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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BOCOCK TRUST		A Employer identification number 54-6051067
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 1156	Room/suite	B Telephone number (804) 643-1100
City or town, state or province, country, and ZIP or foreign postal code RICHMOND, VA 23218-1156		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 759,616.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		47,045.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		14,869.	14,869.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		54,226.			
b Gross sales price for all assets on line 6a		165,113.			
7 Capital gain net income (from Part IV, line 2)			54,226.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		116,140.	69,095.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		2,045.	0.		2,045.
c Other professional fees					
17 Interest					
18 Taxes		183.	183.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		3,459.	3,459.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		5,687.	3,642.		2,045.
25 Contributions, gifts, grants paid		101,700.			101,700.
26 Total expenses and disbursements. Add lines 24 and 25		107,387.	3,642.		103,745.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		8,753.			
b Net investment income (if negative, enter -0-)			65,453.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	24,768.	7,019.	7,019.
	2 Savings and temporary cash investments	28,036.	34,460.	34,460.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	527,370.	547,478.	718,137.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	580,174.	588,957.	759,616.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	580,174.	588,957.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	580,174.	588,957.		
31 Total liabilities and net assets/fund balances	580,174.	588,957.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	580,174.
2 Enter amount from Part I, line 27a	2	8,753.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	30.
4 Add lines 1, 2, and 3	4	588,957.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	588,957.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 165,113.		110,887.	54,226.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			54,226.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	54,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	96,250.	702,748.	.136962
2014	125,414.	934,650.	.134183
2013	113,034.	813,240.	.138992
2012	106,033.	685,124.	.154765
2011	76,523.	779,318.	.098192

2 Total of line 1, column (d)	2	.663094
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.132619
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	702,311.
5 Multiply line 4 by line 3	5	93,140.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	655.
7 Add lines 5 and 6	7	93,795.
8 Enter qualifying distributions from Part XII, line 4	8	103,745.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	655.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	655.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	655.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	330.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	330.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	325.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>VA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses <u>STMT 7</u>	X	

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► ROBERTA B. BOCOCK Telephone no. ► (804) 643-1100 Located at ► 919 E. MAIN ST., 16TH FLOOR, RICHMOND, VA ZIP+4 ► 23219-1156		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► , , , b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERTA B. BOCOCK C/O 919 E. MAIN STREET, 16TH FLOOR RICHMOND, VA 23219	TRUSTEE AS NEEDED 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	664,617.
b	Average of monthly cash balances	1b	48,389.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	713,006.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	713,006.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,695.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	702,311.
6	Minimum investment return. Enter 5% of line 5	6	35,116.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	35,116.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	655.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	655.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	34,461.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	34,461.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	34,461.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	103,745.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	103,745.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	655.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	103,090.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				34,461.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	41,397.			
b From 2012	73,219.			
c From 2013	73,592.			
d From 2014	79,037.			
e From 2015	61,335.			
f Total of lines 3a through e	328,580.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	103,745.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				34,461.
e Remaining amount distributed out of corpus	69,284.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	397,864.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	41,397.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	356,467.			
10 Analysis of line 9:				
a Excess from 2012	73,219.			
b Excess from 2013	73,592.			
c Excess from 2014	79,037.			
d Excess from 2015	61,335.			
e Excess from 2016	69,284.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b. Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ROBERTA B. BOCOCK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ROBERTA B. BOCOCK, (804) 643-1100

P.O. BOX 1156, RICHMOND, VA 23218-1156

b The form in which applications should be submitted and information and materials they should include:

ANY FORM IS ACCEPTABLE

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO RESTRICTIONS

FREDERIC SCOTT BOCOCK & ROBERTA

Form 990-PF (2016)

BRYAN BOCOCK TRUST

54-6051067 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE 1 ATTACHED	N/A	PUBLIC	TO PROVIDE GENERAL FUNDS	101,700.
Total			▶ 3a	101,700.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form 990-PF (2016)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ... ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here Roberta Bryan Bocock 15-5-'17 TRUSTEE

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name MARLON DICKERSON	Preparer's signature <i>Marlon Dickerson</i> MARLON DICKERSON	Date 5/4/17	Check <input type="checkbox"/> if self-employed	PTIN P01330485
	Firm's name ▶ ATKINSON, HARRIS & HART, P.C.				Firm's EIN ▶ 54-1296607
	Firm's address ▶ 830 E. MAIN STREET, SUITE 1700 RICHMOND, VA 23219-2725				Phone no. 804.643.6886

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	71 SHS ARGAN INC	P	12/17/15	09/30/16
b	120 SHS BB&T CORP	P	02/08/16	11/21/16
c	200 SHS BAXALTA INC	P	08/24/15	05/24/16
d	150 SHS JOHNSON CONTROLS INC	P	08/28/15	08/10/16
e	.109 SHS M D C HOLDINGS INC	P	01/20/16	12/21/16
f	60 SHS PROCTER & GAMBLE CO	P	08/04/15	04/19/16
g	55 SHS PROCTER & GAMBLE CO	P	09/18/15	04/19/16
h	25 SHS PROCTER & GAMBLE CO	P	09/18/15	07/07/16
i	300 SHS ALTISOURCE RESIDENTIAL	P	12/27/12	02/09/16
j	140 SHS BAXALTA INC	P	02/11/15	05/24/16
k	266 SHS BRIGGS & STRATTON CORP	P	10/23/13	02/17/16
l	234 SHS BRIGGS & STRATTON CORP	P	10/23/13	03/11/16
m	310 SHS BRIGGS & STRATTON CORP	P	10/03/14	03/11/16
n	150 SHS DU PONT E I DEMOUR & CO	P	10/06/15	11/21/16
o	800 SHS FIRSTMERIT CORP	P	03/31/11	08/18/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,162.		2,342.	1,820.
b 5,306.		3,789.	1,517.
c 8,961.		7,077.	1,884.
d 6,696.		6,223.	473.
e 3.		2.	1.
f 4,982.		4,596.	386.
g 4,567.		3,870.	697.
h 2,109.		1,759.	350.
i 2,818.		5,245.	<2,427.>
j 6,273.		4,391.	1,882.
k 5,597.		5,067.	530.
l 5,367.		4,458.	909.
m 7,111.		5,661.	1,450.
n 10,449.		8,562.	1,887.
o 4,000.		595.	3,405.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,820.
b			1,517.
c			1,884.
d			473.
e			1.
f			386.
g			697.
h			350.
i			<2,427.>
j			1,882.
k			530.
l			909.
m			1,450.
n			1,887.
o			3,405.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SHS FIRSTMERIT CORP	P	06/02/11	08/18/16
b	800 SHS GENTEX CORP	P	04/20/12	12/21/16
c	140 SHS INTERSIL CORP	P	10/10/14	07/29/16
d	521 SHS INTERSIL CORP	P	10/10/14	08/22/16
e	690 SHS INTERSIL CORP	P	09/27/12	11/18/16
f	59 SHS INTERSIL CORP	P	10/10/14	11/18/16
g	143 SHS JOHNSON CONTROLS INC	P	01/20/12	08/10/16
h	.191 SHS M D C HOLDINGS INC	P	11/11/14	12/21/16
i	290 SHS MANTECH INTL CORP	P	05/09/12	04/28/16
j	200 SHS PRICE T ROWE GRP INC	P	01/16/09	04/20/16
k	100 SHS PROCTOR & GAMBLE CO	P	12/02/10	07/07/16
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,500.			1,500.
b 16,003.		8,505.	7,498.
c 2,116.		1,697.	419.
d 9,758.		6,317.	3,441.
e 15,210.		6,212.	8,998.
f 1,300.		715.	585.
g 6,383.		4,513.	1,870.
h 5.		5.	0.
i 10,415.		7,115.	3,300.
j 15,587.		5,920.	9,667.
k 8,435.		6,251.	2,184.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,500.
b			7,498.
c			419.
d			3,441.
e			8,998.
f			585.
g			1,870.
h			0.
i			3,300.
j			9,667.
k			2,184.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	54,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	N/A

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

FREDERIC SCOTT BOCOCK & ROBERTA
BRYAN BOCOCK TRUST

Employer identification number

54-6051067

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization FREDERIC SCOTT BOCK & ROBERTA BRYAN BOCK TRUST	Employer identification number 54-6051067
---	---

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERTA B BOCK 1214 ROTHESAY CIRCLE RICHMOND, VA 23221	\$ 45,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization FREDERIC SCOTT BOCK & ROBERTA BRYAN BOCK TRUST	Employer identification number 54-6051067
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization FREDERIC SCOTT BOCK & ROBERTA BRYAN BOCK TRUST	Employer identification number 54-6051067
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BB&T SECURITIES, LLC	14,869.	0.	14,869.	14,869.	
TO PART I, LINE 4	14,869.	0.	14,869.	14,869.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PREPARATION OF FORM 990 PF 2015	2,045.	0.		2,045.
TO FORM 990-PF, PG 1, LN 16B	2,045.	0.		2,045.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN WITHHOLDING TAX ON FOREIGN DIVIDENDS	183.	183.		0.
TO FORM 990-PF, PG 1, LN 18	183.	183.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MGMNT FEES	3,459.	3,459.		0.	
TO FORM 990-PF, PG 1, LN 23	3,459.	3,459.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	5
DESCRIPTION	AMOUNT				
ALTISOURCE RES LT CAP GAIN, BUT REPORTED ON 2015 1099	30.				
TOTAL TO FORM 990-PF, PART III, LINE 3	30.				

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SCHEDULE 2 ATTACHED	547,478.	718,137.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	547,478.	718,137.		

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 7

NAME OF CONTRIBUTOR

ADDRESS

ROBERTA B. BOCK

P.O. BOX 1156
RICHMOND, VA 23218-1156

Frederic S. Bocock and Roberta B. Bocock Trust
54-6051067
2016 Form 990-PF

Schedule 1

<u>Recipient and Address</u>	<u>Amount</u>
American Civil War Museum, Richmond, VA	4,000
Lewis Ginter Botanical Garden, Richmond, VA	200
Monroe Park Conservancy, Richmond, VA	25,000
United Way of Greater Richmond, Richmond, VA	10,000
St. Paul's Episcopal Church, Richmond, VA	10,000
Menokin Foundation, Warsaw, VA	100
Sheltering Arms Physical Rehabilitation Hospital, Richmond, VA	200
St. Andrew's School, Richmond, VA	200
Cystic Fibrosis Foundation, Richmond, VA	1,000
St. Catherine's School, Richmond, VA	3,000
St. Timothy's School, Stevenson, MD	3,000
Village School, Charlottesville, VA	1,000
Rowland Hall, Salt Lake City, UT	1,000
Colorado Academy, Denver, CO	1,000
Graland Country Day School, Denver, CO	1,000
Episcopal High School, Alexandria, VA	1,000
St. Christopher's School, Richmond, VA	1,000
St. Christopher's School, Richmond, VA	1,000
James River Association, Richmond, VA	3,000
Huntsman Cancer Foundation, Salt Lake City, UT	1,000
Richmond SPCA, Richmond, VA	2,000
Virginia Council on Economic Education, Richmond, VA	1,000
Virginia Historical Society, Richmond, VA	1,000
Thoroughbred Retirement Foundation, Crozier, VA	500
Richmond Ballet, Richmond, VA	1,500
Virginia Home for Boys & Girls, Richmond, VA	1,500
Emmanuel Episcopal Church, Greenwood, VA	1,500
The Valentine, Richmond, VA	1,000
Southern Environmental Law Center, Richmond, VA	1,000
Camp Pasquany, Hebron, NH	2,000
Jamestown-Yorktown Foundation, Williamsburg, VA	500
The Virginia Home, Richmond, VA	2,000
The Community Foundation, Richmond, VA	1,500
Richmond Public Library Foundation, Richmond, VA	500
Lewis Ginter Botanical Garden, Richmond, VA	500
Scenic Virginia, Richmond, VA	500
Westminster-Canterbury Foundation, Richmond, VA	500
Historic Richmond, Richmond, VA	1,000

Frederic S. Bocock and Roberta B. Bocock Trust

54-6051067

2016 Form 990-PF

Schedule 1

<u>Recipient and Address (continued)</u>	<u>Amount</u>
Anna Julia Cooper Episcopal School, Richmond, VA	1,000
Science Museum of Virginia Foundation, Richmond, VA	500
New York Harbor Foundation, New York, NY	500
Hollywood Cemetery, Richmond, VA	500
St. John's Church Foundation, Richmond, VA	500
Children's Hospital Foundation, Richmond, VA	500
George Washington Foundation, Fredericksburg, VA	500
Hollywood Cemetery, Richmond, VA	500
American Civil War Museum, Richmond, VA	1,000
Elk Hill Farm, Goochland, VA	1,000
Empower Lacrosse Club, Hanover, NH	500
Capital Trees, Richmond, VA	500
Sheltering Arms Hospital, Richmond, VA	500
Snowbird Sports Education Foundation, Holladay, UT	500
Better Housing Coalition, Richmond, VA	1,000
Getaway Homes, Inc., Chesterfield, VA	1,000
St. Andrew's School, Richmond, VA	2,500
MCV Foundation, Richmond, VA	500
Menokin Foundation, Warsaw, VA	500
Total	<u><u>\$ 101,700</u></u>

SCHEDULE 2
EIN 54-6051067



Statement of Account: December 1, 2016 to December 31, 2016

Account Number: 34968525

Financial Advisor: RRS1
THE SCOTT GROUP-BB&T S&S

MR FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BOOCK TRUST

Portfolio Positions

Prices are provided as a general guideline and may not reflect the actual current price. Refer to the disclosure on the back of this statement regarding the price/value presented.

Cost basis and the associated gain/loss for unrealized open positions are provided on this statement for informational purposes only. This statement is not a tax document and should not be used for tax preparation.

Equities

Security Description	Symbol Cusip	Quantity	Account Type	Current Market Price	Original/ Adjusted Cost	Current Market Value	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
ABBOTT LABORATORIES	ABT	200	1	38.410	4,564.71	7,682.00	3,117.29	212	2.76
ABBVIE INC	ABBV	307	1	62.620	11,919.70	19,224.34	7,304.64	785	4.09
ALTISSOURCE PORTFOLIO SOLUTIONS S A	ASPS	900	1	26.590	30,974.00	23,931.00	-7,043.00		
ARGAN INC	AGX	229	1	70.550	7,554.10	16,155.95	8,601.85	160	0.99
AT&T INC	T	378	1	42.530	12,618.08	16,076.34	3,458.26	740	4.61
BB&T CORP	BBT	380	1	47.020	26,817.2	17,867.60	15,159.62	456	2.55
BRISTOL MYERS SQUIBB COMPANY	BMJ	217	1	58.440	10,795.62	12,681.48	1,885.86	338	2.67
BWX TECHNOLOGIES INC	BWXT	1,000	1	39.700	5,097.19	39,700.00	34,602.81	360	0.91
CHICO'S FAS INC	CHS	720	1	14.390	10,838.08	10,360.80	-477.28	230	2.22
CISCO SYSTEMS INC	CSCO	535	1	30.220	8,899.97	16,167.70	7,267.73	556	3.44
CORNING INC	GLW	700	1	24.270	9,497.00	16,989.00	7,492.00	378	2.22
CVS HEALTH CORP	CVS	212	1	78.910	20,136.62	16,728.92	-3,407.70	424	2.53
DSW INC CLASS A	DSW	724	1	22.650	16,485.65	16,398.60	-87.05	579	3.53
DUKE ENERGY CORP NEW	DUK	150	1	77.620	10,215.00	11,643.00	1,428.00	513	4.41
FASTENAL COMPANY	FAST	250	1	46.980	10,282.18	11,745.00	1,462.82	300	2.55
GENERAL ELECTRIC COMPANY	GE	390	1	31.600	9,718.80	12,324.00	2,605.20	374	3.04
HALLIBURTON COMPANY	HAL	180	1	54.090	6,120.52	10,277.10	4,156.58	136	1.33
HUNTINGTON BANCSHARES INC	HBAN	1,892	1	13.220	17,940.99	25,012.24	7,071.25	605	2.42
IBERIABANK CORP	IBKC	245	1	83.750	13,999.30	20,518.75	6,519.45	352	1.72

Schedule 2
EIN: 54-6051067



Scott &
Stringfellow

Statement of Account: December 1, 2016 to December 31, 2016

Account Number: 34988525

Financial Advisor: RRS1
THE SCOTT GROUP-BB&T SAs

MR FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BOCK TRUST

Portfolio Positions (continued)

Equities (continued)

Security Description	Symbol	Cusip	Quantity	Long	Short	Account Type	Current Market Price	Adjusted Cost	Original/Market Value	Current Market Value	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
INTEL CORP	INTC		350			1	38.270	12,810.00	12,810.00	12,694.50	-115.50	364	2.87
INTL BUSINESS MACHINES CORP	IBM		104			1	165.990	15,550.64	15,550.64	17,262.96	1,712.32	582	3.37
KRAFT HEINZ CO	KHC		156			1	87.320	13,621.92	13,621.92	13,621.92	5,264.96	374	2.75
KVH INDUSTRIES INC	KVHI		1,500			1	11.800	18,620.00	18,620.00	17,700.00	-920.00		
LUMINEX CORP DEL	LUMX		1,500			1	20.230	19,272.30	19,272.30	30,345.00	11,072.70		
M D C HOLDINGS INC	MDC		825			1	25.660	18,301.48	18,301.48	21,169.50	2,868.02	825	3.90
MCDERMOTT INTL INC	MDR		2,000			1	7.390	7,639.92	7,639.92	14,780.00	7,140.08		
MERCK & COMPANY INC NEW	MRK		184			1	58.870	9,558.66	9,558.66	10,832.08	1,273.42	345	3.19
MICROCHIP TECHNOLOGY INC	MCHP		400			1	64.150	25,660.00	25,660.00	25,660.00	14,094.17	576	2.25
MOSAIC COMPANY NEW	MOS		693			1	29.330	17,538.39	17,538.39	20,325.69	2,787.30	762	3.75
NOBLE ENERGY INC	NBL		460			1	38.060	16,368.80	16,368.80	17,507.60	1,138.80	184	1.05
PRICE T ROWE GRP INC	TROW		300			1	75.260	8,879.73	8,879.73	22,578.00	13,698.27	648	2.87
QUALCOMM INC	QCOM		210			1	65.200	10,875.96	10,875.96	13,692.00	2,816.04	445	3.25
ROBERT HALF INTL INC	RHI		370			1	48.780	14,323.11	14,323.11	18,048.60	3,725.49	325	1.80
SCHLUMBERGER LTD	SLB		150			1	83.950	12,440.75	12,440.75	12,592.50	151.75	300	2.38
TARGET CORP	TGT		136			1	72.230	8,140.98	8,140.98	9,823.28	1,682.30	326	3.32
TOTAL S A	TOT		425			1	50.970	20,424.74	20,424.74	21,662.25	1,237.51	972	4.49
SPONSORED ADR													
UNITED PARCEL SERVICE INC CL B	UPS		150			1	114.640	14,687.36	14,687.36	17,196.00	2,508.64	468	2.72
WEATHERFORD INTL PLC	WFT		2,800			1	4.990	30,173.95	30,173.95	13,972.00	-16,201.95		

BOCOCK FS

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Schedule 2
EIN: 54-6051667

BB&T Scott &
Stringfellow

Statement of Account: December 1, 2016 to December 31, 2016

Account Number: 34968525 Financial Advisor: RRS1
THE SCOTT GROUP-BB&T S&S

MR FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BOCOCK TRUST

Portfolio Positions (continued)

Equities (continued)

Security Description	Symbol Cusip	Long	Short	Quantity	Account Type	Current Market Price	Original/Adjusted Cost	Current Market Value	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
WEC ENERGY GROUP INC	WEC	220			1	58.650	10,316.11	12,903.00	2,586.89	435	3.38
WELLS FARGO & CO NEW	WFC	370			1	55.110	13,441.94	20,390.70	6,948.76	562	2.76
3D SYSTEMS CORP DEL NEW	DDD	2,400			1	13.290	28,736.20	31,896.00	3,159.80		
Total for Equities							548,389.30	718,137.40	169,748.10	15,991	2.23

547,477.95

Daily Account Activity

Date	Transaction	Quantity	Symbol/CUSIP	Type	Price	Amount	Cash & Margin Cash Balance	Money Market & IDP Balance
12-01-16	DIVIDEND			1		150.00	-9,733.00	32,356.53
12-01-16	MONEY MARKET SELL			1	10000	9,883.00	150.00	22,473.53
12-01-16	DIVIDEND		NTC	1		91.00	241.00	22,473.53
12-01-16	DIVIDEND		WEC	1		108.90	349.90	22,473.53
12-01-16	DIVIDEND		WFC	1		140.60	490.50	22,473.53