.43         | \$-7,623.36   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| ELECTRONIC ARTS       |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 285512109             |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016            | 10/28/2016    | 10.00    | \$779.20    | \$798.02            | \$-18.82      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016            | 11/02/2016    | 60.00    | \$4,768.72  | \$4,801.51          | \$-32.79      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016            | 11/02/2016    | 30.00    | \$2,431.80  | \$2,400.75          | \$31.05       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| EXELON CORP           |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 30161N101             |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 03/17/2016            | 08/19/2015    | 850.00   | \$29,689.62 | \$29,048.24         | \$621.38      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 05/31/2016            | 08/19/2015    | 20.00    | \$682.10    | \$683.49            | \$-1.39       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 06/31/2016            | 08/20/2015    | 360.00   | \$12,277.75 | \$12,286.08         | \$-8.33       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 05/31/2016            | 04/15/2016    | 100.00   | \$3,410.49  | \$3,484.30          | \$-53.81      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| EXXON MOBIL CORP      |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 30231G102             |               |          |             |                     |               |                         |                           |                                                                               |                                                    |
| 03/03/2016            | 07/22/2015    | 210.00   | \$17,246.32 | \$17,291.84         | \$-45.52      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 03/03/2016            | 07/27/2015    | 124.00   | \$10,183.54 | \$9,901.35          | \$282.19      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 03/17/2016            | 07/27/2015    | 78.00    | \$6,392.54  | \$6,068.57          | \$323.97      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 03/17/2016            | 08/21/2015    | 134.00   | \$11,271.07 | \$9,910.57          | \$1,360.50    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016            | 04/15/2016    | 10.00    | \$835.40    | \$849.88            | \$-14.48      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



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### Short Term Transactions

| DESCRIPTION                             | DATE       | UNITS  | PROCEEDS         | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|-----------------------------------------|------------|--------|------------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>EXXON MOBIL CORP</b>                 |            |        | <b>30231G102</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                              | 04/15/2016 | 30.00  | \$2,513.70       | \$2,549.64             | \$-35.94         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>FACEBOOK INC</b>                     |            |        | <b>30303M102</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 09/30/2016                              | 04/15/2016 | 81.00  | \$10,371.87      | \$8,881.99             | \$1,489.88       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                              | 04/15/2016 | 7.00   | \$902.30         | \$767.58               | \$134.72         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>GENERAL ELECTRIC CO</b>              |            |        | <b>369604103</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                              | 04/15/2016 | 30.00  | \$863.14         | \$930.99               | \$-67.85         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                              | 01/22/2016 | 110.00 | \$3,134.05       | \$3,096.91             | \$37.14          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                              | 04/15/2016 | 100.00 | \$2,849.14       | \$3,103.30             | \$-254.16        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                              | 01/22/2016 | 80.00  | \$2,302.11       | \$2,252.30             | \$49.81          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>HALLIBURTON COMPANY COM</b>          |            |        | <b>406216101</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                              | 04/15/2016 | 40.00  | \$1,846.70       | \$1,533.88             | \$312.84         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                              | 04/15/2016 | 40.00  | \$1,856.23       | \$1,533.88             | \$322.37         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>HARTFORD FINL SVCS GROUP INC COM</b> |            |        | <b>416515104</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 07/20/2016                              | 04/15/2016 | 100.00 | \$4,364.91       | \$4,801.80             | \$-236.89        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                              | 10/04/2016 | 30.00  | \$1,314.97       | \$1,307.51             | \$7.46           | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                              | 10/04/2016 | 170.00 | \$7,506.52       | \$7,409.20             | \$97.32          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                              | 10/04/2016 | 60.00  | \$2,614.26       | \$2,616.01             | \$-0.75          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>HOME DEPOT INC USD 0.05</b>          |            |        | <b>437076102</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                              | 04/15/2016 | 10.00  | \$1,194.69       | \$1,351.58             | \$-156.89        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                              | 04/15/2016 | 32.00  | \$3,853.42       | \$4,325.06             | \$-471.64        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



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| DESCRIPTION                   | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCURED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|-------------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| CUSIP                         |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 438516108                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| HONEYWELL INTL INC            |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                    | 04/15/2016 | 7.00   | \$763.62    | \$798.89               | \$-35.27         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                    | 10/08/2016 | 4.00   | \$436.36    | \$462.73               | \$-26.37         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                    | 04/15/2016 | 45.00  | \$4,885.63  | \$5,135.69             | \$-250.06        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 452308109                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| ILLINOIS TOOL WORKS INC COM   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                    | 04/15/2016 | 12.00  | \$1,341.81  | \$1,260.22             | \$81.59          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                    | 04/15/2016 | 41.00  | \$4,648.97  | \$4,305.73             | \$343.24         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 45337C102                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| INCYTE GENOMICS INC           |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                    | 10/08/2016 | 40.00  | \$3,542.00  | \$3,771.07             | \$-229.07        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                    | 10/08/2016 | 10.00  | \$877.80    | \$942.77               | \$-64.97         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 458140100                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| INTEL CORPORATION             |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                    | 10/07/2016 | 40.00  | \$1,370.85  | \$1,524.73             | \$-153.88        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                    | 10/07/2016 | 280.00 | \$10,034.37 | \$11,054.31            | \$-1,019.94      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                    | 10/07/2016 | 100.00 | \$3,381.12  | \$3,811.83             | \$-430.71        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/11/2016                    | 08/23/2016 | 320.00 | \$11,022.48 | \$10,554.34            | \$468.14         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/11/2016                    | 10/07/2016 | 100.00 | \$3,444.52  | \$3,811.83             | \$-367.31        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/16/2016                    | 08/23/2016 | 510.00 | \$17,709.92 | \$16,820.97            | \$888.95         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 45886F104                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| INTERCONTINENTAL EXCHANGE GRO |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 07/20/2016                    | 04/15/2016 | 27.00  | \$7,087.98  | \$6,427.57             | \$670.41         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 478160104                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| JOHNSON & JOHNSON COM         |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/20/2016                    | 08/04/2015 | 230.00 | \$21,989.28 | \$23,047.79            | \$-1,058.51      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 05/19/2016                    | 08/03/2015 | 8.00   | \$698.46    | \$797.27               | \$101.19         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



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### Short Term Transactions

| DESCRIPTION                      | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|----------------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>JOHNSON &amp; JOHNSON COM</b> |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 478160104   |                        |                  |                               |                              |                                                                                           |                                                             |
| 05/19/2016                       | 08/04/2015 | 84.00  | \$9,433.84  | \$8,417.46             | \$1,016.38       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 05/19/2016                       | 04/15/2016 | 52.00  | \$5,839.99  | \$5,723.53             | \$116.46         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>KOHL'S CORP</b>               |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 500255104   |                        |                  |                               |                              |                                                                                           |                                                             |
| 05/09/2016                       | 01/07/2016 | 790.00 | \$32,930.67 | \$40,225.85            | \$-7,295.18      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 05/09/2016                       | 04/15/2016 | 50.00  | \$2,084.22  | \$2,159.40             | \$-75.18         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ELI LILLY &amp; CO COM</b>    |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 532457106   |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                       | 01/20/2016 | 10.00  | \$727.60    | \$817.65               | \$-90.05         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                       | 01/20/2016 | 90.00  | \$6,561.73  | \$7,356.81             | \$-797.08        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                       | 01/20/2016 | 30.00  | \$2,174.72  | \$2,452.93             | \$-278.21        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>MARATHON PETROLEUM CORP</b>   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 56565A102   |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                       | 11/12/2015 | 20.00  | \$845.82    | \$1,094.47             | \$-248.65        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                       | 11/12/2015 | 160.00 | \$6,574.07  | \$8,755.73             | \$-2,181.66      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                       | 11/12/2015 | 60.00  | \$2,480.37  | \$3,283.40             | \$-803.03        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>MERCK &amp; CO INC</b>        |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 56633Y105   |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                       | 08/11/2016 | 20.00  | \$1,173.01  | \$1,273.73             | \$-100.72        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                       | 08/11/2016 | 130.00 | \$7,660.82  | \$8,279.23             | \$-618.41        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                       | 08/11/2016 | 40.00  | \$2,350.83  | \$2,547.46             | \$-196.63        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>MICROSOFT CORP COM</b>        |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                  |            |        | 584818104   |                        |                  |                               |                              |                                                                                           |                                                             |
| 02/11/2016                       | 01/05/2016 | 160.00 | \$7,832.74  | \$8,827.55             | \$-994.81        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 05/06/2016                       | 04/20/2016 | 320.00 | \$15,907.17 | \$17,961.63            | \$-2,054.66      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 06/23/2016                       | 06/22/2015 | 40.00  | \$2,071.76  | \$1,744.54             | \$327.22         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



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## Short Term Transactions

| DESCRIPTION                | CUSIP                 |               |             |             |                     | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|----------------------------|-----------------------|---------------|-------------|-------------|---------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                            | Date sold or disposed | Date acquired | Units       | Proceeds    | Cost or other basis |                           |                                                                               |                                                    |
| MICROSOFT CORP COM         |                       |               |             |             |                     |                           |                                                                               |                                                    |
|                            |                       |               | 594918104   |             |                     |                           |                                                                               |                                                    |
| 08/23/2016                 | 01/05/2016            | 220.00        | \$11,394.67 | \$12,137.89 | \$-743.22           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 08/23/2016                 | 01/08/2016            | 370.00        | \$19,163.77 | \$19,977.71 | \$-813.94           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 06/23/2016                 | 01/07/2016            | 690.00        | \$35,737.84 | \$36,504.93 | \$-767.09           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 06/23/2016                 | 04/15/2016            | 150.00        | \$7,769.10  | \$8,333.00  | \$-563.90           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 08/23/2016                 | 04/20/2016            | 130.00        | \$6,733.22  | \$7,296.99  | \$-563.77           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/02/2016                 | 09/22/2015            | 360.00        | \$20,711.18 | \$15,700.82 | \$5,010.36          | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| MONDELEZ INTERNATIONAL INC |                       |               |             |             |                     |                           |                                                                               |                                                    |
|                            |                       |               | 608207105   |             |                     |                           |                                                                               |                                                    |
| 11/01/2016                 | 10/03/2016            | 20.00         | \$987.02    | \$876.34    | \$10.68             | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                 | 10/03/2016            | 160.00        | \$7,076.58  | \$7,010.69  | \$65.89             | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                 | 10/03/2016            | 50.00         | \$2,150.55  | \$2,180.84  | \$-40.29            | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| MONSTER BEVERAGE CORP      |                       |               |             |             |                     |                           |                                                                               |                                                    |
|                            |                       |               | 61174X109   |             |                     |                           |                                                                               |                                                    |
| 11/01/2016                 | 04/15/2016            | 7.00          | \$1,008.16  | \$900.40    | \$105.76            | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                 | 04/15/2016            | 25.00         | \$3,616.78  | \$3,215.70  | \$401.08            | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| NETFLIX COM INC            |                       |               |             |             |                     |                           |                                                                               |                                                    |
|                            |                       |               | 64110L106   |             |                     |                           |                                                                               |                                                    |
| 07/19/2016                 | 01/07/2016            | 150.00        | \$12,810.38 | \$17,788.76 | \$-4,978.38         | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/19/2016                 | 01/13/2016            | 123.00        | \$10,504.51 | \$13,308.33 | \$-2,803.82         | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/19/2016                 | 01/21/2016            | 77.00         | \$8,575.99  | \$8,193.87  | \$-1,617.88         | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/19/2016                 | 04/15/2016            | 19.00         | \$1,822.65  | \$2,120.17  | \$-497.52           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| NIKE INC CL B              |                       |               |             |             |                     |                           |                                                                               |                                                    |
|                            |                       |               | 654108103   |             |                     |                           |                                                                               |                                                    |
| 11/01/2016                 | 12/04/2015            | 20.00         | \$986.42    | \$1,323.86  | \$-337.44           | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                 | 12/04/2015            | 140.00        | \$6,984.31  | \$9,267.00  | \$-2,282.69         | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



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### Short Term Transactions

| DESCRIPTION            | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
|                        |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| NIKE INC CL B          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/04/2016             | 12/04/2015 | 50.00  | \$2,508.54  | \$3,309.64             | \$-801.10        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| NUCOR CORP             |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016             | 04/15/2016 | 10.00  | \$483.27    | \$494.88               | \$-11.61         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016             | 04/15/2016 | 30.00  | \$1,493.43  | \$1,484.64             | \$8.79           | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| NVIDIA CORP            |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016             | 10/18/2016 | 10.00  | \$884.95    | \$888.63               | \$16.32          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016             | 10/18/2016 | 110.00 | \$7,576.25  | \$7,354.88             | \$221.37         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016             | 10/18/2016 | 30.00  | \$2,041.09  | \$2,006.88             | \$35.21          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| PALO ALTO NETWORKS INC |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 07/21/2016             | 10/27/2015 | 101.00 | \$12,796.40 | \$18,013.31            | \$-3,216.91      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/02/2016             | 04/15/2016 | 14.00  | \$1,773.45  | \$1,988.93             | \$-195.48        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016             | 04/15/2016 | 4.00   | \$803.60    | \$582.55               | \$41.05          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016             | 04/15/2016 | 11.00  | \$1,616.87  | \$1,547.02             | \$69.85          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| PANERA BREAD CO        |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016             | 04/20/2016 | 4.00   | \$765.43    | \$871.51               | \$-108.08        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016             | 04/20/2016 | 30.00  | \$5,796.53  | \$8,536.30             | \$-739.77        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016             | 04/20/2016 | 11.00  | \$2,072.48  | \$2,396.64             | \$-324.16        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| PEPSICO INC            |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016             | 04/15/2016 | 14.00  | \$1,487.50  | \$1,450.51             | \$36.99          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016             | 04/15/2016 | 41.00  | \$4,388.47  | \$4,247.93             | \$140.54         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION                        | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% FLATES<br>(INCLUDED IN<br>NET G/L) |
|------------------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>CUSIP</b>                       |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>717081103</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>PFIZER INC COM</b>              |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 09/14/2016                         | 04/15/2016 | 10.00  | \$339.07    | \$324.14               | \$14.93          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 10/06/2016                         | 04/15/2016 | 150.00 | \$5,032.27  | \$4,862.15             | \$170.12         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| <b>718172109</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>PHILIP MORRIS INTERNATIONAL</b> |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 10/03/2016                         | 04/15/2016 | 70.00  | \$6,811.19  | \$7,006.86             | \$-195.67        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| <b>723787107</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>PIONEER NAT RES CO</b>          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 11/04/2016                         | 11/03/2016 | 10.00  | \$1,696.88  | \$1,721.30             | \$-24.42         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| <b>747525103</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>QUALCOMM INC</b>                |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 11/01/2016                         | 10/27/2016 | 10.00  | \$876.01    | \$702.57               | \$-24.56         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 11/02/2016                         | 10/27/2016 | 170.00 | \$11,436.73 | \$11,943.60            | \$-506.87        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 11/04/2016                         | 10/27/2016 | 60.00  | \$4,023.03  | \$4,215.36             | \$-192.36        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| <b>78466L302</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>SALESFORCE COM INC</b>          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 07/12/2016                         | 03/30/2016 | 50.00  | \$4,106.70  | \$3,704.96             | \$403.74         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 07/12/2016                         | 04/15/2016 | 80.00  | \$6,573.91  | \$6,105.44             | \$468.47         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 10/13/2016                         | 03/30/2016 | 20.00  | \$1,414.34  | \$1,481.98             | \$-67.64         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 10/13/2016                         | 08/19/2016 | 260.00 | \$18,366.44 | \$20,116.90            | \$-1,750.46      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 10/14/2016                         | 03/31/2016 | 100.00 | \$7,200.12  | \$7,384.96             | \$-184.84        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| <b>816851109</b>                   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| <b>SEMPRA ENERGY</b>               |            |        |             |                        |                  |                               |                              |                                                                                           |                                                              |
| 01/06/2016                         | 05/06/2015 | 112.00 | \$10,341.84 | \$11,573.58            | \$-1,231.74      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 01/06/2016                         | 05/07/2015 | 140.00 | \$12,927.30 | \$14,551.94            | \$-1,624.64      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 01/07/2016                         | 05/06/2015 | 30.00  | \$2,637.56  | \$3,100.06             | \$-462.50        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |
| 01/07/2016                         | 06/29/2015 | 200.00 | \$17,563.72 | \$19,820.28            | \$-2,336.56      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                       |



**Account Number 10593017030**

**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| DESCRIPTION              | CUSIP                    |               |             |             |                        | Portion Subject to<br>Ordinary Rates<br>Including Section<br>988 (Included in<br>Net G/L) | Portion Subject<br>to 28% Rates<br>(Included in<br>Net G/L) |
|--------------------------|--------------------------|---------------|-------------|-------------|------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
|                          | Date sold or<br>disposed | Date acquired | Units       | Proceeds    | Cost or<br>other basis |                                                                                           |                                                             |
| SYNCHRONY FINANCIAL      |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 87166B103   |             |                        |                                                                                           |                                                             |
| 11/01/2016               | 10/05/2016               | 10.00         | \$284.11    | \$279.87    | \$4.24                 | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016               | 10/05/2016               | 250.00        | \$7,124.59  | \$6,998.63  | \$127.96               | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016               | 10/05/2016               | 80.00         | \$2,262.80  | \$2,238.92  | \$23.98                | \$0.00                                                                                    | \$0.00                                                      |
| TAIWAN SEMICONDUCTOR ADR |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 874039100   |             |                        |                                                                                           |                                                             |
| 09/23/2016               | 08/30/2016               | 560.00        | \$16,912.47 | \$14,704.09 | \$2,208.38             | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016               | 08/30/2016               | 20.00         | \$610.63    | \$525.15    | \$86.48                | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016               | 08/30/2016               | 170.00        | \$5,157.07  | \$4,463.74  | \$693.33               | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016               | 08/30/2016               | 60.00         | \$1,817.48  | \$1,575.44  | \$242.04               | \$0.00                                                                                    | \$0.00                                                      |
| 3M CO                    |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 88579Y101   |             |                        |                                                                                           |                                                             |
| 11/01/2016               | 04/15/2016               | 5.00          | \$821.44    | \$844.84    | \$-23.40               | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016               | 04/15/2016               | 14.00         | \$2,323.48  | \$2,365.55  | \$-42.07               | \$0.00                                                                                    | \$0.00                                                      |
| UNION PACIFIC CORP       |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 807818108   |             |                        |                                                                                           |                                                             |
| 01/22/2016               | 08/03/2015               | 80.00         | \$5,548.59  | \$7,701.83  | \$-2,155.04            | \$0.00                                                                                    | \$0.00                                                      |
| 01/22/2016               | 08/04/2015               | 250.00        | \$17,333.08 | \$24,044.40 | \$-6,711.32            | \$0.00                                                                                    | \$0.00                                                      |
| UNITED TECHNOLOGIES CORP |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 913017109   |             |                        |                                                                                           |                                                             |
| 11/02/2016               | 04/15/2016               | 3.00          | \$304.98    | \$313.55    | \$-8.57                | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016               | 04/15/2016               | 24.00         | \$2,435.27  | \$2,508.43  | \$-73.16               | \$0.00                                                                                    | \$0.00                                                      |
| UNITED HEALTH GROUP INC  |                          |               |             |             |                        |                                                                                           |                                                             |
|                          |                          |               | 91324P102   |             |                        |                                                                                           |                                                             |
| 11/01/2016               | 10/19/2016               | 9.00          | \$1,253.38  | \$1,311.93  | \$-58.57               | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016               | 10/19/2016               | 72.00         | \$10,041.61 | \$10,495.46 | \$-453.85              | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016               | 10/19/2016               | 25.00         | \$3,459.72  | \$3,644.26  | \$-184.54              | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Short Term Transactions

| DESCRIPTION                | CUSIP                 |               |        |             |                     | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) |                         | Portion Subject to 28% Rates (Included in Net G/L) |                           |
|----------------------------|-----------------------|---------------|--------|-------------|---------------------|-------------------------------------------------------------------------------|-------------------------|----------------------------------------------------|---------------------------|
|                            | Date sold or disposed | Date acquired | Units  | Proceeds    | Cost or other basis | Net Gain/Loss                                                                 | Accrued market discount |                                                    | Wash sale loss disallowed |
| VALERO ENERGY CORP NEW     |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
| 91913Y100                  |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
|                            | 03/17/2016            | 02/11/2016    | 270.00 | \$17,331.81 | \$14,770.19         | \$2,561.62                                                                    | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 10/28/2016            | 04/15/2016    | 50.00  | \$2,931.61  | \$2,983.40          | \$-51.79                                                                      | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 10/28/2016            | 05/03/2016    | 240.00 | \$14,071.71 | \$13,993.20         | \$78.51                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/01/2016            | 05/03/2016    | 20.00  | \$1,186.49  | \$1,166.10          | \$20.39                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/02/2016            | 02/11/2016    | 120.00 | \$7,006.33  | \$6,584.52          | \$441.81                                                                      | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/02/2016            | 05/03/2016    | 10.00  | \$583.86    | \$583.05            | \$0.81                                                                        | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/03/2016            | 02/11/2016    | 20.00  | \$1,151.45  | \$1,084.09          | \$67.36                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/03/2016            | 06/22/2016    | 230.00 | \$13,241.64 | \$12,189.93         | \$1,051.71                                                                    | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/04/2016            | 06/22/2016    | 30.00  | \$1,745.44  | \$1,589.99          | \$155.45                                                                      | \$0.00                  | \$0.00                                             | \$0.00                    |
| VERIZON COMMUNICATIONS INC |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
| 92343V104                  |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
|                            | 09/02/2016            | 09/29/2015    | 210.00 | \$11,037.65 | \$9,154.34          | \$1,883.31                                                                    | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 09/02/2016            | 04/15/2016    | 50.00  | \$2,628.01  | \$2,567.90          | \$60.11                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
| VISA INC                   |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
| 92826C839                  |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
|                            | 11/01/2016            | 04/15/2016    | 20.00  | \$1,631.60  | \$1,601.16          | \$30.44                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/02/2016            | 04/15/2016    | 30.00  | \$2,425.51  | \$2,401.74          | \$23.77                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/02/2016            | 07/20/2016    | 80.00  | \$6,468.01  | \$6,364.82          | \$103.19                                                                      | \$0.00                  | \$0.00                                             | \$0.00                    |
|                            | 11/04/2016            | 07/20/2016    | 30.00  | \$2,424.60  | \$2,386.81          | \$37.79                                                                       | \$0.00                  | \$0.00                                             | \$0.00                    |
| WELLS FARGO & CO NEW       |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
| 949746101                  |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
|                            | 07/20/2016            | 09/10/2015    | 350.00 | \$16,963.43 | \$18,415.43         | \$-1,452.00                                                                   | \$0.00                  | \$0.00                                             | \$0.00                    |
| WILLIAMS CO INC            |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
| 989457100                  |                       |               |        |             |                     |                                                                               |                         |                                                    |                           |
|                            | 11/01/2016            | 09/27/2016    | 20.00  | \$569.63    | \$610.13            | \$-40.50                                                                      | \$0.00                  | \$0.00                                             | \$0.00                    |



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**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| DESCRIPTION                    | CUSIP                 |               |             |             |                     | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) |         | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------------|-----------------------|---------------|-------------|-------------|---------------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|---------|----------------------------------------------------|
|                                | Date sold or disposed | Date acquired | Units       | Proceeds    | Cost or other basis |                         |                           | Net Gain/Loss                                                                 | Net G/L |                                                    |
| WILLIAMS CO INC                |                       |               |             | 989457100   |                     |                         |                           |                                                                               |         |                                                    |
| 11/02/2016                     | 08/27/2016            | 170.00        | \$4,833.33  | \$5,186.07  | \$-352.74           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/04/2016                     | 09/27/2016            | 60.00         | \$1,734.68  | \$1,830.38  | \$-95.70            | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| YUMI BRANDS INC                |                       |               |             | 989498101   |                     |                         |                           |                                                                               |         |                                                    |
| 11/01/2016                     | 04/15/2016            | 10.00         | \$608.61    | \$685.87    | \$22.74             | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/02/2016                     | 04/15/2016            | 40.00         | \$2,391.62  | \$2,343.46  | \$48.16             | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| YUMI CHINA HOLDINGS INC        |                       |               |             | 98850P108   |                     |                         |                           |                                                                               |         |                                                    |
| 11/02/2016                     | 04/15/2016            | 50.00         | \$1,323.07  | \$1,160.07  | \$163.00            | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| ZIMMER HLDGS INC               |                       |               |             | 98856P102   |                     |                         |                           |                                                                               |         |                                                    |
| 11/01/2016                     | 05/27/2016            | 2.00          | \$207.98    | \$246.01    | \$-38.03            | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/02/2016                     | 05/27/2016            | 48.00         | \$4,918.79  | \$5,904.28  | \$-985.49           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/04/2016                     | 05/27/2016            | 17.00         | \$1,783.97  | \$2,091.10  | \$-307.13           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| ALLERGAN PLC                   |                       |               |             | G0177J108   |                     |                         |                           |                                                                               |         |                                                    |
| 01/20/2016                     | 08/22/2015            | 43.00         | \$12,448.79 | \$13,191.85 | \$-744.86           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 01/20/2016                     | 08/28/2015            | 51.00         | \$14,762.47 | \$15,695.22 | \$-932.75           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/01/2016                     | 05/19/2016            | 4.00          | \$834.03    | \$909.95    | \$-75.92            | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/02/2016                     | 05/19/2016            | 28.00         | \$5,166.13  | \$5,914.68  | \$-748.58           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 11/04/2016                     | 05/19/2016            | 9.00          | \$1,727.98  | \$2,047.38  | \$-319.41           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| ACCENTURE PLC IRELAND SHS CL A |                       |               |             | G1161C101   |                     |                         |                           |                                                                               |         |                                                    |
| 02/11/2016                     | 08/19/2015            | 71.00         | \$8,630.85  | \$7,287.22  | \$-656.37           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |
| 04/20/2016                     | 04/15/2016            | 43.00         | \$4,973.35  | \$4,838.03  | \$35.32             | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00  | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

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### Short Term Transactions

| DESCRIPTION               | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DEFERRED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|---------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|----------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| EATON CORP PLC            |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| G28183103                 |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| 11/01/2016                | 04/15/2016 | 20.00  | \$1,212.87  | \$1,235.56 *           | \$-22.69         | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                | 04/15/2016 | 60.00  | \$3,664.32  | \$3,706.66 *           | \$-42.36         | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| INGERSOLL-RAND PLC        |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| G47781101                 |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| 11/01/2016                | 04/15/2016 | 20.00  | \$1,340.53  | \$1,275.10             | \$65.43          | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                | 04/15/2016 | 60.00  | \$4,048.83  | \$3,825.28             | \$223.55         | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| INVERSCO LTD              |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| G491BT108                 |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| 11/02/2016                | 04/15/2016 | 70.00  | \$1,839.13  | \$2,191.56             | \$-252.43        | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                | 04/15/2016 | 80.00  | \$2,231.31  | \$2,504.64             | \$-273.33        | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                | 07/20/2016 | 30.00  | \$836.74    | \$841.60               | \$-4.86          | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| NABORS INDUSTRIES LTD COM |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| G6359F103                 |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| 11/01/2016                | 10/28/2016 | 50.00  | \$577.72    | \$604.48               | \$-26.76         | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                | 10/28/2016 | 580.00 | \$6,727.61  | \$7,132.92             | \$-405.31        | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                | 10/28/2016 | 200.00 | \$2,300.36  | \$2,417.94             | \$-117.59        | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/30/2016                | 08/23/2016 | 400.00 | \$6,461.76  | \$4,108.36             | \$2,355.42       | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 11/30/2016                | 10/28/2016 | 550.00 | \$8,884.94  | \$8,649.34             | \$2,235.60       | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 12/08/2016                | 08/23/2016 | 850.00 | \$14,539.19 | \$3,728.02             | \$5,813.17       | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| NXP SEMICONDUCTORS N V    |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| N6588X109                 |            |        |             |                        |                  |                               |                            |                                                                                           |                                                             |
| 09/02/2016                | 04/15/2016 | 60.00  | \$5,275.69  | \$5,088.48             | \$187.21         | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 09/02/2016                | 07/21/2016 | 20.00  | \$1,758.56  | \$1,689.20             | \$69.36          | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 10/13/2016                | 07/21/2016 | 200.00 | \$20,312.54 | \$16,892.00            | \$3,420.54       | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |
| 10/27/2016                | 07/12/2016 | 200.00 | \$19,999.58 | \$16,133.86            | \$3,865.62       | \$0.00                        | \$0.00                     | \$0.00                                                                                    | \$0.00                                                      |



**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

### **Short Term Transactions**

| DESCRIPTION                 | CUSIP                    |               |             |                  |                               | Portion Subject to<br>Ordinary Rates<br>Including Section<br>988 (Included in<br>Net G/L) | Portion Subject<br>to 28% Rates<br>(Included in<br>Net G/L) |
|-----------------------------|--------------------------|---------------|-------------|------------------|-------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
|                             | Date sold or<br>disposed | Date acquired | Units       | Proceeds         | Cost or<br>other basis        |                                                                                           |                                                             |
|                             |                          |               |             | Net<br>Gain/Loss | Accrued<br>market<br>discount | Wash sale loss<br>disallowed                                                              |                                                             |
| NXPI SEMICONDUCTORS N V     |                          |               |             |                  |                               |                                                                                           |                                                             |
|                             |                          |               | N6888X109   |                  |                               |                                                                                           |                                                             |
| 10/27/2016                  | 07/21/2016               | 10.00         | \$999.98    | \$844.60         | \$155.38                      | \$0.00                                                                                    | \$0.00                                                      |
| 10/28/2016                  | 02/04/2016               | 290.00        | \$28,900.50 | \$22,050.03      | \$6,850.47                    | \$0.00                                                                                    | \$0.00                                                      |
| 10/28/2016                  | 07/12/2016               | 50.00         | \$4,982.85  | \$4,033.49       | \$949.36                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                  | 02/04/2016               | 9.00          | \$995.77    | \$694.31         | \$211.46                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                  | 02/04/2016               | 40.00         | \$3,981.99  | \$3,041.38       | \$920.61                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                  | 02/04/2016               | 340.00        | \$33,786.05 | \$25,851.77      | \$7,934.28                    | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                  | 02/04/2016               | 10.00         | \$988.19    | \$760.35         | \$227.84                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/11/2016                  | 02/04/2016               | 111.00        | \$10,839.35 | \$8,439.84       | \$2,399.51                    | \$0.00                                                                                    | \$0.00                                                      |
| 11/11/2016                  | 02/11/2016               | 240.00        | \$23,436.42 | \$15,722.28      | \$7,714.14                    | \$0.00                                                                                    | \$0.00                                                      |
| BROADCOM LTD.               |                          |               |             |                  |                               |                                                                                           |                                                             |
|                             |                          |               | Y08827109   |                  |                               |                                                                                           |                                                             |
| 09/02/2016                  | 04/15/2016               | 40.00         | \$6,904.30  | \$6,237.41       | \$666.89                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                  | 04/15/2016               | 11.00         | \$1,832.91  | \$1,715.29       | \$117.62                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                  | 03/17/2016               | 72.00         | \$12,395.28 | \$10,907.10      | \$1,488.18                    | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                  | 04/15/2016               | 9.00          | \$1,549.41  | \$1,403.42       | \$145.99                      | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                  | 03/17/2016               | 27.00         | \$4,647.47  | \$4,090.16       | \$557.31                      | \$0.00                                                                                    | \$0.00                                                      |
| Total Short Term Gain/Loss: |                          |               |             | \$1,907,445.04   | \$1,946,516.63                | \$-39,071.59                                                                              | \$0.00                                                      |



**Account Number 10593017030**

**JOHN AND MARGARET MC CARTY FDN-IMA**

## DESCRIPTION

| DESCRIPTION              | CUSIP         |        |             |                        |                  | Portion Subject to<br>Ordinary Rates<br>Including Section<br>988 (Included In<br>Net G/L) | Portion Subject<br>to 28% Rates<br>(Included In<br>Net G/L) |
|--------------------------|---------------|--------|-------------|------------------------|------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Date sold or<br>disposed | Date acquired | Units  | Proceeds    | Cost or<br>other basis | Net<br>Gain/Loss | Accrued<br>market<br>discount                                                             | Wash sale loss<br>disallowed                                |
| ABBOTT LABORATORIES      |               |        |             |                        |                  |                                                                                           |                                                             |
| 002824100                |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/02/2016               | 04/08/2014    | 60.00  | \$2,333.29  | \$2,272.58             | \$60.71          | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/04/2016               | 04/08/2014    | 40.00  | \$1,569.25  | \$1,515.05             | \$54.20          | \$0.00                                                                                    | \$0.00                                                      |
| ABBVIE INC               |               |        |             |                        |                  |                                                                                           |                                                             |
| 00287Y109                |               |        |             |                        |                  |                                                                                           |                                                             |
| 01/13/2016               | 07/18/2014    | 980.00 | \$50,550.02 | \$53,861.86            | \$-3,311.86      | \$0.00                                                                                    | \$0.00                                                      |
| ADOBE SYS INC COM        |               |        |             |                        |                  |                                                                                           |                                                             |
| 00724F101                |               |        |             |                        |                  |                                                                                           |                                                             |
| 09/30/2016               | 08/04/2015    | 4.00   | \$432.14    | \$328.16               | \$103.98         | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/01/2016               | 08/04/2015    | 11.00  | \$1,170.25  | \$902.43               | \$267.82         | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/02/2016               | 08/04/2015    | 87.00  | \$9,234.15  | \$7,137.37             | \$2,096.78       | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/04/2016               | 08/04/2015    | 30.00  | \$3,183.59  | \$2,461.16             | \$722.43         | \$0.00                                                                                    | \$0.00                                                      |
| ADVANSIX INC             |               |        |             |                        |                  |                                                                                           |                                                             |
| 0073T101                 |               |        |             |                        |                  |                                                                                           |                                                             |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 10/06/2016               | 11/03/2011    | 29.32  | \$409.96    | \$208.55               | \$201.41         | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 10/06/2016               | 11/11/2011    | 1.20   | \$16.78     | \$8.87                 | \$7.91           | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 10/06/2016               | 12/01/2011    | 2.40   | \$33.56     | \$17.38                | \$16.18          | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 10/08/2016               | 12/15/2014    | 4.00   | \$55.93     | \$51.19                | \$4.74           | \$0.00                                                                                    | \$0.00                                                      |
|                          |               |        |             |                        |                  |                                                                                           |                                                             |
| 11/03/2016               | 11/03/2011    | 0.68   | \$10.80     | \$4.84                 | \$5.96           | \$0.00                                                                                    | \$0.00                                                      |



## 2016 Tax Information

**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

## Long Term Transactions

| DESCRIPTION              | CUSIP                 |               |             |             | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------|-----------------------|---------------|-------------|-------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                          | Date sold or disposed | Date acquired | Units       | Proceeds    |                                                                               |                                                    |
| ALLY FINANCIAL INC       |                       |               |             | 02005N100   |                                                                               |                                                    |
| 11/01/2016               | 01/07/2015            | 40.00         | \$701.66    | \$924.21    | \$-222.55                                                                     | \$0.00                                             |
| 11/02/2016               | 01/07/2015            | 280.00        | \$4,981.15  | \$6,469.46  | \$-1,508.31                                                                   | \$0.00                                             |
| 11/04/2016               | 01/07/2015            | 90.00         | \$1,667.49  | \$2,078.47  | \$-511.98                                                                     | \$0.00                                             |
| ALPHABET INC/CA          |                       |               |             | 02079K305   |                                                                               |                                                    |
| 12/02/2016               | 07/17/2015            | 5.00          | \$3,813.02  | \$3,480.73  | \$332.29                                                                      | \$0.00                                             |
| 12/07/2016               | 07/17/2015            | 19.00         | \$14,763.05 | \$13,228.77 | \$1,536.28                                                                    | \$0.00                                             |
| AMAZON COM INC           |                       |               |             | 023135108   |                                                                               |                                                    |
| 11/01/2016               | 10/30/2015            | 3.00          | \$2,341.13  | \$1,884.81  | \$456.32                                                                      | \$0.00                                             |
| 11/02/2016               | 10/30/2015            | 22.00         | \$16,849.37 | \$13,821.96 | \$3,027.41                                                                    | \$0.00                                             |
| 11/04/2016               | 10/30/2015            | 8.00          | \$6,074.37  | \$5,028.17  | \$1,046.20                                                                    | \$0.00                                             |
| AMERICAN TOWER CORP-CL A |                       |               |             | 03027X100   |                                                                               |                                                    |
| 11/02/2016               | 08/24/2015            | 35.00         | \$3,956.38  | \$3,338.32  | \$618.06                                                                      | \$0.00                                             |
| 11/02/2016               | 08/25/2015            | 8.00          | \$904.32    | \$761.22    | \$143.10                                                                      | \$0.00                                             |
| 11/04/2016               | 08/25/2015            | 23.00         | \$2,605.89  | \$2,188.51  | \$417.38                                                                      | \$0.00                                             |
| AMGEN INC                |                       |               |             | 031162100   |                                                                               |                                                    |
| 10/19/2016               | 08/16/2013            | 200.00        | \$32,298.17 | \$23,368.58 | \$8,931.59                                                                    | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION                          | DATE       | UNITS     | PROCEEDS     | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|--------------------------------------|------------|-----------|--------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>CUSIP</b>                         |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| <b>031162100</b>                     |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| AMGEN INC                            |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 10/19/2016                           | 10/12/2015 | 32.00     | \$5,167.71   | \$4,920.57             | \$247.14         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>03524A108</b>                     |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| ANHEUSER BUSCH INBEV SPN ADR         |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/08/2016                           | 04/14/2014 | 161.00    | \$19,384.93  | \$17,414.42            | \$1,970.51       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 01/08/2016                           | 04/15/2014 | 35.00     | \$4,214.12   | \$3,775.75             | \$438.37         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 04/04/2016                           | 04/15/2014 | 202.00    | \$25,438.91  | \$21,791.50            | \$3,645.41       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>037833100</b>                     |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| APPLE COMPUTER INC COM               |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/05/2016                           | 05/06/2011 | 199.00    | \$20,507.96  | \$9,941.76             | \$10,566.20      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 06/08/2016                           | 05/06/2011 | 219.00    | \$21,654.65  | \$10,940.93            | \$10,713.72      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 09/08/2016                           | 05/06/2011 | 159.00    | \$16,799.57  | \$7,943.41             | \$8,856.16       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                           | 05/06/2011 | 10.00     | \$1,108.83   | \$469.59               | \$607.24         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                           | 05/06/2011 | 85.00     | \$9,503.60   | \$4,246.48             | \$5,257.12       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                           | 05/06/2011 | 28.00     | \$3,069.07   | \$1,398.84             | \$1,670.23       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/14/2016                           | 05/06/2011 | 161.00    | \$16,989.99  | \$8,043.33             | \$8,946.66       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>05568M814</b>                     |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| BNY MELLON INTERMEDIATE BOND FD CL M |            |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                           | 11/08/2011 | 11,838.99 | \$150,000.00 | \$154,853.98           | \$-4,853.98      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/03/2016                           | 11/08/2011 | 3,946.33  | \$50,000.00  | \$51,618.00            | \$-1,618.00      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

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### Long Term Transactions

| DESCRIPTION                          | DATE ACQUIRED | UNITS     | PROCEEDS     | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCURED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|--------------------------------------|---------------|-----------|--------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| BNY MELLON BOND FD CL M              |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| CUSIP 05569M830                      |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                           | 11/09/2011    | 15,408.32 | \$200,000.00 | \$206,163.32           | \$-6,163.32      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/03/2016                           | 11/08/2011    | 1,929.01  | \$25,000.00  | \$25,810.18            | \$-810.18        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| BNY MELLON EMERGING MKTS FD CL M SHS |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| CUSIP 05569M855                      |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/21/2016                           | 11/08/2011    | 44,000.00 | \$296,999.99 | \$429,000.00           | \$-132,000.01    | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 01/21/2016                           | 01/25/2012    | 7,684.43  | \$51,869.87  | \$75,000.00            | \$-23,130.13     | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 01/21/2016                           | 02/28/2012    | 4,775.55  | \$32,234.95  | \$50,000.00            | \$-17,765.05     | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 01/21/2016                           | 08/05/2013    | 5,411.26  | \$36,525.97  | \$50,000.00            | \$-13,474.03     | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| BANK AMER CORP                       |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| CUSIP 080505104                      |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016                           | 02/25/2015    | 250.00    | \$4,111.03   | \$4,125.13             | \$-14.10         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                           | 05/11/2015    | 470.00    | \$7,728.75   | \$7,787.48             | \$-58.73         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                           | 02/25/2015    | 250.00    | \$4,150.41   | \$4,125.12             | \$25.29          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/12/2016                           | 02/25/2015    | 1,330.00  | \$30,213.35  | \$21,945.67            | \$8,267.68       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| BIOMARIN PHARMACEUTICAL INC          |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| CUSIP 08061G101                      |               |           |              |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                           | 02/23/2015    | 10.00     | \$807.70     | \$1,076.95             | \$-269.25        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                           | 02/23/2015    | 20.00     | \$1,625.40   | \$2,153.90             | \$-528.50        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                           | 02/23/2015    | 10.00     | \$820.80     | \$1,076.95             | \$-256.15        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION                         | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|-------------------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| CUSIP 09082X103                     |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| BIOMED INC                          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/20/2016                          | 12/15/2014 | 15.00  | \$3,862.54  | \$5,059.62             | \$-1,087.08      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                          | 08/04/2015 | 2.00   | \$562.33    | \$660.01               | \$-97.68         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                          | 08/03/2015 | 4.00   | \$1,113.38  | \$1,283.73             | \$-180.35        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                          | 08/04/2015 | 11.00  | \$3,061.80  | \$3,630.05             | \$-568.25        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                          | 08/03/2015 | 5.00   | \$1,382.43  | \$1,617.16             | \$-224.73        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/19/2016                          | 02/04/2014 | 131.00 | \$37,359.99 | \$40,284.33            | \$-2,924.34      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/19/2016                          | 08/03/2015 | 3.00   | \$855.57    | \$970.29               | \$-114.72        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CAPITAL ONE FINL CORP COM 14040H105 |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 10/04/2016                          | 01/21/2014 | 70.00  | \$5,110.43  | \$5,080.13             | \$20.30          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/04/2016                          | 01/22/2014 | 50.00  | \$3,650.31  | \$3,635.20             | \$15.11          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/04/2016                          | 12/15/2014 | 120.00 | \$8,760.74  | \$9,525.71             | \$-764.97        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/08/2016                          | 04/15/2013 | 820.00 | \$59,737.34 | \$44,111.08            | \$15,626.26      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/08/2016                          | 07/11/2013 | 40.00  | \$2,914.02  | \$2,629.34             | \$284.68         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/08/2016                          | 01/22/2014 | 90.00  | \$6,556.54  | \$6,543.36             | \$13.18          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| CARDINAL HEALTH INC COM 14149Y108   |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
| 08/14/2016                          | 06/04/2014 | 420.00 | \$31,642.49 | \$29,781.44            | \$1,861.05       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



## 2016 Tax Information

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JOHN AND MARGARET MC CARTY FDN-IMA

## Long Term Transactions

| DESCRIPTION             | CUSIP                 |               |             |             | Wash sale loss disallowed | Accrued market discount | Net Gain/Loss | Cost or other basis | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-------------------------|-----------------------|---------------|-------------|-------------|---------------------------|-------------------------|---------------|---------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                         | Date sold or disposed | Date acquired | Units       | Proceeds    |                           |                         |               |                     |                                                                               |                                                    |
| CARDINAL HEALTH INC COM |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 09/14/2016              | 06/05/2014            | 280.00        | \$21,094.99 | \$19,931.94 | \$1,163.05                | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| CELANESE CORP DEL       |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 150870103               |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 11/02/2016              | 12/15/2014            | 50.00         | \$3,610.52  | \$2,855.85  | \$754.67                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016              | 12/15/2014            | 30.00         | \$2,160.61  | \$1,713.51  | \$447.10                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| CHEESECAKE FACTORY INC  |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 163072101               |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 04/18/2016              | 02/19/2015            | 150.00        | \$7,681.52  | \$7,433.69  | \$247.83                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/19/2016              | 12/15/2014            | 10.00         | \$504.27    | \$488.52    | \$17.75                   | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/19/2016              | 12/15/2014            | 60.00         | \$3,025.61  | \$2,914.68  | \$110.93                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/19/2016              | 12/16/2014            | 121.00        | \$6,101.64  | \$5,857.14  | \$244.50                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/19/2016              | 12/17/2014            | 70.00         | \$3,529.88  | \$3,412.75  | \$117.13                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/19/2016              | 02/19/2015            | 200.00        | \$10,085.36 | \$9,911.58  | \$173.78                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 04/20/2016              | 12/16/2014            | 339.00        | \$17,108.93 | \$16,409.67 | \$697.26                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| CHEVRONTEXACO CORP      |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 166764100               |                       |               |             |             |                           |                         |               |                     |                                                                               |                                                    |
| 05/03/2016              | 11/11/2011            | 7.00          | \$709.34    | \$750.88    | \$41.54                   | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 05/03/2016              | 12/01/2011            | 38.00         | \$3,850.70  | \$3,894.30  | \$43.60                   | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |
| 05/03/2016              | 11/09/2012            | 84.00         | \$8,512.06  | \$8,918.31  | \$406.23                  | \$0.00                  | \$0.00        | \$0.00              | \$0.00                                                                        | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION              | CUSIP      |        | Units |             | Proceeds   | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates including Section 988 (included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------|------------|--------|-------|-------------|------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                          | 169764100  |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
| CHEVRONTXACO CORP        |            |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
| 05/03/2016               | 12/15/2014 | 30.00  |       | \$3,040.03  | \$3,073.44 | \$-33.41            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/27/2016               | 12/15/2014 | 33.00  |       | \$3,372.23  | \$3,380.78 | \$-8.55             | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| COMCAST CORP NEW CL A    |            |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
|                          | 20030N101  |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
| 01/13/2016               | 10/14/2013 | 40.00  |       | \$2,152.49  | \$1,854.68 | \$297.81            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/13/2016               | 12/15/2014 | 160.00 |       | \$8,608.97  | \$8,610.77 | \$-200.80           | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/21/2016               | 10/14/2013 | 160.00 |       | \$8,693.14  | \$7,418.74 | \$1,274.40          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/02/2016               | 05/01/2012 | 110.00 |       | \$7,263.07  | \$3,378.73 | \$3,884.34          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/02/2016               | 07/11/2013 | 70.00  |       | \$4,621.95  | \$3,112.19 | \$1,509.76          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/02/2016               | 10/14/2013 | 20.00  |       | \$1,320.56  | \$927.34   | \$393.22            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016               | 05/01/2012 | 10.00  |       | \$612.81    | \$307.16   | \$305.65            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016               | 04/16/2012 | 40.00  |       | \$2,459.30  | \$1,187.34 | \$1,270.96          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016               | 05/01/2012 | 60.00  |       | \$3,687.45  | \$1,842.94 | \$1,844.51          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016               | 04/16/2012 | 30.00  |       | \$1,832.72  | \$890.50   | \$942.22            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/15/2016               | 04/16/2012 | 250.00 |       | \$16,744.56 | \$7,420.85 | \$9,323.71          | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| CONSTELLATION BRANDS INC |            |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
|                          | 21036P108  |        |       |             |            |                     |               |                         |                           |                                                                               |                                                    |
| 11/02/2016               | 08/14/2015 | 23.00  |       | \$3,770.17  | \$2,936.44 | \$833.73            | \$0.00        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



## 2016 Tax Information

**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

## Long Term Transactions

| DESCRIPTION                       | CUSIP         |          |             |                     | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) |                         |                           | Portion Subject to 28% Rates (Included in Net G/L) |        |
|-----------------------------------|---------------|----------|-------------|---------------------|-------------------------------------------------------------------------------|-------------------------|---------------------------|----------------------------------------------------|--------|
| Date sold or disposed             | Date acquired | Units    | Proceeds    | Cost or other basis | Net Gain/Loss                                                                 | Accrued market discount | Wash sale loss disallowed |                                                    |        |
| CONSTELLATION BRANDS INC          |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 21038P108                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 11/04/2016                        | 08/14/2015    | 14.00    | \$2,243.97  | \$1,787.40          | \$456.57                                                                      | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| DISNEY (WALT) COMPANY HOLDING CO. |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 254687106                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 11/02/2016                        | 12/15/2014    | 20.00    | \$1,842.20  | \$1,818.36          | \$23.84                                                                       | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 11/04/2016                        | 12/15/2014    | 20.00    | \$1,868.20  | \$1,818.36          | \$47.84                                                                       | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| DOW CHEMICAL COMPANY COMMON       |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 260543103                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 11/02/2016                        | 10/07/2014    | 70.00    | \$3,751.36  | \$3,500.70          | \$250.66                                                                      | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 11/04/2016                        | 10/07/2014    | 40.00    | \$2,119.63  | \$2,000.40          | \$119.23                                                                      | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| DREYFUS GLOBAL REAL ES SEC-Y      |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 261986277                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 11/02/2016                        | 11/09/2011    | 5,701.26 | \$50,000.00 | \$39,224.63         | \$10,775.37                                                                   | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 11/03/2016                        | 11/09/2011    | 2,850.63 | \$26,000.00 | \$19,612.31         | \$5,387.69                                                                    | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| ELECTRONIC ARTS                   |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 285512109                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 02/03/2016                        | 08/29/2014    | 120.00   | \$7,187.28  | \$4,532.97          | \$2,654.31                                                                    | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 02/03/2016                        | 09/15/2014    | 10.00    | \$598.94    | \$365.21            | \$233.73                                                                      | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 02/04/2016                        | 09/15/2014    | 470.00   | \$27,324.55 | \$17,164.68         | \$10,159.87                                                                   | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| EXELON CORP                       |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 30161N101                         |               |          |             |                     |                                                                               |                         |                           |                                                    |        |
| 11/01/2016                        | 08/20/2015    | 20.00    | \$668.02    | \$682.56            | \$-14.54                                                                      | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |
| 11/02/2016                        | 08/20/2015    | 180.00   | \$5,893.43  | \$6,143.04          | \$-249.61                                                                     | \$0.00                  | \$0.00                    | \$0.00                                             | \$0.00 |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION             | DATE       | UNITS      | PROCEEDS | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCURED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|-------------------------|------------|------------|----------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| CUSIP                   |            |            |          |                        |                  |                               |                              |                                                                                           |                                                             |
| 30161N101               |            |            |          |                        |                  |                               |                              |                                                                                           |                                                             |
| EXELON CORP             | 11/04/2016 | 08/20/2015 | 70.00    | \$2,299.59             | \$2,388.96       | \$-89.37                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 30231G102               |            |            |          |                        |                  |                               |                              |                                                                                           |                                                             |
| EXXON MOBIL CORP        | 03/03/2016 | 12/15/2014 | 16.00    | \$1,314.01             | \$1,401.25       | \$-87.24                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/02/2016 | 08/21/2015 | 40.00    | \$3,351.61             | \$2,958.38       | \$383.23                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/04/2016 | 08/21/2015 | 20.00    | \$1,659.80             | \$1,479.19       | \$180.61                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 30303M102               |            |            |          |                        |                  |                               |                              |                                                                                           |                                                             |
| FACEBOOK INC            | 11/01/2016 | 08/02/2015 | 12.00    | \$1,546.79             | \$987.03         | \$579.76                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/02/2016 | 05/04/2015 | 10.00    | \$1,287.26             | \$789.02         | \$478.24                      | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/02/2016 | 08/02/2015 | 138.00   | \$17,488.19            | \$11,120.90      | \$6,367.29                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/04/2016 | 05/04/2015 | 51.00    | \$6,162.99             | \$4,024.02       | \$2,138.97                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/14/2016 | 05/04/2015 | 142.00   | \$16,465.99            | \$11,204.15      | \$5,261.84                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/16/2016 | 12/15/2014 | 66.00    | \$7,727.91             | \$5,154.47       | \$2,573.44                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 11/16/2016 | 05/04/2015 | 37.00    | \$4,332.31             | \$2,919.39       | \$1,412.92                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 406216101               |            |            |          |                        |                  |                               |                              |                                                                                           |                                                             |
| HALLIBURTON COMPANY COM | 08/22/2016 | 10/10/2014 | 130.00   | \$5,805.01             | \$7,185.22       | \$-1,380.21                   | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
|                         | 08/22/2016 | 12/31/2014 | 260.00   | \$11,610.02            | \$10,217.19      | \$1,392.83                    | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017039

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### Long Term Transactions

| DESCRIPTION                                       | CUSIP | Date sold or disposed | Date acquired | Units  | Proceeds    | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|---------------------------------------------------|-------|-----------------------|---------------|--------|-------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| <b>HALLIBURTON COMPANY COM</b> 408218101          |       |                       |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016                                        |       | 12/31/2014            |               | 20.00  | \$911.75    | \$785.94            | \$125.81      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                                        |       | 12/31/2014            |               | 90.00  | \$4,155.07  | \$3,538.72          | \$618.35      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>HARLEY DAVIDSON INC</b> 412822108              |       |                       |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 01/07/2016                                        |       | 08/16/2013            |               | 400.00 | \$17,287.96 | \$23,370.28         | \$-6,082.32   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/07/2016                                        |       | 08/18/2013            |               | 430.00 | \$18,584.56 | \$25,068.57         | \$-6,484.01   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/07/2016                                        |       | 12/15/2014            |               | 80.00  | \$3,889.79  | \$6,028.53          | \$-2,138.74   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>HARTFORD FINL SVCS GROUP INC COM</b> 416515104 |       |                       |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 07/20/2016                                        |       | 10/20/2014            |               | 100.00 | \$4,384.91  | \$3,647.96          | \$716.95      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 07/20/2016                                        |       | 12/15/2014            |               | 180.00 | \$8,293.32  | \$7,671.93          | \$621.39      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>HERSHEY FOODS CORPORATION COM</b> 427888108    |       |                       |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 01/08/2016                                        |       | 12/19/2014            |               | 170.00 | \$14,561.86 | \$17,865.80         | \$-3,303.74   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/28/2016                                        |       | 12/19/2014            |               | 154.00 | \$13,079.77 | \$16,184.14         | \$-3,104.37   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/28/2016                                        |       | 01/07/2015            |               | 46.00  | \$3,906.94  | \$4,829.59          | \$-922.65     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 03/22/2016                                        |       | 12/18/2014            |               | 189.00 | \$17,846.43 | \$20,556.38         | \$-2,709.95   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 03/22/2016                                        |       | 01/07/2015            |               | 94.00  | \$8,429.97  | \$9,869.16          | \$-1,439.19   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



## Account Number 10593017030

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JOHN AND MARGARET MC CARTY FDN-IMA

**DESCRIPTION**

Date sold or disposed

Portion Subject to  
Ordinary Rates  
Including Section  
988 (Included in  
Net G/L)

**Portion Subject  
to 28% Rates  
(Included in  
Net G/L)**

| HOME DEPOT INC USD 0.06       |            | 437076102 |             |             |             |        |        |        |        |
|-------------------------------|------------|-----------|-------------|-------------|-------------|--------|--------|--------|--------|
| 11/02/2016                    | 12/15/2014 | 40.00     | \$4,816.77  | \$3,994.72  | \$822.05    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                    | 12/15/2014 | 24.00     | \$2,917.66  | \$2,396.83  | \$520.83    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| HONEYWELL INTL INC            |            | 436516108 |             |             |             |        |        |        |        |
| 11/02/2016                    | 12/15/2014 | 45.00     | \$4,885.63  | \$4,299.12  | \$568.51    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                    | 12/15/2014 | 30.00     | \$3,259.19  | \$2,866.09  | \$393.10    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| ILLINOIS TOOL WORKS INC COM   |            | 452308109 |             |             |             |        |        |        |        |
| 11/02/2016                    | 12/15/2014 | 52.00     | \$5,896.25  | \$4,820.30  | \$1,075.95  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                    | 12/15/2014 | 28.00     | \$3,198.81  | \$2,595.54  | \$603.27    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                    | 08/04/2015 | 4.00      | \$456.97    | \$354.40    | \$102.57    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| INTERCONTINENTAL EXCHANGE GRO |            | 45868F104 |             |             |             |        |        |        |        |
| 07/20/2016                    | 01/17/2013 | 84.00     | \$22,082.61 | \$11,008.50 | \$11,074.11 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 07/20/2016                    | 07/11/2013 | 17.00     | \$4,468.10  | \$3,030.41  | \$1,438.69  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/01/2016                    | 01/17/2013 | 4.00      | \$1,054.15  | \$524.21    | \$528.94    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/02/2016                    | 01/17/2013 | 33.00     | \$6,742.89  | \$4,324.77  | \$4,418.12  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                    | 01/17/2013 | 58.00     | \$3,005.00  | \$1,467.80  | \$1,537.20  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |



**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| Date sold or disposed | Date acquired | Units  | Proceeds    | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 968 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------|---------------|--------|-------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| JOHNSON & JOHNSON COM |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
|                       |               |        | 478180104   |                     |               |                         |                           |                                                                               |                                                    |
| 10/06/2016            | 08/03/2015    | 113.00 | \$13,394.49 | \$11,261.39         | \$2,133.10    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016            | 08/03/2015    | 7.00   | \$804.34    | \$697.61            | \$106.73      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016            | 07/09/2015    | 36.00  | \$4,140.40  | \$3,564.54          | \$575.86      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016            | 08/03/2015    | 26.00  | \$2,990.29  | \$2,591.12          | \$399.17      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016            | 07/09/2015    | 21.00  | \$2,420.45  | \$2,078.32          | \$341.13      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| MICROSOFT CORP COM    |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
|                       |               |        | 594918104   |                     |               |                         |                           |                                                                               |                                                    |
| 10/13/2016            | 09/22/2015    | 230.00 | \$13,113.97 | \$10,031.08         | \$3,082.89    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016            | 08/22/2015    | 10.00  | \$593.71    | \$436.13            | \$157.58      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016            | 08/22/2015    | 60.00  | \$3,571.64  | \$2,616.80          | \$954.84      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016            | 08/22/2015    | 20.00  | \$1,162.51  | \$872.27            | \$310.24      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| MONSTER BEVERAGE CORP |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
|                       |               |        | 61174X109   |                     |               |                         |                           |                                                                               |                                                    |
| 11/02/2016            | 01/13/2014    | 29.00  | \$4,195.47  | \$2,020.69          | \$2,174.78    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016            | 01/13/2014    | 18.00  | \$2,377.41  | \$1,254.22          | \$1,123.19    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| NUCOR CORP            |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
|                       |               |        | 670346105   |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016            | 12/15/2014    | 10.00  | \$476.31    | \$514.48            | \$-38.17      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016            | 12/15/2014    | 100.00 | \$4,632.67  | \$5,144.80          | \$-512.13     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



## Account Number 10593017030

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JOHN AND MARGARET MC CARTY FDN-IMA

**DESCRIPTION**

| Date sold or disposed                   | Date acquired | Units  | Proceeds    | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------------------------|---------------|--------|-------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| <b>PNC FINANCIAL SERVICES GROUP INC</b> |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| <b>683475105</b>                        |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 01/19/2016                              | 11/03/2011    | 317.00 | \$27,043.63 | \$16,965.21         | \$10,078.42   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/19/2016                              | 12/15/2014    | 80.00  | \$6,824.89  | \$7,007.98          | \$-183.09     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/20/2016                              | 11/03/2011    | 383.00 | \$32,014.88 | \$20,497.39         | \$11,517.49   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>PALO ALTO NETWORKS INC</b>           |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| <b>697435105</b>                        |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 07/21/2016                              | 03/31/2015    | 53.00  | \$6,714.94  | \$7,798.25          | \$-1,083.31   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 08/02/2016                              | 03/31/2015    | 144.00 | \$18,241.23 | \$21,187.70         | \$-2,946.47   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                              | 03/25/2015    | 21.00  | \$3,088.76  | \$2,916.20          | \$170.56      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                              | 03/25/2015    | 10.00  | \$1,479.78  | \$1,388.87          | \$91.11       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>PEPSICO INC</b>                      |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| <b>713448108</b>                        |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 11/02/2016                              | 12/15/2014    | 65.00  | \$6,957.33  | \$6,160.57          | \$796.76      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                              | 12/15/2014    | 37.00  | \$3,928.64  | \$3,508.79          | \$421.85      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>PFTZER INC COM</b>                   |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| <b>717081103</b>                        |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 09/14/2016                              | 01/08/2015    | 490.00 | \$16,614.65 | \$15,930.39         | \$684.26      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/14/2016                              | 08/28/2015    | 470.00 | \$15,836.50 | \$16,088.34         | \$-151.84     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 10/08/2016                              | 12/15/2014    | 160.00 | \$5,387.75  | \$4,981.28          | \$406.47      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016                              | 12/15/2014    | 20.00  | \$618.03    | \$620.16            | \$-2.13       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



**Account Number 10593017030**

**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**[illegible]

**717081103**

|                             |            |           |             |             |            |        |        |        |        |
|-----------------------------|------------|-----------|-------------|-------------|------------|--------|--------|--------|--------|
| 11/02/2016                  | 07/11/2013 | 70.00     | \$2,149.09  | \$2,019.29  | \$129.80   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/02/2016                  | 10/22/2013 | 70.00     | \$2,149.09  | \$2,150.86  | \$-1.77    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/02/2016                  | 12/15/2014 | 30.00     | \$921.04    | \$930.24    | \$-9.20    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                  | 11/03/2011 | 30.00     | \$898.54    | \$592.74    | \$305.80   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                  | 07/11/2013 | 30.00     | \$898.54    | \$865.41    | \$33.13    | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| PHILIP MORRIS INTERNATIONAL |            | 716172109 |             |             |            |        |        |        |        |
| 10/03/2016                  | 12/15/2014 | 70.00     | \$6,811.19  | \$5,827.36  | \$983.83   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 10/03/2016                  | 04/30/2015 | 280.00    | \$27,244.75 | \$23,309.27 | \$3,935.48 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/01/2016                  | 04/30/2015 | 20.00     | \$1,919.60  | \$1,664.95  | \$254.65   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/02/2016                  | 04/30/2015 | 70.00     | \$6,728.89  | \$5,827.32  | \$901.57   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/02/2016                  | 08/29/2015 | 10.00     | \$661.27    | \$785.92    | \$175.35   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 11/04/2016                  | 09/29/2015 | 20.00     | \$1,916.83  | \$1,571.84  | \$344.99   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| PHILLIPS 66                 |            | 716546104 |             |             |            |        |        |        |        |
| 02/11/2016                  | 11/20/2014 | 150.00    | \$10,902.57 | \$11,650.07 | \$-747.50  | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 02/11/2016                  | 12/10/2014 | 120.00    | \$8,722.06  | \$8,052.54  | \$669.52   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 02/11/2016                  | 12/11/2014 | 140.00    | \$10,175.73 | \$9,480.03  | \$695.70   | \$0.00 | \$0.00 | \$0.00 | \$0.00 |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION                        | DATE       | UNITS  | PROCEEDS    | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCRUED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|------------------------------------|------------|--------|-------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>PHILLIPS 66</b>                 |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                    |            |        | 718546104   |                        |                  |                               |                              |                                                                                           |                                                             |
| 02/11/2016                         | 12/15/2014 | 70.00  | \$5,087.87  | \$4,607.98             | \$479.91         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>PIONEER NAT RES CO</b>          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                    |            |        | 723787107   |                        |                  |                               |                              |                                                                                           |                                                             |
| 05/03/2016                         | 02/19/2015 | 97.00  | \$15,452.04 | \$15,539.84            | \$-87.80         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/25/2016                         | 02/17/2015 | 78.00  | \$14,059.52 | \$12,264.13            | \$1,795.39       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 08/25/2016                         | 02/19/2015 | 18.00  | \$3,244.51  | \$2,883.68             | \$360.83         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                         | 02/17/2015 | 3.00   | \$534.39    | \$471.70               | \$62.69          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                         | 02/17/2015 | 24.00  | \$4,149.87  | \$3,773.58             | \$376.09         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>PROCTER &amp; GAMBLE CO COM</b> |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                    |            |        | 742718109   |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016                         | 01/13/2015 | 20.00  | \$1,732.84  | \$1,922.04             | \$-89.40         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                         | 01/13/2015 | 110.00 | \$9,555.08  | \$10,021.20            | \$-466.12        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                         | 12/15/2014 | 10.00  | \$857.00    | \$894.52               | \$-37.52         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                         | 01/13/2015 | 30.00  | \$2,571.00  | \$2,733.05             | \$-162.05        | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>SALESFORCE COM INC</b>          |            |        |             |                        |                  |                               |                              |                                                                                           |                                                             |
|                                    |            |        | 79466L302   |                        |                  |                               |                              |                                                                                           |                                                             |
| 10/14/2016                         | 11/03/2011 | 130.00 | \$9,360.16  | \$4,289.94             | \$5,070.22       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/18/2016                         | 11/03/2011 | 280.00 | \$20,357.41 | \$9,239.86             | \$11,117.55      | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                         | 11/03/2011 | 10.00  | \$754.80    | \$330.00               | \$424.80         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



## 2016 Tax Information

**Account Number 10593017030**

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JOHN AND MARGARET MC CARTY FDN-IMA

## Long Term Transactions

| DESCRIPTION              | CUSIP                 |               |             |             | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------|-----------------------|---------------|-------------|-------------|-------------------------------------------------------------------------------|----------------------------------------------------|
|                          | Date sold or disposed | Date acquired | Units       | Proceeds    |                                                                               |                                                    |
| SALESFORCE COM INC       |                       |               |             |             |                                                                               |                                                    |
|                          |                       |               | 79488L302   |             |                                                                               |                                                    |
| 11/02/2016               | 11/03/2011            | 70.00         | \$5,263.32  | \$2,309.96  | \$2,953.36                                                                    | \$0.00                                             |
| 11/04/2016               | 11/03/2011            | 20.00         | \$1,498.80  | \$859.99    | \$838.81                                                                      | \$0.00                                             |
| SCHLUMBERGER LIMITED COM |                       |               |             |             |                                                                               |                                                    |
|                          |                       |               | 808857108   |             |                                                                               |                                                    |
| 08/23/2016               | 05/22/2015            | 10.00         | \$817.98    | \$920.95    | \$-102.99                                                                     | \$0.00                                             |
| 08/23/2016               | 05/26/2015            | 160.00        | \$13,087.28 | \$14,588.38 | \$-1,501.10                                                                   | \$0.00                                             |
| 08/23/2016               | 07/22/2015            | 40.00         | \$3,271.82  | \$3,382.04  | \$-110.22                                                                     | \$0.00                                             |
| 11/01/2016               | 07/22/2015            | 10.00         | \$780.40    | \$845.51    | \$-65.11                                                                      | \$0.00                                             |
| 11/02/2016               | 07/22/2015            | 80.00         | \$8,222.58  | \$8,764.07  | \$-541.51                                                                     | \$0.00                                             |
| 11/04/2016               | 07/22/2015            | 20.00         | \$1,567.20  | \$1,691.02  | \$-123.82                                                                     | \$0.00                                             |
| SCHWAB CHARLES CORP NEW  |                       |               |             |             |                                                                               |                                                    |
|                          |                       |               | 808513105   |             |                                                                               |                                                    |
| 11/01/2016               | 08/29/2015            | 30.00         | \$938.74    | \$971.04    | \$-32.30                                                                      | \$0.00                                             |
| 11/02/2016               | 08/29/2015            | 280.00        | \$8,713.06  | \$9,063.04  | \$-349.98                                                                     | \$0.00                                             |
| 11/04/2016               | 08/29/2015            | 90.00         | \$2,807.21  | \$2,913.12  | \$-105.91                                                                     | \$0.00                                             |
| SEMPRA ENERGY            |                       |               |             |             |                                                                               |                                                    |
|                          |                       |               | 818851109   |             |                                                                               |                                                    |
| 01/06/2016               | 12/15/2014            | 42.00         | \$3,878.19  | \$4,459.48  | \$-581.29                                                                     | \$0.00                                             |
| 01/07/2016               | 11/03/2011            | 308.00        | \$26,903.09 | \$18,449.95 | \$10,453.14                                                                   | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION             | DATE       | UNITS  | PROCEEDS         | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCURED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|-------------------------|------------|--------|------------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>SEMPRA ENERGY</b>    |            |        | <b>818851108</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/07/2016              | 12/01/2011 | 60.00  | \$6,275.12       | \$3,183.19             | \$2,091.93       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>SERVICENOW INC</b>   |            |        | <b>81762P102</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 03/17/2016              | 08/14/2013 | 210.00 | \$12,779.27      | \$9,043.84             | \$3,735.43       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 03/17/2016              | 08/15/2013 | 80.00  | \$4,888.29       | \$3,478.58             | \$1,389.71       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 03/30/2016              | 08/09/2013 | 120.00 | \$7,359.87       | \$5,126.59             | \$2,233.28       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 03/30/2016              | 08/14/2013 | 240.00 | \$14,719.75      | \$10,335.82            | \$4,383.93       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 03/31/2016              | 08/09/2013 | 380.00 | \$21,872.47      | \$15,379.78            | \$6,492.69       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>3M CO</b>            |            |        | <b>88579Y101</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/02/2016              | 09/03/2015 | 25.00  | \$4,149.08       | \$3,563.30             | \$585.78         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016              | 09/03/2015 | 13.00  | \$2,167.14       | \$1,852.92             | \$314.22         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>TIME WARNER INC.</b> |            |        | <b>887317303</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/01/2016              | 11/20/2014 | 10.00  | \$882.64         | \$815.40               | \$67.24          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016              | 11/20/2014 | 140.00 | \$12,267.28      | \$11,415.60            | \$851.68         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016              | 08/14/2014 | 30.00  | \$2,603.18       | \$2,273.33             | \$329.85         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016              | 11/20/2014 | 10.00  | \$687.73         | \$615.40               | \$52.33          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| Date sold or disposed          | Date acquired | Units  | Proceeds    | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|--------------------------------|---------------|--------|-------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| UNION PACIFIC CORP             |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 907818108                      |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 01/22/2016                     | 11/03/2011    | 472.00 | \$32,724.85 | \$23,736.41         | \$8,988.44    | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/22/2016                     | 07/11/2013    | 9.00   | \$623.99    | \$715.44            | \$-91.45      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/22/2016                     | 08/15/2014    | 44.00  | \$3,050.62  | \$4,480.26          | \$-1,429.64   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 01/22/2016                     | 12/15/2014    | 60.00  | \$4,159.94  | \$6,740.88          | \$-2,580.94   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| UNITED TECHNOLOGIES CORP       |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 913017108                      |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016                     | 12/15/2014    | 9.00   | \$914.63    | \$1,010.95          | \$-96.32      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 12/15/2014    | 67.00  | \$6,811.20  | \$7,525.98          | \$-714.78     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| UNIVERSAL HEALTH SVCS INC CL B |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 913903100                      |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016                     | 08/22/2015    | 5.00   | \$596.85    | \$694.79            | \$-97.94      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 08/22/2015    | 41.00  | \$4,981.27  | \$5,697.26          | \$-715.99     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                     | 08/22/2015    | 13.00  | \$1,560.76  | \$1,806.45          | \$-245.69     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| VERIZON COMMUNICATIONS INC     |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 92343V104                      |               |        |             |                     |               |                         |                           |                                                                               |                                                    |
| 09/02/2016                     | 12/15/2014    | 50.00  | \$2,628.01  | \$2,266.40          | \$361.61      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 09/02/2016                     | 08/12/2015    | 10.00  | \$525.60    | \$473.44            | \$52.16       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/01/2016                     | 08/29/2015    | 10.00  | \$475.51    | \$435.92            | \$39.59       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                     | 08/29/2015    | 60.00  | \$2,816.77  | \$2,615.53          | \$201.24      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

#### DESCRIPTION

#### CUSIP

| Data sold or disposed             | Date acquired | Units  | Proceeds   | Cost or other basis | Net Gain/Loss | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------------------|---------------|--------|------------|---------------------|---------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| <b>VERIZON COMMUNICATIONS INC</b> |               |        |            |                     |               |                         |                           |                                                                               |                                                    |
|                                   |               |        | 92343V104  |                     |               |                         |                           |                                                                               |                                                    |
| 11/04/2016                        | 09/29/2015    | 20.00  | \$940.22   | \$871.84            | \$68.38       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>VOYA FINANCIAL INC</b>         |               |        |            |                     |               |                         |                           |                                                                               |                                                    |
|                                   |               |        | 925089100  |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016                        | 10/22/2015    | 30.00  | \$904.84   | \$1,220.32          | \$-315.48     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 10/22/2015    | 220.00 | \$6,696.69 | \$8,949.03          | \$-2,252.34   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 10/22/2015    | 80.00  | \$2,415.30 | \$3,254.19          | \$-838.89     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>WELLS FARGO &amp; CO NEW</b>   |               |        |            |                     |               |                         |                           |                                                                               |                                                    |
|                                   |               |        | 949746101  |                     |               |                         |                           |                                                                               |                                                    |
| 11/01/2016                        | 09/10/2015    | 10.00  | \$456.51   | \$526.16            | \$-69.65      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 09/10/2015    | 140.00 | \$6,341.59 | \$7,366.17          | \$-1,024.58   | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 09/10/2015    | 40.00  | \$1,805.84 | \$2,104.62          | \$-298.78     | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>YUM BRANDS INC</b>             |               |        |            |                     |               |                         |                           |                                                                               |                                                    |
|                                   |               |        | 988498101  |                     |               |                         |                           |                                                                               |                                                    |
| 11/02/2016                        | 07/11/2013    | 10.00  | \$597.91   | \$513.74            | \$84.17       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 04/24/2014    | 50.00  | \$2,989.53 | \$2,750.29          | \$239.24      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 07/11/2013    | 30.00  | \$1,801.21 | \$1,541.21          | \$260.00      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>YUM CHINA HOLDINGS INC</b>     |               |        |            |                     |               |                         |                           |                                                                               |                                                    |
|                                   |               |        | 98850P109  |                     |               |                         |                           |                                                                               |                                                    |
| 11/02/2016                        | 07/11/2013    | 10.00  | \$284.61   | \$203.45            | \$81.16       | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 04/24/2014    | 50.00  | \$1,323.07 | \$1,089.17          | \$233.90      | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |



**BNY MELLON**  
WEALTH MANAGEMENT

JOHN AND MARGARET MC CARTY FDN-IMA

## 2016 Tax Information

Account Number 10593017030

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### Long Term Transactions

| DESCRIPTION                           | DATE       | UNITS  | PROCEEDS         | COST OR<br>OTHER BASIS | NET<br>GAIN/LOSS | ACCURED<br>MARKET<br>DISCOUNT | WASH SALE LOSS<br>DISALLOWED | PORTION SUBJECT TO<br>ORDINARY RATES<br>INCLUDING SECTION<br>988 (INCLUDED IN<br>NET G/L) | PORTION SUBJECT<br>TO 28% RATES<br>(INCLUDED IN<br>NET G/L) |
|---------------------------------------|------------|--------|------------------|------------------------|------------------|-------------------------------|------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| <b>YUM CHINA HOLDINGS INC</b>         |            |        |                  |                        |                  |                               |                              |                                                                                           |                                                             |
|                                       |            |        | <b>98550P109</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 11/04/2016                            | 07/11/2013 | 30.00  | \$822.04         | \$610.35               | \$211.69         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/08/2016                            | 11/03/2011 | 600.00 | \$16,775.63      | \$9,131.26             | \$7,644.37       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/06/2016                            | 02/01/2013 | 180.00 | \$5,312.28       | \$3,548.36             | \$1,763.92       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/08/2016                            | 07/11/2013 | 20.00  | \$559.19         | \$408.90               | \$152.29         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 12/08/2016                            | 12/15/2014 | 110.00 | \$3,075.53       | \$2,229.19             | \$846.34         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ALLERGAN PLC</b>                   |            |        |                  |                        |                  |                               |                              |                                                                                           |                                                             |
|                                       |            |        | <b>G0177J108</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 01/20/2016                            | 08/30/2013 | 12.00  | \$3,473.52       | \$1,728.12             | \$1,745.40       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| <b>ACCENTURE PLC IRELAND SHS CL A</b> |            |        |                  |                        |                  |                               |                              |                                                                                           |                                                             |
|                                       |            |        | <b>G1151C101</b> |                        |                  |                               |                              |                                                                                           |                                                             |
| 02/11/2016                            | 11/07/2014 | 19.00  | \$1,774.45       | \$1,585.54             | \$188.91         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 04/20/2016                            | 11/07/2014 | 182.00 | \$21,049.99      | \$15,187.77            | \$5,862.22       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/07/2016                            | 11/06/2014 | 44.00  | \$5,111.71       | \$3,660.32             | \$1,451.39       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 10/07/2016                            | 11/07/2014 | 129.00 | \$14,986.61      | \$10,764.96            | \$4,221.65       | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/01/2016                            | 11/06/2014 | 3.00   | \$347.28         | \$249.57               | \$87.71          | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/02/2016                            | 11/06/2014 | 28.00  | \$3,324.98       | \$2,329.30             | \$995.68         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |
| 11/04/2016                            | 11/06/2014 | 9.00   | \$1,053.08       | \$748.70               | \$304.38         | \$0.00                        | \$0.00                       | \$0.00                                                                                    | \$0.00                                                      |



**Account Number 10593017030**

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**JOHN AND MARGARET MC CARTY FDN-IMA**

**DESCRIPTION**

| Date sold or disposed             | Date acquired | Units  | Proceeds              | Cost or other basis   | Net Gain/Loss      | Accrued market discount | Wash sale loss disallowed | Portion Subject to Ordinary Rates Including Section 988 (Included in Net G/L) | Portion Subject to 28% Rates (Included in Net G/L) |
|-----------------------------------|---------------|--------|-----------------------|-----------------------|--------------------|-------------------------|---------------------------|-------------------------------------------------------------------------------|----------------------------------------------------|
| <b>EATON CORP PLC</b>             |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| <b>G29183103</b>                  |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| 11/02/2016                        | 12/15/2014    | 70.00  | \$4,275.03            | \$4,143.74 *          | \$131.29           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 12/15/2014    | 40.00  | \$2,439.23            | \$2,345.05 *          | \$94.18            | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>INGERSOLL-RAND PLC</b>         |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| <b>G47791101</b>                  |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| 11/02/2016                        | 12/15/2014    | 80.00  | \$5,399.44            | \$4,934.56            | \$463.88           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/04/2016                        | 12/15/2014    | 50.00  | \$3,431.52            | \$3,084.10            | \$347.42           | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>INVESCO LTD</b>                |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| <b>G491BT108</b>                  |               |        |                       |                       |                    |                         |                           |                                                                               |                                                    |
| 11/01/2016                        | 12/15/2014    | 40.00  | \$1,108.09            | \$1,542.97            | \$-434.88          | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| 11/02/2016                        | 12/15/2014    | 240.00 | \$6,648.44            | \$9,257.83            | \$-2,609.39        | \$0.00                  | \$0.00                    | \$0.00                                                                        | \$0.00                                             |
| <b>Total Long Term Gain/Loss:</b> |               |        | <b>\$2,632,190.07</b> | <b>\$2,558,515.89</b> | <b>\$73,674.18</b> | <b>\$0.00</b>           | <b>\$0.00</b>             | <b>\$0.00</b>                                                                 | <b>\$0.00</b>                                      |