EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , **and ending**

Name of foundation
BARBARA AND SANFORD H. ORKIN FAMILY FOUNDATION, INC

A Employer identification number
58-1451650

Number and street (or P O box number if mail is not delivered to street address)
3050 PEACHTREE ROAD, N.W.

Room/suite
530

B Telephone number
4042312333

City or town, state or province, country, and ZIP or foreign postal code
ATLANTA, GA 30305

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 15,269,880.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,828.	5,828.		STATEMENT 1
	4 Dividends and interest from securities	212,849.	212,849.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	446,695.			
	b Gross sales price for all assets on line 6a 8,322,676.				
	7 Capital gain net income (from Part IV, line 2)		446,695.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-39,982.	7,085.		STATEMENT 3
	12 **Total** Add lines 1 through 11	625,390.	672,457.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 4	86,204.	86,204.		0.
	17 Interest				
	18 Taxes STMT 5	5,961.	1,546.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	10,971.	9,195.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	103,136.	96,945.		0.
	25 Contributions, gifts, grants paid	1,153,525.			1,153,525.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,256,661.	96,945.		1,153,525.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-631,271.			
	b **Net investment income** (if negative, enter -0-)		575,512.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA **For Paperwork Reduction Act Notice, see instructions.**

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	Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	30,994.	180,994.	180,994.
	2 Savings and temporary cash investments	125,514.	381,680.	381,680.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	8,067,691.	7,065,858.	10,640,448.
	c Investments - corporate bonds STMT 8	1,092,511.	2,452,511.	2,471,761.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	2,235,908.	840,304.	1,594,997.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	11,552,618.	10,921,347.	15,269,880.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31**			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	11,552,618.	10,921,347.	
	30 **Total net assets or fund balances**	11,552,618.	10,921,347.	
	31 **Total liabilities and net assets/fund balances**	11,552,618.	10,921,347.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,552,618.
2	Enter amount from Part I, line 27a	2	-631,271.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,921,347.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,921,347.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT	P		
b	GAINS FROM PASSTHROUGHS	P		
c	LIBERTY HARBOR DISTRIBUTION IN EXCESS OF			
d	BASIS	P		
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,168,502.		7,875,981.	292,521.
b	123,624.			123,624.
c				
d	234.			234.
e	30,316.			30,316.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				292,521.
b				123,624.
c				
d				234.
e				30,316.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2 446,695.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,270,136.	15,660,153.	.081106
2014	747,988.	15,733,228.	.047542
2013	385,862.	14,619,859.	.026393
2012	368,340.	13,027,705.	.028274
2011	328,294.	10,449,436.	.031417

2	**Total** of line 1, column (d)	2	.214732
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042946
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	14,937,543.
5	Multiply line 4 by line 3	5	641,508.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,755.
7	Add lines 5 and 6	7	647,263.
8	Enter qualifying distributions from Part XII, line 4	8	1,153,525.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

BARBARA AND SANFORD H. ORKIN FAMILY FOUNDATION, INC 58-1451650

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	5,755.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	5,755.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	5,755.
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	8,400.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	12,400.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	6,645.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 6,645.	**Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SANFORD ORKIN Telephone no. ▶ 404-231-2333

Located at ▶ 3050 PEACHTREE RD, STE 530, ATLANTA, GA ZIP+4 ▶ 30305

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ , , ,				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ , , ,				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SANFORD ORKIN 3050 PEACHTREE RD, STE 530 ATLANTA, GA 30305	PRES. 0.50	0.	0.	0.
BARBARA ORKIN 3050 PEACHTREE RD, STE 530 ATLANTA, GA 30305	VP/SECRETARY 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,283,479.
b	Average of monthly cash balances	1b	881,539.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	15,165,018.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	15,165,018.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	227,475.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,937,543.
6	**Minimum investment return.** Enter 5% of line 5	6	746,877.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	746,877.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 5,755.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	5,755.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	741,122.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	741,122.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	741,122.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,153,525.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,153,525.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,755.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,147,770.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				741,122.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015 307,416.				
f **Total** of lines 3a through e	307,416.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 1,153,525.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				741,122.
e Remaining amount distributed out of corpus	412,403.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	719,819.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	719,819.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015 307,416.				
e Excess from 2016 412,403.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SANFORD ORKIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AHAVATH ACHIM CONGREGATION 600 PEACHTREE BATTLE ATLANTA, GA 30327	NONE	501(C)(3)	RELIGIOUS	6,075.
AMERICAN JEWISH COMMITTEE 3525 PIEDMONT ROAD, NE ATLANTA, GA 30305	NONE	501(C)(3)	CIVIC ORGANIZATION	5,000.
ATLANTA JEWISH FILM FESTIVAL 3525 PIEDMONT ROAD, NE ATLANTA, GA 30305	NONE	501(C)(3)	CIVIC ORGANIZATION	5,000.
ATLANTA SYMPHONY ORCHESTRA 1280 PEACHTREE STREET, NE ATLANTA, GA 30309	NONE	501(C)(3)	CIVIC ORGANIZATION	2,750.
HIGH MUSEUM OF ART 1280 PEACHTREE STREET, NE ATLANTA, GA 30309	NONE	501(C)(3)	ART ORGANIZATION	12,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	1,153,525.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,828.	
4 Dividends and interest from securities			14	212,849.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	7,085.	
8 Gain or (loss) from sales of assets other than inventory			18	446,695.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a LOSS FROM PASSTHROUGH	525990	-76,111.			
b REFUND OF EXCISE TAX				29,044.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-76,111.		701,501.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 625,390.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sanford Orkin 11-6-17 PRESIDENT

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
JAMES J. LOWRY	James Lowry CPA	11-3-17		P01231359

Firm's name ▶ SHO INVESTMENT MANAGEMENT COMPANY

Firm's EIN ▶ 26-0640672

Firm's address ▶ 3050 PEACHTREE RD, NW, SUITE 530
ATLANTA, GA 30305

Phone no. 404-231-2333

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AHAVATH ACHIM GOODMAN INSTITUTE OF LEARNING 600 PEACHTREE BATTLE ATLANTA, GA 30327	NONE	501(C)(3)	RELIGIOUS ORGANIZATION	1,000.
MD ANDERSON CANCER CENTER 1515 HOLCOMBE BLVD HOUSTON, TX 77030	NONE	501(C)(3)	CANCER RESEARCH AND TREATMENT FACILITIES	62,500.
RINGLING COLLEGE OF ART AND DESIGN 2700 NORTH TAMIAMI TRAIL SARASOTA, FL 34234	NONE	501(C)(3)	EDUCATION INSTITUTION	50,000.
SARASOTA ORCHESTRA 709 NORTH TAMIAMI TRAIL SARASOTA, FL 34236	NONE	501(C)(3)	ARTS ORGANIZATION	5,000.
THE EPSTEIN SCHOOL 335 COLEWOOD WAY SANDY SPRINGS, GA 30328	NONE	501(C)(3)	CAPITAL PROJECT FOR PRIMARY SCHOOL	50,000.
THE WEBER SCHOOL 6751 ROSWELL ROAD SANDY SPRINGS, GA 30328	NONE	501(C)(3)	FUNDING OF SCHOLARSHIP ENDOWMENT	250,000.
THE WILLIAM BREMAN JEWISH HOME 2026 WOMACK ROAD DUNWOODY, GA 30338	NONE	501(C)(3)	ASSISTED LIVING AND MEMORY CARE FACILITY ANNUAL FUND TOWARD OPERATIONS	2,500.
THE WILLIAM BREMAN JEWISH HOME - BERMAN COMMONS CAPITAL CAMPAIGN 2026 WOMACK ROAD DUNWOODY, GA 30338	NONE	501(C)(3)	ASSISTED LIVING AND MEMORY CARE FACILITY	50,000.
UNIVERSITY OF GEORGIA FOUNDATION - BLUE KEY STUDENT PROGRAM 394 SOUTH MILLEDGE AVE ATHENS, GA 30602	NONE	501(C)(3)	EDUCATION	2,500.
VAN WEZEL FOUNDATION, INC 777 NORTH TAMIAMI TRAIL SARASOTA, FL 34232	NONE	501(C)(3)	ARTS ORGANIZATION	5,000.
Total from continuation sheets				1,122,200.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WEINSTEIN HOSPICE 3150 HOWELL MILL ROAD NW ATLANTA, GA 30327	NONE	501(C)(3)	CIVIC ORGANIZATION	1,000.
PACE ACADEMY 966 WEST PACES FERRY ROAD NW ATLANTA, GA 30327	NONE	501(C)(3)	EDUCATION	2,500.
LANIER TECHNICAL COLLEGE FOUNDATION - THE REID SCHLORSHIP 2990 LANDRUM EDUCATION DRIVE OAKWOOD, GA 30566	NONE	501(C)(3)	EDUCATION	3,000.
CAMBODIAN CHILDREN'S FUND 2461 SANTA MONICA BOULEVARD SANTA MONICA, CA 90404	NONE	501(C)(3)	CHILD WELFARE	1,000.
HADASSH 40 WALL STREET NEW YORK, NY 10005	NONE	501(C)(3)	MEDICAL CARE AND RESEARCH	5,000.
JEWISH HOUSING COUNCIL FOUNDATION 1951 NORTH HONORE AVENUE SARASOTA, FL 34235	NONE	501(C)(3)	ASSISTED LIVING AND HEALTH SERVICES	5,000.
UNIVERSITY OF GEORGIA FOUNDATION 394 SOUTH MILLEDGE AVE ATHENS, GA 30602	NONE	501(C)(3)	EDUCATION EDUCATION	1,000.
UNIVERSITY OF GEORGIA FOUNDATION - ORKIN PROMISE SCHOLARSHIP 394 SOUTH MILLEDGE AVE ATHENS, GA 30602	NONE	501(C)(3)	EDUCATION EDUCATION EDUCATION	200,000.
CHRON'S AND COLITIS FOUNDATION 733 THIRD AVENUE NEW YORK, NY 10017	NONE	501(C)(3)	MEDICAL RESEARCH MEDICAL RESEARCH	2,500.
AMERICAN JEWISH COMMITTEE - HUMAN RELATIONS AWARD 3525 PIEDMONT ROAD, NE ATLANTA, GA 30305	NONE	501(C)(3)	CIVIC ORGANIZATION	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AHAVATH ACHIM CONGREGATION 600 PEACHTREE BATTLE ATLANTA, GA 30327	NONE	501(C)(3)	RELIGIOUS ORGANIZATION	200,000.
AHAVATH ACHIM CONGREGATION - RABBI CIRCLE 600 PEACHTREE BATTLE ATLANTA, GA 30327	NONE	501(C)(3)	RELIGIOUS ORGANIZATION	20,000.
PIEDMONT HEALTHCARE FOUNDATION 2001 PEACHTREE ROAD, NE ATLANTA, GA 30309	NONE	501(C)(3)	HOSPITAL GENERAL FUND	100.
ALZHEIMER'S ASSOCIATION 41 PERIMETER CENTER ATLANTA, GA 30346	NONE	501(C)(3)	MEDICAL RESEARCH	100.
THE EPSTEIN SCHOOL - ORKIN CHAI SCHLORSHIP 335 COLEWOOD WAY ATLANTA, GA 30328	NONE	501(C)(3)	EDUCATION	200,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	5,828.	5,828.	
TOTAL TO PART I, LINE 3	5,828.	5,828.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	230,985.	30,316.	200,669.	200,669.	
PASSTHROUGH INTEREST AND DIVIDENDS	12,180.	0.	12,180.	12,180.	
TO PART I, LINE 4	243,165.	30,316.	212,849.	212,849.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM PASSTHROUGHS	4,599.	4,599.	
INCOME FROM PFICS	615.	615.	
OTHER ORDINARY INCOME	1,871.	1,871.	
LOSS FROM PASSTHROUGH	-76,111.	0.	
REFUND OF EXCISE TAX	29,044.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-39,982.	7,085.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	86,204.	86,204.		0.
TO FORM 990-PF, PG 1, LN 16C	86,204.	86,204.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN INCOME TAXES	1,548.	1,546.		0.
EXCISE TAXES	4,413.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,961.	1,546.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NON DEDUCTIBLE FROM PASSTHROUGHS	1,390.	0.		0.
OTHER DEDUCTIONS	356.	0.		0.
OFFICE EXPENSES	30.	0.		0.
INVESTMENT INTEREST FROM PASSTHROUGHS	9,195.	9,195.		0.
TO FORM 990-PF, PG 1, LN 23	10,971.	9,195.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLIC EQUITY	7,065,858.	10,640,448.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,065,858.	10,640,448.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIXED INCOME	2,452,511.	2,471,761.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,452,511.	2,471,761.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTERNATIVE INVESTMENTS	COST	840,304.	1,594,997.
TOTAL TO FORM 990-PF, PART II, LINE 13		840,304.	1,594,997.

BARBARA AND SANFORD H ORKIN
FAMILY FOUNDATION, INC

FORM 990-PF
PART II

BALANCE SHEET DETAIL

58-1451650

Qty	Description	Mkt Val with Accr (Base)	Asset Class
	REGIONS BANK	180,944 00	Deposits & Money Market Funds
13,704 61	GOLDMAN SACHS BANK DEPOSIT (BDA)	13,704 61	Deposits & Money Market Funds
344,159 64	GOLDMAN SACHS BANK DEPOSIT (BDA)	351,157 62	Deposits & Money Market Funds
16,286 09	GOLDMAN SACHS BANK DEPOSIT (BDA)	16,817 54	Deposits & Money Market Funds
		381,679 77	
67,372 47	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	701,347 45	Investment Grade Fixed Income
62,371 13	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	607,494 85	Other Fixed Income
118,524 33	GS HIGH YIELD FUND INSTITUTIONAL SHARES	770,408 16	Other Fixed Income
	BATTEN CAPITAL LOAN PARTICIPATION	392,511 00	Miscellaneous
		2,471,761 46	
500	THE GOLDMAN SACHS GROUP, INC LINKED TO BASKET OF INDICES STRUCTURED NOTE DUE 06/02/2017	527,904 00	Global Equity
17,040	ALLIED WORLD ASSURANCE COMPANY CMN	915,218 40	Non-US Equity
6,000	ISHARES TRUST-ISHARES CURRENCY HEDGED MSCI EAFE ETF	156,720 00	Non-US Equity
125,000	JPMORGAN CHASE & CO LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	123,487 50	Non-US Equity
93,000	JPMORGAN CHASE & CO LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	91,084 20	Non-US Equity
100,000	MORGAN STANLEY FINANCE LLC LINKED TO BASKET OF INDICES CNTGNT CPN W BUFFER STRUCTURED NOTE DUE	100,040 00	Non-US Equity
175,000	MORGAN STANLEY LINKED TO MSCI EAFE CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 09/07/2017	183,417 50	Non-US Equity
125,000	ROYAL BANK OF CANADA LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	131,187 50	Non-US Equity
90,000	SOCIETE GENERALE LINKED TO EURO STOXX 50 PR CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 09/14/2017	93,771 00	Non-US Equity
300,000	SOCIETE GENERALE LINKED TO EURO STOXX 50 PR UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	324,450 00	Non-US Equity
4,100	VANGUARD FTSE DEVELOPED MKTS CMN ETF	149,814 00	Non-US Equity
630	ABBOTT LABORATORIES CMN	24,198 30	US Equity
365	ABBVIE INC CMN	22,856 30	US Equity
310	AGNC INVESTMENT CORP CMN	5,620 30	US Equity
40	AIR PRODUCTS & CHEMICALS INC CMN	5,752 80	US Equity
20	ALPHABET INC CMN CLASS A	15,849 00	US Equity
17	ALPHABET INC CMN CLASS C	13,120 94	US Equity
405	AMERICAN ELECTRIC POWER INC CMN	25,498 80	US Equity
242	AMGEN INC CMN	35,382 82	US Equity
75	ANDEAVOR CMN	6,558 75	US Equity
180	ANTHEM, INC CMN	25,878 60	US Equity
932	APPLE INC CMN	107,944 24	US Equity
85	ARCHER-DANIELS-MIDLAND COMPANY CMN	3,880 25	US Equity
1,520	AT&T INC CMN	64,645 60	US Equity
340	AUTOMATIC DATA PROCESSING INC CMN	34,945 20	US Equity
390	AVANGRID INC CMN	14,773 20	US Equity
685	BB&T CORPORATION CMN	32,208 70	US Equity
250	BERKSHIRE HATHAWAY INC CLASS B	40,745 00	US Equity
200	BOEING COMPANY CMN	31,136 00	US Equity
400	BRISTOL-MYERS SQUIBB COMPANY CMN	23,376 00	US Equity
10	CARDINAL HEALTH INC CMN	719 70	US Equity
680	CENTERPOINT ENERGY, INC CMN	16,755 20	US Equity
25	CENTURYLINK INC CMN	594 50	US Equity
1,545	CISCO SYSTEMS, INC CMN	46,689 90	US Equity
100,000	CITIGROUP INC LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 08/30/2018	98,740 00	US Equity
170,000	CITIGROUP INC LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	174,199 00	US Equity
160	CME GROUP INC CMN CLASS A	18,456 00	US Equity
580	CNA FINCL CORP CMN	24,070 00	US Equity
860	COCA-COLA COMPANY (THE) CMN	35,655 60	US Equity
160	COMCAST CORPORATION CMN CLASS A VOTING	11,048 00	US Equity
280	CVS HEALTH CORP CMN	22,094 80	US Equity
295	DARDEN RESTAURANTS INC CMN	21,452 40	US Equity
250	DEERE & COMPANY CMN	25,760 00	US Equity
20	DIGITAL REALTY TRUST, INC CMN	1,965 20	US Equity
250	DOMINION ENERGY INC CMN	19,147 50	US Equity
50	DUKE ENERGY CORPORATION CMN	3,881 00	US Equity
97	ECOLAB INC CMN	11,370 34	US Equity
95	ELI LILLY & CO CMN	6,987 25	US Equity
435	EMERSON ELECTRIC CO CMN	24,251 25	US Equity
79	EQUINIX, INC REIT	28,235 39	US Equity
90	EXELON CORPORATION CMN	3,194 10	US Equity
100	EXTRA SPACE STORAGE INC CMN	7,724 00	US Equity
365	EXXON MOBIL CORPORATION CMN	32,944 90	US Equity
190	FACEBOOK, INC CMN CLASS A	21,859 50	US Equity
250	FIDELITY NATIONAL FINANCIAL, I CMN	8,490 00	US Equity
205	FIRSTENERGY CORP CMN	6,348 85	US Equity
145	GAP INC CMN	3,253 80	US Equity
170	GARMIN LTD CMN	8,243 30	US Equity
1,940	GENERAL ELECTRIC CO CMN	61,304 00	US Equity
95	GILEAD SCIENCES CMN	6,802 95	US Equity
40,270 91	GS U S EQUITY DIVIDEND AND PREMIUM FUND INSTITUTIONAL SHARES	486,875 29	US Equity
530	H & R BLOCK INC CMN	12,184 70	US Equity
65	HASBRO, INC CMN	5,056 35	US Equity
480	INTEL CORPORATION CMN	17,409 60	US Equity

BARBARA AND SANFORD H ORKIN
FAMILY FOUNDATION, INC

FORM 990-PF
PART II
BALANCE SHEET DETAIL

58-1451650

Qty	Description	Mkt Val with Accr (Base)	Asset Class
45	INTL BUSINESS MACHINES CORP CMN	7,469 55	US Equity
365	JOHNSON & JOHNSON CMN	42,051 65	US Equity
125,000	JPMORGAN CHASE & CO LINKED TO BASKET OF INDICES STRUCTURED NOTE DUE 09/19/2019	124,000 00	US Equity
175,000	JPMORGAN CHASE & CO LINKED TO RUSSELL 2000 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	199,150 00	US Equity
35,000	JPMORGAN CHASE & CO LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	35,997 50	US Equity
140,000	JPMORGAN CHASE & CO LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	141,344 00	US Equity
105	KELLOGG COMPANY CMN	7,739 55	US Equity
525	KOHL'S CORP (WISCONSIN) CMN	25,924 50	US Equity
85	LOCKHEED MARTIN CORPORATION CMN	21,244 90	US Equity
451	LOWES COMPANIES INC CMN	32,075 12	US Equity
460	MACY'S INC CMN	16,472 60	US Equity
530	MATTEL, INC CMN	14,601 50	US Equity
500	MAXIM INTEGRATED PRODUCTS INC CMN	19,285 00	US Equity
585	MERCK & CO , INC CMN	34,438 95	US Equity
1,035	MICROSOFT CORPORATION CMN	64,314 90	US Equity
325	MONDELEZ INTERNATIONAL, INC CMN	14,407 25	US Equity
100,000	MORGAN STANLEY LINKED TO SPDR S&P BANK ETF UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	119,440 00	US Equity
1,395	NEW YORK COMMUNITY BANCORP, IN CMN	22,194 45	US Equity
85	NEWMONT MINING CORPORATION CMN	2,895 95	US Equity
260	NIELSEN HLDGS PLC CMN	10,907 00	US Equity
105	OCCIDENTAL PETROLEUM CORP CMN	7,479 15	US Equity
250	OMNICOM GROUP CMN	21,277 50	US Equity
140	PEPSICO INC CMN	14,648 20	US Equity
485	PFIZER INC CMN	15,752 80	US Equity
105	PNC FINANCIAL SERVICES GROUP CMN	12,280 80	US Equity
25	PPL CORPORATION CMN	851 25	US Equity
155	PRICE T ROWE GROUP INC CMN	11,665 30	US Equity
380	PROCTER & GAMBLE COMPANY (THE) CMN	31,950 40	US Equity
460	PROGRESSIVE CORPORATION (THE) CMN	16,330 00	US Equity
555	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	24,353 40	US Equity
565	QUALCOMM INC CMN	36,838 00	US Equity
325	REPUBLIC SERVICES INC CMN	18,541 25	US Equity
30	RESMED INC CMN	1,861 50	US Equity
205	REYNOLDS AMERICAN INC CMN	11,488 20	US Equity
175,000	ROYAL BANK OF CANADA LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE	174,527 50	US Equity
125,000	SOCIETE GENERALE LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 12/07/2017	131,150 00	US Equity
130,000	SOCIETE GENERALE LNKD TO SPDR S&P REGIONAL BANK CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	143,117 00	US Equity
130,000	SOCIETE GENERALE LNKD TO TECHNOLOGY SELECT SECT CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	140,855 00	US Equity
45	SPECTRA ENERGY CORP CMN	1,849 05	US Equity
2,310	STAPLES, INC CMN	20,905 50	US Equity
330	STARBUCKS CORP CMN	18,321 60	US Equity
345	SYSCO CORPORATION CMN	19,102 65	US Equity
345	THE KRAFT HEINZ CO CMN	30,125 40	US Equity
150	THE SOUTHERN CO CMN	7,378 50	US Equity
10	TIME WARNER INC CMN	965 30	US Equity
135,000	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	131,591 25	US Equity
175	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	20,062 00	US Equity
140	UNITEDHEALTH GROUP INCORPORATE CMN	22,405 60	US Equity
25	VALERO ENERGY CORPORATION CMN	1,708 00	US Equity
3,825	VANGUARD INDEX FUNDS - VANGUAR VANGUARD SMALL-CAP ETF	493,272 00	US Equity
380	VERIZON COMMUNICATIONS INC CMN	20,284 40	US Equity
180	VF CORP CMN	9,603 00	US Equity
326	VISA INC CMN CLASS A	25,434 52	US Equity
55	WAL MART STORES INC CMN	3,801 60	US Equity
55	WALGREENS BOOTS ALLIANCE, INC CMN	4,551 80	US Equity
10	WASTE MANAGEMENT INC CMN	709 10	US Equity
1,380	WELLS FARGO & CO (NEW) CMN	76,051 80	US Equity
125,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	126,725 00	US Equity
135,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX STEP-UP AUTOCALLABLE STRUCTURED NOTE DUE	135,675 00	US Equity
70,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	79,100 00	US Equity
40,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	39,652 00	US Equity
125,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	124,850 00	US Equity
80,000	WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE	89,104 00	US Equity
290	WESTERN UNION COMPANY (THE) CMN	6,298 80	US Equity
1	BERKSHIRE HATHAWAY INC CL-A (DEL) CLASS A	244,121 00	US Equity
18,725	THE HOME DEPOT, INC CMN	2,510,648 00	US Equity
		10,640,447 76	
	LIBERTY HARBOR 100% OF FUNDS ASSETS IN ILLIQUID INVESTMENTS (**)	39 00	Alternative Investments
	ANCHORAGE ILLIQUID OPPORTUNITIES ACCESS OFFSHORE HOLDINGS LP (c)	6,822 00	Alternative Investments
	DST OPPORTUNITIES ACCESS OFFSHORE HOLDINGS LP (c)	160,247 00	Alternative Investments
	VINTAGE VII OFFSHORE HOLDINGS LP (c)	9,214 00	Alternative Investments
	FULCRUM GROWTH FUND QP, LP	249,174 00	Alternative Investments
	FULCRUM GROWTH FUND II QP, LP	944,501 00	Alternative Investments
	FULCRUM GROWTH FUND III QP, LP	225,000 00	Alternative Investments
		1,594,997 00	

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630	ISHARES RUSSELL 1000 ETF	11/18/2014	1/4/2016	69,865 71	72,312 41		(2,446 70)
2	ALPHABET INC CMN CLASS C	4/19/2013	1/5/2016	1,495 83	791 04		704 79
55	FACEBOOK, INC CMN CLASS A	8/24/2015	1/5/2016	5,671 85	4,677 42		994 43
6	ALPHABET INC CMN CLASS C	4/19/2013	1/6/2016	4,444 95	2,373 13		2,071 82
26	SCHLUMBERGER LTD CMN	4/19/2013	1/7/2016	1,740 30	1,816 25		(75 95)
84	WALT DISNEY COMPANY (THE) CMN	10/24/2013	1/15/2016	7,951 70	5,790.88		2,160 82
62	WALT DISNEY COMPANY (THE) CMN	12/10/2013	1/15/2016	5,869 12	4,431 80		1,437 32
250,000	BNP PARIBAS LINKED TO RUSSELL 2000 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED	12/12/2013	1/15/2016	250,000 00	250,000 00		-
62	WALT DISNEY COMPANY (THE) CMN	12/16/2013	1/15/2016	5,869 12	4,374 52		1,494 60
59	WALT DISNEY COMPANY (THE) CMN	1/21/2014	1/15/2016	5,585 13	4,373 92		1,211 21
19	WALT DISNEY COMPANY (THE) CMN	3/18/2014	1/15/2016	1,798 60	1,555 74		242 86
131	STARBUCKS CORP CMN	4/19/2013	1/20/2016	7,392 95	3,828 78		3,564 17
80	THE GOLDMAN SACHS GROUP, INC LNKD TO INDUSTRIAL SELECT SECT UPSIDE LEVERED CAPPED	3/18/2014	1/22/2016	80,000 00	80,000 00		-
99	STARBUCKS CORP CMN	4/19/2013	1/26/2016	5,789 06	2,893 50		2,895 56
42	FACEBOOK INC CMN CLASS A	8/24/2015	1/28/2016	4,506 72	3,571 85		934 87
98	VERISK ANALYTICS, INC CMN	5/7/2015	2/1/2016	7,047 47	7,139 52		(92 05)
32	FACEBOOK, INC CMN CLASS A	8/24/2015	2/8/2016	3,148 13	2,721 41		426 72
75	VERISK ANALYTICS, INC CMN	5/7/2015	2/10/2016	5,070 33	5,463 92		(393 59)
65	VERISK ANALYTICS, INC CMN	5/7/2015	2/16/2016	4,427 86	4,735 39		(307 53)
78	SCHLUMBERGER LTD CMN	4/19/2013	2/17/2016	5,680 11	5,448 75		231 36
4	PRICELINE GROUP INC/THE CMN	9/10/2014	2/17/2016	4,929 13	4,723 51		205 62
4	PRICELINE GROUP INC/THE CMN	9/11/2014	2/17/2016	4,929 12	4,717 87		211 25
8	VERISK ANALYTICS, INC CMN	5/7/2015	2/17/2016	546 80	582 82		(36 02)
35	VERISK ANALYTICS, INC CMN	8/6/2015	2/17/2016	2,392 25	2,681 97		(289 72)
26	VERISK ANALYTICS, INC CMN	11/2/2015	2/17/2016	1,777 10	1,829 37		(52 27)
34	AMGEN INC CMN	3/17/2014	2/23/2016	5,024 19	4,245 44		778 75
5	KANSAS CITY SOUTHERN CMN	12/23/2015	3/4/2016	438 20	365 86		72 34
28	SALESFORCE COM, INC CMN	3/26/2014	3/8/2016	1,953 34	1,574 82		378 52
31	SALESFORCE COM, INC CMN	4/28/2014	3/8/2016	2,162 63	1,517 37		645 26
57	FLEETCOR TECHNOLOGIES, INC CMN	9/2/2015	3/8/2016	7,932 50	8,307 23		(374 73)
27	AMGEN INC CMN	3/17/2014	3/11/2016	3,895 31	3,371 38		523 93
28	CORE LABORATORIES N V CMN	3/24/2015	3/11/2016	3,296 51	2,858 49		438 02
14	CORE LABORATORIES N V CMN	4/2/2015	3/11/2016	1,648 25	1,548 23		100 02
9	CORE LABORATORIES N V CMN	4/6/2015	3/11/2016	1,059 60	1,023 66		35 94
59	FACEBOOK, INC CMN CLASS A	8/24/2015	3/30/2016	6,779 50	5,017 60		1,761 90
41	FACEBOOK, INC CMN CLASS A	8/24/2015	4/7/2016	4,670 14	3,486 80		1,183 34
6,900	WISDOMTREE EUR HDGD EQ FD CMN	12/30/2014	4/12/2016	353,341 28	385,779 00		(32,437 72)
25,000	DEUTSCHE BANK AG-LONDON BRANCH LINKED TO EURO STOXX 50 PR CNTNGNT CPN W BUFFER '	8/12/2014	4/15/2016	27,362 50	25,000 00		2,362 50
16	LINKEDIN CORPORATION CMN CLASS A - WASH SALE	5/1/2015	4/29/2016	1,983 74	3,218 88	1,235 14	-
29	LINKEDIN CORPORATION CMN CLASS A - WASH SALE	5/4/2015	4/29/2016	3,595 54	5,853 46	2,257 92	-
14	LINKEDIN CORPORATION CMN CLASS A - WASH SALE	1/5/2016	4/29/2016	1,735 78	3,186 59	1,450.81	-
62	KANSAS CITY SOUTHERN CMN	12/23/2015	5/3/2016	5,810 93	4,536 63		1,274 30
41	MONSANTO COMPANY CMN	4/19/2013	5/12/2016	4,054 82	4,215 87		(161 05)
41	MONSANTO COMPANY CMN	4/19/2013	5/12/2016	4,043 82	4,215 87		(172 05)
5	AMAZON COM INC CMN	2/14/2014	5/16/2016	3,522 84	1,783 39		1,739 45
4	AMAZON COM INC CMN	3/18/2014	5/16/2016	2,818 28	1,506 36		1,311 92
94	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/8/2015	5/16/2016	3,792 26	4,385 09		(592 83)
7	AMAZON COM INC CMN	3/18/2014	5/18/2016	4,891 94	2,636 12		2,255 82
129	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/8/2015	5/18/2016	5,309 25	6,017 84		(708 59)
14	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/13/2015	5/18/2016	576 19	662 27		(86 08)
45	MONSANTO COMPANY CMN	4/19/2013	5/23/2016	4,811 57	4,627 17		184 40
13	EQUINIX, INC REIT	1/24/2014	5/26/2016	4,681 31	2,382 12		2,299 19
6	EQUINIX, INC REIT	1/29/2014	5/26/2016	2,160 61	1,085 73		1,074 88
125,000	DEUTSCHE BANK AG-LONDON BRANCH LINKED TO MSCI EAFE/SPX BASKET UPSIDE LEVERED CAPF	7/22/2014	5/26/2016	125,000 00	125,000 00		-
22	MONSANTO COMPANY CMN	4/19/2013	6/2/2016	2,439 96	2,262 17		177 79
39	SALESFORCE COM, INC CMN	4/28/2014	6/3/2016	3,216 95	1,908 95		1,308 00
5	LINKEDIN CORPORATION CMN CLASS A	5/1/2015	6/13/2016	964 11	952 10		12 01
34	LINKEDIN CORPORATION CMN CLASS A	1/5/2016	6/13/2016	6,555 93	7,738 85		(1,182 92)
37	LINKEDIN CORPORATION CMN CLASS A	2/5/2016	6/13/2016	7,134 40	3,998 59		3,135 81
19	LINKEDIN CORPORATION CMN CLASS A	2/23/2016	6/13/2016	3,663 61	2,208 26		1,455 35
18	LINKEDIN CORPORATION CMN CLASS A	3/1/2016	6/13/2016	3,470 79	2,080 55		1,390 24
11	LINKEDIN CORPORATION CMN CLASS A	5/1/2015	6/14/2016	2,114 21	2,094 62		19 59
29	LINKEDIN CORPORATION CMN CLASS A	5/4/2015	6/14/2016	5,573 83	5,541 43		32 40
14	LINKEDIN CORPORATION CMN CLASS A	1/5/2016	6/14/2016	2,690 82	3,035 95		(345 13)
2	LINKEDIN CORPORATION CMN CLASS A	3/30/2016	6/14/2016	384 40	226 45		157 95
125,000	JPMORGAN CHASE & CO LNKD TO MSCI EMERGING MKT CNTNGNT CPN W BUFFER STRUCTURED	6/24/2015	6/15/2016	118,518 88	125,000 00		(6,481 12)
50,000	DEUTSCHE BANK AG-LONDON BRANCH LINKED TO MSCI EAFE CNTNGNT CPN W BUFFER STRUCTU	8/12/2014	6/16/2016	47,324 43	50,000.00		(2,675 57)
44	MONSANTO COMPANY CMN	4/19/2013	6/27/2016	4,400 62	4,524 35		(123 73)
125,000	DEUTSCHE BANK AG LONDON BRANCH LINKED TO MSCI EAFE/SPX BASKET UPSIDE LEVERED CAPF	7/22/2014	6/27/2016	125,000 00	125,000.00		-
51	MONSANTO COMPANY CMN	4/19/2013	6/28/2016	5,145 01	5,244 13		(99 12)
7	MONSANTO COMPANY CMN	4/19/2013	6/29/2016	713 28	719 78		(6 50)
29	MONSANTO COMPANY CMN	12/16/2015	6/29/2016	2,955 04	2,794 36		160 68
15	MONSANTO COMPANY CMN	3/4/2016	6/29/2016	1,528 47	1,293 95		234 52
200,000	BARCLAYS BANK PLC LINKED TO RUSSELL 2000 INDEX CNTNGNT CPN W BUFFER STRUCTURED NO	5/29/2015	7/5/2016	210,400 00	200,000 00		10,400 00
150	STATE STREET CORPORATION (NEW) CMN	4/19/2013	7/6/2016	7,715 16	8,456 26		(741 10)
54	VISA INC CMN CLASS A	4/19/2013	7/6/2016	4,009 96	2,210 97		1,798 99
70	MONDELEZ INTERNATIONAL, INC CMN	11/17/2014	7/6/2016	3,156 29	2,654 09		502 20
97	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/13/2015	7/6/2016	4,218 62	4,588 61		(369 99)
56	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/22/2015	7/6/2016	2,435 49	2,565 62		(130 13)
2	PRICELINE GROUP INC/THE CMN	9/11/2014	7/11/2016	2,705 31	2,358 94		346 37
2	PRICELINE GROUP INC/THE CMN	9/11/2014	7/12/2016	2,714 00	2,358 94		355 06
2	PRICELINE GROUP INC/THE CMN	9/26/2014	7/12/2016	2,714 00	2,332 33		381 67
82	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/22/2015	7/18/2016	5,504 86	3,756 79		1,748 07
102	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/23/2015	7/18/2016	6,847 52	4,829 22		2,018 30
121	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	7/29/2015	7/18/2016	8,123 04	5,634 28		2,488 76
59	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	8/17/2015	7/18/2016	3,960 82	2,536 61		1,424 21
24	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	11/24/2015	7/18/2016	1,611 18	1,180 48		430 70
92	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	2/23/2016	7/18/2016	6,176 19	3,678 73		2,497 46
8,300	ALLIED WORLD ASSURANCE COMPANY CMN	11/21/2001	7/21/2016	341,454 54	76,957 60		264,496 94
3,800	ISHARES CURRENCY HEDGED MSCI EAFE ETF	4/9/2015	7/27/2016	92,337 98	109,364 00		(17,026 02)
110,396 04	GS TACTICAL TILT OVERLAY MUTUAL FUND	12/28/2015	7/27/2016	1,063,113 87	1,115,000 00		(51,886 13)
7,700	ISHARES CURRENCY HEDGED MSCI EAFE ETF	12/28/2015	7/27/2016	187,105 91	196,042 00		(8,936 09)
8,000	VANGUARD FTSE DEVELOPED MKTS CMN ETF	12/28/2015	7/27/2016	290,713 65	296,560.00		(5,846 35)

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80	AUTOMATIC DATA PROCESSING INC CMN	4/19/2013	8/8/2016	7,154 84	4,556 42		2,598 42
389	CERNER CORP CMN	4/19/2013	8/8/2016	26,189 83	17,910 94		8,278 89
9	COLGATE-PALMOLIVE CO CMN	4/19/2013	8/8/2016	669 84	537 30		132 54
44	ECOLAB INC CMN	4/19/2013	8/8/2016	5,378 77	3,608 95		1,769 82
44	SAP SE (SPON ADR)	4/19/2013	8/8/2016	3,778 09	3,307 63		470 46
89	SCHLUMBERGER LTD CMN	4/19/2013	8/8/2016	7,342 12	6,217 16		1,124 96
306	STATE STREET CORPORATION (NEW) CMN	4/19/2013	8/8/2016	20,861 85	17,250 78		3,611 07
125	LOWES COMPANIES INC CMN	5/17/2013	8/8/2016	10,201 97	5,311 27		4,890 70
48	SCHLUMBERGER LTD CMN	5/20/2013	8/8/2016	3,959 79	3,695 67		264 12
3	REGENERON PHARMACEUTICAL INC CMN	5/24/2013	8/8/2016	1,263 09	742 39		520 70
2	REGENERON PHARMACEUTICAL INC CMN	6/6/2013	8/8/2016	842 06	484 18		357 88
5	REGENERON PHARMACEUTICAL INC CMN	6/20/2013	8/8/2016	2,105 14	1,098 51		1,006 63
79	COLGATE PALMOLIVE CO CMN	11/25/2013	8/8/2016	5,879 64	5,224 06		655 58
25	COLGATE-PALMOLIVE CO CMN	1/29/2014	8/8/2016	1,860 65	1,541 53		319 12
14	ECOLAB INC CMN	1/29/2014	8/8/2016	1,711 43	1,408 33		303 10
61	LOWES COMPANIES INC CMN	1/29/2014	8/8/2016	4,978 56	2,815 71		2,162 85
27	SAP SE (SPON ADR)	1/29/2014	8/8/2016	2,318 37	2,089 18		229 19
18	SCHLUMBERGER LTD CMN	1/29/2014	8/8/2016	1,484 92	1,568 21		(83 29)
89	CERNER CORP CMN	2/6/2014	8/8/2016	5,992 02	4,777 76		1,214 26
12	REGENERON PHARMACEUTICAL INC CMN	2/28/2014	8/8/2016	5,052 34	4,104 63		947 71
185	WHOLE FOODS MARKET INC CMN	3/17/2014	8/8/2016	5,667 81	10,116 65		(4,448 84)
11	AMAZON COM INC CMN	3/18/2014	8/8/2016	8,431 95	4,142 48		4,289 47
61	SCHLUMBERGER LTD CMN	3/18/2014	8/8/2016	5,032 24	5,531 85		(499 61)
17	REGENERON PHARMACEUTICAL INC CMN	3/25/2014	8/8/2016	7,157 48	5,250 08		1,907 40
5	AMAZON COM INC CMN	3/26/2014	8/8/2016	3,832 70	1,758 26		2,074 44
5	AMAZON COM INC CMN	4/3/2014	8/8/2016	3,832 70	1,658 84		2,173 86
22	ALPHABET INC CMN CLASS C	4/17/2014	8/8/2016	17,198 29	11,868 78		5,329 51
5	AMAZON COM INC CMN	4/25/2014	8/8/2016	3,832 70	1,529 73		2,302 97
5	ALPHABET INC CMN CLASS C	4/28/2014	8/8/2016	3,908 70	2,551 22		1,357 48
20	SALESFORCE COM, INC CMN	4/28/2014	8/8/2016	1,623 31	978 95		644 36
6	ALPHABET INC CMN CLASS C	4/30/2014	8/8/2016	4,690 44	3,152 37		1,538 07
14	AMAZON COM INC CMN	5/12/2014	8/8/2016	10,731 57	4,196 58		6,534 99
7	REGENERON PHARMACEUTICAL INC CMN	6/23/2014	8/8/2016	2,947 20	1,932 18		1,015 02
14	LOWES COMPANIES INC CMN	8/5/2014	8/8/2016	1,142 62	667 64		474 98
95	VISA INC CMN CLASS A	9/3/2014	8/8/2016	7,594 84	5,131 01		2,463 83
57	SCHLUMBERGER LTD CMN	9/5/2014	8/8/2016	4,702 25	6,053 56		(1,351 31)
35	RED HAT, INC CMN	9/11/2014	8/8/2016	2,633 61	2,157 50		476 11
82	RED HAT, INC CMN	9/19/2014	8/8/2016	6,170 16	4,603 22		1,566 94
99	RED HAT, INC CMN	9/24/2014	8/8/2016	7,449 34	5,565 07		1,884 27
46	SAP SE (SPON ADR)	9/25/2014	8/8/2016	3,949 82	3,336 46		613 36
2	PRICELINE GROUP INC/THE CMN	9/26/2014	8/8/2016	2,809 93	2,332 33		477 60
5	PRICELINE GROUP INC/THE CMN	10/9/2014	8/8/2016	7,024 83	5,552 61		1,472 22
70	RED HAT, INC CMN	10/10/2014	8/8/2016	5,267 21	3,904 71		1,362 50
37	SCHLUMBERGER LTD CMN	10/15/2014	8/8/2016	3,052 34	3,311 65		(259 31)
90	SAP SE (SPON ADR)	10/20/2014	8/8/2016	7,727 90	5,879 29		1,848 61
186	MONDELEZ INTERNATIONAL, INC CMN	11/17/2014	8/8/2016	8,075 47	7,052 30		1,023 17
170	ISHARES RUSSELL 1000 ETF	11/18/2014	8/8/2016	20,587 27	19,512 87		1,074 40
124	MONDELEZ INTERNATIONAL, INC CMN	11/19/2014	8/8/2016	5,383 65	4,792 92		590 73
125	MONDELEZ INTERNATIONAL, INC CMN	11/21/2014	8/8/2016	5,427 07	4,832 69		594 38
112	SALESFORCE COM, INC CMN	12/9/2014	8/8/2016	9,090 56	6,252 02		2,838 54
17	SCHLUMBERGER LTD CMN	12/17/2014	8/8/2016	1,402 43	1,430.80		(28 37)
60	SALESFORCE COM, INC CMN	12/19/2014	8/8/2016	4,869 94	3,594 07		1,275 87
5,900	ISHARES RUSSELL 1000 ETF	12/30/2014	8/8/2016	714,499 20	684,860 20		29,639 00
28	SAP SE (SPON ADR)	1/6/2015	8/8/2016	2,404 24	1,836 10		568 14
19	ECOLAB INC CMN	1/26/2015	8/8/2016	2,322 65	2,030 89		291 76
4	PRICELINE GROUP INC/THE CMN	2/5/2015	8/8/2016	5,619 87	4,177 60		1,442 27
36	ECOLAB INC CMN	3/3/2015	8/8/2016	4,400 81	4,148 24		252 57
36	STATE STREET CORPORATION (NEW) CMN	3/11/2015	8/8/2016	2,454 33	2,604 41		(150 08)
2	PRICELINE GROUP INC/THE CMN	3/19/2015	8/8/2016	2,809 93	2,351 27		458 66
15	CORE LABORATORIES N V CMN	4/6/2015	8/8/2016	1,796 17	1,706 09		90 08
4	PRICELINE GROUP INC/THE CMN	4/8/2015	8/8/2016	5,619 87	4,754 27		865 60
65	RED HAT, INC CMN	4/30/2015	8/8/2016	4,890 98	4,926 73		(35 75)
39	SAP SE (SPON ADR)	4/30/2015	8/8/2016	3,348 76	2,960.47		388 29
28	CORE LABORATORIES N V CMN	7/1/2015	8/8/2016	3,352 86	3,158 15		194 71
51	RED HAT, INC CMN	7/1/2015	8/8/2016	3,837 54	3,932 26		(94 72)
23	CORE LABORATORIES N V CMN	7/6/2015	8/8/2016	2,754 13	2,557 79		196 34
21	CORE LABORATORIES N V CMN	7/22/2015	8/8/2016	2,514 64	2,101 16		413 48
37	CORE LABORATORIES N V CMN	7/23/2015	8/8/2016	4,430 56	3,983 66		446 90
53	CERNER CORP CMN	8/7/2015	8/8/2016	3,568 28	3,372 73		195 55
8	AMAZON COM INC CMN	8/24/2015	8/8/2016	6,132 33	3,841 66		2,290 67
68	FACEBOOK, INC CMN CLASS A	8/24/2015	8/8/2016	8,517 32	5,782 99		2,734 33
106	SCHLUMBERGER LTD CMN	8/24/2015	8/8/2016	8,744 55	7,966 99		777 56
24	SCHLUMBERGER LTD CMN	8/26/2015	8/8/2016	1,979 90	1,659 99		319 91
11	FLEETCOR TECHNOLOGIES, INC CMN	9/2/2015	8/8/2016	1,798 10	1,603 15		194 95
69	FLEETCOR TECHNOLOGIES, INC CMN	9/3/2015	8/8/2016	11,279 01	10,387 88		891 13
65	FLEETCOR TECHNOLOGIES, INC CMN	9/10/2015	8/8/2016	10,625 16	9,855 56		769 60
37	FACEBOOK, INC CMN CLASS A	10/1/2015	8/8/2016	4,634 43	3,310 53		1,323 90
14	FLEETCOR TECHNOLOGIES, INC CMN	10/1/2015	8/8/2016	2,288 50	1,924 75		363 75
44	FACEBOOK, INC CMN CLASS A	10/22/2015	8/8/2016	5,511 21	4,362 82		1,148 39
3	EQUINIX, INC REIT	11/10/2015	8/8/2016	1,092 87	902 62		190 25
53	SALESFORCE COM, INC CMN	11/17/2015	8/8/2016	4,301 78	4,116 25		185 53
33	FACEBOOK, INC CMN CLASS A	11/24/2015	8/8/2016	4,133 41	3,474 14		659 27
35	FLEETCOR TECHNOLOGIES, INC CMN	11/24/2015	8/8/2016	5,721 24	5,378 08		343 16
4	PRICELINE GROUP INC/THE CMN	11/24/2015	8/8/2016	5,619 87	4,863 28		756 59
330	NIKE CLASS-B CMN CLASS B	12/2/2015	8/8/2016	18,472 17	21,866 53		(3,394 36)
33	CERNER CORP CMN	12/16/2015	8/8/2016	2,221 76	2,007 17		214 59
52	COLGATE-PALMOLIVE CO CMN	12/16/2015	8/8/2016	3,870 15	3,486 17		383 98
7	ECOLAB INC CMN	12/16/2015	8/8/2016	855 71	817 51		38 20
14	FLEETCOR TECHNOLOGIES, INC CMN	12/16/2015	8/8/2016	2,288 50	1,998 44		290 06
86	NIKE CLASS-B CMN CLASS B	12/16/2015	8/8/2016	4,813 96	5,612 98		(799 02)
64	STATE STREET CORPORATION (NEW) CMN	12/16/2015	8/8/2016	4,363 26	4,323 32		39 94
18	CORE LABORATORIES N V CMN	12/21/2015	8/8/2016	2,155 41	1,942 16		213 25
7	EQUINIX, INC REIT	12/21/2015	8/8/2016	2,550 03	2,060.72		489 31

QTY	DESCRIPTION	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	ADJUSTMENT	GAIN/(LOSS)
60	RED HAT, INC CMN	12/21/2015	8/8/2016	4,514 75	4,793 57		(278 82)
7	KANSAS CITY SOUTHERN CMN	12/23/2015	8/8/2016	686 46	512 20		174 26
78	NIKE CLASS-B CMN CLASS B	12/23/2015	8/8/2016	4,366 15	5,001 38		(635 23)
72	KANSAS CITY SOUTHERN CMN	12/29/2015	8/8/2016	7,060 71	5,334 96		1,725 75
67	KANSAS CITY SOUTHERN CMN	1/5/2016	8/8/2016	6,570 38	4,927 07		1,643 31
33	KANSAS CITY SOUTHERN CMN	1/6/2016	8/8/2016	3,236 16	2,362 81		873 35
49	KANSAS CITY SOUTHERN CMN	1/7/2016	8/8/2016	4,805 20	3,446 52		1,358 68
43	CHIPOTLE MEXICAN GRILL, INC CMN	1/15/2016	8/8/2016	17,259 71	19,925 71		(2,666 00)
109	NIKE CLASS-B CMN CLASS B	1/15/2016	8/8/2016	6,101 41	6,287 44		(186 03)
83	SALESFORCE COM, INC CMN	1/15/2016	8/8/2016	6,736 75	5,744 67		992 08
26	CORE LABORATORIES N V CMN	1/20/2016	8/8/2016	3,113 37	2,327 13		786 24
41	FLEETCOR TECHNOLOGIES, INC CMN	1/20/2016	8/8/2016	6,702 02	4,594 25		2,107 77
11	CHIPOTLE MEXICAN GRILL, INC CMN	1/26/2016	8/8/2016	4,415 27	4,888 15		(472 88)
7	REGENERON PHARMACEUTICAL INC CMN	1/28/2016	8/8/2016	2,947 20	2,899 57		47 63
12	CHIPOTLE MEXICAN GRILL, INC CMN	2/3/2016	8/8/2016	4,816 66	5,425 56		(608 90)
4	AMAZON COM INC CMN	2/8/2016	8/8/2016	3,066 16	1,918 53		1,147 63
15	EQUINIX, INC REIT	2/8/2016	8/8/2016	5,464 34	3,972 26		1,492 08
4	PRICELINE GROUP INC/THE CMN	2/8/2016	8/8/2016	5,619 87	3,859 71		1,760 16
63	SALESFORCE COM, INC CMN	2/8/2016	8/8/2016	5,113 44	3,357 68		1,755 76
4	REGENERON PHARMACEUTICAL INC CMN	2/9/2016	8/8/2016	1,684 11	1,469 16		214 95
10	REGENERON PHARMACEUTICAL INC CMN	2/10/2016	8/8/2016	4,210 28	3,748 28		462 00
24	CERNER CORP CMN	2/17/2016	8/8/2016	1,615 83	1,258 19		357 64
39	RED HAT, INC CMN	2/17/2016	8/8/2016	2,934 59	2,600.84		333 75
6	REGENERON PHARMACEUTICAL INC CMN	2/17/2016	8/8/2016	2,526 17	2,364 03		162 14
35	CERNER CORP CMN	3/4/2016	8/8/2016	2,356 41	1,818 61		537 80
102	RED HAT, INC CMN	3/8/2016	8/8/2016	7,675 08	6,919 21		755 87
112	CERNER CORP CMN	3/28/2016	8/8/2016	7,540 52	5,791 07		1,749 45
5	MONDELEZ INTERNATIONAL, INC CMN	3/30/2016	8/8/2016	217 08	200.81		16 27
4	CHIPOTLE MEXICAN GRILL, INC CMN	4/7/2016	8/8/2016	1,605 55	1,810 16		(204 61)
4	ALPHABET INC CMN CLASS C	5/3/2016	8/8/2016	3,126 96	2,783 41		343 55
14	CHIPOTLE MEXICAN GRILL, INC CMN	5/3/2016	8/8/2016	5,619 44	6,192 15		(572 71)
26	NIKE CLASS B CMN CLASS B	5/3/2016	8/8/2016	1,455 38	1,547 21		(91 83)
54	CORE LABORATORIES N V CMN	5/25/2016	8/8/2016	6,466 22	6,569 62		(103 40)
32	SCHLUMBERGER LTD CMN	5/25/2016	8/8/2016	2,639 86	2,470 04		169 82
71	NIKE CLASS-B CMN CLASS B	6/3/2016	8/8/2016	3,974 32	3,811 56		162 76
8	REGENERON PHARMACEUTICAL INC CMN	6/3/2016	8/8/2016	3,368 23	3,199 33		168 90
6	REGENERON PHARMACEUTICAL INC CMN	6/13/2016	8/8/2016	2,526 17	2,228 90		297 27
77	SAP SE (SPON ADR)	6/13/2016	8/8/2016	6,611 65	5,951 59		660 06
3	PRICELINE GROUP INC/THE CMN	6/28/2016	8/8/2016	4,214 90	3,575 94		638 96
4	REGENERON PHARMACEUTICAL INC CMN	6/28/2016	8/8/2016	1,684 11	1,357 27		326 84
14	FLEETCOR TECHNOLOGIES, INC CMN	6/29/2016	8/8/2016	2,288 50	1,975 29		313 21
13	NIKE CLASS-B CMN CLASS B	6/29/2016	8/8/2016	727 69	701 95		25 74
110	ALLIANCE DATA SYSTEMS CORPORAT CMN	7/6/2016	8/8/2016	24,519 28	21,533 71		2,985 57
11	CHIPOTLE MEXICAN GRILL, INC CMN	7/12/2016	8/8/2016	4,415 27	4,452 53		(37 26)
8	ALPHABET INC CMN CLASS C	7/18/2016	8/8/2016	6,253 92	5,867 07		386 85
56	NIKE CLASS-B CMN CLASS B	7/18/2016	8/8/2016	3,134 67	3,243 55		(108 88)
72	SAP SE (SPON ADR)	7/18/2016	8/8/2016	6,182 32	5,720 76		461 56
60,000	SOCIETE GENERALE LINKED TO MSCI EAFE/SPX BASKET UPSIDE LEVERED CAPPED W BUFFER STRU	9/10/2014	8/15/2016	62,253 99	60,000 00		2,253 99
100,000	DEUTSCHE BANK AG-LONDON BRANCH LNKD TO MSCI GERMANY ETF CNTNGNT CPN W BUFFER S	9/23/2014	8/15/2016	107,492 40	100,000 00		7,492 40
0	LEIDOS HLDGS INC CMN		8/23/2016	9 16	-		9 16
57	CERNER CORP CMN	4/19/2013	9/14/2016	3,533 22	2,624 48		908 74
397	COLGATE PALMOLIVE CO CMN	4/19/2013	9/14/2016	28,612 91	23,700.67		4,912 24
155	ECOLAB INC CMN	4/19/2013	9/14/2016	18,227 06	12,713 35		5,513 71
1	VISA INC CMN CLASS A	4/19/2013	9/14/2016	81 98	40 94		41 04
99	WHOLE FOODS MARKET INC CMN	3/17/2014	9/14/2016	2,775 09	5,413 77		(2,638 68)
10	WHOLE FOODS MARKET INC CMN	3/25/2014	9/14/2016	280 31	522 51		(242 20)
88	WHOLE FOODS MARKET INC CMN	4/9/2014	9/14/2016	2,466 75	4,527 71		(2,060 96)
136	WHOLE FOODS MARKET INC CMN	5/7/2014	9/14/2016	3,812 25	5,293 69		(1,481 44)
136	WHOLE FOODS MARKET INC CMN	5/21/2014	9/14/2016	3,812 25	5,082 52		(1,270 27)
148	VISA INC CMN CLASS A	8/5/2014	9/14/2016	12,133 89	7,774 05		4,359 84
1	VISA INC CMN CLASS A	9/3/2014	9/14/2016	81 99	54 01		27 98
1	MONDELEZ INTERNATIONAL, INC CMN	11/17/2014	9/14/2016	42 54	37 92		4 62
31	MONDELEZ INTERNATIONAL, INC CMN	1/2/2015	9/14/2016	1,318 63	1,123 11		195 52
153	MONDELEZ INTERNATIONAL, INC CMN	2/11/2015	9/14/2016	6,508 06	5,577 51		930 55
225,000	BARCLAYS BANK PLC LINKED TO BASKET OF INDICES UPSIDE LEVERED STRUCTURED NOTE DUE 08	2/24/2015	9/14/2016	190,609 20	225,000 00		(34,390 80)
94	WHOLE FOODS MARKET INC CMN	4/24/2015	9/14/2016	2,634 94	4,663 41		(2,028 47)
55	WHOLE FOODS MARKET INC CMN	5/7/2015	9/14/2016	1,541 72	2,379 98		(838 26)
98	WHOLE FOODS MARKET INC CMN	7/30/2015	9/14/2016	2,747 06	3,535 38		(788 32)
115	WHOLE FOODS MARKET INC CMN	4/7/2016	9/14/2016	3,223 60	3,544 67		(321 07)
297	BRISTOL-MYERS SQUIBB COMPANY CMN	6/14/2016	9/14/2016	16,531 07	21,552 76		(5,021 69)
63	BRISTOL MYERS SQUIBB COMPANY CMN	6/28/2016	9/14/2016	3,506 59	4,506 62		(1,000 03)
139	WHOLE FOODS MARKET INC CMN	7/6/2016	9/14/2016	3,896 35	4,559 45		(663 10)
79	BRISTOL-MYERS SQUIBB COMPANY CMN	7/18/2016	9/14/2016	4,397 15	5,983 77		(1,586 62)
540	ABBOTT LABORATORIES CMN	8/8/2016	9/14/2016	22,099 28	24,312 16		(2,212 88)
50	AMERICAN TOWER CORPORATION CMN	8/8/2016	9/14/2016	5,456 70	5,786 63		(329 93)
415	AT&T INC CMN	8/8/2016	9/14/2016	16,652 62	17,816 99		(1,164 37)
260	CARDINAL HEALTH INC CMN	8/8/2016	9/14/2016	19,905 19	21,783 45		(1,878 26)
220	CINCINNATI FINANCIAL CRP CMN	8/8/2016	9/14/2016	16,700 59	16,722 75		(22 16)
775	COCA COLA COMPANY (THE) CMN	8/8/2016	9/14/2016	32,812 55	33,667 95		(855 40)
70	COMCAST CORPORATION CMN CLASS A VOTING	8/8/2016	9/14/2016	4,565 60	4,695 08		(129 48)
20	DARDEN RESTAURANTS INC CMN	8/8/2016	9/14/2016	1,242 32	1,243 45		(1 13)
20	ESSEX PROPERTY TRUST INC CMN	8/8/2016	9/14/2016	4,408 05	4,664 05		(256 00)
190	EXTRA SPACE STORAGE INC CMN	8/8/2016	9/14/2016	14,665 19	15,635 57		(970 38)
10	FEDERAL RLTY INVT TR SBI CMN	8/8/2016	9/14/2016	1,548 89	1,624 43		(75 54)
505	FIFTH THIRD BANCORP CMN	8/8/2016	9/14/2016	10,336 21	9,798 26		537 95
690	GENERAL ELECTRIC CO CMN	8/8/2016	9/14/2016	20,667 52	21,578 03		(910 51)
40	INTEL CORPORATION CMN	8/8/2016	9/14/2016	1,422 79	1,401 70		21 09
70	INTL BUSINESS MACHINES CORP CMN	8/8/2016	9/14/2016	10,846 58	11,342 98		(496 40)
65	L BRANDS, INC CMN	8/8/2016	9/14/2016	4,659 44	4,807 56		(148 12)
739	LEIDOS HLDGS INC CMN	8/8/2016	9/14/2016	30,497 27	23,417 33		7,079 94
1,415	NAVIENT CORPORATION CMN	8/8/2016	9/14/2016	19,154 86	20,322 94		(1,168 08)
140	PRICE T ROWE GROUP INC CMN	8/8/2016	9/14/2016	9,456 93	9,755 55		(298 62)
35	UDR INC CMN	8/8/2016	9/14/2016	1,201 54	1,312 59		(111 05)

BARBARA ÀND SANFORD H ORKIN
FAMILY FOUNDATION, INC

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

QTY	DESCRIPTION	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	ADJUSTMENT	GAIN/(LOSS)
135	VIACOM INC CMN CLASS A	8/8/2016	9/14/2016	5,682 06	6,379 09		(697 03)
500,000	BARCLAYS BANK PLC LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NC	6/24/2014	9/15/2016	445,903 50	500,000 00		(54,096 50)
100	THE GOLDMAN SACHS GROUP, INC LINKED TO BASKET OF INDICES 0% CPN DUE 05/22/2017 STRI	10/24/2014	9/15/2016	101,390 00	100,000.00		1,390 00
300,000	DEUTSCHE BANK AG LONDON BRANCH LINKED TO RUSSELL 2000 INDEX CNTNGNT CPN W BUFFEI	4/15/2015	9/29/2016	312,750 00	300,000 00		12,750 00
125,000	MORGAN STANLEY LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE	6/24/2015	9/29/2016	132,212 50	125,000 00		7,212 50
100,000	SOCIETE GENERALE LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOT	10/7/2014	10/20/2016	100,000 00	100,000.00		-
5	AUTOMATIC DATA PROCESSING INC CMN	4/19/2013	11/16/2016	463 77	284 78		178 99
2	STARBUCKS CORP CMN	4/19/2013	11/16/2016	109 90	58 46		51 44
58	STARBUCKS CORP CMN	6/13/2016	11/16/2016	3,186 91	3,222 32		(35 41)
95	STARBUCKS CORP CMN	7/18/2016	11/16/2016	5,219 94	5,433 42		(213 48)
45	3M COMPANY CMN	8/8/2016	11/16/2016	7,773 35	8,036 21		(262 86)
95	APPLE INC CMN	8/8/2016	11/16/2016	10,266 88	10,295 39		(28 51)
205	ARCHER-DANIELS-MIDLAND COMPANY CMN	8/8/2016	11/16/2016	8,812 38	9,127 11		(314 73)
340	CA, INC CMN	8/8/2016	11/16/2016	10,647 27	11,516 65		(869 38)
205	CENTERPOINT ENERGY, INC CMN	8/8/2016	11/16/2016	4,753 15	4,656 06		97 09
725	CENTURYLINK INC CMN	8/8/2016	11/16/2016	17,763 48	21,954 82		(4,191 34)
240	DUKE ENERGY CORPORATION CMN	8/8/2016	11/16/2016	17,786 23	20,338 20		(2,551 97)
220	ELI LILLY & CO CMN	8/8/2016	11/16/2016	16,966 29	17,952 55		(986 26)
270	ENTERGY CORPORATION CMN	8/8/2016	11/16/2016	18,336 86	21,533 18		(3,196 32)
10	EQT CORPORATION CMN	8/8/2016	11/16/2016	685 07	698 33		(13 26)
80	EXXON MOBIL CORPORATION CMN	8/8/2016	11/16/2016	6,891 40	7,087 40		(196 00)
235	GARMIN LTD CMN	8/8/2016	11/16/2016	12,320 43	12,892 69		(572 26)
20	GENERAL MILLS INC CMN	8/8/2016	11/16/2016	1,230 13	1,412 65		(182 52)
140	INTEL CORPORATION CMN	8/8/2016	11/16/2016	4,841 24	4,905 95		(64 71)
140	MACY'S INC CMN	8/8/2016	11/16/2016	5,810 25	4,865 35		944 90
85	MATTEL, INC CMN	8/8/2016	11/16/2016	2,755 73	2,863 01		(107 28)
195	MEDTRONIC PUBLIC LIMITED COMPA CMN	8/8/2016	11/16/2016	15,719 42	16,957 69		(1,238 27)
25	PAYCHEX, INC CMN	8/8/2016	11/16/2016	1,389 60	1,477 06		(87 46)
1,065	PFIZER INC CMN	8/8/2016	11/16/2016	34,379 69	37,203 12		(2,823 43)
620	PPL CORPORATION CMN	8/8/2016	11/16/2016	20,529 80	22,718 35		(2,188 55)
30	PROCTER & GAMBLE COMPANY (THE) CMN	8/8/2016	11/16/2016	2,506 46	2,572 88		(66 42)
215	PROGRESSIVE CORPORATION (THE) CMN	8/8/2016	11/16/2016	7,018 53	7,340 64		(322 11)
10	REYNOLDS AMERICAN INC CMN	8/8/2016	11/16/2016	536 92	491 83		45 09
330	THE SOUTHERN CO CMN	8/8/2016	11/16/2016	15,898 42	17,388 53		(1,490 11)
20	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	8/8/2016	11/16/2016	2,263 93	2,179 25		84 68
165	WAL MART STORES INC CMN	8/8/2016	11/16/2016	11,818 81	12,101 51		(282 70)
50	3M COMPANY CMN	9/14/2016	11/16/2016	8,637 06	8,840.43		(203 37)
385	ANNALY CAPITAL MANAGEMENT, INC CMN	9/14/2016	11/16/2016	3,901 39	4,050.32		(148 93)
45	APPLE INC CMN	9/14/2016	11/16/2016	4,863 26	5,016 50		(153 24)
45	CENTURYLINK INC CMN	9/14/2016	11/16/2016	1,102 56	1,240 53		(137 97)
115	CLOROX CO (THE) (DELAWARE) CMN	9/14/2016	11/16/2016	13,148 44	14,163 64		(1,015 20)
135	DR PEPPER SNAPPLE GROUP, INC CMN	9/14/2016	11/16/2016	11,245 91	12,228 63		(982 72)
30	EQUITY RESIDENTIAL CMN	9/14/2016	11/16/2016	1,781 59	1,912 03		(130 44)
125	FACEBOOK, INC CMN CLASS A	9/14/2016	11/16/2016	14,459 41	16,070 16		(1,610 75)
320	GENERAL MILLS INC CMN	9/14/2016	11/16/2016	19,682 10	21,068 19		(1,386 09)
35	MACERICH COMPANY CMN	9/14/2016	11/16/2016	2,451 46	2,780.01		(328 55)
145	MEDTRONIC PUBLIC LIMITED COMPA CMN	9/14/2016	11/16/2016	11,688 80	12,357 09		(668 29)
310	PAYCHEX, INC CMN	9/14/2016	11/16/2016	17,231 00	18,151 13		(920 13)
120	PROCTER & GAMBLE COMPANY (THE) CMN	9/14/2016	11/16/2016	10,025 82	10,483 50		(457 68)
5	PUBLIC STORAGE CMN	9/14/2016	11/16/2016	1,046 27	1,076 20		(29 93)
25	SIMON PROPERTY GROUP INC CMN	9/14/2016	11/16/2016	4,593 20	5,230 29		(637 09)
15	TARGET CORPORATION CMN	9/14/2016	11/16/2016	1,159 85	1,036 87		122 98
245	VERIZON COMMUNICATIONS INC CMN	9/14/2016	11/16/2016	11,634 94	12,680 69		(1,045 75)
15	VERSUM MATERIALS, INC CMN	9/14/2016	11/16/2016	356 64	377 93		(21 29)
5	WALGREENS BOOTS ALLIANCE, INC CMN	9/14/2016	11/16/2016	416 18	410.77		5 41
50,000	SOCIETE GENERALE LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED W BUFFER STRUCTU	10/7/2014	11/17/2016	53,590 15	50,000.00		3,590 15
150,000	SOCIETE GENERALE LINKED TO S&P 500 INDEX CNTNGNT CPN W BUFFER STRUCTURED NOTE DUI	9/15/2015	12/20/2016	159,900 00	150,000.00		9,900 00
100	THE GOLDMAN SACHS GROUP, INC LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER S	10/24/2014	12/27/2016	100,000 00	100,000 00		-
41,050 90	GS U S EQUITY DIVIDEND AND PREMIUM FUND INSTITUTIONAL SHARES	12/28/2015	12/27/2016	500,000 00	466,338 26		33,661 74
				8,168,501 53	7,880,924 80	4,943 87	292,520 60