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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
AUGUST J & THELMA S
HOFFMANN FOUNDATION

A Employer identification number
55-0769742

Number and street (or P O box number if mail is not delivered to street address) Room/suite
83 EDGINGTON LANE

B Telephone number (see instructions)
(304) 242-2300

City or town, state or province, country, and ZIP or foreign postal code
WHEELING, WV 260031541

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 7,625,986

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	3,980			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	185	185		
	4	Dividends and interest from securities	142,669	142,669		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	111,668			
	b	Gross sales price for all assets on line 6a 1,347,044				
	7	Capital gain net income (from Part IV, line 2)		111,668		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	258,502	254,522		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	82,986	29,046		53,940
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	204	204		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,790	6,040		750
	24	**Total operating and administrative expenses.** Add lines 13 through 23	89,980	35,290		54,690
	25	Contributions, gifts, grants paid	320,000			320,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	409,980	35,290		374,690
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-151,478			
	b	**Net investment income** (if negative, enter -0-)		219,232		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,451	353	353
	2	Savings and temporary cash investments	396,605	493,407	493,407
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,750,472	3,304,290	5,516,521
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,400,000	1,600,000	1,615,705
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,549,528	5,398,050	7,625,986
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	5,549,528	5,398,050	
	30	**Total net assets or fund balances** (see instructions)	5,549,528	5,398,050	
	31	**Total liabilities and net assets/fund balances** (see instructions)	5,549,528	5,398,050	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,549,528
2	Enter amount from Part I, line 27a	2	-151,478
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	5,398,050
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,398,050

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	111,668
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	365,117	7,448,786	0 049017
2014	336,024	7,460,976	0 045038
2013	314,836	6,833,497	0 046072
2012	319,959	6,322,006	0 050610
2011	304,006	6,424,567	0 047319

2	**Total** of line 1, column (d)	2	0 238056
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047611
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	7,267,781
5	Multiply line 4 by line 3	5	346,026
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,192
7	Add lines 5 and 6	7	348,218
8	Enter qualifying distributions from Part XII, line 4 , ...	8	374,690

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	2,192
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	2,192
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,192
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 2,256		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d.	7	2,256
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	64
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 64 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WV			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶THE FOUNDATION Telephone no ▶(304) 242-2300

Located at ▶83 EDGINGTON LANE WHEELING WV ZIP+4 ▶260031541

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,924,418
b	Average of monthly cash balances.	1b	454,040
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	7,378,458
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,378,458
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	110,677
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,267,781
6	**Minimum investment return.** Enter 5% of line 5.	6	363,389

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	363,389
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,192		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	2,192
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	361,197
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	361,197
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	361,197

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	374,690
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	374,690
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,192
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	372,498

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				361,197
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			370,874	
b Total for prior years 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 374,690				
a Applied to 2015, but not more than line 2a			370,874	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				3,816
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				357,381
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROBERT J KRALL TREASURER
83 EDGINGTON LANE
WHEELING, WV 26003
(304) 242-2300

b The form in which applications should be submitted and information and materials they should include

ALL APPLICATIONS FOR GRANTS FROM THE FOUNDATION SHOULD BE MADE BY COMPLETING THE AUGUST J & THELMA S HOFFMANN FOUNDATION GRANT APPLICATION FORM, WHICH CAN BE OBTAINED BY CONTACTING THE FOUNDATION TREASURER (SEE PART XV, LINE 2 (A)) THE APPLICATION FORM REQUESTS VARIOUS INFORMATION AND MATERIALS, INCLUDING GENERAL INFORMATION RELATING TO THE ORGANIZATION, OPERATIONS AND EXEMPT STATUS OF THE REQUESTING ORGANIZATION, INFORMATION RELATED TO THE PROPOSED USE AND PURPOSE OF THE REQUESTED GRANT

c Any submission deadlines

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS MADE BY THE FOUNDATION "SHALL BE MADE FOR THE ACCOMPLISHMENT OF CHARITABLE, RELIGIOUS, SCIENTIFIC, LITERARY, OR EDUCATIONAL PURPOSES OR FOR THE PREVENTION OF CRUELTY TO CHILDREN OR ANIMALS IN ANY AREAS OF THE UNITED STATES, HOWEVER, PREFERENCE SHALL BE AFFORDED TO QUALIFYING ORGANIZATIONS AND USES LOCATED IN OHIO COUNTY, WEST VIRGINIA, AND ITS CONTIGUOUS COUNTIES IN ADDITION, SPECIAL CONSIDERATION SHALL BE GIVEN TO THOSE ORGANIZATIONS SPONSORED OR CONTROLLED BY THE ROMAN CATHOLIC CHURCH, AS WELL AS, CHARITABLE ORGANIZATIONS PROVIDING FOR THE EDUCATION OF CHILDREN, HEALTH CARE FOR THE COMMUNITY AND THE NEEDS OF THE POOR AND INFIRM " (ARTICLE III(B) OF THE ARTICLES OF INCORPORATION OF THE FOUNDATION, AND SECTION 1 4(B) OF THE FOUNDATION BYLAWS)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				320,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	185	
4 Dividends and interest from securities. . . .			14	142,669	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	111,668	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		254,522	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 254,522

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-10	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 SHS SAFRA NATL BANK CD (45%)	P	2014-11-17	2016-02-29
21 SHS R M R GROUP INC	P	2015-12-15	2016-03-11
400 SHS SOUTHTHIRTYTWO LTD	P	2015-05-29	2016-03-11
165 9986 SHS TIME INC	P	2003-05-16	2016-03-11
1,332 9998 SHS TIME WARNER INC NEW	P	2003-05-16	2016-03-11
150 SHS WP GLIMCHER INC	P	2007-08-07	2016-03-11
100K DISCOVER BK CD (5%)	P	2014-05-23	2016-05-31
3533 SHS CHARTER COMM (CASH-IN-LIEU)	P	2016-05-23	2016-05-31
400 SHS AFLAC INC	P	2012-12-19	2016-06-27
250 SHS CONSTELLATION BRANDS	P	2003-10-24	2016-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,000		50,000	0
491		250	241
2,445		3,600	-1,155
2,501		4,157	-1,656
93,874		99,189	-5,315
1,378		1,365	13
100,000		100,000	0
81		147	-66
26,976		21,807	5,169
37,962		3,873	34,089

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			241
			-1,155
			-1,656
			-5,315
			13
			0
			-66
			5,169
			34,089

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3,000 SHS ISTAR FINANCIAL INC	P	2009-04-24	2016-06-27
1,200 SHS NETAPP INC	P	2003-05-16	2016-06-27
1,300 SHS TARGET	P	1999-05-14	2016-06-27
220 SHS URBAN EDGE PROPERTIES	P	2007-08-07	2016-06-27
1,000 SHS BANK OF AMERICA CORP	P	2001-11-14	2016-08-22
200 SHS CITIGROUP	P	1999-05-14	2016-08-22
250 SHS CONSTELLATION BRANDS	P	2003-10-24	2016-08-22
500 SHS GENERAL ELECTRIC	P	1999-02-02	2016-08-22
300 SHS JP MORGAN CHASE & CO	P	2001-01-11	2016-08-22
100 SHS UNION PACIFIC CORP	P	2008-12-19	2016-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,651		6,016	20,635
28,543		19,020	9,523
88,829		42,700	46,129
6,131		3,719	2,412
15,141		38,520	-23,379
9,270		66,248	-56,978
41,373		3,873	37,500
15,619		16,833	-1,214
19,638		15,413	4,225
9,503		2,352	7,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,635
			9,523
			46,129
			2,412
			-23,379
			-56,978
			37,500
			-1,214
			4,225
			7,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS WELLS FARGO & CO NEW	P	2004-03-10	2016-08-22
50K ALLY BANK CD (9%)	P	2014-08-25	2016-08-29
50K GE CAP BANK CD (85%)	P	2014-08-25	2016-08-29
2,100 066 SHS EMC CORP	P	2002-03-05	2016-09-07
1,383 892 SHS DODGE & COX ITNL FUND	P	2013-03-11	2016-10-10
1,231 108 SHS DODGE & COX ITNL FUND	P	2016-03-11	2016-10-10
1,275 SHS BANK OF AMERICA CORP	P	2003-05-16	2016-10-10
1,850 SHS ISHARES MSCI EMERGING	P	2013-03-11	2016-10-10
1,205 SHS ISHARES MSCI EMERGING	P	2016-03-11	2016-10-10
300 SHS JP MORGAN CHASE & CO	P	2001-01-11	2016-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,708		5,896	3,812
50,000		50,000	0
50,000		50,000	0
50,508		19,617	30,891
53,723		49,323	4,400
47,792		43,878	3,914
20,684		52,310	-31,626
70,164		79,814	-9,650
45,701		40,001	5,700
20,508		15,413	5,095

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,812
			0
			0
			30,891
			4,400
			3,914
			-31,626
			-9,650
			5,700
			5,095

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 SHS TARGET	P	1999-05-14	2016-10-10
2,000 SHS WEATHERFORD INTERNATIONAL	P	2006-02-07	2016-10-10
455 SHSH WELLS FARGO & CO NEW	P	2004-03-10	2016-10-10
50K GOLDMAN SACHS BK (1%)	P	2014-11-17	2016-11-28
100K BANK OF CHINA (0 6%)	P	2016-08-22	2016-11-30
100K BANK OF CHINA NEW (0 6%)	P	2016-09-13	2016-12-21
TIME WARNER COST BASIS ADJUSTMENT	P	2003-05-16	2016-06-01
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,595		16,423	18,172
12,031		42,255	-30,224
20,816		13,413	7,403
50,000		50,000	0
100,000		100,000	0
100,000		100,000	0
		7,951	-7,951
34,408			34,408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18,172
			-30,224
			7,403
			0
			0
			0
			-7,951
			34,408

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM J YAEGER JR 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 1 50	27,662	0	0
ROBERT J KRALL 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 1 00	27,662	0	0
HOLLY S PLANINSIC 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 0 70	27,662	0	0
ROBERT P FITZSIMMONS 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 0 50	0	0	0
R CLARK MORTON 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 0 10	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ARC OF OHIO COUNTY 439 WARWOOD AVENUE WHEELING, WV 26003	N/A	PUBLIC CHARITY	SUMMER CAMP AND GENERAL PURPOSES	5,000
CATHOLIC CHARITIES NEIGHBORHOOD CENTER 18TH STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	FIRE ALARM AND SECURITY	19,000
HARMONY HOUSE 2000 EOFF STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	10,500
HOPE UNITED METHODIST CHURCH 99 VALLEY BLVD WHEELING, WV 26003	N/A	CHURCH	BACKPACK SNACKS PROGRAM	5,000
KINGS DAUGHTER CHILD CARE CENTER 61 13TH STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	KITCHEN RENOVATION	50,000
LAZARUS HOUSE 95 EAST 11TH STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	10,000
RITCHIE ELEMENTARY SCHOOL 3700 WOOD STREET WHEELING, WV 26003	N/A	SCHOOL	STUDENT ASSISTANCE PROGRAM	5,000
RUSSELL NESBITT SERVICES 431 FULTON STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GREENHOUSE PROJECT	12,500
SEEING HAND ASSOCIATION 750 MAIN STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GARDEN PROJECT	10,000
SOUP KITCHEN OF GREATER WHEELING 1100 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	NEW FREEZER, OVEN, CONCRETE AND GENERAL PURPOSES	60,000
WEST VIRGINIA NORTHERN COMMUNITY COLLEGE FOUNDATION 1704 MARKET STREET WHEELING, WV 26003	N/A	SCHOOL	GENERAL CHARITABLE PURPOSES	25,000
WHEELING HEALTH RIGHT 61 - 29TH STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	DENTAL CLINIC EQUIPMENT	15,000
WHEELING MIDDLE SCHOOL 3500 CHAPLINE STREET WHEELING, WV 26003	N/A	SCHOOL	STUDENT ASSISTANCE PROGRAM	5,000
YOUTH SERVICES SYSTEM 1000 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	WINTER FREEZE SHELTER	13,000
YWCA OF WHEELING 1100 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	BUILDING MAINTENANCE & RENOVATION	75,000
Total . . . . ▶ 3a				320,000

TY 2016 Investments Corporate Stock Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,000 SHARES ABBVIE INC	23,972	62,620
1,000 SHARES ABBOTT LABORATORIES	22,106	38,410
400 SHARES AFLAC	0	0
1,600 SHARES ALLSTATE CORP.	58,585	118,592
35 SHARES ALPHABET	26,068	27,736
75 SHARES AMAZON	54,727	56,240
2,200 SHARES AMERICAN EXPRESS CO.	82,030	162,976
693 SHARES AMERICAN INTERNATIONAL GROUP	139,201	45,260
2,000 SHARES AMGEN	150,730	292,420
1,410 SHARES APACHE CORP.	51,601	89,493
350 SHARES APPLE	36,426	40,537
4,300 SHARES APPLIED MATERIALS, INC.	126,957	138,761
1,000 SHARES AT&T	31,973	42,530
1,000 SHARES BHP BILLITON LIMITED	56,960	35,780
3,744 SHARES BANK OF AMERICA CORP.	163,888	82,742
800 SHARES BOEING	38,472	124,544
800 SHARES CATERPILLAR	47,152	74,192
162.9996 SHARES CHARTER COMMUNICATIONS	28,333	46,930
800 SHARES CITIGROUP, INC.	56,599	47,544
1,100 SHARES CONSTELLATION BRANDS CL A	17,490	168,641
234 SHARES DELL	11,187	12,863
700 SHARES DISNEY	33,377	93,798
195.019 SHARES DODGE & COX INTL STOCK FUND	6,951	7,430
1,500 SHARES DOW CHEMICAL COMPANY	62,680	85,830
1,700 SHARES DUKE REALTY CORP	38,252	45,152
2,100 SHARES EMC CORP.	0	0
1,800 SHARES EXXON MOBIL CORP.	58,441	162,468
1,200 SHARES FEDEX CORP	87,418	223,440
2,940 SHARES FIRST INDUSTRIAL REALTY TRUST	19,117	82,467
2,000 SHARES GENERAL ELECTRIC	67,333	63,200

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,350 SHARES GUGGENHEIM ETF S&P MIDCAP 400	134,320	173,948
1,400 SHARES HOME DEPOT	41,531	160,896
1,300 SHARES HOSPITALITY PTYS TRUST	40,870	41,262
348 SHARES ISHARES MSCI EMERGING MRKTS	11,552	12,183
1,370 SHARES ISHARES S&P MIDCAP 400	132,788	198,938
2,235 SHARES ISHARES TRUST S&P SMALL CAP 600	135,761	307,357
300 SHARES INTERNATIONAL BUSINESS MACHINES	25,226	49,797
3,000 SHARES ISTAR FINANCIAL INC.	0	0
1,000 SHARES J.P. MORGAN CHASE & CO.	44,480	86,290
300 SHARES JOHNSON & JOHNSON	15,325	34,563
700 SHARES L3 COMM HOLDINGS INC	47,453	106,477
1,958.196 SHARES MATHEWS INDIA FUND	17,800	50,228
500 SHARES MERCK & CO	22,057	29,435
1,500 SHARES NABORS INDUSTIRES	46,052	24,600
1,200 SHARES NETAPP INC	0	0
2,700 SHARES ORACLE	58,862	103,815
2,000 SHARES PAYCHEX	64,211	121,760
1,100 SHARES PEPSICO	60,292	115,093
800 SHARES PFIZER	20,399	25,984
1,250 SHARES PLUM CREEK TIMBER CO	0	0
800 SHARES PRAXAIR INC.	25,368	70,314
1,600 SHARES PROCTOR & GAMBLE CO.	50,512	100,896
1,380.00 REALTY INCOME CORP	31,061	79,322
21 SHS RMR GROUP	0	0
1,000 SHARES SECTOR SPDR BANKING	38,957	55,570
625 SHARES SECTOR SPDR CONSUMER	37,089	50,875
800 SHARES SECTOR SPDR TECH SELECT	25,943	38,688
414 SHARES SIMON PROPERTY GROUP	21,450	53,301
400 SHS SOUTHTHIRTYTWO LTD	0	0
1,800 SHARES TARGET CORP.	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,000 SHARES TEVA PHARM INDS LTD.	57,717	36,250
166 SHS TIME INC	0	0
1,333 SHARES TIME WARNER INC NEW	0	0
334 SHARES TIME WARNER CABLE	0	0
700 SHS TJX COS INC	40,848	52,591
5,100 SHARES ULTRA PETROLEUM	20,208	36,873
900 SHARES UNION PACIFIC CORP	21,171	93,312
220 URBAN EDGE PROPERTIES	0	0
300 SHARES VANGUARD INFO TECHNOLOGY	24,013	36,450
800 SHARES VERIZON	33,335	42,704
400 SHS VISA	28,163	124,832
441 SHARES VORNADO REALTY TRUST	35,540	46,027
1,700 SHARES WALGREEN CO	65,915	140,692
1,100 SHARES WASHINGTON REAL ESTATE INVEST TRUST	30,348	35,959
150 SHS WASHINGTON PRIME GROUP	0	0
2,000 WEYERHAUSER CO	40,276	60,180
2,000 SHARES WELLS FARGO & CO NEW	72,235	74,123
2,000 SHARES XILINX, INC.	71,671	120,740
500 SHARES ZIMMER HOLDINGS, INC.	15,465	51,600

TY 2016 Investments - Other Schedule

Name: AUGUST J & THELMA S
HOFFMANN FOUNDATION

EIN: 55-0769742

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
50K ALLY BANK .9%	AT COST	0	0
100K ALLY BANK 1.1%	AT COST	100,000	100,155
100K BANK HAPOALIM .65%	AT COST	100,000	100,007
100K BANK OF INDIA .7%	AT COST	100,000	100,021
100K CAPITAL ONE .65%	AT COST	100,000	100,000
50K COMENITY CAP BANK 1.1%	AT COST	50,000	50,036
100K DISCOVER BANK .5%	AT COST	0	0
100K DISCOVER BANK 1.35%	AT COST	100,000	100,318
100K ENERBANK USA .8%	AT COST	100,000	99,850
50K FIRST BUSINESS BANK 2%	AT COST	50,000	50,159
50K FIRST MERCHANTS	AT COST	50,000	51,157
100K GE CAPITAL BANK 3.3%	AT COST	100,000	105,020
50K GE CAPITAL BANK .85%	AT COST	0	0
50K GE CAPTITAL BANK 3.05%	AT COST	50,000	51,796
50K GOLDMAN SACHS BANK 1.0%	AT COST	0	0
100K GOLDMAN SACHS BANK 2.0%	AT COST	100,000	100,472
50K SAFRA NATIONAL BANK .45%	AT COST	0	0
100K SALLIE MAE BANK 1.8%	AT COST	100,000	99,743
100K SALLIE MAE BANK 1.6%	AT COST	100,000	100,241
50K STATE BANK OF INDIA	AT COST	50,000	50,175
100K SYNCHRONY BANK 2.0%	AT COST	100,000	100,680
100K SYNCHRONY BANK 3.3%	AT COST	100,000	105,020
50K SYNCHRONY BANK 2.55%	AT COST	50,000	50,856
100K WEX BANK .75%	AT COST	100,000	99,999

TY 2016 Other Expenses Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSOCIATION OF SMALL FOUNDATIONS (MEMBERSHIP FEE)	750	0		750
SOFTWARE EXPENSE	6,040	6,040		0

TY 2016 Taxes Schedule

Name: AUGUST J & THELMA S
HOFFMANN FOUNDATION

EIN: 55-0769742

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID TEVA	204	204		0