efile GRAPHIC print - DO NOT PROCESS | **As Filed Data -** | **DLN: 93491129008257**

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
E M ICH BANE TUA

A Employer identification number
54-6293471

Number and street (or P O box number if mail is not delivered to street address)
1525 W WT HARRIS BLVD D1114-044

Room/suite

B Telephone number (see instructions)
(800) 352-3705

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28262

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 3,980,301

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	84	84		
	4	Dividends and interest from securities	91,533	88,630		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	56,292			
	b	Gross sales price for all assets on line 6a 1,076,241				
	7	Capital gain net income (from Part IV, line 2)		56,292		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	3,528			
	12	**Total.** Add lines 1 through 11	151,437	145,006		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	46,850	35,138		11,713
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,000	0	0	1,000
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	2,354	2,354		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	24	24		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	50,228	37,516	0	12,713
	25	Contributions, gifts, grants paid	195,000			195,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	245,228	37,516	0	207,713
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-93,791			
	b	**Net investment income** (if negative, enter -0-)		107,490		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	40,557	142,324	142,324
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,776,445	2,301,435	2,765,940
	c	Investments—corporate bonds (attach schedule)	801,104	1,081,217	1,072,037
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,618,106	3,524,976	3,980,301
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	3,618,106	3,524,976	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,618,106	3,524,976	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,618,106	3,524,976	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,618,106
2	Enter amount from Part I, line 27a	2	-93,791
3	Other increases not included in line 2 (itemize) ▶	3	7,171
4	Add lines 1, 2, and 3	4	3,531,486
5	Decreases not included in line 2 (itemize) ▶	5	6,510
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,524,976

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	56,292
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	204,851	4,159,911	0 049244
2014	205,980	4,295,976	0 047947
2013	194,252	4,136,613	0 046959
2012	180,645	3,884,463	0 046504
2011	183,800	4,022,995	0 045687

2	**Total** of line 1, column (d)	2	0 236341
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047268
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,910,683
5	Multiply line 4 by line 3	5	184,850
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,075
7	Add lines 5 and 6	7	185,925
8	Enter qualifying distributions from Part XII, line 4	8	207,713

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			**1**	1,075
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**2**	0
3	Add lines 1 and 2.			**3**	1,075
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**4**	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			**5**	1,075
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	**6a**	600		
b	Exempt foreign organizations—tax withheld at source	**6b**			
c	Tax paid with application for extension of time to file (Form 8868) . . .	**6c**	0		
d	Backup withholding erroneously withheld	**6d**			
7	Total credits and payments Add lines 6a through 6d.			**7**	600
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			**8**	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			**9**	475
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			**10**	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 0 **Refunded** ▶			**11**	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		**No**
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	**1b**		**No**
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	**1c**		**No**
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	**2**		**No**
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		**No**
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	**4a**		**No**
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	**5**		**No**
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**	**Yes**	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col (c), and Part XV*	**7**	**Yes**	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	**8b**	**Yes**	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	**9**		**No**
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	**10**		**No**

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶WELLS FARGO BANK NA Telephone no ▶(800) 352-3705

Located at ▶100 NORTH MAIN STREET 6TH FLOOR D40 WINSTONSALEM NC ZIP+4 ▶271013818

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. . . . . ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . . **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WELLS FARGO BANK NA 1525 W WT HARRIS BLVD D1114-044 CHARLOTTE, NC 282881161	TRUSTEE 0	46,850		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation’s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	3,788,380
b	Average of monthly cash balances.			1b	181,857
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	3,970,237
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	3,970,237
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	59,554
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	3,910,683
6	**Minimum investment return.** Enter 5% of line 5.			6	195,534

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	195,534
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,075		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	1,075
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	194,459
4	Recoveries of amounts treated as qualifying distributions.			4	1,745
5	Add lines 3 and 4.			5	196,204
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	196,204

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	207,713
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	207,713
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,075
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	206,638

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					196,204
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				205,753	
b Total for prior years 2014 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	0				
b From 2012.	0				
c From 2013.	0				
d From 2014.	0				
e From 2015.	0				
f Total of lines 3a through e.		0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 207,713					
a Applied to 2015, but not more than line 2a				205,753	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					1,960
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2016		0			0
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					194,244
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9					
a Excess from 2012. . . .	0				
b Excess from 2013. . . .	0				
c Excess from 2014. . . .	0				
d Excess from 2015. . . .	0				
e Excess from 2016. . . .	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				195,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	84	
4 Dividends and interest from securities. . . .			14	91,533	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	56,292	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a US TAX REFUND			1	1,783	
b RECOVERY			1	1,745	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				151,437	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 151,437

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-06	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
21 AFFILIATED MANAGERS GROUP, INC COM		2015-07-22	2016-04-20
3 ALPHABET INC CL C		2014-10-28	2016-04-20
22 AMERIPRISE FINL INC		2015-07-29	2016-04-20
55 APPLE INC		2015-07-22	2016-04-20
125 BERKSHIRE HATHAWAY INC		2015-07-22	2016-10-05
23 BOEING CO		2015-07-22	2016-04-20
33 BOEING CO		2015-12-17	2016-10-05
80 CME GROUP INC		2015-07-22	2016-10-05
157 CISCO SYSTEMS INC		2015-07-22	2016-04-20
1261 CREDIT SUISSE COMM RET ST-I #2156		2011-11-08	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,643		4,587	-944
2,274		1,630	644
2,138		2,788	-650
5,862		6,713	-851
17,924		14,959	2,965
2,980		3,427	-447
4,387		4,819	-432
8,293		7,127	1,166
4,451		4,397	54
6,103		11,046	-4,943

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-944
			644
			-650
			-851
			2,965
			-447
			-432
			1,166
			54
			-4,943

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
29 CUMMINS INC		2014-10-28	2016-04-20
181 CUMMINS INC		2015-08-28	2016-11-02
41 WALT DISNEY CO		2014-10-28	2016-02-05
55 WALT DISNEY CO		2015-07-22	2016-02-05
639 FID ADV EMER MKTS INC- CL I #607		2013-10-11	2016-04-20
26 GILEAD SCIENCES INC		2015-08-28	2016-04-20
14 GOLDMAN SACHS GROUP INC		2015-08-28	2016-04-20
10 GOLDMAN SACHS GROUP INC		2015-08-28	2016-06-10
65 GOLDMAN SACHS GROUP INC		2014-10-28	2016-06-10
321 HSBC - ADR		2014-10-28	2016-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,407		4,119	-712
22,124		23,849	-1,725
3,844		3,660	184
5,156		6,565	-1,409
8,422		8,678	-256
2,586		2,806	-220
2,268		2,633	-365
1,500		1,881	-381
9,749		7,800	1,949
10,347		16,954	-6,607

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-712
			-1,725
			184
			-1,409
			-256
			-220
			-365
			-381
			1,949
			-6,607

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
120 HSBC - ADR		2015-08-28	2016-03-01
26 ISHARES S&P MID-CAP 400 GROWTH		2015-07-22	2016-04-20
77 ISHARES S&P MID-CAP 400 GROWTH		2015-07-22	2016-08-23
67 ISHARES S&P MID-CAP 400 VALUE		2015-07-22	2016-04-20
211 ISHARES S&P MID-CAP 400 VALUE		2015-07-22	2016-12-23
48 ISHARES CORE S&P SMALL-CAP E		2015-07-22	2016-04-20
519 ISHARES CORE S&P SMALL-CAP E		2011-11-04	2016-05-11
217 ISHARES CORE S&P SMALL-CAP E		2015-07-22	2016-05-11
306 ISHARES CORE S&P SMALL-CAP E		2016-08-23	2016-12-23
47 JPMORGAN CHASE & CO		2015-07-22	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,868		4,762	-894
4,322		4,468	-146
13,708		12,589	1,119
8,491		8,479	12
30,875		26,375	4,500
5,508		5,658	-150
58,631		35,162	23,469
24,514		25,577	-1,063
42,322		38,060	4,262
2,980		3,257	-277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-894
			-146
			1,119
			12
			4,500
			-150
			23,469
			-1,063
			4,262
			-277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
80 JPMORGAN CHASE & CO		2016-08-23	2016-10-05
64 JPMORGAN CHASE & CO		2015-07-22	2016-10-05
58 JOHNSON CONTROLS INC		2014-10-28	2016-04-20
110 JOHNSON CONTROLS INC		2015-08-28	2016-04-26
244 JOHNSON CONTROLS INC		2014-10-28	2016-04-26
48 LAS VEGAS SANDS CORP		2014-09-10	2016-11-02
160 MANULIFE FINANCIAL CORP		2015-12-17	2016-04-20
33 MONSANTO CO NEW		2015-04-24	2016-04-20
228 MONSANTO CO NEW		2015-10-27	2016-12-08
2654 ASG GLOBAL ALTERNATIVES-Y #1993		2013-07-15	2016-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
5,359		5,035	324
4,288		4,087	201
2,272		2,564	-292
4,641		4,517	124
10,296		6,467	3,829
2,772		3,021	-249
2,357		2,351	6
3,061		3,907	-846
23,899		24,221	-322
26,036		30,574	-4,538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			324
			201
			-292
			124
			3,829
			-249
			6
			-846
			-322
			-4,538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2 NESTLE S A REGISTERED SHARES - ADR		2015-08-28	2016-04-20
31 NESTLE S A REGISTERED SHARES - ADR		2014-10-28	2016-04-20
62 NESTLE S A REGISTERED SHARES - ADR		2015-08-28	2016-04-26
139 NESTLE S A REGISTERED SHARES - ADR		2012-08-09	2016-04-26
622 NEUBERGER BERMAN LONG SH-INS #1830		2014-06-23	2016-04-20
1912 NEUBERGER BERMAN LONG SH-INS #1830		2014-06-23	2016-08-23
122 ORACLE CORPORATION		2015-08-28	2016-03-16
332 ORACLE CORPORATION		2014-10-28	2016-03-16
75 ROCHE HOLDINGS LTD - ADR		2015-08-28	2016-04-20
87 SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-09-13	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
153		148	5
2,366		2,261	105
4,595		4,574	21
10,301		8,751	1,550
7,943		8,042	-99
24,474		24,722	-248
4,924		4,498	426
13,399		11,091	2,308
2,455		2,567	-112
3,689		3,042	647

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			5
			105
			21
			1,550
			-99
			-248
			426
			2,308
			-112
			647

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
409 SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-09-13	2016-08-23
552 SPDR DJ WILSHIRE INTERNATIONAL REAL		2011-09-13	2016-10-05
53 TJX COMPANIES INC		2014-10-28	2016-01-22
88 TJX COMPANIES INC		2015-08-28	2016-01-22
44 TARGET CORP		2015-08-28	2016-04-20
49 TARGET CORP		2015-08-28	2016-11-15
14 THERMO FISHER SCIENTIFIC INC		2014-10-28	2016-04-20
8 THERMO FISHER SCIENTIFIC INC		2015-08-28	2016-04-20
57 TOTAL S A - ADR		2014-12-18	2016-04-20
43 UNION PACIFIC CORP		2015-07-22	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
17,572		14,303	3,269
22,281		19,303	2,978
3,652		3,215	437
6,063		6,282	-219
3,682		3,433	249
3,498		3,667	-169
2,038		1,658	380
1,165		1,021	144
2,776		3,227	-451
3,554		4,263	-709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			3,269
			2,978
			437
			-219
			249
			-169
			380
			144
			-451
			-709

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
22 UNITED PARCEL SERVICE-CL B		2014-10-28	2016-04-20
165 VANGUARD INTERMEDIATE TERM B		2016-05-11	2016-08-23
520 00101 VANGUARD SHORT TERM BOND ETF		2011-11-04	2016-04-20
316 99899 VANGUARD SHORT TERM BOND ETF		2013-10-09	2016-04-20
863 VANGUARD SHORT TERM BOND ETF		2013-10-09	2016-08-23
270 VANGUARD FTSE DEVELOPED ETF		2016-04-20	2016-05-11
660 VANGUARD FTSE DEVELOPED ETF		2015-01-21	2016-05-11
3059 VANGUARD FTSE DEVELOPED ETF		2015-01-21	2016-08-23
269 VANGUARD FTSE EMERGING MARKETS ETF		2011-11-04	2016-04-20
3919 VANGUARD FTSE EMERGING MARKETS ETF		2014-10-21	2016-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,354		2,261	93
14,508		14,298	210
41,839		42,370	-531
25,506		25,824	-318
69,723		70,072	-349
9,775		10,128	-353
23,894		25,242	-1,348
114,917		113,528	1,389
9,546		11,266	-1,720
148,588		162,942	-14,354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			93
			210
			-531
			-318
			-349
			-353
			-1,348
			1,389
			-1,720
			-14,354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
30 VANGUARD REIT VIPER		2011-09-13	2016-04-20
577 VANGUARD REIT VIPER		2011-09-13	2016-08-23
628 VANGUARD REIT VIPER		2011-09-13	2016-10-05
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,495		661	1,834
51,460		29,731	21,729
51,922		32,359	19,563
			3,315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,834
			21,729
			19,563

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWELL RIDGE VOLUNTEER FIRE DEPARTMENT PO BOX 197 JEWELL RIDGE, VA 246220197	NONE	PC	GENERAL PURPOSE	1,500
AMERICAN DIABETES ASSOCIATION VIRGINIA AFFILIATE INC 1701 NORTH BEAUREGARD STREET ALEXANDRIA, VA 22311	NONE	PC	CONTRIBUTION	3,900
AMERCIAN CANCER SOCIETY ATTN PHILLIP R SAMRICK PO BOX 720366 OKLAHOMA CITY, OK 731720366	NONE	PC	CONTRIBUTION	9,750
CYSTIC FIBROSIS FOUNDATION JOHN LEHR DIR MAJOR/PLAN GIFTS 6931 ARLINGTON ROAD BETHESDA, MD 20814	NONE	PC	CONTRIBUTION	3,900
GRUNDY VOLUNTEER FIRE DEPARTMENT FOUNDATION MAPLE STREET Grundy, VA 24614	NONE	PC	CONTRIBUTION	1,500
PATTERSON RESCUE VIRGINIA 638 Patterson, VA 24631	NONE	PC	CONTRIBUTION	1,500
SHRINERS HOSPITALS FOR CHILDREN POST OFFICE BOX 863770 ORLANDO, FL 328863770	NONE	PC	CONTRIBUTION	19,500
AMERICAN RED CROSS BUCHANAN COUNTY CHAPTER 117 W WASHINGTON ST Morris, IL 60450	NONE	PC	CONTRIBUTION	9,750
EMORY AND HENRY COLLEGE POST OFFICE BOX 950 EMORY, VA 24327	NONE	PC	CONTRIBUTION	9,750
ROANOKE COLLEGE 221 COLLEGE LANE SALEM, VA 24153	NONE	PC	CONTRIBUTION	9,750
EASTERN STAR HOME OF VA INC ATTN DAVID SMITH PRESIDENT 4101 NINE MILE ROAD RICHMOND, VA 23223	NONE	PC	CONTRIBUTION	1,950
MASONIC HOME OF VIRGINIA 4101 NINE MILE ROAD RICHMOND, VA 23223	NONE	PC	GENERAL	1,950
VIRGINIA FOUNDATION FOR INDEPENDENT COLLEGES 8010 RIDGE ROAD SUITE B Richmond, VA 23229	NONE	PC	CONTIBUTION	9,750
MOUNTAIN MISSION SCHOOL 1760 EDGEWATER DRIVE GRUNDY, VA 24614	NONE	PC	CONTRIBUTION	39,000
HARMAN FIRE DEPARTMENT PO BOX 131 HARMAN, WV 26270	NONE	PC	CONTRIBUTION	1,500
Total . . . . ▶ **3a**				195,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OAKWOOD VOLUNTEER FIRE DEPT INC INTERSECTION US 460 STATE RT 624 Oakwood, VA 24631	NONE	PC	CONTRIBUTION	1,500
WHITEWOOD FIRE DEPARTMENT 1186 WIMMER GAP RD WHITEWOOD, VA 246579456	NONE	PC	CONTRIBUTION	1,500
ALZHEIMER DISEASE AND RELATED DISORDERS ASSOCIATION 4600 COX ROAD SUITE 130 GLEN ALLEN, VA 23060	NONE	PC	CONTRIBUTION	3,900
COUNCIL FIRE DEPARTMENT 1/10 MILE ON RT 620 EAST OF RT 80 Honaker, VA 24260	NONE	PC	CONTRIBUTION	1,500
SLATE CREEK VFD 10087 SLATE CREEK RD GRUNDY, VA 24614	NONE	PC	CONTRIBUTION	1,500
RUSSELL PRATER FIRE LOVERS GAP RD RT 83 Vansant, VA 24656	NONE	PC	CONTRIBUTION	1,500
DISMAL RIVER RESCUE 1076 BROWN MOUNTAIN RD Whitewood, VA 24657	NONE	PC	CONTRIBUTION	1,500
KNOX CREEK FIRE & RESCUE FOUNDATION 400 MAIN ST Knoxville, TN 37902	NONE	PC	CONTRIBUTION	1,500
BIG ROCK VFD 517 BIG ROCK RD Big Rock, TN 37023	NONE	PC	CONTRIBUTION	1,500
DAVENPORT LIFE SAVING PO BOX 53 DAVENPORT, VA 24239	NONE	PC	GENERAL PURPSOE	1,500
NATIONAL PARKINSON FOUNDATION C/O NATIONAL PARKINSON FDN INC 1501 NW 9TH AVENUE MIAMI, FL 331361494	NONE	PC	CONTRIBUTION	3,900
NATIONAL RIGHT TO WORK LEGAL DEFENSE & EDUCATION FDN 8001 BRADDOCK ROAD SUITE 600 SPRINGFIELD, VA 22160	NONE	PC	CONTRIBUTION	19,500
UNIVERSITY OF PIKEVILLE 147 SYCAMORE STREET PIKEVILLE, KY 41501	NONE	PC	CONTRIBUTION	9,750
BOY SCOUTS OF AMERICA SEQUOYAH ATTENTION DOUG MITCHELL 129 BOONE RIDGE DRIVE JOHNSON CITY, TN 37615	NONE	PC	CONTRIBUTION	19,500
Total . . . . ▶ **3a**				195,000

TY 2016 Accounting Fees Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

TY 2016 Compensation Explanation

Name: E M ICH BANE TUA

EIN: 54-6293471

Person Name	Explanation
WELLS FARGO BANK NA	See attached footnote

TY 2016 Investments Corporate Bonds Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Name of Bond	End of Year Book Value	End of Year Fair Market Value
922031737 VANGUARD INFLAT-PROT	19,387	18,869
921937819 VANGUARD INTERMEDIAT	247,213	241,568
921937827 VANGUARD SHORT TERM	184,377	182,814
315920702 FID ADV EMER MKTS IN	234,868	236,454
4812C0803 JPMORGAN HIGH YIELD	175,157	174,189
77958B402 T ROWE PRICE INST FL	72,384	72,310
091936732 BLACKROCK GL L/S CRE	147,831	145,833

TY 2016 Investments Corporate Stock Schedule

Name: E M ICH BANE TUA
EIN: 54-6293471

Name of Stock	End of Year Book Value	End of Year Fair Market Value
126650100 CVS HEALTH CORPORATI	18,019	22,489
037833100 APPLE INC	41,017	60,574
17275R102 CISCO SYSTEMS INC	24,598	34,149
20030N101 COMCAST CORP CLASS A	16,158	32,177
22544R305 CREDIT SUISSE COMM R	119,683	85,263
375558103 GILEAD SCIENCES INC	7,472	11,314
464287606 ISHARES S&P MID-CAP	107,121	188,577
464287705 ISHARES S&P MID-CAP	102,526	180,060
464287804 ISHARES CORE S&P SMA	120,365	232,134
46625H100 JPMORGAN CHASE & CO	15,508	33,998
58155Q103 MCKESSON CORP	15,840	16,714
594918104 MICROSOFT CORP	33,095	50,147
68389X105 ORACLE CORPORATION		
78463X863 SPDR DJ WILSHIRE INT	50,675	52,388
87612E106 TARGET CORP	11,792	15,674
91324P102 UNITEDHEALTH GROUP I	16,938	38,410
922042858 VANGUARD FTSE EMERGI	169,998	151,958
922908553 VANGUARD REIT VIPER	35,605	57,028
008252108 AFFILIATED MANAGERS	18,961	21,504
084670702 BERKSHIRE HATHAWAY I	8,653	16,624
097023105 BOEING CO	21,728	33,160
12572Q105 CME GROUP INC	9,232	19,956
150870103 CELANESE CORP	18,406	27,402
25243Q205 DIAGEO PLC - ADR	17,149	16,423
254687106 WALT DISNEY CO	9,193	21,574
38141G104 GOLDMAN SACHS GROUP		
478366107 JOHNSON CONTROLS INC		
641069406 NESTLE S.A. REGISTER		
806857108 SCHLUMBERGER LTD	28,186	29,131
872540109 TJX COMPANIES INC	8,391	14,049

Name of Stock	End of Year Book Value	End of Year Fair Market Value
883556102 THERMO FISHER SCIENT	9,509	18,061
89151E109 TOTAL S.A. - ADR	19,606	20,592
907818108 UNION PACIFIC CORP	26,033	34,318
911312106 UNITED PARCEL SERVIC	11,848	16,394
G29183103 EATON CORP PLC	12,506	15,632
00203H859 AQR MANAGED FUTURES	121,402	110,882
404280406 HSBC - ADR		
63872T885 ASG GLOBAL ALTERNATI	83,871	74,188
74925K581 BOSTON P LNG/SHRT RE	37,090	43,086
921943858 VANGUARD FTSE DEVELO	277,850	279,312
09247X101 BLACKROCK INC	14,826	17,124
172967424 CITIGROUP INC.	28,542	33,578
231021106 CUMMINS INC.		
517834107 LAS VEGAS SANDS CORP	18,402	17,839
589509207 MERGER FUND-INST #30	72,339	72,338
64128R608 NEUBERGER BERMAN LON	72,179	72,171
02079K107 ALPHABET INC CL C	15,496	27,014
03076C106 AMERIPRISE FINL INC	23,022	22,188
277923728 EATON VANCE GLOBAL M	123,308	122,229
405217100 HAIN CELESTIAL GROUP	14,394	13,700
56501R106 MANULIFE FINANCIAL C	20,087	24,841
61166W101 MONSANTO CO NEW		
693475105 PNC FINANCIAL SERVIC	23,328	28,655
771195104 ROCHE HOLDINGS LTD -	28,355	24,365
779919109 T ROWE PRICE REAL ES	75,333	77,404
867224107 SUNCOR ENERGY INC NE	24,542	29,879
072730302 BAYER AG - ADR	12,462	13,139
192446102 COGNIZANT TECH SOLUT	28,959	28,463
532457108 ELI LILLY & CO COM	12,358	11,106
58933Y105 MERCK & CO INC NEW	17,347	19,780

Name of Stock	End of Year Book Value	End of Year Fair Market Value
848574109 SPIRIT AEROSYTSEMS H	15,435	19,197
H84989104 TE CONNECTIVITY LTD	14,697	15,588

TY 2016 Other Decreases Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE	2,060
ROC BASIS ADJUSTMENT	3,248
SALES WITH LOSS POST CURRENT EFF PREV	1,200
ROUNDING	2

TY 2016 Other Expenses Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES	24	24		0

TY 2016 Other Income Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
US TAX REFUND	1,783	0	
RECOVERY	1,745	0	

TY 2016 Other Increases Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	812
SALES WITH GAIN POST CURRENT EFF PREV	6,359

TY 2016 Taxes Schedule

Name: E M ICH BANE TUA

EIN: 54-6293471

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	888	888		0
FOREIGN TAXES ON QUALIFIED FOR	825	825		0
FOREIGN TAXES ON NONQUALIFIED	641	641		0