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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

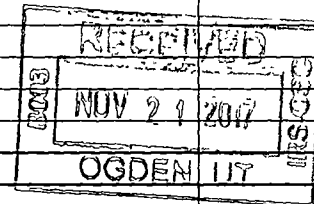
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Name of foundation THE DICKSON FOUNDATION, INC		A Employer identification number 56-6022339
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions)
200 SOUTH COLLEGE STREET	1800	(704) 372-5404
City or town, state or province, country, and ZIP or foreign postal code CHARLOTTE, NC 28202		C If exemption application is pending, check here. ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 67,205,063		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,156,645	1,238,044		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	170,844			
b	Gross sales price for all assets on line 6a	43,275,206			
7	Capital gain net income (from Part IV, line 2)		87,073		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 2	299,884	72,926		
12	Total. Add lines 1 through 11	1,627,373	1,398,043		
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH. 3	25,466	12,733		12,733
c	Other professional fees (attach schedule) [4]	154,265	15,427		138,839
17	Interest ATCH. 5		621		
18	Taxes (attach schedule) (see instructions) [6]	45,256	48,171		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	81,843	8,184		73,659
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH. 7	348,272	407,082		40,028
24	Total operating and administrative expenses. Add lines 13 through 23.	655,102	492,218		265,259
25	Contributions, gifts, grants paid	3,337,645			3,337,656
26	Total expenses and disbursements. Add lines 24 and 25	3,992,747	492,218	0	3,602,915
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-2,365,374			
b	Net investment income (if negative, enter -0-)		905,825		
c	Adjusted net income (if negative, enter -0-)				

SCANNED NOV 24 2017

Operating and Administrative Expenses



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		44,289	7,031	7,031
	2	Savings and temporary cash investments		5,593,879	2,585,859	2,586,590
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)		1,819,826	2,274,136	2,249,879
	b	Investments - corporate stock (attach schedule)		47,479,722	38,788,662	42,114,978
	c	Investments - corporate bonds (attach schedule)		2,606,555	3,215,481	3,224,893
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH. 8		9,145,276	17,452,697	17,021,692	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		66,689,547	64,323,866	67,205,063	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		66,689,547	64,323,866	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)		66,689,547	64,323,866		
31	Total liabilities and net assets/fund balances (see instructions)		66,689,547	64,323,866		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	66,689,547
2	Enter amount from Part I, line 27a	2	-2,365,374
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	64,324,173
5	Decreases not included in line 2 (itemize) ▶ ATCH 9	5	307
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	64,323,866

Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (e.g., real estate,
2-story brick warehouse, or common stock, 200 shs MLC Co.)(b) How
acquired
P - Purchase
D - Donation(c) Date acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1 a** SEE PART IV SCHEDULE**b****c****d****e**

(e) Gross sales price

(f) Depreciation allowed
(or allowable)(g) Cost or other basis
plus expense of sale(h) Gain or (loss)
(e) plus (f) minus (g)**a****b****c****d****e**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69

(j) Adjusted basis
as of 12/31/69(k) Excess of col (i)
over col (j), if any(l) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**a****b****c****d****e****2** Capital gain net income or (net capital loss){ If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }**2**

87,073

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in
Part I, line 8 }**3**

0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,655,941	70,591,657	0.037624
2014	4,160,751	72,608,017	0.057304
2013	1,560,209	68,287,255	0.022848
2012	3,147,293	58,630,885	0.053680
2011	3,278,376	60,342,712	0.054329

2 Total of line 1, column (d)**2**

0.225785

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the
number of years the foundation has been in existence if less than 5 years.**3**

0.045157

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5**4**

68,567,352

5 Multiply line 4 by line 3.**5**

3,096,296

6 Enter 1% of net investment income (1% of Part I, line 27b).**6**

9,058

7 Add lines 5 and 6.**7**

3,105,354

8 Enter qualifying distributions from Part XII, line 4.**8**

3,602,915

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	9,058
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	9,058
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,058
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	74,182
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	74,182
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	65,124
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NC, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X
14 The books are in care of ▶ THE DICKSON FOUNDATION Telephone no ▶ 704-372-5404		
Located at ▶ 200 SOUTH COLLEGE STREET, SUITE 1800 CHARLOTTE, NC ZIP+4 ▶ 28202		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 11		469,854

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	65,054,372
b	Average of monthly cash balances	1b	4,557,153
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	69,611,525
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	69,611,525
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	1,044,173
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	68,567,352
6	Minimum investment return. Enter 5% of line 5	6	3,428,368

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	3,428,368.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	9,058
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	9,058
3	Distributable amount before adjustments Subtract line 2c from line 1	3	3,419,310
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4.	5	3,419,310
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	3,419,310

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,602,915
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,602,915
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	9,058
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,593,857

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)Page **9**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				3,419,310
a Enter amount for 2015 only.				
b Total for prior years 20 <u>14</u> , 20 <u>13</u> , 20 <u>12</u>			46,006	
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,602,915</u>				
a Applied to 2015, but not more than line 2a			46,006	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus.	137,599			3,419,310
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	137,599			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	137,599			
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	137,599			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 12

b The form in which applications should be submitted and information and materials they should include

LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS TO INDIVIDUALS - PRIMARILY LOCAL GIVING

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATCH 13				
Total			3a	3,337,645
b Approved for future payment				
ATCH 14				
Total			3b	2,205,600

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities	523000	86	14	1,156,559	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income	523000	-2,695	14	302,579	
8	Gain or (loss) from sales of assets other than inventory	523000	646	18	170,198	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		-1,963		1,629,336	
13	Total. Add line 12, columns (b), (d), and (e)					1,627,373

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
268,944		ST GAIN ON SALE OF SECURITIES (BBH) 228,789.				P	VAR 40,155	VAR
24769329		ST LOSS ON SALE OF SECURITIES (UST) 25018352.				P	VAR -249,023	VAR
1,773,850		ST LOSS ON SALE OF SECURITIES (MS) 2,004,410.				P	VAR -230,560	VAR
3,548,055		LT LOSS ON SALE OF SECURITIES (BBH) 4,030,788				P	VAR -482,733	VAR
9,068,631		LT GAIN ON SALE OF SECURITIES (UST) 8,047,277				P	VAR 1,021,354	VAR
3,631,863		LT LOSS ON SALE OF SECURITIES (MS) 3,774,746				P	VAR -142,883	VAR
132,511		LT CAPITAL GAIN DISTRIBUTION (BBH)				P	VAR 132,511	VAR
55,578		LT CAPITAL GAIN DISTRIBUTION (UST)				P	VAR 55,578	VAR
26,445		LT CAPITAL GAIN DISTRIBUTION (MS)				P	VAR 26,445	VAR
		ST LOSS FROM FLOW THROUGH ENTITIES 39,289				P	VAR -39,289	VAR
		LT LOSS FROM FLOW THROUGH ENTITIES 44,482				P	VAR -44,482	VAR
TOTAL GAIN (LOSS)							<u>87,073</u>	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND AND INTEREST PER BOOKS	1,156,645.	1,156,645.
DIVIDEND AND INTEREST PER K-1s		81,399.
TOTAL	<u>1,156,645.</u>	<u>1,238,044.</u>

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NON-DIVIDEND DISTRIBUTIONS	106,947.	
MISC INCOME	19,094.	19,094.
OTHER INCOME - LIMITED PARTNERSHIPS (NII IS K-1S NET OF UBI)	173,843.	53,832.
TOTALS	<u>299,884.</u>	<u>72,926.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREP FEES	25,466.	12,733.		12,733.
TOTALS	<u>25,466.</u>	<u>12,733.</u>		<u>12,733.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
OTHER PROFESSIONAL	154,265.	15,427.		138,839.
TOTALS	<u>154,265.</u>	<u>15,427.</u>		<u>138,839.</u>

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE - K-1S		621.
TOTALS		<u>621.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID	45,256.	45,256.
FOREIGN TAXES PAID PER K-1S		2,915.
TOTALS	<u>45,256.</u>	<u>48,171.</u>

ATTACHMENT 7FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	315,588.	305,150.	10,439
OFFICES EXPENSES	29,719.	2,972.	26,747.
OTHER ADMIN FEES	1,733.		1,733.
MISCELLANEOUS	1,232.	123.	1,109.
OTHER INVESTMENT EXP FROM K-1S		98,837.	
TOTALS	<u>348,272.</u>	<u>407,082.</u>	<u>40,028.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PRIVATE EQUITY FUNDS	2,538,001.	2,432,925.
REITS & REAL ESTATE FUNDS	1,368,900.	1,162,821.
OTHER ALTERNATIVE INVESTMENTS	13,545,796.	13,425,946
TOTALS	<u>17,452,697.</u>	<u>17,021,692.</u>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

YEAR END TIMING DIFFERENCES

307

TOTAL

307.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
R. STUART DICKSON	DIRECTOR, CHAIRMAN & PRESIDENT 1 00	0	0	0
RUSH S DICKSON, III	DIRECTOR, VICE PRESIDENT 1 00	0.	0	0
THOMAS W. DICKSON	DIRECTOR, VICE PRESIDENT 1 00	0.	0	0
SUSAN W PATTERSON	OFFICER, SEC & TREASURER 32 00	0	0.	0
JANE W. MARLEY	DIRECTOR 1.00	0.	0.	0
ALISON M SCOTT	DIRECTOR 1 00	0	0.	0
CHRISTIAN O. AVERY	DIRECTOR 1.00	0	0.	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CHASE A WOOD	DIRECTOR 1 00	0	0	0.
HARRISON H WILLIAMSON JR	DIRECTOR 1.00	0.	0.	0.
JOHN A DICKSON	DIRECTOR 1 00	0	0	0.
GRAND TOTALS		0.	0.	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BROWN BROTHERS HARRIMAN 227 WEST TRADE ST., SUITE 2100 CHARLOTTE, NC 28202	INVESTMENT MGT	117,614.
US TRUST COMPANY 525 NORTH TRYON STREET, STE 1900 CHARLOTTE, NC 28202	INVESTMENT MGT	105,637.
MORGAN STANLEY 700 SPRING FOREST, ROAD STE 200 RALEIGH, NC 27609	INVESTMENT MGT	92,338.
RDK MANAGEMENT LLC 200 SOUTH COLLEGE STREET SUITE 1800 CHARLOTTE, NC 28202	ADMIN SERVICES	154,265.
TOTAL COMPENSATION		<u>469,854.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE DICKSON FOUNDATION, INC.
200 SOUTH COLLEGE ST , SUITE 1800
CHARLOTTE, NC 28202
704-372-5404

THE DICKSON FOUNDATION

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION		FOUNDATION STATUS
ALLEGRO FOUNDATION	11/9	12,500	NONE	PC #9	EXEMPT
ANNE SPRINGS CLOSE GREENWAY	11/17	50,000	NONE	PC #9	EXEMPT
APPALACHIAN REGIONAL HEALTHCARE FDN	11/9	20,000	NONE	PC #7	EXEMPT
APPALACHIAN THEATRE OF THE HIGH COUNTRY	11/17	50,000	NONE	PC	EXEMPT
ARTS & SCIENCE ENDOWMENT	12/12	101,442	NONE	PC #7	EXEMPT
ARTS & SCIENCE COUNCIL	11/17	50,000	NONE	PC #7	EXEMPT
AVERY COUNTY HUMANE SOCIETY	11/9	10,000	NONE	PC #7	EXEMPT
BEST NC (NC Business Leaders for Education)	11/9	10,000	NONE	PC	EXEMPT
BOY SCOUTS-OLD HICKORY COUNCIL	11/9	5,000	NONE	PC #2	EXEMPT
BROOKSTONE SCHOOLS	6/10	25,000	NONE	PC #2	EXEMPT
CAMP SEA GULL CAMP SEAFARER	11/17	30,000	NONE	PC #9	EXEMPT
CAROLINAS HEALTHCARE FOUNDATION Duke Kimbrell Rehab Research Endowment	12/14	10,000	NONE	PC #7	EXEMPT
CAROLINAS HEALTHCARE FOUNDATION Dr Francis Robicsek Chair	12/14	60,000	NONE	PC #7	EXEMPT
CHARLESTON DAY SCHOOL	12/12	40,000	NONE	PC #2	EXEMPT
CHARLOTTE FAMILY HOUSING	11/8	50,000	NONE	PC #7	EXEMPT
CHARLOTTE MUSEUM OF HISTORY	11/9	12,500	NONE	PC #7	EXEMPT
CHARLOTTE RESCUE MISSION	11/9	20,000	NONE	PC #9	EXEMPT
CHARLOTTE SYMPHONY	6/10	50,000	NONE	PC #7	EXEMPT
THE CHILDREN'S HOME	11/9	5,000	NONE	PC #9	EXEMPT
CHILDREN'S HOME SOCIETY	11/9	10,000	NONE	PC #7	EXEMPT
CHILDREN'S SCHOLARSHIP FUND	11/9	4,000	NONE	SOI 11(a)e	EXEMPT
CHRIST CHURCH	11/17	50,000	NONE	SOI 11(a)e	EXEMPT
CROSSNORE SCHOOL	11/9	20,000	NONE	PC #7	EXEMPT
CULVER ACADEMIES	9/27	200,000	NONE	PC #2	EXEMPT
DANIEL STOWE BOTANICAL GARDEN	11/17	20,000	NONE	SOI 11(a)	EXEMPT
DARDEN SCHOOL OF BUSINESS	12/14	25,000	NONE	PC	EXEMPT
DUKE COMPREHENSIVE CANCER CENTER	12/14	40,000	NONE	PC #2	EXEMPT
EPILEPSY FOUNDATION OF NC	11/9	3,000	NONE	PC #9	EXEMPT
EPISCOPAL HIGH SCHOOL	12/12	20,000	NONE	PC #2	EXEMPT

THE DICKSON FOUNDATION

ATTACHMENT 13

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION		FOUNDATION STATUS
THE FIRST TEE	11/9	12,500	NONE	PC #9	EXEMPT
FOUNDATION FOR THE CAROLINAS Carolina Thread Trail Project	12/12	50,000	NONE	PC #7	EXEMPT
FOUNDATION FOR THE CAROLINAS Relocate to Former Mint Building	12/12	41,308	NONE	PC #7	EXEMPT
FOUNDATION FOR THE CAROLINAS Robinson Center For Civic Leadership	12/12	15,915	NONE	PC #7	EXEMPT
FOUNDATION FOR THE CAROLINAS Carolina Theatre	12/12	200,000	NONE	PC #7	EXEMPT
GOODWILL INDUSTRIES	11/17	50,000	NONE	PC #7	EXEMPT
GRAMEEN AMERICA	12/12	5,000	NONE	PC #7	EXEMPT
HOLLINS UNIVERSITY	11/9	5,000	NONE	PC #2	EXEMPT
HOOD THEOLOGICAL SEMINARY	11/9	20,000	NONE	PC #2	EXEMPT
HOPEWAY FOUNDATION	6/10	200,000	NONE	PC	EXEMPT
HOSPICE AT CHARLOTTE	11/17	50,000	NONE	PC #7	EXEMPT
HOSPICE & PALLIATIVE CARE	11/9	5,000	NONE	PC #7	EXEMPT
LEES MCRAE COLLEGE	11/9	10,000	NONE	PC #2	EXEMPT
LEVINE MUSEUM OF THE NEW SOUTH	11/9	10,000	NONE	PC #7	EXEMPT
LIFESPAN	11/9	5,000	NONE	PC #9	EXEMPT
LOWER PROVIDENCE COMMUNITY HOUSE	11/9	1,000	NONE	PC #7	EXEMPT
LYNNWOOD FDN-Duke Mansion Endowment	11/17	50,000	NONE	PC #8	EXEMPT
MAKE A WISH FOUNDATION	11/9	5,000	NONE	PC #7	EXEMPT
MICHAEL J. FOX FDN FOR PARKINSONS RES	11/17	200,000	NONE	PC #7	EXEMPT
MONTREAT CONFERENCE CENTER	11/9	20,000	NONE	SOI 11(a)e	EXEMPT
NC MUSEUM OF ART	11/17	50,000	NONE	PC #7	EXEMPT
NC STATE UNIVERSITY	12/12	100,000	NONE	PC	EXEMPT
NOVANT HEALTH	11/17	100,000	NONE	PC #7	EXEMPT
PAT'S PLACE CHILD ADVOCACY CENTER	11/9	10,000	NONE	PC #7	EXEMPT
PIEDMONT EPISCOPAL DIST AME ZION CHURCH	7/11	5,000	NONE	PC	EXEMPT
PUBLIC SCHOOL FORUM OF NC	5/6	5,000	NONE	PC #7	EXEMPT
QUEENS UNIVERSITY	12/12	44,701	NONE	PC #2	EXEMPT
QUEENS UNIVERSITY	12/12	100,050	NONE	PC #2	EXEMPT

THE DICKSON FOUNDATION

ATTACHMENT 13

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION		FOUNDATION STATUS
RONALD MCDONALD HOUSE	11/17	50,000	NONE	PC #7	EXEMPT
SAFE ALLIANCE	11/9	20,000	NONE	PC #7	EXEMPT
ST MARY'S SCHOOL	12/12	50,000	NONE	PC #2	EXEMPT
SALVATION ARMY OF GREENSBORO Boys & Girls Club	11/9	5,000	NONE	PC	EXEMPT
SAMARITAN HOUSE	6/10	10,000	NONE	PC #9	EXEMPT
SECOND HARVEST FOOD BANK	11/9	20,000	NONE	PC #7	EXEMPT
SOUTHEASTERN COUNCIL OF FDNS	12/21	6,600	NONE	PC #9	EXEMPT
STOKES COUNTY ART COUNCIL	11/9	12,500	NONE	PC #7	EXEMPT
SUGAR CREEK CHARTER HIGH SCHOOL	12/12	200,000	NONE	PC #6	EXEMPT
TEACHING FELLOWS INSTITUTE	11/9	15,000	NONE	PC	EXEMPT
TREESCHARLOTTE FOUNDATION	11/17	50,000	NONE	PC #7	EXEMPT
TRINITY EPISCOPAL SCHOOL	11/9	4,500	NONE	PC #2	EXEMPT
UNION THEOLOGICAL SEMINARY PSCE AT CHARLOTTE	12/12	14,966	NONE	PC	EXEMPT
UNITED WAY OF THE CAROLINAS	11/17	40,000	NONE	PC #7	EXEMPT
UNC THURSTON ARTHRITIS RESEARCH CTR	12/12	20,000	NONE	PC	EXEMPT
UNC NUTRITION CENTER	12/12	66,361	NONE	PC	EXEMPT
URBAN MINISTRY CENTER	11/9	25,000	NONE	PC #7	EXEMPT
VIDANT MEDICAL CENTER FDN	12/14	20,000	NONE	PC #9	EXEMPT
YMCA AVERY COUNTY	11/9	10,000	NONE	PC #7	EXEMPT
YMCA OF GREATER CHARLOTTE	12/12	97,552	NONE	PC #7	EXEMPT
TOTAL PLEDGES		<u>3,111,395</u>			
SUSTAINING GIFTS					
RELIGIOUS					
ALL SAINTS MISSION	6/10	1,000	NONE	PC	EXEMPT
FIRST PRESBYTERIAN CHURCH	12/12	1,000	NONE	PC	EXEMPT
MYERS PARK BAPTIST CHURCH	12/12	1,000	NONE	PC	EXEMPT
SEIGLE AVE PRESBY CHURCH (Jacob's Ladder Job Ctr)	12/12	2,000	NONE	PC #7	EXEMPT
ST PHILIP'S EPISCOPAL CHURCH	12/21	1,000	NONE	PC	EXEMPT
EDUCATIONAL					
A&T UNIVERSITY FOUNDATION	12/12	1,000	NONE	PC #5	EXEMPT
BELMONT ABBEY COLLEGE-BRADLEY INSTITUTE	12/9	1,000	NONE	PC #2	EXEMPT
CAMPBELL UNIVERSITY	12/12	1,000	NONE	PC #2	EXEMPT
CATAWBA COLLEGE	12/12	1,000	NONE	PC #2	EXEMPT
CENTRAL PIEDMONT COMMUNITY COLLEGE	12/12	2,000	NONE	PC #2	EXEMPT

THE DICKSON FOUNDATION

ATTACHMENT 13

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION	FOUNDATION STATUS
CPCC FOUNDATION, INC	6/10	1,000	NONE	PC #5 EXEMPT
CHARLOTTE COUNTRY DAY SCHOOL	6/10	2,000	NONE	PC #2 EXEMPT
CHARLOTTE LATIN	12/12	1,000	NONE	PC #2 EXEMPT
THE CITADEL FOUNDATION	12/12	1,000	NONE	PC #5 EXEMPT
COLORADO SPRINGS SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
COUNCIL FOR ECONOMIC EDUCATION	12/12	2,000	NONE	PC #9 EXEMPT
CROSSNORE SCHOOL & CHILDREN'S HOME	12/12	2,000	NONE	PC #7 EXEMPT
CULVER MILITARY ACADEMY	12/12	1,000	NONE	PC #2 EXEMPT
DARDEN SCHOOL SPONSORS	12/12	1,000	NONE	PC EXEMPT
DAVIDSON COLLEGE	12/12	2,000	NONE	PC #2 EXEMPT
DUKE UNIVERSITY-THE DIVINITY SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
DUKE UNIVERSITY-THE FUQUA SCHOOL	12/12	2,000	NONE	PC #2 EXEMPT
ELON UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
EPISCOPAL HIGH SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
THE EPISCOPAL MEDIA CENTER	12/14	1,000	NONE	PC #7 EXEMPT
THE FLETCHER SCHOOL	12/12	2,000	NONE	PC #2 EXEMPT
FURMAN UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
GARDNER-WEBB UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
HARVARD BUSINESS SCHOOL FUND	12/12	1,000	NONE	PC EXEMPT
HOLLINS UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
INDEPENDENT COLLEGE FUND OF NC	12/12	5,000	NONE	SOI #11b EXEMPT
JOHNSON C SMITH UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
LEES MCRAE COLLEGE	12/12	1,000	NONE	PC #2 EXEMPT
LENOIR RHYNE UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
LENOIR RHYNE UNIV-PIEDMONT EDUC FDN	12/12	300	NONE	SOI #11(a)e EXEMPT
MARS HILL UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
THE MCCALLIE SCHOOL	12/12	3,000	NONE	PC #2 EXEMPT
MEREDITH COLLEGE	12/12	1,000	NONE	PC #2 EXEMPT
NC ACADEMY OF SCIENCE	12/12	100	NONE	PC #7 EXEMPT
NC CENTRAL UNIVERSITY FOUNDATION	12/12	1,000	NONE	PC #5 EXEMPT
NC COUNCIL OF TEACHERS OF MATH	12/12	500	NONE	PC #9 EXEMPT
NC OUTWARD BOUND SCHOOL	12/12	2,000	NONE	PC #2 EXEMPT
NC STATE UNIV STUDENT AID ASSOC	6/10	2,500	NONE	PC EXEMPT
PFEIFFER UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
PROVIDENCE DAY SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
QUEENS UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
ST ANDREWS PRESBYTERIAN COLLEGE	12/12	1,000	NONE	PC #2 EXEMPT
SALEM ACADEMY & COLLEGE	12/12	1,000	NONE	PC #2 EXEMPT
SC COUNCIL ON ECONOMIC EDUCATION	12/12	500	NONE	PC #7 EXEMPT
SC INDEPENDENT COLLEGES & UNIVERSITIES	12/12	2,000	NONE	SOI #11a EXEMPT
SOUTHEASTERN BAPTIST THEOLOGICAL SEMINARY	12/12	2,000	NONE	PC EXEMPT
STEPHENS COLLEGE	12/12	1,000	NONE	PC #2 EXEMPT
UNITED NEGRO COLLEGE FUND / JCSU	12/12	2,000	NONE	PC #7 EXEMPT
UNC-CH DENTAL SCHOOL	12/12	1,000	NONE	PC EXEMPT
UNC-CH EDUCATIONAL FOUNDATION	6/10	2,500	NONE	PC #5 EXEMPT
UNC-CH KENAN-FLAGLER BUSINESS SCHOOL	12/12	1,000	NONE	PC EXEMPT
UNC-CH KENAN-FLAGLER BUSINESS SCHOOL FDN	12/12	1,500	NONE	PC EXEMPT
UNC-CH LINEBERGER CANCER RESEARCH CTR	12/12	1,000	NONE	PC EXEMPT
UNC-CH MEDICAL FOUNDATION OF NC	12/12	1,000	NONE	PC #7 EXEMPT
UNCC ATHLETIC FOUNDATION	6/10	1,500	NONE	PC #5 EXEMPT
UNCC BELK COLLEGE OF BUSINESS ADMIN	12/12	1,000	NONE	PC EXEMPT
UNCC FOUNDATION	12/12	1,000	NONE	PC #5 EXEMPT
UNC-GREENSBORO	12/12	1,000	NONE	PC EXEMPT
UNC SCHOOL OF THE ARTS	12/12	1,000	NONE	PC #5 EXEMPT
USBIC EDUCATIONAL FOUNDATION	12/12	1,000	NONE	PC #7 EXEMPT
VANDERBILT UNIV OWEN GRAD SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
VA FOUND FOR INDEPENDENT COLLEGES	12/12	2,500	NONE	PC #9 EXEMPT
WAKE FOREST UNIVERSITY	12/12	1,000	NONE	PC #2 EXEMPT
WAKE FOREST UNIVERSITY/DIVINITY SCHOOL	12/12	1,000	NONE	PC #2 EXEMPT
WASHINGTON & LEE UNIVERSITY	12/12	1,200	NONE	PC #2 EXEMPT

THE DICKSON FOUNDATION

ATTACHMENT 13

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION		FOUNDATION STATUS
THE WEBB SCHOOL	12/12	1,000	NONE	PC #2	EXEMPT
WINGATE UNIVERSITY	12/12	1,000	NONE	PC #2	EXEMPT
WINTHROP UNIVERSITY	12/12	1,000	NONE	PC	EXEMPT
WOFFORD COLLEGE	12/12	1,000	NONE	PC #2	EXEMPT
CHARITABLE					
ALEXANDER YOUTH NETWORK	12/12	1,500	NONE	PC #7	EXEMPT
AMERICAN CANCER SOCIETY	12/12	1,000	NONE	PC #7	EXEMPT
AMERICAN CANCER SOCIETY	12/12	1,000	NONE	PC #7	EXEMPT
AMERICAN ENTERPRISE INSTITUTE	12/12	1,500	NONE	PC #7	EXEMPT
AMERICAN FRIENDS SERVICE COMMITTEE	12/12	300	NONE	PC	EXEMPT
AMERICAN HEART ASSOC OF CHAR/MECK	12/12	500	NONE	PC #7	EXEMPT
AMERICAN RED CROSS	12/12	2,500	NONE	PC #7	EXEMPT
AMERICAN SKIN ASSOC	12/12	1,000	NONE	PC #7	EXEMPT
AVERY COMMUNITY FOUNDATION	12/14	1,000	NONE	PC #7	EXEMPT
BAPTIST CHILDREN'S HOME OF NC	12/12	1,000	NONE	PC #7	EXEMPT
BOYS AND GIRLS CLUBS OF GRTR CHARLOTTE	12/12	1,000	NONE	PC #9	EXEMPT
BOYS & GIRLS HOME OF NC	12/12	500	NONE	PC #7	EXEMPT
CANNON SLOOP HEALTHCARE FOUNDATION	12/12	1,000	NONE	PC #7	EXEMPT
CAROLINAS HEALTHCARE FDN-THE AMETHYST	12/12	1,000	NONE	PC #7	EXEMPT
CHARLOTTE BALLET	12/12	2,000	NONE	PC #7	EXEMPT
CHARLOTTE CHILDREN'S CHOIR	12/12	500	NONE	PC #9	EXEMPT
CHARLOTTE RESCUE MISSION	12/12	1,500	NONE	PC #9	EXEMPT
CHARLOTTE SYMPHONY ORCHESTRA	12/12	1,200	NONE	PC #7	EXEMPT
CHILD CARE RESOURCES	12/12	1,000	NONE	PC #7	EXEMPT
CHILDREN'S HOME SOCIETY OF NC-GREENSBORO	12/12	1,200	NONE	PC #7	EXEMPT
CHILDREN'S MIRACLE NETWORK TELETHON	12/14	1,000	NONE	PC #7	EXEMPT
CHILDREN'S THEATRE OF CHARLOTTE	6/10	5,000	NONE	PC #7	EXEMPT
CHRISTIAN RECORD SERVICES FOR THE BLIND	12/14	2,000	NONE	PC #9	EXEMPT
CIVIL AIR PATROL-111TH SEARCH & RESCUE	12/14	250	NONE	PC #9	EXEMPT
CLASSICAL AMER HOMES PRESERVATION TRUST	12/12	1,000	NONE	POF	EXEMPT
COLONIAL WILLIAMSBURG FOUNDATION	12/14	500	NONE	PC #9	EXEMPT
COMMITTEE FOR ECONOMIC DEVELOPMENT	12/12	500	NONE	PC #7	EXEMPT
THE CONSERVATION FUND	12/12	1,000	NONE	PC #7	EXEMPT
CRISIS ASSISTANCE MINISTRY	12/12	2,000	NONE	PC #7	EXEMPT
DAY 1	12/14	200	NONE	PC #7	EXEMPT
DILWORTH CTR FOR CHEMICAL DEPENDENCY	12/12	1,000	NONE	PC #9	EXEMPT
DISCOVERY PLACE	12/12	1,000	NONE	PC #7	EXEMPT
DUCKS UNLIMITED	12/12	300	NONE	PC #7	EXEMPT
DUKE COMPREHENSIVE CANCER CENTER	12/14	1,000	NONE	PC #2	EXEMPT
ELON HOMES FOR CHILDREN	12/12	500	NONE	PC #7	EXEMPT
FRIENDS OF OLD SALEM	12/14	500	NONE	PC #7	EXEMPT
FRIENDS OF THE BLUE RIDGE PARKWAY	12/12	1,000	NONE	PC #9	EXEMPT
GOODFELLOWS CLUB	12/12	500	NONE	PC #7	EXEMPT
HIGH COUNTRY UNITED WAY-BOONE	12/12	1,000	NONE	PC #7	EXEMPT
HISTORIC PRESERVATION FDN OF NC	12/12	3,000	NONE	PC #7	EXEMPT
HISTORIC RICEFIELDS ASSOCIATION	12/12	1,000	NONE	PC #9	EXEMPT
HISTORIC ROSEDALE	12/12	1,000	NONE	PC #9	EXEMPT
HORNETS' NEST GIRL SCOUT COUNCIL	12/12	1,000	NONE	PC #7	EXEMPT
HOSPICE AT CHARLOTTE	12/12	1,000	NONE	PC #7	EXEMPT
HOSPITALITY HOUSE OF CHARLOTTE	12/12	3,000	NONE	PC #7	EXEMPT
INFAITH	12/12	1,000	NONE	PC #7	EXEMPT
JUNIOR ACHIEVEMENT OF CHARLOTTE	12/12	3,000	NONE	PC #9	EXEMPT
JUVENILE DIABETES FOUNDATION INT'L	12/12	1,000	NONE	PC #7	EXEMPT
KINDERMOURN	12/12	1,000	NONE	PC #7	EXEMPT
LEARNING ALLY	12/12	1,000	NONE	PC #7	EXEMPT
LEVINE MUSEUM OF THE NEW SOUTH	12/12	1,000	NONE	PC #7	EXEMPT
LINVILLE FOUNDATION	12/12	5,000	NONE	PC	EXEMPT
MAKE-A-WISH FOUNDATION	12/12	1,000	NONE	PC #7	EXEMPT

THE DICKSON FOUNDATION

ATTACHMENT 13

Contributions Paid During The Year
December 31, 2016

RECIPIENT ORGANIZATION	DATE PAID	AMT. PAID IN 2016	RELATION		FOUNDATION STATUS
MARCH OF DIMES-GRTR PIEDMONT CHAPTER	12/12	200	NONE	PC #7	EXEMPT
MCGILL ROSE GARDEN	12/12	500	NONE	PC #7	EXEMPT
MEMORIAL SLOAN-KETTERING CANCER CTR	12/12	2,000	NONE	PC #2	EXEMPT
MERCY HOSPITAL FUND	12/14	1,000	NONE	PC #7	EXEMPT
MINT MUSEUM	6/10	2,000	NONE	PC #9	EXEMPT
MOORE REGIONAL HOSPITAL FOUNDATION	12/14	500	NONE	PC #3	EXEMPT
MUSCULAR DYSTROPHY ASSOC OF AMERICA	12/12	500	NONE	PC #7	EXEMPT
NATIONAL KIDNEY FDN OF NC/MECK CHAPTER	12/13	1,000	NONE	PC #7	EXEMPT
NATIONAL CIVIC LEAGUE	12/12	100	NONE	PC #7	EXEMPT
NATIONAL 4-H COUNCIL	12/12	1,000	NONE	PC #7	EXEMPT
NAT'L MULTIPLE SCLEROSIS SOC MID-ATL CHPTR	12/12	500	NONE	PC #7	EXEMPT
NAT'L RIGHT TO WORK LEGAL DEFENSE FDN	12/12	1,000	NONE	PC #7	EXEMPT
NAT'L TRUST FOR HISTORIC PRESERVATION	12/12	1,500	NONE	PC #7	EXEMPT
THE NATURE CONSERVANCY-NC CHAPTER	12/12	1,000	NONE	PC #7	EXEMPT
NC CENTER FOR PUBLIC POLICY RESEARCH	12/12	500	NONE	PC #7	EXEMPT
NC CHAPTER ARTHRITIS FOUNDATION	12/12	100	NONE	PC #7	EXEMPT
NC LITERARY & HISTORICAL ASSOC	12/12	100	NONE	PC #9	EXEMPT
NC MUSEUM OF ART	12/12	1,000	NONE	PC #7	EXEMPT
NC MUSEUM OF HISTORY ASSOCIATES	12/12	500	NONE	SOIII FI	EXEMPT
NC SYMPHONY	12/12	1,000	NONE	PC #7	EXEMPT
NC WILDLIFE HABITAT FOUNDATION	12/12	1,000	NONE	PC #7	EXEMPT
NOVANT HEALTH FOUNDATION	12/12	1,000	NONE	PC #7	EXEMPT
NRA FOUNDATION ENDOWMENT	12/12	1,000	NONE	PC #7	EXEMPT
OPERA CAROLINA	12/12	1,000	NONE	PC #7	EXEMPT
PREVENT BLINDNESS NORTH CAROLINA	12/12	500	NONE	PC #7	EXEMPT
REYNOLDA HOUSE MUSEUM OF AMERICAN ART	12/12	1,000	NONE	PC #7	EXEMPT
SALVATION ARMY CHRISTMAS FUND	12/12	200	NONE	PC #1	EXEMPT
THE SHEPHERD'S CENTER OF CHARLOTTE	12/12	1,500	NONE	PC #7	EXEMPT
SHRINER 'S HOSPITAL FOR CHILDREN	12/12	500	NONE	PC	EXEMPT
SOUTHEASTERN LEGAL FOUNDATION	12/12	1,500	NONE	PC #7	EXEMPT
SOUTHMINSTER COMMUNITY FUND	6/10	5,000	NONE	PC #9	EXEMPT
TAX FOUNDATION, INC	12/12	500	NONE	PC #7	EXEMPT
TROUT UNLIMITED-ROCKY RIVER CHAPTER	12/12	1,000	NONE	PC #9	EXEMPT
UNION COUNTY CRISIS ASSISTANCE MINISTRY	12/12	500	NONE	PC #7	EXEMPT
UNC PUBLIC TV-READING RAINBOW BOOK CLUB	12/12	3,000	NONE	PC #7	EXEMPT
WING HAVEN FOUNDATION	12/12	1,000	NONE	PC #7	EXEMPT
YMCA-CATAWBA COUNTY, HICKORY	12/12	2,000	NONE	PC #9	EXEMPT
TOTAL SUSTAINING GIFTS		<u>199,750</u>			
SCHOLARSHIPS					
CENTRAL PIEDMONT COMMUNITY COLLEGE-NURSING	12/14	2,000	NONE	PC #2	EXEMPT
DUKE UNIVERSITY - DIVINITY	6/10	4,500	NONE	PC #2	EXEMPT
NC STATE UNIVERSITY	5/6	20,000	NONE	PC	EXEMPT
TOTAL SCHOLARSHIPS		<u>26,500</u>			
TOTAL ALL GIFTS		<u>3,337,645</u>			

Future Pledges Approved in 2016

ARTS & SCIENCE COUNCIL	50,000
BAPTIST CHILDREN'S HOMES OF NC	100,000
BEST NC	10,000
CAROLINAS HEALTHCARE FOUNDATION	100,000
CHARLOTTE MUSEUM OF HISTORY	25,000
CULVER ACADEMIES	500,000
EPILEPSY FOUNDATION OF NC	3,000
THE FIRST TEE	25,000
THE GOODFELLOWS CLUB	100,000
HEINEMAN MEDICAL RESEARCH	200,000
HISTORIC ROSEDALE	50,000
HOLLINS UNIVERSITY	250,000
HOSPICE AT CHARLOTTE	250,000
THE JESSE HELMS CENTER	5,000
LEVINE JEWISH COMMUNITY CENTER	20,000
LOWER PROVIDENCE COMMUNITY HOUSE	1,000
MEREDITH COLLEGE	250,000
PIEDMONT EPISCOPAL DISTRICT AME ZION CHURCH	5,000
PUBLIC SCHOOL FORUM OF NC	5,000
RENAISSANCE WEST COMMUNITY INITIATIVE	100,000
ST JOHN'S EPISCOPAL CHURCH	100,000
SAMARITAN HOUSE	10,000
SOUTHEASTERN COUNCIL OF FOUNDATIONS	6,600
UNITED WAY OF THE CAROLINAS	40,000

2,205,600