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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.Form **990-PF**Department of the Treasury
Internal Revenue Service

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation MARY REYNOLDS BABCOCK FOUNDATION, INCORPORATED		A Employer identification number 56-0690140
Number and street (or P.O. box number if mail is not delivered to street address) 2920 REYNOLDA ROAD	Room/suite	B Telephone number (336) 748-9222
City or town, state or province, country, and ZIP or foreign postal code WINSTON-SALEM, NC 27106-5123		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 176,436,151. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		8,600,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		65,920.	65,920.		
4 Dividends and interest from securities		2,574,149.	2,574,149.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<1,735,095.>			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		42,871.	12,871.		
12 Total. Add lines 1 through 11		9,547,845.	2,652,940.		
13 Compensation of officers, directors, trustees, etc		493,484.	34,544.		458,940.
14 Other employee salaries and wages		531,925.	37,235.		494,690.
15 Pension plans, employee benefits		350,195.	24,514.		325,682.
16a Legal fees STMT 2		9,775.	2,392.		7,383.
b Accounting fees STMT 3		44,575.	26,745.		17,830.
c Other professional fees STMT 4		1,046,070.	1,040,942.		5,128.
17 Interest		17,237.	1,207.		16,030.
18 Taxes STMT 5		133,122.	129,835.		0.
19 Depreciation and depletion		28,757.	2,013.		
20 Occupancy		6,430.	450.		5,980.
21 Travel, conferences, and meetings		222,006.	14,580.		207,426.
22 Printing and publications					
23 Other expenses STMT 6		293,019.	12,421.		280,597.
24 Total operating and administrative expenses. Add lines 13 through 23		3,176,595.	1,326,878.		1,819,686.
25 Contributions, gifts, grants paid		16,647,874.			9,219,635.
26 Total expenses and disbursements. Add lines 24 and 25		19,824,469.	1,326,878.		11,039,321.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<10,276,624.>			
b Net investment income (if negative, enter -0-)			1,326,062.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	132,096.	163,240.	163,240.
	2 Savings and temporary cash investments	6,852,430.	7,216,093.	7,216,093.
	3 Accounts receivable ▶ 3,537.	4,119.	3,537.	3,537.
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 4,632,490.		4,632,490.	4,632,490.
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	162,290.	387,050.	387,050.
	10a Investments - U.S. and state government obligations STMT 8	17,568,810.	15,824,698.	15,824,698.
	b Investments - corporate stock STMT 9	131,707,321.	130,353,992.	130,353,992.
	c Investments - corporate bonds STMT 10	10,413,489.	11,704,029.	11,704,029.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	826,021.	896,738.	896,738.	
14 Land, buildings, and equipment: basis ▶ 970,271.	488,966.	527,006.	527,006.	
Less: accumulated depreciation ▶ 443,265.				
15 Other assets (describe ▶ STATEMENT 12)	4,902,916.	4,727,278.	4,727,278.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	173,058,458.	176,436,151.	176,436,151.	
Liabilities	17 Accounts payable and accrued expenses	182,272.	235,895.	
	18 Grants payable	4,009,417.	11,437,654.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable	393,321.	362,072.	
	22 Other liabilities (describe ▶ STATEMENT 13)	93,544.	75,183.	
23 Total liabilities (add lines 17 through 22)	4,678,554.	12,110,804.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	167,553,883.	163,318,099.	
	25 Temporarily restricted	826,021.	1,007,248.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	168,379,904.	164,325,347.	
30 Total net assets or fund balances	168,379,904.	164,325,347.		
31 Total liabilities and net assets/fund balances	173,058,458.	176,436,151.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	168,379,904.
2 Enter amount from Part I, line 27a	2	<10,276,624.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	6,222,067.
4 Add lines 1, 2, and 3	4	164,325,347.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	164,325,347.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			<1,735,095.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<1,735,095.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<1,735,095.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	9,091,843.	175,011,600.	.051950
2014	9,536,256.	177,291,452.	.053789
2013	9,033,040.	164,704,787.	.054844
2012	8,937,803.	150,931,750.	.059218
2011	9,823,401.	153,267,785.	.064093

2 Total of line 1, column (d)	2	.283894
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056779
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	163,491,945.
5 Multiply line 4 by line 3	5	9,282,909.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,261.
7 Add lines 5 and 6	7	9,296,170.
8 Enter qualifying distributions from Part XII, line 4	8	11,101,444.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	13,261.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,261.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,261.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	342,038.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	342,038.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	328,777.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 328,777. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.MRBF.ORG	X	
14 The books are in care of JUSTIN MAXSON Telephone no. (336) 748-9222 Located at 2920 REYNOLDA ROAD, WINSTON-SALEM, NC ZIP+4 27106-5123		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years N/A b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		493,484.	101,349.	2,700.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAVASTIAN GLENN - 2920 REYNOLDA ROAD, WINSTON-SALEM, NC 27106	NETWORK OFFICER	89,618.	28,188.	900.
JENNIFER BARKSDALE - 2920 REYNOLDA ROAD, WINSTON-SALEM, NC 27106	FINANCE OFFICER	79,628.	26,208.	0.
LORA SMITH - 2920 REYNOLDA ROAD, WINSTON-SALEM, NC 27106	NETWORK OFFICE	63,882.	25,743.	760.
CHRISTINE MAYERS - 2920 REYNOLDA ROAD, WINSTON-SALEM, NC 27106	GRANTS MANAGER	71,035.	19,225.	0.
SUSANNA HEGNER - 2920 REYNOLDA ROAD, WINSTON-SALEM, NC 27106	COMMUNICATIONS OFFICER	72,050.	15,432.	900.

Total number of other employees paid over \$50,000

1

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
RBC WEALTH MANAGEMENT - 345 CALIFORNIA STREET, SAN FRANCISCO, CA 94104	INVESTMENT MANAGEMENT	310,642.
DANA INVESTMENT ADVISORS INC - 15800 WEST BLUEMOUND ROAD, BROOKFIELD, WI 53005	INVESTMENT MANAGEMENT	156,250.
CAMBIAR	INVESTMENT MANAGEMENT	119,843.
200 COLUMBINE ST #800, DENVER, CO 80206	INVESTMENT MANAGEMENT	93,559.
SILCHESTER INTERNATIONAL INVESTORS	INVESTMENT MANAGEMENT	75,539.
780 THIRD AVE, NEW YORK, NY 10017	INVESTMENT MANAGEMENT	
SAYBROOK CAPITAL	INVESTMENT MANAGEMENT	
2000 FRONTIS PLAZA, WINSTON-SALEM, NC 27103	INVESTMENT MANAGEMENT	
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 15	221,191.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

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**MARY REYNOLDS BABCOCK FOUNDATION,
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	158,375,621.
b	Average of monthly cash balances	1b	7,323,773.
c	Fair market value of all other assets	1c	282,276.
d	Total (add lines 1a, b, and c)	1d	165,981,670.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	165,981,670.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,489,725.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	163,491,945.
6	Minimum investment return. Enter 5% of line 5	6	8,174,597.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,174,597.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	13,261.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,261.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,161,336.
4	Recoveries of amounts treated as qualifying distributions	4	147,994.
5	Add lines 3 and 4	5	8,309,330.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,309,330.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,039,321.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	62,123.
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,101,444.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	13,261.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,088,183.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**MARY REYNOLDS BABCOCK FOUNDATION,
INCORPORATED**

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56-0690140

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				8,309,330.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	2,049,175.			
b From 2012	1,305,786.			
c From 2013	883,014.			
d From 2014	447,535.			
e From 2015	613,675.			
f Total of lines 3a through e	5,299,185.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 11,101,444.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	1,790,133.			
d Applied to 2016 distributable amount				8,309,330.
e Remaining amount distributed out of corpus	1,001,981.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,091,299.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	1,790,133.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	2,049,175.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	4,251,991.			
10 Analysis of line 9:				
a Excess from 2012	1,305,786.			
b Excess from 2013	883,014.			
c Excess from 2014	447,535.			
d Excess from 2015	613,675.			
e Excess from 2016	1,001,981.			

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N/A

- 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]Form **990-PF** (2016)

MARY REYNOLDS BABCOCK FOUNDATION,
INCORPORATED

Form 990-PF (2016)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT D				9,219,635.
Total			▶ 3a	9,219,635.
b Approved for future payment				
SEE ATTACHED STATEMENT D				11,437,654.
Total			▶ 3b	11,437,654.

Form 990-PF (2016)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

10/20/17
Date

**EXECUTIVE
DIRECTOR**

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	TAMELA L. GAINEY	Tameh L. Gany	10/13/17		P00437957
	Firm's name ▶ RSM US LLP				Firm's EIN ▶ 42-0714325
	Firm's address ▶ 230 N ELM ST STE 1100 GREENSBORO, NC 27401				Phone no. (336) 272-4551

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a REALIZED GAIN ON PRI				
b PUBLICLY TRADED - SEE ATTACHED STMT C				
c SILCHESTER K-1 SHORT TERM				
d SILCHESTER K-1 LONG TERM				
e GEN GLOBAL K-1 SHORT TERM				
f GEN GLOBAL K-1 LONG TERM				
g GEN ASIA K-1 SHORT TERM				
h GEN ASIA K-1 LONG TERM				
i GEN CLIMATE K-1 LONG TERM				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			81,432.
b			<2,779,364.>
c			127,268.
d			734,069.
e			<87,544.>
f			<100,328.>
g			<91,536.>
h			<10,405.>
i			391,313.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			81,432.
b			<2,779,364.>
c			127,268.
d			734,069.
e			<87,544.>
f			<100,328.>
g			<91,536.>
h			<10,405.>
i			391,313.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

<1,735,095.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

MARY REYNOLDS BABCOCK FOUNDATION,
INCORPORATED

Employer identification number

56-0690140

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization MARY REYNOLDS BABCOCK FOUNDATION, INCORPORATED	Employer identification number 56-0690140
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FORD FOUNDATION 320 EAST 43RD STREET NEW YORK, NY 10017	\$ 1,600,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	JPMORGAN CHASE FOUNDATION 270 PARK AVENUE FLOOR 04 NEW YORK, NY 10017	\$ 5,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	WINTHROP ROCKEFELLER FOUNDATION 225 E MARKHAM ST #200 LITTLE ROCK, AR 72201	\$ 500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	F.B. HERON FOUNDATION 100 BROADWAY NEW YORK, NY 10005	\$ 1,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

MARY REYNOLDS BABCOCK FOUNDATION,
INCORPORATED

56-0690140

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization

MARY REYNOLDS BABCOCK FOUNDATION,
INCORPORATED

Employer identification number

56-0690140

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	OTHER INCOME		STATEMENT	1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
OTHER INVESTMENT INCOME	198,912.	198,912.		
SECTION 988 LOSS	<205,464.>	<205,464.>		
SECTION 1296 INCOME	19,423.	19,423.		
GRANT ADMINISTRATION FEES	30,000.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	42,871.	12,871.		

FORM 990-PF	LEGAL FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,775.	2,392.		7,383.
TO FM 990-PF, PG 1, LN 16A	9,775.	2,392.		7,383.

FORM 990-PF	ACCOUNTING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND AUDIT	44,575.	26,745.		17,830.
TO FORM 990-PF, PG 1, LN 16B	44,575.	26,745.		17,830.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	1,040,791.	1,040,791.		0.
VARIOUS SERVICES	2,150.	151.		1,999.
BOARD CONSULTANTS	3,129.	0.		3,129.
TO FORM 990-PF, PG 1, LN 16C	1,046,070.	1,040,942.		5,128.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	3,287.	0.		0.
FOREIGN TAX	129,835.	129,835.		0.
TO FORM 990-PF, PG 1, LN 18	133,122.	129,835.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROGRAM SUPPORT	22,970.	0.		22,970.
PRI DUE DILIGENCE & MONITORING	11,271.	0.		11,271.
COMMUNICATIONS / WEB SITE	41,311.	0.		41,311.
POSTAGE	837.	58.		779.
EQUIPMENT MAINTENANCE	12,428.	870.		11,558.
DUES & MEMBERSHIPS	37,458.	2,622.		34,836.
MISCELLANEOUS	3,318.	233.		3,084.
INSURANCE	23,916.	1,674.		22,242.
BANK AND PAYROLL FEES	4,049.	283.		3,766.
TELEPHONE, SUPPLIES, SUBSCRIPTIONS	14,188.	993.		13,195.
JANITORIAL	18,749.	1,312.		17,437.
TECH SUPPORT	62,521.	4,376.		58,145.
LEARNING AND STRATEGY	23,425.	0.		23,425.
UAF	16,578.	0.		16,578.
TO FORM 990-PF, PG 1, LN 23	293,019.	12,421.		280,597.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
TAX TO GAAP ADJUSTMENTS	4,293,655.
CHANGE IN VALUE OF CRTS	70,717.
UNREALIZED LOSS ON INVESTMENTS	1,857,695.
TOTAL TO FORM 990-PF, PART III, LINE 3	6,222,067.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		10,616,478.	10,616,478.
		X	5,208,220.	5,208,220.
TOTAL U.S. GOVERNMENT OBLIGATIONS			10,616,478.	10,616,478.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			5,208,220.	5,208,220.
TOTAL TO FORM 990-PF, PART II, LINE 10A			15,824,698.	15,824,698.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	130,353,992.	130,353,992.
TOTAL TO FORM 990-PF, PART II, LINE 10B	130,353,992.	130,353,992.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	11,704,029.	11,704,029.
TOTAL TO FORM 990-PF, PART II, LINE 10C	11,704,029.	11,704,029.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ANNUITY TRUST	COST	896,738.	896,738.
TOTAL TO FORM 990-PF, PART II, LINE 13		896,738.	896,738.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	4,639,694.	4,491,700.	4,491,700.
ACCRUED INCOME	263,222.	235,578.	235,578.
TO FORM 990-PF, PART II, LINE 15	4,902,916.	4,727,278.	4,727,278.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED TAX LIABILITY	93,544.	75,183.
TOTAL TO FORM 990-PF, PART II, LINE 22	93,544.	75,183.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BRUCE BABCOCK 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 1.00	0.	0.	0.
LAVEEDA BATTLE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 2.00	2,000.	0.	0.
CHAD BERRY 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 1.00	800.	0.	0.
DERRICK JOHNSON 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 1.00	1,200.	0.	0.
OTIS JOHNSON 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 2.00	2,000.	0.	0.
BARBARA MILLHOUSE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 2.00	0.	0.	0.
JAMES MITCHELL 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER - PRESIDENT 2.00	1,600.	0.	0.
KATHARINE B. MOUNTCASTLE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 1.00	0.	0.	0.
KATHARINE R. MOUNTCASTLE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 1.00	0.	0.	0.
KENNETH MOUNTCASTLE, III 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 3.00	0.	0.	0.
LAURA MOUNTCASTLE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER - TREAS 2.00	0.	0.	0.

MARY REYNOLDS BABCOCK FOUNDATION, INCORP

56-0690140

MARY MOUNTCASTLE 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER - VICE PRES 3.00 0.	0.	0.
IVAN KOHAR PARRA 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER - SEC 2.00 2,000.	0.	0.
JUSTIN MAXSON 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	EXECUTIVE DIRECTOR 40.00 217,550.	31,067.	900.
SANDRA MIKUSH 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	DEPUTY DIRECTOR 40.00 131,522.	42,130.	900.
GLADYS WASHINGTON 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	PROGRAM DIRECTOR 40.00 132,812.	28,152.	900.
JERRY GONZALEZ 2920 REYNOLDA ROAD WINSTON-SALEM, NC 27106	BOARD MEMBER 2.00 2,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		493,484.	101,349. 2,700.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	15
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ACTIVITY ONE

FOUNDATION STAFF TIME AND EXPENSES ON BOARDS, GRANTEE
TECHNICAL ASSISTANCE, PARTICIPATION IN FUNDER NETWORKS, PEER
ADVICE TO FUNDER COLLEAGUES, PUBLIC POLICY RESEARCH AND
PUBLISH RESULTS, PRESENTERS AT CONFERENCES, AND ADVISORY
COMMITTEES OF OTHER CHARITABLE ORGANIZATIONS

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

221,191.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SEE FOUNDATION WEBSITE: WWW.MRBF.ORG

TELEPHONE NUMBER

(336)748-9222

FORM AND CONTENT OF APPLICATIONS

THE FOUNDATION DOES NOT ACCEPT PAPER GRANT APPLICATIONS. ALL INFORMATION REGARDING SUBMISSION OF APPLICATIONS INCLUDING THE FORMAT AND ADDITIONAL INFORMATION REQUIRED CAN BE FOUND ON THE FOUNDATION'S WEBSITE "WWW.MRBF.ORG"

ANY SUBMISSION DEADLINES

THE FDN USES A ROLLING APPLICATION PROCESS

RESTRICTIONS AND LIMITATIONS ON AWARDS

PREFERENCE IN SOUTHEAST UNITED STATES
NO GRANTS TO INDIVIDUALS OR FOR CONSTRUCTION
NO GRANTS FOR INTERNATIONAL PROGRAMS
CONCENTRATION IN GRANTS TO ORGANIZATIONS THAT HELP PEOPLE AND PLACES IN THE SOUTHEASTERN U.S. MOVE OUT OF POVERTY

DESCRIPTION	Fair Market Value	Book Value
Equity Securities		
Apex Capital Management		
US equities	7,392,455	
Interantional equities	457,145	7,849,600
Cardinal Capital Management		
US equities	7,576,597	
Interantional equities	149,530	
Other assets	1,019,826	8,745,952
Saybrook Capital		
US equities	9,423,564	
Interantional equities	991,420	10,414,984
Brown Advisory		
US equities	17,746,727	
Interantional equities	448,886	
Other assets	730,883	18,926,496
Dana Socially Responsible Equity		
US equities	16,398,737	
Interantional equities	1,192,273	
Other assets	316,740	17,907,750
Cambiar Investors - International equities		
Detail Attached	17,102,780	17,102,780
	80,947,562	80,947,562
Subtotal - Equity Securities		
Mutual Funds		
Pax World Global Environmental Markets Fund		
Detail Attached	9,867,221	9,867,221
	9,867,221	9,867,221
Equity Funds (Funds of Funds)		
Generation IM Asia Fund LP		
Responsible Global Emerging Markets Equity	5,770,688	5,770,688
Generation IM Global Equity Fund	5,338,559	5,338,559
Generation Climate Solutions II	25,223,393	25,223,393
SIF Ventures IV	1,251,940	1,251,940
DBL Partners III, L P	278,505	278,505
	1,676,124	1,676,124
	39,539,209	39,539,209
Subtotal Equity Funds (Funds of Funds)		
TOTAL TO FORM 990-PF PART II, LINE 10B	130,353,992	130,353,992
Fixed Income Securities		
Breckinridge Capital Advisors		
JAG Capital Management LLC	11,189,364	11,189,364
Community Capital Management	8,167,427	8,167,427
Detail Attached	8,171,936	8,171,936
	27,528,728	27,528,727
Total Fixed Income		
TOTAL TO FORM 990-PF PART II, LINE 10A	15,824,698	15,824,698
TOTAL TO FORM 990-PF PART II, LINE 10C	11,704,029	11,704,029
	27,528,727	27,528,727

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
PAX	
PAX GLOBAL	<u>9,867,220.77</u>
APEX	
Market Equities- Apex	
ACI WORLDWIDE INC	71,166.15
ADVANCED ENERGY INDUSTRIES INC	89,625.75
AFFILIATED MANAGERS GROUP INC	69,162.80
ALIGN TECHNOLOGY INC	184,185.08
ARISTA NETWORKS INC	136,542.47
AUTOLIV INC	118,807.50
B/E AEROSPACE INC	80,534.22
BANK OF THE OZARKS INC	92,032.50
BED BATH & BEYOND INC	112,654.08
BERRY PLASTICS GROUP INC	86,593.21
BOSTON BEER CO INC-CL A	76,772.20
BROADRIDGE FINANCIAL SOLUTIONS	127,561.20
BROADSOFT INC	91,368.75
BURLINGTON STORES	128,735.25
CARTER'S INC	95,806.51
CBRE GROUP INC	158,961.52
CENTENE CORP DEL	119,405.63
CHENIERE ENERGY INC	88,535.91
CINEMARK HLDGS INC	55,583.64
CSRA INC	105,135.68
DAVE & BUSTERS	111,192.50
DEXCOM INC	103,997.40
DOLBY LABORATORIES INC	91,645.32
DUNKIN BRANDS GROUP INC	127,953.60
EAGLE MATERIALS INC	85,326.98
EMCOR GROUP INC	99,134.76
ENTEGRIS INC	110,174.50
FIRST REPUBLIC BANK SAN	222,518.10
FOOT LOCKER INC	212,244.66
FORTINET INC	110,660.88
GARTNER INC	109,559.88
GLOBAL PAYMENTS INC	101,546.83
GUIOWIRE SOFTWARE INC	38,724.05
HAWAIIAN HOLDINGS INC	126,483.00
HD SUPPLY HOLDINGS INC	151,760.70
IAC INTERACTIVE CORP	105,413.33
IDEXX LABORATORIES CORP	141,544.89
INTERACTIVE BROKERS GROUP INC	55,312.65

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
JONIS PHARMACEUTICALS INC	113,500.59
MANHATTAN ASSOCIATES INC	69,522.33
MARKETAXESS HOLDINGS INC	85,213.60
MEDIDATA SOLUTIONS INC	98,197.59
MERCADOLIBRE INC	205,011.82
MICHAELS COMPANIES INC (THE)	109,939.20
MIDDLEBY CORP	145,555.30
MOMENTA PHARMACEUTICALS INC	63,751.80
NORDSON CORP	152,500.05
NORDSTROM INC	108,225.94
OLD DOMINION FREIGHT LINES INC	144,127.20
OPHTHOTECH CORPORATION	13,490.19
OWENS CORNING	61,356.40
POWER INTEGRATIONS INC	101,300.05
PRESTIGE BRANDS HLDGS INC	71,220.70
PROTO LABS INC	63,982.10
RADIUS HEALTH INC	47,461.44
RSP PERMIAN INC	91,783.34
SABRE CORPORATION	133,058.35
SPLUNK INC	109,307.55
SUPERIOR ENERGY SERVICES INC	61,173.12
SUPERNUS PHARMACEUTICALS	82,466.50
TABLEAU SOFTWARE INC	75,827.85
TERADATA CORP	71,239.74
TOTAL SYSTEM SERVICES INC	132,331.97
TRIMBLE INC	110,288.70
UNITED RENTALS INC	166,605.24
VEEVA SYSTEMS INC	65,771.20
WABTEC CORP	118,801.62
WILLIAMS SONOMA INC	116,813.46
WYNDHAM WORLDWIDE CORPORATION	116,158.77
XYLEM INC	92,107.20
Total Market Equities- Apex	7,392,454.99
International Equities - Apex	
CHINA LODGING GROUP LTD	75,790.08
CORE LABORATORIES NV	64,341.44
GENPACT LIMITED	66,740.28
HORIZON PHARMA PLC	48,362.02
ICON PLC	122,500.80
IMAX CORP	79,410.60
Total International Equities- Apex	457,145.22
TOTAL APEX	7,849,600.21

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
BRECKINRIDGE	
UNITED STATES TREASURY NOTE	10,012.40
GLAXOSMITHKLINE CAP PLC	200,296.00
CHESTER CNTY PA TAXABLE GO	249,872.50
UNITED STATES TREASURY NO	219,689.80
STEAMBOAT SPRINGS	250,872.50
UNITED STATES TREASURY NO	299,859.00
TEXAS TECH UNIV REVS REV	260,527.80
BANK OF NOVA SCOTIA	209,403.60
NEW JERSEY ST HSG & MTG F	249,605.00
UNITED STATES TREASURY NO	175,820.75
ROYAL BANK OF CANADA	226,503.00
BNP PARIBAS/BNP PARIBAS US	202,602.00
TORONTO DOMINION BK	167,529.45
UNITED STATES TREASURY NOTE	250,362.50
STARBUCKS CORP	227,247.75
UNILEVER CAP CORP	202,024.00
FEDERAL HOME LOAN BANK	250,755.00
UNITED STATES TREASURY NOTE	269,840.70
WESTPAC BANKING CORP	163,264.20
MARSH & MCLENNAN COS INC	150,843.00
CISCO SYSTEMS INC	173,092.50
UNITED STATES TREASURY NOTE	249,052.50
BANK NEW YORK INC	199,412.00
CONNECTICUT ST TAXABLE GO BDS	251,465.00
UNITEDHEALTH GROUP INC	177,633.75
CVS HEALTH CORP	147,118.45
INTEL CORP	202,820.00
VERIZON COMMUNICATIONS INC	187,269.25
MERCK & CO INC NEW	212,242.00
JACKSON ST UNIV EDL BLDG MS	251,900.00
ARIZONA BRD REGENTS ARIZ ST	255,456.45
UNITED STATES TREASURY NOTE	222,087.80
PORT MORROW ORE TRANSMISSION	270,644.50
HOME DEPOT INC	175,843.50
DEERE & COMPANY	199,028.00
SALT LAKE CITY UTAH G/O RFDG	198,814.00
AVALONBAYCMNTYS INC	159,497.60
ORACLE CORP	197,860.00
COMCAST CORP	174,545.00
APPLE INC	201,246.00
UNITED STATES TREASURY NOTE	194,796.00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
DALLAS TEX WTRWKS & SWR SYS	251,830.00
BRD OF REGENTS TEX ST UNIV	250,372.50
NOVARTIS CAP CORP	237,015.00
JOHNSON CONTROLS	152,118.00
CSX CORP	162,979.20
SAN LUIS OBISPO CNTY CALIF	261,079.00
UNITED STATES TREASURY NOTE	198,774.00
NEW YORK N Y CITY	298,116.00
UNITED STATES TREASURY NOTE	217,950.75
AT&T INC	151,885.50
UNITED	270,425.00
DISNEY	198,063.80
TOTAL BRECKINRIDGE	11,189,364.00

CARDINAL

Market Equities - Cardinal

ACI WORLDWIDE INC	194,386.50
AIR METHODS CORP NEW	147,943.25
BGC PARTNERS INC	318,971.40
BOINGO WIRELESS INC	52,417.00
CARDINALFINANCIALCORP	240,350.70
CBIZ INC	254,272.00
CHEMED CORPORATION	176,451.00
COLUMBIA BANKING SYSTEMS INC	392,290.40
COMMERCEHUB INC	44,279.50
COMMERCEHUB INC	78,156.00
COMPASS MINERALS INTL IN	51,711.00
CONVERGYS CORP	78,100.80
CTS CORP	207,916.80
DEL FRISCOS	159,290.00
GENESEE & WYOMING INC-CL A	286,663.30
HOWARD HUGHES CORP	316,057.00
IAC INTERACTIVECORP	309,048.30
J2 GLOBAL INC	227,404.00
KAMAN CORPORATION	263,732.70
KAR AUCTION SERVICES	365,253.40
LIGAND PHARMACEUTICALS INC	334,804.95
LITHIA MOTORS INC-CL A	157,832.90
MATCH GROUP INC	51,300.00
MB FINANCIAL INC	399,565.80
MGP INGREDIENTS INC	267,393.00
NATIONALCINEMEDIA INC	137,946.45

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
OXFORD INDUSTRIES INC	166,560.10
PACWEST BANCORP	468,728.40
PDF SOLUTIONS INC	308,258.50
SILGAN HOLDINGS INC	256,923.60
SLM CORPORATION	159,349.20
SOUTH STATE CORPORATION	154,785.40
TELEDYNE TECHNOLOGIES	229,395.00
TRIMAS CORPORATION	73,390.50
VALVOLINE INC	145,125.00
WORLD FUEL SERVICES CORP	100,542.90
Total Market Equities - Cardinal	7,576,596.75
International Equities - Cardinal	
SILICON MOTION TECHNOLOGY	149,529.60
Total International Equities - Cardinal	149,529.60
Other Assets- Cardinal	
COLONY STARWOOD HOMES	167,674.20
EPR PROPERTIES	64,593.00
GOLUB CAP BDC INC	107,765.40
HERCULES CAPITAL INC	53,335.80
HERSHA HOSPITALITY TRUST	107,607.50
MEDICAL PROPERTIES TRUST INC	233,700.00
NEW YORK REIT INC	93,104.00
PHYSICIANS REALTY TRUST	79,442.40
STARWOOD PROPERTY TRUST INC	112,603.50
Total Other Assets - Cardinal	1,019,825.80
TOTAL CARDINAL	8,745,952.15

JAG	
INTERNATIONAL LEASE FIN CORP	229,218.75
PITNEY BOWES INC	185,335.20
FEDERAL NATIONAL MTG ASSN	418,129.10
NISOURCE FIN CORP GTF NT	245,598.75
UNITED STATES TREASURY NOTE	627,442.20
WELLPOINT INC	204,585.90
THOMSON REUTERS CORP	191,115.00
FEDERAL FARM CREDIT BANK	419,972.25
FEDERAL NATIONAL MTG ASSN	190,505.25
CITY NATL CORP	203,459.30
VERIZON COMMUNICATIONS INC	165,867.05
FEDERAL FARM CREDIT BANK	223,148.30

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
LAZARD GROUP LLC	209,352.00
UNITED STATES TREASURY	417,301.16
MASCO CORP	205,512.50
JEFFERIES GROUP INC NEW	250,278.60
CVS CAREMARK CORP	164,008.60
CENTURYLINK INC	205,237.50
DISCOVER BK NEW CASTLE DEL	226,318.50
EXPRESS SCRIPTS	241,665.75
MACYS RETAIL HLDGS INC	168,997.95
MICRON TECHNOLOGY INC SR NT	177,225.00
KROGER CO	163,097.60
FEDERAL FARM CREDIT BANK	197,500.00
ERICSSON LM	200,710.00
LEVEL 3 FINANCING INC	206,500.00
INTELCORP	200,902.00
OWENS CORNING INC	207,708.00
BURLINGTON NORTHN SANTA FE LLC	167,003.10
AFLAC INC	165,628.80
ZURICH REINS CENTRE HLDGS INC	225,874.35
NASDAQ OMX GROUP INC	246,960.00
UNITED STATES TREASURY NOTE	410,472.58
RAYMONDJAMES FINL INC	204,796.20
TOTAL JAG	8,167,427.24

SAYBROOK

US Equities - Saybrook

ALPHABET INC	1,030,185.00
APPLE INC	764,412.00
AUTOMATIC DATA PROCESSING INC	462,510.00
BERKSHIRE HATHAWAY INC DEL	1,140,860.00
FACTSET RESEARCH SYSTEMS INC	653,720.00
HONEYWELL INTL INC	810,950.00
JOHNSON & JOHNSON	518,445.00
KRAFT HEINZ COMPANY (THE)	497,724.00
MASTERCARD INCORPORATED	516,250.00
MOHAWK INDUSTRIES INC	695,485.44
MONDELEZ INTERNATIONAL INC	354,640.00
NORFOLK SOUTHERN CORP	432,280.00
PAYPAL HOLDINGS INC	493,375.00
VISA INC	624,160.00
3M COMPANY	428,568.00
Total US Equities - Saybrook	9,423,564.44

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
International Equities - Saybrook	
DIAGEO PLC-SPONSORED	571,670.00
SCHLUMBERGER LTD	419,750.00
Total International Equities - Saybrook	991,420.00
TOTAL SAYBROOK	10,414,984.44

DANA

US Equities - Dana

ABBVIE INC	432,078.00
AFLAC INC	375,840.00
ALASKA AIR GROUP INC	408,158.00
ALIGN TECHNOLOGY INC	413,359.00
AMERIPRISE FINL INC	421,572.00
AMGEN INC	394,767.00
APPLE INC	428,534.00
AT&T INC	293,457.00
ATMOS ENERGY CORP	341,090.00
AVERY DENNISON CORP	365,144.00
AVISTA CORP	343,914.00
BROADRIDGE FINANCIAL SOLUTIONS	391,170.00
CARDINAL HEALTH INC	403,032.00
CDW CORPORATION	375,048.00
CINEMARK HLDGS INC	383,600.00
CISCO SYSTEMS INC	386,816.00
CITRIX SYSTEMS	375,102.00
D R HORTON INC	388,086.00
DELUXE CORP	415,338.00
DISCOVER FINANCIAL SERVICES	490,212.00
DR PEPPER SNAPPLE GROUP	389,881.00
EMCOR GROUP INC	452,864.00
EURONET WORLDWIDE INC	347,664.00
FACEBOOK INC	356,655.00
GENERAL MILLS INC	370,620.00
HASBRO INC	357,834.00
INGREDION INC	374,880.00
INTERPUBLIC GROUP OF COS INC	397,970.00
JOHNSON & JOHNSON	426,277.00
KIMBERLY CLARK CORP	370,890.00
LAM RESEARCH	380,628.00
LOWES COMPANIES INC	398,272.00

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
MICROSOFT CORP	391,482.00
NEWELL BRANDS INC	366,130.00
OWENS CORNING	360,920.00
PNC FINANCIALSVCS GROUP INC	491,232.00
QUEST DIAGNOSTICS INC	422,740.00
SMUCKERJ M COMPANY	384,180.00
STANLEY BLACK & DECKER INC	355,539.00
SUNTRUST BANKS INC	460,740.00
UNITEDHEALTH GROUP INC	432,108.00
VERIZON COMMUNICATIONS	282,914.00
Total US Equities - Dana	16,398,737.00
International Equities - Dana	
AMDOCS LIMITED	361,150.00
BROADCOM LIMITED	408,338.70
CHUBB LTD	422,784.00
Total International Equities - Dana	1,192,272.70
Other Assets - Dana	
PROLOGIS INC	316,740.00
Total Other Assets - Dana	316,740.00
TOTAL DANA	17,907,749.70

CAMBIAR

International Equities - Cambiar

ADECCO GROUP AG	420,158.25
AEGON NV	531,162.03
AERCAP HOLDINGS NV	406,238.43
AIRBUS GROUP	750,223.32
AMBEV 5 A	315,408.58
ASTELLAS PHARMA	384,929.76
AXA	721,625.45
BANCO BILBAO VIZ	466,243.13
CARLSBERG AS	336,917.33
CARREFOUR SA	477,193.99
CHINA MOBILE LIM	584,017.77
CK HUTCHISON HOL	445,067.55
DBS GROUP HOLDIN	397,330.76
DEUTSCHE POST AG	464,293.89
DNB ASA	462,423.90
EON SE	626,977.17

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
GEMALTO NV	350,667.15
HSBC HOLDINGS PL	750,889.43
INTESA SANPAOLO	701,770.28
JULIUS BAER GROU	498,108.86
KOMATSU LTD	458,587.90
KONJNKLIJKEPHILIPS N V	486,354.06
MAZDA MOTOR CORP	436,809.93
MITSUBISHI ESTATE CO LTD	397,284.10
MURATA MANUFACTURING CO LTD	454,333.48
ORANGE	394,942.04
PANASONIC CORPORATION	385,461.01
ROCHE HOLDING LTD	656,645.08
ROYAL DSM NV	335,697.00
ROYAL KPN NV	445,511.64
SECOM CO LTD-ADR	389,635.65
SEIKO EPSON CORP SLIWA	524,940.36
SMITH & NEPHEW P LC	449,846.40
SOCIETE GENERALE	374,284.12
SUNTORY BEVERAGE & FOOD LTD	488,044.04
VIVENDI SA	332,755.81
Total International Equities - Cambiar	17,102,779.65
TOTAL CAMBIAR	17,102,779.65

CCM	
MISSISSIPPI HOME CORP	14,968.65
SOUTH CAROLINA ST HSG FIN II,	181,672.20
WEST VIRGINIA ST HSG DEV FD	123,424.80
MISSISSIPPI ST GO B	90,026.10
SBA GTD POOL#S08890	2,105.76
KENTUCKY HSG CORP HSG REV HSG	170,452.20
SBA GTD POOL# 508901	22,220.48
WEST VIRGINIA ST HSG DEV FD	124,450.80
WEST VIRGINIA ST HSG DEV FD	246,927.50
FNMA GTD PASS THRU POOL#470863	238,542.87
NORTH CAROLINA HSG FIN AGY	291,167.40
FNMA GTD PASS THRU POOL#387158	223,462.02
MISSISSIPPI ST GO BDS 2011 C	110,246.85
FNMA GTD PASS THRU POOL#463I 95	130,269.94
FNMA GTD PASS THRU POOL#AM9732	379,150.44
SMALL BUSINESS ADMIN GTD	70,572.62
SMALL BUS ADMIN GTD DEV PTC	128,862.19
SMALL BUSINESS ADMINISTRATION	115,431.53
SBA GTD POOL#507529	121,599.10

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
FNMA GTD PASS THRU POOL#AN0582	260,021.78
U S DEPT HSG & URBAN DEV GOVT	151,758.00
KENTUCKY HSG CORP HSG REV	41,049.60
KENTUCKY HSG CORP HSG REV REV	343,801.50
VIRGINIA HOUSING DEVELOPMENT	133,451.86
MISSISSIPPI HOME CO	184,304.83
FEDL HOME LOAN MTG CORP	18,229.86
KENTUCKY HSG CORP HSG REV HSG	5,013.60
FEDL HOME LOAN MTG CORP	33,302.76
GNMA PASS THRU POOL 745073X	26,007.78
FNMA GTD PASS THRU POOL #890230	158,187.49
SOUTH CAROLINA ST HSG FIN	112,461.95
SOUTH CAROLINA ST HSG FIN	4,889.65
FEDL HOME LOAN MTG CORP	304,449.00
G N MA PASS THRU POOL 738398X	190,978.45
FNMA GTD PASS THRU POOL#AP2098	245,288.47
FNMA GTD PASS THRU POOL#AQOS12	173,818.02
FNMA GTD PASS THRU POOL#AT0343	157,755.61
FNMA GTD PASS THRU POOL#AV7157	231,265.92
FNMA GTD PASS THRU POOL#AZ227S	395,313.79
GOVERNMENT NATIONAL MTG ASSN	291,152.03
GOVERNMENT NATIONAL MTG ASSN	281,011.50
UNITED STATES TREASURY BONDS	88,867.00
UNITED STATES TREASURY BOND	46,245.10
GNMA REMIC TRUST 2013-126	437,205.00
G N MA PASS THRU POOL 591746X	169,746.58
GOVERNMENT NATIONAL MTG ASSN	335,518.26
GOVERNMENT NATIONAL MTG ASSN	274,935.76
GOVERNMENT NATIONAL MTG ASSN	290,351.77
TOTAL CCM	8,171,936.37

BROWN

US Equities - Brown

AO SMITH CORPORATION	553,190.05
ACUITY BRANDS INC	520,358.44
ADOBE SYSTEMS INC	729,606.65
AKAMAI TECHNOLOGIES INC	374,474.88
ALPHABET INC	213,022.32
ALPHABETINC	771,846.30
AMAZON.COM INC	921,590.23
BALL CORP	495,462.00
DANAHER CORPORATION	655,879.84

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
 FOR THE YEAR ENDED DECEMBER 31, 2016
 FORM 990-PF, PART II, LINE 10, INVESTMENTS

<u>DESCRIPTION</u>	<u>MARKET VALUE</u>
ECOLAB INC	540,970.30
FACEBOOK INC	866,326.50
FORTIVE CORPORATION	446,147.97
HEALTHCARE SERVICES	483,201.12
HEXCEL CORP	539,759.92
IDEXX LABORATORIES CORP	461,926.53
INTUIT INC	643,649.76
JB HUNT TRANSPORT SERVICES	524,857.49
METTLER-TOLEDO INTERNATIONAL	509,387.52
MICROSOFT CORP	801,792.42
MIDDLEBY CORP	516,141.67
NIKE INC	254,048.34
RED HAT INC	525,677.40
SALESFORCE .COM INC	294,788.76
STARBUCKS CORP	544,595.68
THERMO FISHER SCIENTIFIC INC	728,217.10
TJX COMPANIES INC NEW	457,090.92
TREEHOUSE	335,827.88
TYLER TECHNOLOGIES	268,978.68
UNITEDHEALTH GROUP INC	799,239.76
VERISK ANALYTICS INC	582,800.60
VISA INC	878,037.08
WABTEC CORP	507,833.34
Total US Equities - Brown	<u>17,746,727.45</u>
International Equities - Brown	
NXP SEMICONDUCTORS N V	448,885.80
Total International Equities - Brown	<u>448,885.80</u>
Other Assets - Brown	
AMERICAN TOWER CORPORATION	730,882.88
Total Other Assets - Brown	<u>730,882.88</u>
TOTAL BROWN	<u><u>18,926,496.13</u></u>

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016

FOUNDATION TRUSTEES HEREBY ELECT UNDER TREASURY REGULATION SECTION
53.4942(A)-3(D)(2) TO TREAT \$1,790,133 OF GRANTS MADE IN 2016 AS A
DISTRIBUTION FROM CORPUS.

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Advisor										
Short Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	02/01/16	6170 300 04/16/15		26 44	163142 73	24 31	150,000 00	(13,142 73)
Short Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	02/25/16	16597 510 04/16/15		26 44	438838 16	24 10	400,000 00	(38,838 16)
Short Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	03/16/16	12278 978 04/16/15		26 44	324656 18	25 45	312,500 00	(12,156 18)
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	07/08/16	25151 286 04/16/15		26 44	665000 00	26 44	665,000 00	-
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	08/03/16	2016 129 04/16/15		26 44	53306 45	27 28	55,000 00	1,693 55
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	09/07/16	5349 501 04/16/15		26 44	141440 81	28 04	150,000 00	8,559 19
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	09/16/16	112524 558 04/16/15		26 44	2975149 31	26 67	3,001,029 96	25,880 65
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	09/16/16	3578 614 07/02/15		26 44	94618 52	26 67	95,441 64	823 12
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	09/16/16	2001 737 12/14/15		26 36	52765 78	26 67	53,386 33	620 54
Long Term	Cohen & Steers Instl GlobalRlty ShsSymbol Grsx	GRSX	09/16/16	2203 943 07/05/16		26 38	58140 02	26 67	58,779 16	639 14
							4,967,057 96		4,941,137 09	(25,920 88)
Apex										
Short Term	Alkorn IncSymbol Alkx	ALKX	11/30/16	591 000 04/13/16		25 48	15056 90	21 22	12,542 52	(2,514 38)
Short Term	Anag Pharmaceuticals IncSymbol Anag	ANAG	05/12/16	748 000 05/19/15		66 76	49934 98	18 38	13,747 94	(36,187 04)
Short Term	Anacor Pharmaceuticals IncCusip 032420101	032420101	06/29/16	406 000 12/14/15		117 16	47566 96	99 25	40,295 50	(7,271 46)
Short Term	Anacor Pharmaceuticals IncCusip 032420101	032420101	06/29/16	243 000 05/06/16		58 25	14154 74	99 25	24,117 75	9,963 01
Short Term	Avis Budget Group IncSymbol Car	CAR	01/14/16	1672 000 03/06/15		60 88	101791 36	26 51	44,319 22	(57,472 14)
Short Term	Avis Budget Group IncSymbol Car	CAR	01/14/16	353 000 03/13/15		59 16	20884 96	26 51	9,356 87	(11,528 09)
Short Term	Bitauto Holdings LimitedSponsored AdSymbol Bita	BITA	01/14/16	1047 000 02/02/15		58 36	61099 38	22 94	24,016 90	(37,082 48)
Short Term	Bitauto Holdings LimitedSponsored AdSymbol Bita	BITA	01/14/16	260 000 02/18/15		64 07	16658 95	22 94	5,964 08	(10,694 87)
Short Term	Bitauto Holdings LimitedSponsored AdSymbol Bita	BITA	01/14/16	15 000 02/19/15		65 04	975 60	22 94	344 08	(631 52)
Short Term	Bitauto Holdings LimitedSponsored AdSymbol Bita	BITA	01/14/16	283 000 03/13/15		49 09	13892 46	22 94	6,491 67	(7,400 79)
Short Term	Global Payments IncSymbol Gpn	GPN	03/11/16	662 000 03/13/15		44 58	29513 78	60 23	39,872 37	10,358 59
Short Term	Jazz Pharmaceuticals PlcUs ListedSymbol Jazz	JAZZ	11/30/16	71 000 04/13/16		146 53	10403 50	104 05	7,387 67	(3,015 83)
Short Term	Norwegian Cruise Line HldgsLtd ShsSymbol Nchl	NCLH	11/03/16	2468 000 02/22/16		44 06	108739 83	37 11	91,581 78	(17,158 05)
Short Term	Norwegian Cruise Line HldgsLtd ShsSymbol Nchl	NCLH	11/03/16	980 000 08/26/16		36 19	35463 55	37 11	36,365 54	901 99
Short Term	Stechers Usa IncCl ASymbol Skx	SKX	04/13/16	964 000 05/19/15		35 21	33945 33	27 88	26,877 66	(7,067 67)
Short Term	Stechers Usa IncCl ASymbol Skx	SKX	10/19/16	1309 000 11/13/15		25 07	32811 26	23 02	30,134 49	(2,676 77)
Short Term	Whitewave Foods Company (The)Com Cl ASymbol Wwav	WWAV	08/24/16	1846 000 04/04/16		39 85	73555 72	55 39	102,249 26	28,693 54
Long Term	Agros Pharmaceuticals IncSymbol Agio	AGIO	08/26/16	363 000 03/06/15		106 83	38780 02	38 57	14,002 71	(24,777 31)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Agios Pharmaceuticals IncSymbol Ago	AGIO	08/28/16	75 000	03/13/15	106 87	8015 24	38 57	2,893 12	(5,122 12)
Long Term	Akorn IncSymbol Akrx	AKRX	11/30/16	2205 000	02/02/15	42 87	94532 94	21 22	46,795 69	(47,737 24)
Long Term	Akorn IncSymbol Akrx	AKRX	11/30/16	600 000	02/18/15	47 32	28391 82	21 22	12,733 52	(15,658 30)
Long Term	Akorn IncSymbol Akrx	AKRX	11/30/16	43 000	02/19/15	47 96	2062 28	21 22	912 57	(1,149 71)
Long Term	Akorn IncSymbol Akrx	AKRX	11/30/16	696 000	03/13/15	43 10	29994 82	21 22	14,770 89	(15,223 93)
Long Term	Autoliv IncSymbol Alv	ALV	04/25/16	540 000	02/02/15	107 60	58102 79	112 71	60,861 04	2,758 25
Long Term	Burlington Stores IncSymbol Burl	BURL	08/26/16	808 000	03/13/15	58 16	46991 26	81 73	66,034 00	19,042 74
Long Term	Ciena CorporationSymbol Cien	CEN	04/20/16	2937 000	02/02/15	18 33	53848 50	17 05	50,065 65	(3,782 84)
Long Term	Ciena CorporationSymbol Cien	CEN	04/20/16	806 000	02/18/15	20 26	16329 26	17 05	13,739 50	(2,589 76)
Long Term	Ciena CorporationSymbol Cien	CEN	04/20/16	46 000	02/19/15	20 18	928 28	17 05	784 14	(144 14)
Long Term	Ciena CorporationSymbol Cien	CEN	04/20/16	920 000	03/13/15	20 44	18804 34	17 05	15,682 81	(3,121 53)
Long Term	Dillards Inc-Cl ASymbol Dds	DDS	04/25/16	505 000	02/02/15	113 80	57466 53	70 87	35,790 13	(21,676 40)
Long Term	Dillards Inc-Cl ASymbol Dds	DDS	04/25/16	121 000	02/18/15	121 56	14709 32	70 87	8,575 46	(6,133 86)
Long Term	Dillards Inc-Cl ASymbol Dds	DDS	04/25/16	11 000	02/19/15	121 52	1336 72	70 87	779 59	(557 13)
Long Term	Dillards Inc-Cl ASymbol Dds	DDS	04/25/16	141 000	03/13/15	131 67	18565 77	70 87	9,992 89	(8,572 88)
Long Term	Enanta Pharmaceuticals IncComSymbol Enta	ENTA	05/17/16	750 000	02/02/15	43 11	32335 68	23 88	17,907 51	(14,428 18)
Long Term	Enanta Pharmaceuticals IncComSymbol Enta	ENTA	05/17/16	230 000	02/18/15	33 59	7725 17	23 88	5,491 64	(2,233 53)
Long Term	Enanta Pharmaceuticals IncComSymbol Enta	ENTA	05/17/16	1539 000	03/13/15	34 50	53091 65	23 88	36,746 21	(16,345 44)
Long Term	F5 Networks IncSymbol Ffiv	FFIV	10/27/16	765 000	02/02/15	112 36	85955 31	130 86	100,110 12	14,154 81
Long Term	F5 Networks IncSymbol Ffiv	FFIV	10/27/16	205 000	02/18/15	117 65	24119 16	130 86	26,826 90	2,707 74
Long Term	F5 Networks IncSymbol Ffiv	FFIV	10/27/16	20 000	02/19/15	118 31	2366 20	130 86	2,617 26	251 06
Long Term	F5 Networks IncSymbol Ffiv	FFIV	10/27/16	238 000	03/13/15	111 80	26607 81	130 86	31,145 37	4,537 56
Long Term	Fireeye IncComSymbol Feye	FEYE	04/13/16	1585 000	03/06/15	43 85	69503 84	18 12	28,721 17	(40,782 67)
Long Term	Fireeye IncComSymbol Feye	FEYE	04/13/16	367 000	03/13/15	42 21	15490 67	18 12	6,650 26	(8,840 41)
Long Term	Global Payments IncSymbol Gpn	GPN	03/11/16	2067 000	02/02/15	44 11	91170 81	60 23	124,495 74	33,324 94
Long Term	Global Payments IncSymbol Gpn	GPN	03/11/16	570 000	02/18/15	45 95	26192 65	60 23	34,331 19	8,138 54
Long Term	Global Payments IncSymbol Gpn	GPN	03/11/16	34 000	02/19/15	46 09	1566 89	60 23	2,047 83	480 94
Long Term	Global Payments IncSymbol Gpn	GPN	04/27/16	0 784	02/02/15	73 67	57 78	73 81	57 89	0 11
Long Term	Hain Celestial Group IncSymbol Hain	HAIN	09/16/16	1182 000	02/02/15	52 71	62298 73	35 85	42,378 92	(19,919 81)
Long Term	Hain Celestial Group IncSymbol Hain	HAIN	09/16/16	314 000	02/18/15	60 52	19002 28	35 85	11,258 02	(7,744 26)
Long Term	Hain Celestial Group IncSymbol Hain	HAIN	09/16/16	22 000	02/19/15	61 73	1358 06	35 85	788 78	(569 28)
Long Term	Hain Celestial Group IncSymbol Hain	HAIN	09/16/16	383 000	03/13/15	62 10	23785 07	35 85	13,731 92	(10,053 15)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Hd Supply Holdings IncComSymbol Hds	HDS	09/08/16	1534 000 02/02/15		29 01	44497 81	31 67	48,583 36	4,085 55
Long Term	Heartland Pmt Sys IncCusip 42235n108	42235N108	04/25/16	1348 000 02/02/15		49 71	67009 11	102 12	137,654 21	71,214 52
Long Term	Heartland Pmt Sys IncCusip 42235n108	42235N108	04/25/16	382 000 02/18/15		47 74	18236 56	102 12	39,008 83	20,352 96
Long Term	Heartland Pmt Sys IncCusip 42235n108	42235N108	04/25/16	16 000 02/19/15		48 49	775 84	102 12	1,633 88	852 48
Long Term	Heartland Pmt Sys IncCusip 42235n108	42235N108	04/25/16	443 000 03/13/15		48 51	21490 40	102 12	45,237 99	23,603 04
Long Term	Iac InteractivcorpComSymbol Iac	IAC	04/12/16	1036 000 02/02/15		62 45	64703 11	46 79	48,477 47	(16,225 64)
Long Term	Infinera CorpSymbol Inf	INFN	08/30/16	6554 000 03/13/15		18 35	120278 35	8 62	56,500 79	(63,777 56)
Long Term	Jazz Pharmaceuticals PlCUs ListedSymbol Jazz	JAZZ	11/30/16	472 000 02/02/15		169 00	79767 78	104 05	49,112 42	(30,655 36)
Long Term	Jazz Pharmaceuticals PlCUs ListedSymbol Jazz	JAZZ	11/30/16	118 000 02/18/15		171 73	20264 13	104 05	12,278 10	(7,986 03)
Long Term	Jazz Pharmaceuticals PlCUs ListedSymbol Jazz	JAZZ	11/30/16	10 000 02/19/15		170 82	1708 20	104 05	1,040 52	(667 68)
Long Term	Jazz Pharmaceuticals PlCUs ListedSymbol Jazz	JAZZ	11/30/16	143 000 03/13/15		174 28	24922 03	104 05	14,879 40	(10,042 63)
Long Term	Kapstone Paper And PackagingCorporationSymbol Ks	KS	04/05/16	2376 000 02/02/15		30 31	72021 55	13 05	31,010 48	(41,011 08)
Long Term	Kapstone Paper And PackagingCorporationSymbol Ks	KS	04/05/16	644 000 02/18/15		33 01	21255 48	13 05	8,405 20	(12,850 28)
Long Term	Kapstone Paper And PackagingCorporationSymbol Ks	KS	04/05/16	53 000 02/19/15		33 52	1776 55	13 05	691 73	(1,084 82)
Long Term	Kapstone Paper And PackagingCorporationSymbol Ks	KS	04/05/16	735 000 03/13/15		32 23	23688 10	13 05	9,592 89	(14,095 21)
Long Term	Medivation IncCusip 58501n101	58501N101	10/03/16	1821 000 02/02/15		53 85	98056 11	81 50	148,411 50	50,355 39
Long Term	Medivation IncCusip 58501n101	58501N101	10/03/16	490 000 02/18/15		53 02	25977 41	81 50	39,935 00	13,957 59
Long Term	Medivation IncCusip 58501n101	58501N101	10/03/16	30 000 02/19/15		53 01	1590 15	81 50	2,445 00	854 85
Long Term	Medivation IncCusip 58501n101	58501N101	10/03/16	582 000 03/13/15		64 34	37445 85	81 50	47,433 00	9,987 15
Long Term	Old Dominion Freight Lines IncSymbol Odfl	ODFL	04/12/16	583 000 02/02/15		71 00	41392 91	68 50	39,937 92	(1,454 99)
Long Term	Robert Half International IncSymbol Rhi	RHI	04/20/16	1156 000 02/02/15		58 43	67540 92	45 34	52,418 60	(15,122 32)
Long Term	Robert Half International IncSymbol Rhi	RHI	04/20/16	625 000 02/18/15		61 33	38330 31	45 34	28,340 51	(9,989 80)
Long Term	Robert Half International IncSymbol Rhi	RHI	04/20/16	47 000 02/19/15		61 19	2875 93	45 34	2,131 21	(744 72)
Long Term	Robert Half International IncSymbol Rhi	RHI	04/20/16	721 000 03/13/15		60 23	43425 04	45 34	32,693 61	(10,731 43)
Long Term	Signet Jewelers LimitedSymbol Sig	SIG	09/16/16	466 000 02/02/15		120 27	56044 34	78 52	36,588 75	(19,455 59)
Long Term	Signet Jewelers LimitedSymbol Sig	SIG	09/16/16	309 000 02/18/15		119 59	36953 56	78 52	24,261 64	(12,691 92)
Long Term	Signet Jewelers LimitedSymbol Sig	SIG	09/16/16	22 000 02/19/15		119 77	2634 94	78 52	1,727 37	(907 57)
Long Term	Signet Jewelers LimitedSymbol Sig	SIG	09/16/16	186 000 03/13/15		122 88	22854 95	78 52	14,604 09	(8,250 86)
Long Term	Silgan Holdings IncSymbol Silgn	SLGN	10/31/16	1251 000 02/02/15		51 62	64571 27	51 16	63,995 12	(576 14)
Long Term	Silgan Holdings IncSymbol Silgn	SLGN	10/31/16	349 000 02/18/15		57 42	20037 97	51 16	17,853 16	(2,184 81)
Long Term	Silgan Holdings IncSymbol Silgn	SLGN	10/31/16	21 000 02/19/15		56 82	1193 22	51 16	1,074 26	(118 96)
Long Term	Silgan Holdings IncSymbol Silgn	SLGN	10/31/16	391 000 03/13/15		56 67	22157 97	51 16	20,001 67	(2,156 30)

MARY REYNOLDS BABCOCK FOUNDATION, INC 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Skechers Usa IncCl ASymbol Skx	SKX	10/19/16	1910 000	05/19/15	35 21	67256 83	23 02	43,970 10	(23,286 72)
Long Term	Tandem Diabetes Care IncComSymbol Tndm	TNDM	06/15/16	907 000	02/02/15	12 02	10897 88	7 33	6,649 07	(4,248 80)
Long Term	Tandem Diabetes Care IncComSymbol Tndm	TNDM	06/15/16	255 000	02/18/15	13 34	3401 67	7 33	1,869 36	(1,532 31)
Long Term	Tandem Diabetes Care IncComSymbol Tndm	TNDM	06/15/16	40 000	02/19/15	13 60	543 92	7 33	293 23	(250 69)
Long Term	Tandem Diabetes Care IncComSymbol Tndm	TNDM	06/15/16	284 000	03/13/15	13 34	3787 76	7 33	2,081 96	(1,705 80)
Long Term	Total System Services IncSymbol Tss	TSS	04/12/16	1987 000	02/02/15	35 59	70722 40	49 27	97,900 93	27,178 53
Long Term	United Therapeutics Corp DelSymbol Uthr	UTHR	09/16/16	286 000	02/02/15	142 31	40700 63	123 41	35,295 92	(5,404 71)
Long Term	United Therapeutics Corp DelSymbol Uthr	UTHR	09/16/16	80 000	02/18/15	154 72	12377 60	123 41	9,872 98	(2,504 62)
Long Term	United Therapeutics Corp DelSymbol Uthr	UTHR	09/16/16	5 000	02/19/15	155 44	777 20	123 41	617 06	(160 14)
Long Term	United Therapeutics Corp DelSymbol Uthr	UTHR	09/16/16	88 000	03/13/15	164 17	14446 96	123 41	10,860 28	(3,586 68)
Long Term	Universal Health Services IncCl BSymbol Uhs	UHS	04/05/16	205 000	02/02/15	103 01	21116 82	122 70	25,152 95	4,036 13
Long Term	Universal Health Services IncCl BSymbol Uhs	UHS	04/05/16	307 000	02/18/15	106 66	32745 82	122 70	37,668 08	4,922 26
Long Term	Universal Health Services IncCl BSymbol Uhs	UHS	04/05/16	23 000	02/19/15	107 11	2463 53	122 70	2,822 04	358 51
Long Term	Universal Health Services IncCl BSymbol Uhs	UHS	04/05/16	352 000	03/13/15	113 94	40108 22	122 70	43,189 46	3,081 24
Long Term	Verint Systems IncSymbol Vrint	VRNT	04/20/16	869 000	02/02/15	53 80	46749 05	34 85	30,286 59	(16,462 46)
Long Term	Verint Systems IncSymbol Vrint	VRNT	04/20/16	246 000	02/18/15	57 47	14137 60	34 85	8,573 65	(5,563 95)
Long Term	Verint Systems IncSymbol Vrint	VRNT	04/20/16	25 000	02/19/15	57 85	1446 25	34 85	871 31	(574 94)
Long Term	Verint Systems IncSymbol Vrint	VRNT	04/20/16	271 000	03/13/15	59 06	16005 14	34 85	9,444 96	(6,560 19)
Long Term	Wabtec CorpSymbol Wab	WAB	04/13/16	671 000	02/02/15	83 91	56300 26	80 68	54,136 25	(2,164 01)
Long Term	Willis Towers Watson PublicLimited Company ShsSymbol Wltw	WLTW	04/25/16	712 000	02/02/15	118 11	84096 17	122 76	87,404 37	3,308 20
Long Term	Willis Towers Watson PublicLimited Company ShsSymbol Wltw	WLTW	04/25/16	201 000	02/18/15	132 80	26692 80	122 76	24,674 55	(2,018 25)
Long Term	Willis Towers Watson PublicLimited Company ShsSymbol Wltw	WLTW	04/25/16	13 000	02/19/15	131 17	1705 21	122 76	1,595 87	(109 34)
Long Term	Willis Towers Watson PublicLimited Company ShsSymbol Wltw	WLTW	04/25/16	229 000	03/13/15	130 03	29775 91	122 76	28,111 80	(1,664 11)
Long Term	Yy IncAds Repstg Com Cl ASymbol Yy	YY	08/24/16	947 000	02/02/15	70 67	66927 98	49 94	47,293 38	(19,634 60)
Long Term	Yy IncAds Repstg Com Cl ASymbol Yy	YY	08/24/16	258 000	02/18/15	62 92	16233 18	49 94	12,884 57	(3,348 61)
Long Term	Yy IncAds Repstg Com Cl ASymbol Yy	YY	08/24/16	21 000	02/19/15	61 31	1287 51	49 94	1,048 74	(238 77)
Long Term	Yy IncAds Repstg Com Cl ASymbol Yy	YY	08/24/16	301 000	03/13/15	51 94	15632 92	49 94	15,032 00	(600 92)
Less noncash proceeds							3,561,751 98		3,072,946 88	(488,805 07)
							(106,904 99)	April	(106,904 99)	
Adjusted proceeds and loss							3,454,846 99		2,966,041 89	(488,805 07)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2018
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Breckinridge										
Long Term	United States Treasury NoteWt/NrOriginal Cost 252,002 50Premium #912828RF9		02/16/16	250000 000 02/06/15		100 27	250684 72	100 29	250,732 40	47 68
Short Term	Praxair IncWt/NrOriginal Cost 200,476Premium Amortized 475 49	74005PBE3	02/22/16	200000 000 02/23/15		100 00	200000 51	100 00	200,000 00	(0 51)
Long Term	Cisco Sys IncSr NtWt/NrOriginal Cost 210,242Premium Amortized 10,241275BAC6		02/22/16	200000 000 02/06/15		100 00	200000 00	100 00	200,000 00	-
Long Term	Sanofi AventisSr UnsecuredWt/NrOriginal Cost 204,306Premium Amort 80105NAD7		03/29/16	200000 000 03/10/15		100 00	200000 00	100 00	200,000 00	-
Long Term	Medtronic IncContra CusipNr/NrOriginal Cost 194,785 50Discount Acnr 58599AJT0		04/15/16	195000 000 02/13/15		99 89	194785 50	104 47	203,706 75	8,921 25
Long Term	Toronto Dominion BankWt/NrOriginal Cost 204,778Premium Amortized 89114QA84		07/14/16	200000 000 02/23/15		100 00	200000 00	100 00	200,000 00	-
Long Term	American Express Credit CorpWt/NrOriginal Cost 201,030Premium Amc 0258M0DG1		07/29/16	200000 000 02/12/15		100 00	200000 26	100 00	200,000 00	(0 27)
Short Term	Caterpillar Financial SeSr NtsWt/NrOriginal Cost 165,823 35Premium A 14912L4X6		08/01/16	165000 000 03/08/16		100 00	165000 00	100 00	165,000 00	-
Long Term	United States Treasury NoteAaa/NrOriginal Cost 151,066 50Premium 912828SM3		09/06/16	150000 000 02/24/15		100 19	150287 43	100 26	150,393 00	105 56
Long Term	United States Treasury NoteAaa/NrOriginal Cost 100,711Premium Am 912828SM3		09/13/16	100000 000 02/24/15		100 19	100185 06	100 23	100,230 46	45 39
Long Term	United States Treasury NoteAaa/NrOriginal Cost 75,594 75Premium A 912828SM3		09/13/16	75000 000 03/24/15		100 21	75160 31	100 23	75,172 85	12 54
Long Term	Wells Fargo & CoA2/OOriginal Cost 205,706Premium Amortized 863 38 949748GA2		09/29/16	200000 000 02/12/15		102 42	204842 62	104 70	209,402 00	4,559 38
Long Term	United States Treasury NoteAaa/NrOriginal Cost 250,185Premium Am 912828TB6		11/29/16	250000 000 03/23/15		100 02	250047 80	100 05	250,117 50	69 69
Long Term	Hamilton Cnty Ohio Swr SysRev Ref Bds 2013bWt/NrOriginal Cost 226,7407288YE3		12/01/16	225000 000 02/19/15		100 00	225000 00	100 00	225,000 00	-
Long Term	Federal Home Loan BanksOriginal Cost 264,264Premium Amortized 4,2 313371PV2		12/09/16	260000 000 02/11/15		100 00	260000 00	100 00	260,000 00	-
Long Term	Virginia Port Auth Port FacSeaports/Marine TerminalsWt/ACusip 928079HQ2		12/19/16	250000 000 03/05/15		100 00	250000 00	109 57	273,927 09	23,927 09
Long Term	Johnson Cts IncContra CusipNr/NrCusip 47899ADH2		12/28/16	150000 000 02/27/15		103 86	155791 50	101 03	151,551 60	(4,239 90)
	Less Non cash proceeds				Johnson Controls		3,281,785 71		3,315,233 65	33,447 90
	(tender and exchange)						(151,401 60)		(151,401 60)	-
							3,130,384 11		3,163,832 05	33,447 90
Brown										
Short Term	Acuity Brands IncSymbol Ayl	AYI	07/14/16	305 000 06/27/16		233 56	71237 26	264 87	80,785 20	9,547 94
Short Term	Alexion Pharmaceuticals IncSymbol Alxn	ALXN	11/09/16	1129 000 06/27/16		111 42	125794 76	128 51	145,091 39	19,296 63
Short Term	Alexion Pharmaceuticals IncSymbol Alxn	ALXN	11/10/16	918 000 06/27/16		111 42	102284 84	127 43	116,980 02	14,695 18
Short Term	Alexion Pharmaceuticals IncSymbol Alxn	ALXN	12/15/16	323 000 06/29/16		114 72	37054 92	115 57	37,330 62	275 70
Short Term	Alexion Pharmaceuticals IncSymbol Alxn	ALXN	12/15/16	549 000 06/27/16		111 42	61170 35	115 57	63,450 50	2,280 15
Short Term	Alexion Pharmaceuticals IncSymbol Alxn	ALXN	12/15/16	681 000 10/07/16		124 12	84523 00	115 57	78,706 36	(5,816 64)
Short Term	Amazon Com IncSymbol Amzn	AMZN	09/29/16	73 000 06/27/16		690 84	50431 29	831 26	60,682 04	10,250 75
Short Term	Apple IncSymbol Aapl	AAPL	06/27/16	2499 000 08/04/15		115 47	288568 03	91 53	228,733 95	(59,834 08)

MARY REYNOLDS BARCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Apple IncSymbol Aapl	AAPL	06/27/16	3156 000	11/12/15	115 95	365929 05	91 53	288,869 29	(77,059 76)
Short Term	Apple IncSymbol Aapl	AAPL	06/27/16	6000 000	02/01/16	96 14	576825 60	91 53	549,181 16	(27,644 44)
Short Term	Arm Holdings PlcSponsored AdrCusip 042068106	042068106	07/29/16	1576 000	06/27/16	40 70	64141 78	66 43	104,695 48	40,553 70
Short Term	Arm Holdings PlcSponsored AdrCusip 042068106	042068106	08/08/16	1514 000	06/27/16	40 70	61618 44	65 84	99,682 00	38,063 56
Short Term	Arm Holdings PlcSponsored AdrCusip 042068106	042068106	08/18/16	702 000	06/27/16	40 70	28570 77	66 58	46,739 05	18,168 28
Short Term	Arm Holdings PlcSponsored AdrCusip 042068106	042068106	09/03/16	762 000	06/27/16	40 70	31012 71	67 40	51,358 67	20,345 96
Short Term	Arm Holdings PlcSponsored AdrCusip 042068106	042068106	09/22/16	741 000	06/27/16	40 70	30158 03	66 65	49,389 06	19,231 03
Short Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	06/27/16	198 000	09/02/15	131 64	26063 93	137 84	27,292 57	1,228 64
Short Term	Bristol Myers Squibb CoSymbol Bmy	BMYY	08/10/16	2722 000	06/27/16	70 45	191777 22	60 68	165,170 07	(26,607 15)
Short Term	Bristol Myers Squibb CoSymbol Bmy	BMYY	08/12/16	5476 000	06/27/16	70 45	385808 98	60 72	332,500 95	(53,308 03)
Short Term	Canadian National RailwayCoSymbol Cni	CNI	11/02/16	993 000	10/07/16	66 58	66110 96	62 30	61,865 50	(4,245 46)
Short Term	Canadian National RailwayCoSymbol Cni	CNI	11/02/16	3813 000	06/27/16	56 46	215276 26	62 30	237,556 04	22,279 78
Short Term	Cerner CorpSymbol Cern	CERN	11/02/16	2262 000	06/27/16	53 56	121158 00	53 66	121,380 82	222 82
Short Term	Cerner CorpSymbol Cern	CERN	11/11/16	1545 000	06/27/16	53 56	82753 81	48 39	74,761 07	(7,992 74)
Short Term	Cerner CorpSymbol Cern	CERN	11/17/16	1827 000	06/27/16	53 56	97858 38	49 53	90,491 00	(7,367 38)
Short Term	Cerner CorpSymbol Cern	CERN	11/21/16	1633 000	10/07/16	61 04	99676 70	50 50	82,459 06	(17,217 64)
Short Term	Cerner CorpSymbol Cern	CERN	11/21/16	1880 000	06/27/16	53 56	100697 19	50 50	94,931 44	(5,765 75)
Short Term	Charles Schwab Corp NewsSymbol Schw	SCHW	06/27/16	20780 000	01/15/16	26 84	557745 59	24 28	504,522 70	(53,222 89)
Short Term	Danaher CorporationSymbol Dhr	DHR	11/10/16	292 000	06/27/16	73 92	21584 91	80 78	23,587 68	2,002 77
Short Term	Idexx Laboratories CorpSymbol Idxx	IDXX	07/18/16	921 000	06/27/16	86 55	79708 05	93 74	86,337 99	6,629 94
Short Term	Idexx Laboratories CorpSymbol Idxx	IDXX	09/06/16	549 000	06/27/16	86 55	47513 26	114 15	62,669 67	15,156 41
Short Term	Idexx Laboratories CorpSymbol Idxx	IDXX	10/06/16	911 000	06/27/16	86 55	78842 59	113 09	103,027 73	24,185 14
Short Term	Kraft Heinz Company (The)Common StockSymbol Khc	KHC	06/27/16	2440 000	09/10/15	72 51	176920 50	83 50	203,735 84	26,815 34
Short Term	Kraft Heinz Company (The)Common StockSymbol Khc	KHC	06/27/16	3370 000	03/11/16	77 04	259614 69	83 50	281,389 26	21,774 57
Short Term	Kraft Heinz Company (The)Common StockSymbol Khc	KHC	06/27/16	7000 000	11/12/15	70 91	496389 60	83 50	584,488 08	88,098 48
Short Term	Mead Johnson NutritionCompany Common StockSymbol Mjn	MJN	06/27/16	5250 000	08/21/15	79 23	415979 55	84 30	442,595 01	26,615 46
Short Term	Nike IncClass B ComSymbol Nke	NKE	11/02/16	2071 000	06/27/16	50 46	104499 33	49 82	103,176 84	(1,322 49)
Short Term	Nike IncClass B ComSymbol Nke	NKE	12/15/16	913 000	06/27/16	51 72	47217 83	51 25	46,790 31	(427 52)
Short Term	Nxp Semiconductors N VSymbol Nxpi	NXPI	06/29/16	664 000	06/27/16	75 40	50063 47	78 23	51,942 92	1,879 45
Short Term	Paypal Holdings IncComSymbol Pyp	PYPL	06/27/16	15545 000	09/09/15	34 40	534797 74	34 07	529,645 82	(5,151 92)
Short Term	Priceline Group Inc (The)Symbol Pcln	PCLN	06/27/16	18 000	09/02/15	1233 37	22200 59	1171 98	21,095 59	(1,105 00)
Short Term	Priceline Group Inc (The)Symbol Pcln	PCLN	06/27/16	287 000	01/19/16	1099 94	315682 18	1171 98	336,357 53	20,675 35

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Ross Stores IncSymbol Rost	ROST	06/27/16	7245 000	06/17/16	54 21	392775 14	54 38	393 981 03	1 205 89
Short Term	Salesforce Com IncSymbol Crm	CRM	10/06/16	1685 000	06/27/16	73 87	124469 22	71 39	120 291 21	(4 178 01)
Short Term	Stericycle IncSymbol Srl	SRCL	06/27/16	4320 000	03/07/16	114 78	484371 90	98 56	415 941 83	(68 430 07)
Short Term	Stericycle IncSymbol Srl	SRCL	08/01/16	2180 000	03/07/16	114 78	250220 55	89 14	194 332 95	(55 887 60)
Short Term	Stericycle IncSymbol Srl	SRCL	08/08/16	1540 000	03/07/16	114 78	176761 31	89 45	137 745 59	(39 015 72)
Short Term	Whitewave Foods Company (The)Com Cl ASymbol Wwav	WWAV	07/21/16	1842 000	06/27/16	43 17	79520 71	55 51	102 247 37	22 726 66
Short Term	Whitewave Foods Company (The)Com Cl ASymbol Wwav	WWAV	08/04/16	1431 000	06/27/16	43 17	61777 49	55 67	79 667 90	17 890 41
Short Term	Whitewave Foods Company (The)Com Cl ASymbol Wwav	WWAV	08/08/16	2053 000	06/27/16	43 17	88629 76	55 60	114 144 31	25 514 55
Short Term	Whitewave Foods Company (The)Com Cl ASymbol Wwav	WWAV	09/06/16	2901 000	06/27/16	43 17	125238 65	55 65	161 437 40	36 198 75
Long Term	Alphabet IncClass A Common StockSymbol Googl	GOOGL	06/27/16	272 000	02/02/15	524 05	142540 89	679 03	184 696 48	42 155 59
Long Term	Apple IncSymbol Aapl	AAPL	06/27/16	335 000	02/02/15	117 27	39285 45	91 53	30 662 61	(8 622 84)
Long Term	Apple IncSymbol Aapl	AAPL	06/27/16	575 000	02/18/15	128 59	73938 50	91 53	52 629 86	(21 308 64)
Long Term	Apple IncSymbol Aapl	AAPL	06/27/16	2680 000	03/16/15	124 40	333388 52	91 53	245 300 92	(88 087 60)
Long Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	06/27/16	500 000	06/15/15	139 34	69668 10	137 84	68 920 63	(747 47)
Long Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	06/27/16	1260 000	02/18/15	148 46	187065 14	137 84	173 680 01	(13 385 13)
Long Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	06/27/16	2780 000	03/16/15	145 67	404975 35	137 84	383 198 76	(21 776 59)
Long Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	06/27/16	6040 000	02/02/15	144 71	874029 47	137 84	832 561 33	(41 468 14)
Long Term	Cognizant Technology SolutionsCorp Cl ASymbol Ctsh	CTSH	06/27/16	380 000	02/18/15	60 98	23171 38	55 42	21 060 50	(2 110 88)
Long Term	Cognizant Technology SolutionsCorp Cl ASymbol Ctsh	CTSH	06/27/16	3625 000	03/16/15	61 99	224712 46	55 42	200 906 05	(23 806 41)
Long Term	Cognizant Technology SolutionsCorp Cl ASymbol Ctsh	CTSH	06/27/16	15705 000	02/02/15	53 48	839858 12	55 42	870 408 14	30 550 02
Long Term	Express Scripts HoldingCompanySymbol Esrx	ESRX	06/27/16	1460 000	02/18/15	85 06	124184 68	72 82	106 314 72	(17 869 96)
Long Term	Express Scripts HoldingCompanySymbol Esrx	ESRX	06/27/16	3480 000	02/02/15	80 75	280998 46	72 82	253 407 68	(27 590 78)
Long Term	Express Scripts HoldingCompanySymbol Esrx	ESRX	06/27/16	4470 000	03/16/15	83 99	375453 00	72 82	325 497 80	(49 955 20)
Long Term	Liq CorporationSymbol Lkq	LKQ	06/27/16	2500 000	02/18/15	27 20	67996 25	29 39	73 474 40	5 478 15
Long Term	Liq CorporationSymbol Lkq	LKQ	06/27/16	10420 000	03/16/15	24 51	255394 20	29 39	306 241 31	50 847 11
Long Term	Liq CorporationSymbol Lkq	LKQ	06/27/16	10695 000	02/02/15	26 06	278691 71	29 39	314 323 49	35 631 78
Long Term	Mead Johnson NutritionCompany Common StockSymbol Mjn	MJN	06/27/16	565 000	02/18/15	104 02	58769 77	84 30	47 631 65	(11 138 12)
Long Term	Mead Johnson NutritionCompany Common StockSymbol Mjn	MJN	06/27/16	765 000	02/02/15	98 08	75028 85	84 30	64 492 41	(10 536 44)
Long Term	Mead Johnson NutritionCompany Common StockSymbol Mjn	MJN	06/27/16	1645 000	03/16/15	100 39	165141 55	84 30	138 679 76	(26 461 79)
Long Term	Pfizer Inc (The)Symbol Pfin	PFIN	06/27/16	125 000	03/16/15	1161 12	145140 00	1171 98	146 497 18	1 357 18
Long Term	Pfizer Inc (The)Symbol Pfin	PFIN	06/27/16	390 000	02/02/15	1001 43	390558 48	1171 98	457 071 22	66 512 74
Long Term	Qualcomm IncSymbol Qcom	QCOM	06/27/16	1355 000	02/18/15	70 46	95470 59	50 89	68 952 05	(26 518 54)

MARY REYNOLDS BABCOCK FOUNDATION, INC 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Qualcomm IncSymbol Qcom	QCOM	06/27/16	1400 000 06/15/15		66.48	93069 20	50.89	71,241.97	(21,827.23)
Long Term	Qualcomm IncSymbol Qcom	QCOM	06/27/16	2730 000 02/02/15		65.02	177494.92	50.89	138,921.86	(38,573.06)
Long Term	Qualcomm IncSymbol Qcom	QCOM	06/27/16	6095 000 03/16/15		69.48	423496.59	50.89	310,157.05	(113,339.54)
Long Term	Verisk Analytics IncComSymbol Vrsk	VRSK	06/27/16	3780 000 02/02/15		64.30	243072.56	75.76	286,357.86	43,285.30
							14,821,621.06		14,564,523.57	(257,097.49)
Cambiar										
Short Term	Accor S ASponsored Adr NewSymbol Accyy	ACCYY	02/08/16	42517 000 11/12/15		9.25	393216.77	6.84	290,841.70	(102,375.07)
Short Term	Adecco Group AgAmerican Depository ReceiptsSymbol Ahexy	AHEXY	11/28/16	1005 000 07/21/16		27.04	27174.20	30.47	30,621.68	3,447.48
Short Term	Adecco Group AgAmerican Depository ReceiptsSymbol Ahexy	AHEXY	12/29/16	1522 000 07/21/16		27.04	41153.36	32.50	49,464.25	8,310.89
Short Term	Adidas AgSponsored AdrSymbol Addyy	ADDYY	03/24/16	2021 000 11/12/15		46.69	94362.18	57.18	115,558.26	21,196.08
Short Term	Adidas AgSponsored AdrSymbol Addyy	ADDYY	06/07/16	1111 000 11/12/15		46.69	51873.52	67.86	75,390.81	23,517.29
Short Term	Adidas AgSponsored AdrSymbol Addyy	ADDYY	07/13/16	6971 000 11/12/15		46.69	325481.83	72.52	505,525.89	180,044.06
Short Term	Aercap Holdings NuSymbol Aer	AER	11/28/16	767 000 03/10/16		36.62	28087.67	43.86	33,640.72	5,553.05
Short Term	Airbus GroupUnSponsored AdrSymbol Eadys	EADSY	11/28/16	8793 000 10/18/16		14.78	129948.23	15.84	139,278.27	9,330.04
Short Term	Ambev S AAdsSymbol Abev	ABEV	10/18/16	39993 000 09/14/16		5.89	235471.99	6.15	245,951.58	10,479.59
Short Term	Astellas Pharma IncUnSponsored AdrSymbol Alpmv	ALPMY	11/28/16	517 000 08/02/16		17.07	8824.74	13.92	7,196.48	(1,628.26)
Short Term	Astrazeneca PlcEach Ads Representing 1/2 OfSymbol Azn	AZN	08/18/16	4003 000 11/12/15		31.01	124126.31	33.56	134,345.89	10,219.58
Short Term	Atos Origin SaUnSponsored AdrSymbol Aexay	AEXAY	03/24/16	1465 000 11/12/15		16.34	23941.94	15.75	23,073.24	(868.70)
Short Term	Atos Origin SaUnSponsored AdrSymbol Aexay	AEXAY	07/20/16	5292 000 11/12/15		16.34	86485.15	17.23	91,190.84	4,705.69
Short Term	AxaUnSponsored AdrSymbol Axahy	AXAHY	11/28/16	3819 000 10/20/16		22.63	86428.60	22.90	87,453.59	1,024.99
Short Term	Banco Bilbao VizcayaArgentina S A Sponsored AdrSymbol Bbva	BBVA	03/24/16	9157 000 11/12/15		8.12	74342.22	6.76	61,904.55	(12,437.67)
Short Term	Carisberg ASponsored Adr RepresentingSymbol Cabgy	CABGY	10/18/16	10432 000 02/17/16		18.34	191356.26	18.19	189,723.12	(1,633.14)
Short Term	Carrefour SaSponsored AdrSymbol Crffy	CRRFY	11/28/16	11558 000 11/14/16		4.82	55688.79	4.72	54,552.57	(1,136.22)
Short Term	Ck Hutchison Holdings LtdAmerican Depository ReceiptsSymbol Ckhuy	CKHUY	05/06/16	21204 000 04/01/16		13.10	277805.31	11.91	252,625.31	(25,180.00)
Short Term	Dbs Group Holdings LtdSponsored AdrSymbol Dbsdy	DBSDY	07/20/16	3230 000 06/08/16		47.77	154310.65	47.69	154,035.34	(275.31)
Short Term	Denso Corp-AdrSymbol Dnzyo	DNZOY	02/12/16	18663 000 11/12/15		23.45	437647.35	17.46	325,867.53	(111,779.82)
Short Term	Dnb AsaSponsored Adr Repstg 10Symbol Dnhby	DNHBY	11/28/16	812 000 11/01/16		145.41	118069.01	142.72	115,886.11	(2,182.90)
Short Term	E On SeSponsored AdrSymbol Eongy	EONGY	07/21/16	38031 000 02/17/16		9.90	376681.84	10.40	395,399.69	18,717.85
Short Term	E On SeSponsored AdrSymbol Eongy	EONGY	11/28/16	6722 000 02/17/16		9.90	66578.72	6.54	43,961.50	(22,617.22)
Short Term	Embraer S AAdr Each Rep 4 Com Slt ShsSymbol Erj	ERJ	07/07/16	14126 000 11/12/15		28.98	409494.23	20.99	296,510.15	(112,892.08)
Short Term	EricssonAdr Cl B Sek 10Symbol Eric	ERIC	10/20/16	36578 000 10/07/16		7.13	260850.37	5.35	195,663.19	(65,187.18)
Short Term	EricssonAdr Cl B Sek 10Symbol Eric	ERIC	10/20/16	41455 000 11/12/15		9.26	383873.30	5.35	221,751.26	(162,122.04)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Gemalto NV Sponsored Adr Symbol Gtomy	GTOMY	03/24/16	2165 000	11/16/15	31.37	67924.11	35.40	76,639.32	8,715.21
Short Term	Global Logistic Properties Ltd Unsponsored Adr Symbol Gbrzy	GBRTY	02/12/16	27385 000	11/12/15	15.25	417621.25	11.44	313,250.19	(104,371.06)
Short Term	Hsbc Holdings Plc Sponsored Adr Symbol Hsbc	HSBC	07/20/16	6168 000	11/12/15	39.30	242404.06	32.52	200,602.34	(41,801.72)
Short Term	Infinion Technologies Ag Sponsored Adr Symbol Ifnny	IFNNY	02/01/16	7554 000	11/12/15	12.27	92687.58	13.62	102,883.58	10,196.00
Short Term	Infinion Technologies Ag Sponsored Adr Symbol Ifnny	IFNNY	09/14/16	27393 000	11/12/15	12.27	336112.11	16.19	443,627.46	107,515.35
Short Term	Intesa Sanpaolo Spa Sponsored Adr Repstg Ord Shs Symbol Isnpy	ISNPY	11/28/16	618 000	03/16/16	17.61	10882.98	12.36	7,639.51	(3,243.47)
Short Term	Koninklijke Philips NV Symbol Phg	PHG	02/01/16	6303 000	11/12/15	26.45	166695.15	26.51	167,106.07	410.92
Short Term	Luxil Group Corporation Unsponsored Adr Symbol Jsgry	JSGRY	06/07/16	9537 000	11/12/15	46.80	446331.60	35.68	340,256.45	(106,075.15)
Short Term	Markt Ltd Shs Cusip G58249106	G58249106	03/24/16	886 000	11/12/15	28.96	25659.93	34.12	30,231.86	4,571.93
Short Term	Markt Ltd Shs Cusip G58249106	G58249106	05/06/16	6331 000	11/12/15	28.96	183355.58	34.63	219,240.28	35,884.70
Short Term	Mazda Motor Corp Unsponsored Adr Symbol Mzday	MZDAY	11/28/16	3828 000	05/06/16	7.84	30011.52	8.16	31,237.79	1,226.27
Short Term	Murata Manufacturing Co Ltd Unsponsored Adr Symbol Mraay	MRAAY	11/28/16	1231 000	05/06/16	29.55	36376.05	34.25	42,163.83	5,787.78
Short Term	National Grid Plc New Sponsored Adr Symbol Ngg	NGG	02/17/16	3849 000	11/12/15	68.97	265479.04	68.97	265,479.04	-
Short Term	National Grid Plc New Sponsored Adr Symbol Ngg	NGG	08/11/16	353 000	11/12/15	69.64	24582.66	71.55	25,256.29	673.63
Short Term	National Grid Plc New Sponsored Adr Symbol Ngg	NGG	10/20/16	3736 000	11/12/15	69.64	260172.30	65.34	244,123.71	(16,048.59)
Short Term	National Grid Plc New Sponsored Adr Symbol Ngg	NGG	10/20/16	3849 000	02/01/16	72.92	280661.02	65.34	251,507.55	(29,153.47)
Short Term	National Grid Plc New Sponsored Adr Symbol Ngg	NGG	10/20/16	106 000	02/01/16	72.25	7658.73	65.34	6,926.42	(732.31)
Short Term	Novartis Ag American Depository Shares Symbol Nvs	NVS	11/10/16	5726 000	11/12/15	87.14	498935.64	74.25	425,129.28	(73,806.36)
Short Term	Orange Sponsored Adr Symbol Oran	ORAN	11/28/16	2689 000	04/21/16	17.36	46672.64	14.38	38,677.41	(7,995.23)
Short Term	Royal Dsm NV Sponsored Adr Symbol Rdsmv	RDSMV	08/25/16	3756 000	11/12/15	13.07	49090.92	17.31	65,014.94	15,924.02
Short Term	Royal Dsm NV Sponsored Adr Symbol Rdsmv	RDSMV	10/18/16	6655 000	11/12/15	13.07	86980.85	16.37	108,939.97	21,959.12
Short Term	Seven & I Holdings Co Ltd Unsponsored Adr Symbol Svndy	SVNDY	04/26/16	510 000	11/12/15	23.41	11939.72	20.90	10,658.76	(1,280.96)
Short Term	Seven & I Holdings Co Ltd Unsponsored Adr Symbol Svndy	SVNDY	04/28/16	18635 000	11/12/15	23.41	436268.16	20.83	388,236.85	(48,031.31)
Short Term	Sky Plc Sponsored Adr Symbol Skyay	SKYAY	02/11/16	12 000	11/12/15	66.38	796.59	56.20	674.43	(122.16)
Short Term	Sky Plc Sponsored Adr Symbol Skyay	SKYAY	03/10/16	4253 000	11/12/15	66.38	282323.69	56.67	241,012.25	(41,311.44)
Short Term	Sky Plc Sponsored Adr Symbol Skyay	SKYAY	08/01/16	6295 000	11/12/15	66.38	417876.23	48.01	302,253.91	(115,622.32)
Short Term	Sumitomo Mitsui Finl Group Inc Sponsored Adr Symbol Smifg	SMFG	02/23/16	78739 000	11/12/15	8.19	644730.60	5.32	418,606.76	(226,123.84)
Short Term	Toray Industries Inc Adr Symbol Tryiy	TRYIY	02/11/16	27280 000	11/13/15	18.20	496402.48	16.16	440,835.18	(55,567.30)
Short Term	Unibail Rodamco Se Unsponsored Adr Symbol Unrdy	UNRDY	02/01/16	2933 000	11/12/15	26.33	77216.04	25.00	73,323.65	(3,892.39)
Short Term	Unibail Rodamco Se Unsponsored Adr Symbol Unrdy	UNRDY	10/20/16	13537 000	11/12/15	26.33	356383.73	24.77	335,304.18	(21,079.55)
Short Term	Vodafone Group Plc Sponsored Adr No Par Symbol Vod	VOD	10/18/16	20658 000	11/12/15	33.64	694917.17	28.14	581,264.75	(113,652.42)
Short Term	Wpp Plc New Adr Symbol Wppgy	WPPGY	09/07/16	4931 000	11/12/15	114.03	562277.99	120.13	592,379.34	30,101.35

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Aegon NVNY Registry ShsSymbol Aeg	AEG	11/28/16	23907 000	11/12/15	5 04	120455 18	5 04	120,455 18	-
Long Term	Astrazeneca PlcEach Adr Representing 1/2 OfSymbol Azn	AZN	11/21/16	5523 000	11/12/15	31 01	171258 95	27 30	150,782 34	(20,476 61)
Long Term	Astrazeneca PlcEach Adr Representing 1/2 OfSymbol Azn	AZN	12/14/16	11584 000	11/12/15	31 01	359200 38	27 38	317,180 02	(42,020 36)
Long Term	Atos Origin SaUnSponsored AdrSymbol Aexay	AEXAY	11/28/16	2572 000	11/12/15	16 34	42033 22	20 54	52,827 72	10,794 50
Long Term	Atos Origin SaUnSponsored AdrSymbol Aexay	AEXAY	12/29/16	20961 000	11/12/15	16 34	342557 66	20 96	439,257 60	96,699 94
Long Term	Banco Bilbao VizcayaArgentina S A Sponsored AdrSymbol Bbva	BBVA	11/28/16	6062 000	11/12/15	8 12	49215 09	6 08	36,862 21	(12,352 88)
Long Term	China Mobile LimitedSponsored Adr Rpstg 5 Ord ShsSymbol Chl	CHL	11/28/16	862 000	11/12/15	59 36	51167 54	54 41	46,902 98	(4,264 56)
Long Term	Hsbc Holdings PlcSponsored AdrSymbol Hsbc	HSBC	11/28/16	1259 000	11/12/15	39 27	49443 63	39 27	49,443 63	-
Long Term	Ihs Markit LtdShsSymbol Info	INFO	11/28/16	6 000	11/12/15	28 96	173 77	35 88	215 27	41 50
Long Term	Ihs Markit LtdShsSymbol Info	INFO	12/16/16	11117 000	11/12/15	28 96	321965 57	34 49	383,429 03	61,463 46
Long Term	Julius Baer Group LtdUnSponsored AdrSymbol Jbaxy	JBAXY	11/28/16	5823 000	11/12/15	9 27	53979 21	8 76	51,008 56	(2,970 65)
Long Term	Koninklijke Philips N VSymbol Phg	PHG	11/28/16	19 000	11/12/15	26 45	502 49	28 65	544 38	41 89
Long Term	Mitsubishi Estate Co LtdAdrSymbol Mitey	MITEY	11/28/16	1043 000	11/12/15	21 04	21944 72	19 85	20,704 69	(1,240 03)
Long Term	Roche Holding LtdSponsored Adr Repstg OrdSymbol Rhhby	RHHBY	11/28/16	22 000	11/12/15	27 92	614 22	27 92	614 22	-
Long Term	Royal Kpn NvSponsored AdrSymbol Kkpony	KKPNY	11/28/16	58220 000	11/12/15	3 70	215414 00	2 83	164,759 00	(50,655 00)
Long Term	Secom Co Ltd-AdrSymbol Somly	SOMLY	11/28/16	4664 000	11/12/15	16 48	76862 72	18 30	85,350 73	8,488 01
Long Term	Seiko Epson Corp SuwaUnSponsored AdrSymbol Sekey	SEKEY	11/28/16	2255 000	11/12/15	7 81	17616 69	10 02	22,595 60	4,978 91
Long Term	Seiko Epson Corp SuwaUnSponsored AdrSymbol Sekey	SEKEY	12/08/16	2514 000	11/12/15	7 81	19640 07	10 47	26,327 54	6,687 47
Long Term	Societe GeneraleSponsored AdrSymbol Scgly	SCGLY	11/28/16	7381 000	11/12/15	9 57	70629 53	8 23	60,746 29	(9,883 24)
Long Term	Societe GeneraleSponsored AdrSymbol Scgly	SCGLY	12/08/16	252 000	11/12/15	9 57	2411 41	9 89	2,492 01	80 60
Long Term	Societe GeneraleSponsored AdrSymbol Scgly	SCGLY	12/29/16	21094 000	11/12/15	9 57	201850 59	9 66	203,763 59	1,913 00
Long Term	Suntory Beverage & Food LtdAmerican Depository SharesSymbol Stbfy	STBFY	11/28/16	28 000	11/12/15	19 75	553 05	20 63	577 62	24 57
							14,210,100 35		13,164,737 08	(1,045,363 27)
Cardinal	Bgc Partners IncCl ASymbol Bgcp	BGCP	12/07/16	100 000	02/03/15	8 51	850 77	9 98	997 97	147 20
Long Term	Bgc Partners IncCl ASymbol Bgcp	BGCP	12/08/16	620 000	02/03/15	8 51	5274 80	10 04	6,224 91	950 11
Long Term	Bongo Wireless IncSymbol Wifl	WIFI	08/03/16	1350 000	02/03/15	8 37	11294 10	8 82	11,906 74	612 64
Long Term	Bongo Wireless IncSymbol Wifl	WIFI	09/29/16	890 000	02/03/15	8 37	7445 74	10 01	8,908 08	1,462 34
Long Term	Bongo Wireless IncSymbol Wifl	WIFI	11/25/16	100 000	02/03/15	8 37	836 60	12 16	1,215 77	379 17
Long Term	Columbia Banking Systems IncSymbol Colb	COLB	12/15/16	330 000	02/03/15	26 76	8830 64	43 81	14,456 25	5,625 62
Long Term	Columbia Banking Systems IncSymbol Colb	COLB	12/16/16	240 000	02/03/15	26 76	6422 28	43 63	10,470 13	4,047 85
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	07/25/16	20 000	07/16/15	83 96	1679 10	70 16	1,403 20	(275 90)

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FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	07/25/16	300 000 07/17/15		83 59	25078 35	70 16	21,048 05	(4,030 30)
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	07/25/16	50 000 07/23/15		82 24	4112 05	70 16	3,508 01	(604 04)
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	09/21/16	250 000 07/23/15		82 24	20560 23	72 10	18,024 26	(2,535 97)
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	09/21/16	130 000 07/29/15		82 41	10713 90	72 10	9,372 61	(1,341 28)
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	10/24/16	70 000 07/29/15		82 41	5769 02	71 00	4,969 76	(799 26)
Long Term	Compass Minerals Intl IncSymbol Cmp	CMP	10/24/16	240 000 07/31/15		80 00	19200 00	71 00	17,039 17	(2,160 83)
Long Term	Convergys CorpSymbol Cvg	CVG	02/24/16	1500 000 02/03/15		20 18	30269 85	25 91	38,864 60	8,594 75
Long Term	Convergys CorpSymbol Cvg	CVG	03/29/16	1000 000 02/03/15		20 18	20179 90	27 18	27,184 50	7,004 60
Long Term	Convergys CorpSymbol Cvg	CVG	03/29/16	30 000 02/18/15		20 91	627 29	27 18	815 53	188 24
Long Term	Convergys CorpSymbol Cvg	CVG	05/10/16	220 000 02/18/15		20 91	4600 13	27 98	6,156 27	1,556 14
Long Term	Convergys CorpSymbol Cvg	CVG	05/24/16	40 000 02/18/15		20 91	836 39	28 00	1,120 04	283 65
Long Term	Convergys CorpSymbol Cvg	CVG	08/09/16	860 000 02/18/15		20 91	17982 34	28 79	24,759 20	6,776 86
Long Term	Cts CorpW/Rts To Pur Notes Under CertSymbol Cts	CTS	11/17/16	72 000 04/28/15		18 06	1300 63	22 24	1,601 25	300 62
Long Term	Cts CorpW/Rts To Pur Notes Under CertSymbol Cts	CTS	11/17/16	200 000 06/23/15		19 36	3871 50	22 24	4,447 90	576 40
Long Term	Cts CorpW/Rts To Pur Notes Under CertSymbol Cts	CTS	11/17/16	428 000 06/24/15		19 35	8283 21	22 24	9,518 51	1,235 30
Long Term	Del Friscos Restaurant GroupIncSymbol Dfrg	DFRG	12/20/16	200 000 02/03/15		19 46	3891 52	18 10	3,619 92	(271 60)
Long Term	Epr PropertiesCommon Shares Of BeneficialSymbol Epr	EPR	02/26/16	400 000 02/03/15		64 50	25798 23	62 43	24,973 41	(824 82)
Long Term	Epr PropertiesCommon Shares Of BeneficialSymbol Epr	EPR	08/12/16	200 000 02/03/15		64 39	12878 26	81 42	16,284 24	3,405 98
Long Term	Epr PropertiesCommon Shares Of BeneficialSymbol Epr	EPR	08/15/16	210 000 02/03/15		64 39	13522 16	80 21	16,845 01	3,322 85
Long Term	Epr PropertiesCommon Shares Of BeneficialSymbol Epr	EPR	08/17/16	270 000 02/03/15		64 39	17385 64	77 29	20,868 54	3,482 90
Long Term	Globus Medical IncSymbol Gmed	GMED	06/08/16	100 000 03/11/15		23 46	2345 89	25 40	2,539 82	193 93
Long Term	Hercules Capital IncSymbol Htgc	HTGC	03/08/16	1500 000 02/03/15		15 51	23272 05	11 40	17,099 77	(6,172 28)
Long Term	Hercules Capital IncSymbol Htgc	HTGC	03/15/16	900 000 02/03/15		15 51	13963 23	11 41	10,266 52	(3,696 71)
Long Term	Hercules Capital IncSymbol Htgc	HTGC	03/17/16	1000 000 02/03/15		15 51	15514 70	11 43	11,433 35	(4,081 35)
Long Term	Hercules Capital IncSymbol Htgc	HTGC	03/18/16	540 000 02/03/15		15 51	8377 94	11 29	6,094 62	(2,283 32)
Long Term	Hersha Hospitality TrustComSymbol Ht	HT	06/28/16	1080 000 02/03/15		26 91	29063 23	16 17	17,463 32	(11,599 91)
Long Term	Hersha Hospitality TrustComSymbol Ht	HT	06/29/16	1200 000 02/03/15		26 91	32292 48	16 79	20,142 04	(12,150 44)
Long Term	Hersha Hospitality TrustComSymbol Ht	HT	09/21/16	480 000 02/03/15		26 91	12916 99	18 65	8,951 18	(3,965 81)
Long Term	Iac InteractivcorpComSymbol Iac	IAC	11/08/16	430 000 02/03/15		64 11	27565 37	64 49	27,732 24	166 88
Long Term	Interdigital IncSymbol Idcc	IDCC	08/12/16	200 000 02/03/15		50 55	10109 98	67 98	13,595 02	3,485 04
Long Term	Interdigital IncSymbol Idcc	IDCC	08/15/16	290 000 02/03/15		50 55	14659 47	68 60	19,892 98	5,233 51
Long Term	Interdigital IncSymbol Idcc	IDCC	08/16/16	150 000 02/18/15		48 84	7325 98	68 42	10,263 42	2,937 44

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REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Interdigital IncSymbol Idccc	IDCC	08/22/16	360 000 02/18/15		48 84	17582 36	68 99	24,837 22	7,254 86
Long Term	Interdigital IncSymbol Idccc	IDCC	08/24/16	150 000 02/18/15		48 84	7325 98	69 49	10,424 13	3,098 15
Long Term	Interdigital IncSymbol Idccc	IDCC	08/25/16	30 000 02/18/15		48 84	1465 20	69 38	2,081 45	616 25
Long Term	Interdigital IncSymbol Idccc	IDCC	08/25/16	100 000 03/11/15		53 00	5299 78	69 38	6,938 17	1,638 39
Long Term	Interdigital IncSymbol Idccc	IDCC	08/29/16	150 000 03/11/15		53 00	7949 67	70 15	10,522 26	2,572 59
Long Term	Interdigital IncSymbol Idccc	IDCC	08/30/16	120 000 03/11/15		53 00	6359 74	70 50	8,460 30	2,100 56
Long Term	Interdigital IncSymbol Idccc	IDCC	08/31/16	120 000 03/11/15		53 00	6359 74	71 43	8,571 19	2,211 45
Long Term	Interdigital IncSymbol Idccc	IDCC	09/01/16	110 000 03/11/15		53 00	5829 76	71 14	7,825 90	1,996 14
Long Term	Kaman CorporationSymbol Kamm	KAMN	09/21/16	200 000 02/03/15		39 64	7927 96	43 71	8,741 14	813 18
Long Term	Kaman CorporationSymbol Kamm	KAMN	09/22/16	410 000 02/03/15		39 64	16252 32	44 02	18,047 31	1,794 99
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/16/16	2400 000 02/03/15		19 88	47711 76	21 04	50,498 25	2,786 49
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/18/16	1850 000 02/03/15		19 88	36777 82	21 09	39,024 89	2,247 08
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/18/16	240 000 02/18/15		21 04	5049 53	21 09	5,062 69	13 16
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/23/16	920 000 02/18/15		21 04	19356 52	21 22	19,521 15	164 62
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/23/16	1300 000 03/11/15		20 38	26487 50	21 22	27,584 23	1,096 73
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/23/16	480 000 03/12/15		19 11	9174 86	21 22	10,184 95	1,010 08
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/25/16	120 000 03/12/15		19 11	2293 72	21 56	2,587 14	293 43
Long Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/25/16	250 000 12/14/15		20 65	5163 75	21 56	5,389 88	226 14
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/06/16	270 000 02/03/15		54 29	14656 95	115 44	31,168 58	16,511 63
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/13/16	410 000 02/03/15		54 29	22256 85	122 05	50,038 91	27,782 06
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/14/16	200 000 02/03/15		54 29	10857 00	123 41	24,681 84	13,824 84
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/18/16	150 000 02/03/15		54 29	8142 75	125 80	18,869 90	10,727 15
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/18/16	110 000 02/03/15		54 29	5971 35	125 80	13,837 93	7,866 58
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/19/16	80 000 02/03/15		54 29	4342 80	127 12	10,169 32	5,826 52
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	04/21/16	40 000 02/03/15		54 29	2171 40	129 47	5,178 92	3,007 52
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	07/20/16	150 000 02/03/15		54 29	8142 75	135 25	20,286 87	12,144 12
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	07/22/16	80 000 02/03/15		54 29	4342 80	135 40	10,671 89	6,329 09
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	07/27/16	5 000 02/03/15		54 29	271 43	135 39	676 93	405 51
Long Term	Ligand Pharmaceuticals IncSymbol Lgnd	LGND	07/27/16	55 000 02/04/15		53 78	2957 97	135 39	7,446 25	4,488 28
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol MdcA	MDCA	08/26/16	500 000 02/03/15		24 42	12208 80	12 31	6,155 22	(6,053 59)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol MdcA	MDCA	08/26/16	500 000 02/03/15		24 42	12208 80	12 31	6,155 22	(6,053 59)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol MdcA	MDCA	08/26/16	400 000 02/03/15		24 42	9767 04	12 30	4,920 65	(4,846 39)

MARY REYNOLDS BARCOCK FOUNDATION, INC. 56-0890140
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REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/02/16	1400 000 02/03/15		24.42	34184.64	12.33	17,260.08	(16,924.56)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/09/16	800 000 02/03/15		24.42	19534.08	12.46	9,967.78	(9,566.30)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/19/16	1100 000 02/03/15		24.42	26859.36	11.75	12,924.72	(13,934.64)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/19/16	600 000 02/18/15		25.60	15360.06	11.75	7,049.84	(8,310.22)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	1510 000 02/18/15		25.60	38656.15	10.51	15,863.26	(22,792.89)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	2200 000 03/11/15		25.88	56936.88	10.51	23,112.03	(33,824.85)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	700 000 05/29/15		21.13	14791.07	10.51	7,353.83	(7,437.24)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	300 000 06/08/15		19.91	5973.33	10.51	3,151.64	(2,821.69)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	100 000 06/09/15		19.93	1993.03	10.51	1,050.55	(942.48)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	300 000 06/30/15		19.64	5891.13	10.51	3,151.64	(2,739.49)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	09/29/16	90 000 07/21/15		17.80	1602.24	10.51	945.49	(656.75)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/17/16	100 000 07/21/15		17.80	1780.27	10.72	1,072.47	(707.80)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/20/16	500 000 07/21/15		17.80	8901.35	10.09	5,047.43	(3,853.92)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/25/16	110 000 07/21/15		17.80	1958.30	9.56	1,051.58	(906.72)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/25/16	200 000 11/10/15		22.55	4510.82	9.56	1,911.96	(2,598.87)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/25/16	90 000 12/14/15		24.33	2189.58	9.56	860.38	(1,329.20)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/27/16	400 000 12/14/15		25.03	10010.80	8.64	3,457.92	(6,552.88)
Long Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/27/16	210 000 12/14/15		24.33	5109.03	8.64	1,815.41	(3,293.62)
Long Term	Medical Properties Trust IncSymbol Mpw	MPW	08/24/16	1500 000 02/03/15		15.16	22743.88	15.37	23,052.99	309.11
Long Term	Medical Properties Trust IncSymbol Mpw	MPW	08/25/16	1080 000 02/03/15		15.16	16375.59	15.34	16,572.55	196.96
Long Term	Medical Properties Trust IncSymbol Mpw	MPW	09/01/16	1150 000 02/03/15		15.16	17436.97	15.06	17,316.09	(120.88)
Long Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/21/16	1780 000 06/24/15		25.25	44945.00	19.97	35,548.49	(9,396.51)
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	06/23/16	400 000 02/03/15		26.81	10722.00	29.84	11,936.93	1,214.93
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	06/29/16	20 000 02/03/15		26.81	536.10	27.22	544.36	8.26
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	06/29/16	300 000 02/12/15		24.02	7206.66	27.22	8,165.43	958.77
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	06/29/16	80 000 02/18/15		23.95	1915.94	27.22	2,177.45	261.51
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	06/30/16	200 000 02/18/15		23.95	4789.84	26.93	5,386.56	596.72
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	07/07/16	440 000 02/18/15		23.95	10537.65	26.84	11,810.88	1,273.24
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	07/07/16	800 000 03/11/15		25.46	20364.48	26.84	21,474.33	1,109.85
Long Term	Motorcar Parts Of America IncSymbol Mpaa	MPAA	07/07/16	300 000 06/22/15		31.80	9540.51	26.84	8,052.87	(1,487.64)
Long Term	National Cinemedia IncSymbol Ncmi	NCMI	12/21/16	500 000 09/30/15		12.33	6165.00	14.69	7,344.83	1,179.83
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	08/05/16	1000 000 04/23/15		12.88	12883.45	19.86	19,862.36	6,978.91

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	08/08/16	200 000 04/23/15	12 88	2576 69		20 09	4,017 69	1,441 00
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	09/01/16	1000 000 04/23/15	12 88	12883 45		20 12	20,119 96	7,236 51
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	09/02/16	330 000 04/23/15	12 88	4251 53		20 35	6,715 12	2,463 59
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	09/13/16	950 000 04/23/15	12 84	12200 21		19 31	18,346 76	6,146 55
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/02/16	610 000 04/23/15	12 84	7833 81		19 28	11,762 06	3,928 25
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/02/16	390 000 04/24/15	12 68	4944 89		19 28	7,520 01	2,575 11
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/11/16	810 000 04/24/15	12 68	10270 18		19 68	15,941 91	5,671 73
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/11/16	450 000 04/27/15	12 72	5723 11		19 68	8,856 61	3,133 51
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/30/16	250 000 04/27/15	12 72	3179 50		20 50	5,125 59	1,946 08
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/30/16	1100 000 04/29/15	12 70	13974 86		20 50	22,552 58	8,577 72
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	11/30/16	150 000 07/23/15	11 88	1782 50		20 50	3,075 35	1,292 86
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/07/16	150 000 07/23/15	11 88	1782 50		21 60	3,239 99	1,457 49
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/07/16	370 000 08/04/15	11 92	4408 96		21 60	7,991 97	3,583 01
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/20/16	130 000 08/04/15	11 87	1543 26		21 50	2,794 94	1,251 68
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/20/16	350 000 08/05/15	12 01	4205 04		21 50	7,524 83	3,319 79
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/22/16	1050 000 08/05/15	12 01	12615 09		21 26	22,318 94	9,703 85
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/22/16	300 000 11/10/15	14 23	4268 81		21 26	6,376 84	2,108 03
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/22/16	200 000 11/12/15	14 29	2857 27		21 26	4,251 23	1,393 96
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/22/16	200 000 11/16/15	14 49	2898 05		21 26	4,251 23	1,353 18
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/22/16	50 000 12/14/15	16 04	801 78		21 26	1,062 81	261 03
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/27/16	500 000 12/14/15	16 04	8017 86		21 70	10,847 76	2,829 90
Long Term	National Storage AffiliatesTrustSymbol Nsa	NSA	12/28/16	150 000 12/14/15	16 04	2405 35		21 55	3,233 16	827 81
Long Term	National Storage AffiliatesTrustSymbol Nsa	PDFS	07/25/16	1450 000 02/03/15	17 19	24931 01		16 03	23,242 99	(1,688 02)
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	07/26/16	60 000 02/03/15	17 19	1031 63		15 90	953 97	(77 66)
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	07/27/16	1400 000 02/03/15	17 19	24071 32		16 03	22,441 51	(1,629 81)
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	09/23/16	600 000 02/03/15	17 19	10316 28		17 65	10,589 76	273 48
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	10/04/16	1300 000 02/03/15	17 19	22351 94		18 20	23,659 48	1,307 54
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	10/27/16	440 000 02/03/15	17 19	7565 27		19 49	8,576 55	1,011 28
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	10/28/16	580 000 02/03/15	17 19	9972 40		19 84	11,509 84	1,537 44
Long Term	Pdf Solutions IncSymbol Pdfs	PDFS	10/31/16	480 000 02/03/15	17 19	8253 02		19 99	9,597 39	1,344 37
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	07/15/16	200 000 03/26/15	50 85	10169 74		47 30	9,460 25	(709 49)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	07/15/16	100 000 03/30/15	51 96	5196 17		47 30	4,730 13	(466 04)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	07/15/16	210 000 03/31/15		53 17	11164 65	47 30	9,933 26	(1,231 39)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/18/16	190 000 03/31/15		53 17	10101 35	43 94	8,348 57	(1,752 78)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/18/16	110 000 04/06/15		52 84	5812 60	43 94	4,833 38	(979 22)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/26/16	390 000 04/06/15		52 84	20608 30	43 91	17,124 25	(3,484 05)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/26/16	200 000 04/20/15		49 38	9875 58	43 91	8,781 67	(1,093 91)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/26/16	410 000 05/15/15		44 27	18151 23	43 91	18,002 42	(148 81)
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/29/16	390 000 05/15/15		44 27	17265 81	47 59	18,560 36	1,294 55
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	08/29/16	110 000 05/20/15		47 12	5182 66	47 59	5,234 97	52 31
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	09/08/16	90 000 05/20/15		47 12	4240 36	47 89	4,309 75	69 39
Long Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	09/08/16	100 000 11/10/15		50 04	5003 66	47 89	4,788 61	(215 05)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/12/16	900 000 02/03/15		11 19	10070 10	7 81	7,028 93	(3,041 17)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/15/16	1100 000 02/03/15		11 19	12307 90	7 80	8,579 81	(3,728 09)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/17/16	920 000 02/03/15		11 19	10293 88	7 81	7,182 19	(3,111 69)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/17/16	200 000 02/12/15		11 09	2218 44	7 81	1,561 35	(657 09)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/17/16	870 000 02/18/15		11 41	9926 61	7 81	6,791 85	(3,134 76)
Long Term	Techtarget IncSymbol Ttgt	TTGT	08/17/16	120 000 03/11/15		12 05	1445 71	7 81	936 81	(508 90)
Long Term	Techtarget IncSymbol Ttgt	TTGT	10/12/16	780 000 03/11/15		12 05	9397 13	8 23	6,419 26	(2,977 87)
Long Term	Techtarget IncSymbol Ttgt	TTGT	10/12/16	400 000 05/11/15		9 47	3787 28	8 23	3,291 93	(495 35)
Long Term	Techtarget IncSymbol Ttgt	TTGT	10/12/16	120 000 05/11/15		9 55	1145 64	8 23	987 58	(158 06)
Long Term	Techtarget IncSymbol Ttgt	TTGT	11/10/16	1080 000 05/11/15		9 55	10310 76	8 25	8,911 10	(1,399 66)
Long Term	Techtarget IncSymbol Ttgt	TTGT	11/10/16	20 000 05/15/15		9 49	189 85	8 25	165 02	(24 83)
Long Term	Techtarget IncSymbol Ttgt	TTGT	11/11/16	850 000 05/15/15		9 49	8068 63	8 29	7,050 59	(1,018 04)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/01/16	290 000 08/13/15		10 00	2899 86	8 24	2,390 99	(508 86)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/02/16	30 000 05/15/15		9 49	284 78	8 20	245 99	(38 78)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/02/16	200 000 08/05/15		10 14	2028 06	8 20	1,639 96	(388 10)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/02/16	500 000 08/11/15		9 90	4950 00	8 20	4,099 91	(850 09)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/02/16	270 000 08/13/15		10 00	2699 87	8 20	2,213 95	(485 91)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/05/16	240 000 08/13/15		10 00	2399 88	8 23	1,974 12	(425 76)
Long Term	Techtarget IncSymbol Ttgt	TTGT	12/07/16	280 000 12/14/15		10 74	3006 27	8 49	2,378 29	(627 98)
Long Term	Teledyne Technologies IncSymbol Tdy	TDY	08/05/16	100 000 02/03/15		98 79	9879 30	107 24	10,724 26	844 96
Long Term	Teledyne Technologies IncSymbol Tdy	TDY	11/11/16	250 000 02/03/15		98 79	24698 25	117 85	29,462 33	4,764 08
Long Term	Trimas CorporationSymbol Trs	TRS	08/31/16	500 000 08/13/15		17 51	8755 85	19 39	9,694 43	938 58

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0890140
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REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Trimas CorporationSymbol Trs	TRS	09/16/16	300 000	08/13/15	17 51	5253 51	17 14	5,140 74	(112 77)
Long Term	Trimas CorporationSymbol Trs	TRS	09/19/16	800 000	08/13/15	17 51	14009 36	17 13	13,706 42	(302 94)
Long Term	Trimas CorporationSymbol Trs	TRS	11/30/16	210 000	11/11/15	20 88	4385 09	21 32	4,478 15	93 06
Long Term	Trimas CorporationSymbol Trs	TRS	11/30/16	200 000	11/12/15	20 35	4069 92	21 32	4,264 91	194 99
Long Term	Trimas CorporationSymbol Trs	TRS	11/30/16	700 000	11/13/15	20 27	14191 94	21 32	14,927 17	735 23
Long Term	Trimas CorporationSymbol Trs	TRS	11/30/16	160 000	11/16/15	20 13	3220 93	21 32	3,411 92	191 00
Long Term	Trimas CorporationSymbol Trs	TRS	12/05/16	40 000	11/16/15	20 13	805 23	21 85	873 98	68 75
Long Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/05/16	1400 000	02/03/15	12 68	17747 94	11 32	15,848 40	(1,899 54)
Long Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/08/16	2500 000	02/03/15	12 68	31692 75	11 20	27,999 48	(3,693 27)
Long Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/11/16	40 000	02/03/15	12 68	507 08	10 92	436 79	(70 29)
Long Term	World Fuel Services CorpSymbol Int	INT	02/10/16	680 000	02/03/15	50 13	34085 75	36 48	24,807 89	(9,277 86)
Long Term	World Fuel Services CorpSymbol Int	INT	03/08/16	100 000	02/03/15	50 13	5012 61	46 09	4,608 84	(403 77)
Long Term	World Fuel Services CorpSymbol Int	INT	04/13/16	410 000	02/03/15	50 13	20551 70	49 03	20,101 16	(450 54)
Long Term	World Fuel Services CorpSymbol Int	INT	04/14/16	250 000	02/03/15	50 13	12531 53	49 09	12,271 28	(260 25)
Long Term	World Fuel Services CorpSymbol Int	INT	04/28/16	300 000	02/03/15	50 13	15037 83	48 30	14,491 09	(546 74)
Long Term	World Fuel Services CorpSymbol Int	INT	04/27/16	550 000	02/03/15	50 13	27569 36	48 66	26,765 33	(804 03)
Long Term	World Fuel Services CorpSymbol Int	INT	07/20/16	250 000	02/03/15	50 13	12531 53	47 87	11,968 38	(563 15)
Long Term	World Fuel Services CorpSymbol Int	INT	08/12/16	200 000	02/03/15	50 13	10025 22	45 29	9,058 74	(966 48)
Long Term	World Fuel Services CorpSymbol Int	INT	08/17/16	160 000	02/03/15	50 13	8020 18	45 02	7,202 66	(817 52)
Long Term	World Fuel Services CorpSymbol Int	INT	09/07/16	330 000	02/03/15	50 13	16541 61	45 91	15,150 36	(1,391 26)
Long Term	World Fuel Services CorpSymbol Int	INT	09/07/16	70 000	02/18/15	53 77	3763 72	45 91	3,213 71	(550 01)
Long Term	World Fuel Services CorpSymbol Int	INT	11/04/16	510 000	02/18/15	53 68	27376 80	40 51	20,659 64	(6,717 16)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	05/11/16	390 000	12/11/15	20 07	7828 43	21 98	8,573 10	744 67
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	05/20/16	700 000	12/11/15	20 07	14051 03	21 60	15,118 27	1,067 24
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	05/23/16	300 000	12/11/15	20 07	6021 87	21 67	6,501 57	479 70
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	05/24/16	300 000	12/11/15	20 07	6021 87	21 76	6,528 30	506 43
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	05/25/16	500 000	12/11/15	20 07	10036 45	21 89	10,943 01	906 56
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/07/16	200 000	12/11/15	20 07	4014 58	13 57	2,713 74	(1,300 84)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/08/16	2770 000	12/11/15	20 07	55601 93	13 20	36,550 18	(19,051 75)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/09/16	750 000	12/11/15	20 07	15054 68	14 09	10,565 16	(4,489 52)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/10/16	1030 000	12/11/15	20 07	20675 09	15 17	15,623 00	(5,052 09)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/21/16	180 000	12/11/15	20 07	3613 12	15 58	2,803 59	(809 53)

MARY REYNOLDS BABCOCK FOUNDATION, INC 55-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/22/16	520 000	12/11/15	20 07	10437 91	15 80	8,217 48	(2,220 42)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/22/16	160 000	12/14/15	20 12	3219 84	15 80	2,528 46	(691 38)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	11/30/16	200 000	12/14/15	20 12	4024 80	15 99	3,197 93	(826 87)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/02/16	100 000	12/14/15	20 12	2012 40	15 52	1,551 96	(460 44)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/07/16	40 000	12/14/15	20 12	804 96	17 08	683 23	(121 73)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/07/16	200 000	01/21/16	18 85	3769 42	17 08	3,416 16	(353 26)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/07/16	60 000	08/16/16	17 09	1025 47	17 08	1,024 85	(0 62)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/13/16	300 000	08/16/16	17 09	5127 36	17 02	5,104 89	(22 47)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/20/16	420 000	08/16/16	17 09	7178 30	17 43	7,319 35	141 05
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/21/16	300 000	08/16/16	17 09	5127 36	17 16	5,146 74	19 38
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/28/16	448 000	08/16/16	17 09	7656 86	17 00	7,615 92	(40 94)
Short Term	Babcock & Wilcox EnterprisesIncSymbol Bw	BW	12/28/16	510 000	08/26/16	16 64	8488 80	17 00	8,669 91	181 11
Short Term	Chemered CorporationSymbol Che	CHE	12/20/16	40 000	08/31/16	134 59	5383 73	160 69	6,427 47	1,043 74
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	11/30/16	1300 000	07/22/16	14 60	18983 77	15 15	19,694 57	710 80
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	12/05/16	220 000	07/22/16	14 60	3212 64	15 30	3,365 92	153 28
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	12/07/16	400 000	07/22/16	14 60	5841 16	15 30	6,119 86	278 70
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	12/08/16	310 000	07/22/16	14 60	4526 90	15 38	4,767 69	240 79
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	12/09/16	270 000	07/22/16	14 60	3942 78	15 30	4,130 91	188 12
Short Term	Commercehub IncSeries A Common StockSymbol Chuba	CHUBA	12/09/16	340 000	07/25/16	12 94	4400 52	15 30	5,201 88	801 36
Short Term	Compass Minerals Intl IncSymbol Cmp	CMP	06/10/16	230 000	07/16/15	83 96	19309 70	78 21	17,988 48	(1,321 22)
Short Term	Emergent Biosolutions IncSymbol Ebs	EBS	06/22/16	500 000	06/06/16	43 93	21965 25	34 31	17,156 62	(4,808 63)
Short Term	Emergent Biosolutions IncSymbol Ebs	EBS	06/22/16	560 000	06/07/16	43 95	24613 34	34 31	19,215 42	(5,397 92)
Short Term	Epr PropertiesCommon Shares Of BeneficialSymbol Epr	EPR	01/26/16	210 000	02/03/15	64 54	13552 82	58 52	12,288 93	(1,263 89)
Short Term	Ferro CorpSymbol Foe	FOE	01/11/16	260 000	06/15/15	15 73	4088 92	9 60	2,494 99	(1,593 92)
Short Term	Ferro CorpSymbol Foe	FOE	01/11/16	600 000	06/16/15	15 83	9499 56	9 60	5,757 67	(3,741 89)
Short Term	Ferro CorpSymbol Foe	FOE	01/11/16	1500 000	06/17/15	15 78	23675 85	9 60	14,394 18	(9,281 67)
Short Term	Ferro CorpSymbol Foe	FOE	01/11/16	140 000	07/29/15	15 45	2163 27	9 60	1,343 46	(819 81)
Short Term	Ferro CorpSymbol Foe	FOE	01/12/16	800 000	07/29/15	15 45	12361 52	9 72	7,776 49	(4,585 03)
Short Term	Ferro CorpSymbol Foe	FOE	01/13/16	300 000	07/29/15	15 45	4635 57	9 96	2,988 54	(1,647 03)
Short Term	Ferro CorpSymbol Foe	FOE	01/14/16	260 000	07/29/15	15 45	4017 49	9 78	2,542 31	(1,475 18)
Short Term	Ferro CorpSymbol Foe	FOE	01/14/16	600 000	07/30/15	13 99	8391 54	9 78	5,866 87	(2,524 67)
Short Term	Ferro CorpSymbol Foe	FOE	01/14/16	400 000	12/14/15	14 88	5950 97	9 78	3,911 25	(2,039 72)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
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REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/10/16	230 000 10/21/15		35 04	8059 50	16 03	3,687 53	(4,371 97)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/10/16	300 000 10/22/15		35 45	10635 03	16 03	4,809 82	(5,825 21)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/10/16	600 000 10/23/15		36 49	21896 58	16 03	9,619 65	(12,276 93)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/10/16	370 000 10/28/15		37 46	13860 20	16 03	5,932 12	(7,928 08)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/11/16	230 000 10/28/15		37 46	8615 80	15 50	3,565 17	(5,050 63)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/11/16	70 000 10/30/15		37 11	2597 94	15 50	1,085 05	(1,512 89)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/12/16	130 000 10/30/15		37 11	4824 74	13 99	1,818 66	(3,006 08)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/12/16	300 000 11/02/15		38 10	11429 07	13 99	4,196 91	(7,232 16)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/12/16	370 000 12/14/15		36 60	13540 71	13 99	5,176 18	(8,364 52)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/15/16	100 000 12/14/15		36 58	3657 88	12 69	1,268 93	(2,388 95)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/15/16	230 000 12/14/15		36 60	8417 20	12 69	2,918 54	(5,498 65)
Short Term	First Nbc Bank Holding CompanyComSymbol Fbnc	FNBC	08/15/16	500 000 12/18/15		36 07	18032 55	12 69	6,344 66	(11,687 89)
Short Term	Globus Medical IncSymbol Gmed	GMED	06/08/16	50 000 09/25/15		21 94	1096 88	25 40	1,269 91	173 04
Short Term	Globus Medical IncSymbol Gmed	GMED	07/25/16	450 000 09/25/15		21 94	9871 88	25 98	11,689 12	1,817 24
Short Term	Globus Medical IncSymbol Gmed	GMED	07/25/16	50 000 09/30/15		20 82	1041 03	25 98	1,298 79	257 76
Short Term	Globus Medical IncSymbol Gmed	GMED	07/26/16	390 000 09/30/15		20 82	8120 03	26 29	10,254 00	2,133 97
Short Term	Globus Medical IncSymbol Gmed	GMED	07/27/16	260 000 09/30/15		20 82	5413 36	23 02	5,985 95	572 60
Short Term	Globus Medical IncSymbol Gmed	GMED	07/27/16	700 000 10/06/15		21 58	15102 85	23 02	16,116 02	1,013 17
Short Term	Globus Medical IncSymbol Gmed	GMED	07/27/16	40 000 10/22/15		21 28	851 08	23 02	920 92	69 83
Short Term	Globus Medical IncSymbol Gmed	GMED	07/29/16	300 000 10/22/15		21 28	6383 13	23 02	6,905 18	522 05
Short Term	Globus Medical IncSymbol Gmed	GMED	08/01/16	260 000 10/22/15		21 28	5532 05	23 24	6,043 67	511 63
Short Term	Globus Medical IncSymbol Gmed	GMED	08/01/16	300 000 12/14/15		26 82	8046 60	23 24	6,973 47	(1,073 13)
Short Term	Globus Medical IncSymbol Gmed	GMED	08/01/16	500 000 02/09/16		22 52	11259 90	23 24	11,622 44	362 54
Short Term	Globus Medical IncSymbol Gmed	GMED	08/01/16	30 000 03/28/16		22 76	682 68	23 24	697 35	14 67
Short Term	Icu Medical IncSymbol Icu	ICUI	11/17/16	100 000 10/06/16		143 39	14339 47	145 35	14,534 68	195 21
Short Term	Interdigital IncSymbol Idcc	IDCC	09/01/16	10 000 12/14/15		48 29	482 92	71 14	711 45	228 53
Short Term	Interdigital IncSymbol Idcc	IDCC	09/02/16	90 000 12/14/15		48 29	4346 27	71 80	6,462 08	2,115 80
Short Term	Interdigital IncSymbol Idcc	IDCC	09/02/16	30 000 01/13/16		45 69	1370 63	71 80	2,154 03	783 40
Short Term	Interdigital IncSymbol Idcc	IDCC	09/06/16	110 000 01/13/16		45 69	5025 63	72 01	7,920 96	2,895 34
Short Term	Interdigital IncSymbol Idcc	IDCC	09/07/16	119 000 01/13/16		45 69	5436 81	72 45	8,621 71	3,184 90
Short Term	Interdigital IncSymbol Idcc	IDCC	09/09/16	1 000 01/13/16		45 69	45 69	70 24	70 24	24 55
Short Term	Interdigital IncSymbol Idcc	IDCC	09/09/16	139 000 01/14/16		45 60	6337 98	70 24	9,763 48	3,425 50

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Interdigital IncSymbol Idcc	IDCC	09/15/16	11 000 01/14/16		45 60	501 57	75 89	834 77	333 20
Short Term	Interdigital IncSymbol Idcc	IDCC	09/15/16	100 000 01/19/16		43 22	4322 39	75 89	7,588 80	3,266 41
Short Term	Interdigital IncSymbol Idcc	IDCC	09/15/16	189 000 01/20/16		43 94	8304 17	75 89	14,342 84	6,038 67
Short Term	Interdigital IncSymbol Idcc	IDCC	09/16/16	140 000 01/20/16		43 94	6151 24	75 27	10,538 05	4,386 81
Short Term	Interdigital IncSymbol Idcc	IDCC	09/19/16	70 000 01/20/16		43 94	3075 62	77 06	5,394 07	2,318 45
Short Term	Interdigital IncSymbol Idcc	IDCC	09/20/16	110 000 01/20/16		43 94	4833 11	76 84	8,452 00	3,618 89
Short Term	Interdigital IncSymbol Idcc	IDCC	09/22/16	200 000 01/20/16		43 94	8787 48	79 31	15,862 17	7,074 69
Short Term	Interdigital IncSymbol Idcc	IDCC	09/26/16	90 000 01/20/16		43 94	3954 37	77 42	6,967 95	3,013 58
Short Term	Interdigital IncSymbol Idcc	IDCC	09/28/16	80 000 01/20/16		43 94	3514 99	78 71	6,296 75	2,781 76
Short Term	Interdigital IncSymbol Idcc	IDCC	10/21/16	46 000 01/20/16		43 94	2021 12	79 77	3,669 49	1,648 37
Short Term	Interdigital IncSymbol Idcc	IDCC	10/21/16	94 000 02/22/16		49 37	4640 77	79 77	7,498 52	2,857 75
Short Term	Interdigital IncSymbol Idcc	IDCC	11/17/16	6 000 02/22/16		49 37	296 22	77 77	466 61	170 39
Short Term	Interdigital IncSymbol Idcc	IDCC	11/17/16	194 000 04/12/16		55 99	10862 93	77 77	15,086 99	4,224 06
Short Term	Interdigital IncSymbol Idcc	IDCC	11/18/16	6 000 04/12/16		55 99	335 97	77 56	465 38	129 41
Short Term	Interdigital IncSymbol Idcc	IDCC	11/18/16	500 000 04/13/16		57 00	28502 30	77 56	38,781 45	10,279 15
Short Term	Knowles CorporationSymbol Kn	KN	01/13/16	1800 000 02/03/15		21 24	38225 06	11 88	21,382 88	(16,842 18)
Short Term	Knowles CorporationSymbol Kn	KN	01/14/16	1730 000 02/03/15		23 11	39975 98	12 26	21,208 88	(18,767 10)
Short Term	Knowles CorporationSymbol Kn	KN	01/19/16	470 000 02/03/15		23 11	10860 53	11 45	5,382 39	(5,478 14)
Short Term	Knowles CorporationSymbol Kn	KN	01/19/16	330 000 02/38/15		17 59	5803 71	11 45	3,779 12	(2,024 59)
Short Term	Knowles CorporationSymbol Kn	KN	01/20/16	750 000 02/18/15		17 59	13190 25	11 38	8,532 44	(4,657 81)
Short Term	Knowles CorporationSymbol Kn	KN	01/20/16	1200 000 03/11/15		19 74	23687 04	11 38	13,651 91	(10,035 13)
Short Term	Knowles CorporationSymbol Kn	KN	01/20/16	300 000 12/14/15		26 10	7829 41	11 38	3,412 98	(4,416 43)
Short Term	Knowles CorporationSymbol Kn	KN	01/20/16	200 000 12/14/15		22 02	4404 98	11 38	2,275 32	(2,129 66)
Short Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/25/16	600 000 05/29/15		17 47	10480 92	21 56	12,935 72	2,454 80
Short Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/25/16	350 000 12/14/15		14 88	5207 30	21 56	7,545 83	2,338 53
Short Term	Krispy Kreme Doughnuts IncCusip 501014104	501014104	05/25/16	200 000 12/31/15		15 23	3045 86	21 56	4,311 91	1,266 05
Short Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/27/16	360 000 02/12/16		16 98	6111 04	8 64	3,112 13	(2,998 91)
Short Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/27/16	140 000 02/12/16		16 98	2376 51	8 54	1,195 69	(1,180 83)
Short Term	Mdc Partners Inc NewCI A Subordinate Voting SharesSymbol Mdc	MDCA	10/27/16	1120 000 04/12/16		22 19	24853 14	8 54	9,565 48	(15,287 66)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/21/16	420 000 07/29/15		25 00	10499 92	19 97	8,387 85	(2,112 07)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/22/16	280 000 07/29/15		25 00	6999 94	19 81	5,546 23	(1,453 71)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/22/16	100 000 07/30/15		24 98	2497 97	19 81	1,980 80	(517 17)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/22/16	520 000 08/05/15		24 72	12855 08	19 81	10,300 14	(2,554 93)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/25/16	580 000 08/05/15		24 72	14338 35	20 38	11,820 19	(2,518 16)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/25/16	120 000 12/14/15		24 88	2985 64	20 38	2,445 56	(540 08)
Short Term	Monotype Imaging Holdings IncSymbol Type	TYPE	07/26/16	180 000 12/14/15		24 88	4478 45	20 42	3,675 28	(803 17)
Short Term	Motorcar Parts Of America IncSymbol Mpa	MPAA	07/07/16	200 000 12/14/15		36 88	7375 54	26 84	5,368 58	(2,006 96)
Short Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	03/10/16	500 000 03/25/15		51 66	25830 90	44 42	22,211 61	(3,619 29)
Short Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	09/08/16	200 000 11/10/15		47 46	9491 16	47 89	9,577 23	86 07
Short Term	Providence Service Corp (The)ComSymbol Prsc	PRSC	09/08/16	300 000 12/14/15		47 78	14332 80	47 89	14,365 84	33 04
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/20/16	2000 000 02/03/15		21 93	43866 00	6 61	13,219 75	(30,646 25)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/21/16	1500 000 02/03/15		21 93	32899 50	6 90	10,347 25	(22,552 25)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/22/16	500 000 02/03/15		21 93	10966 50	7 18	3,588 48	(7,378 02)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/26/16	1500 000 02/03/15		21 93	32899 50	7 54	11,304 09	(21,595 41)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/27/16	700 000 02/03/15		21 93	15353 10	7 70	5,388 01	(9,965 09)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	01/27/16	900 000 02/18/15		25 01	22512 60	7 70	6,927 44	(15,585 16)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	02/01/16	770 000 02/18/15		25 01	19260 78	8 05	6,194 69	(13,066 09)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	02/01/16	230 000 03/11/15		25 40	5842 46	8 05	1,850 36	(3,992 10)
Short Term	Roadrunner TransportationSystems IncSymbol Rrts	RRTS	02/02/16	1570 000 03/11/15		25 40	39881 14	8 01	12,572 32	(27,308 82)
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/10/16	650 000 10/06/16		39 75	25836 79	40 15	26,099 20	262 41
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/10/16	430 000 10/07/16		39 74	17089 66	40 15	17,265 63	175 97
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/10/16	20 000 10/11/16		40 15	803 05	40 15	803 05	-
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/11/16	330 000 10/11/16		39 20	12937 62	39 20	12,937 62	-
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/14/16	190 000 10/11/16		41 51	7887 01	37 68	7,159 15	(727 86)
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/14/16	20 000 10/12/16		43 08	861 67	37 68	753 60	(108 07)
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/14/16	40 000 10/12/16		44 03	1761 24	37 68	1,507 19	(254 05)
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/15/16	290 000 10/12/16		44 03	12768 99	40 33	11,695 24	(1,073 75)
Short Term	Rsp Permian IncSymbol Rssp	RSPP	11/15/16	250 000 10/12/16		41 73	10431 33	40 33	10,082 10	(349 22)
Short Term	Silicon Motion TechnologyCorporation AdrSymbol Simo	SIMO	10/06/16	710 000 04/21/16		40 94	29068 40	51 11	36,290 64	7,222 24
Short Term	Slim CorporationSymbol Slim	SLM	11/09/16	1500 000 03/09/16		6 01	9014 40	8 26	12,389 58	3,375 18
Short Term	Slim CorporationSymbol Slim	SLM	11/09/16	1800 000 03/15/16		5 92	10664 64	8 26	14,867 49	4,202 85
Short Term	Slim CorporationSymbol Slim	SLM	11/09/16	1500 000 03/16/16		6 00	8995 35	8 26	12,389 58	3,394 23
Short Term	Slim CorporationSymbol Slim	SLM	11/09/16	700 000 03/30/16		6 58	4607 19	8 26	5,781 80	1,174 61
Short Term	Slim CorporationSymbol Slim	SLM	11/10/16	800 000 03/30/16		6 58	5265 36	8 83	7,062 80	1,797 44

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Slim CorporationSymbol Slim	SUM	11/10/16	560 000 04/08/16		6 27	3513 44	8 83	4,943 96	1,430 52
Short Term	Slim CorporationSymbol Slim	SUM	11/11/16	2450 000 04/08/16		6 27	15371 30	9 02	22,098 76	6,727 46
Short Term	Slim CorporationSymbol Slim	SUM	12/09/16	1130 000 04/08/16		6 27	7089 62	11 28	12,748 72	5,659 10
Short Term	Slim CorporationSymbol Slim	SUM	12/09/16	870 000 04/25/16		6 75	5869 80	11 28	9,815 38	3,945 58
Short Term	Techtarget IncSymbol Ttgt	TTGT	12/01/16	110 000 12/14/15		8 00	879 93	8 24	906 93	26 99
Short Term	Techtarget IncSymbol Ttgt	TTGT	12/07/16	20 000 12/14/15		8 00	159 99	8 49	169 88	9 89
Short Term	Techtarget IncSymbol Ttgt	TTGT	12/09/16	190 000 12/14/15		8 00	1519 89		1,613 02	93 14
Short Term	Techtarget IncSymbol Ttgt	TTGT	12/09/16	210 000 07/06/16		7 90	1659 00	8 49	1,782 82	123 82
Short Term	Techtarget IncSymbol Ttgt	TTGT	12/14/16	1390 000 07/06/16		7 90	10981 00	8 37	11,636 96	655 96
Short Term	Trimas CorporationSymbol Trs	TRS	07/27/16	700 000 08/13/15		17 51	12258 19	19 80	13,856 68	1,598 49
Short Term	Trimas CorporationSymbol Trs	TRS	09/19/16	600 000 09/30/15		16 07	9639 36	17 13	10,279 81	640 45
Short Term	Trimas CorporationSymbol Trs	TRS	09/21/16	200 000 09/30/15		16 07	3213 12	17 49	3,497 42	284 30
Short Term	Trimas CorporationSymbol Trs	TRS	09/26/16	300 000 09/30/15		16 07	4819 68	17 60	5,279 91	460 23
Short Term	Trimas CorporationSymbol Trs	TRS	09/26/16	200 000 10/01/15		15 68	3136 84	17 60	3,519 94	383 10
Short Term	Trimas CorporationSymbol Trs	TRS	09/27/16	200 000 10/01/15		15 68	3136 84	18 13	3,625 92	489 08
Short Term	Trimas CorporationSymbol Trs	TRS	09/27/16	600 000 10/15/15		17 27	10359 90	18 13	10,877 76	517 86
Short Term	Trimas CorporationSymbol Trs	TRS	09/27/16	140 000 10/30/15		20 12	2816 59	18 13	2,538 14	(278 45)
Short Term	Trimas CorporationSymbol Trs	TRS	09/27/16	260 000 10/30/15		20 12	5230 81	18 84	4,898 29	(332 52)
Short Term	Trimas CorporationSymbol Trs	TRS	09/27/16	190 000 11/11/15		20 88	3967 47	18 84	3,579 52	(387 95)
Short Term	Trimas CorporationSymbol Trs	TRS	12/05/16	500 000 12/14/15		19 12	9561 50	21 85	10,924 76	1,363 28
Short Term	Trimas CorporationSymbol Trs	TRS	12/05/16	190 000 12/29/15		18 50	3515 57	21 85	4,151 41	835 84
Short Term	Trimas CorporationSymbol Trs	TRS	12/16/16	10 000 12/29/15		18 50	185 03	22 95	229 49	44 46
Short Term	Trimas CorporationSymbol Trs	TRS	12/16/16	140 000 12/31/15		18 83	2635 91	22 95	3,212 93	577 02
Short Term	Trimas CorporationSymbol Trs	TRS	12/16/16	127 000 02/25/16		15 97	2027 72	22 95	2,914 59	886 87
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/11/16	860 000 02/18/15		12 74	10955 28	10 92	9,391 08	(1,564 21)
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/12/16	600 000 02/18/15		12 74	7643 22	11 02	6,614 25	(1,028 97)
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/16/16	500 000 02/18/15		12 74	6369 35	11 23	5,614 57	(754 78)
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/17/16	180 000 02/18/15		12 74	2292 97	11 22	2,020 33	(272 64)
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/17/16	2300 000 03/11/15		12 45	28626 72	11 22	25,815 32	(2,811 40)
Short Term	United Financial Bancorp IncNewSymbol Ubnk	UBNK	02/17/16	600 000 12/14/15		13 03	7817 52	11 22	6,734 43	(1,083 09)
							3,673,592 58		3,406,913 91	(266,678 56)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
CCM										
Short Term	Mississippi Home Corp Single-Family HousingAaa/N/A/Original Co 60535QLZ1	01/01/16		0 000 06/25/15		2 55	6383 63	2 55	6,383 63	-
Long Term	South Carolina St Hsg Fin &P/C 01/01/16 @ 100Aaa/N/ACusip 83712d-83712DSK3	01/04/16		10000 000 09/14/12		102 63	10263 16	100 00	10,000 00	(263 16)
Long Term	South Carolina St Hsg Fin &P/C 03/01/17 @ 100Aaa/N/ACusip 83712tc 83712TDA6	01/04/16		5000 000 08/19/14		100 69	5034 30	100 00	5,000 00	(34 30)
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 38Ppurchase Factor 0 0 31297TC50	01/15/16		0 000 09/18/05		0 01	38 33	100 00	37 97	(0 36)
Long Term	Fedl Home Loan Mtg Corp#37712Original Cost 602Ppurchase Factor 0 131294E899	01/15/16		0 000 02/04/11		0 12	602 90	100 00	617 99	15 08
Long Term	Fedl Home Loan Mtg Corp#6072Original Cost 519Ppurchase Factor 0 13128M8CH5	01/15/16		0 000 10/25/10		0 21	519 35	100 00	479 51	(39 85)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 288Ppurchase Factor 0 00 36201SL31	01/15/16		0 000 12/05/12		0 16	288 69	100 00	273 00	(15 70)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 513Ppurchase Factor 0 00 3620ASKK4	01/15/16		0 000 06/06/11		0 11	513 35	100 00	481 26	(32 10)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/Original C 3620C0XA1	01/15/16		0 000 05/17/10		0 04	95 95	100 00	93 23	(2 72)
Long Term	Kentucky Hsg Corp Hsg Rev HsgP/C 08/15/16 @ 100AAA/AAAOriginal C 49130TBS4	01/15/16		10000 000 10/21/09		100 30	10029 50	100 00	10,000 00	(29 51)
Short Term	Government National Mtg AssnSer 2015-86 Cl ActN/A/N/A/Original Cost 38379KRM2	01/19/16		0 000 06/10/15		0 13	512 06	100 00	510 63	(1 44)
Long Term	Gnma Remic Trust 2013-126AN/A/N/A/Original Cost 866Ppurchase Factor 0 38378KD71	01/19/16		0 000 08/08/13		0 16	866 11	100 00	872 66	6 55
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/A/Original 38376GFE5	01/19/16		0 000 02/05/10		0 28	1108 13	100 00	1,090 25	(17 88)
Long Term	Government National Mtg AssnSer 2013-29 Cl AbN/A/N/A/Original Cost 38378KAB5	01/19/16		0 000 10/28/14		0 20	797 57	100 00	817 35	19 78
Short Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/A/Original Cost 441Ppurchase F 3138LAY60	01/25/16		0 000 08/06/15		0 12	441 24	100 00	432 33	(8 92)
Short Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/A/Original Cost 668Ppurchase Fa 3138YT056	01/25/16		0 000 05/15/15		0 17	668 60	100 00	637 34	(31 27)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 411Ppurchase Factor 0 0 31377U836	01/25/16		0 000 09/19/06		0 16	411 45	100 00	410 51	(0 94)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 150Ppurchase Factor 0 0 31381KRQ4	01/25/16		0 000 07/12/09		0 13	150 17	100 00	140 85	(9 32)
Long Term	Fnma Gtd Pass Thru Pool#463776Original Cost 260Ppurchase Factor 0 0 31381LFR3	01/25/16		0 000 09/21/09		0 13	260 89	100 00	253 86	(7 03)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3671Ppurchase Factor 0 31381RKY9	01/25/16		0 000 05/25/11		0 82	3671 96	100 00	3,582 41	(89 56)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 364Ppurchase Factor 0 0 31381UB89	01/25/16		0 000 07/29/12		0 15	364 87	100 00	351 31	(13 56)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 779Ppurchase Factor 0 0 31410LA77	01/25/16		0 000 08/27/10		0 16	779 76	100 00	735 77	(44 00)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/A/Original Cost 583Ppurchase F: 3138M5KL2	01/25/16		0 000 07/18/12		0 16	583 44	100 00	548 82	(34 63)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/A/Original Cost 8132Ppurchase F 3138MFSA6	01/25/16		0 000 10/31/12		3 57	8132 78	100 00	7,715 38	(417 40)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/A/Original Cost 395Ppurchase Fa 3138WMLZ2	01/25/16		0 000 04/17/13		0 17	395 36	100 00	377 62	(17 75)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/A/Original Cost 493Ppurchase Fa 3138XL570	01/25/16		0 000 03/27/14		0 16	493 34	100 00	471 20	(22 15)
Long Term	Sba Gtd Pool # 508901Original Cost 598Ppurchase Factor 0 0033Current 83164K3J0	01/25/16		0 000 06/21/10		0 33	598 65	100 00	598 65	-
Long Term	Sba Gtd Pool#507529Original Cost 748Ppurchase Factor 0 002Current F, 83164LJL3	01/25/16		0 000 02/06/06		0 21	748 35	100 00	750 53	2 17
Long Term	Sba Gtd Pool#508900Original Cost 62Ppurchase Factor 0 0049Current F, 83164K2X0	01/25/16		0 000 06/21/10		0 50	62 45	100 00	61 92	(0 54)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T8P9	01/25/16		0 000 08/01/05		0 04	489 68	100 00	486 38	(3 31)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Mississippi Home Corp Single-Family HousingAaa/N/AOriginal Co 60535QLZ1		02/01/16	0 000 06/25/15		1 76	4395 84	1 76	4,395 84	-
Long Term	Small Business AdministrationSeries 2010-20b Class 1N/A/N/AOriginal 183162CTB9		02/01/16	0 000 05/15/13		5 07	11411 60	100 00	10,379 85	(1,031 76)
Short Term	Government National Mtg AssnSer 2015-86 Cl ActN/A/N/AOriginal Cost 38379KRM2		02/16/16	0 000 06/10/15		0 13	513 59	100 00	512 16	(1 44)
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 38Purchase Factor 0 0 31297TCS0		02/16/16	0 000 09/18/05		0 01	38 52	100 00	38 16	(0 36)
Long Term	Fedl Home Loan Mtg Corp#97712Original Cost 685Purchase Factor 0 0 31297TCS0		02/16/16	0 000 02/04/11		0 14	685 66	100 00	702 82	17 15
Long Term	Fedl Home Loan Mtg Corp#06072Original Cost 750Purchase Factor 0 1 3128M8CH5		02/16/16	0 000 10/25/10		0 31	750 46	100 00	692 89	(57 58)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 289Purchase Factor 0 00 36201SL31		02/16/16	0 000 12/05/12		0 16	289 39	100 00	273 66	(15 74)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 480Purchase Factor 0 00 3620AKK4		02/16/16	0 000 06/06/11		0 11	480 84	100 00	450 78	(30 07)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/NrOriginal C 3620C0XA1		02/16/16	0 000 05/17/10		0 04	96 35	100 00	93 62	(2 73)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 868Purchase Factor 38378KD71		02/16/16	0 000 08/08/13		0 16	868 30	100 00	874 87	6 56
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFES		02/16/16	0 000 02/05/10		0 28	1112 53	100 00	1,094 58	(17 95)
Long Term	Government National Mtg AssnSer 2013-29 Cl AbN/A/N/AOriginal Cost 38378KAB5		02/16/16	0 000 10/28/14		0 20	799 25	100 00	819 08	19 82
Long Term	Mississippi Home CorpP/C 02/20/17 @ 100N/A/Bb+Cusp 60535Nbt3		02/22/16	10000 000 07/14/08		116 67	11666 67	100 00	10,000 00	(1,666 67)
Long Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 443Purchase F 3138LAY60		02/25/16	0 000 08/06/15		0 12	443 05	100 00	434 10	(8 95)
Short Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/AOriginal Cost 276Purchase F 3138LCUG8		02/25/16	0 000 12/04/15		0 11	276 55	100 00	266 92	(9 63)
Short Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 704Purchase Fa 3138YQ56		02/25/16	0 000 05/15/15		0 18	704 31	100 00	671 38	(32 94)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 413Purchase Factor 0 0 31377UB36		02/25/16	0 000 09/19/06		0 17	413 72	100 00	412 78	(0 95)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 151Purchase Factor 0 0 31381KRQ4		02/25/16	0 000 07/12/09		0 13	151 12	100 00	141 74	(9 38)
Long Term	Fnma Gtd Pass Thru Pool#463776Original Cost 262Purchase Factor 0 0 31381LFR3		02/25/16	0 000 09/21/09		0 13	262 26	100 00	255 20	(7 07)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3687Purchase Factor 0 0 31381RKY9		02/25/16	0 000 05/25/11		0 82	3687 83	100 00	3,597 89	(89 95)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 366Purchase Factor 0 0 31381UB89		02/25/16	0 000 07/29/12		0 15	366 31	100 00	352 70	(13 61)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 2338Purchase Factor 0 31410LA77		02/25/16	0 000 08/27/10		0 48	2338 21	100 00	2,206 28	(131 94)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 546Purchase Fe 3138M5KL2		02/25/16	0 000 07/18/12		0 15	546 07	100 00	513 66	(32 41)
Long Term	Fnma Gtd Pass Thru Pool#q0512N/A/N/AOriginal Cost 367Purchase Fe 3138MFSAG		02/25/16	0 000 10/31/12		0 16	367 54	100 00	348 68	(18 86)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 441Purchase Fa 3138WMLZ2		02/25/16	0 000 04/17/13		0 19	441 13	100 00	421 33	(19 80)
Long Term	Fnma Gtd Pass Thru Pool#av157N/A/N/AOriginal Cost 4618Purchase F 3138XJ5T0		02/25/16	0 000 03/27/14		1 49	4618 52	100 00	4,411 20	(207 33)
Long Term	Sba Gtd Pool # 508901Original Cost 598Purchase Factor 0 0033Current 83164K3J0		02/25/16	0 000 06/21/10		0 33	598 95	100 00	598 95	-
Long Term	Sba Gtd Pool#507529Original Cost 748Purchase Factor 0 0002Current F 83164LJL3		02/25/16	0 000 02/06/06		0 21	748 73	100 00	750 91	2 18
Long Term	Sba Gtd Pool#508890Original Cost 62Purchase Factor 0 0049Current F 83164K2X0		02/25/16	0 000 06/21/10		0 50	62 49	100 00	61 96	(0 54)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T8P9		02/25/16	0 000 08/01/05		0 08	1045 44	100 00	1,038 39	(7 06)
Short Term	Mississippi Home Corp Single-Family HousingAaa/N/AOriginal Co 60535QLZ1		03/01/16	0 000 06/25/15		0 86	2142 79	0 86	2,142 79	-

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	United States Treasury BondAaa/N/AOriginal Cost 9,603 52Discount Ac912810RQ3		03/15/16	10000 000	03/01/16	96 39	9603 52	96 04	9,603 52	-
Long Term	Fedl Home Loan Mtg Corp#A37281Original Cost 38Purchase Factor 0 0 31297TC50		03/15/16	0 000 09/18/05	0 01	38 71	38 35	100 00	38 35	(0 37)
Long Term	Fedl Home Loan Mtg Corp#A97712Original Cost 607Purchase Factor 0 312946R99		03/15/16	0 000 02/04/11	0 12	607 72	622 92	100 00	622 92	15 20
Long Term	Fedl Home Loan Mtg Corp#G06072Original Cost 4249Purchase Factor 3128M8CH5		03/15/16	0 000 10/25/10	1 75	4249 19	3,923 18	100 00	3,923 18	(326 02)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 290Purchase Factor 0 00 36201SL31		03/15/16	0 000 12/05/12	0 16	290 09	274 32	100 00	274 32	(15 77)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 462Purchase Factor 0 00 3620ASKK4		03/15/16	0 000 06/06/11	0 10	462 91	433 97	100 00	433 97	(28 95)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N-Original C 3620COXA1		03/15/16	0 000 05/17/10	0 04	92 27	89 66	100 00	89 66	(2 62)
Short Term	Government National Mtg AssnSer 2015-86 Cl AcN/A/N/AOriginal Cost 38379KRM2		03/16/16	0 000 06/10/15	0 13	515 30	513 86	100 00	513 86	(1 44)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 523880Purchase Fa 38378KD71		03/16/16	0 000 08/08/13	0 16	870 51	877 09	100 00	877 09	6 58
Long Term	Government National Mtg AssnSeries 2010-13 Class 8N/A/N/AOriginal 38376GFES		03/16/16	0 000 02/05/10	0 28	1116 96	1,098 94	100 00	1,098 94	(18 02)
Long Term	Government National Mtg AssnSer 2013-29 Cl Abn/A/N/AOriginal Cost 38378KAB5		03/16/16	0 000 10/28/14	0 34	1354 21	1,387 80	100 00	1,387 80	33 58
Short Term	United States Treasury BondAaa/N/ACusip 912810RQ3		03/23/16	10000 000	03/01/16	96 39	9638 67	96 59	9,658 60	19 93
Short Term	United States Treasury BondAaa/N/ACusip 912810RQ3		03/23/16	10000 000	03/11/16	95 02	9537 49	96 59	9,658 60	121 11
Long Term	Sba Gtd Pool # 508901Original Cost 596Purchase Factor 0 0033Current 83164K3J0		03/25/16	0 000 06/21/10	0 33	596 00	596 00	100 00	596 00	-
Long Term	Sba Gtd Pool#507529Original Cost 735Purchase Factor 0 0002Current F. 83164IJJ3		03/25/16	0 000 02/06/06	0 20	735 26	737 40	100 00	737 40	2 14
Long Term	Sba Gtd Pool#508890Original Cost 62Purchase Factor 0 0048Current F. 83164K2X0		03/25/16	0 000 06/21/10	0 49	62 21	61 68	100 00	61 68	(0 54)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 8N/A/N/AC 9281278P9		03/25/16	0 000 09/01/05	0 07	936 10	929 78	100 00	929 78	(6 33)
Short Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 54SPurchase F 3138LAY60		03/28/16	0 000 08/06/15	0 14	545 85	534 82	100 00	534 82	(11 03)
Short Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/AOriginal Cost 349Purchase F 3138LCUG8		03/28/16	0 000 12/04/15	0 14	349 32	337 16	100 00	337 16	(12 17)
Short Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 716Purchase Fa 3138YTO56		03/28/16	0 000 05/15/15	0 18	716 70	683 19	100 00	683 19	(33 52)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 490Purchase Factor 0 0 31377U836		03/28/16	0 000 09/19/06	0 20	490 61	489 49	100 00	489 49	(1 13)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 152Purchase Factor 0 0 31381KRQ4		03/28/16	0 000 07/12/09	0 13	152 08	142 64	100 00	142 64	(9 44)
Long Term	Fnma Gtd Pass Thru Pool#463776Original Cost 330Purchase Factor 0 0 31381LFR3		03/28/16	0 000 09/21/09	0 16	330 47	321 57	100 00	321 57	(8 91)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3780Purchase Factor 0 31381RKV9		03/28/16	0 000 05/25/11	0 84	3780 75	3,688 54	100 00	3,688 54	(92 22)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 429Purchase Factor 0 0 31381U889		03/28/16	0 000 07/29/12	0 17	429 71	413 74	100 00	413 74	(15 97)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 4513Purchase Factor 0 31410LA77		03/28/16	0 000 08/27/10	0 93	4513 31	4,258 65	100 00	4,258 65	(254 67)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 23591Purchase 3138M5KL2		03/28/16	0 000 07/18/12	6 63	23591 19	22,190 95	100 00	22,190 95	(1,400 25)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 375Purchase F 3138MFSAG		03/28/16	0 000 10/31/12	0 16	375 81	356 53	100 00	356 53	(19 29)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 11328Purchase 3138WMLZ2		03/28/16	0 000 04/17/13	4 77	11328 89	10,820 34	100 00	10,820 34	(508 56)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/AOriginal Cost 3383Purchase F 3138XJ5T0		03/28/16	0 000 03/27/14	1 09	3383 30	3,231 43	100 00	3,231 43	(151 88)
Short Term	Mississippi Home Corp SingleSingle-Family HousingAaa/N/AOriginal Co 60535QLZ1		04/01/16	0 000 06/25/15	0 97	2436 14	2,436 14	0 97	2,436 14	-

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 38PPurchase Factor 0 0 31297TCS0		04/15/16	0 000 09/18/05		0 01	38 90	100 00	38 54	(0 37)
Long Term	Fedl Home Loan Mtg Corp#497112Original Cost 609PPurchase Factor 0 312946R99		04/15/16	0 000 02/04/11		0 12	609 98	100 00	625 24	15 26
Long Term	Fedl Home Loan Mtg Corp#606072Original Cost 75PPurchase Factor 0 0 3128M8CH5		04/15/16	0 000 10/25/10		0 03	75 56	100 00	69 77	(5 80)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 290PPurchase Factor 0 00 36201SL31		04/15/16	0 000 12/05/12		0 16	290 78	100 00	274 97	(15 81)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 480PPurchase Factor 0 00 3620A5KK4		04/15/16	0 000 06/06/11		0 10	480 46	100 00	450 42	(30 04)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N A N/R/N/Original C 3620CDA1		04/15/16	0 000 05/17/10		0 04	92 65	100 00	90 03	(2 63)
Short Term	Government National Mtg AssnSer 2015-86 Cl AcN/A/N/A/Original Cost 38379KRM2		04/18/16	0 000 06/10/15		0 13	518 13	100 00	516 68	(1 45)
Long Term	Gnma Remic Trust 2013-126AN/N/A/Original Cost 872Purchase Factor 38378KDD71		04/18/16	0 000 08/08/13		0 16	872 72	100 00	879 32	6 60
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/A/Original 38376GFES		04/18/16	0 000 02/05/10		0 28	1121 40	100 00	1,103 31	(18 10)
Long Term	Government National Mtg AssnSer 2013-29 Cl Abn/A/N/A/Original Cost 38378KAB5		04/18/16	0 000 10/28/14		0 20	801 58	100 00	821 46	19 88
Short Term	Fnma Gtd Pass Thru Pool#am932N/A/N/A/Original Cost 447Purchase F 3138LAY60		04/25/16	0 000 08/06/15		0 12	447 08	100 00	438 05	(9 03)
Short Term	Fnma Gtd Pass Thru Pool#am932N/A/N/A/Original Cost 447Purchase F 3138LQUG8		04/25/16	0 000 12/04/15		0 11	279 23	100 00	269 51	(9 73)
Short Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/A/Original Cost 981Purchase Fa 3138VTO56		04/25/16	0 000 05/15/15		0 25	981 27	100 00	935 38	(45 89)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 418PPurchase Factor 0 0 31377UB36		04/25/16	0 000 09/19/06		0 17	418 74	100 00	417 78	(0 96)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 153PPurchase Factor 0 0 31381KRQ4		04/25/16	0 000 07/12/09		0 13	153 04	100 00	143 54	(9 50)
Long Term	Fnma Gtd Pass Thru Pool#463776Original Cost 199522Distributions 17, 31381LFR3		04/25/16	208000 000 09/21/09		94 19	195910 47	100 00	190,630 02	(5,280 45)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3720Purchase Factor 0 31381RKY9		04/25/16	0 000 05/25/11		0 83	3720 12	100 00	3,629 39	(90 73)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 369PPurchase Factor 0 0 31381UB89		04/25/16	0 000 07/29/12		0 15	369 45	100 00	355 72	(13 73)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 3137Purchase Factor 0 31410LA77		04/25/16	0 000 08/27/10		0 65	3137 18	100 00	2,960 17	(177 02)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/A/Original Cost 493Purchase F 3138M5KL2		04/25/16	0 000 07/18/12		0 14	493 80	100 00	464 50	(29 31)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/A/Original Cost 398PPurchase F 3138MFA6		04/25/16	0 000 10/31/12		0 17	398 27	100 00	377 83	(20 44)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/A/Original Cost 378PPurchase Fa 3138WNLZ2		04/25/16	0 000 04/17/13		0 16	378 97	100 00	361 96	(17 01)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/A/Original Cost 426Purchase Fa 3138XU570		04/25/16	0 000 03/27/14		0 14	426 11	100 00	406 99	(19 13)
Long Term	Sba Gtd Pool # 508901Original Cost 596Purchase Factor 0 0033Current 83164K3J0		04/25/16	0 000 06/21/10		0 33	596 42	100 00	596 42	
Long Term	Sba Gtd Pool#507529Original Cost 735Purchase Factor 0 002Current F 83164JLJ3		04/25/16	0 000 02/06/06		0 20	735 78	100 00	737 92	2 14
Long Term	Sba Gtd Pool#508890Original Cost 62Purchase Factor 0 0048Current F 83164K2X0		04/25/16	0 000 06/21/10		0 49	62 27	100 00	61 74	(0 54)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812TP9		04/25/16	0 000 08/01/05		0 38	4951 22	100 00	4,917 78	(33 44)
Short Term	United States Treasury BondAaa/N/ACusp 912810RQ3		04/28/16	20000 000 04/26/16		95 12	19024 22	96 12	19,224 22	200 00
Short Term	Mississippi Home Corp Single-Family HousingAaa/N/A/Original Co 60535QLZ1		05/01/16	0 000 06/25/15		0 80	1999 21	0 80	1,999 21	
Short Term	Small Business Admin GtdSeries 2006-20e Class 1N/A/N/A/Original Cost 83162CCG1		05/01/16	0 000 03/16/16		3 52	16005 13	100 00	14,517 13	(1,488 01)
Long Term	Small Bus Admin Gtd Dev PtcSeries Db07 Class 20e-1N/A/N/A/Original C 83162CNA3		05/01/16	0 000 11/06/09		3 52	19522 94	100 00	18,235 01	(1,287 94)

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MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	South Carolina St Hsg Fin & P/C 03/01/17 @ 100Aaa/N/ACusip 83712c-83712TDA6		05/02/16	5000 000 08/19/14		100 69	5034 30	100 00	5,000 00	(34 30)
Short Term	Government National Mtg AssnSer 2015-86 Cl AcN/A/N/AOriginal Cost 38379KRM2		05/16/16	0 000 06/10/15	0 13		524 24	100 00	522 78	(1 47)
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 39Purchase Factor 0 0 31297TCS0		05/16/16	0 000 09/18/05	0 01		39 09	100 00	38 73	(0 37)
Long Term	Fedl Home Loan Mtg Corp#97712Original Cost 620Purchase Factor 0 0 312946R99		05/16/16	0 000 02/04/11	0 12		620 07	100 00	635 58	15 51
Long Term	Fedl Home Loan Mtg Corp#06072Original Cost 76Purchase Factor 0 0 3128M8CH5		05/16/16	0 000 10/25/10	0 03		76 02	100 00	70 19	(5 83)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 199Purchase Factor 0 00 36201SL31		05/16/16	0 000 12/05/12	0 11		199 89	100 00	189 03	(10 87)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 16999Purchase Factor 0 3620ASKK4		05/16/16	0 000 06/06/11	3 71		16999 44	100 00	15,936 48	(1,062 96)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/Original C 3620COKA1		05/16/16	0 000 05/17/10	0 04		92 98	100 00	90 35	(2 64)
Long Term	G N M A Pass Thru 2013-126AN/A/N/AOriginal Cost 874Purchase Factor 38378KD71		05/16/16	0 000 08/08/13	0 16		874 93	100 00	881 55	6 61
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFES		05/16/16	0 000 02/05/10	0 28		1125 86	100 00	1,107 70	(18 17)
Long Term	Government National Mtg AssnSer 2013-29 Cl AbN/A/N/AOriginal Cost 38378KAB5		05/16/16	0 000 10/28/14	0 20		803 27	100 00	823 20	19 92
Short Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 499Purchase F 31381AY60		05/25/16	0 000 08/06/15	0 13		499 27	100 00	489 18	(10 09)
Short Term	Fnma Gtd Pass Thru Pool#am0582N/A/N/AOriginal Cost 316Purchase F 31381CUG8		05/25/16	0 000 12/04/15	0 13		316 14	100 00	305 13	(11 01)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 458Purchase Factor 0 0 31377UB36		05/25/16	0 000 09/19/06	0 18		458 19	100 00	457 14	(1 05)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 154Purchase Factor 0 0 31381KRQ4		05/25/16	0 000 07/12/09	0 13		154 01	100 00	144 45	(9 56)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3773Purchase Factor 0 31381RKY9		05/25/16	0 000 05/25/11	0 84		3773 65	100 00	3,681 61	(92 04)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 401Purchase Factor 0 0 31381UB89		05/25/16	0 000 07/29/12	0 16		401 78	100 00	386 85	(14 93)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 1701Purchase Factor 0 31410LA77		05/25/16	0 000 08/27/10	0 35		1701 45	100 00	1,605 45	(96 01)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 505Purchase F 3138M5KL2		05/25/16	0 000 07/18/12	0 14		505 61	100 00	475 60	(30 01)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 378Purchase F 3138MFSAG6		05/25/16	0 000 10/31/12	0 17		378 18	100 00	358 77	(19 41)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 380Purchase Fa 3138WMLZ2		05/25/16	0 000 04/17/13	0 16		380 13	100 00	363 07	(17 06)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/AOriginal Cost 3135Purchase F 3138XU5T0		05/25/16	0 000 03/27/14	1 01		3135 54	100 00	2,994 79	(140 76)
Long Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 712Purchase Fa 3138YTQ56		05/25/16	0 000 05/15/15	0 18		712 39	100 00	679 08	(33 31)
Long Term	Sba Gtd Pool#507529Original Cost 736Purchase Factor 0 002Current F 83164UJ3		05/25/16	0 000 02/06/06	0 20		736 30	100 00	738 45	2 14
Long Term	Sba Gtd Pool#508890Original Cost 62Distributions 62Purchase Factor (83164K2X0		05/25/16	0 000 06/21/10	0 49		62 34	0 49	61 80	(0 54)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T8P9		05/25/16	0 000 08/01/05	0 06		778 86	100 00	773 61	(5 25)
Short Term	Mississippi Home Corp SingleSngle-Family HousingAaa/N/Original Co-60535QLZ1		06/01/16	0 000 06/25/15	1 24		3099 96	1 24	3,099 96	-
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 39Purchase Factor 0 0 31297TCS0		06/15/16	0 000 09/18/05	0 01		39 30	100 00	38 93	(0 37)
Long Term	Fedl Home Loan Mtg Corp#97712Original Cost 614Purchase Factor 0 312946R99		06/15/16	0 000 02/04/11	0 12		614 30	100 00	629 67	15 36
Long Term	Fedl Home Loan Mtg Corp#06072Original Cost 92Purchase Factor 0 0 3128M8CH5		06/15/16	0 000 10/25/10	0 04		92 51	100 00	85 42	(7 10)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 200Purchase Factor 0 00 36201SL31		06/15/16	0 000 12/05/12	0 11		200 38	100 00	189 49	(10 90)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 447Purchase Factor 0 003620ASKK4		06/15/16	0 000 06/06/11	0 10	447 89		100 00	419 89	(28 01)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/Original C 3620C0XA1		06/15/16	0 000 05/17/10	0 04	93 34		100 00	90 70	(2 65)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 877Purchase Factor 38378KD71		06/16/16	0 000 08/08/13	0 16	877 16		100 00	883 79	6 63
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFE5		06/16/16	0 000 02/05/10	2 76	11022 34		100 00	10,844 49	(177 85)
Long Term	Government National Mtg AssnSer 2013-29 Cl Abn/A/N/AOriginal Cost 38378KAB5		06/16/16	0 000 10/28/14	0 20	804 96		100 00	824 93	19 96
Long Term	Government National Mtg AssnSer 2015-86 Cl Ach/A/N/AOriginal Cost 38379KRM2		06/16/16	0 000 06/10/15	0 13	529 61		100 00	528 13	(1 49)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 423Purchase Factor 0 0 31377UB36		06/25/16	0 000 09/19/06	0 17	423 59		100 00	522 06	(9 97)
Long Term	Sba Gtd Pool # 508901Original Cost 522Purchase Factor 0 0028Current 83164K3J0		06/25/16	0 000 06/21/10	0 29	522 05		100 00	522 06	-
Long Term	Sba Gtd Pool#507529Original Cost 736Purchase Factor 0 002Current F 83164JLJ3		06/25/16	0 000 02/06/06	0 20	736 82		100 00	738 97	2 14
Long Term	Sba Gtd Pool#508890Original Cost 385Distributions 382Purchase Factor 83164K2X0		06/25/16	0 000 06/21/10	3 06	385 32		3 03	382 00	(3 32)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 9281278P9		06/25/16	0 000 08/01/05	0 06	775 60		100 00	770 37	(5 24)
Short Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 450Purchase F 31381AY60		06/27/16	0 000 08/06/15	0 12	450 95		100 00	441 84	(9 11)
Short Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/AOriginal Cost 281Purchase F 31381CUG8		06/27/16	0 000 12/04/15	0 11	281 78		100 00	271 97	(9 82)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 154Purchase Factor 0 0 31381KRQ4		06/27/16	0 000 07/12/09	0 13	154 99		100 00	145 37	(9 62)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 3752Purchase Factor 0 31381RKY9		06/27/16	0 000 05/25/11	0 83	3752 52		100 00	3,661 00	(91 53)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 372Purchase Factor 0 0 31381UB89		06/27/16	0 000 07/29/12	0 15	372 49		100 00	358 65	(13 84)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 375Purchase Factor 0 0 31410LA77		06/27/16	0 000 08/27/10	0 08	375 53		100 00	354 35	(21 19)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 486Purchase F 3138M5KL2		06/27/16	0 000 07/18/12	0 14	486 86		100 00	457 97	(28 90)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 379Purchase F 3138MFSAG		06/27/16	0 000 10/31/12	0 17	379 32		100 00	359 86	(19 47)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 386Purchase Fa 3138WMLZ2		06/27/16	0 000 04/17/13	0 16	386 64		100 00	369 29	(17 36)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/AOriginal Cost 3675Purchase F 3138XJ5T0		06/27/16	0 000 03/27/14	1 19	3675 88		100 00	3,510 87	(165 01)
Long Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 815Purchase Fa 3138YTC56		06/27/16	0 000 05/15/15	0 20	815 14		100 00	777 03	(38 12)
Long Term	Mississippi Home Corp Single-Family HousingAaa/N/AOriginal Co 60535QLZ1		07/01/16	0 000 06/25/15	1 01	2529 99		1 01	2,529 99	-
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 39Purchase Factor 0 0 31297TC50		07/15/16	0 000 09/18/05	0 01	39 49		100 00	39 12	(0 37)
Long Term	Fedl Home Loan Mtg Corp#37712Original Cost 664Purchase Factor 0 131294GR99		07/15/16	0 000 02/04/11	0 13	664 38		100 00	681 00	16 62
Long Term	Fedl Home Loan Mtg Corp#60720Original Cost 76Purchase Factor 0 0 3128M8CH5		07/15/16	0 000 10/25/10	0 03	76 91		100 00	71 01	(5 90)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 183Purchase Factor 0 0036201SL31		07/15/16	0 000 12/05/11	0 10	183 38		100 00	173 41	(9 97)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 38374Purchase Factor 0 3620ASKK4		07/15/16	0 000 06/06/12	8 38	38374 77		100 00	35,975 23	(2,399 55)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/Original C 3620C0XA1		07/15/16	0 000 05/17/10	4 44	11194 45		100 00	10,876 85	(317 60)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 879Purchase Factor 38378KD71		07/18/16	0 000 08/08/13	0 16	879 38		100 00	886 03	6 65
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFE5		07/18/16	0 000 02/05/10	0 28	1125 35		100 00	1,107 20	(18 16)

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MARY REYNOLDS BABCOCK FOUNDATION, INC 58-0890140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Government National Mtg AssnSer 2013-29 CI Abn/A/N/AOriginal Cost 38378KAB5		07/18/16	0 000 10/28/14	0 20	806 67		100 00	826 68	20 01
Long Term	Government National Mtg AssnSer 2015-86 CI Acn/A/N/AOriginal Cost 38379KRM2		07/18/16	0 000 06/10/15	0 13	532 49		100 00	531 00	(1 49)
Short Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 503BPurchase F 3138LAY60		07/25/16	0 000 08/06/15	0 13	503 02		100 00	492 86	(10 16)
Short Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/AOriginal Cost 318Purchase F 3138LUG8		07/25/16	0 000 12/04/15	0 13	318 61		100 00	307 52	(11 10)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 462Purchase Factor 0 0 31377UB36		07/25/16	0 000 09/19/06	0 19	462 92		100 00	461 86	(1 06)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 155Purchase Factor 0 0 31381KRQ4		07/25/16	0 000 07/12/09	0 13	155 97		100 00	146 29	(9 68)
Long Term	Fnma Gtd Pass Thru Pool#468411Original Cost 319497Distributions 19C 31381RKY9		07/25/16	450000 000 05/25/11	57 99	260953 10		100 00	254,588 36	(6,364 74)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 5730Purchase Factor 0 31410LA77		07/25/16	0 000 08/27/10	1 18	5730 93		100 00	5,407 56	(323 37)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 502Purchase F 3138M5KL2		07/25/16	0 000 07/18/12	0 14	502 40		100 00	472 58	(29 82)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 380Purchase F 3138MFA6		07/25/16	0 000 10/31/12	0 17	380 48		100 00	360 96	(19 53)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 369Purchase Fa 3138WML22		07/25/16	0 000 04/17/13	0 16	369 71		100 00	353 12	(16 60)
Long Term	Fnma Gtd Pass Thru Pool#av175N/A/N/AOriginal Cost 3623Purchase F 3138XU570		07/25/16	0 000 03/27/14	1 17	3623 19		100 00	3,460 55	(162 64)
Long Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 700Purchase Fa 3138YTQ56		07/25/16	0 000 05/15/15	0 18	700 89		100 00	668 12	(32 78)
Long Term	Sba Gtd Pool # 508901Original Cost 509Purchase Factor 0 0028Current 83164K3J0		07/25/16	0 000 06/21/10	0 28	509 53		100 00	509 53	-
Long Term	Sba Gtd Pool#507529Original Cost 737Purchase Factor 0 002Current F 83164LU3		07/25/16	0 000 02/06/06	0 20	737 34		100 00	739 49	2 14
Long Term	Sba Gtd Pool#508890Original Cost 359Purchase Factor 0 0282Current 183164K2X0		07/25/16	0 000 06/21/10	2 85	359 76		100 00	356 66	(3 10)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T8P9		07/25/16	0 000 08/01/05	0 47	6112 24		100 00	6,070 96	(41 28)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 404Purchase Factor 0 0 31381UB89		07/27/16	0 000 07/29/12	0 16	404 73		100 00	389 69	(15 04)
Long Term	Mississippi Home Corp SingleSingle-Family HousingAaa/N/AOriginal Co 60535QLZ1		08/01/16	0 000 06/25/15	1 42	3561 94		1 42	3,561 94	-
Long Term	Small Business AdministrationSeries 2010-20b Class 1N/A/N/AOriginal 183162CTB9		08/01/16	0 000 05/15/13	5 23	11761 16		100 00	10,697 80	(1,063 36)
Short Term	United States Treasury BondAaa/N/AOriginal Cost 49,385 42Discount A 91281ORT7		08/03/16	50000 000 08/03/16	98 77	49385 42		98 88	49,438 95	53 53
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 39Purchase Factor 0 0 31297TCS0		08/15/16	0 000 09/18/05	0 01	39 69		100 00	39 32	(0 37)
Long Term	Fedl Home Loan Mtg Corp#377120Original Cost 618Purchase Factor 0 312946R99		08/15/16	0 000 02/04/11	0 12	618 74		100 00	634 22	15 48
Long Term	Fedl Home Loan Mtg Corp#60720Original Cost 977Purchase Factor 0 3128M8CH5		08/15/16	0 000 10/25/10	0 40	977 07		100 00	902 11	(74 97)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 292Purchase Factor 0 00 36201SL31		08/15/16	0 000 12/05/12	0 16	292 88		100 00	276 96	(15 93)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 378Purchase Factor 0 00 3620AKK4		08/15/16	0 000 06/06/11	0 08	378 69		100 00	355 02	(23 68)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N A/N/R/N/Original C 3620C0XA1		08/15/16	0 000 05/17/10	0 03	73 06		100 00	70 99	(2 07)
Long Term	Kentucky Hsg Corp Hsg Rev HsgP/C 08/15/16 @ 100AAA/AAAOriginal C 491307BS4		08/15/16	100000 000 10/21/09	100 11	10010 76		100 00	10,000 00	(10 76)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 881Purchase Factor 38378KD71		08/16/16	0 000 08/08/13	0 16	881 61		100 00	888 28	6 66
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFES		08/16/16	0 000 02/05/10	0 28	1129 82		100 00	1,111 59	(18 23)
Long Term	Government National Mtg AssnSer 2013-29 CI Abn/A/N/AOriginal Cost 38378KAB5		08/16/16	0 000 10/28/14	0 47	1873 45		100 00	1,919 91	46 46

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Government National Mtg AssnSer 2015-86 Cl ActN/A/N/AOriginal Cost 38379KRM2		08/16/16	0.000 06/10/15	0.14	540.67	539.16	100.00	539.16	(1.52)
Long Term	Mississippi Home Corp/C 02/20/17 @ 100N/A/Bb-Cusip 60535NB13		08/22/16	5000.000 07/14/08	116.67	5833.33	5,000.00	100.00	5,000.00	(833.33)
Short Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/AOriginal Cost 284Purchase Fac 3138LCUG8		08/25/16	0.000 12/04/15	0.11	284.36	274.46	100.00	274.46	(9.91)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 428Purchase Factor 0.0 31377UB36		08/25/16	0.000 09/19/06	0.17	428.50	427.52	100.00	427.52	(0.98)
Long Term	Fnma Gtd Pass Thru Pool#463195Original Cost 156Purchase Factor 0.0 31381KRQ4		08/25/16	0.000 07/12/09	0.13	156.96	147.22	100.00	147.22	(9.75)
Long Term	Fnma Gtd Pass Thru Pool#470863Original Cost 375Purchase Factor 0.0 31381UB89		08/25/16	0.000 07/29/12	0.15	375.55	361.60	100.00	361.60	(13.96)
Long Term	Fnma Gtd Pass Thru Pool#890230Original Cost 386Purchase Factor 0.0 31410LA77		08/25/16	0.000 08/27/10	0.08	386.56	364.75	100.00	364.75	(21.81)
Long Term	Fnma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 454Purchase F 3138LAY60		08/25/16	0.000 08/06/15	0.12	454.84	445.65	100.00	445.65	(9.19)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 500Purchase Fac 3138M5KL2		08/25/16	0.000 07/18/12	0.14	500.30	470.61	100.00	470.61	(29.69)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 381Purchase Fac 3138M5SA6		08/25/16	0.000 10/31/12	0.17	381.64	362.06	100.00	362.06	(19.59)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 431Purchase Fa 3138WML22		08/25/16	0.000 04/17/13	0.18	431.64	412.27	100.00	412.27	(19.38)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/AOriginal Cost 10605Purchase 3138XJ5T0		08/25/16	0.000 03/27/14	3.42	10605.72	10,129.63	100.00	10,129.63	(476.09)
Long Term	Fnma Gtd Pass Thru Pool#a2275N/A/N/AOriginal Cost 703Purchase Fa 3138XTQ56		08/25/16	0.000 05/15/15	0.18	703.23	670.35	100.00	670.35	(32.89)
Long Term	Sba Gtd Pool # 508901Original Cost 509Purchase Factor 0.0028Current 83164K310		08/25/16	0.000 06/21/10	0.28	509.88	509.89	100.00	509.89	.
Long Term	Sba Gtd Pool#507529Original Cost 9903Purchase Factor 0.0275Current 83164JL13		08/25/16	0.000 02/06/06	2.75	9903.20	9,932.01	100.00	9,932.01	28.80
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T8P9		08/25/16	0.000 08/01/05	0.06	770.81	765.61	100.00	765.61	(5.21)
Unknown Term	Sba Gtd Pool#508890Original Cost 49Purchase Factor 0.0038Current F. 83164K2X0		08/25/16	0.000 06/21/10	0.39	49.18	49.18	100.00	49.18	.
Long Term	Mississippi Home Corp Single-Single-Family HousingAaa/N/AOriginal Co 60535QLZ1		09/01/16	0.000 06/25/15	1.29	3229.29	3,229.29	1.29	3,229.29	.
Long Term	Fedl Home Loan Mtg Corp#37281Original Cost 39Purchase Factor 0.0 31297TC50		09/15/16	0.000 09/18/05	0.01	39.88	39.51	100.00	39.51	(0.38)
Long Term	Fedl Home Loan Mtg Corp#97712Original Cost 621Purchase Factor 0.312946R99		09/15/16	0.000 02/04/11	0.12	621.23	636.77	100.00	636.77	15.54
Long Term	Fedl Home Loan Mtg Corp#06072Original Cost 2707Purchase Factor 0.3128M8CH5		09/15/16	0.000 10/25/10	1.11	2707.13	2,499.43	100.00	2,499.43	(207.70)
Long Term	G N M A Pass Thru Pool 591746Original Cost 293Purchase Factor 0.0036201SL31		09/15/16	0.000 12/05/12	0.16	293.58	277.62	100.00	277.62	(15.96)
Long Term	G N M A Pass Thru Pool 738398Original Cost 30274Purchase Factor 0.3620ASKK4		09/15/16	0.000 06/06/11	6.61	30274.82	28,381.76	100.00	28,381.76	(1,893.06)
Long Term	G N M A Pass Thru Pool 745073Bank Of America, N AN/R/N/Original C 3620CXA1		09/15/16	0.000 05/17/10	0.03	74.16	72.06	100.00	72.06	(2.10)
Long Term	Government National Mtg AssnSer 2016-113 Cl AN/A/N/AOriginal Cost 38379UG59		09/16/16	0.000 08/07/16	0.10	303.02	301.00	100.00	301.00	(2.02)
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 3293Purchase Fac 38378KD71		09/16/16	0.000 08/08/13	0.60	3293.23	3,318.12	100.00	3,318.12	24.88
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/AOriginal 38376GFES		09/16/16	0.000 02/05/10	0.28	1134.30	1,116.00	100.00	1,116.00	(18.30)
Long Term	Government National Mtg AssnSer 2013-29 Cl AbN/A/N/AOriginal Cost 38378KAB5		09/16/16	0.000 10/28/14	0.80	3210.06	3,289.68	100.00	3,289.68	79.61
Long Term	Government National Mtg AssnSer 2015-86 Cl ActN/A/N/AOriginal Cost 38379KRM2		09/16/16	0.000 06/10/15	5.42	21688.99	21,628.22	100.00	21,628.22	(60.78)
Long Term	Fnma Gtd Pass Thru Pool#387158Original Cost 430Purchase Factor 0.0 31377UB36		09/25/16	0.000 09/19/06	0.17	430.87	429.89	100.00	429.89	(0.99)
Long Term	Sba Gtd Pool # 508901Original Cost 510Purchase Factor 0.0028Current 83164K310		09/25/16	0.000 06/21/10	0.28	510.25	510.25	100.00	510.25	.

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

<u>Term</u>	<u>Description</u>	<u>Symbol</u>	<u>Close Date</u>	<u>Quantity</u>	<u>Open Date</u>	<u>Unit Cost</u>	<u>Net Cost</u>	<u>Unit Proceeds</u>	<u>Net Proceeds</u>	<u>Realized Gain/Loss</u>
Long Term	Sba Gtd Pool#507529Original Cost 687Purchase Factor 0 0019Current 1831641JL3	09/25/16	0 000 02/06/06	0 19	687 96	100 00	689 96	2 00		
Long Term	Sba Gtd Pool#508900Original Cost 49Purchase Factor 0 0039Current F 83164K2X0	09/25/16	0 000 06/21/10	0 39	49 64	100 00	49 22	(0 43)		
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 92812T899	09/25/16	0 000 08/01/05	0 06	797 47	100 00	792 09	(5 39)		
Short Term	Fhma Gtd Pass Thru Pool#40582N/A/N/AOriginal Cost 285Purchase F 3138LCUG8	09/26/16	0 000 12/04/15	0 11	285 57	100 00	275 63	(9 95)		
Long Term	Fhma Gtd Pass Thru Pool#463195Original Cost 157Purchase Factor 0 0 31381KRQ4	09/26/16	0 000 07/12/09	0 13	157 95	100 00	148 15	(9 81)		
Long Term	Fhma Gtd Pass Thru Pool#470863Original Cost 377Purchase Factor 0 0 31381UB89	09/26/16	0 000 07/29/12	0 15	377 04	100 00	363 03	(14 01)		
Long Term	Fhma Gtd Pass Thru Pool#890230Original Cost 5014Purchase Factor 0 31410LA77	09/26/16	0 000 08/27/10	1 04	5014 32	100 00	4,731 39	(282 94)		
Long Term	Fhma Gtd Pass Thru Pool#am9732N/A/N/AOriginal Cost 456Purchase F 3138LAY60	09/26/16	0 000 08/06/15	0 12	456 69	100 00	447 47	(9 23)		
Long Term	Fhma Gtd Pass Thru Pool#ap2098N/A/N/AOriginal Cost 624Purchase F 3138M5KL2	09/26/16	0 000 07/18/12	0 18	624 46	100 00	587 40	(37 07)		
Long Term	Fhma Gtd Pass Thru Pool#aq0512N/A/N/AOriginal Cost 382Purchase F 3138MFSA6	09/26/16	0 000 10/31/12	0 17	382 81	100 00	363 17	(19 65)		
Long Term	Fhma Gtd Pass Thru Pool#at0343N/A/N/AOriginal Cost 8037Purchase F 3138WMLZ2	09/26/16	0 000 04/17/13	3 38	8037 66	100 00	7,676 85	(360 81)		
Long Term	Fhma Gtd Pass Thru Pool#av7157N/A/N/AOriginal Cost 4498Purchase F 3138XJ5T0	09/26/16	0 000 03/27/14	1 45	4498 28	100 00	4,296 36	(201 93)		
Long Term	Fhma Gtd Pass Thru Pool#az2275N/A/N/AOriginal Cost 705Purchase F 3138YTQ56	09/26/16	0 000 05/15/15	0 18	705 65	100 00	672 65	(33 00)		
Long Term	Mississippi Home Corp Single-Single-Family HousingAaa/N/AOriginal Co 60535QLZ1	10/01/16	0 000 06/25/15	1 32	3,295 11	1 32	3,295 11	-		
Short Term	Government National Mtg AssnSer 2016-113 CI AN/A/N/AOriginal Cost 38379UG59	10/15/16	0 000 08/03/16	0 10	303 94	100 00	301 92	(2 03)		
Short Term	Government National Mtg AssnSer 2016-125 CI HaN/A/N/AOriginal Cos 38379V6P8	10/17/16	0 000 08/26/16	0 13	385 43	100 00	385 50	0 06		
Long Term	Fedl Home Loan Mtg Corp#372810Original Cost 40Purchase Factor 0 0 31297TC50	10/17/16	0 000 09/18/05	0 01	40 08	100 00	39 71	(0 38)		
Long Term	Fedl Home Loan Mtg Corp#60720Original Cost 42698Purchase Factor 0 312946R99	10/17/16	0 000 02/04/11	8 51	42,698 93	100 00	43,766 85	1,067 91		
Long Term	Fedl Home Loan Mtg Corp#60720Original Cost 72Purchase Factor 0 0 3128M8CH5	10/17/16	0 000 10/25/10	0 03	72 68	100 00	67 11	(5 58)		
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 294Purchase Factor 0 00 36201SL31	10/17/16	0 000 12/05/12	0 16	294 29	100 00	278 29	(16 00)		
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 331Purchase Factor 0 00 3620ASKK4	10/17/16	0 000 06/06/11	0 07	331 48	100 00	310 76	(20 73)		
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/AOriginal C 3620C0XA1	10/17/16	0 000 05/17/10	4 52	11,380 06	100 00	11,057 19	(322 87)		
Long Term	Gnma Remic Trust 2013-126AN/A/N/AOriginal Cost 2651Purchase Fact 38378KD71	10/17/16	0 000 08/08/13	0 48	2,651 90	100 00	2,671 95	20 04		
Long Term	Government National Mtg AssnSer 2015-86 CI AcN/A/N/AOriginal Cost 38379KRM2	10/17/16	0 000 06/10/15	0 13	519 66	100 00	518 21	(1 46)		
Long Term	Government National Mtg AssnSer 2010-13 Class BN/A/N/AOriginal 38376GFES	10/18/16	0 000 02/05/10	0 28	1,138 79	100 00	1,120 42	(18 37)		
Long Term	Government National Mtg AssnSer 2013-29 CI AbN/A/N/AOriginal Cost 38378KAB5	10/18/16	0 000 10/28/14	0 20	807 35	100 00	827 38	20 02		
Short Term	Fhma Gtd Pass Thru Pool#40582N/A/N/AOriginal Cost 322Purchase F 3138LCUG8	10/25/16	0 000 12/04/15	0 13	322 30	100 00	311 08	(11 23)		
Long Term	Fhma Gtd Pass Thru Pool#387158Original Cost 470Purchase Factor 0 0 31377UB36	10/25/16	0 000 09/19/06	0 19	470 00	100 00	468 93	(1 08)		
Long Term	Fhma Gtd Pass Thru Pool#463195Original Cost 158Purchase Factor 0 0 31381KRQ4	10/25/16	0 000 07/12/09	0 13	158 96	100 00	149 09	(9 87)		
Long Term	Fhma Gtd Pass Thru Pool#470863Original Cost 409Purchase Factor 0 0 31381UB89	10/25/16	0 000 07/29/12	0 16	409 14	100 00	393 94	(15 21)		
Long Term	Fhma Gtd Pass Thru Pool#890230Original Cost 2102Purchase Factor 0 31410LA77	10/25/16	0 000 08/27/10	0 43	2,102 68	100 00	1,984 04	(118 64)		

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MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0890140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Fmma Gtd Pass Thru Pool#am9732N/A/N/A/Original Cost 508Purchase F 3138LAY60		10/25/16		0 000 08/06/15	0 13	508 61	100 00	498 34	(10 28)
Long Term	Fmma Gtd Pass Thru Pool#ap2038N/A/N/A/Original Cost 493Purchase F 3138M5K12		10/25/16		0 000 07/18/12	0 14	493 43	100 00	464 15	(29 29)
Long Term	Fmma Gtd Pass Thru Pool#aq0512N/A/N/A/Original Cost 9514Purchase F 3138MFSAG		10/25/16		0 000 10/31/12	4 18	9514 06	100 00	9,025 77	(488 29)
Long Term	Fmma Gtd Pass Thru Pool#ar0343N/A/N/A/Original Cost 9919Purchase F 3138WMLZ2		10/25/16		0 000 04/17/13	4 18	9919 23	100 00	9,473 96	(445 27)
Long Term	Fmma Gtd Pass Thru Pool#av7157N/A/N/A/Original Cost 6617Purchase F 3138XJ5T0		10/25/16		0 000 03/27/14	2 13	6617 89	100 00	6,320 82	(297 08)
Long Term	Fmma Gtd Pass Thru Pool#az2275N/A/N/A/Original Cost 709Purchase Fa 3138YTQ56		10/25/16		0 000 05/15/15	0 18	709 19	100 00	676 03	(33 17)
Long Term	Sba Gtd Pool#507529Original Cost 688Purchase Factor 0 0019Current 183164JLJ3		10/25/16		0 000 02/06/06	0 19	688 43	100 00	690 44	2 00
Long Term	Sba Gtd Pool#508900Original Cost 67Purchase Factor 0 0052Current F 83164K2X0		10/25/16		0 000 06/21/10	0 53	67 41	100 00	66 83	(0 58)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/A/AC 92812T8P9		10/25/16		0 000 08/01/05	0 06	798 75	100 00	793 36	(5 40)
Long Term	Sba Gtd Pool # 508901Original Cost 0Distributions 180,423Purchase Fa 83164K3X0		10/25/16		0 000 03/03/08	0 00	510 61	0 28	510 61	-
Short Term	Small Business Admin GtdSeries 2006-20e Class 1N/A/N/A/Original Cost 83162CQG1		11/01/16		0 000 03/16/16	4 94	22459 64	100 00	20,371 56	(2,088 09)
Long Term	Mississippi Home Corp Single-Family HousingAaa/N/A/Original Co 60535QLZ1		11/01/16		0 000 06/25/15	2 11	5276 39	100 00	5,276 39	-
Long Term	Small Bus Admin Gtd Dev PtsSeries Db07 Class 20e-1N/A/N/A/Original C 83162CRA3		11/01/16		0 000 11/06/09	4 23	23473 48	100 00	21,924 93	(1,548 56)
Long Term	South Carolina St Hsg Fin &P/C 03/01/17 @ 100Aaa/N/A/Original Cost 5 83712TDA6		11/01/16	5000 000 08/19/14		100 65	5032 74	100 00	5,000 00	(32 75)
Long Term	Virginia St Hsg Dev AuthMulti-Family HousingWt/NrOriginal Cost 102,4-92812QUE5		11/01/16	100000 000 12/06/07		100 00	100000 00	100 00	100,000 00	-
Long Term	Fedl Home Loan Mtg Corp#az37281Original Cost 40Purchase Factor 0 0-31297TC50		11/15/16		0 000 09/18/05	0 01	40 28	100 00	39 91	(0 38)
Long Term	Fedl Home Loan Mtg Corp#az97712Original Cost 560Purchase Factor 0 31294GR99		11/15/16		0 000 02/04/11	0 11	560 52	100 00	574 54	14 02
Long Term	Fedl Home Loan Mtg Corp#g06072Original Cost 70Purchase Factor 0 0:3128M8CH5		11/15/16		0 000 10/25/10	0 03	70 98	100 00	65 54	(5 45)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 295Purchase Factor 0 00 36201SL31		11/15/16		0 000 12/05/12	0 16	294 99	100 00	278 96	(16 04)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 330Purchase Factor 0 00 3620ASKK4		11/15/16		0 000 06/06/11	0 07	330 74	100 00	310 06	(20 68)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/NrOriginal C 3620COXA1		11/15/16		0 000 05/17/10	0 02	48 32	100 00	46 95	(1 37)
Short Term	Government National Mtg AssnSer 2016-113 Cl AN/A/N/A/Original Cost 38379UG59		11/16/16		0 000 08/03/16	0 10	305 60	100 00	303 57	(2 04)
Short Term	Government National Mtg AssnSer 2016-125 Cl HaN/A/N/A/Original Cos 38379Y6P8		11/16/16		0 000 08/26/16	0 13	386 60	100 00	386 66	0 06
Long Term	Gmma Remic Trust 2013-126AN/A/N/A/Original Cost 14824Purchase Fac 38378KD71		11/16/16		0 000 08/08/13	2 70	14824 88	100 00	14,936 91	112 03
Long Term	Government National Mtg AssnSeries 2010-13 Class BN/A/N/A/Original Cost 38376GFES		11/16/16	400000 000 02/05/10		2 35	9403 32	100 00	9,251 60	(151 73)
Long Term	Government National Mtg AssnSer 2013-29 Cl ABN/A/N/A/Original Cost 38378KAB5		11/16/16		0 000 10/28/14	0 20	809 05	100 00	829 12	20 06
Long Term	Government National Mtg AssnSer 2015-86 Cl ACN/A/N/A/Original Cost 38379KRM2		11/16/16		0 000 06/10/15	0 13	521 22	100 00	519 76	(1 46)
Short Term	Fmma Gtd Pass Thru Pool#an0582N/A/N/A/Original Cost 288Purchase Fa 3138LCUG8		11/25/16		0 000 12/04/15	0 12	288 18	100 00	278 15	(10 04)
Long Term	Fmma Gtd Pass Thru Pool#b387158Original Cost 435Purchase Factor 0 0 31377UB36		11/25/16		0 000 09/19/06	0 17	435 86	100 00	434 86	(1 00)
Long Term	Fmma Gtd Pass Thru Pool#463195Original Cost 159Purchase Factor 0 0 31381KRQ4		11/25/16		0 000 07/12/09	0 13	159 97	100 00	150 04	(9 93)
Long Term	Fmma Gtd Pass Thru Pool#470863Original Cost 380Purchase Factor 0 0 31381UB89		11/25/16		0 000 07/29/12	0 15	380 13	100 00	366 01	(14 13)
Long Term	Fmma Gtd Pass Thru Pool#890230Original Cost 656Purchase Factor 0 0 31410LA77		11/25/16		0 000 08/27/10	0 14	656 22	100 00	619 20	(37 03)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Firma Gtd Pass Thru Pool#am9732N/A/N/A/Original Cost 460Purchase F 3138LAY60		11/25/16	0 000 08/06/15		0 12	460 63	100 00	451 33	(9 31)
Long Term	Firma Gtd Pass Thru Pool#ap2098N/A/N/A/Original Cost 505Purchase F 3138M5KL2		11/25/16	0 000 07/18/12		0 14	505 58	100 00	475 58	(30 01)
Long Term	Firma Gtd Pass Thru Pool#aq0512N/A/N/A/Original Cost 371Purchase F 3138M5FA6		11/25/16	0 000 10/31/12		0 16	371 18	100 00	352 13	(19 05)
Long Term	Firma Gtd Pass Thru Pool#at0343N/A/N/A/Original Cost 357Purchase Fa 3138WMLZ2		11/25/16	0 000 04/17/13		0 15	357 92	100 00	341 86	(16 07)
Long Term	Firma Gtd Pass Thru Pool#av7157N/A/N/A/Original Cost 3697Purchase F 3138YU570		11/25/16	0 000 03/27/14		1 19	3697 64	100 00	3,531 66	(165 99)
Long Term	Firma Gtd Pass Thru Pool#az2275N/A/N/A/Original Cost 827Purchase Fa 3138YU56		11/25/16	0 000 05/15/15		0 21	827 52	100 00	788 83	(38 70)
Long Term	Sba Gtd Pool # 508901Original Cost 510Purchase Factor 0 0028Current 83164K3J0		11/25/16	0 000 06/21/10		0 28	510 97	100 00	510 97	-
Long Term	Sba Gtd Pool#507529Original Cost 688Purchase Factor 0 0019Current 83164JLJ3		11/25/16	0 000 02/06/06		0 19	688 93	100 00	690 94	2 00
Long Term	Sba Gtd Pool#50890Original Cost 49Purchase Factor 0 0038Current F 83164K2X0		11/25/16	0 000 06/21/10		0 39	49 35	100 00	48 93	(0 43)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 9281278P9		11/25/16	0 000 08/01/05		0 06	793 73	100 00	793 34	(5 39)
Long Term	Mississippi Home Corp Single/Family HousingAaa/N/A/Original Co 60535QLZ1		12/01/16	0 000 06/25/15		1 01	2531 25	100 00	2,531 25	-
Long Term	Fedl Home Loan Mtg Corp#a37281Original Cost 40Purchase Factor 0 031297CS0		12/15/16	0 000 09/18/05		0 01	40 49	100 00	40 11	(0 38)
Long Term	Fedl Home Loan Mtg Corp#a37712Original Cost 545Purchase Factor 0 312946R99		12/15/16	0 000 02/04/11		0 11	545 66	100 00	559 31	13 64
Long Term	Fedl Home Loan Mtg Corp#g06072Original Cost 71Purchase Factor 0 03128M8CH5		12/15/16	0 000 10/25/10		0 03	71 73	100 00	66 23	(5 50)
Long Term	G N M A Pass Thru Pool 591746xOriginal Cost 295Purchase Factor 0 0036201SL31		12/15/16	0 000 12/05/12		0 16	295 70	100 00	279 63	(16 08)
Long Term	G N M A Pass Thru Pool 738398xOriginal Cost 333Purchase Factor 0 003620ASK4		12/15/16	0 000 06/06/11		0 07	333 62	100 00	312 76	(20 86)
Long Term	G N M A Pass Thru Pool 745073xBank Of America, N AN/R/N/Original C 3620COXA1		12/15/16	0 000 05/17/10		0 02	48 52	100 00	47 15	(1 38)
Short Term	Government National Mtg AssnSer 2016-113 CI AN/A/N/A/Original Cost 38379UG59		12/16/16	0 000 08/03/16		0 10	305 96	100 00	303 92	(2 04)
Short Term	Government National Mtg AssnSer 2016-125 CI HaN/A/N/A/Original Cos 38379V6P8		12/16/16	0 000 08/26/16		5 47	16424 83	100 00	16,427 40	2 57
Long Term	Gnma Remic Trust 2013-126AN/A/N/A/Original Cost 10462Purchase Fac 38378KD71		12/16/16	0 000 08/08/13		1 90	10462 37	100 00	10,541 44	79 06
Long Term	Government National Mtg AssnSer 2013-29 CI AbN/A/N/A/Original Cost 38378KAB5		12/16/16	0 000 10/28/14		1 78	7130 18	100 00	7,307 01	176 83
Long Term	Government National Mtg AssnSer 2015-86 CI AcN/A/N/A/Original Cost 38379KRM2		12/16/16	0 000 06/10/15		8 46	33848 09	100 00	33,753 25	(94 85)
Long Term	Firma Gtd Pass Thru Pool#470863Original Cost 412Purchase Factor 0 031381U889		12/25/16	0 000 07/29/12		0 16	412 16	100 00	396 85	(15 32)
Long Term	Sba Gtd Pool # 508901Original Cost 511Purchase Factor 0 0028Current 83164K3J0		12/25/16	0 000 06/21/10		0 28	511 34	100 00	511 34	-
Long Term	Sba Gtd Pool#507529Original Cost 689Purchase Factor 0 0019Current 83164JLJ3		12/25/16	0 000 02/06/06		0 19	689 41	100 00	691 42	2 01
Long Term	Sba Gtd Pool#50890Original Cost 49Purchase Factor 0 0038Current F 83164K2X0		12/25/16	0 000 06/21/10		0 39	49 39	100 00	48 97	(0 43)
Long Term	Virginia Housing DevelopmentAuthority Mtgpc/Series 2004 BN/A/N/AC 9281278P9		12/25/16	0 000 08/01/05		0 21	2702 82	100 00	2,684 57	(18 25)
Long Term	Firma Gtd Pass Thru Pool#387158Original Cost 474Purchase Factor 0 031377U836		12/27/16	0 000 09/19/06		0 19	474 85	100 00	473 77	(1 09)
Long Term	Firma Gtd Pass Thru Pool#463195Original Cost 160Purchase Factor 0 031381KRQ4		12/27/16	0 000 07/12/09		0 13	160 98	100 00	150 99	(10 00)
Long Term	Firma Gtd Pass Thru Pool#890230Original Cost 3721Purchase Factor 0 31410LA77		12/27/16	0 000 08/27/10		0 77	3721 54	100 00	3,511 55	(209 99)
Long Term	Firma Gtd Pass Thru Pool#am9732N/A/N/A/Original Cost 512Purchase F 3138LAY60		12/27/16	0 000 08/06/15		0 14	512 44	100 00	502 09	(10 36)

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Fnma Gtd Pass Thru Pool#an0582N/A/N/A/Original Cost 324Purchase Fc 3138L CUG8		12/27/16	0 000 12/04/15	0 13	324 84		100 00	313 53	(11 32)
Long Term	Fnma Gtd Pass Thru Pool#ap2098N/A/N/A/Original Cost 507Purchase Fc 3138M5KL2		12/27/16	0 000 07/18/12	0 14	507 15		100 00	477 05	(30 10)
Long Term	Fnma Gtd Pass Thru Pool#aq0512N/A/N/A/Original Cost 455Purchase Fc 3138MFSAG		12/27/16	0 000 10/31/12	0 20	455 64		100 00	432 26	(23 39)
Long Term	Fnma Gtd Pass Thru Pool#at0343N/A/N/A/Original Cost 1329Purchase 3138WMLZ2		12/27/16	0 000 04/17/13	5 60	13292 63		100 00	12,695 93	(596 71)
Long Term	Fnma Gtd Pass Thru Pool#av7157N/A/N/A/Original Cost 377Purchase Fa 3138XJ5T0		12/27/16	0 000 03/27/14	0 12	377 77		100 00	360 82	(16 96)
Long Term	Fnma Gtd Pass Thru Pool#az2275N/A/N/A/Original Cost 739Purchase Fa 3138YTO56		12/27/16	0 000 05/15/15	0 19	739 64		100 00	705 06	(34 59)
						1,477,483 53			1,438,727 99	(38,757 28)
						5,066 18			5,066 18	-
	SBA GTD Pool #508901, 0 6 due 7/25/120 Prin on 180423 BND, Rec 3/		5/25/2016			1,482,549 71			1,443,794 17	(38,757 28)

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Short Term	Aflac IncSymbol Afl	AFL	09/19/16	5600 000 02/05/16	58 13	325530 80		71 89	402,592 02	77,061 22
Short Term	Alaska Air Group IncSymbol Alk	ALK	04/15/16	400 000 06/02/15	65 87	26346 52		81 82	32,727 72	6,381 20
Short Term	Align Technology IncSymbol Align	ALGN	11/28/16	500 000 09/30/16	94 12	47060 70		95 49	47,745 30	684 60
Short Term	Asbury Automotive Group IncSymbol Abg	ABG	02/05/16	700 000 02/18/15	78 08	54654 89		47 07	32,947 83	(21,707 06)
Short Term	Avnet IncSymbol Avt	AVT	06/06/16	11000 000 12/09/15	43 98	483732 70		40 93	450,221 28	(33,511 42)
Short Term	Briggs & Stratton CorpSymbol Bgg	BGG	09/19/16	26000 000 02/05/16	20 45	531759 80		18 57	482,718 47	(49,041 33)
Short Term	Brinker International IncSymbol Eat	EAT	06/06/16	900 000 09/30/15	52 73	47455 20		45 63	41,067 99	(6,387 21)
Short Term	Brinker International IncSymbol Eat	EAT	06/06/16	2100 000 12/09/15	45 07	94640 28		45 63	95,825 32	1,185 04
Short Term	Broadcom LimitedIncSymbol Avgo	AVGO	09/19/16	1800 000 06/06/16	162 29	292127 76		170 00	306,001 06	13,873 30
Short Term	Campbell Soup CoSymbol Cpb	CPB	09/19/16	8500 000 02/05/16	55 62	472758 10		55 36	470,540 39	(2,217 71)
Short Term	Chubb LtdComSymbol Cb	CB	09/19/16	2000 000 06/06/16	125 92	251837 39		125 32	250,636 53	(1,200 86)
Short Term	Citrix Systems IncSymbol Ctxs	CTXS	09/19/16	3200 000 06/06/16	84 50	270410 22		83 63	267,600 24	(2,809 98)
Short Term	Citrix Systems IncSymbol Ctxs	CTXS	11/28/16	500 000 06/06/16	85 79	42893 30		87 70	43,850 04	956 74
Short Term	Comfort Systems Usa IncSymbol Fix	FIX	04/15/16	2600 000 12/09/15	32 63	84845 54		32 64	84,866 04	20 50
Short Term	Comfort Systems Usa IncSymbol Fix	FIX	09/19/16	13400 000 12/09/15	32 63	437280 86		29 85	399,931 69	(37,349 17)
Short Term	Comfort Systems Usa IncSymbol Fix	FIX	09/19/16	4000 000 02/05/16	25 42	101680 80		29 85	119,382 60	17,701 80
Short Term	D R Horton IncSymbol Dhi	DHI	09/19/16	10400 000 09/30/15	28 91	300640 08		30 58	318,002 18	17,362 10
Short Term	Euronet Worldwide IncSymbol Eeft	EEFT	09/19/16	2500 000 12/09/15	73 62	184047 50		78 53	196,333 21	12,285 71
Short Term	Gatx CorpSymbol Gatx	GATX	06/06/16	900 000 09/30/15	43 64	39274 20		46 61	41,947 10	2,672 90
Short Term	Harman InternationalIndustries IncSymbol Har	HAR	06/06/16	5400 000 12/09/15	95 45	515453 22		78 98	426,488 10	(88,965 12)
Short Term	Harman InternationalIndustries IncSymbol Har	HAR	06/06/16	800 000 02/05/16	71 24	56991 44		78 98	63,183 42	6,191 98

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Hartford Financial ServicesGroup IncSymbol Hig	HIG	02/05/16	12200 000 09/30/15		45 25	552037 80	40 52	494,359 30	(57,678 50)
Short Term	Hasbro IncSymbol Has	HAS	09/19/16	3200 000 06/06/16		80 23	256741 50	77 41	247,719 71	(9,021 79)
Short Term	Hasbro IncSymbol Has	HAS	11/28/16	800 000 06/06/16		86 43	69147 36	85 31	68,251 31	(896 05)
Short Term	Hni CorporationSymbol Hni	HNI	11/28/16	4100 000 09/19/16		53 91	221014 19	54 39	223,013 81	1,999 62
Short Term	Ingredion IncComSymbol Ingr	INGR	09/19/16	1950 000 02/05/16		100 55	196075 04	129 47	252,464 11	56,389 08
Short Term	Interpublic Group Of Cos IncSymbol Ipg	IPG	09/19/16	13100 000 02/05/16		21 17	277304 73	22 64	296,601 11	19,296 38
Short Term	Interpublic Group Of Cos IncSymbol Ipg	IPG	11/28/16	2200 000 02/05/16		21 17	46570 26	24 57	54,056 34	7,486 08
Short Term	Johnson & JohnsonSymbol Jnj	JNJ	09/19/16	2500 000 06/06/16		115 77	289436 50	118 09	295,219 81	5,783 31
Short Term	Kimberly Clark CorpSymbol Kmb	KMB	09/19/16	1500 000 06/06/16		123 07	184609 73	122 25	183,371 15	(1,238 58)
Short Term	Lam Research CorpSymbol Lrcx	LRCX	09/19/16	700 000 09/30/15		64 48	45138 24	92 36	64,654 51	19,516 27
Short Term	Lennox Intl IncSymbol Lxi	LXI	09/19/16	3800 000 06/06/16		138 42	526014 24	156 10	593,169 72	67,155 48
Short Term	MetLife IncSymbol Met	MET	02/05/16	3700 000 03/20/15		50 76	187809 99	38 72	143,275 05	(44,534 94)
Short Term	Nasdaq IncComSymbol Ndaq	NDAQ	09/19/16	8500 000 02/05/16		58 98	501327 45	69 86	593,782 60	92,455 15
Short Term	Newell Brands IncShsSymbol Nwl	NWL	09/19/16	7200 000 06/06/16		48 01	345659 04	51 10	367,945 81	22,286 77
Short Term	Owens CorningSymbol Oc	OC	09/19/16	2900 000 12/09/15		47 16	136773 86	51 99	150,770 61	13,996 75
Short Term	Prologis IncSymbol Pld	PLD	09/19/16	9000 000 02/05/16		39 28	353549 70	52 08	468,754 78	115,205 08
Short Term	Raymond James Financial IncSymbol Rjf	RJF	02/05/16	8400 000 06/23/15		61 21	514190 04	43 23	363,092 55	(151,097 49)
Short Term	Raymond James Financial IncSymbol Rjf	RJF	02/05/16	1400 000 09/30/15		49 14	68801 74	43 23	60,515 43	(8,286 31)
Short Term	Skyworks Solutions IncSymbol Swks	SWKS	06/06/16	1200 000 09/30/15		83 34	100008 96	66 87	80,246 81	(19,762 15)
Short Term	Stanley Black & Decker IncSymbol Swk	SWK	02/05/16	5900 000 06/02/15		103 56	611002 82	93 71	552,892 39	(58,110 43)
Short Term	Stryker CorpSymbol Syk	SYK	09/30/16	1900 000 09/19/16		114 42	217392 30	116 49	221,330 73	3,938 43
Short Term	Unitedhealth Group IncSymbol Unh	UNH	09/19/16	2400 000 04/15/16		126 90	304563 12	138 01	331,220 37	26,657 25
Short Term	Unitedhealth Group IncSymbol Unh	UNH	11/28/16	500 000 04/15/16		126 90	63450 65	151 77	75,884 69	12,434 04
Long Term	Abbvie IncSymbol Abbv	ABBV	09/19/16	4700 000 02/02/15		59 75	280824 57	63 10	296,569 17	15,744 60
Long Term	Aetna Inc NewSymbol Aet	AET	04/15/16	4200 000 02/02/15		91 05	382406 71	109 02	457,880 74	75,474 03
Long Term	Aetna Inc NewSymbol Aet	AET	04/15/16	300 000 02/18/15		96 76	29029 20	109 02	32,705 77	3,676 57
Long Term	Aetna Inc NewSymbol Aet	AET	04/15/16	700 000 03/20/15		108 01	75607 00	109 02	76,313 46	706 46
Long Term	Alaska Air Group IncSymbol Alk	ALK	09/19/16	5200 000 06/02/15		65 87	342504 76	65 91	342,748 44	243 68
Long Term	Amdocs LimitedSymbol Dcx	DOX	09/19/16	5200 000 03/20/15		53 82	279883 96	59 42	308,992 34	29,108 39
Long Term	Amdocs LimitedSymbol Dcx	DOX	11/28/16	500 000 03/20/15		53 82	26911 92	60 48	30,241 84	3,329 92
Long Term	Ameriprise Finl IncSymbol Amp	AMP	09/19/16	3100 000 02/02/15		112 90	349976 76	100 00	309,984 56	(39,992 20)
Long Term	Ameriprise Finl IncSymbol Amp	AMP	09/19/16	400 000 02/18/15		135 87	54346 36	100 00	39,998 01	(14,348 35)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

<u>Term</u>	<u>Description</u>	<u>Symbol</u>	<u>Close Date</u>	<u>Quantity</u>	<u>Open Date</u>	<u>Unit Cost</u>	<u>Net Cost</u>	<u>Unit Proceeds</u>	<u>Net Proceeds</u>	<u>Realized Gain/Loss</u>
Long Term	Amerisourcebergen CorpSymbol Abc	ABC	06/06/16	4200 000 02/02/15		93 88	394289 20	76 30	320,446 71	(73,842 49)
Long Term	Amerisourcebergen CorpSymbol Abc	ABC	06/06/16	300 000 02/18/15		100 58	30172 80	76 30	22,889 05	(7,283 75)
Long Term	Amerisourcebergen CorpSymbol Abc	ABC	06/06/16	600 000 03/20/15		113 13	67878 00	76 30	45,778 10	(22,099 90)
Long Term	Amgen IncSymbol Amgn	AMGN	09/19/16	2000 000 02/02/15		150 76	301518 00	172 59	345,172 87	43,654 87
Long Term	Apple IncSymbol Aapl	AAPL	09/19/16	3000 000 02/02/15		115 38	346152 64	113 55	340,648 57	(5,504 07)
Long Term	Asbury Automotive Group IncSymbol Abg	ABG	02/05/16	5900 000 02/02/15		74 83	441524 45	47 07	277,703 17	(163,821 28)
Long Term	At&T IncSymbol T	T	09/19/16	6600 000 02/02/15		33 31	219835 48	40 13	264,865 42	45,029 94
Long Term	Atmos Energy CorpSymbol Ato	ATO	02/05/16	900 000 02/02/15		56 95	51253 68	69 71	62,740 72	11,487 04
Long Term	Atmos Energy CorpSymbol Ato	ATO	09/19/16	3700 000 02/02/15		56 95	210709 56	75 11	277,900 94	67,191 38
Long Term	Avery Dennison CorpSymbol Avy	AVY	02/05/16	700 000 02/02/15		50 92	35641 32	63 12	44,186 61	8,545 29
Long Term	Avery Dennison CorpSymbol Avy	AVY	09/19/16	1700 000 02/02/15		50 92	86557 50	77 35	131,495 53	44,938 03
Long Term	Avista CorpSymbol Ava	AVA	02/05/16	1300 000 02/02/15		37 09	48216 27	37 87	49,226 45	1,010 18
Long Term	Avista CorpSymbol Ava	AVA	09/19/16	6900 000 02/02/15		37 09	255917 12	41 67	287,501 55	31,584 43
Long Term	Brinker International IncSymbol Eat	EAT	06/06/16	7500 000 02/02/15		57 23	429206 28	45 63	342,233 29	(86,972 99)
Long Term	Brinker International IncSymbol Eat	EAT	06/06/16	1200 000 02/18/15		62 06	74469 60	45 63	54,757 33	(19,712 27)
Long Term	Cardinal Health IncSymbol Cah	CAH	09/19/16	3700 000 02/02/15		78 16	289186 45	76 81	284,193 39	(4,993 06)
Long Term	Cdw CorporationComSymbol Cdw	CDW	06/06/16	3000 000 02/02/15		33 86	101594 25	42 18	126,548 04	24,953 79
Long Term	Cdw CorporationComSymbol Cdw	CDW	09/19/16	7300 000 02/02/15		33 86	247212 68	45 28	330,560 15	83,347 48
Long Term	Cdw CorporationComSymbol Cdw	CDW	11/28/16	1600 000 02/02/15		33 86	54183 60	51 76	82,813 87	28,630 27
Long Term	Cinemark Hldgs IncSymbol Cnk	CNK	09/19/16	9500 000 02/02/15		37 46	355902 45	38 17	362,626 09	6,723 64
Long Term	Cinemark Hldgs IncSymbol Cnk	CNK	11/28/16	900 000 02/02/15		37 46	33717 07	41 62	37,459 88	3,742 81
Long Term	Cisco Systems IncSymbol Cscs	CSCO	09/19/16	11000 000 02/18/15		29 38	323174 70	31 13	342,422 53	19,247 83
Long Term	Cisco Systems IncSymbol Cscs	CSCO	09/19/16	1200 000 03/20/15		28 35	34016 71	31 13	37,355 19	3,338 47
Long Term	Cvs Health CorporationSymbol Cvs	CVS	02/05/16	1100 000 02/02/15		98 08	107885 21	92 89	102,184 04	(5,701 17)
Long Term	Cvs Health CorporationSymbol Cvs	CVS	09/19/16	3100 000 02/02/15		98 08	304040 14	89 16	276,396 17	(27,643 97)
Long Term	Cvs Health CorporationSymbol Cvs	CVS	09/30/16	240 000 02/02/15		98 08	23538 59	89 47	21,472 33	(2,066 26)
Long Term	Cvs Health CorporationSymbol Cvs	CVS	09/30/16	360 000 02/18/15		104 02	37445 69	89 47	32,208 50	(5,237 19)
Long Term	Cvs Health CorporationSymbol Cvs	CVS	09/30/16	1500 000 03/20/15		103 93	155894 85	89 47	134,202 07	(21,692 78)
Long Term	Cvs Health CorporationSymbol Cvs	CVS	09/30/16	500 000 06/02/15		101 95	50975 00	89 47	44,734 02	(6,240 98)
Long Term	Davita IncSymbol Dva	DVA	09/19/16	5400 000 02/02/15		74 83	404056 20	63 63	343,599 36	(60,456 84)
Long Term	Davita IncSymbol Dva	DVA	09/19/16	800 000 02/18/15		73 19	58550 96	63 63	50,903 61	(7,647 35)
Long Term	Deluxe CorpSymbol Dlx	DLX	09/19/16	6400 000 02/02/15		63 34	405391 29	66 93	428,344 58	22,953 29

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Dr Pepper Snapple Group IncSymbol Dps	DPS	02/05/16	2600 000	02/02/15	77.48	201455.89	89.15	231,786.51	30,330.62
Long Term	Dr Pepper Snapple Group IncSymbol Dps	DPS	09/19/16	2000 000	02/02/15	77.48	154966.07	90.81	181,622.44	26,656.36
Long Term	Dr Pepper Snapple Group IncSymbol Dps	DPS	09/19/16	800 000	02/18/15	78.12	62492.96	90.81	72,648.97	10,156.01
Long Term	Dr Pepper Snapple Group IncSymbol Dps	DPS	11/28/16	300 000	03/20/15	76.47	22941.68	88.72	26,615.86	3,674.18
Long Term	Dst Systems Inc-DelSymbol Dst	DST	09/19/16	4300 000	02/02/15	96.08	413129.91	118.51	509,608.11	96,478.20
Long Term	Dst Systems Inc-DelSymbol Dst	DST	09/19/16	1100 000	03/20/15	107.67	118437.88	118.51	130,364.87	11,926.99
Long Term	Gatx CorpSymbol Gatx	GATX	06/06/16	7900 000	02/02/15	56.43	445784.89	46.61	368,202.28	(77,582.61)
Long Term	Gatx CorpSymbol Gatx	GATX	06/06/16	700 000	02/18/15	59.82	41871.20	46.61	32,625.52	(9,245.68)
Long Term	Gatx CorpSymbol Gatx	GATX	06/06/16	2100 000	03/20/15	60.12	126252.17	46.61	97,876.56	(28,375.61)
Long Term	Gatx CorpSymbol Gatx	GATX	06/06/16	200 000	06/02/15	55.98	11195.60	46.61	9,321.58	(1,874.02)
Long Term	General Mills IncSymbol Gis	GIS	02/05/16	3500 000	02/02/15	52.43	183493.36	55.29	193,515.28	10,021.92
Long Term	General Mills IncSymbol Gis	GIS	09/19/16	4800 000	02/02/15	52.43	251648.03	65.26	313,260.85	61,612.81
Long Term	General Mills IncSymbol Gis	GIS	09/19/16	300 000	02/18/15	52.87	15860.97	65.26	19,578.80	3,717.83
Long Term	General Mills IncSymbol Gis	GIS	11/28/16	500 000	02/18/15	52.87	26434.95	62.03	31,013.32	4,578.37
Long Term	Gilead Sciences IncSymbol Gild	GILD	06/06/16	3400 000	02/02/15	105.03	357085.27	86.50	294,113.64	(62,971.62)
Long Term	Gilead Sciences IncSymbol Gild	GILD	06/06/16	600 000	02/18/15	104.07	62439.00	86.50	51,902.41	(10,536.59)
Long Term	Gilead Sciences IncSymbol Gild	GILD	06/06/16	1300 000	03/20/15	102.50	133245.60	86.50	112,455.22	(20,790.38)
Long Term	Kroger Co (The)Symbol Kr	KR	02/05/16	5000 000	02/02/15	34.39	171934.40	37.72	188,597.52	16,663.12
Long Term	Kroger Co (The)Symbol Kr	KR	06/06/16	7600 000	02/02/15	34.39	261340.28	36.22	275,283.48	13,943.19
Long Term	Kroger Co (The)Symbol Kr	KR	06/06/16	1000 000	02/18/15	36.71	36709.00	36.22	36,221.51	(487.49)
Long Term	Kroger Co (The)Symbol Kr	KR	06/06/16	3600 000	03/20/15	38.25	137693.16	36.22	130,397.44	(7,295.72)
Long Term	Kroger Co (The)Symbol Kr	KR	06/06/16	1800 000	06/02/15	36.23	65212.20	36.22	65,198.72	(13.48)
Long Term	Lam Research CorpSymbol Lrcx	LRCX	06/06/16	1500 000	06/02/15	83.30	124944.45	82.83	124,238.54	(705.91)
Long Term	Lam Research CorpSymbol Lrcx	LRCX	09/19/16	3500 000	06/02/15	83.30	291537.05	92.36	323,272.55	31,735.50
Long Term	Lam Research CorpSymbol Lrcx	LRCX	11/28/16	400 000	09/30/15	64.48	25793.28	106.94	42,775.14	16,981.86
Long Term	Lowes Companies IncSymbol Low	LOW	09/19/16	3900 000	03/20/15	72.29	281912.59	71.20	277,664.58	(4,248.01)
Long Term	Lowes Companies IncSymbol Low	LOW	11/28/16	400 000	03/20/15	74.46	29785.50	71.48	28,592.01	(1,193.49)
Long Term	Mettlife IncSymbol Met	MET	02/05/16	8200 000	02/02/15	47.22	387190.88	38.72	317,528.50	(69,662.38)
Long Term	Microsoft CorpSymbol Mft	MSFT	02/05/16	1100 000	02/02/15	40.86	44948.98	49.79	54,770.96	9,821.98
Long Term	Microsoft CorpSymbol Mft	MSFT	09/19/16	6700 000	02/02/15	40.86	273780.14	57.06	382,319.79	108,539.65
Long Term	Microsoft CorpSymbol Mft	MSFT	11/28/16	600 000	02/02/15	40.86	24517.62	60.57	36,343.00	11,825.38
Long Term	Pnc Financial Svcs Group IncSymbol Pnc	PNC	09/19/16	3650 000	02/02/15	85.02	310306.34	90.15	329,037.04	18,730.70

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	Quest Diagnostics IncSymbol Dgx	DGX	09/19/16	4400 000 02/02/15		71.06	312651.06	83.59	367,805.58	55,154.52
Long Term	Quest Diagnostics IncSymbol Dgx	DGX	11/28/16	500 000 02/02/15		71.06	35528.53	88.16	44,082.03	8,553.50
Long Term	Ryman Hospitality Pptys IncComSymbol Rhp	RHP	06/06/16	9700 000 03/20/15		63.98	620588.85	50.49	489,778.21	(130,810.64)
Long Term	Ryman Hospitality Pptys IncComSymbol Rhp	RHP	06/06/16	1000 000 06/02/15		56.01	56011.50	50.49	50,492.60	(5,518.90)
Long Term	Skyworks Solutions IncSymbol Swks	SWKS	06/06/16	4900 000 02/02/15		80.84	396107.84	66.87	327,674.47	(68,433.37)
Long Term	Suntrust Banks IncSymbol Stn	STI	09/19/16	9600 000 02/02/15		38.69	371424.00	43.79	420,384.43	48,960.43
Long Term	Target CorpSymbol Tgt	TGT	06/06/16	6500 000 06/02/15		79.42	516230.65	68.44	444,832.75	(71,397.90)
Long Term	Verizon CommunicationsSymbol Vz	VZ	09/19/16	4300 000 02/02/15		46.66	200633.74	51.22	220,245.49	19,611.75
Long Term	Wells Fargo & CoSymbol Wfc	WFC	09/19/16	7400 000 02/02/15		52.20	386272.60	45.93	339,874.59	(46,398.01)
Long Term	Wells Fargo & CoSymbol Wfc	WFC	09/19/16	3600 000 03/20/15		55.82	200946.60	45.93	165,344.39	(35,602.21)
							26,724,376.21		26,717,473.23	(6,902.98)
Jag										
Short Term	Pitney Bowes Inc GlobalMedium Term NtsWr/NrOriginal Cost 171,086 172447XAA5		01/15/16	165000 000 02/05/15		100.00	165000.00	100.00	165,000.00	-
Short Term	Pitney Bowes Inc GlobalMedium Term NtsWr/NrOriginal Cost 10,318 7 72447XAA5		01/15/16	10000 000 04/02/15		100.00	10000.00	100.00	10,000.00	-
Short Term	Pitney Bowes Inc GlobalMedium Term NtsWr/NrOriginal Cost 25,773 8 72447XAA5		01/15/16	25000 000 04/13/15		100.00	25000.00	100.00	25,000.00	-
Short Term	Pitney Bowes Inc GlobalMedium Term NtsWr/NrOriginal Cost 25,265 6 72447XAA5		01/15/16	25000 000 10/07/15		100.00	25000.00	100.00	25,000.00	-
Short Term	Pitney Bowes Inc GlobalMedium Term NtsWr/NrOriginal Cost 25,232 2 72447XAA5		01/15/16	25000 000 10/09/15		100.00	25000.00	100.00	25,000.00	-
Long Term	United States Treasury NoteAaa/NrOriginal Cost 342,341 68Premium 912828D56		03/08/16	325000 000 02/03/15		104.77	340494.96	104.72	340,336.10	(158.87)
Short Term	United States Treasury NoteAaa/NrOriginal Cost 15,028 13Premium A 912828D56		03/08/16	15000 000 07/10/15		100.18	15036.30	104.72	15,707.82	681.52
Short Term	United States Treasury NoteAaa/NrOriginal Cost 66,914 45Premium A 912828D56		03/08/16	65000 000 09/30/15		102.81	66827.68	104.72	68,067.22	1,239.53
Long Term	United States Treasury NoteAaa/NrOriginal Cost 345,646 88Premium 912828VF4		03/17/16	345000 000 02/03/15		100.15	345513.91	100.23	345,808.68	294.77
Short Term	United States Treasury NoteAaa/NrOriginal Cost 75,131 85Premium A 912828VF4		03/17/16	75000 000 09/30/15		100.16	75119.11	100.23	75,175.80	56.69
Long Term	Masco CorpWr/NrOriginal Cost 208,357 50Premium Amortized 9,541 7 574599BD7		04/15/16	195000 000 02/05/15		101.96	198815.76	102.56	199,991.84	1,176.08
Short Term	Masco CorpWr/NrOriginal Cost 52,100Premium Amortized 1,110 19 574599BD7		04/15/16	50000 000 09/30/15		101.98	50989.81	102.56	51,279.96	290.14
Long Term	Federal Home Loan Mtg CorpOriginal Cost 346,501 44Premium Amortize 3137EADL0		05/19/16	345000 000 02/05/15		100.22	345772.33	100.18	345,621.00	(151.33)
Short Term	Federal Home Loan Mtg CorpOriginal Cost 75,497 25Premium Amortize 3137EADL0		05/19/16	75000 000 09/30/15		100.45	75339.41	100.18	75,135.00	(204.42)
Long Term	U S BancorpA1/A-Original Cost 213,242 40Premium Amortized 1,155 1 91159HHE3		06/15/16	210000 000 02/04/15		100.99	212087.24	101.88	213,952.20	1,864.96
Short Term	U S BancorpA1/A-Original Cost 40,574 60Premium Amortized 122 37 91159HHE3		06/15/16	40000 000 10/16/15		101.13	40452.23	101.88	40,752.80	300.57
Short Term	U S BancorpA1/A-Original Cost 5,084 60Premium Amortized 2 54 91159HHE3		06/15/16	5000 000 05/18/16		101.64	5082.06	101.88	5,094.10	12.03
Short Term	Federal Home Loan Mtg CorpCusip 3134G7G88		06/30/16	210000 000 09/30/15		100.00	210000.00	100.00	210,000.00	-
Short Term	Federal Home Loan Mtg CorpOriginal Cost 4,994 60Discount Accreted C 3134G7G88		06/30/16	5000 000 03/24/16		99.89	4994.60	100.00	5,000.00	5.40
Short Term	Federal Farm Credit BankOriginal Cost 74,996 25Discount Accreted 0 3133EFF51		07/05/16	75000 000 09/30/15		100.00	74996.25	100.00	75,000.00	3.75

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term	Federal Farm Credit BankCusip 3133ef1b1	3133EF1B1	07/05/16	350000 000 10/19/15		100 00	350000 00	100 00	350,000 00	-
Long Term	American Express CoSr NtsA3/Bbb+Original Cost 139,714 75Premium A 025816AX7	A025816AX7	07/07/16	125000 000 02/04/15		105 25	131564 19	105 38	131,721 25	157 06
Short Term	American Express CoSr NtsA3/Bbb+Original Cost 32,574 30Premium A 025816AX7	A025816AX7	07/07/16	30000 000 09/30/15		105 13	31538 10	105 38	31,613 10	75 00
Short Term	American Express CoSr NtsA3/Bbb+Original Cost 10,637 80Premium A 025816AX7	A025816AX7	07/07/16	10000 000 04/25/16		105 40	10539 96	105 38	10,537 70	(2 26)
Long Term	Whitewave Foods CoSenior Notes81/Bb-Original Cost 68,493 75Premium 966244AA3	966244AA3	08/30/16	65000 000 07/31/15		104 67	68036 30	113 25	73,612 50	5,576 20
Long Term	Whitewave Foods CoSenior Notes81/Bb-Original Cost 100,106 25Premium 966244AA3	966244AA3	09/08/16	95000 000 07/31/15		104 65	99416 58	112 75	107,112 50	7,695 92
Short Term	Whitewave Foods CoSenior Notes81/Bb-Original Cost 41,500Premium / 966244AA3	966244AA3	09/08/16	40000 000 09/30/15		103 31	41325 58	112 75	45,100 00	3,774 42
Long Term	International Lease Fin CorpBaa1/Bbb-Original Cost 15,319 62Premium / 459745GP4	459745GP4	09/14/16	15000 000 02/04/15		101 08	15161 44	102 05	15,307 50	146 05
Long Term	Vornado Realty LPSenior Unsecured NotesBaa2/BbbOriginal Cost 71,14 929043AH0	71,14 929043AH0	09/14/16	70000 000 04/13/15		101 10	70769 96	101 20	70,840 00	70 04
Long Term	Vornado Realty LPSenior Unsecured NotesBaa2/BbbOriginal Cost 101,7 929043AH0	101,7 929043AH0	09/14/16	100000 000 04/16/15		101 18	101177 00	101 20	101,200 00	23 00
Short Term	Vornado Realty LPSenior Unsecured NotesBaa2/BbbOriginal Cost 40,11 929043AH0	40,11 929043AH0	09/14/16	40000 000 09/30/15		100 22	40087 13	101 20	40,480 00	392 87
Short Term	Icahn Enterprises LP/Corp Snt 6%208a3/Bb+Original Cost 167,562 50C 451102AX5	451102AX5	10/12/16	175000 000 09/09/16		95 75	167562 50	99 13	173,468 75	5,906 25
Short Term	Icahn Enterprises LP/Corp Snt 6%208a3/Bb+Original Cost 4,860Discou 451102AX5	451102AX5	10/12/16	5000 000 09/16/16		97 20	4860 00	99 13	4,956 25	96 25
Long Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 186,309 46Premium A 631103AE8	631103AE8	10/25/16	170000 000 02/10/15		104 05	176887 84	104 37	177,430 70	542 86
Long Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 21,953 42Premium A 631103AE8	631103AE8	10/25/16	20000 000 04/16/15		104 39	20878 58	104 37	20,874 20	(4 38)
Long Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 48,431 25Premium A 631103AE8	631103AE8	10/25/16	45000 000 09/30/15		104 10	46846 93	104 37	46,966 95	120 02
Short Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 5,296 29Premium A 631103AE8	631103AE8	10/25/16	5000 000 04/25/16		104 21	5210 74	104 37	5,218 55	7 81
Short Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 5,291 50Premium A 631103AE8	631103AE8	10/25/16	5000 000 05/18/16		104 32	5215 92	104 37	5,218 55	2 63
Short Term	Nasdaq Stk Mkt IncSr NtBaa3/BbbOriginal Cost 5,244Premium Amortiz 631103AE8	631103AE8	10/25/16	5000 000 09/19/16		104 51	5225 59	104 37	5,218 55	(7 04)
Short Term	Aflac IncA3/A-Original Cost 5,371 62Premium Amortized 5 34	001055AL6	11/28/16	5000 000 10/19/16		107 33	5366 28	103 86	5,192 90	(173 38)
Long Term	Burlington Northn Santa Fe LLC A3/AOriginal Cost 5,124 90Premium A 12189LAM3	12189LAM3	11/28/16	5000 000 02/04/15		101 98	5099 20	101 23	5,061 65	(37 55)
Long Term	Centurylink IncSr UnsecuredBaa3/BbbOriginal Cost 10,941 83Premium A 156700AR7	156700AR7	11/28/16	10000 000 02/06/15		107 01	10701 04	103 24	10,324 00	(377 04)
Long Term	City Natl CorpA3/Aa-Original Cost 11,550Premium Amortized 480 29	178566AC9	11/28/16	10000 000 02/04/15		110 70	11069 71	110 14	11,014 30	(55 41)
Long Term	Cvs Caremark CorpSr NtBaa1/Bbb+Original Cost 5,490 95Premium A 126650BW9	126650BW9	11/28/16	5000 000 02/06/15		107 14	5356 79	105 78	5,289 15	(67 65)
Long Term	Discover Bk New Castle DelBaa3/BbbOriginal Cost 10,272 10Premium A 25466AA48	25466AA48	11/28/16	10000 000 02/04/15		102 01	10200 99	100 75	10,074 50	(126 50)
Long Term	Encsson LmUnsecuredBaa3/BbbOriginal Cost 10,675Premium Amortize 294829AA4	294829AA4	11/28/16	10000 000 02/06/15		105 21	10520 79	100 70	10,070 40	(450 39)
Long Term	Express Scripts Hldg Co Sr NtA 75%218aa2/Bbb+Original Cost 11,275Pn 302190AE8	302190AE8	11/28/16	10000 000 02/04/15		109 56	10955 63	108 15	10,814 80	(140 83)
Long Term	Federal Farm Credit BankCusip 3133ef4a2	3133EF4A2	11/28/16	10000 000 05/24/16		100 00	10000 00	98 15	9,814 50	(185 50)
Short Term	Federal Farm Credit BankOriginal Cost 19,995Discount Accreted 0	3133EGUD5	11/28/16	20000 000 07/13/16		99 98	19995 00	98 33	19,665 20	(329 80)
Long Term	Federal National Mtg AssnOriginal Cost 20,383 02Premium Amortized 13135GGYT4	13135GGYT4	11/28/16	20000 000 09/30/15		101 22	20211 00	100 89	20,178 52	(32 48)
Short Term	Federal Natl Mtg AssnOriginal Cost 19,990Discount Accreted 0	3136G3QF5	11/28/16	20000 000 05/24/16		99 95	19990 00	98 52	19,703 62	(286 38)
Long Term	Intel CorpSr UnsecuredA1/A+Original Cost 9,991Discount Accreted 0	458140AM2	11/28/16	10000 000 11/20/15		99 91	9991 00	100 87	10,086 51	95 51

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term	International Lease Fin CorpBaa1/Bbb-Original Cost 10,213 08Premium / 459745GP4		11/28/16	10000 000 02/04/15		100 94	10094 15	101 66	10,166 30	72 14
Long Term	Jefferies Group Inc NewSr NtBaa3/Bbb-Original Cost 11,473 19Premium 472319AH5		11/28/16	10000 000 02/09/15		110 80	11080 20	114 08	11,407 50	327 30
Long Term	Kroger CoBaa1/BbbOriginal Cost 5,204 67Premium Amortized 47 89 501044CQ2		11/28/16	5000 000 02/05/15		103 14	5156 78	101 20	5,059 95	(96 83)
Short Term	Lazard Group LLCBaa3/A-Original Cost 10,460 96Premium Amortized 8: 52107QA72		11/28/16	10000 000 12/16/15		103 77	10377 41	104 99	10,499 20	121 79
Long Term	Level 3 Financing IncB1/Bb-Cusip 527298BD4	527298BD4	11/28/16	10000 000 05/14/15		101 88	10187 50	101 49	10,149 00	(38 50)
Long Term	Macy's Retail Hldgs IncSenior NotesBaa2/BbbOriginal Cost 5,331 25Prer 55616XAF4		11/28/16	5000 000 02/04/15		105 01	5250 72	102 13	5,106 40	(144 33)
Short Term	Masco CorpNtBaa2/BbbOriginal Cost 10,213 06Premium Amortized 21 8574599BK1		11/28/16	10000 000 05/17/16		101 91	10191 20	100 49	10,049 00	(142 20)
Short Term	Micron Technology Inc Sr Nt5 875%2Baa3/BbCusip 595112BA0	595112BA0	11/28/16	5000 000 09/09/16		101 25	5062 50	102 74	5,137 00	74 50
Short Term	Nasdaq Omx Group IncBaa3/BbbOriginal Cost 10,699 49Premium Amol631103AF5		11/28/16	10000 000 10/25/16		106 92	10691 96	102 87	10,286 90	(405 06)
Long Term	Nisource Fin Corp Nt6 80%19Baa2/Bbb+Original Cost 11,837 50Pre 65473QA70		11/28/16	10000 000 02/06/15		110 09	11009 44	109 57	10,956 60	(52 85)
Short Term	Owens Corning IncSr UnsecuredBaa1/BbbOriginal Cost 10,569 80Premium 690742A03		11/28/16	10000 000 06/21/16		105 35	10534 97	103 66	10,365 90	(169 07)
Short Term	Pitney Bowes IncGlobal Medium Term NotesBaa3/Bbb-Original Cost 10 72447WAA7		11/28/16	10000 000 02/10/16		103 54	10354 03	103 35	10,335 10	(18 94)
Short Term	Raymond James Finl IncSenior NotesBaa2/BbbOriginal Cost 10,061 28P 754730AE9		11/28/16	10000 000 07/07/16		100 59	10059 33	97 41	9,741 30	(318 03)
Long Term	Thomson Reuters CorpBaa2/Bbb+Original Cost 5,532 90Premium Amor 884903BG9		11/28/16	5000 000 02/06/15		106 68	5333 79	106 47	5,323 65	(10 14)
Short Term	United States Treasury Note10 Yr Inflation Indexed NotesAaa/N/ACusip 912828N71		11/28/16	15000 000 03/09/16		104 05	15610 13	101 87	15,415 29	(191 49)
Long Term	United States Treasury NoteAaa/N/ACusip 912828SX9	912828SX9	11/28/16	25000 000 02/03/15		99 82	24954 10	99 66	24,914 05	(40 05)
Short Term	United States TreasuryInflation Protected NoteAaa/N/ACusip 912828PP9		11/28/16	15000 000 03/17/16		115 94	17390 49	105 35	17,316 44	(74 81)
Long Term	Verizon Communications IncSr UnsecuredBaa1/Bbb+Original Cost 5,508 92343V8Q6		11/28/16	5000 000 02/05/15		107 04	5352 01	107 05	5,352 25	0 24
Long Term	Wellpoint IncSenior NotesBaa2/AOriginal Cost 10,162 10Premium Amo 94973VBH9		11/28/16	10000 000 02/02/15		100 98	10098 49	99 82	9,982 30	(116 20)
Long Term	Zurich Reins Centre Hldgs IncSr NoteBaa3/BbbOriginal Cost 12,450Pre 989822AA9		11/28/16	10000 000 02/04/15		120 02	12002 03	115 95	11,595 00	(407 03)
							4,064,064 65		4,090,248 75	26,186 56
Saybrook										
Short Term	Ebay IncSymbol Ebay	EBAY	04/29/16	7100 000 09/09/15		26 54	188448 91	24 17	171,610 35	(16,838 56)
Long Term	Advantix IncCommon StockSymbol Asix	ASIX	10/27/16	280 000 12/17/09		5 29	1481 38	15 96	4,467 89	2,986 51
Long Term	Alphabet IncClass A Common StockSymbol Googl	GOOGL	10/27/16	250 000 10/10/08		160 76	40190 00	817 41	204,353 04	164,163 04
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	05/12/16	1100 000 02/06/14		149 12	164032 00	89 21	98,127 76	(65,904 24)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	05/12/16	1100 000 02/10/14		145 42	159962 00	89 21	98,127 76	(61,834 24)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	08/18/16	300 000 02/10/14		145 42	43626 00	88 38	26,513 66	(17,112 34)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	08/18/16	1700 000 05/08/14		130 51	221867 00	88 38	150,244 08	(71,622 92)
Long Term	Procter & Gamble CoSymbol Pg	PG	05/26/16	3000 000 04/14/09		47 36	142080 00	81 21	243,629 18	101,549 18
Long Term	Procter & Gamble CoSymbol Pg	PG	05/26/16	300 000 05/20/15		80 74	24221 16	81 21	24,362 92	141 76
							985,908 45		1,021,436 64	35,528 19

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0680140
FOR THE YEAR ENDED DECEMBER 31, 2016
REALIZED GAIN/LOSS

Term	Description	Symbol	Close Date	Quantity	Open Date	Unit Cost	Net Cost	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Wedgewood										
Short Term	Charles Schwab Corp NewSymbol Schw	SCHW	03/23/16	2270 000	01/15/16	26.84	60927.94	28.06	63,694.81	2,766.87
Short Term	Kraft Heinz Company (The)Common StockSymbol Khc	KHC	03/23/16	3360 000	09/10/15	72.51	243628.22	76.82	258,111.25	14,483.03
Short Term	M & T Bank CorpSymbol Mtb	MTB	02/02/16	6419 000	02/02/15	114.19	733017.17	105.59	677,805.68	(55,211.49)
Short Term	M & T Bank CorpSymbol Mtb	MTB	02/03/16	1540 000	02/18/15	121.68	187387.20	104.15	160,393.28	(26,993.92)
Short Term	M & T Bank CorpSymbol Mtb	MTB	02/03/16	1840 000	03/16/15	125.95	231743.22	104.15	191,638.73	(40,104.49)
Short Term	Paypal Holdings IncComSymbol Pyp	PYPL	03/23/16	3300 000	09/09/15	34.40	113530.56	40.34	133,120.74	19,590.18
Short Term	Perrigo Company PlcSymbol Prgo	PRGO	04/28/16	6300 000	12/02/15	149.35	940933.35	96.55	608,280.72	(332,652.63)
Short Term	Qualcomm IncSymbol Qcom	QCOM	02/01/16	5380 000	02/02/15	65.02	349788.53	45.72	245,977.68	(103,810.85)
Short Term	Stericycle IncSymbol Srd	SRCL	03/23/16	2890 000	03/07/16	114.78	331714.40	123.92	358,139.20	26,424.80
Long Term	Alphabet IncClass A Common StockSymbol Googl	GOOGL	03/23/16	800 000	02/02/15	524.05	419237.91	757.17	605,732.55	186,494.64
Long Term	Apple IncSymbol Aapl	AAPL	03/23/16	10100 000	02/02/15	117.27	1184427.00	106.13	1,071,889.63	(112,537.37)
Long Term	Berkshire Hathaway Inc DelCl BSymbol Brkb	BRKB	03/23/16	5670 000	02/02/15	144.71	820487.92	141.06	799,801.27	(20,686.65)
Long Term	Cognizant Technology SolutionsCorp Cl ASymbol Cts	CTSH	03/23/16	3180 000	02/02/15	53.48	170057.23	58.99	187,579.66	17,522.43
Long Term	Express Scripts HoldingCompanySymbol Esrx	ESRX	03/23/16	8700 000	02/02/15	80.75	702496.15	67.45	586,823.08	(115,673.07)
Long Term	Express Scripts HoldingCompanySymbol Esrx	ESRX	06/07/16	2540 000	02/02/15	80.75	205096.58	75.96	192,936.73	(12,159.85)
Long Term	Liq CorporationSymbol Lq	LQ	03/23/16	12720 000	02/02/15	26.06	331459.42	30.24	384,594.80	53,135.38
Long Term	M & T Bank CorpSymbol Mtb	MTB	02/03/16	2081 000	02/02/15	114.19	237639.62	104.15	216,739.24	(20,900.39)
Long Term	Mead Johnson NutritionCompany Common StockSymbol Mjn	MJN	03/23/16	4150 000	02/02/15	98.08	407019.26	81.42	337,888.95	(69,130.31)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	03/23/16	2270 000	02/02/15	151.89	344795.42	130.39	295,989.74	(48,805.69)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	03/23/16	410 000	02/18/15	150.62	61752.56	130.39	53,460.70	(8,291.86)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	04/28/16	220 000	02/18/15	150.62	33135.52	96.55	21,241.55	(11,893.97)
Long Term	Perrigo Company PlcSymbol Prgo	PRGO	04/28/16	355 000	03/16/15	170.63	60575.07	96.55	34,276.14	(26,298.93)
Long Term	Priceline Group Inc (The)Symbol Pcin	PCLN	03/23/16	400 000	02/02/15	1001.43	400572.80	1303.51	521,404.03	120,831.23
Long Term	Qualcomm IncSymbol Qcom	QCOM	03/23/16	12730 000	02/02/15	65.02	827659.47	50.88	647,689.55	(179,969.92)
Long Term	Qualcomm IncSymbol Qcom	QCOM	06/07/16	5945 000	02/02/15	65.02	386522.82	54.84	326,001.23	(60,521.59)
Long Term	Verisk Analytics IncComSymbol Vrs	VRSK	03/23/16	3630 000	02/02/15	64.30	233426.83	77.64	281,839.76	48,412.93
Long Term	Visa IncCl A Common StockSymbol V	V	03/23/16	1000 000	02/02/15	62.88	62878.48	73.86	73,858.88	10,980.40
						10,081,910.65			9,336,909.58	(745,001.09)
Subtotal: Gain on Sale of Publicly Traded Securities						87,596,412.72			84,817,047.96	(2,779,363.97)

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Access To Capital For Entrepreneurs Inc 3173 Highway 129 North Cleveland, GA 30528 NP-R4 \$250,000 00 2015	501(c)(3) - Charitable PC	General Operating Support	\$187,500 00	\$0 00	\$0 00	\$125,000 00	\$62,500 00
Partnership for Southern Equity on behalf of Advancing Equity and Opportunity Collaborative 100 Peachtree Street, Suite 1960 Atlanta, GA 30303 NP-OF \$12,000 00 2016	501(c)(3) - Charitable PC	General Operating Support	\$0 00	\$12,000 00	\$0 00	\$12,000 00	\$0 00
Alabama Applesseed Center For Law And Justice Inc P O, Box 4864 Montgomery, AL 36103-4864 NP-R4 \$150,000 00 2014	501(c)(3) - Charitable PC	General Operating Support	\$37,500 00	\$0 00	\$0 00	\$37,500 00	\$0 00
Alabama Applesseed Center For Law And Justice Inc P O, Box 4864 Montgomery, AL 36103-4864 NP-R4 \$75,000 00 2016	501(c)(3) - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$37,500 00	\$37,500 00
Alabama Asset Building Coalition 601 19th Street, North, 2nd Floor Birmingham, AL 35203 NP-R4 \$165,000 00 2014	501(c)(3) - Charitable PC	General Operating Support	\$0 00	\$41,250 00	\$0 00	\$41,250 00	\$0 00
Alabama Coalition for Immigrant Justice 117 S Crest Drive, Suite #202, Homewood Birmingham, AL 33209 NP-R4 \$75,000 00 2016	501(c)(3) - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Alabama Possible PO Box 55058 Birmingham, AL 35255 NP-R4 \$175,000 00 2015	501(c)(3) - Charitable PC	General Operating Support	\$87,500 00	\$0 00	\$0 00	\$87,500 00	\$0 00
ACT Albany Community Together Inc 230 S Jackson Street, Suite 118 Albany, GA 31701 NP-R4 \$100,000 00 2016	501(c)(3) - Charitable PC	General Operating Support	\$0 00	\$100,000 00	\$0 00	\$100,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Kentucky Coalition, Inc. on behalf of Alliance for Appalachia 2507 Mineral Springs Avenue, Suite D Knoxville, WV 37917 NP-RA 2015	501(c)3 - Charitable PC	General Operating Support	\$15,000 00	\$0 00	\$0 00	\$15,000 00	\$0 00
Kentucky Coalition, Inc. on behalf of Alliance for Appalachia 2507 Mineral Springs Ave, Suite D Knoxville, TN 37917 NP-RA 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$30,000 00	\$0 00	\$15,000 00	\$15,000 00
Anderson Interfaith Ministries Inc 1202 South Murray Avenue Anderson, SC 29624 NP-RA 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00
Appalachian Voices, Inc. 589 West King Street Boone, NC 28607 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00
Mountain Association for Community Economic Development on behalf of Appalachia Funders Network 1456 Patton Avenue, Suite C Asheville, NC 28806 NP-COF 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$10,000 00	\$0 00	\$10,000 00	\$0 00
Mountain Association for Community Economic Development on behalf of Appalachia Funders Network 1456 Patton Avenue, Suite C Asheville, NC 28806 NP-RA 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$40,000 00	\$0 00	\$20,000 00	\$20,000 00
Mountain Association for Community Economic Development on behalf of Appalachia Funders Network 1456 Patton Avenue, Suite C Asheville, NC 28806 Honorary- June 2016 Board Meeting 2016	501(c)3 - Charitable PC	Honorarium	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
Appalachian Citizens' Law Center 317 Main Street Whitesburg, KY 41858 NP-RA 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$75,000 00	\$75,000 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 66-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Appalachian Sustainable Development PO Box 791 Abingdon, VA 24212 <i>NP-OF</i> \$15,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00
Appalshop Inc. 91 Madison Ave Whitesburg, KY 41858 <i>NP-OF</i> \$10,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$10,000 00	\$0 00	\$10,000 00	\$0 00
Arise Citizens Policy Project P O Box 1188 Montgomery, AL 36101-1188 <i>NP-RA</i> \$260,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$195,000 00	\$0 00	\$0 00	\$130,000 00	\$65,000 00
Arkansas Advocates For Children And Families 1400 W Markham Street, Suite 306 Little Rock, AR 72201 <i>NP-RA</i> \$100,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$25,000 00	\$0 00	\$0 00	\$25,000 00	\$0 00
Arkansas Advocates For Children And Families 1400 W Markham Street, Suite 306 Little Rock, AR 72201 <i>NP-RA</i> \$150,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$37,500 00	\$112,500 00
Arkansas Public Policy Panel Inc 1308 W 2nd St Little Rock, AR 72201 <i>NP-RA</i> \$350,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$87,500 00	\$0 00	\$0 00	\$87,500 00	\$0 00
Berea College on behalf of Brushy Fork Institute CPO 2164, Berea College Berea, KY 40404 <i>NP-OF</i> \$10,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$10,000 00	\$0 00	\$10,000 00	\$0 00
Black Belt Community Foundation Inc Post Office Box 2020 Selma, AL 36702 <i>NP-OF</i> \$15,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00

MARY REYNOLDS BARCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Blueprint North Carolina 3739 National Drive, Suite 228 Raleigh, NC 27612 NP-RA \$200,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$50,000 00	\$0 00	\$0 00	\$50,000 00	\$0 00
Blueprint North Carolina 3739 National Drive, Suite 228 Raleigh, NC 27612 NP-RA \$200,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$200,000 00	\$0 00	\$50,000 00	\$150,000 00
Carolina Small Business Development Fund (formerly The Support Fund) 3128 Highwoods Blvd., Suite 170 Raleigh, NC 27604 UAF \$502,500 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$100,500 00	\$0 00	\$33,567 00	\$66,933 00
Center For Community Change 1536 U Street NW Washington, DC 20009 NP-RA \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Center For Hens Property Preservation 1535 Sam Rittenberg Blvd., Suite D Charleston, SC 29407 NP-RA \$350,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$175,000 00	\$0 00	\$0 00	\$175,000 00	\$0 00
Center For Rural Strategies Inc 46 East Main Street Whitesburg, KY 41858 Honorarium-June 2016 Board Meeting \$500 00 2016	501(c)3 - Charitable PC	Honorarium	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
Center For Rural Strategies Inc 46 East Main Street Whitesburg, KY 41858 NP \$75,000 00 2016	501(c)3 - Charitable PC	Design and implementation of strategic communication plan for Appalachian Transition	\$0 00	\$75,000 00	\$0 00	\$37,500 00	\$37,500 00
Center on Budget and Policy Priorities 820 First Street NE Suite 510 Washington, DC 20002 NP-RA \$150,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000 00	\$0 00	\$0 00	\$75,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Mountain Association of Community Economic Development on behalf of Central Appalachian Network 433 Chestnut Street Berea, KY 40403 NP-RA \$225,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Citizen Potawatomi Community Development Corporation 103 E. MacArthur, Suite 206 Shawnee, OK 74804 UAF \$837,500.00 2016	Governmental Entity (Chartered corporation of federally recognized Indian Tribe)	Uplift America Fund	\$0.00	\$337,500.00	\$0.00	\$71,250.00	\$266,250.00
Coalfield Development Corporation P O Box 1133 Wayne, WV 25570 NP-RA \$200,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$200,000.00	\$0.00	\$100,000.00	\$100,000.00
Coalfield Development Corporation P O Box 1133 Wayne, WV 25570 <i>Honorarium - June 2016 Board Meeting</i> \$500.00 2016	501(c)3 - Charitable PC	Honorarium	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Coastal Enterprises, Inc. 30 Federal Street, Suite 100 Brunswick, ME 04011 UAF \$1,340,000.00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0.00	\$1,000,000.00	\$0.00	\$250,000.00	\$750,000.00
Community Farm Alliance Inc 327 Chestnut Street, Suite 1 PO Box 130 Berea, KY 40403 NP-RA \$150,000.00 2014	501(c)3 - Charitable PC	General Operating Support	\$37,500.00	\$0.00	\$0.00	\$37,500.00	\$0.00
Community Farm Alliance Inc 327 Chestnut Street, Suite 1 PO Box 130 Berea, KY 40403 NP-RA \$75,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$37,500.00	\$0.00	\$37,500.00	\$0.00
Community Ventures Corporation 1450 North Broadway Lexington, KY 40505 UAF \$1,005,000.00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
CommunityWorks Carolina 107 West Antrim Drive Greenville, SC 29607 NP-R4 \$250,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$62,500 00	\$0 00	\$0 00	\$62,500 00	\$0 00
CommunityWorks Carolina 107 West Antrim Drive Greenville, SC 29607 NP-R4 \$250,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$250,000 00	\$0 00	\$62,500 00	\$187,500 00
Corporation for Enterprise Development 1200 G Street, Suite 400 Washington, DC 20005 UAF \$371,311 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$371,311 00	\$0 00	\$46,413 88	\$324,897 12
Democracy North Carolina 1821 Green St Durham, NC 27705 NP \$200,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$50,000 00	\$0 00	\$0 00	\$50,000 00	\$0 00
Democracy North Carolina 1821 Green St Durham, NC 27705 NP-R4 \$200,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$200,000 00	\$0 00	\$50,000 00	\$150,000 00
The Edge Connection Inc 975 Cobb Place, Suite 101 Kennesaw, GA 30144 NP-R4 \$175,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$87,500 00	\$0 00	\$0 00	\$87,500 00	\$0 00
EveryBlackGirl, Inc 2201 High Street Columbia, SC 29203 NP-OF \$15,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00
Federation of Child Care Centers of Alabama P.O. Box 214 Montgomery, AL 36101 NP-R4 \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Federation of Southern Cooperatives/Land Assistance Fund 2769 Church Street East Point, GA 30344 <i>NP-RA</i> \$300,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$225,000 00	\$0 00	\$0 00	\$150,000 00	\$75,000 00
Federation of Southern Cooperatives/Land Assistance Fund 2769 Church Street East Point, GA 30344 <i>NP-OF</i> \$5,000 00 2016	501(c)3 - Charitable PC	CoopEcon 2016	\$0 00	\$5,000 00	\$0 00	\$5,000 00	\$0 00
Federation Of Appalachian Housing Inc 319 Oak Street Berea, KY 40403 <i>UAF-</i> \$1,340,000 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$1,000,000 00	\$0 00	\$300,000 00	\$700,000 00
Foundation For The Mid South Inc 134 East Amite Street Jackson, MS 39201 <i>NP-RA</i> \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00
Foundation for Appalachian Kentucky P O Box 310 5864 KY Highway 28 Chavies, KY 41727 <i>NP-RA</i> \$150,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000 00	\$0 00	\$0 00	\$75,000 00	\$0 00
Foundation for Appalachian Kentucky P O Box 310 5864 KY Highway 28 Chavies, KY 41727 <i>Honorarium - June 2016 Board Meeting</i> \$500 00 2016	501(c)3 - Charitable PC	Honorarium	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
Vaion Shared, Inc. on behalf of Generation West Virginia Capitol Street Charleston, WV 25301 <i>Honorarium - June 2016 Board Meeting</i> \$500 00 2016	501(c)3 - Charitable PC	Honorarium	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
Georgia ACT Inc. 250 Georgia Avenue, SE, Suite 350 Atlanta, GA 30312 <i>NP</i> \$75,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$37,500 00	\$0 00	\$0 00	\$37,500 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 66-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Georgia ACT Inc. 250 Georgia Avenue, SE, Suite 350 Atlanta, GA 30312 NP-RA \$85,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$85,000 00	\$0 00	\$42,500 00	\$42,500 00
Georgia Applesseed Inc 1100 Peachtree St Ste 2800 Atlanta, GA 30309 NP-RA \$165,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$41,250 00	\$0 00	\$0 00	\$41,250 00	\$0 00
Georgia Applesseed Inc 1100 Peachtree St Ste 2800 Atlanta, GA 30309 NP-RA \$87,500 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$87,500 00	\$0 00	\$43,750 00	\$43,750 00
Georgia Budget And Policy Institute Inc 100 Edgewood Avenue, Suite 950 Atlanta, GA 30303 NP-RA \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00
Georgia Conference of Black Mayors, Inc. PO Box 1328 Washington, GA 30673 NP-RA \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Georgia Justice Project Inc 438 Edgewood Avenue Atlanta, GA 30312 NP-RA \$150,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000 00	\$0 00	\$0 00	\$75,000 00	\$0 00
Georgia Latino Alliance for Human Rights 7 Dunwoody Park Suite 110 Atlanta, GA 30338 NP \$50,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$25,000 00	\$0 00	\$0 00	\$25,000 00	\$0 00
Georgia Latino Alliance for Human Rights 7 Dunwoody Park Suite 110 Atlanta, GA 30338 NP-RA \$25,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$25,000 00	\$0 00	\$12,500 00	\$12,500 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Georgia Strategic Alliance For New Direction And Unified Policies 501 Pulliam St SW Suite 100 Atlanta, GA 30312 NP-RA 2015	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$150,000.00	\$0.00	\$100,000.00	\$50,000.00
Neighborhood Funders Group on behalf of Grantmakers for Southern Progress 4354 S. Sherwood Forest Blvd Suite 200 Washington, DC 94612 NP-RA 2015	501(c)3 - Charitable PC	General Operating Support	\$25,000.00	\$25,000.00	\$0.00	\$50,000.00	\$0.00
Greater Birmingham Ministries Inc 2304 12th Avenue North Birmingham, AL 35224 NP 2015	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$112,500.00	\$0.00	\$75,000.00	\$37,500.00
The Greater Kanawha Valley Foundation P O Box 3041 Charleston, WV 25331 Honorary - June 2016 Board Meeting 2016	501(c)3 - Charitable PC	Honorarium	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
The Greater New Orleans Foundation 919 St. Charles Avenue New Orleans, LA 70130 NP 2015	501(c)3 - Charitable PC	General Operating Support	\$112,500.00	\$0.00	\$0.00	\$75,000.00	\$37,500.00
Federation of Southern Cooperatives Land Assistance Fund on behalf of Harris Neck Land Trust PO Box 42 Towmsend, GA 31331 NP 2015	501(c)3 - Charitable PC	General Operating Support	\$10,000.00	\$0.00	\$0.00	\$10,000.00	\$0.00
Highlander Research & Education Center Inc 1959 Highlander Way New Market, TN 37820 NP-RA 2016	501(c)3 - Charitable PC	Appalachian Transition Fellowship	\$0.00	\$200,000.00	\$0.00	\$100,000.00	\$100,000.00
Highlander Research & Education Center Inc 1959 Highlander Way New Market, TN 37820 NP-OF 2016	501(c)3 - Charitable PC	Leadership Transition	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0680140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Hispanic Interest Coalition of Alabama PO Box 190299 Birmingham, AL 35219 <i>NP-RA</i> \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Homes of Hope, Inc. 3 Dunean St Greenville, SC 29611 <i>NP-RA</i> \$150,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$75,000 00	\$75,000 00
Hope Credit Union 4 Old River Place, #A Jackson, MS 39202 <i>UAF</i> \$1,340,000 00 2016	501(c)14 Credit Union	Uplift America Fund	\$0 00	\$1,340,000 00	\$0 00	\$0 00	\$1,340,000 00
Hope Enterprise Corporation 4 Old River Place Jackson, MS 39202 <i>NP-RA</i> \$600,000 00 2014	501(c)3 - Charitable PC	Support of MS Economic Policy Center	\$50,000 00	\$0 00	\$0 00	\$50,000 00	\$0 00
Hope Enterprise Corporation 4 Old River Place Jackson, MS 39202 <i>NP-RA</i> \$300,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$300,000 00	\$0 00	\$150,000 00	\$150,000 00
Hope Enterprise Corporation 4 Old River Place Jackson, MS 39202 <i>UAF</i> \$1,200,000 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$1,200,000 00	\$0 00	\$0 00	\$1,200,000 00
Institute For Southern Studies Inc P O Box 531 Durham, NC 27702 <i>NP-OF</i> \$10,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$10,000 00	\$0 00	\$10,000 00	\$0 00
Institute For Southern Studies Inc P O Box 531 Durham, NC 27702 <i>NP</i> \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$37,500 00	\$37,500 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 55-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Kentucky Coalition Inc. PO Box 1450 London, KY 40743 NP-RA \$200,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$50,000 00	\$0 00	\$0 00	\$50,000 00	\$0 00
Kentucky Coalition Inc. PO Box 1450 London, KY 40743 NP-RA \$200,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$200,000 00	\$0 00	\$50,000 00	\$150,000 00
Kentucky Highlands Community Development Corporation 362 Old Whitley Road London, KY 40744 UAF \$837,500 00 2016	501(c)3 - Charitable SO	Uplift America Fund	\$0 00	\$418,750 00	\$0 00	\$133,445 00	\$285,305 00
LiftFund (formerly Acton Texas) 2007 W. Martin Street San Antonio, TX 78207 NP-RA \$150,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000 00	\$0 00	\$0 00	\$75,000 00	\$0 00
Local Initiatives Support Corporation 402 Highway 50 W Fowler, CO 81039 UAF \$670,000 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$470,000 00	\$0 00	\$93,080 00	\$376,920 00
Louisiana Budget Project 144 Maximilian Street Baton Rouge, LA 70802 NP-RA \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Low Income Housing Coalition Of Alabama P O Box 130159 Birmingham, AL 35213-0159 NP-RA \$50,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$12,500 00	\$0 00	\$0 00	\$12,500 00	\$0 00
Low Income Housing Coalition Of Alabama P O Box 130159 Birmingham, AL 35213-0159 NP-RA \$25,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$25,000 00	\$0 00	\$12,500 00	\$12,500 00

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
McIntosh SEED Post Office Box 2355 Darien, GA 31305 NP-R4 \$125,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$125,000 00	\$0 00	\$125,000 00	\$0 00
MDC Inc 307 West Main Street Durham, NC 27701 NP-R4 \$250,000 00 2015	501(c)3 - Charitable PC	Support implementation of the Infrastructure of Opportunity Program	\$187,500 00	\$0 00	\$0 00	\$125,000 00	\$62,500 00
Metanoia 2005 Reynolds Ave North Charleston, SC 29405 NP \$150,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$37,500 00	\$112,500 00
Midlands Housing Trust Fund 4300 North Main St Columbia, SC 29203 NP-R4 \$75,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$75,000 00	\$0 00	\$75,000 00	\$0 00
Mississippi Center For Justice 5 Old River Place, Suite 203 (39202) P O Box 1023 Jackson, MS 39215-1023 NP-R4 \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$100,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00
Mississippi Low-Income Child Care Initiative P O Box 204 Biloxi, MS 39533 NP-R4 \$100,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$50,000 00	\$0 00	\$0 00	\$50,000 00	\$0 00
Aynn Institute on behalf of Momentum 34 Moraine St, #1 Boston, MA 02130 NP-OF \$10,000 00 2016	501(c)3 - Charitable PC	Support Black Momentum movement-building training	\$0 00	\$10,000 00	\$0 00	\$10,000 00	\$0 00
Moore Community House 684 Walker St Biloxi, MS 39530 NP-R4 \$100,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$25,000 00	\$0 00	\$0 00	\$25,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Moore Community House 684 Walker St Biloxi, MS 39530 NP-R4 \$50,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$50,000 00	\$0 00	\$25,000 00	\$25,000 00
Mountain Association For Community Economic Development 433 Chestnut Street Berea, KY 40403 NP-R4 \$550,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$550,000 00	\$0 00	\$275,000 00	\$275,000 00
Mountain Association For Community 433 Chestnut Street Berea, KY 40403 NP-R4 \$550,000 00 2016	501(c)3 - Charitable PC	Honorarium	\$0 00	\$500 00	\$0 00	\$500 00	\$0 00
Mountain BizWorks 153 S Lexington Avenue Asheville, NC 28801 NP-R4 FINAL GRANT \$150,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$112,500 00	\$0 00	\$0 00	\$75,000 00	\$37,500 00
National Committee For Responsive Philanthropy 1900 L Street NW, Suite 825 Washington, DC 20036 NP \$50,000 00 2016	501(c)3 - Charitable PC	Strategic communication research and engagement	\$0 00	\$50,000 00	\$0 00	\$25,000 00	\$25,000 00
National Council on Agricultural Life and Labor Research, Inc. 363 Saulsbury Road Dover, DE 19904 UAF \$335,000 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$335,000 00	\$0 00	\$85,000 00	\$250,000 00
Natural Capital Investment Fund Inc 1098 Turner Road Shepherdstown, WV 25443 NP-R4 \$25,000 00 2016	501(c)3 - Charitable SO	General Operating Support	\$0 00	\$25,000 00	\$0 00	\$25,000 00	\$0 00
New Economy Coalition 89 South Street, #406 Boston, MA 02111 NP-GF \$7,000 00 2016	501(c)3 - Charitable PC	Volunteer session organizers support	\$0 00	\$7,000 00	\$0 00	\$7,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
New Hope Initiatives Inc. P O Box 92503 Atlanta, GA 30314 NP-RA \$75,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$37,500 00	\$0 00	\$0 00	\$37,500 00	\$0 00
Nova Workforce Institute Of Northeast Louisiana Inc 212 Walnut Street Monroe, LA 71201 NP-RA \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$100000 00	\$0 00	\$100,000 00	\$0 00
North Carolina Justice Center 224 S Dawson Street Raleigh, NC 27601 NP-RA \$250,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$62,500 00	\$125000 00	\$0 00	\$125,000 00	\$62,500 00
North Carolina Community Development Initiative Capital, Inc. 5800 Farrington Place Raleigh, NC 27609 UAF \$1,006,106 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$306,106 00	\$0 00	\$103,500 00	\$202,606 00
North Carolina Congress of Latino Organizations 4907 Garrett Road Durham, NC 27707 NP-RA \$150,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$75,000 00	\$75,000 00
Ohio River Valley Environmental Coalition Inc P O Box 6753 Huntington, WV 25773 NP-RA \$120,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$40,000 00	\$20000 00	\$0 00	\$60,000 00	\$0 00
Opportunities Industrialization Center Rocky Mount 402 E Virginia Street Rocky Mount, NC 27801 NP-OF \$15,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00
Oxfam America 226 Causeway St., 5th Floor Boston, MA 02114 NP-RA \$100,000 00 2016	501(c)3 - Charitable PC	Support of workforce development projects in Louisiana and Mississippi	\$0 00	\$100,000 00	\$0 00	\$100,000 00	\$0 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 56-0890140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Partnership for Southern Equity (PSE) 100 Peachtree Street, Suite 1960 Atlanta, GA 30303 <i>NP-OF</i> \$10,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Public Allies, Inc. on behalf of Power for Change 13929 Kimbleton Avenue Baton Rouge, LA 70817 <i>NP</i> \$75,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$75,000.00	\$0.00	\$37,500.00	\$37,500.00
ProGeorgia 1530 DeKalb Avenue, Suite A Atlanta, GA 30307 <i>NP-R4</i> \$150,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Project South 9 Gammon Avenue Atlanta, GA 30315 <i>NP</i> \$75,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$37,500.00	\$0.00	\$0.00	\$37,500.00	\$0.00
Project South 9 Gammon Avenue Atlanta, GA 30315 <i>NP-R4</i> \$75,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$75,000.00	\$0.00	\$37,500.00	\$37,500.00
Reynolds House, Museum of American Art P O Box 7287 Winston-Salem, NC 27109 \$379,000.00 2013	501(c)3 - Charitable PC	General Operating Support	\$63,166.70	\$0.00	\$0.00	\$63,166.70	\$0.00
Reynolds House, Museum of American Art P O Box 7287 Winston-Salem, NC 27109 <i>NP-R4</i> \$392,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$392,000.00	\$0.00	\$65,333.33	\$326,666.67
Mountain Association for Community Economic Development on behalf of Ridgeway / A Transformative Leadership Experience PO Box 195 Bighill, KY 40405 <i>NP-OF</i> \$15,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 58-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Rural Community Assistance Corporation 3120 Freeboard Drive, Suite 201 West Sacramento, CA 95691 UAF \$1,340,000.00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0.00	\$1,340,000.00	\$0.00	\$256,069.00	\$1,083,931.00
Rural Electric Economic Development, Inc. P O Box 227 Madison, SD 57042 UAF \$670,000.00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0.00	\$328,268.00	\$0.00	\$33,660.00	\$294,608.00
South Carolina Association for Community Economic Development PO Box 20577 Charleston, SC 29413 NP-RA \$500,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$500,000.00	\$0.00	\$250,000.00	\$250,000.00
South Carolina Applesseed Legal Justice Center P O Box 7187 Columbia, SC 29201 NP-OF \$10,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
South Carolina Community Capital Inc. PO Box 561 Greenville, SC 29602 NP-OF \$15,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
South Carolina Community Loan Fund 1064 Gardiner Road, Suite 302 Charleston, SC 29407 NP-RA \$400,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$150,000.00	\$0.00	\$0.00	\$100,000.00	\$50,000.00
South Carolina Community Loan Fund 1064 Gardiner Road, Suite 302 Charleston, SC 29407 UAF \$1,340,000.00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0.00	\$340,000.00	\$0.00	\$120,000.00	\$220,000.00
Southeast Immigrant Rights Network P O Box 87119 College Park, GA 30337 NP-RA \$100,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$75,000.00	\$0.00	\$0.00	\$50,000.00	\$25,000.00

MARY REYNOLDS BARCOCK FOUNDATION, INC 56-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

Recipient and/or Purpose	Tax Status	Purpose of Grant	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Southern Bancorp Community Partners 8924 Kanis Road Little Rock, AR 72205 NP-RA \$150,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$150,000 00	\$0 00	\$75,000 00	\$75,000 00
Southern Bancorp Community Partners 8924 Kanis Road Little Rock, AR 72205 UAF \$1,087,500 00 2016	501(c)3 - Charitable PC	Uplift America Fund	\$0 00	\$587,500 00	\$0 00	\$157,500 00	\$430,000 00
Southern Education Foundation Inc. 135 Auburn Ave NE, 2nd Floor Atlanta, GA 30303 NP-RA \$100,000 00 2014	501(c)3 - Charitable PC	General Operating Support	\$25,000 00	\$0 00	\$0 00	\$25,000 00	\$0 00
Southern Education Foundation Inc. 135 Auburn Ave NE, 2nd Floor Atlanta, GA 30303 NP-RA \$50,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$50,000 00	\$0 00	\$25,000 00	\$25,000 00
Southern Education Foundation Inc. 135 Auburn Ave NE, 2nd Floor Atlanta, GA 30303 NP-OF \$15,000 00 2016	501(c)3 - Charitable PC	Support of convening in Atlanta	\$0 00	\$15,000 00	\$0 00	\$15,000 00	\$0 00
Southern Mutual Help Association Inc 3602 Old Jeanette Road New Iberia, LA 70563 NP-RA \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$150,000 00	\$0 00	\$0 00	\$100,000 00	\$50,000 00
Children's Defense Fund on behalf of Southern Rural Black Women's Initiative 2659 Livingston Rd Jackson, MS 39213 NP-RA \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$50,000 00	\$100,000 00	\$0 00	\$100,000 00	\$50,000 00
Southern Vision Alliance 804 Old Fayetteville Street Durham, NC 27701 NP \$40,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$40,000 00	\$0 00	\$20,000 00	\$20,000 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 66-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
Step Up Savannah Inc. 428 Bull Street, Suite 208 Savannah, GA 31401 NP-RA \$70,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$70,000 00	\$0 00	\$70,000 00	\$0 00
Together Louisiana 604 N. 3rd Monroe, LA 71201 NP \$40,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$20,000 00	\$0 00	\$0 00	\$20,000 00	\$0 00
Together Louisiana 604 N. 3rd Monroe, LA 71201 NP-RA \$40,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$40,000 00	\$0 00	\$40,000 00	\$0 00
West Virginia Center On Budget And Policy Inc 723 Kanawha Blvd, Suite 300 Charleston, WV 25301 NP-RA \$200,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$200,000 00	\$0 00	\$100,000 00	\$100,000 00
West Virginia Center On Budget And Policy Inc 723 Kanawha Blvd, Suite 300 Charleston, WV 25301 Honorary - June 2016 Board Meeting \$2,000 00 2016	501(c)3 - Charitable PC	Honorary	\$0 00	\$2,000 00	\$0 00	\$2,000 00	\$0 00
West Virginia Community Development Hub Inc. 103 Adams Street, Suite 200 Fairmont, WV 26554 NP-RA \$200,000 00 2015	501(c)3 - Charitable PC	General Operating Support	\$150,000 00	\$0 00	\$0 00	\$100,000 00	\$50,000 00
West Virginia Community Development Hub Inc. 103 Adams Street, Suite 200 Fairmont, WV 26554 Honorary - June 2016 Board Meeting \$2,000 00 2016	501(c)3 - Charitable PC	Honorary	\$0 00	\$2,000 00	\$0 00	\$2,000 00	\$0 00
West Virginia Healthy Kids and Families Coalition 412 Elizabeth Street Charleston, WV 25311 NP-RA \$160,000 00 2016	501(c)3 - Charitable PC	General Operating Support	\$0 00	\$160,000 00	\$0 00	\$80,000 00	\$80,000 00

MARY REYNOLDS BABCOCK FOUNDATION, INC. 66-0690140
FOR THE YEAR ENDED DECEMBER 31, 2016
SCHEDULE OF APPROPRIATIONS AND PAYMENTS

<u>Recipient and/or Purpose</u>	<u>Tax Status</u>	<u>Purpose of Grant</u>	<u>Beginning Balance</u>	<u>Newly Allocated</u>	<u>Amended</u>	<u>Amount Paid</u>	<u>Ending Balance</u>
West Virginia Healthy Kids and Families Coalition 412 Elizabeth Street Charleston, WV 25311 <i>Honorarium - June 2016 Board Meeting</i> \$500.00 2016	501(c)3 - Charitable PC	Honorarium	\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
West Virginia Healthy Kids and Families Coalition 412 Elizabeth Street Charleston, WV 25311 <i>NP-OF</i> \$15,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
The Women's Fund of Greater Birmingham 2201 5th Avenue South Suite 110 Birmingham, AL 35233 <i>NP-R4</i> \$75,000.00 2016	501(c)3 - Charitable PC	Support for Families Forward Initiative	\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
Working America Education Fund 815 Sixteenth Street NW Washington, DC 20006 <i>NP-OF</i> \$15,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
WV Food & Farm Coalition 3820 MacCorkle Avenue, SE Charleston, WV 25304 <i>NP-R4</i> \$150,000.00 2015	501(c)3 - Charitable PC	General Operating Support	\$112,500.00	\$0.00	\$0.00	\$75,000.00	\$37,500.00
WV Food & Farm Coalition 3820 MacCorkle Avenue, SE Charleston, WV 25304 <i>NP-OF</i> \$9,400.00 2016	501(c)3 - Charitable PC	Leadership Transition	\$0.00	\$9,400.00	\$0.00	\$9,400.00	\$0.00
Year Up Atlanta 730 Peachtree Street NE, Suite 900 Atlanta, GA 30308 <i>NP-R4</i> \$75,000.00 2016	501(c)3 - Charitable PC	General Operating Support	\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
Grand Totals (153 Items)			\$4,009,416.70	\$16,727,085.00	\$0.00	\$9,219,634.91	\$11,516,866.79
				(79,213.00)			(79,213.00)
				\$16,647,872			\$11,437,654
Present Value Adjustment							
Grand Total As adjusted for Present Value							