Extended to November 15, 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2016** Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: Hogan Family Foundation, Inc.

A Employer identification number: 56-2255419

Number and street (or P O box number if mail is not delivered to street address): 10601 River Forest Drive | Room/suite

B Telephone number: 919-846-1772

City or town, state or province, country, and ZIP or foreign postal code: Raleigh, NC 27614

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 860,746.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	22,443.	22,443.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,874.			
	b Gross sales price for all assets on line 6a 457,347.				
	7 Capital gain net income (from Part IV, line 2)		13,874.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	527.	527.		Statement 2
	12 **Total.** Add lines 1 through 11	36,844.	36,844.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	5,414.	0.		5,414.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	415.	0.		415.
	16a Legal fees				
	b Accounting fees				
	c Other professional fees Stmt 3	11,283.	11,283.		0.
	17 Interest				
	18 Taxes Stmt 4	249.	0.		249.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	5,311.	0.		5,311.
	22 Printing and publications				
	23 Other expenses Stmt 5	750.	0.		750.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	23,422.	11,283.		12,139.
	25 Contributions, gifts, grants paid	50,000.			50,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	73,422.	11,283.		62,139.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<36,578.>			
	b **Net investment income** (if negative, enter -0-)		25,561.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	38,761.	50,587.	50,587.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations Stmt 6	135,041.	116,973.	117,782.
b Investments - corporate stock Stmt 7	446,785.	418,565.	527,488.
c Investments - corporate bonds Stmt 8	168,008.	163,664.	159,603.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ Statement 9)	3,076.	5,286.	5,286.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	791,671.	755,075.	860,746.
Liabilities			
17 Accounts payable and accrued expenses	414.	414.	
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	414.	414.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	791,257.	754,661.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances**	791,257.	754,661.	
31 **Total liabilities and net assets/fund balances**	791,671.	755,075.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	791,257.
2 Enter amount from Part I, line 27a	2	<36,578.>
3 Other increases not included in line 2 (itemize) ▶ Rounding	3	2.
4 Add lines 1, 2, and 3	4	754,681.
5 Decreases not included in line 2 (itemize) ▶ Nondividend distributions	5	20.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	754,661.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	see attached	P	Various	12/31/16
b	see attached	P	Various	12/31/16
c	see attached	P	Various	12/31/16
d	see attached	P	Various	12/31/16
e	Independent Portfolio Consultants	P	Various	12/31/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	165,823.		166,890.	<1,067.>
b	230,661.		221,469.	9,192.
c	60,620.		55,114.	5,506.
d				0.
e	243.			243.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<1,067.>
b				9,192.
c				5,506.
d				0.
e				243.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 13,874.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	64,830.	910,995.	.071164
2014	58,746.	938,361.	.062605
2013	57,229.	894,874.	.063952
2012	49,752.	848,718.	.058620
2011	48,997.	841,337.	.058237

2	**Total** of line 1, column (d)	2	.314578
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062916
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	850,972.
5	Multiply line 4 by line 3	5	53,540.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	256.
7	Add lines 5 and 6	7	53,796.
8	Enter qualifying distributions from Part XII, line 4	8	62,139.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	256.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	256.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	256.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 602.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	602.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	346.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 346.	**Refunded** ▶	11	0.

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NC			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ n/a

14 The books are in care of ▶ John C. Hogan, CPA PLLC Telephone no. ▶ 919-846-1772

Located at ▶ 10601 River Forest Drive, Raleigh, NC ZIP+4 ▶ 27614

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	817,407.
b	Average of monthly cash balances	1b	46,524.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	863,931.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	863,931.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,959.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	850,972.
6	**Minimum investment return.** Enter 5% of line 5	6	42,549.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42,549.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 256.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	256.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	42,293.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	42,293.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	42,293.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	62,139.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	62,139.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	256.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	61,883.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				42,293.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 7,788.				
b From 2012 7,722.				
c From 2013 14,281.				
d From 2014 13,814.				
e From 2015 20,068.				
f **Total** of lines 3a through e	63,673.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 62,139.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				42,293.
e Remaining amount distributed out of corpus	19,846.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	83,519.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	7,788.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	75,731.			
10 Analysis of line 9:				
a Excess from 2012 7,722.				
b Excess from 2013 14,281.				
c Excess from 2014 13,814.				
d Excess from 2015 20,068.				
e Excess from 2016 19,846.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Statement 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Caldwell Hospice & Palliative Care 902 Kirkwood Street NW Lenoir, NC 28645	NONE	PC	funding to provide transportation for integrative therapies program	1,606.
Caldwell County Habitat for Humanity 1216 Harper Avenue SW Lenoir, NC 28645	NONE	PC	funding for the materials needed to frame two new "Habitat Houses"	9,051.
Caldwell House 555 20th Avenue Ct. NW Hickory, NC 28601	NONE	PC	funding assistance for bathroom renovation	1,788.
Caldwell Pregnancy Care Center 301 Conelly Springs Road Lenoir, NC 28645	NONE	PC	funding baby car seats through the "earn while you learn" program	1,187.
Caldwell Yokefellow, Inc. 1602 Harper Avenue NW Lenoir, NC 28645	NONE	PC	funding for heating assistance program	3,408.
Total See continuation sheet(s)			▶ 3a	50,000.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	22,443.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	527.	
8 Gain or (loss) from sales of assets other than inventory			18	13,874.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		36,844.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 36,844.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: John C Hogan | Date: 6/5 2017 | Title: President

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
John C. Hogan	John C Hogan	6/5 2017		P00473078
Firm's name ▶ John C. Hogan, CPA PLLC			Firm's EIN ▶ 57-1212704	
Firm's address ▶ 10601 River Forest Drive Raleigh, NC 27614			Phone no. 919-846-1772	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Caldwell County Schools 1914 Hickory Boulevard SW Lenoir, NC 28645	NONE		funding for therapeutic yoga class programs	2,151.
Fort Defiance 1792 Fort Defiance Drive Lenoir, NC 28645	NONE	PC	funding for interior repairs	3,383.
Foundation of Caldwell Community College & Technical Institute 2855 Hickory Blvd Hudson, NC 28638	NONE	PC	funding for the "Dream Scholar Book Fund"	5,984.
Helping Hands Clinic, Inc. 810 Harper Avenue, SW Lenoir, NC 28645	NONE	PC	funding for the support of the Urgent Care Clinic	6,938.
Lenoir Soup Kitchen 1113 College Avenue SW Lenoir, NC 28645	NONE	PC	funding assistance for storage shed, shelving, and containers	2,043.
Meals on Wheels of Wake County, Inc. 1001 Blair Drive Raleigh, NC 27627	NONE	PC	funding to feed the needy	2,250.
Robin's Nest-Children's Advocacy Center 1051 Harper Ave SW Lenoir, NC 28645	NONE	PC	funding for "healing the inner child" program	4,696.
Girl Scouts-Carolinas Peask to Piedmont 8818 W. Market Street Colfax, NC 27235	NONE	PC	funding for the Camp Pisgah repairs	200.
School for Young Children 1002 Kirkwood Avenue SW Lenoir, NC 28645	NONE	PC	funding for specified Art Supplies, Outdoor Play Equipment, & Classroom materials	1,407.
Rape Crisis Center of Catawba County 1220 Commerce Street SW, #J Conover, NC 28613	NONE	PC	funding for Specialized Mental Health Services program	2,054.
Total from continuation sheets				32,960.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
South Caldwell Christian Ministies 5 Quarry Road Granite Falls, NC 28630	NONE	PC	funding for the mobile food pantries	1,358.
Foundation of Hope for Research & Treatment of Mental Illness 9401 Glenwood Avenue Raleigh, NC 27617		PC		496.
Total from continuation sheets				

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Independent Portfolio Consultants	7,968.	0.	7,968.	7,968.	
Independent Portfolio Consultants	12,384.	0.	12,384.	12,384.	
Independent Portfolio Consultants	2,091.	0.	2,091.	2,091.	
To Part I, line 4	22,443.	0.	22,443.	22,443.	

Form 990-PF Other Income Statement 2

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Original Issue Discount on US Government Obligations	494.	494.	
Unrecaptured Sec 1250 gain	33.	33.	
Total to Form 990-PF, Part I, line 11	527.	527.	

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Advisory Fees	11,283.	11,283.		0.
To Form 990-PF, Pg 1, ln 16c	11,283.	11,283.		0.

Form 990-PF — Taxes — Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax - 2016 990-PF	249.	0.		249.
To Form 990-PF, Pg 1, ln 18	249.	0.		249.

Form 990-PF — Other Expenses — Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Dues & subscriptions	750.	0.		750.
To Form 990-PF, Pg 1, ln 23	750.	0.		750.

Form 990-PF — U.S. and State/City Government Obligations — Statement 6

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
	X		116,973.	117,782.
Total U.S. Government Obligations			116,973.	117,782.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			116,973.	117,782.

Form 990-PF — Corporate Stock — Statement 7

Description	Book Value	Fair Market Value
	418,565.	527,488.
Total to Form 990-PF, Part II, line 10b	418,565.	527,488.

Form 990-PF | Corporate Bonds | Statement 8

Description	Book Value	Fair Market Value
	163,664.	159,603.
Total to Form 990-PF, Part II, line 10c	163,664.	159,603.

Form 990-PF | Other Assets | Statement 9

Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
Accrued Income Receivable	3,076.	5,286.	5,286.
To Form 990-PF, Part II, line 15	3,076.	5,286.	5,286.

Form 990-PF | Part VIII - List of Officers, Directors Trustees and Foundation Managers | Statement 10

Name and Address	Title and Avrg Hrs/Wk	Compen-sation	Employee Ben Plan Contrib	Expense Account
John C. Hogan 10601 River Forest Drive Raleigh, NC 27614	President 2.00	0.	0.	0.
Margaret P. Hogan 10601 River Forest Drive Raleigh, NC 27614	Treasurer 0.25	0.	0.	0.
James B. Hogan 10601 River Forest Drive Raleigh, NC 27614	Secretary 0.25	0.	0.	0.
Janis P. Hogan 10601 River Forest Drive Raleigh, NC 27614	Director 0.25	0.	0.	0.
Walter J. Hogan 10601 River Forest Drive Raleigh, NC 27614	Vice President 0.25	0.	0.	0.

James C. Hogan II 10601 River Forest Drive Raleigh, NC 27614	Director 0.25	0.	0.	0.
Parker H. Hogan 10601 River Forest Drive Raleigh, NC 27614	Director 0.25	0.	0.	0.
William P. Hogan 10601 River Forest Drive Raleigh, NC 27614	Director 0.25	0.	0.	0.
Cameron L. Hogan 10601 River Forest Drive Raleigh, NC 27614	Director 0.25	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF Grant Application Submission Information Part XV, Lines 2a through 2d Statement 11

Name and Address of Person to Whom Applications Should be Submitted

John C. Hogan, President
10601 River Forest Drive
Raleigh, NC 27614

Telephone Number

919-846-1772

Email Address

johnhogancpa@gmail.com

Form and Content of Applications

Written application should include amount requested, copy of tax-exemption letter, organization's charitable purpose, detailed purpose for requested funds, and any supporting documentation. The constraints and other details are included in the annual request for proposals mailing.

Any Submission Deadlines

This varies annually.

Restrictions and Limitations on Awards

Principally the grants are to benefit Caldwell County organizations. The types of acceptable grant requests as well as substantiation requirements are detailed in the annual request for proposals mailing.

2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 4309-5143

HOGAN FAMILY FOUNDATION
10601 RIVER FOREST DR

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Please consult with your Financial Advisor or tax advisor regarding specific questions

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALLSTATE CORP CUSIP 020002101	37 00000	03/25/2015	03/11/2016	2,421 42	2,623 89	0 00	0 00	-202 47	**Box 6:** Gross Proceeds
	10 00000	12/07/2015	08/25/2016	684 07	645 33	0 00	0 00	38 74	**Box 6:** Gross Proceeds
Subtotals	**47 00000**			**$3,105.49**	**$3,269.22**	**$0.00**	**$0 00**	**($163.73)**	
AMAZON COM INC CUSIP 023135106	1 00000	06/30/2016	08/23/2016	762 95	716 27	0 00	0 00	46 68	**Box 6:** Gross Proceeds
AMERICAN EXPRESS COMPANY CUSIP 025816109	5 00000	05/25/2016	08/23/2016	329 09	326 84	0 00	0 00	2 25	**Box 6:** Gross Proceeds
AMERIPRISE FINANCIAL INC CUSIP 03076C106	61 00000	03/30/2016	12/06/2016	6,997 63	5,828 84	0 00	0 00	1,168 79	**Box 6:** Gross Proceeds
AMGEN INC CUSIP 031162100	14 00000	12/07/2015	03/16/2016	1,996 04	2,210 21	0 00	0 00	-214 17	**Box 6:** Gross Proceeds
ANTERO RESOURCES CORP CUSIP 03674X106	17 00000	03/21/2016	08/23/2016	463 23	440 89	0 00	0 00	22 34	**Box 6:** Gross Proceeds
APPLE INC CUSIP 037833100	13 00000	03/16/2016	07/07/2016	1,243 33	1,371 61	0 00	0 00	-128 28	**Box 6** Gross Proceeds
ARCHER-DANIELS-MIDLND CO CUSIP 039483102	141 00000	09/22/2015	02/19/2016	4,602 45	5,969 95	0 00	0 00	-1,367 50	**Box 6.** Gross Proceeds
BIOGEN INC CUSIP 09062X103	3 00000	10/29/2015	08/03/2016	948 10	880 44	0 00	0 00	67 66	**Box 6:** Gross Proceeds

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10601 RIVER FOREST DR

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BOSTON PROPERTIES INC REIT CUSIP 101121101	3 00000	02/25/2016	08/23/2016	424 37	345 38	0 00	0 00	78 99	**Box 6**: Gross Proceeds
	45 00000	02/25/2016	11/21/2016	5,485 85	5,180 55	0 00	0 00	305 30	**Box 6**. Gross Proceeds
Subtotals	**48.00000**			**$5,910 22**	**$5,525.93**	**$0.00**	**$0 00**	**$384 29**	
CBS CORP CL B CUSIP 124857202	9 00000	01/13/2016	08/23/2016	474 28	418 97	0 00	0 00	55 31	**Box 6**: Gross Proceeds
CADENCE DESIGN SYSTEMS INC CUSIP 127387108	17 00000	06/30/2016	08/23/2016	429 24	412 11	0 00	0 00	17 13	**Box 6**: Gross Proceeds
	9 00000	05/11/2016	12/12/2016	231 38	212 57	0 00	0 00	18 81	**Box 6**. Gross Proceeds
	92 00000	06/30/2016	12/12/2016	2,365 20	2,230 20	0 00	0 00	135 00	**Box 6**: Gross Proceeds
	104 00000	05/11/2016	12/14/2016	2,687 28	2,456 35	0 00	0 00	230 93	**Box 6**: Gross Proceeds
Subtotals	**222.00000**			**$5,713.10**	**$5,311.23**	**$0 00**	**$0.00**	**$401.87**	
CALIFORNIA RES CORP CHG CUSIP 13057Q107	0 12766	02/19/2016	03/24/2016	0 15	0 16	0 00	0 00	-0 01	**Box 6**: Gross Proceeds Cash in Lieu
	1 27660	02/19/2016	03/31/2016	1 32	1 56	0 00	0 00	-0 24	**Box 6**. Gross Proceeds
Subtotals	**1.40426**			**$1.47**	**$1.72**	**$0.00**	**$0.00**	**($0.25)**	
CISCO SYSTEMS INC CUSIP 17275R102	23 00000	03/30/2016	05/13/2016	611 42	655 12	0 00	0 00	-43 70	**Box 6**: Gross Proceeds
CONOCOPHILLIPS CUSIP 20825C104	20 00000	02/19/2016	06/03/2016	884 59	648 34	0 00	0 00	236 25	**Box 6**: Gross Proceeds
	11 00000	02/19/2016	08/23/2016	474 96	356 59	0 00	0 00	118 37	**Box 6**. Gross Proceeds
Subtotals	**31.00000**			**$1,359 55**	**$1,004.93**	**$0.00**	**$0.00**	**$354.62**	
DANAHER CORP CUSIP 235851102	6 00000	08/05/2016	08/23/2016	485 74	488 56	0 00	0 00	-2 82	**Box 6**. Gross Proceeds

2016 Year-End Account Summary

As of Date. 02/21/17

Account Number. 4309-5143

HOGAN FAMILY FOUNDATION
10601 RIVER FOREST DR

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
DELTA AIR LINES INC NEW CUSIP 247361702	16 00000	12/15/2015	08/23/2016	586 70	814 63	0 00	0 00	-227 93	**Box 6:** Gross Proceeds
DISCOVER FINANCIAL CUSIP 254709108	44 00000	12/17/2015	03/24/2016	2,166 90	2,375 50	0 00	0 00	-208 60	**Box 6.** Gross Proceeds
	65 00000	12/17/2015	06/16/2016	3,412 43	3,509 26	0 00	0 00	-96 83	**Box 6.** Gross Proceeds
Subtotals	**109.00000**			**$5,579 33**	**$5,884 76**	**$0 00**	**$0.00**	**($305.43)**	
DOVER CORP COMMON CUSIP 260003108	43 00000	09/08/2015	02/12/2016	2,515 81	2,639 02	0 00	0 00	-123 21	**Box 6:** Gross Proceeds
	47 00000	09/08/2015	02/19/2016	2,849 24	2,884 50	0 00	0 00	-35 26	**Box 6.** Gross Proceeds
Subtotals	**90.00000**			**$5,365.05**	**$5,523.52**	**$0 00**	**$0.00**	**($158.47)**	
DOW CHEMICAL COMPANY CUSIP 260543103	19 00000	02/04/2016	06/27/2016	947 41	898 89	0 00	0 00	48 52	**Box 6:** Gross Proceeds
EDISON INTL CUSIP 281020107	9 00000	12/30/2015	03/16/2016	625 72	544 19	0 00	0 00	81 53	**Box 6:** Gross Proceeds
	25 00000	02/03/2016	03/16/2016	1,738 11	1,604 61	0 00	0 00	133 50	**Box 6.** Gross Proceeds
	8 00000	11/12/2015	07/08/2016	624 34	481 84	0 00	0 00	142 50	**Box 6.** Gross Proceeds
	22 00000	12/30/2015	07/08/2016	1,716 92	1,330 23	0 00	0 00	386 69	**Box 6:** Gross Proceeds
	46 00000	11/12/2015	08/23/2016	3,409 44	2,770 57	0 00	0 00	638 87	**Box 6.** Gross Proceeds
Subtotals	**110.00000**			**$8,114.53**	**$6,731 44**	**$0.00**	**$0.00**	**$1,383.09**	
EQUITY RESIDENTIAL CUSIP 29476L107	87 00000	04/16/2015	02/25/2016	6,418 35	6,592 17	0 00	0 00	-173 82	**Box 6.** Gross Proceeds
EXXON MOBIL CORP CUSIP 30231G102	60 00000	05/04/2016	12/12/2016	5,472 04	5,266 19	0 00	0 00	205 85	**Box 6:** Gross Proceeds
FIRST SOLAR INC CUSIP 336433107	55 00000	02/11/2015	02/03/2016	3,650 28	2,682 35	0 00	0 00	967 93	**Box 6:** Gross Proceeds

2016 Year-End Account Summary

As of Date. 02/21/17

Account Number: 4309-5143

HOGAN FAMILY FOUNDATION
10601 RIVER FOREST DR

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FLUOR CORP (NEW) CUSIP 343412102	1 00000	09/11/2015	05/06/2016	51 76	45 02	0 00	0 00	6 74	**Box 6.** Gross Proceeds
	39 00000	09/11/2015	05/06/2016	2,018 57	1,761 70	0 00	0 00	256 87	**Box 6:** Gross Proceeds
	26 00000	08/25/2016	11/09/2016	1,239 61	1,373 24	0 00	0 00	-133 63	**Box 6.** Gross Proceeds
Subtotals	**66.00000**			**$3,309.94**	**$3,179.96**	**$0.00**	**$0.00**	**$129.98**	
GENERAL ELECTRIC COMPANY CUSIP 369604103	24 00000	05/25/2016	08/23/2016	751 42	722 40	0 00	0 00	29 02	**Box 6:** Gross Proceeds
	70 00000	05/25/2016	08/25/2016	2,185 34	2,107 00	0 00	0 00	78 34	**Box 6:** Gross Proceeds
Subtotals	**94.00000**			**$2,936.76**	**$2,829.40**	**$0.00**	**$0.00**	**$107.36**	
GOODYEAR TIRE & RUBBER CUSIP 382550101	12 00000	12/07/2015	08/23/2016	353 27	411 50	0 00	0 00	-58 23	**Box 6:** Gross Proceeds
	50 00000	12/07/2015	12/07/2016	1,553 18	1,714 56	0 00	0 00	-161 38	**Box 6:** Gross Proceeds
Subtotals	**62 00000**			**$1,906.45**	**$2,126.06**	**$0 00**	**$0.00**	**($219.61)**	
HEWLETT PACKARD ENTERPRISE CO CUSIP 42824C109	23 00000	07/01/2016	08/23/2016	505 29	425 68	0 00	0 00	79 61	**Box 6:** Gross Proceeds
HOLOGIC INC CUSIP 436440101	98 00000	01/08/2016	03/21/2016	3,383 35	3,679 10	0 00	0 00	-295 75	**Box 6:** Gross Proceeds
INGREDION INCORPORATED CUSIP 457187102	4 00000	05/06/2016	08/23/2016	550 02	471 05	0 00	0 00	78 97	**Box 6:** Gross Proceeds
INTERNATIONAL BUSINESS MACHINE CORP CUSIP. 459200101	41 00000	07/15/2015	01/25/2016	5,069 20	6,913 10	0 00	0 00	-1,843 90	**Box 6:** Gross Proceeds
INTERNATIONAL PAPER CO CUSIP 460146103	42 00000	11/12/2015	08/23/2016	1,979 41	1,695 18	0 00	0 00	284 23	**Box 6:** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
JPMORGAN CHASE & CO CUSIP 46625H100	8 00000	11/09/2015	02/19/2016	463 09	539 86	0 00	0 00	-76 77	**Box 6**. Gross Proceeds
JOHNSON & JOHNSON CUSIP 478160104	32 00000	01/20/2016	09/16/2016	3,785 56	3,067 56	0 00	0 00	718 00	**Box 6**. Gross Proceeds
	28 00000	01/27/2016	09/16/2016	3,312 35	2,863 61	0 00	0 00	448 74	**Box 6**: Gross Proceeds
	25 00000	03/30/2016	09/16/2016	2,957 46	2,735 88	0 00	0 00	221 58	**Box 6**: Gross Proceeds
Subtotals	**85 00000**			**$10,055 37**	**$8,667 05**	**$0 00**	**$0.00**	**$1,388.32**	
JUNIPER NETWORK INC CUSIP 48203R104	8 00000	08/18/2015	03/30/2016	204 20	225 71	0 00	0 00	-21 51	**Box 6**: Gross Proceeds
	32 00000	10/07/2015	03/30/2016	816 77	930 84	0 00	0 00	-114 07	**Box 6**: Gross Proceeds
	57 00000	08/07/2015	05/11/2016	1,302 43	1,593 45	0 00	0 00	-291 02	**Box 6**: Gross Proceeds
	41 00000	08/18/2015	05/11/2016	936 82	1,156 75	0 00	0 00	-219 93	**Box 6**: Gross Proceeds
	106 00000	08/07/2015	06/30/2016	2,369 35	2,963 25	0 00	0 00	-593 90	**Box 6**: Gross Proceeds
Subtotals	**244.00000**			**$5,629.57**	**$6,870.00**	**$0 00**	**$0.00**	**($1,240.43)**	
KROGER COMPANY COMMON CUSIP 501044101	49 00000	08/25/2016	12/07/2016	1,647 21	1,602 81	0 00	0 00	44 40	**Box 6**: Gross Proceeds
M & T BANK CORP CUSIP 55261F104	18 00000	01/26/2016	06/08/2016	2,140 29	1,894 91	0 00	0 00	245 38	**Box 6**. Gross Proceeds
	35 00000	01/26/2016	08/05/2016	4,101 61	3,684 54	0 00	0 00	417 07	**Box 6**: Gross Proceeds
Subtotals	**53 00000**			**$6,241.90**	**$5,579 45**	**$0.00**	**$0.00**	**$662.45**	
MACERICH CO REIT CUSIP 554382101	36 00000	08/21/2015	05/19/2016	2,624 08	2,880 19	0 00	0 00	-256 11	**Box 6**. Gross Proceeds
MANPOWERGROUP INC CUSIP 56418H100	12 00000	11/12/2015	06/24/2016	798 78	1,066 94	0 00	0 00	-268 16	**Box 6**: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MCDONALDS CORP CUSIP 580135101	6 00000	02/10/2016	08/23/2016	691 06	706 43	0 00	0 00	-15 37	**Box 6:** Gross Proceeds
METLIFE INC CUSIP 59156R108	18 00000	11/09/2015	10/18/2016	843 31	916 86	0 00	0 00	-73 55	**Box 6:** Gross Proceeds
NEW YORK COMMUNITY BANCORP INC CUSIP 649445103	165 00000	03/24/2016	06/30/2016	2,462 66	2,583 95	0 00	0 00	-121 29	**Box 6:** Gross Proceeds
NUANCE COMMUNICATIONS INC CUSIP 67020Y100	115 00000	12/07/2015	03/30/2016	2,109 79	2,426 08	0 00	0 00	-316 29	**Box 6:** Gross Proceeds
	13 00000	12/07/2015	05/11/2016	216 34	274 25	0 00	0 00	-57 91	**Box 6:** Gross Proceeds
	130 00000	12/17/2015	05/11/2016	2,163 42	2,651 06	0 00	0 00	-487 64	**Box 6:** Gross Proceeds
Subtotals	**258.00000**			**$4,489 55**	**$5,351.39**	**$0.00**	**$0.00**	**($861.84)**	
OCCIDENTAL PETE CORP CUSIP 674599105	15 00000	02/19/2016	11/02/2016	1,016 47	1,038 44	0 00	0 00	-21 97	**Box 6:** Gross Proceeds
ORACLE CORPORATION CUSIP 68389X105	56 00000	06/08/2015	03/16/2016	2,240 32	2,423 78	0 00	0 00	-183 46	**Box 6:** Gross Proceeds
PINNACLE FOODS INC CUSIP 72348P104	76 00000	01/22/2015	01/20/2016	3,002 66	2,749 86	0 00	0 00	252 80	**Box 6:** Gross Proceeds
	7 00000	01/28/2015	01/20/2016	276 55	256 35	0 00	0 00	20 20	**Box 6:** Gross Proceeds
	39 00000	03/02/2015	01/20/2016	1,540 82	1,416 98	0 00	0 00	123 84	**Box 6:** Gross Proceeds
Subtotals	**122.00000**			**$4,820 03**	**$4,423.19**	**$0.00**	**$0 00**	**$396 84**	
PROCTER & GAMBLE CO CUSIP 742718109	6 00000	05/06/2016	08/23/2016	524 50	492 68	0 00	0 00	31 82	**Box 6:** Gross Proceeds
PUBLIC SVC ENTERPRISE GROUP INC CUSIP 744573106	28 00000	08/21/2015	03/21/2016	1,286 18	1,192 74	0 00	0 00	93 44	**Box 6.** Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
QUALCOMM INC CUSIP 747525103	34 00000	12/08/2015	07/12/2016	1,855 72	1,689 54	0 00	0 00	166 18	**Box 6**: Gross Proceeds
	9 00000	12/08/2015	08/23/2016	567 52	447 24	0 00	0 00	120 28	**Box 6**: Gross Proceeds
Subtotals	**43.00000**			**$2,423.24**	**$2,136.78**	**$0 00**	**$0.00**	**$286.46**	
QUINTILES IMS HOLDINGS INC CUSIP 74876Y101	49 00000	09/11/2015	02/03/2016	2,871 45	3,719 13	0 00	0 00	-847 68	**Box 6**: Gross Proceeds
SIRONA DENTAL SYSTEMSCHG INC CUSIP 82966C103	31 00000	09/22/2015	01/08/2016	3,251 79	3,040 76	0 00	0 00	211 03	**Box 6**. Gross Proceeds
SPIRIT AEROSYSTEMS HLD CL A CUSIP 848574109	65 00000	10/26/2015	05/11/2016	3,041 45	3,336 87	0 00	0 00	-295 42	**Box 6**: Gross Proceeds
	39 00000	10/26/2015	05/25/2016	1,799 22	2,002 11	0 00	0 00	-202 89	**Box 6**: Gross Proceeds
	30 00000	02/19/2016	05/25/2016	1,384 03	1,345 43	0 00	0 00	38 60	**Box 6**: Gross Proceeds
Subtotals	**134 00000**			**$6,224 70**	**$6,684.41**	**$0 00**	**$0.00**	**($459 71)**	
STATE STR CORP CUSIP 857477103	7 00000	07/22/2016	08/23/2016	486 13	405 44	0 00	0 00	80 69	**Box 6**: Gross Proceeds
TARGET CORP CUSIP 87612E106	44 00000	07/21/2015	05/13/2016	3,269 72	3,651 51	0 00	0 00	-381 79	**Box 6**. Gross Proceeds
VERIZON COMMUNICATIONS COM CUSIP 92343V104	23 00000	05/10/2016	08/23/2016	1,210 23	1,181 81	0 00	0 00	28 42	**Box 6**: Gross Proceeds
ACCENTURE PLC IRELAND SHARES CLASS A CUSIP G1151C101	4 00000	02/19/2016	08/23/2016	461 90	392 48	0 00	0 00	69 42	**Box 6**. Gross Proceeds
	23 00000	02/19/2016	09/28/2016	2,658 72	2,256 74	0 00	0 00	401 98	**Box 6**: Gross Proceeds
Subtotals	**27 00000**			**$3,120 62**	**$2,649.22**	**$0.00**	**$0.00**	**$471.40**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MEDTRONIC PLC CUSIP G5960L103	8 00000	06/08/2016	08/23/2016	703 10	677 44	0 00	0 00	25 66	**Box 6.** Gross Proceeds
MICHAEL KORS HOLDINGS LTD CUSIP G60754101	10 00000	06/03/2016	08/23/2016	509 28	486 14	0 00	0 00	23 14	**Box 6:** Gross Proceeds
MYLAN NV CUSIP N59465109	12 00000	06/08/2016	08/23/2016	549 10	558 37	0 00	0 00	-9 27	**Box 6:** Gross Proceeds
	95 00000	05/19/2016	10/18/2016	3,517 60	3,879 38	0 00	0 00	-361 78	**Box 6:** Gross Proceeds
	29 00000	06/08/2016	10/18/2016	1,073 79	1,349 38	0 00	0 00	-275 59	**Box 6:** Gross Proceeds
Subtotals	**136.00000**			**$5,140.49**	**$5,787.13**	**$0 00**	**$0.00**	**($646.64)**	
Totals				**$165,823.03**	**$166,889.74**	**$0 00**	**$0.00**	**($1,066.71)**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALLSTATE CORP CUSIP 020002101	39 00000	03/25/2015	07/22/2016	2,695 25	2,765 72	0 00	0 00	-70 47	**Box 6**. Gross Proceeds
	17 00000	03/25/2015	08/25/2016	1,162 88	1,205 56	0 00	0 00	-42 68	**Box 6**: Gross Proceeds
	21 00000	05/19/2015	08/25/2016	1,436 50	1,444 15	0 00	0 00	-7 65	**Box 6**: Gross Proceeds
Subtotals	**77 00000**			**$5,294.63**	**$5,415.43**	**$0.00**	**$0.00**	**($120.80)**	
ALPHABET INC NON VOTING CAP STK CL C CUSIP 02079K107	3 00000	01/10/2014	08/23/2016	2,317 80	1,691 77	0 00	0 00	626 03	**Box 6**: Gross Proceeds
ALPHABET INC VOTING CAP STK CL A CUSIP 02079K305	3 00000	01/10/2014	08/23/2016	2,392 57	1,697 20	0 00	0 00	695 37	**Box 6**: Gross Proceeds
AMERICAN ELECTRIC POWER INC CUSIP 025537101	51 00000	06/25/2014	05/19/2016	3,247 49	2,809 64	0 00	0 00	437 85	**Box 6**. Gross Proceeds
	6 00000	08/05/2014	08/23/2016	398 81	305 36	0 00	0 00	93 45	**Box 6**: Gross Proceeds
	66 00000	08/05/2014	11/16/2016	3,866 23	3,358 94	0 00	0 00	507 29	**Box 6**: Gross Proceeds
Subtotals	**123.00000**			**$7,512.53**	**$6,473.94**	**$0 00**	**$0.00**	**$1,038.59**	
AMGEN INC CUSIP 031162100	1 00000	01/17/2014	03/16/2016	142 58	119 16	0 00	0 00	23 42	**Box 6**: Gross Proceeds
BANK OF AMERICA CORP CUSIP 060505104	92 00000	09/12/2014	05/06/2016	1,298 39	1,536 34	0 00	0 00	-237 95	**Box 6**: Gross Proceeds
	128 00000	12/10/2014	05/06/2016	1,806 44	2,235 71	0 00	0 00	-429 27	**Box 6**. Gross Proceeds
	44 00000	09/12/2014	08/23/2016	675 82	734 77	0 00	0 00	-58 95	**Box 6**: Gross Proceeds
Subtotals	**264.00000**			**$3,780.65**	**$4,506 82**	**$0.00**	**$0.00**	**($726.17)**	
BEST BUY CO INC CUSIP 086516101	136 00000	12/10/2014	05/04/2016	4,280 42	4,904 66	0 00	0 00	-624 24	**Box 6**: Gross Proceeds

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Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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BOEING CO CUSIP 097023105	38 00000	07/18/2012	03/30/2016	4,892 60	2,812 93	0 00	0 00	2,079 67	**Box 6:** Gross Proceeds
	20 00000	07/18/2012	05/25/2016	2,580 08	1,480 48	0 00	0 00	1,099 60	**Box 6.** Gross Proceeds
Subtotals	**58 00000**			**$7,472.68**	**$4,293 41**	**$0 00**	**$0.00**	**$3,179.27**	
CALIFORNIA RES CORP CHG CUSIP 13057Q107	0 67234	08/15/2014	03/24/2016	0 82	1 15	0 00	0 00	-0 33	**Box 6:** Gross Proceeds Cash in Lieu
	6 72340	08/15/2014	03/31/2016	6 91	11 50	0 00	0 00	-4 59	**Box 6.** Gross Proceeds
Subtotals	**7 39574**			**$7.73**	**$12.65**	**$0 00**	**$0.00**	**($4.92)**	
CAPITAL ONE FINANCIAL CORP CUSIP 14040H105	86 00000	12/05/2013	01/26/2016	5,167 49	6,192 00	0 00	0 00	-1,024 51	**Box 6:** Gross Proceeds
CISCO SYSTEMS INC CUSIP 17275R102	38 00000	05/28/2014	05/13/2016	1,010 18	945 44	0 00	0 00	64 74	**Box 6:** Gross Proceeds
	52 00000	02/20/2015	05/13/2016	1,382 34	1,540 18	0 00	0 00	-157 84	**Box 6:** Gross Proceeds
	23 00000	05/28/2014	08/23/2016	712 52	572 24	0 00	0 00	140 28	**Box 6:** Gross Proceeds
Subtotals	**113 00000**			**$3,105.04**	**$3,057.86**	**$0.00**	**$0.00**	**$47.18**	
CITIGROUP INC NEW CUSIP 172967424	18 00000	06/08/2015	08/23/2016	840 22	1,004 24	0 00	0 00	-164 02	**Box 6:** Gross Proceeds
COCA-COLA ENTERPRISESCHG CUSIP 19122T109	60 00000	09/19/2013	05/31/2016	870 00	159 72	0 00	0 00	710 28	**Box 6:** Gross Proceeds Merger
	70 00000	03/02/2015	05/31/2016	1,015 00	539 48	0 00	0 00	475 52	**Box 6:** Gross Proceeds Merger
Subtotals	**130.00000**			**$1,885.00**	**$699.20**	**$0 00**	**$0.00**	**$1,185.80**	

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COMCAST CORP NEW CL A CUSIP 20030N101	18 00000	05/08/2015	08/23/2016	1,181 67	1,051 38	0 00	0 00	130 29	**Box 6.** Gross Proceeds
CONOCOPHILLIPS CUSIP 20825C104	9 00000	02/03/2011	06/03/2016	398 05	498 29	0 00	0 00	-100 24	**Box 6:** Gross Proceeds
DISNEY WALT COMPANY CUSIP 254687106	7 00000	02/11/2014	05/13/2016	707 11	544 73	0 00	0 00	162 38	**Box 6:** Gross Proceeds
	4 00000	02/27/2014	05/13/2016	404 05	321 93	0 00	0 00	82 12	**Box 6.** Gross Proceeds
	6 00000	02/11/2014	08/23/2016	575 26	466 92	0 00	0 00	108 34	**Box 6:** Gross Proceeds
	79 00000	02/11/2014	11/21/2016	7,720 50	6,147 65	0 00	0 00	1,572 85	**Box 6:** Gross Proceeds
Subtotals	**96.00000**			**$9,406.92**	**$7,481.23**	**$0.00**	**$0 00**	**$1,925.69**	
FEDERATED INVTS INC CL B CUSIP 314211103	88 00000	12/10/2014	01/25/2016	2,246 47	2,868 57	0 00	0 00	-622 10	**Box 6:** Gross Proceeds
FLUOR CORP (NEW) CUSIP 343412102	38 00000	09/11/2015	11/09/2016	1,811 74	1,710 44	0 00	0 00	101 30	**Box 6.** Gross Proceeds
	41 00000	09/14/2015	11/09/2016	1,954 78	1,842 06	0 00	0 00	112 72	**Box 6.** Gross Proceeds
Subtotals	**79.00000**			**$3,766.52**	**$3,552.50**	**$0.00**	**$0.00**	**$214.02**	
FORD MOTOR COMPANY CUSIP 345370860	237 00000	12/23/2014	06/03/2016	3,088 47	3,656 06	0 00	0 00	-567 59	**Box 6.** Gross Proceeds
	251 00000	12/23/2014	06/08/2016	3,355 26	3,872 02	0 00	0 00	-516 76	**Box 6:** Gross Proceeds
Subtotals	**488.00000**			**$6,443 73**	**$7,528.08**	**$0 00**	**$0.00**	**($1,084.35)**	
GENERAL ELECTRIC COMPANY CUSIP 369604103	11 00000	11/10/2014	08/25/2016	343 42	291 31	0 00	0 00	52 11	**Box 6:** Gross Proceeds
	100 00000	11/10/2014	09/28/2016	2,970 57	2,648 21	0 00	0 00	322 36	**Box 6.** Gross Proceeds
Subtotals	**111.00000**			**$3,313.99**	**$2,939.52**	**$0.00**	**$0.00**	**$374.47**	

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GILEAD SCIENCES INC CUSIP 375558103	11 00000	07/29/2015	08/23/2016	895 05	1,275 95	0 00	0 00	-380 90	**Box 6**: Gross Proceeds
GOODYEAR TIRE & RUBBER CUSIP 382550101	100 00000	08/13/2015	12/07/2016	3,106 37	3,186 02	0 00	0 00	-79 65	**Box 6**: Gross Proceeds
INTEL CORP CUSIP 458140100	91 00000	09/05/2014	02/19/2016	2,641 01	3,188 91	0 00	0 00	-547 90	**Box 6**: Gross Proceeds
	33 00000	10/10/2014	02/19/2016	957 74	1,064 60	0 00	0 00	-106 86	**Box 6**: Gross Proceeds
	56 00000	10/10/2014	04/08/2016	1,764 80	1,806 58	0 00	0 00	-41 78	**Box 6**: Gross Proceeds
	38 00000	03/20/2015	04/08/2016	1,197 55	1,195 10	0 00	0 00	2 45	**Box 6**: Gross Proceeds
Subtotals	**218 00000**			**$6,561.10**	**$7,255 19**	**$0.00**	**$0.00**	**($694.09)**	
INTERNATIONAL PAPER CO CUSIP 460146103	53 00000	11/12/2015	12/16/2016	2,842 97	2,139 15	0 00	0 00	703 82	**Box 6**: Gross Proceeds
JPMORGAN CHASE & CO CUSIP 46625H100	10 00000	03/21/2012	02/19/2016	578 87	451 97	0 00	0 00	126 90	**Box 6**. Gross Proceeds
	15 00000	03/21/2012	08/23/2016	988 02	677 95	0 00	0 00	310 07	**Box 6**: Gross Proceeds
Subtotals	**25 00000**			**$1,566.89**	**$1,129.92**	**$0 00**	**$0.00**	**$436.97**	
JETBLUE AIRWAYS CORP CUSIP 477143101	100 00000	12/17/2014	03/11/2016	2,019 48	1,504 51	0 00	0 00	514 97	**Box 6**: Gross Proceeds
KROGER COMPANY COMMON CUSIP 501044101	146 00000	03/28/2012	12/07/2016	4,908 05	1,772 97	0 00	0 00	3,135 08	**Box 6**. Gross Proceeds
MACERICH CO REIT CUSIP 554382101	38 00000	08/21/2015	08/23/2016	3,126 95	3,040 19	0 00	0 00	86 76	**Box 6**: Gross Proceeds
MACY'S INC CUSIP 55616P104	95 00000	03/28/2014	03/16/2016	4,083 62	5,585 89	0 00	0 00	-1,502 27	**Box 6**: Gross Proceeds

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HOGAN FAMILY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MANPOWERGROUP INC CUSIP 56418H100	6 00000	03/26/2014	06/24/2016	399 40	468 36	0 00	0 00	-68 96	**Box 6:** Gross Proceeds
	56 00000	03/26/2014	08/17/2016	3,922 78	4,371 34	0 00	0 00	-448 56	**Box 6:** Gross Proceeds
Subtotals	**62 00000**			**$4,322.18**	**$4,839.70**	**$0.00**	**$0 00**	**($517.52)**	
MARATHON PETROLEUM CORP CUSIP 56585A102	39 00000	01/10/2014	01/13/2016	1,739 13	1,773 69	0 00	0 00	-34 56	**Box 6:** Gross Proceeds
	74 00000	12/20/2013	05/04/2016	2,668 59	3,242 60	0 00	0 00	-574 01	**Box 6:** Gross Proceeds
	25 00000	01/10/2014	05/04/2016	901 54	1,136 98	0 00	0 00	-235 44	**Box 6:** Gross Proceeds
Subtotals	**138.00000**			**$5,309 26**	**$6,153.27**	**$0.00**	**$0 00**	**($844.01)**	
MCKESSON CORPORATION CUSIP 58155Q103	27 00000	11/29/2011	03/16/2016	4,212 08	2,125 98	0 00	0 00	2,086 10	**Box 6:** Gross Proceeds
MERCK & CO INC NEW CUSIP 58933Y105	33 00000	04/11/2014	07/22/2016	1,937 38	1,854 41	0 00	0 00	82 97	**Box 6.** Gross Proceeds
	10 00000	04/11/2014	08/23/2016	635 48	561 95	0 00	0 00	73 53	**Box 6:** Gross Proceeds
	41 00000	04/11/2014	12/20/2016	2,484 60	2,303 95	0 00	0 00	180 65	**Box 6:** Gross Proceeds
	51 00000	08/05/2014	12/20/2016	3,090 60	2,856 80	0 00	0 00	233 80	**Box 6:** Gross Proceeds
	40 00000	10/29/2015	12/20/2016	2,424 02	2,189 66	0 00	0 00	234 36	**Box 6:** Gross Proceeds
Subtotals	**175 00000**			**$10,572.08**	**$9,766.77**	**$0 00**	**$0.00**	**$805.31**	
METLIFE INC CUSIP 59156R108	141 00000	05/19/2015	10/18/2016	6,605 79	7,510 25	0 00	0 00	-904 46	**Box 6.** Gross Proceeds
	3 00000	05/20/2015	10/18/2016	140 54	159 95	0 00	0 00	-19 41	**Box 6:** Gross Proceeds
Subtotals	**144.00000**			**$6,746 33**	**$7,670.20**	**$0.00**	**$0.00**	**($923 87)**	
OCCIDENTAL PETE CORP CUSIP 674599105	8 00000	08/15/2014	08/23/2016	615 98	771 56	0 00	0 00	-155 58	**Box 6.** Gross Proceeds
	71 00000	08/15/2014	11/02/2016	4,811 29	6,847 52	0 00	0 00	-2,036 23	**Box 6:** Gross Proceeds
Subtotals	**79 00000**			**$5,427.27**	**$7,619 08**	**$0.00**	**$0.00**	**($2,191 81)**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ORACLE CORPORATION CUSIP 68389X105	10 00000	06/20/2012	03/16/2016	400 06	283 68	0 00	0 00	116 38	**Box 6**: Gross Proceeds
PEPSICO INCORPORATED CUSIP 713448108	11 00000	05/06/2014	08/23/2016	1,185 66	943 14	0 00	0 00	242 52	**Box 6**: Gross Proceeds
PFIZER INCORPORATED CUSIP 717081103	36 00000	08/13/2015	08/23/2016	1,263 57	1,266 32	0 00	0 00	-2 75	**Box 6**: Gross Proceeds
PITNEY BOWES INC CUSIP 724479100	113 00000	06/23/2014	02/10/2016	1,924 42	3,085 19	0 00	0 00	-1,160 77	**Box 6**: Gross Proceeds
	54 00000	08/05/2014	02/10/2016	919 63	1,442 38	0 00	0 00	-522 75	**Box 6**: Gross Proceeds
	63 00000	12/10/2014	02/10/2016	1,072 92	1,552 29	0 00	0 00	-479 37	**Box 6**: Gross Proceeds
Subtotals	**230.00000**			**$3,916.97**	**$6,079.86**	**$0.00**	**$0.00**	**($2,162.89)**	
PUBLIC SVC ENTERPRISE GROUP INC CUSIP 744573106	29 00000	09/06/2013	03/21/2016	1,332 13	941 51	0 00	0 00	390 62	**Box 6**: Gross Proceeds
	57 00000	09/06/2013	04/08/2016	2,616 80	1,850 56	0 00	0 00	766 24	**Box 6**: Gross Proceeds
Subtotals	**86.00000**			**$3,948.93**	**$2,792.07**	**$0 00**	**$0.00**	**$1,156.86**	
PULTE GROUP INC CUSIP 745867101	142 00000	10/24/2014	11/21/2016	2,663 58	2,745 22	0 00	0 00	-81 64	**Box 6**: Gross Proceeds
	134 00000	01/23/2015	11/21/2016	2,513 52	2,774 72	0 00	0 00	-261 20	**Box 6**: Gross Proceeds
	102 00000	11/12/2015	11/21/2016	1,913 30	1,830 90	0 00	0 00	82 40	**Box 6**. Gross Proceeds
Subtotals	**378.00000**			**$7,090.40**	**$7,350 84**	**$0.00**	**$0.00**	**($260.44)**	
RAYTHEON COMPANY CUSIP 755111507	29 00000	08/24/2012	02/12/2016	3,500 73	1,608 65	0 00	0 00	1,892 08	**Box 6**: Gross Proceeds
	30 00000	08/24/2012	03/01/2016	3,734 71	1,664 11	0 00	0 00	2,070 60	**Box 6**: Gross Proceeds
Subtotals	**59.00000**			**$7,235 44**	**$3,272.76**	**$0.00**	**$0.00**	**$3,962.68**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TARGET CORP CUSIP 87612E106	64 00000	07/21/2015	08/05/2016	4,798 14	5,311 28	0 00	0 00	-513 14	**Box 6:** Gross Proceeds
3M CO CUSIP 88579Y101	18 00000	01/10/2014	01/08/2016	2,550 82	2,448 44	0 00	0 00	102 38	**Box 6:** Gross Proceeds
	13 00000	01/10/2014	01/13/2016	1,836 41	1,768 32	0 00	0 00	68 09	**Box 6:** Gross Proceeds
	31 00000	01/10/2014	01/25/2016	4,302 01	4,216 75	0 00	0 00	85 26	**Box 6:** Gross Proceeds
Subtotals	**62 00000**			**$8,689.24**	**$8,433.51**	**$0.00**	**$0.00**	**$255.73**	
US TREASURY NOTES CPN 2 0000% DUE 02/15/2022 CUSIP 912828SF8	20000 00000	06/01/2015	09/26/2016	20,815 60	20,127 16	0 00	0 00	688 44	Original Basis 20,156 25 **Box 6:** Gross Proceeds
US TREASURY NOTES CPN 2 7500% DUE 11/15/2023 CUSIP 912828WE6	20000 00000	05/20/2014	09/21/2016	21,679 60	20,386 11	0 00	0 00	1,293 49	Original Basis 20,498 44 **Box 6.** Gross Proceeds
UNIVERSAL HEALTH SVCS INC CL B CUSIP 913903100	24 00000	08/21/2014	03/21/2016	2,775 81	2,693 34	0 00	0 00	82 47	**Box 6:** Gross Proceeds
WASTE MGMT INC DEL CUSIP 94106L109	14 00000	05/19/2015	08/23/2016	914 04	697 86	0 00	0 00	216 18	**Box 6.** Gross Proceeds
WESTERN DIGITAL CORP CUSIP. 958102105	35 00000	09/05/2013	01/13/2016	1,815 21	2,278 38	0 00	0 00	-463 17	**Box 6:** Gross Proceeds
COCA-COLA EUROPEAN PARTNERS PLC CUSIP G25839104	12 00000	09/19/2013	08/23/2016	459 34	463 44	0 00	0 00	-4 10	**Box 6:** Gross Proceeds
	48 00000	09/19/2013	09/26/2016	1,902 76	1,853 76	0 00	0 00	49 00	**Box 6:** Gross Proceeds
	70 00000	03/02/2015	09/26/2016	2,774 86	2,703 40	0 00	0 00	71 46	**Box 6:** Gross Proceeds
Subtotals	**130.00000**			**$5,136.96**	**$5,020.60**	**$0.00**	**$0.00**	**$116.36**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LYONDELLBASELL INDUSTRIES AF SCA CUSIP N53745100	7 00000	09/11/2014	02/10/2016	528 12	791 71	0 00	0 00	-263 59	**Box 6.** Gross Proceeds
	24 00000	09/26/2014	02/10/2016	1,810 71	2,687 63	0 00	0 00	-876 92	**Box 6.** Gross Proceeds
Subtotals	**31.00000**			**$2,338 83**	**$3,479.34**	**$0 00**	**$0.00**	**($1,140.51)**	
Totals				**$230,660.83**	**$221,468.95**	**$0.00**	**$0.00**	**$9,191 88**	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
APPLE INC CUSIP 037833100	10 00000	10/27/2009	07/07/2016	956 42	285 76	0 00	0 00	670 66	**Box 6:** Gross Proceeds
	17 00000	10/27/2009	08/23/2016	1,851 93	485 79	0 00	0 00	1,366 14	**Box 6:** Gross Proceeds
Subtotals	**27.00000**			**$2,808 35**	**$771 55**	**$0 00**	**$0.00**	**$2,036 80**	
BECTON DICKINSON SR UNSECURED CPN 1 7500% DUE 11/08/2016 CUSIP 075887BB4	20000 00000	07/19/2012	11/08/2016	20,000 00	20,691 40	0 00	0 00	-691 40	**Box 6:** Gross Proceeds Redemption
CONOCOPHILLIPS CUSIP 20825C104	41 00000	12/23/2010	06/03/2016	1,813 38	2,112 58	0 00	0 00	-299 20	**Box 6:** Gross Proceeds
EXXON MOBIL CORP CUSIP 30231G102	70 00000	12/21/2004	12/12/2016	6,384 06	3,616 20	0 00	0 00	2,767 86	**Box 6:** Gross Proceeds
MEDTRONIC INC SR UNSECURED CPN 2 6250% DUE 03/15/2016 CUSIP 585055AU0	15000 00000	06/25/2012	03/15/2016	15,000 00	15,820 95	0 00	0 00	-820 95	**Box 6:** Gross Proceeds Redemption
MICROSOFT CORP CUSIP. 594918104	41 00000	06/20/2005	05/04/2016	2,043 38	1,031 56	0 00	0 00	1,011 82	**Box 6.** Gross Proceeds
	48 00000	06/20/2005	07/15/2016	2,571 30	1,207 68	0 00	0 00	1,363 62	**Box 6.** Gross Proceeds
Subtotals	**89.00000**			**$4,614.68**	**$2,239 24**	**$0 00**	**$0.00**	**$2,375 44**	
US TREASURY NOTES CPN 4 8750% DUE 08/15/2016 CUSIP 912828FQ8	10000 00000	06/27/2007	08/15/2016	10,000 00	9,861 72	0 00	0 00	138 28	**Box 6:** Gross Proceeds Redemption
Totals				**$60,620.47**	**$55,113.64**	**$0.00**	**$0.00**	**$5,506 83**	