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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
THE LOUIS A RITTER FOUNDATION

A Employer identification number
56-2475503

Number and street (or P O box number if mail is not delivered to street address) C/O TOBY RITTER 1450 FLAGLER DRIVE
Room/suite

B Telephone number (see instructions)
(914) 698-3208

City or town, state or province, country, and ZIP or foreign postal code
MAMARONECK, NY 10543

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 2,340,062

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	15,457	15,457		
	4	Dividends and interest from securities	30,891	30,891		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-5,774			
	b	Gross sales price for all assets on line 6a 617,652				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-5,493	103		
	12	**Total.** Add lines 1 through 11	35,081	46,451		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,250	3,625		3,625
	c	Other professional fees (attach schedule)	14,680	14,680		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,290	3,290		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	9,481	9,343		138
	24	**Total operating and administrative expenses.** Add lines 13 through 23	34,701	30,938		3,763
	25	Contributions, gifts, grants paid	142,500			142,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	177,201	30,938		146,263
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-142,120			
	b	**Net investment income** (if negative, enter -0-)		15,513		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	9,502	77,543	77,543
	2	Savings and temporary cash investments	9,783	20,142	20,142
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,994,204	1,999,278	2,242,377
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	765	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,014,254	2,096,963	2,340,062
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	2,014,254	2,096,963	
	30	**Total net assets or fund balances** (see instructions)	2,014,254	2,096,963	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,014,254	2,096,963	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,014,254
2	Enter amount from Part I, line 27a	2	-142,120
3	Other increases not included in line 2 (itemize) ▶	3	229,319
4	Add lines 1, 2, and 3	4	2,101,453
5	Decreases not included in line 2 (itemize) ▶	5	4,490
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,096,963

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-5,774
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	131,412	2,227,233	0 059002
2014	119,777	2,283,676	0 052449
2013	123,166	2,118,771	0 058131
2012	71,861	1,965,275	0 036565
2011	120,182	1,974,361	0 060871

2 Total of line 1, column (d)	2	0 267018
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053404
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,194,543
5 Multiply line 4 by line 3	5	117,197
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	155
7 Add lines 5 and 6	7	117,352
8 Enter qualifying distributions from Part XII, line 4	8	146,263

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	155
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	155
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	155
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,830		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,830
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,675
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 2,675	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶TOBY AND NATALY RITTER Telephone no ▶(914) 698-3208

Located at ▶1450 FLAGLER DRIVE MAMARONECK NY ZIP+4 ▶10543

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20___, 20___, 20___, 20___

b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20___, 20___, 20___, 20___

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
TOBY G RITTER 1450 FLAGLER DRIVE MAMARONECK, NY 10543	TRUSTEE 0 25	0	0	0
NATALY RITTER 1450 FLAGLER DRIVE MAMARONECK, NY 10543	TRUSTEE 0 25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	1,415,916
b	Average of monthly cash balances.		1b	85,305
c	Fair market value of all other assets (see instructions).		1c	726,741
d	**Total** (add lines 1a, b, and c).		1d	2,227,962
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	2,227,962
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	33,419
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,194,543
6	**Minimum investment return.** Enter 5% of line 5.		6	109,727

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	109,727
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 155		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	155
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	109,572
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	109,572
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	109,572

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	146,263
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	146,263
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	155
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	146,108

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				109,572
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013. 4,114				
d From 2014. 9,281				
e From 2015. 24,716				
f **Total** of lines 3a through e.	38,111			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 146,263				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				109,572
e Remaining amount distributed out of corpus	36,691			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	74,802			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	74,802			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013. 4,114				
c Excess from 2014. 9,281				
d Excess from 2015. 24,716				
e Excess from 2016. 36,691				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				142,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	15,457	
4 Dividends and interest from securities. . . .			14	30,891	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			18	103	
8 Gain or (loss) from sales of assets other than inventory			18	-5,774	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a LOSS FROM URSULA CAPITAL PARTNERS	900099	-5,596			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		-5,596		40,677	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 35,081

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-07	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
AL MATERAZO				P00435882
Firm's name ▶ MARGOLIN WINER & EVENS LLP				Firm's EIN ▶11-1558868
Firm's address ▶ 400 GARDEN CITY PLAZA GARDEN CITY, NY 115303323				Phone no (516) 747-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
K-1 URSULA CAPITAL PARTNERS	P		
K-1 URSULA CAPITAL PARTNERS	P		
ALASKA AIR GROUP INC COM CUSIP 011659109 SYMBOL ALK - 83 000 SHS	P	2015-11-05	2016-01-11
ALASKA AIR GROUP INC COM CUSIP 011659109 SYMBOL ALK - 82 000 SHS	P	2015-11-05	2016-01-15
ALTRIA GROUP INC COM CUSIP 02209S103 SYMBOL MO - 113 000 SHS	P	2015-11-04	2016-10-04
AMAZON COM INC COM CUSIP 023135106 SYMBOL AMZN - 12 000 SHS	P	2015-06-23	2016-02-05
AMPHENOL CORP CM NEW CUSIP 032095101 SYMBOL APH - 200 000 SHS	P	2016-09-28	2016-10-17
AMTRUST FINANCIAL SERVICES INC PFO SER E 7 75% DIV PERP/CALL CUSIP 03235984	P	2016-03-09	2016-12-13
CASEYS GENERAL STORES INC COM CUSIP 147528103 SYMBOL CASY - 35 000 SHS	P	2015-12-02	2016-03-03
CASEYS GENERAL STORES INC COM CUSIP 147528103 SYMBOL CASY - 70 000 SHS	P	2015-12-02	2016-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		14,522	-14,522
2,600			2,600
5,828		6,433	-605
5,554		6,355	-801
6,959		6,558	401
6,106		5,351	755
12,616		12,976	-360
2,516		2,473	43
3,549		4,175	-626
7,562		8,350	-788

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14,522
			2,600
			-605
			-801
			401
			755
			-360
			43
			-626
			-788

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CONSTELLATION BRANDS INC CL A CUSIP 21036P108 SYMBOL STZ - 48 000 SHS	P	2016-01-27	2016-11-10
CONSTELLATION BRANDS INC CL A CUSIP 21036P108 SYMBOL STZ - 40 000 SHS	P	2016-01-01	2016-12-01
DENTSPLY SIRONA INC COM CUSIP 24906P109 SYMBOL XRAY - 117 000 SHS	P	2016-01-22	2016-03-02
DENTSPLY SIRONA INC COM CUSIP 24906P109 SYMBOL XRAY - 0 923 SHS	P	2016-01-22	2016-03-04
EQUIFAX INC CM CUSIP 294429105 SYMBOL EFX - 61 000 SHS	P	2015-11-02	2016-02-11
EQUIFAX INC CM CUSIP 294429105 SYMBOL EFX - 40 000 SHS	P	2016-09-30	2016-11-30
GOODYEAR TIRE & RUBBER CO SENIOR NOTE CALLABLE M/W CUSIP 382550BO2 SYMBOL	P	2015-08-20	2016-06-13
HANESBRAND INC SENIOR NOTE CALLABLE M/W CUSIP 410345AG7 SYMBOL - 8,000 000	P	2015-08-28	2016-06-02
HARSCO CORP SENIOR NOTE M/W/ CUSIP 415864AJ6 SYMBOL - 2,000 000 SHS	P	2016-05-05	2016-12-02
HENRY JACK & ASSOC INC COM CUSIP 426281101 SYMBOL JKHY - 85 000 SHS	P	2016-01-22	2016-10-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,286		7,131	155
5,860		5,906	-46
6,998		6,668	330
56		53	3
5,679		6,568	-889
4,586		5,388	-802
10,487		10,491	-4
8,255		8,314	-59
2,128		1,866	262
7,004		6,664	340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			155
			-46
			330
			3
			-889
			-802
			-4
			-59
			262
			340

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
IDACORP INC COM CUSIP 451107106 SYMBOL IDA - 95 000 SHS	P	2016-03-01	2016-12-13
INTL FCSTONE INC REDEEMED $25 00/SH 10/12/16 CUSIP 46116V204 SYMBOL INTLL	P	2015-10-15	2016-10-15
LANCASTER COLONY CORP COM CUSIP 513847103 SYMBOL LANC - 99 000 SHS	P	2016-10-05	2016-12-14
MANITOWOC INC SENIOR NOTE CALLABLE M/W/ CUSIP 563571AH1 SYMBOL - 10,000 00	P	2015-05-11	2016-03-03
MEDASSETS INC SENIOR NOTE CALLABLE M/W CUSIP 584045AC2 SYMBOL - 10,000 000	P	2015-06-09	2016-02-26
NEXTERA ENERGY INC COM CUSIP 65339F101 SYMBOL NEE - 55 000 SHS	P	2016-03-01	2016-10-04
PNM RESOURCES COM CUSIP 69349H107 SYMBOL PNM - 300 000 SHS	P	2016-07-06	2016-08-15
PROGRESSIVE CORP OHIO COM CUSIP 743315103 SYMBOL PGR - 375 000 SHS	P	2015-10-19	2016-09-09
PROSHARES TRUST PROSHARES SHORT SP 500 CUSIP 74347B425 SYMBOL SH - 0 500 S	P	2016-04-21	2016-07-11
PROSHARES TRUST PROSHARES SHORT SP 500 CUSIP 74347B425 SYMBOL SH - 322 000	P	2016-04-21	2016-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,703		6,718	985
7,500		7,625	-125
13,707		13,096	611
10,425		10,642	-217
10,200		10,341	-141
6,528		6,216	312
9,948		10,815	-867
11,788		12,242	-454
20		20	0
12,250		14,289	-2,039

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			985
			-125
			611
			-217
			-141
			312
			-867
			-454
			0
			-2,039

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PROSHARES SHORT HIGH YIELD CUSIP 74347R131 SYMBOL SJB - 500 000 SHS	P	2015-12-29	2016-03-22
PROSHARES 1 - 2 R/S 6/24/16 74347B425 CUSIP 74347R503 SYMBOL - 500 000 SHS	P	2016-01-01	2016-01-21
PROSHARES 1 - 2 R/S 6/24/16 74347B425 CUSIP 74347R503 SYMBOL - 550 000 SHS	P	2016-01-01	2016-03-16
PROSHARES 1 - 2 R/S 6/24/16 74347B425 CUSIP 74347R503 SYMBOL - 550 000 SHS	P	2016-02-08	2016-03-22
PUBLIC STORAGE COM CUSIP 744600109 SYMBOL PSA - 25 000 SHS	P	2016-02-17	2016-07-28
PUBLIC STORAGE COM CUSIP 744600109 SYMBOL PSA - 25 000 SHS	P	2016-01-01	2016-08-04
QWEST CORP SR UNSCRD 6 5% 9/1/2056 CALLBL CUSIP 74913G881 SYMBOL CTBB - 10	P	2016-08-11	2016-12-13
S&P GLOBAL INC COM CUSIP 78409V104 SYMBOL SPGI - 80 000 SHS	P	2016-11-09	2016-12-12
SERVICE CORP INTL COM CUSIP 817565104 SYMBOL SCI - 400 000 SHS	P	2016-08-15	2016-10-04
STANLEY BLACK & DECKER INC COM CUSIP 854502101 SYMBOL SWK - 100 000 SHS	P	2016-10-19	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,801		14,384	-583
11,261		10,668	593
11,400		12,166	-766
11,321		11,321	0
5,972		6,175	-203
5,728		6,492	-764
2,280		2,500	-220
9,075		10,065	-990
10,531		10,991	-460
11,967		12,033	-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-583
			593
			-766
			0
			-203
			-764
			-220
			-990
			-460
			-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
STARBUCKS CORP COM CUSIP 855244109 SYMBOL SBUX - 225 000 SHS	P	2015-05-27	2016-04-29
TJX COMPANIES INC CM CUSIP 872540109 SYMBOL TJX - 100 000 SHS	P	2015-10-19	2016-09-13
TELEFLEX INC COM CUSIP 879369106 SYMBOL TFX - 87 000 SHS	P	2016-01-01	2016-11-01
UNITED RENTALS NA INC SENIOR NOTE CALLABLE M/W CUSIP 911365AZ7 SYMBOL - 2,	P	2016-06-03	2016-11-23
US WEST COMMUNICATIONS SENIOR DEBENTURE CALLABLE CUSIP 912920AL9 SYMBOL 30	P	2016-04-07	2016-08-11
AES CORP SENIOR NOTE CALLABLE M/W CUSIP 00130HBS3 SYMBOL - 4,000 000 SHS	P	2015-12-10	2016-12-13
ALTRIA GROUP INC COM CUSIP 02209S103/ SYMBOL MO - 112 000 SHS	P	2015-11-04	2016-12-13
AMAZON COM INC COM CUSIP 023135106 SYMBOL AMZN - 5 000 SHS	P	2015-06-23	2016-11-02
AMERISOURCEBERGEN CORP COM CUSIP 03073E105 SYMBOL ABC - 65 000 SHS	P	2014-12-22	2016-01-06
AMKOR TECHNOLOGIES INC SENIOR NOTE CALLABLE M/W CUSIP 031652BE9 SYMBOL - 5	P	2015-07-30	2016-12-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,599		11,620	979
7,446		7,265	181
12,155		12,079	76
2,127		2,129	-2
5,214		4,878	336
4,416		4,052	364
7,465		6,500	965
3,860		2,230	1,630
6,637		5,977	660
5,120		4,955	165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			979
			181
			76
			-2
			336
			364
			965
			1,630
			660
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMTRUST FINANCIAL SERVICES INC DEP SHS 1/40 B CUSIP 032359507 SYMBOL AFSI	P	2014-07-14	2016-03-09
APPLE INC COW CUSIP 037833100 /SYMBOL AAPL - 60 000 SHS	P	2012-07-26	2016-01-05
CALUMET SPECIALTY PRODS PARTN SENIOR NOTE CALLABLE M/W CUSIP 131477AL5 SYMB	P	2014-08-26	2016-04-18
WALT DISNEY CO COM CUSIP 254687106 SYMBOL DIS - 74 000 SHS	P	2014-07-07	2016-01-05
DUPONT FABROS TECHNOLOGY PFD SER A CUMULATIVE CUSIP 26613Q205 SYMBOL OFT P	P	2014-07-10	2016-06-07
DUPONT FABROS TECHNOLOGY PFD SER A CUMULATIVE CUSIP 26613Q205 SYMBOL OFT P	P	2014-07-10	2016-06-09
ENERGY XXI GULF COAST INC *IN DEFAULT SR NOTE CLBL MAW CUSIP 29276KAP6 SYMB	P	2014-07-11	2016-09-22
EQUIFAX INC CM CUSIP 294429105 SYMBOL EFX - 60 000 SHS	P	2015-11-02	2016-11-10
FACEBOOK INC COM CUSIP 30303M102 SYMBOL FB - 100 000 SHS	P	2015-06-10	2016-11-16
FACEBOOK INC COM CUSIP 30303M102 SYMBOL FB - 50 000 SHS	P	2015-06-10	2016-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,408		7,425	-17
6,203		4,957	1,246
4,281		7,327	-3,046
7,439		6,413	1,026
2,200		2,246	-46
2,800		2,858	-58
780		10,292	-9,512
6,970		6,461	509
11,760		8,239	3,521
5,878		4,119	1,759

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			1,246
			-3,046
			1,026
			-46
			-58
			-9,512
			509
			3,521
			1,759

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FISERV INC COM CUSIP 337738108 SYMBOL FISV - 65 000 SHS	P	2014-07-11	2016-10-04
FISERV INC COM CUSIP 337738108 SYMBOL FISV - 60 000 SHS	P	2014-07-11	2016-10-27
ION GEOPHYSICAL CORP NOTE CALLABLE M/W CUSIP 462044AE8 SYMBOL - 4,000 000	P	2014-07-10	2016-02-08
KAISER ALUMINUM CORP SENIOR NOTE CALLABLE M/W CUSIP 483007AE0 SYMBOL - 8,0	P	2015-05-05	2016-06-01
LOWES COS INC COM CUSIP 548661107 SYMBOL LOW - 100 000 SHS	P	2015-01-01	2016-01-14
NATIONAL GEN HLDGS CORP PFD SER A 7 5% DIV PERP/CALL CUSIP 636220709 SYMBOL	P	2014-07-09	2016-12-14
OREILLY AUTOMOTIVE INC COM CUSIP 67103H107 SYMBOL ORLY - 28 000 SHS	P	2014-07-07	2016-01-07
OREILLY AUTOMOTIVE INC COM CUSIP 67103H107 SYMBOL ORLY - 29 000 SHS	P	2014-07-07	2016-01-11
OREILLY AUTOMOTIVE INC COM CUSIP 67103H107 SYMBOL ORLY - 20 000 SHS	P	2014-07-07	2016-10-27
OMNOVA SOLUTIONS INC SENIOR NOTE CALLABLE MMI CUSIP 682129AE1 SYMBOL - 7,0	P	2014-08-05	2016-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,406		3,969	2,437
5,766		3,664	2,102
1,600		4,043	-2,443
8,330		8,596	-266
6,994		6,452	542
5,043		4,990	53
6,801		4,280	2,521
6,893		4,433	2,460
5,171		3,057	2,114
7,000		7,167	-167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,437
			2,102
			-2,443
			-266
			542
			53
			2,521
			2,460
			2,114
			-167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
OUTERWALL INC SENIOR NOTE CALLABLE M/W CUSIP 690070AA5 SYMBOL - 10,000 000	P	2015-04-06	2016-10-27
RENT-A-CENTER INC SENIOR NOTE CALLABLE M/W CUSIP 76009NAH3 SYMBOL - 5 0000	P	2014-07-16	2016-12-13
SWIFT ENERGY CO *IN DEFAULT* SR NOTE CLBL M/W CUSIP 870738AG6 SYMBOL - 10	P	2014-07-09	2016-01-13
TITAN INTERNATIONAL INC 1ST LIEN GLBL NT CALLABLE CUSIP 88830MAH5 SYMBOL -	P	2014-07-08	2016-12-13
TRAVEL CENTERS OF AMERICA PFD DUE 12/15/29 QTLY 8% CALL CUSIP 894174309 SYM	P	2014-12-09	2016-12-13
W&T OFF SHORE INC SENIOR NOTE CALLABLE M/W/ CUSIP 92922PAC0 SYMBOL - 10,00	P	2014-07-09	2016-08-26
ANGLOGOLD ASHANTI HLDGS FIN P NOTE CALLABLE M/W/ CUSIP 03512TAD3/SYMBOL -	P	2014-12-18	2016-08-01
HANGER INC SENIOR NOTE CALLABLE M/W CUSIP 41043FAJ8 SYMBOL - 10,000 000 SH	P	2015-02-04	2016-08-31
NAVIOS MARITIME HLDGS INC SENIOR NOTE CALLABLE M/W CUSIP 639365AF2 SYMBOL	P	2014-07-18	2016-08-31
ALPHABET INC CL C - 1 000 SHS	P	2015-09-01	2016-05-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
10,300		9,831	469
4,545		5,108	-563
850		10,561	-9,711
4,895		5,138	-243
5,015		5,017	-2
3,038		10,533	-7,495
10,638		10,501	137
10,178		10,097	81
2,795		5,131	-2,336
725		605	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			469
			-563
			-9,711
			-243
			-2
			-7,495
			137
			81
			-2,336
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
INTERCONTINENTALEXCHANGE GROUP - 2 000 SHS	P	2015-06-10	2016-05-25
ALEXION PHARM INC - 5 000 SHS	P	2015-09-01	2016-09-08
CHUBB LTD - 2 000 SHS	P	2013-07-15	2016-05-25
CHUBB LTD - 1 000 SHS	P	2013-11-14	2016-05-25
DIAGEO PLC SPON ADR NEW - 8 000 SHS	P	2012-11-09	2016-03-14
FACEBOOK INC CL-A - 5 000 SHS	P	2015-02-26	2016-05-25
FRANKLIN RESOURCES INC - 15 000 SHS	P	2013-07-15	2016-01-22
INTL BUSINESS MACHINES CORP - 3 000 SHS	P	2012-09-11	2016-01-22
SABMILLER PLC SPONS ADR - 12 000 SHS	P	2014-04-03	2016-03-14
SABMILLER PLC SPONS ADR - 7 000 SHS	P	2014-04-08	2016-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
541		479	62
647		857	-210
256		187	69
128		98	30
864		918	-54
591		405	186
509		718	-209
367		608	-241
725		617	108
423		369	54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			62
			-210
			69
			30
			-54
			186
			-209
			-241
			108
			54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VERSUM MATERIALS - 1 000 SHS	P	2015-09-28	2016-11-17
BLACKROCK INC - 2 000 SHS	P	2012-02-02	2016-05-25
CALIFORNIA RES CORP COM - 0 060 SHS	P	2007-08-31	2016-03-24
CALIFORNIA RES CORP COM - 2055 SHS	P	2007-08-31	2016-03-29
CHEVRON CORP - 6 000 SHS	P	2007-08-31	2016-05-25
EXXON MOBIL CORP - 13 000 SHS	P	2007-08-31	2016-05-25
FRANKLIN RESOURCES INC - 11 000 SHS	P	2006-06-02	2016-01-22
INTL BUSINESS MACHINES CORP - 4 000 SHS	P	2010-01-19	2016-01-22
INTL BUSINESS MACHINES CORP - 2 000 SHS	P	2010-03-12	2016-01-22
MC DONALDS CORP - 5 000 SHS	P	2009-06-02	2016-05-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24		21	3
729		366	363
			0
2		2	0
609		529	80
1,170		1,122	48
373		367	6
490		535	-45
245		256	-11
616		302	314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			363
			0
			0
			80
			48
			6
			-45
			-11
			314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PEPSICO INC NC - 9 000 SHS	P	2007-08-31	2016-05-25
PHILIP MORRIS INTL INC - 13 000 SHS	P	2007-08-31	2016-05-25
QUALCOMM INC - 13 000 SHS	P	2007-08-31	2016-03-14
QUALCOMM INC - 8 000 SHS	P	2008-05-23	2016-03-14
SABMILLER PLC SPONS ADR - 4 000 SHS	P	2012-03-20	2016-03-14
TEXAS INSTRUMENTS - 13 000 SHS	P	2007-08-31	2016-05-25
VERSUM MATERIALS - 1 000 SHS	P	2010-10-21	2016-11-17
VERSUM MATERIALS - 2,000 SHS	P	2011-05-31	2016-11-17
ARM HOLDINGS PLC ADS - 6 000 SHS	P	2015-09-03	2016-08-23
BG GROUP PLC - 19 000 SHS	P	2015-01-30	2016-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
913		612	301
1,288		627	661
677		514	163
417		376	41
242		164	78
783		443	340
24		7	17
48		40	8
401		260	141
282		259	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			301
			661
			163
			41
			78
			340
			17
			8
			141
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NXP SEMICONDUCTORS NV - 4 000 SHS	P	2016-08-23	2016-10-21
ARM HOLDINGS PLC ADS - 11 000 SHS	P	2014-08-11	2016-08-23
ARM HOLDINGS PLC ADS - 7 000 SHS	P	2014-10-14	2016-08-23
BG GROUP PLC - 1 000 SHS	P	2012-09-06	2016-01-28
BG GROUP PLC - 8 000 SHS	P	2013-10-21	2016-01-28
BG GROUP PLC	P	2015-01-16	2016-01-28
CHINA CONSTRUCTION BANK CORP - 24 000 SHS	P	2012-10-02	2016-01-22
CREDIT SUISSE GROUP - 4 000 SHS	P	2013-01-10	2016-06-24
FANUC CORPORATION UNSP ADR - 0 667 SHS	P	2012-11-05	2016-11-07
INDUSTRIAL & COML BK CHINA ADR - 24 000 SHS	P	2012-10-02	2016-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
404		355	49
735		498	237
467		283	184
15		20	-5
119		160	-41
312		274	38
282		334	-52
47		110	-63
12		11	1
241		284	-43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			49
			237
			184
			-5
			-41
			38
			-52
			-63
			1
			-43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LLOYDS BANKING GROUP PLC - 102 000 SHS	P	2015-06-16	2016-06-27
MARKS & SPENCER GRP PLC ADR - 8 000 SHS	P	2014-01-17	2016-05-20
BARCLAYS PLC ADR - 42 000 SHS	P	2007-08-30	2016-06-24
BG GROUP PLC - 50 000 SHS	P	2007-08-30	2016-01-28
BG GROUP PLC - 25 000 SHS	P	2009-11-04	2016-01-28
BG GROUP PLC - 5 000 SHS	P	2011-05-26	2016-01-28
BG GROUP PLC - 5 000 SHS	P	2011-05-27	2016-01-28
BG GROUP PLC - 25 0 SHS	P	2011-06-16	2016-01-28
BNP PARIBAS SP ADR REPSTG - 3 000 SHS	P	2007-08-30	2016-06-24
BNP PARIBAS SP ADR REPSTG - 1211 SHS	P	2007-08-30	2016-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
288		551	-263
104		128	-24
362		1,849	-1,487
742		783	-41
371		451	-80
74		115	-41
74		117	-43
371		540	-169
66		156	-90
262		623	-361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-263
			-24
			-1,487
			-41
			-80
			-41
			-43
			-169
			-90
			-361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHINA CONSTRUCTION BANK CORP - 2 000 SHS	P	2012-01-18	2016-01-22
CREDIT SUISSE GROUP - 9 000 SHS	P	2009-06-05	2016-06-24
CREDIT SUISSE GROUP - 5 000 SHS	P	2010-02-10	2016-06-24
CREDIT SUISSE GROUP - 10 000 SHS	P	2010-04-21	2016-06-24
INDUSTRIAL & COML BK CHINA ADR - 1 000 SHS	P	2012-01-18	2016-01-22
INDUSTRIAL & COML BK CHINA ADR - 12 000 SHS	P	2012-01-18	2016-01-22
MARKS & SPENCER GRP PLC ADR - 20 000 SHS	P	2011-04-27	2016-05-20
ZURICH INSURANCE GRP LTD ADR - 4 000 SHS	P	2007-06-30	2016-01-21
ISHARES RUSSELL MID-CAP ETF 350 000 SHS	P	2007-08-27	2016-10-27
AMAZON COM INC 12 000 SHS	P	2015-05-20	2016-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24		31	-7
105		414	-309
58		217	-159
117		507	-390
10		14	-4
121		168	-47
259		261	-2
86		106	-20
58,407		36,705	21,702
7,954		5,067	2,887

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			-309
			-159
			-390
			-4
			-47
			-2
			-20
			21,702
			2,887

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
4			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN LUNG ASSOCIATION 55 W WACKER DR SUITE 1150 CHICAGO, IL 60601		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
AMERICAN CANCER SOCIETY 250 WILLIAM STREET NW ATLANTA, GA 30303		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	5,000
AMERICAN CIVIL LIBERTIES UNION 125 BROAD ST 18TH FL NEW YORK, NY 10033		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	12,500
AMERICAN PARKINSON DISEASE ASSOCIATION 135 PARKINSON AVE STATEN ISLAND, NY 10305		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	5,000
ARTHRITIS FOUNDATION 1355 PEACHTREE ST NE SUITE 600 ATLANTA, GA 30309		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	5,000
Total . . . . ▶ **3a**				142,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS TOWN 14100 CRAWFORD ST BOYS TOWN, NE 68010		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	500
CENTER FOR CONTINUING EDUCATION 1000 WEST BOSTON POST ROAD MAMARONECK, NY 10543		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
COMMUNITY RESOURCE 134 CENTER AVENUE MAMARONECK, NY 10543		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
CURE SMA 925 BUSSE RD ELK GROVE VILLAGE, IL 60007		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	7,500
HARBOR ISLAND CONSERVANCY INC 180 E PROSPECT AVE MAMARONECK, NY 10543		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	500
Total . . . . ▶ **3a**				142,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERNATIONAL ASSN OF HUMAN VALUES 2401 15TH ST NW WASHINGTON, DC 20009		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	15,000
MAMARONECK PUBLIC LIBRARY 136 PROSPECT AVE MAMARONECK, NY 10543		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
MAMARONECK SCHOOL 1000 WEST BOSTON POST ROAD MAMARONECK, NY 10543		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	10,000
MUSEUM OF MODERN ART 11 WEST 53 STREET NEW YORK, NY 10019		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	8,000
Total . . . . ▶ **3a**				142,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW YORK CITY POLICE FOUNDATION 555 FIFTH AVE 15TH FLOOR NEW YORK, NY 10017		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
NEW YORK PUBLIC LIBRARY 203 WEST 115TH STREET NEW YORK, NY 10026		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	500
NEW YORK TIMES NEEDIEST CASES FUND PO BOX 5193 NEW YORK, NY 10087		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	5,000
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	2,500
PLANNED PARENTHOOD 123 WILLIAM ST NEW YORK, NY 10038		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	15,000
Total . . . . ▶ **3a**				142,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PERFORMING ARTS CENTER 735 ANDERSON HILL RD HARRISON, NY 10577		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
POLICE ATHLETIC LEAGUE 34 1/2 EAST 12TH STREET NEW YORK, NY 10003		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
POLICE BENEVOLENT ASSOCIATION 120 STATE STREET ALBANY, NY 12207		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
SUMMER CAMP 83 CHRUCH STREET BRIDGTON, ME 04009		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	1,000
SYRACUSE UNIVERSITY SKYTOP OFFICE BLDG COMPTROLLERS OFF SYRACUSE, NY 13244		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONSSKYTOP	5,000
Total . . . . ▶ **3a**				142,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE JEWISH MUSEUM 1109 5TH AVE NEW YORK, NY 10128		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	500
UNICEF 125 MAIDEN LANE NEW YORK, NY 10038		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	5,000
UNITED WAY 2 PARK AVENUE NEW YORK, NY 10016		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	10,000
WESTCHESTER COMMUNITY COLLEGE FOUNDATION 75 GRASSLANDS ROAD VALHALLA, NY 10595		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	10,000
WHITE PLAINS HOSPITAL 41 EAST POST RD WHITE PLAINS, NY 10601		PUBLIC CHARITY	TO HELP SUPPORT THE WORK OF CHARITABLE ORGANIZATIONS	10,000
Total . . . . ▶ 3a				142,500

TY 2016 Accounting Fees Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	7,250	3,625		3,625

TY 2016 Investments - Other Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CORPORATE STOCKS, BONDS & OTHER INVESTMENTS - DETAILS AVAILBLE	FMV	1,170,295	1,413,394
INVESTMENT IN URSULA CAPITAL PARTNERS	FMV	826,461	826,461
INVESTMENT IN LANDMARK INFRASTRUCTURE PARTNERS	FMV	2,522	2,522

TY 2016 Other Assets Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	765	0	0

TY 2016 Other Decreases Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Description	Amount
PAL LOSSES DISALLOWED	469
PTP PAL LOSSES DISALLOWED	4,021

TY 2016 Other Expenses Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
K-1 URSULA CAPITAL PARTNERSHIP	7,579	7,579		0
OTHER INVESTMENT EXPENSES	1,627	1,627		0
OFFICE, MANAGEMENT & BOOKKEEPING	275	137		138

TY 2016 Other Income Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM K-1 LANDMARK INFRASTRUCTURE PARTNERS	53	53	53
TD AMERITRADE	50	50	50
LOSS FROM URSULA CAPITAL PARTNERS	-5,596		-5,596

TY 2016 Other Increases Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Description	Amount
K-1 URSULA CAPITAL PARTNERS - UNREALIZED GAIN ON SECURITIES	228,120
TD AMERITRADE- DISALLOWED WASH SALE LOSS	1,199

TY 2016 Other Professional Fees Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES	14,680	14,680		0

TY 2016 Taxes Schedule

Name: THE LOUIS A RITTER FOUNDATION

EIN: 56-2475503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	3,290	3,290		0