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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

FREDERIC SCOTT BOOCK & ROBERTA  
BRYAN BOOCK TRUST

Number and street (or P O box number if mail is not delivered to street address)

A Employer identification number

P.O. BOX 1156

54-6051067

B Telephone number

(804) 643-1100

City or town, state or province, country, and ZIP or foreign postal code

RICHMOND, VA 23218-1156

C If exemption application is pending, check here ► G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name changeD 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► I Fair market value of all assets at end of year (from Part II, col (c), line 16) J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

\$ 759,616. (Part I, column (d) must be on cash basis)

Part I Revenue	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
		1 Contributions, gifts, grants, etc., received	47,045.	N/A	STATEMENT 1
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
	3 Dividends and interest from securities	14,869.	14,869.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a	54,226.			
	7 Capital gain net income (from Part IV, line 2)		54,226.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	116,140.	69,095.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	2,045.	0.	2,045.	
	c Other professional fees				
	17 Interest				
	18 Taxes	183.	183.	0.	
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	3,459.	3,459.	0.	
	24 Total operating and administrative expenses. Add lines 13 through 23	5,687.	3,642.	2,045.	
	25 Contributions, gifts, grants paid	101,700.		101,700.	
	26 Total expenses and disbursements. Add lines 24 and 25	107,387.	3,642.	103,745.	
	27 Subtract line 26 from line 12:	8,753.			
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		65,453.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing		24,768.	7,019.	7,019.
2	Savings and temporary cash investments		28,036.	34,460.	34,460.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations				
b	Investments - corporate stock	STMT 6	527,370.	547,478.	718,137.
c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		580,174.	588,957.	759,616.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)		0.	0.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds		580,174.	588,957.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		580,174.	588,957.	
31	Total liabilities and net assets/fund balances		580,174.	588,957.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	580,174.
2	Enter amount from Part I, line 27a	2	8,753.
3	Other increases not included in line 2 (itemize) ►	3	30.
4	Add lines 1, 2, and 3	4	588,957.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	588,957.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b	SEE ATTACHED STATEMENTS				
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e	165,113.		110,887.		54,226.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a					
b					
c					
d					
e					54,226.
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	54,226.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	96,250.	702,748.	.136962
2014	125,414.	934,650.	.134183
2013	113,034.	813,240.	.138992
2012	106,033.	685,124.	.154765
2011	76,523.	779,318.	.098192

2 Total of line 1, column (d)

2 .663094

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .132619

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 702,311.

5 Multiply line 4 by line 3

5 93,140.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 655.

7 Add lines 5 and 6

7 93,795.

8 Enter qualifying distributions from Part XII, line 4

8 103,745.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	655.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
3	Add lines 1 and 2	2	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	655.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	4	0.
6	<b>Credits/Payments:</b>	5	655.
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	330.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	330.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	325.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> ► <input type="checkbox"/> <b>Refunded</b> ► <input type="checkbox"/> 11		

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	X	
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c		X	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	X	
<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
<i>If "Yes," has it filed a tax return on Form 990-T for this year?</i>			
4b		X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	X	
<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:	X	
<i>• By language in the governing instrument, or</i>			
<i>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</i>			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
<b>N/A</b>			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>VA</u>		
8b	<i>If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation</i>	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	STMT 7	X

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)

	Yes	No
11		X

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)

12	X
----	---

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

Website address ► N/A

13	X
----	---

14 The books are in care of ► ROBERTA B. BOOCOCK

Telephone no. ► (804) 643-1100

Located at ► 919 E. MAIN ST., 16TH FLOOR, RICHMOND, VA

ZIP+4 ► 23219-1156

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

►	15	N/A
---	----	-----

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►

16	Yes	No
----	-----	----

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?

Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?

Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?

Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?

Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?

Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

Yes  No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?

1c X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?

Yes  No

If "Yes," list the years ► \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

2b

► \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

Yes  No

b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)

N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

3b

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

4a X

4b

X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes  No  
 Yes  No  
 Yes  No  
 Yes  No  
 Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

N/A  
►

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

Yes  No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Yes  No

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERTA B. BOCOCK C/O 919 E. MAIN STREET, 16TH FLOOR RICHMOND, VA 23219	TRUSTEE AS NEEDED			
	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1 <b>N/A</b>	Expenses
	0.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1 <b>N/A</b>	Amount
2	
All other program-related investments. See instructions.	
3	
<b>Total. Add lines 1 through 3</b>	► 0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	664,617.
b Average of monthly cash balances	1b	48,389.
c Fair market value of all other assets	1c	
d <b>Total</b> (add lines 1a, b, and c)	1d	713,006.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	713,006.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,695.
5 <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	702,311.
6 <b>Minimum investment return.</b> Enter 5% of line 5	6	35,116.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	35,116.
2a Tax on investment income for 2016 from Part VI, line 5	2a	655.
b Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	655.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	34,461.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	34,461.
6 Deduction from distributable amount (see instructions)	6	0.
7 <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	34,461.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	103,745.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	103,745.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	655.
6 <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	103,090.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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BRYAN BOOCK TRUST

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				34,461.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	41,397.			
b From 2012	73,219.			
c From 2013	73,592.			
d From 2014	79,037.			
e From 2015	61,335.			
f Total of lines 3a through e	328,580.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 103,745.			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount			34,461.	
e Remaining amount distributed out of corpus	69,284.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	397,864.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	41,397.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	356,467.			
10 Analysis of line 9:				
a Excess from 2012	73,219.			
b Excess from 2013	73,592.			
c Excess from 2014	79,037.			
d Excess from 2015	61,335.			
e Excess from 2016	69,284.			



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE SCHEDULE 1 ATTACHED	N/A	PUBLIC	TO PROVIDE GENERAL FUNDS	101,700.
<b>Total</b>				► 3a 101,700.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities				14	14,869.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	54,226.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		69,095.	0.
13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.)			13		69,095.

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
N/A	



Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	71 SHS ARGAN INC	P	12/17/15	09/30/16
b	120 SHS BB&T CORP	P	02/08/16	11/21/16
c	200 SHS BAXALTA INC	P	08/24/15	05/24/16
d	150 SHS JOHNSON CONTROLS INC	P	08/28/15	08/10/16
e	.109 SHS M D C HOLDINGS INC	P	01/20/16	12/21/16
f	60 SHS PROCTER & GAMBLE CO	P	08/04/15	04/19/16
g	55 SHS PROCTER & GAMBLE CO	P	09/18/15	04/19/16
h	25 SHS PROCTER & GAMBLE CO	P	09/18/15	07/07/16
i	300 SHS ALTISOURCE RESIDENTIAL	P	12/27/12	02/09/16
j	140 SHS BAXALTA INC	P	02/11/15	05/24/16
k	266 SHS BRIGGS & STRATTON CORP	P	10/23/13	02/17/16
l	234 SHS BRIGGS & STRATTON CORP	P	10/23/13	03/11/16
m	310 SHS BRIGGS & STRATTON CORP	P	10/03/14	03/11/16
n	150 SHS DU PONT E I DEMOUR & CO	P	10/06/15	11/21/16
o	800 SHS FIRSTMERIT CORP	P	03/31/11	08/18/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,162.		2,342.	1,820.
b 5,306.		3,789.	1,517.
c 8,961.		7,077.	1,884.
d 6,696.		6,223.	473.
e 3.		2.	1.
f 4,982.		4,596.	386.
g 4,567.		3,870.	697.
h 2,109.		1,759.	350.
i 2,818.		5,245.	<2,427. >
j 6,273.		4,391.	1,882.
k 5,597.		5,067.	530.
l 5,367.		4,458.	909.
m 7,111.		5,661.	1,450.
n 10,449.		8,562.	1,887.
o 4,000.		595.	3,405.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,820.
b			1,517.
c			1,884.
d			473.
e			1.
f			386.
g			697.
h			350.
i			<2,427. >
j			1,882.
k			530.
l			909.
m			1,450.
n			1,887.
o			3,405.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 } . . . . .

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

## Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	300 SHS FIRSTMERIT CORP	P	06/02/11	08/18/16
b	800 SHS GENTEX CORP	P	04/20/12	12/21/16
c	140 SHS INTERSIL CORP	P	10/10/14	07/29/16
d	521 SHS INTERSIL CORP	P	10/10/14	08/22/16
e	690 SHS INTERSIL CORP	P	09/27/12	11/18/16
f	59 SHS INTERSIL CORP	P	10/10/14	11/18/16
g	143 SHS JOHNSON CONTROLS INC	P	01/20/12	08/10/16
h	.191 SHS M D C HOLDINGS INC	P	11/11/14	12/21/16
i	290 SHS MANTECH INTL CORP	P	05/09/12	04/28/16
j	200 SHS PRICE T ROWE GRP INC	P	01/16/09	04/20/16
k	100 SHS PROCTOR & GAMBLE CO	P	12/02/10	07/07/16
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,500.			1,500.
b 16,003.		8,505.	7,498.
c 2,116.		1,697.	419.
d 9,758.		6,317.	3,441.
e 15,210.		6,212.	8,998.
f 1,300.		715.	585.
g 6,383.		4,513.	1,870.
h 5.		5.	0.
i 10,415.		7,115.	3,300.
j 15,587.		5,920.	9,667.
k 8,435.		6,251.	2,184.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,500.
b			7,498.
c			419.
d			3,441.
e			8,998.
f			585.
g			1,870.
h			0.
i			3,300.
j			9,667.
k			2,184.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	54,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	N/A

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
- Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016****Name of the organization**FREDERIC SCOTT BOOCK & ROBERTA  
BRYAN BOOCK TRUST**Employer identification number****54-6051067****Organization type (check one):****Filers of:****Section:**

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

## Name of organization

FREDERIC SCOTT BOCOCK & ROBERTA  
BRYAN BOCOCK TRUST

## Employer identification number

54-6051067

**Part I Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERTA B BOCOCK  1214 ROTHESAY CIRCLE  RICHMOND, VA 23221	\$ 45,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions )</small>

Name of organization

FREDERIC SCOTT BOOCK & ROBERTA  
BRYAN BOOCK TRUST

Employer identification number

54-6051067

**Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____

---

**Name of organization**

**Employer identification number**

FREDERIC SCOTT BOCOCK & ROBERTA  
BRYAN BOCOCK TRUST

54-6051067

## Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

Use duplicate copies of Part III if additional space is needed.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BB&T SECURITIES, LLC	14,869.	0.	14,869.	14,869.	
TO PART I, LINE 4	14,869.	0.	14,869.	14,869.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PREPARATION OF FORM 990 PF 2015	2,045.	0.		2,045.
TO FORM 990-PF, PG 1, LN 16B	2,045.	0.		2,045.

## FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN WITHHOLDING TAX ON FOREIGN DIVIDENDS	183.	183.		0.
TO FORM 990-PF, PG 1, LN 18	183.	183.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	3,459.	3,459.		0.
TO FORM 990-PF, PG 1, LN 23	3,459.	3,459.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 5
DESCRIPTION	AMOUNT	
ALTISOURCE RES LT CAP GAIN, BUT REPORTED ON 2015 1099		30.
TOTAL TO FORM 990-PF, PART III, LINE 3		30.

FORM 990-PF	CORPORATE STOCK	STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHEDULE 2 ATTACHED	547,478.	718,137.
TOTAL TO FORM 990-PF, PART II, LINE 10B	547,478.	718,137.

FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BO

54-6051067

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 7

NAME OF CONTRIBUTOR

ADDRESS

ROBERTA B. BOCOCK

P.O. BOX 1156  
RICHMOND, VA 23218-1156

**Frederic S. Bocock and Roberta B. Bocock Trust**  
**54-6051067**  
**2016 Form 990-PF**

**Schedule 1**

<b><u>Recipient and Address</u></b>	<b><u>Amount</u></b>
American Civil War Museum, Richmond, VA	4,000
Lewis Ginter Botanical Garden, Richmond, VA	200
Monroe Park Conservancy, Richmond, VA	25,000
United Way of Greater Richmond, Richmond, VA	10,000
St. Paul's Episcopal Church, Richmond, VA	10,000
Menokin Foundation, Warsaw, VA	100
Sheltering Arms Physical Rehabilitaion Hospital, Richmond, VA	200
St. Andrew's School, Richmond, VA	200
Cystic Fibrosis Foundation, Richmond, VA	1,000
St. Catherine's School, Richmond, VA	3,000
St. Timothy's School, Stevenson, MD	3,000
Village School, Charlottesville, VA	1,000
Rowland Hall, Salt Lake City, UT	1,000
Colorado Academy, Denver, CO	1,000
Graland Country Day School, Denver, CO	1,000
Episcopal High School, Alexandria, VA	1,000
St. Christopher's School, Richmond, VA	1,000
St. Christopher's School, Richmond, VA	1,000
James River Association, Richmond, VA	3,000
Huntsman Cancer Foundation, Salt Lake City, UT	1,000
Richmond SPCA, Richmond, VA	2,000
Virginia Council on Economic Education, Richmond, VA	1,000
Virginia Historical Society, Richmond, VA	1,000
Thoroughbred Retirement Foundation, Crozier, VA	500
Richmond Ballet, Richmond, VA	1,500
Virginia Home for Boys & Girls, Richmond, VA	1,500
Emmanuel Episcopal Church, Greenwood, VA	1,500
The Valentine, Richmond, VA	1,000
Southern Environmental Law Center, Richmond, VA	1,000
Camp Pasquany, Hebron, NH	2,000
Jamestown-Yorktown Foundation, Williamsburg, VA	500
The Virginia Home, Richmond, VA	2,000
The Community Foundation, Richmond, VA	1,500
Richmond Public Library Foundation, Richmond, VA	500
Lewis Ginter Botanical Garden, Richmond, VA	500
Scenic Virginia, Richmond, VA	500
Westminster-Canterbury Foundation, Richmond, VA	500
Historic Richmond, Richmond, VA	1,000

**Frederic S. Bocock and Roberta B. Bocock Trust**  
**54-6051067**  
**2016 Form 990-PF**

**Schedule 1**

<b>Recipient and Address (continued)</b>	<b>Amount</b>
Anna Julia Cooper Episcopal School, Richmond, VA	1,000
Science Museum of Virginia Foundation, Richmond, VA	500
New York Harbor Foundation, New York, NY	500
Hollywood Cemetery, Richmond, VA	500
St. John's Church Foundation, Richmond, VA	500
Children's Hospital Foundation, Richmond, VA	500
George Washington Foundation, Fredericksburg, VA	500
Hollywood Cemetery, Richmond, VA	500
American Civil War Museum, Richmond, VA	1,000
Elk Hill Farm, Goochland, VA	1,000
Empower Lacrosse Club, Hanover, NH	500
Capital Trees, Richmond, VA	500
Sheltering Arms Hospital, Richmond, VA	500
Snowbird Sports Education Foundation, Holladay, UT	500
Better Housing Coalition, Richmond, VA	1,000
Getaway Homes, Inc., Chesterfield, VA	1,000
St. Andrew's School, Richmond, VA	2,500
MCV Foundation, Richmond, VA	500
Menokin Foundation, Warsaw, VA	500
Total	<b>\$ 101,700</b>

MR FREDERIC SCOTT BOOCOCK & ROBERTA B/A/N BOOCOCK TRUST

**Portfolio Positions** *Prices are provided as a general guideline and may not reflect the actual current price. Refer to the disclosure on the back of this statement regarding the price/value presented.*

**Cost basis and the associated gain/loss for unrealized open positions are provided on this statement for informational purposes only.**  
**This statement is not a tax document and should not be used for tax preparation.**

**Equities**

Security Description	Symbol Cusip	Quantity Long	Short Type	Current Market Price	Original/ Adjusted Cost	Current Market Value	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
ABBOTT LABORATORIES	ABT	200	1	38.410	4,564.71	7,682.00	3,117.29	212	2.76
ABBV INC	ABBV	307	1	62.620	11,919.70	19,224.34	7,304.64	785	4.09
ALTSOURCE PORTFOLIO SOLUTIONS S A	ASPS	900	1	26.590	30,974.00	23,931.00	-7,043.00		
ARGAN INC	AGX	229	1	70.550	7,554.10	16,155.95	8,601.85	160	0.99
AT&T INC	T	378	1	42.530	12,618.08	16,076.34	3,458.26	740	4.61
BB&T CORP	BBT	380	1	47.020	<del>26,211.72</del> <del>22,287.98</del>	17,867.60	15,159.62	456	2.55
BRISTOL MYERS SQUIBB COMPANY	BMY	217	1	58.440	10,795.62	12,661.48	1,885.86	338	2.67
BWX TECHNOLOGIES INC	BWXT	1,000	1	39.700	5,097.19	39,700.00	34,602.81	360	0.91
CHICO'S FAS INC	CHS	720	1	14.390	10,838.08	10,360.80	-477.28	230	2.22
CISCO SYSTEMS INC	CSCO	535	1	30.220	8,899.97	16,167.70	7,267.73	556	3.44
CORNING INC	GLW	700	1	24.270	9,497.00	16,989.00	7,492.00	378	2.22
CVS HEALTH CORP	CVS	212	1	78.910	20,136.62	16,728.92	-3,407.70	424	2.53
DSW INC CLASS A	DSW	724	1	22.650	16,485.65	16,398.60	-87.05	579	3.53
DUKE ENERGY CORP NEW	DUK	150	1	77.620	10,215.00	11,643.00	1,428.00	513	4.41
FASTENAL COMPANY	FAST	250	1	46.980	10,282.18	11,745.00	1,462.82	300	2.55
GENERAL ELECTRIC COMPANY	GE	390	1	31.600	9,718.80	12,324.00	2,605.20	374	3.04
HALLIBURTON COMPANY	HAL	190	1	54.090	6,120.52	10,277.10	4,156.58	136	1.33
HUNTINGTON BANKSHRIES INC	HBAN	1,892	1	13.220	17,940.99	25,012.24	7,071.25	605	2.42
IBERIABANK CORP	IBKC	245	1	83.750	13,999.30	20,518.75	6,519.45	352	1.72

Schedule 2  
EIN: 54-6051067

Scott &  
Stringfellow  
BB&T

Statement of Account: December 1, 2016 to December 31, 2016

Account Number: 34968525  
Financial Advisor: RRS1  
THE SCOTT GROUP-BB&T SAS

MR FREDERIC SCOTT BOOCOCK & ROBERTA BRYAN BOOCOCK TRUST

Portfolio Positions (continued)

Equities (continued)

Security Description	Symbol	Quantity	Long	Short	Account Type	Current Market Price	Original/ Adjusted Cost	Current Market Value	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield	
INTEL CORP	INTC	350		1		36.270	12,810.00	12,694.50	-115.50	364	2.87	
INTL BUSINESS MACHINES CORP	IBM	104		1		165.990	15,550.64	17,262.96	1,712.32	582	3.37	
KRAFT HEINZ CO	KHC	156		1		87.320	7,980.47	13,621.92	5,264.96	374	2.75	
KVH INDUSTRIES INC	KVHI	1,500		1		11.800	18,620.00	17,700.00	-920.00			
LUMINEX CORP DEL	LMNX	1,500		1		20.230	19,272.30	30,345.00	11,072.70			
M D C HOLDINGS INC	MDC	825		1		25.660	18,301.48	21,169.50	2,868.02	825	3.90	
MCDERMOTT INTL INC	MDR	2,000		1		7.390	7,639.92	14,780.00	7,140.08			
MERCK & COMPANY INC NEW	MRK	184		1		58.870	9,558.66	10,832.08	1,273.42	345	3.19	
MICROCHIP TECHNOLOGY INC	MCHP	400		1		64.150	11,057.28	14,565.83	25,660.00	14,094.17	576	2.25
MOSAIC COMPANY NEW	MOS	693		1		29.330	17,538.39	20,325.69	2,787.30	762	3.75	
NOBLE ENERGY INC	NBL	460		1		38.060	16,368.80	17,507.60	1,138.80	184	1.05	
PRICE T ROWE GRP INC	TROW	300		1		75.260	8,879.73	22,578.00	13,698.27	648	2.87	
QUALCOMM INC	QCOM	210		1		65.200	10,875.96	13,692.00	2,816.04	445	3.25	
ROBERT HALF INTL INC	RHI	370		1		48.780	14,323.11	18,048.60	3,725.49	325	1.80	
SCHLUMBERGER LTD	SLB	150		1		83.950	12,440.75	12,592.50	151.75	300	2.38	
TARGET CORP	TGT	136		1		72.230	8,140.98	9,823.28	1,682.30	326	3.32	
TOTAL SA	TOT	425		1		50.970	20,424.74	21,662.25	1,237.51	972	4.49	
SPONSORED ADR												
UNITED PARCEL SERVICE INC CL B	UPS	150		1		114.640	14,687.36	17,196.00	2,508.64	468	2.72	
WEATHERFORD INTL PLC	WFT	2,800		1		4.990	30,173.95	13,972.00	-16,201.95			

Schedule 2  
EN 54-6051667

Scott &  
Stringfellow  
BB&T

**Statement of Account: December 1, 2016 to December 31, 2016**

Accession Number: 34968525

THE SCOTT GROUP-BB&T S&S

MR FREDERIC SCOTT BOCOCK & ROBERTA BRYAN BOCOCK TRUST

## Portfolio Positions (continued)

## Equalities (continued)

Equities (continued)		Quantity			Account		Current		Unrealized		Estimated	
Security Description	Symbol	Long	Short	1	Market Price	Original/	Adjusted Cost	Market Value	Gains/Loss	Annual Income	Yield	
WEC ENERGY GROUP INC	WEC	220		58,650	10,316.11	12,903.00		2,586.89		435	3.38	
WELLS FARGO & CO NEW	WFC	370	1	55,110	13,441.94	20,390.70		6,948.76		562	2.76	
3D SYSTEMS CORP DEL NEW	DDD	2,400	1	13,290	28,736.20	31,896.00		3,159.80				
<b>Total for Equities</b>					718,137.40					<b>15,991</b>	<b>2.23</b>	
<b>Total for Equities with Cost Data</b>					548,385.30	718,137.40				<b>169,748.10</b>		

## Daily Account Activity

BOCOCK ES

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(continued)