



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 2016

Name of foundation

The Blumenthal Foundation for Charity, Religion, Education and Better Inter-Faith Relations

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

PO Box 34689

City or town, state or province, country, and ZIP or foreign postal code

Charlotte NC 28234-4689

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **19,071,266**
J Accounting method. ☐ Cash ☒ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

A Employer identification number**56-0793667****B** Telephone number (see instructions)**704-688-2305****C** If exemption application is pending, check here ☐**D** 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	1,329	1,329		
4 Dividends and interest from securities	255,754	255,754		
5a Gross rents	79,500			
b Net rental income or (loss)	56,519			
6a Net gain or (loss) from sale of assets not on line 10	1,984,009			
b Gross sales price for all assets on line 6a	1,984,009			
7 Capital gain net income (from Part IV, line 2)		1,984,009		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	4,450	4,450		
12 Total. Add lines 1 through 11	2,325,042	2,245,542		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages	81,782	24,535		57,248
15 Pension plans, employee benefits	21,482	6,445		15,037
16a Legal fees (attach schedule)	319			319
b Accounting fees (attach schedule)	6,000			6,000
c Other professional fees (attach schedule)	102,656			102,656
17 Interest				
18 Taxes (attach schedule) (see instructions)	4,695	1,408		3,286
19 Depreciation (attach schedule) and depletion	3,447	1,034		
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	63,333	19,000		44,333
24 Total operating and administrative expenses. Add lines 13 through 23	283,714	52,422		228,879
25 Contributions, gifts, grants paid	1,123,834			1,123,834
26 Total expenses and disbursements. Add lines 24 and 25	1,407,548	52,422		1,352,713
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	917,494			
b Net investment income (if negative, enter -0-)		2,193,120		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2016)

SCANNED AUG 14 2017



g.31

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	207,670	183,642	183,642
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	64,110	56,310	56,310
	10a Investments—U.S. and state government obligations (attach schedule)	19,944,003	18,680,654	18,680,654
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ 230,462			
Less: accumulated depreciation (attach schedule) ▶ -79,802	205,008	150,660	150,660	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	20,420,792	19,071,266	19,071,266	
Liabilities	17 Accounts payable and accrued expenses	69,920	15,072	
	18 Grants payable	602,699	469,786	
	19 Deferred revenue	25,032	20,582	
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	697,651	505,440	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	10,953,155	10,953,155	
	29 Retained earnings, accumulated income, endowment, or other funds	8,769,986	7,612,671	
	30 Total net assets or fund balances (see instructions)	19,723,141	18,565,826	
	31 Total liabilities and net assets/fund balances (see instructions)	20,420,792	19,071,266	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,723,141
2 Enter amount from Part I, line 27a	2	917,494
3 Other increases not included in line 2 (itemize) ▶ Unrealized Loss on Investment	3	-2,074,809
4 Add lines 1, 2, and 3	4	18,565,826
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,565,826

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,984,009
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	1,251,003	20,826,855	6.0668
2014	835,909	21,054,559	3.9702
2013	1,046,116	19,910,207	5.2541
2012	924,702	18,917,831	4.8879
2011	1,202,135	19,052,155	7.3485

2 Total of line 1, column (d)	2	27.5275
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	5.51
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	19,364,082
5 Multiply line 4 by line 3	5	1,082,003
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,931
7 Add lines 5 and 6	7	1,103,934
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,352,713

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	21,931	02
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2		
3 Add lines 1 and 2		3	21,931	02
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,931	02
6 Credits/Payments:				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	48,169	35	
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d	7	48,169	35	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	26,238	33	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 26,238 33 Refunded	11			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ North Carolina		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓
Website address ► blumenthalfoundation.org		
14 The books are in care of ► Peggy Gartner Telephone no. ► 704-688-2305		
Located at ► 1355 Greenwood Cliff #200 Charlotte, NC ZIP+4 ► 28204		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15	0
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No		
If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Alan Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee,Part-time	-0-	-0-	-0-
Philip Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee,Part-time	-0-	-0-	-0-
Samuel Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee,Part-time	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peggy Gartner 1355 Greenwood Cliff #200 Charlotte, NC 28204	Program Admin 40	81,782	5,409	-0-

Total number of other employees paid over \$50,000 ☐ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 None**2****3****4****Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 None**2**

All other program-related investments See instructions

3**Total.** Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,225,724
b	Average of monthly cash balances	1b	175,448
c	Fair market value of all other assets (see instructions)	1c	257,795
d	Total (add lines 1a, b, and c)	1d	19,658,967
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	19,658,967
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	294,885
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,364,082
6	Minimum investment return. Enter 5% of line 5	6	968,204

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	968,204
2a	Tax on investment income for 2016 from Part VI, line 5 2a 21,931		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	21,931
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	946,273
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	946,273
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	946,273

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,352,713
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,352,713
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,352,713

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				946,273
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011	262,637			
b From 2012				
c From 2013	71,466			
d From 2014				
e From 2015	217,027			
f Total of lines 3a through e	551,130			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 1,352,713				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				946,273
e Remaining amount distributed out of corpus	406,440			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	957,570			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	262,637			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	694,933			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013	71,466			
c Excess from 2014				
d Excess from 2015	217,027			
e Excess from 2016	406,440			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Attached

b The form in which applications should be submitted and information and materials they should include:

See Attached

c Any submission deadlines:

See Attached

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Attached

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached				
Total			▶	3a
b <i>Approved for future payment</i> See Attached				
Total			▶	3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14		1,329
4	Dividends and interest from securities			14		255,754
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property			16		56,519
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18		1,984,009
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue: a <u>Earned Easement</u>					4,450
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e) . .				13	2,302,061

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|--|-------------------|------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> | <p>No</p> |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

The Blumenthal Foundation
Part I Line 11
Other Income

Name	Description	Amount
Jefferson Pilot Communications	Earned Easement	4,450 12
	Total	\$ 4,450.12

The Blumenthal Foundation
Part I Line 16a
Legal Fees

Name	Description	Amount
Shumaker, Loop & Kendrick, LLP Bank of America Plaza 101 South Tryon St. - Suite 2200 Charlotte, NC 28202-0002	Sale of Property	\$ 319.00

The Blumenthal Foundation
Part I Line 16b
Accounting Fees

Name	Description	Amount
C DeWitt Foard & Company, P A 1001 Morehead Square Drive Suite 450 Charlotte, NC 28203	Audit	\$ 6,000.00

The Blumenthal Foundation
Part I Line 16c
Other Professional Fees

Name	Description	Amount
Ashdon Investment Analysis and Res Consultant on investments 848 Hilltop Road Shelbyville, TN 37160		\$ 48,721.00
Westend Advisors 5605 Carnegie Blvd Suite 460 Charlotte, NC 28209	Consultant on investments	\$ 40,116 40
Moore&VanAllen 100 North Tryon Street Suite 4700 Charlotte, NC 28202-4003	Consultant on property	\$ 500.00
		\$ 89,337 40

The Blumenthal Foundation
Part I Line 18
Taxes

Name	Description	Amount
Mecklenburg County Tax Collector P.O Box 32247 Charlotte, NC 28232-2247	Property Tax	\$ 4,694.78
		\$ 4,694.78

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE

December 30, 2016

Part I
Line 19

Line 19

		ASSETS								
EXPENSE CODE	DATE ACQRD	COST 12/31/2015	ADDITNS	DEDUCT	COST 12/31/2016	LIFE IN YEARS	BALANCE 12/31/2015	PROV	DEDUCT	BALANCE 12/31/2016
14050 BUILDINGS										
58 WELLS-FARGO	1972	95,180 00			95,180 00	45	92,647 62	1,762 60	94,233 95	0 00
58 WELLS-FARGO	1973	-			-	45	0 00	0 00		0 00
58 WELLS-FARGO	1974	24,903 00			24,903 00	45	22,182 08	461 20	22,597 13	0 00
58 WELLS-FARGO	19774	17,237 00			17,237 00	25	15,915 45	574 60	16,432 57	0 00
TOTAL BUILDINGS		137,320.00	0.00		137,320.00		130,745.15	2,798.40	133,263.65	0.00
15050 EQUIPMENT										
Computers	16-Sep	6,490.40			6,490.40	3	0.00	649.04	0.00	5,841.36
Total								3,447.44		

The Blumenthal Foundation
Part I Line 23
Other Expenses

Description	Amount
Office Supplies	569.36
Contractor Labor	27,157.18
Postage	549 72
Dues and Subscriptions	8,187.40
Software and Software Maint.	2,361.26
Insurance	980 64
Education and Seminars	944.60
Property Insurance	7,820 24
Travel	0 00
Foundation Meetings	52.36
Bank Charges	75.00
Storm Water	7,018 97
Excise Tax	7,616 73
	\$ 63,333

The Blumenthal Foundation
Part II Line 10a
Investments

Name	Amount
Money Market BNY Mellon	2,831,631
Angelo Gordon	1,229,271
Baird Bond Fund	6,064,083
AO Technology Fund	1,072,927
Horseman Global Fund	779,221
West End Advisors	6,703,521
	18,680,654

Part II
Line 11Land 144,818.20

Blumenthal Foundation
Form 990
Part IV

List Property	How Acquired	Date	Gain/Loss
Baird Bond Fund	P	01/31/2016	-4,803.29
West End	P	02/29/2016	143,350.75
West End	P	02/29/2016	-2,518.27
West End	P	03/31/2016	858,717.34
West End	P	03/31/2016	-103,222.04
West End	P	04/23/2016	13,853.85
West End	P	05/31/2016	441,149.24
Mellon Money Market	P	06/30/2016	-34.83
West End	P	06/30/2016	95,756.33
West End	P	06/30/2016	-8,358.40
West End	P	09/30/2016	68,835.51
Loomis Fargo Property Sold	P	10/17/2016	427,475.30
West End	P	11/30/2016	-24,847.59
West End	P	12/31/2016	67,527.08
West End	P	12/31/2016	-555.80
Baird Bond Fund	P	12/31/2016	11,683.94
			<u>1,984,009.12</u>
			<u>1,984,009.12</u>

The Blumenthal Foundation
Form 990 Part XVa
January through December 2016

Name	Name Address	Memo	Amount
Arts and Science Council	227 West Trade Street - Suite 250 Charlotte, NC 28202	Final payment for ASC's Annual Fund Drive - total grant \$20,000 00	5,000 00
Charlotte Public Tree Fund, Inc	P O Box 31723 Charlotte, NC 28231	For support of the Tree Planting Event	1,500 00
Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	Fifth and final payment on \$210,000 00 grant	42,000 00
Southern Environmental Law Center	201 W Main Street - #14 Charlottesville, VA 22902	Annual operating support	1,000 00
Spruce Pine Montessori School	67 Walnut Avenue Spruce Pine NC 28777	A grant to provide scholarships for low-income primary students, expansion of Visual and Perform	5,000 00
Foundation for Mitchell County	P O Box 1101 Spruce Pine, NC 28777-1101	For general operating support	2,500 00
UNC - Pembroke	One University Drive P O Box 1510 Pembroke, NC 28372	A grant for support of "Harlem Renaissance Songs and Stories "	1,500 00
N C Network of Grantmakers	3737 Glenwood Ave - Suite 460 Raleigh, NC 27612	A grant to help provide scholarships for personnel from small community foundations	1,500 00
Sustan Charlotte	2151 Hawkins Street - #200 Charlotte, NC 28203	Sponsorship of the 2016 Sustan Charlotte Awards	1,300 00
Southern Appalachian Wilderness Stewards	12115 New Highway 68 Tellico Plains, TN 37385	A grant to help support the 2016 Wilderness Field Crew program	7,500 00
Wildlands Network	1402 3rd Avenue - Suite 1019 Seattle, WA 98101-2118	A grant to help support the reconnecting and restoring of the wildlife habitat across NC and th	10,000 00
Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	A grant to help provide general operating support for the retreat	20,000 00
Dogwood Alliance	P O Box 7645 Asheville, NC 28802	A grant to exclusively be used in NC to address the growing threat to NC's forests by the rapid	7,500 00
Shelter Health Services	534 Spratt Street Charlotte, NC 28206	For the launch of a comprehensive Pediatric Wellness Program for homeless children 0-5 years of	5,000 00
Temple Beth El	5101 Providence Rd Charlotte, NC 28226	A grant to help publish "A Book of Chai, A Book of Life, " - a collection of Sermons by Rabbi Judy	1,500 00
Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	General Operating Support	40,000 00
CPCC Foundation	P O Box 35009 Charlotte, NC 28235-5009	A grant for the Dr. Tony Zeiss Scholarship being established at CPCC	1,000 00
McColl Center for Art & Innovation	1600 Elizabeth Avenue Charlotte, NC 28204	1st payment on grant of \$10,000 00 for support of the Environmental Artist-in-Residence program	5,000 00
Opera Carolina	5841 Brookshire Blvd Charlotte, NC 28216	A grant for the Education & Community Programs for 2016	2,500 00
Partners for Parks	Two Wells Fargo Center 301 S Tryon Street#1700 Charlotte, NC 28282	A grant to hel sponsor the 2016 U S Paralympic Team Trails in Charlotte	5,000 00
Charlotte Symphony	5007 Providence Rd Charlotte, NC 28226	A grant for general operating support of the Symphony	10,000 00
Jewish Federation of Greater Charlotte	14 George Street Charleston, SC 29401-1524	The 1st payment on a \$210,000 00 annual grant	42,000 00
Spoleto Festival USA	1504 Silver Street Sheffield, MD 01257	A grant for support of Spoleto Festival USA	5,000 00
Hands in Outreach	P O Box 280 Little Switzerland, NC 28749	A grant for sponsorship of Sarawati at the Budhanil Kantha School in Nepal	1,500 00
Wildacres Retreat	5007 Providence Rd Charlotte, NC 28226	A grant for general operating support	25,000 00
Jewish Federation of Greater Charlotte	1615 M Street NW Washington, DC 20036	3rd payment of 5 on annual grant of \$210,000 00	42,000 00
The Wilderness Society	P O Box 280 Little Switzerland, NC 28749	A grant to continue o build a long-term coalition and constituency that can work together to ensu	10,000 00
Wildacres Retreat	227 West Trade Street - Suite 250 Charlotte NC 28202	For general operating support	25,997 00
Arts and Science Council	P O Box 1717 Asheville, NC 28802-1717	First of 5 payment of \$20,000 00 for 2016 Annual Fund Campaign	4,000 00
Asheville Art Museum	10515 Hawick Court Charlotte, NC 28278	A grant for the delivery of the "Liberty Through Art" program in 2016-17 to 12 schools (grades 3	15,000 00
Caroline Calouche & Company	345 North College Street Charlotte, NC 28202	A grant for support o the performances for 2016-17	3,000 00
Community School of the Arts	P O Box 33333 Raleigh, NC 27636-3333	A grant for support of the Financial Aid program which will allow more than 30 students to parti	5,000 00
Conservation Trust of North Carolina	220 North Tryon Charlotte, NC 28202	The first payment on a \$10,000 00 grant for general operating support of CINC's mission	5,000 00
Community Building Initiative	P O Box 560661 Charlotte, NC 28256	A grant for the general operating support of the program	2,500 00
Gay Men's Chorus of Charlotte	1346 St. Julien Street Charlotte NC 28205	A grant for support of the In-School Engagement Program	2,500 00
N C Holocaust Foundation	P O Box 682 Burnsville, NC 28714	A multi-year grant of \$7,500 00 for support of rural teacher workshops on the Holocaust in North	2,500 00
North Carolina Wildlife Federation	227 West Trade Street - Suite 250 Charlotte, NC 28202	A grant for sponsorship and support of the Give Thanks for Wildlife event on November 19, 2016	2,500 00
Toe River Arts Council	P O Box 428 Raleigh, NC 27602	A grant for the celebration of the council's 40th Anniversary	500 00
Arts and Science Council	5007 Providence Rd Charlotte, NC 28226	2nd payment on \$20,000 00 for Annual Fund Drive	4,000 00
Imagine North Carolina	19 East Martin Street - Suite 300 Raleigh, NC 27601	A grant to help support the publication of a non-partisan voter guide to be distributed to 700,0	10,000 00
Jewish Federation of Greater Charlotte	2151 Hawkins Street - #200 Charlotte, NC 28203	Partial payment on annual grant for the Annual Campaign (Othe \$21,000 to be paid in Sept)	21,000 00
N C Conservation Network	1110 Navaho Dr Suite 200 Raleigh, NC 27609-7322	1st payment on \$10,000 00 grant to help continue the work of the Communications Infrastructure p	5,000 00
Sustan Charlotte	P O Box 280 Little Switzerland, NC 28749	A grant to enable Sustan Charlotte to become a member of The Society Guide that connects local	4,000 00
N C Center for Non-Profits	227 West Trade Street - Suite 250 Charlotte, NC 28202	A grant for support of the Center's 2016 Satewide Conference on September 21-23, 2016	2,500 00
Wildacres Retreat	715 N Church Street - Suite 120 Charlotte, NC 28202	A grant for the general operating support of the retreat (payment of Swimmer bill)	30,000 00
Arts and Science Council		3rd of 5 payments on \$20,000 00 grant for 2016 Annual Fund Drive	4,000 00
Catawba Riverkeeper Foundation		A grant to help educate thousands of people about the threat to our local water supply	5,000 00

The Blumenthal Foundation

Form 990 Part XVa

January through December 2016

Greater Carolinas Association of Rabbis	60 Rabbi David Ostrich Congregation Brit Shalom 620 E Hamilton Avenue	A grant to underwrite the shortfall of the GCAR Interfaith Institute at Wildacres Retreat	4,900 00
Jewish Education Loan Fund	4549 Chamblee Dunwoody Road Atlanta GA 30338	First payment on a grant of \$20,000 00 to help provide interest free loans for higher education	5,000 00
Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	Payment on \$210,000 00 grant for 2016 campaign	21,000 00
McColl Center for Art & Innovation			5,000 00
Mitchell County Animal Rescue, Inc	P O Box 308 Spruce Pine, NC 28777	A sponsorship for the Seventh Annual Wine and Whiskers fundraiser on November 5, 2016	1,000 00
N C Outward Bound School	1351 E Morehead Street Suite 105 Charlotte, NC 28204	A grant for support of the programs in the Charlotte community	1,000 00
Partners for Parks	5841 Brookshire Blvd Charlotte, NC 28216	A grant for support of the 20th Anniversary celebration	2,000 00
Safe Alliance	601 E 5th Street Suite 400 Charlotte, NC 28202-3095	A grant to help support the work with victims and survivors of domestic violence, sexual violence	2,500 00
The Choir School of St. Peter's	115 West Seventh Street Charlotte, NC 28202	A grant for support of the operating budget	5,000 00
Wildacres Leadership Initiative	4208 Six Forks Road Raleigh, NC 27609	A grant for general operating support	25,000 00
Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	General Operating Support	60,000 00
Wildacres Leadership Initiative	4208 Six Forks Road Raleigh, NC 27609	A grant for general operating support	25,000 00
Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	A grant for the general operating support of the Retreat	100,000 00
Allegro Foundation	419 Ardmore Road Charlotte, NC 28209 1	A grant to partially fund the FREE weekly movement education classes for 160 students with disabilities and final payment for support of the 2016 Annual Fund Drive	4,000 00
Arts and Science Council	227 West Trade Street - Suite 250 Charlotte, NC 28202		4,000 00
Clean Air Carolina	P O Box 5311 Charlotte, NC 28299	A grant for general operating support	5,000 00
Independent College Fund of NC	530 N Blount Street Raleigh, NC 27604	A one time grant to provide STEM scholarship funding for students at Belmont Abbey College and Wilkes University	5,000 00
Independent College Fund of NC	530 N Blount Street Raleigh, NC 27604	A grant to help provide scholarships and financial aid to students in 27 independent colleges and universities	2,500 00
International House	1817 Central Avenue - #215 Charlotte, NC 28205	A grant for support of the Immigration Law Clinic and the Citizenship Classes	4,000 00
UNC - Chapel Hill	Carolina Environmental Program Campus Box 1105 Chapel Hill, NC 27599-105	A multi-year grant of \$75,000 00 to provide capacity building funding to benefit the UNC Institute for the Environment	15,000 00
Community Culinary School of Charlotte	9315 Monroe Road - Suite D Charlotte, NC 28270	A general operating grant to help in the purchase of supplies for the students	2,500 00
Temple Israel	4601 Providence Rd Charlotte, NC 28226	A grant for sponsorship of the 120th Anniversary Finale	1,800 00
Queens University	University Advancement - Laura Sutton 1900 Selwyn Avenue Charlotte, NC 28202	A multi-year grant of \$30,000 00 for the establishment of the Jewish Life Initiative and Social Justice Fund	10,000 00
Arts and Science Council	227 West Trade Street - Suite 250 Charlotte, NC 28202	4th payment on \$20,000 00 grant	4,000 00
Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	4th of 5 payments on \$210,000 00 grant	42,000 00
Conservation Trust of North Carolina	P O Box 33333 Raleigh, NC 27636-3333	2nd payment on the \$10,000 00 grant for general operating support	5,000 00
Wildacres Leadership Initiative	4208 Six Forks Road Raleigh, NC 27609	Reimbursement on WLI check 2531 to Conservation Trust of NC that was written on WLI check instead of on the 2016 Annual Fund and Impact Fund	5,000 00
Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	5th and final payment on \$210,000 00 grant for the 2016 Annual Fund and Impact Fund	42,000 00
N C Conservation Network	19 East Martin Street - Suite 300 Raleigh, NC 27601	A grant to help continue the work of the Communications Infrastructure project	5,000 00
Samantian House	611 Fortune Street Charlotte, NC 28205	A grant to support the short-term recuperative care of homeless men and women following a hospitalization	5,000 00
Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	Donation for general operating support	25,000 00
			899,997 00
			899,997 00

Grant Commitment Schedule (6 years)

1/1/2017

Organization Grant Amount	Total Paid	Scheduled Payments			Remaining Balance
		2017	2018	2019	
Altapass Foundation \$ 50,000.00 <i>A grant for the establishment of an endowment to protect and preserve the Historic Orchard at Altapass for generations to come.</i>	\$20,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00
Appalachian State University \$ 10,000.00 <i>A multi-year grant to provide an Appal PIE Scholarship to a student in the Hayes School of Music.</i>	\$5,000.00	\$2,500.00	\$2,500.00	\$0.00	\$0.00
Discovery Place \$ 25,000.00 <i>A grant for support of a new initiative at the Discovery Place Education Studio at the BofA STEM Center for Career Development. to provide scholarships for educators to participate in a variety of proven professional development programs and workshops</i>	\$15,000.00	\$5,000.00	\$5,000.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2017	2018	2019	2020		2021
Hopeway Foundation \$ 50,000.00 A grant to help establish "Room for Hope" a holistic therapeutic treatment center for adults living with mental illness and a supportive community for their families.	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Jewish Community Center \$ 50,000.00 A grant for support of the capital campaign, "Together-Building Our Future For All Generations.	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Jewish Educational Loan Fund \$ 20,000.00 A grant to help provide interest free loans for higher education to Jewish students from Charlotte	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00
Lynnwood Foundation \$ 12,500.00 A grant for the support of The Duke Mansion and The Lee Institute 100th Anniversary Campaign.	\$7,500.00	\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00
The North Carolina Holocaust Foundation \$ 7,500.00 A multi-year grant to help education rural teachers about the Holocaust.	\$2,500.00	\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2017	2018	2019	2020		2021
North Carolina Network of Grantmakers \$ 1,500.00 <i>A grant to help provide scholarships to the Annual Meeting for leaders of small community foundation.</i>	\$0.00	\$1,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Novant Health Foundation (formerly Presbyterian Hospital FDN) \$ 100,000.00 <i>A grant for support of the capital campaign for the Heart & Vascular and Cancer Institute.</i>	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	\$0.00
Queens University of Charlotte \$ 25,000.00 <i>A grant for sponsorship of the Blumenthal Fellowship Program at the McColl Graduate School of Business for the 2016-2017 academic year.</i>	\$0.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Queens University of Charlotte \$ 30,000.00 <i>A grant for support of the Jewish Life Initiative as part of the Greenspoon Center for Peace and Social Justice.</i>	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance
		2017	2018	2019	2020	
Trees Charlotte (formerly housed at Foundation For The Carolinas) \$ 100,000.00 <i>A grant for support of the renovation of the Carolina Theatre and the establishment of the Center for Philanthropy and Civic Engagement.</i>	\$40,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	\$0.00
Trees Charlotte (formerly housed at Foundation For The Carolinas) \$ 50,000.00 <i>A grant to increase the endowment fund which spendable income is used to plant trees in neighborhoods throughout Charlotte.</i>	\$0.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00
University of North Carolina - Chapel Hill \$ 75,000.00 <i>A multi-year grant to provide capacity-building funding to benefit the UNC Institute of the Environment's Translational Initiative.</i>	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00	\$15,000.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance
		2017	2018	2019	2020	
University of North Carolina - Charlotte \$ 47,500.00 <i>A grant for support of "Preserving Memory in a Digital Age: Charlotte Eastern Europe Cemetery Experience." This project will take students to Poland and give them an opportunity to help preserve important historic sites such as Jewish cemeteries.</i>	\$0.00	\$16,000.00	\$16,000.00	\$15,500.00	\$0.00	\$0.00
WFAE 90.7 FM \$ 50,000.00 <i>A grant to help in improve WFAE's local news capacity over the next five years through the addition of new reporters who will provide coverage of local news in the areas of business, education, government, and the arts.</i>	\$30,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00	\$0.00
Grand Total (17 items)	\$220,000.00	\$205,000.00	\$128,500.00	\$95,500.00	\$45,000.00	\$10,000.00
					\$0.00	\$0.00