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## **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545-0052

Departmer	nt of the Treasury	_ <b>▶</b> ti			ocial security numbers on n 990-PF and its separate i				90pf	Open to F	ublic Inspection	on
For cale	ndar year 2016	or tax year be	ginning			, and e	ending					
Name o	of foundation			<u> </u>				A Employer	identificatio	n number		
THE	DOZORE	TZ FAM	ILY PRI	[VAT	E FOUNDATION			54-1	922422	2		
Number :	and street (or P O b	oox number if ma	al is not delivered	to street	address)		Room/suite	<b>B</b> Telephon	e number			
	CORPOR					,	110	<u>757-</u>	<u> 459-51</u>	L39		
	town, state or pro RFOLK , V		y, and ZIP or fo 02-4948		ostal code			C if exemption	n application is i	pending, check	here 🕨 🗀	
	k all that apply:		itial return		Initial return of a fo	rmer public	charity	<b>D</b> 1. Foreigi	n organization	ıs, check here	; ▶□	$\Box$
		Fır	nal return		Amended return			2		anting the REOL	tost $ egin{array}{cccccccccccccccccccccccccccccccccccc$	
			Idress change		X Name change			check h	organizations m ere and attach c	omputation	lest,	
	k type of organız ectıon 4947(a)(1				empt private foundation Other taxable private founda	tion			foundation station 507(b)(1			
	arket value of all				ng method: X Cash		rual		ndation is in a			
	Part II, col. (c),	line 16)		Ot	her (specify)				tion 507(b)(1		[	
▶\$		9,161,	461. (Par	t I, colu	mn (d) must be on cash b	oasis)				,		
Part	Analysis of R (The total of amo	evenue and E ounts in columns al the amounts in	xpenses s (b), (c), and (d) m n column (a) )	ay not	(a) Revenue and expenses per books		nvestment come		usted net come	for charit	sbursements able purposes basis only)	3
1								N,	/A			
2	Check X			Sch B								
3	Interest on saving cash investments	gs and temporary	y									
4	Dividends and	interest from	securities		29,520.		<u> 29,520.</u>			STATE	MENT :	1_
58	a Gross rents									<u> </u>		,
1	Net rental income	or (loss)						ļ				—
64 م	Net gain or (loss) Gross sales price				95,489.							—
Revenue 7	assets on line 6a	, <u>4</u>	,369,5	95.			95,489.	-	REC	HIVED	<del></del>	
ر آ ھو		•	t IV, line 2)				73,407.	15	31 000	o 5 0047	2808	
8 9	Net short-term Income modifi	, -							SEP I	<del>U 3 ZUI/</del>	12	
10:	Gross sales less									EN. UT	= ı	
	b Less Cost of good	ods sold	<del> </del>					_				
	c Gross profit or											
2017	Other income									<u> </u>		
12	Total Add line	es 1 through 1	l <b>1</b>		125,009.	1	<u>25,009.</u>			<del></del>		
<u> </u>	Compensation o				0.		0.					0.
مــ الم	Other employe		=							<del></del>		
15 (18)	-	, employee be	netits				***			-		
( 5 16	a Legal fees		STMT	2	3,200.		1,600.				1,60	0
) ad	<ul><li>b Accounting fer</li><li>c Other professi</li></ul>		STMT		22,645.		22,645.			+		0.
and Administrative Expenses 12 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		Oliai 1663	DIMI	,	136.		136.			<del></del>		0.
[ E 18			STMT	4	30,950.		134.		<u>,                                      </u>	1		0.
( ts 19		nd depletion		_								
(√ <u>E</u> 20	•	·							-			
₹ 21	Travel, confere	ences, and me	etings									
E 22	Printing and p	ublications						ļ				
<u>E</u> 23			STMT	5	175.		88.	<del> </del>			8	7.
Operating 52		-			F. 4.0.0		04 600				1	
<b>8</b>	expenses. Ad				57,106.		<u>24,603.</u>	<del> </del>		1 ,	1,68	
25					1,008,928.	-		<del>                                     </del>		1 1 1	08,92	0.
26	Total expense		sements.		1,066,034.		24,603			1 1	10,61	5
27	Add lines 24 a Subtract line 2				1,000,034.		<u>44,003</u>	<del>'                                     </del>	<del></del>	1 1,0	<u>, + 0 , 0 +</u>	<u>. ر</u>
	a Excess of revenu			inte	<941,025.	<b>&gt;</b>						
	b Net investmen				15 12 / 025		00,406			1		
	c Adjusted not					_ <del></del>		N	/A	<del></del>		

623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

623511 11-23-18

Add lines 1, 2, and 3

10,501,254.

Form **990-PF** (2016)

Decreases not included in line 2 (itemize)

Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	708,029.	12,137,085.	.058336
2014	169,598.	1,006,709.	.168468
2013	94,650.	624,555.	.151548
2012	149,068.	624,459.	.238715
2011	139,762.	700,318.	.199569

- 2 Total of line 1, column (d)
- 3 Average distribution ratio for the 5-year base period divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years
- 4 Enter the net value of noncharitable-use assets for 2016 from Part X. line 5
- 5 Multiply line 4 by line 3
- 6 Enter 1% of net investment income (1% of Part I, line 27b)
- 7 Add lines 5 and 6
- 8 Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

2 .816636 3 .163327

4 10,128,485.

5 1,654,255. 6 1,004.

7 1,655,259.

8 1,010,615.

	990-PF (2016) THE DOZORETZ FAMILY PRIVATE FOUNDATION 54-192 rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	<u>2422</u> instru	ction	Page 4 1S)
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.  Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)  Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%		2,0	08.
	of Part I, line 27b			
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			^
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  Add lines 1 and 2  3		2,0	<u>0.</u>
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4,0	0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		2,0	
	Credits/Payments:			<u> </u>
	2016 estimated tax payments and 2015 overpayment credited to 2016  6a 16,320.			
	Exempt foreign organizations - tax withheld at source			
	Tax paid with application for extension of time to file (Form 8868)  6c			
d	Backup withholding erroneously withheld 6d			
7	Total credits and payments. Add lines 6a through 6d	1	<u>6,3</u>	20.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8			<u> 12.</u>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<u>1</u>	4,3	
	Enter the amount of line 10 to be: Credited to 2017 estimated tax			0.
	rt VII-A Statements Regarding Activities	<del></del>	Van	NIa
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		Yes	
	any political campaign?	1a		_X_ _X_
	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or			
	distributed by the foundation in connection with the activities.			X
	Did the foundation file Form 1120-POL for this year?  Enter the amount (if any) of the on political expenditures (cention 4055) imposed during the unart	1c		
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation.   \$			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			
	managers. > \$ 0.			
	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities.			
	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	'		
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3 _		<u>x</u> _
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?  N/A	4b_		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		_X_
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law			
	remain in the governing instrument?	6	<u>X</u> _	<u> </u>
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7_	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)   VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)			
	of each state as required by General Instruction G? If "No," attach explanation	8b	X	L
	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar	1	1	
	year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		<u>X</u>
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	2.55	X
	F	orm <b>99</b> 0	J-PF	(2016)

11 A any time during the year, and the foundation, directly or indexetly, own a controlled entity within the meaning of section \$120\(\frac{1}{2}\) (\$2\)? (\$2\)? (\$2\)? (\$3\) (\$3\) extracts the schedule (see microtions)?  12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  15 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  17 The books are one and \$1\) THE FOUNDATION  18 The books are one as of \$1\) THE FOUNDATION  19 Located at \$20\) CORPORATE BLVD, SUITE 110, NORFOLK, VA  20 First \$20\) CORPORATE BLVD, SUITE 110, NORFOLK, VA  21 2 X  21 3 X  21 3 X  21 4 X  21 2 X  21 3 X  21 4 X  21 5 X  21 4 X  21 5 X	P	art VII-A   Statements Regarding Activities (continued)			
section 5/2(b)(1/3)? If 1/62, and schedule (see instructions)  Did the foundation make a destribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?  If Yes, attach statement (see instructions)  Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  Website address ▶ N/A  The books are in cene or ▶ THE FOUNDATION  Telephone no.▶ 757-459-5139  Telephone no. 757-459-5139			1	Yes	No
12 Did the foundation make a distribution to a donor adveed fund over which the foundation or a disqualfied person had advisorry privileges?  If Yes, stants attained (see institutions)  15 Did the foundation comply with the public respection requirements for its annual returns and exemption application?  Website address ➤ N/A  17 The blooks are in cere of ➤ THE FOUNDATION  Located at ➤ 24 O CORPORATE BLVD, SUITE 110, NORFOLK, VA  27P-4 ≥ 2502 - 4948  15 Section 4847(8) honexempt character BLVD, SUITE 110, NORFOLK, VA  27P-4 ≥ 2502 - 4948  15 Section 4847(8) honexempt character BLVD, SUITE 110, NORFOLK, VA  27P-4 ≥ 2502 - 4948  16 A larry time dump clearledy yes 210f, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and sliding requirements for FinCEN Form 114. H Yes," enter the name of the foreign country.  Peart VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 H any item is checked in the "Yes" column, unless an exception applies.  10 Immy the year did the foundation (either directly or indirectly):  11 Single me the said or exchange, or lessing of property with a disqualified person?  12 Immy the year did the foundation (either directly or indirectly):  13 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  14 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  15 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  16 Page compression this, or pay or remitures the expenses of, a disqualified person?  17 Yes X No  18 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  19 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  10 Firmsh goods, services, or facilities to (or accept them from) a disqualified person?  10 Firmsh goods, services, or facilities to (or accep	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
If Yes, attach statement (see instructions)  Dot the formation comply with the public imspection requirements for its annual returns and exemption application?  Website address ▶ N/A  17 The books are in care of ▶ THE FOUNDATION  Located a ▶ 24 O CORPORATE BLYD, SUITE 110, NORFOLK, VA  279-4 ≥ 235.02 - 49.48  18 Section 49x7(k)t) encisement character but statistic from 590-9 Fin lieu of form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  18. At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign control?  See the instructions for exceptions and sting requirements for FinCEN Form 114, If Yes, "enter the name of the foreign country.)  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  FILE Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 burning the year did he foundation (left directly or indirectly):  (1) Engage in the sals or exchange, or lessing of property with a disqualitied person?  (2) Berow money from, leaf money for, or dehrews extend credit to (or accept it from) a disqualitied person?  (3) Fransities any income or assets to a disqualitied person?  (4) Pay compensation to, or pay or remburse the expenses of, a disqualitied person?  (5) Transitie any income or assets to a disqualitied person (or make any of either available for the benefit or use of a disqualitied person?  (6) Ages to psy money or property to a government service, if terminating within 90 days.)  1 If any makes or stripp on a current notice regarding disaster assistance check here  2 Did the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  1 If any assistance in the stripp on a pure of the acts described in 1, other than excepted acts, that were not corrected before the time 43 day of the tax year teg			11		<u>X</u>
13 Did the loundation comply with the public imspection requirements for its annual returns and exemption application?  Who bets address ➤ M/A  14 The books are in care of ➤ THE FOUNDATION Telephone no. ➤ 75.7—4.59 – 51.39  Located at ➤ 2.40 CORPORATE BLVD, SUITE 110, NORFOLK, Va  ZIP+4 ➤ 2.35.02 – 49.48  Section 48.7(91) nonexempt charitable trusts limit prom 950-PF in lev of Form 1041 - Check here and enter the amount of two-empty interest received or accreed during the year  A karry time during celendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and finan requirements for FinCEN Form 114. If Yes,* enter the name of the financial account in a foreign country.  Part YII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any term is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any term is checked in the "Yes" column, unless an exception applies.  13 Furnish goods, service, or facilities to (or accept them front) a disqualified person?  (2) Borrow money front, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them front) a disqualified person?  (4) Pay compensation to, or pay or remutures the expenses of, a disqualified person?  (5) Transfer any viccine or assets to a disqualified person (or make any of other available for the benefit or use of a disqualified person?  (6) Apire to pay money or property to a government official (or a period after available for the heart day of the tax year beginning in 2016? (Exception, Check-Yo' if the foundation any send to make a grant to or to employ the official for a period after the first day of the tax year beginning in 2016?  10 After the foundation one-government service, if terminating within 90 days.)  11 Yes, 1st the years beginning in 2016? (Exception,	12				
Webste address ► M/A  If The books are in care of ► THE FOUNDATION Located at ► 240 CORPORATE BLUD, SUITE 110, NORFOLK, VA  20°+4 ≥ 23502−4948  Is Section 4847(a)(1) one-sempl charitable trusts limp form 990-97 in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year  Is A tary time during calendar year 2016, did the foundation have an interest or or a signature or other anauthority over a bank, securities, or other hancaed account in a foreign country?  See the instructions for exceptions and filting requirements for FincEN Form 114. If Yes,* enter the name of the foreign country ►  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 It any Item is checked in the "Yes" column, unless an exception applies.  1 buring the year did the foundation (either directly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  2) Borrow money from, lend money to, or enthemse extend credit to consequent trom) a disqualified person?  3) Formsh poods, services, or facilities to (or accept them from) a disqualified person?  4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  5) It manufaction any entire of make a grant to or to employ the official for (Exception. Check "No"  4) Register of the benefit or use of a disqualified person?  5) It may assew is ves to 11(1)(6), did any of the acts at 11 ou quality under the exceptions described in Regulations section 53.494 (10)-3 or in a current notice regarding disaster assistance check here  5) Dut the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  5) It was a first the years beginning in 2016 as a result of (1) any purchase			-		<u>X</u> _
14 The books are in care of ▶ THE FOUNDATION  Telephone no. ▶ 757-459-51.39 Located at # ≥ 40 CORPORATE BLVD. SUITE 110, NORFOLK, VA  ZIP+4 ▶ 23502-4948  15 Section 4947(a)(1) nonexempt charitable trusts thing form 990-PF in heu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  A tray time during calendar year 2016, did the foundation have an interest no ra signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filting requirements for FinCEN Form 114. If Yes,* enter the name of the foreign country.  Party III-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 Degret VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 Degret VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 Degret VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 Degret VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 Degret VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column is a sugardined person?  (2) Borrow money from, lend amoney to, or otherwise extend credit to (or accept in from) a disqualided person?  (3) Firmsth yoods, serves, or flactities to (or accept them from) a disqualided person?  (4) Pay compensation to, or pay or remibures the expenses of, a disqualided person?  (5) Firmsth any income or assets to a disqualided person (or	13		13	X	
Located at № 240 CORPORATE BLVD, SUITE 110, NORFOLK, VA  IP+4 ▶ 23502-4948  IS Section 4947(a)(1) nanexempt charatable trusts fling form 990-9F in lea ut Form 1041 - Check here and enter the amount of tax exempt interest received or accrued during the year  16. At any time during celeridary year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, enter the name of the foreign country ▶  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 in any them is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly):  (1) Engage in the saile or exchange, or leasing of property with a dequalified person?  (2) Borrow money from, lend money to, or indirectly:  (1) Engage in the saile or exchange, or leasing of property with a dequalified person?  (2) Borrow money from, lend money to, or indirectly or indirectly:  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any incentor or assets to a disqualified person (or make any of either available for the benefit or use of a dequalified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No'  If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  If any any server is very to 14(1)-60, did any of the acts fall to quality under the exceptions described in Regulations section 53-494 (10)-3 or in a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year many of the acts described in 1a, other than excepted acts, that were not corrected before the first day yea				1 2 0	
15 Section 4947(a)(1) nonexempt charatable trusts fling Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16. At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securiase, or other financial account in a foreign country.  16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securiase, or other financial account in a foreign country.  17 Sea the instructions for exceptions and filting requirements for FiniCEN Form 114. If Yes, enter the name of the foreign country.  18 During the year did the foundation (atther directly or indirectly):  19 Engage in the sale or exchange, or leasing of property with a disqualified person?  10 During the year did did the foundation (atther directly or indirectly):  11 Engage in the sale or exchange, or leasing of property with a disqualified person?  12 During the year view of the foundation (atther directly or indirectly):  13 Engage in the sale or exchange, or facilities to (or accept them from) a disqualified person?  14 Eagle of the sale of the foundation of the directly or or otherwise extend credit to (or accept them from) a disqualified person?  15 Engage in the sale or exchange, or facilities to (or accept them from) a disqualified person?  16 Engage in the sale or exchange, or facilities to (or accept them from) a disqualified person?  17 Engage in the sale or exchange, or facilities to (or accept them from) a disqualified person?  18 Engage in the sale or exchange, or facilities to (or accept them from) a disqualified person?  19 Engage in the foundation of power property to a government of ticcal? (Exception, Check 'No' if the foundation of government excited or the sale or accept them from' of the foundation is an accept the foundation or the property to a government of ticcal for a period after exception of government of the original period in the	14				40
15   N/A			35UZ	-49	48
16 Alary time during calendar year 2016, do the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for FinOEN Form 114, If Yes, "enter the name of the foreign country.  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 If any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (after directly or indirectly):  (1) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assists to a disqualified person (or make any) of the district any income or assists to a disqualified person (or make any) of the other available for the benefit or use of a disqualified person) or where any income or assists to a disqualified person (or make any of either available for the benefit or use of a disqualified person) or make any of the available for the benefit or use of a disqualified person (or make any of the available for the benefit or use of a disqualified person) or make any of the available for the benefit or use of a disqualified person (or make any or the available for the benefit or use of a disqualified person) or make any or the available for a period after termination of government service, it terminating within 90 days.  If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it terminating within 90 days.  If any any service is 'No'. It is the property or any or the available person?  If the foundation engage in a princy year in any of the acts district unit any of the	15		3.7	- / 3 ▶	· L
securities, or other financial account in a foreign country?    See the instructions for exceptions and filting requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	40		<u>N</u>		No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly);  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X   No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   disqualified person?   Yes   X   No    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   X   No    (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   X   No    (5) Transfer any income or assets to a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or make any of the available for the benefit or use of a disqualified person or make any of the available for the benefit or use of a disqualified person or make any of the available for the pay and person property to a government service, if terminating within 90 days.)  b If any answer is Yes' to 1a(1)(6), did any of the acts attail to qualify under the exceptions described in Regulations section 53.4941(f)(3) or in a current notice regarding disaster assistance check here or did tax year 2016, did the foundation and pa	16		10	1 65	l
Foreign country   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			10	<u> </u>	
File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies.    File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies.					
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly) or indirectly):  (1) Engage in the salie or exchange, or leasang of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government officia? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 54/4/(10)-30 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  If a the end of tax year beginning in 2016?  1c	P		ــــــــــــــــــــــــــــــــــــــ		L
1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (e) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section \$3.4941(d)-3 or in a current notice regarding disaster assistance cleak here  c) Did the foundation engage in a prior year in any of the acts fail to qualify under the exceptions described in Regulations section \$4.941(d)-3 or in a current notice regarding disaster assistance cleak here  c) Did the foundation engage in a prior year in any of the acts fail to qualify under the exceptions described in Regulations section \$4.941(a)(a) or 4942(b)(a) or 4942(b)(des not apply for years the foundation was a private operating foundation defined in section \$4.942(b)(a) or 4942(b)(b)(b):  a) At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If 'Yes,' list the years' undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  b) Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)	<u></u>	<del></del>	T	Yes	No
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend movel to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfet any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to 14(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  1b Ord the foundation engage in a prior year in any of the acts also cribed in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  1c X  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(in) or 4	4.	• • • • • • • • • • • • • • • • • • • •			110
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?					ļ
a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b if any answer is 'Yes' to 1a(1)-(6), did any of the acts fall to quality under the exceptions described in Regulations seltions 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  before the first day of the tax year beginning in 2016?  C Id the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1)(3) or 4942(1)(5):  A thite end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If Yes, "list the years \bigodetimes \bigodetim			1	1	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b if any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5):):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years sundistributed income? (If applying settle of the year's undistributed income? (If applying settle of the year's listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years listed, answer "No" and attach statement - see instructions.)  If "Yes," did if have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by giff or					ľ
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(6)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  Dut the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(3) or 4942(3)					
(5) Transfer any income or assets to a disqualified person()?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494(1g)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  1b Tany answer is "Yes" to 1a(1)-(6), did any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  1c X  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years Issted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  1c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years lested.  2a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  2b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of h					
for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if ferminating within 90 days.)  b if any answer is "Yes" to 14(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years beginning before 2016?  If "Yes," list the year's undistributed income? (If applying section 4942(a)(2) relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  b If "Yes," did if have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4942(c)(7)) to dispose of holdings acquired by grif or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  b) Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?				ŀ	ļ
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  before the first day of the tax year beginning in 2016?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years Islad in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  b Are there any years listed in 2a for which the foundation is not applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  N/A  2b  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation mide any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed					
If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years   b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  N/A  2b  If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  Ab Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable			1	1	ļ
termination of government service, if terminating within 90 days.)  b If any answer is Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If Yes, 'list the years \[ \subseteq \]  b Are there any years islated in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  10 If Yes, 'did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  Ab Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?					
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Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5));  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years   Yes   No    b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.    Yes   X No    If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	ı	b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
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before the first day of the tax year beginning in 2016?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years \( \) Yes \( \) No  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		Organizations relying on a current notice regarding disaster assistance check here			
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If 'Yes,'' list the years,,,,,,,	(	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If 'Yes,' list the years   b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.		before the first day of the tax year beginning in 2016?	1c	<u> </u>	X
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?  If "Yes," list the years   b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.	2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	l		
before 2016?  If "Yes," list the years ,		defined in section 4942(j)(3) or 4942(j)(5)):	ľ	ł	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.				1	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.			1		
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			1	ļ	
statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	i			1	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			<b>\</b>	1	ŀ
Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?    Yes   X   No		·	2b	├─-	├
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  13b	(		1	Ì	}
during the year?  b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  1	_				
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  1	38				
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  1		<del>-</del> -			}
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?  4b X		· · · · · · · · · · · · · · · · · · ·		1	
Form 4720, to determine if the foundation had excess business holdings in 2016.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?  4b X				1	}
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?4bX			34		-
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?  4b X	A	- · · · · · · · · · · · · · · · · · · ·		<del>                                     </del>	x
had not been removed from jeopardy before the first day of the tax year beginning in 2016?			<del>'</del>	$t^-$	† <del>*</del>
	'		4h		x
	_			0-PF	

Form 990-PF (2016) THE DOZORETZ FAMILY PRIV.	ATE FOUNDATIO		<u>54-192242</u>	22 Page <b>6</b>
Part VII-B   Statements Regarding Activities for Which F	orm 4720 May Be F	Required (continu	ued)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s X No	
(2) Influence the outcome of any specific public election (see section 4955); or	r to carry on, directly or indire			
any voter registration drive?			s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes		Ye	s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section			1
4945(d)(4)(A)? (see instructions)		<del></del>	s X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f			
the prevention of cruelty to children or animals?		<del></del>	s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	•	n Regulations	/-	.
section 53,4945 or in a current notice regarding disaster assistance (see instru	•		N/A 5	<u>b</u>
Organizations relying on a current notice regarding disaster assistance check h				<b>I</b>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr				
expenditure responsibility for the grant?		ĭ∕A	s No	1
If "Yes," attach the statement required by Regulations section 53 4945				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	pay premiums on		[ <del>1</del> ]	
a personal benefit contract?		Ye	s X No	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		6	b X
If "Yes" to 6b, file Form 8870	haltau transastranO		s X No	
7a At any time during the tax year, was the foundation a party to a prohibited tax s b If "Yes," did the foundation receive any proceeds or have any net income attribution.		те		b
Part VIII Information About Officers, Directors, Trusto		nagers Highly		
Paid Employees, and Contractors	ccs, i canadion ma	inagoro, mgm	•	
1 List all officers, directors, trustees, foundation managers and their	compensation.			
	(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	and deferred compensation	account, other allowances
RONALD I. DOZORETZ, MD	DIRECTOR, PRE			
240 CORPORATE BLVD #110	,	į i		
NORFOLK, VA 23502	0.02	0.	0.	0.
		}		
·		<u> </u>		
2 Compensation of five highest-paid employees (other than those inc	luded on line 4) If name	anter INONE II	<u> }                                </u>	
2 Compensation of five highest-paid employees tother than those inc	(b) Title, and average	enter NONE.	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred	account, other allowances
NONE	devoted to position	ļ	compensation	allowalices
NONE		1		
			<del></del>	
			]	
<del></del>				
		<del> </del>		<del></del>
		1		····
		<u></u>	<u>                                      </u>	
Total number of other employees paid over \$50,000				0
		<del>_</del> _	Form	<b>990-PF</b> (2016)

623551 11-23-16

P	Art X Minimum Investment Return (All domestic foundations m	nust complete th	is part Foreign four	ndations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable	e, etc., purposes:			
a	Average monthly fair market value of securities			1a	8,643,126.
b	Average of monthly cash balances			1b	1,639,600.
C	Fair market value of all other assets			1c	
ď	Total (add lines 1a, b, and c)			1d	10,282,726.
е	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	<u> </u>
3	Subtract line 2 from line 1d			3	10,282,726.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount,	see instructions)		4	154,241.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on	Part V, line 4		5	10,128,485.
6	Minimum investment return. Enter 5% of line 5			6	506,424.
P	Distributable Amount (see instructions) (Section 4942(j)(3) are foreign organizations check here  and do not complete this part.)		erating foundations ar	d certain	
1	Minimum investment return from Part X, line 6			1	506,424.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,008.		
	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
	Add lines 2a and 2b			2c	_2,008.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	504,416.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	504,416.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part	XIII, line 1		7	504,416.
P	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purp	poses:			
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			ia	1,010,615.
	Program-related investments - total from Part IX-B			1b	0.
	Amounts paid to acquire assets used (or held for use) directly in carrying out charitab	ole, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:				
	Suitability test (prior IRS approval required)			3a	
	Cash distribution test (attach the required schedule)			3b	
	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, ar	•		4	1,010,615.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inve	stment			
	income. Enter 1% of Part I, line 27b			5	0. 1,010,615.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	1,010,615.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years w 4940(e) reduction of tax in those years.	hen calculating wh	nether the foundation (	qualifies fo	r the section

Part XIII Undistributed Income (see instructions)

	·	<del></del>		
٠	(a) Corpus	(b) Years prior to 2015	(c) 2015	<b>(d)</b> 2016
Distributable amount for 2016 from Part XI, line 7		·		504 41 <i>6</i>
t to the second				504,416.
2 Undistributed income, if any, as of the end of 2016			0	
a Enter amount for 2015 only			0.	
<b>b</b> Total for prior years:		0.	}	
3 Excess distributions carryover, if any, to 2016:		<u>U•</u>	<del></del>	
,				
a From 2011 106,098.				
b From 2012 119,355.				
c From 2013 65,332.				
d From 2014 121, 287.				
eFrom 2015 117,488.	500 560			
f Total of lines 3a through e	529,560.			<del></del>
4 Qualifying distributions for 2016 from				
Part XII, line 4: ►\$ 1,010,615.			_	
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior		_	1	
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2016 distributable amount				504,416.
e Remaining amount distributed out of corpus	506,199.			<del> </del>
Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	_ 1,035,759.			
<b>b</b> Prior years' undistributed income. Subtract		<del></del>	·	<del></del>
line 4b from line 2b		0.		
c Enter the amount of prior years'	<del></del>			<del></del>
undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions	ľ	0.		
e Undistributed income for 2015. Subtract line			<del></del>	<del></del>
4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2016. Subtract			0.	
lines 4d and 5 from line 1. This amount must be distributed in 2017				^
1				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election	0			
may be required - see instructions)	0.			<del></del>
8 Excess distributions carryover from 2011	105 000			
not applied on line 5 or line 7	106,098.			
9 Excess distributions carryover to 2017.	000 555			
Subtract lines 7 and 8 from line 6a	929,661.			
10 Analysis of line 9:				
a Excess from 2012 119,355.				
<b>b</b> Excess from 2013 65,332.				
c Excess from 2014 121, 287.				
d Excess from 2015 117, 488.				
e Excess from 2016 506,199.				

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Form 990-PF (2016) THE DOZ	<u>ORETZ FAMII</u>	Y PRIVATE 1	FOUNDATION		22422 Page 10
Part XIV Private Operating F			II-A, question 9)	N/A	
1 a If the foundation has received a ruling of		, , ,			
foundation, and the ruling is effective for		<del>-</del>	▶ ∟		
<b>b</b> Check box to indicate whether the found		ng foundation described		4942(j)(3) or 49	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year	41.0045	Prior 3 years	1,0040	4
income from Part I or the minimum	(a) 2016	<b>(b)</b> 2015	(c) 2014	(d) 2013	(e) Total
investment return from Part X for					
each year listed	<u> </u>				
<b>b</b> 85% of line 2a			ļ <u>.</u>		
c Qualifying distributions from Part XII,					
line 4 for each year listed				<u> </u>	<u> </u>
d Amounts included in line 2c not					
used directly for active conduct of				(	
exempt activities			<u> </u>		L
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:  a "Assets" alternative test - enter:	1				
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(I)(3)(B)(I)	•				1
<b>b</b> "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:		<del></del>	<del> </del>	<u> </u>	
(1) Total support other than gross		}			
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public	<del></del>	<del> </del>	<del> </del>	<del> </del>	<del> </del>
and 5 or more exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii)		<del></del>	<del> </del>	<del></del>	<del> </del>
(3) Largest amount of support from					
an exempt organization		<del> </del>	<del> </del>	<del> </del>	<del> </del>
(4) Gross investment income  Part XV   Supplementary Info	rmation (Comple	oto this most only	if the foundation	hed \$5 000 or m	ore in consta
at any time during t			ir the foundation	i nau \$5,000 or m	ore in assets
<u></u>		1 40(10113.)			
1 Information Regarding Foundatio	•				
a List any managers of the foundation wh year (but only if they have contributed in			itributions received by the	foundation before the cid	ose of any tax
		3666011 307 (4)(2).)			
RONALD I. DOZORETZ,					
b List any managers of the foundation wh other entity) of which the foundation has			i (or an equally large porti	on of the ownership of a p	partnership or
• •	sa 1070 or greater intere				
NONE					
2 Information Regarding Contributi					
Check here <b>LX</b> if the foundation of the foundation makes gifts, grants, etc.					
	<del>'</del>	<del></del>		<del></del>	<u> </u>
a The name, address, and telephone num	per or e-mail address of	the person to whom app	dications should be addre	ssed:	
h The form on which and backers about die					
b The form in which applications should b	e submitted and inform	ation and materials they :	snould include:		
c Any submission deadlines;					
d Any restrictions or limitations on awards	s, such as by geographi	cal areas, charitable fields	s, kınds of institutions, or	other factors:	

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Form 990-PF (2016)	THE	DOZORETZ	FAMILY E	RIVATE	FOUNDATI	ON 5
Part XVI-A	nalysis d	of Income-Pro	ducing Activ	ities		
Enter gross amounts unle	ess otherwis	e indicated.	Unrela	ed business in	come Exclus	ded by section 512, 513, or 51
and group amounts are	300 011101 1110	o maiodiodi	(a) Business	(b		(d)

Enter gross amounts unless otherwise indicated.	Unrelate	d business income		ed by section 512, 513, or 514	(e)
•	(a) Business	(b)	(C) Exclu-	(d)	Related or exempt
1 Program service revenue:	code	Amount	sion code	Amount	function income
a <u>N/A</u>					
b					
c					
d					
e					<del></del>
1					
g Fees and contracts from government agencies					
2 Membership dues and assessments	<del>                                     </del>			<del></del>	<del></del>
3 Interest on savings and temporary cash			$\vdash$		<del></del>
investments	ll		ll		
4 Dividends and interest from securities			14	29,520.	
5 Net rental income or (loss) from real estate:			1 3	25/520.	
a Debt-financed property	<b></b>				
b Not debt-financed property	<del></del>				<del></del>
	<u> </u>				
6 Net rental income or (loss) from personal	1		1 1		
property	<del> </del>		<del>  </del>		
7 Other investment income	<del></del>		<del>  </del>		
8 Gain or (loss) from sales of assets other	[		1 20	05 400	
than inventory			18	95,489.	
9 Net income or (loss) from special events	<del></del>		<del>}</del> }		
10 Gross profit or (loss) from sales of inventory	·				
11 Other revenue:	, [		[		
a			$\vdash$		<del></del>
b	ļ				
<u> </u>	<del></del>		}		
d	<u>-</u>				
e	<del></del>		$\vdash$ $\dashv$	105 000	
12 Subtotal. Add columns (b), (d), and (e)		0.		125,009.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	125,009.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities to	the Acco	emplishment of Ex	empt	Purposes	
· · · · · · · · · · · · · · · · · · ·				·	
Line No. Explain below how each activity for which incom			contrib	uted importantly to the accom	plishment of
the foundation's exempt purposes (other than t	y providing fu	nds for such purposes).			
N/A					
		·			
		<del></del>			
					<del></del>
				<del>,,</del> ,	
					<del></del>
					<del></del>

THE DOZORETZ FAMILY PRIVATE FOUNDATION 54-1922422 Page 13 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations** No Yes Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash 1a(1) Х (2) Other assets X 1a(2) **b** Other transactions: X (1) Sales of assets to a noncharitable exempt organization 1b(1) X (2) Purchases of assets from a noncharitable exempt organization 1b(2 X (3) Rental of facilities, equipment, or other assets 1b(3) (4) Reimbursement arrangements 1b(4) (5) Loans or loan guarantees X 1b(5) X (6) Performance of services or membership or fundraising solicitations 1b(6) X c Sharing of facilities, equipment, mailing lists, other assets, or paid employees 10 d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. (c) Name of noncharitable exempt organization (a) Line no (b) Amount involved (d) Description of transfers, transactions, and sharing arrangements N/A 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described Yes X No in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? b If "Yes," complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship N/A ompanying schedules and statements, and to the best of my knowledge May the IRS discuss this return with the preparer shown below (see instr )? iyer) is based on all information of which preparer has any knowledge Sign Here X Yes PRESIDENT Signature of officer or truste Title Date

Firm's address ► 150 W. MAIN ST., SUITE 1200 Phone no. 757 - 625 - 4700NORFOLK, VA 23510

EINHORN & CHERNITZER,

Form **990-PF** (2016)

P00987015

Paid

Preparer

**Use Only** 

Print/Type preparer's name

Firm's name ► WALL,

DAVID M. CHASE

Date

08/21/17

Check [

self-employed

ıf

PTIN

Firm's EIN ► 54-1517420

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual, show any relationship to Foundation Purpose of grant or Amount any foundation manager status of contribution Name and address (home or business) or substantial contributor recipient SUPPORT RECIPIENT'S CANCER RESEARCH INSTITUTE NONE PUBLTC ONE EXCHANGE PLAZA, 55 BROADWAY, TAX EXEMPT PROGRAM SUITE 1802 NEW YORK, NY 10006 15,000. CARON NEW YORK NONE PUBLIC SUPPORT RECIPIENT'S 17-19 MARBLE AVENUE TAX EXEMPT PROGRAM 4,460. PLEASANTVILLE NY 10570 HENRY STREET SETTLEMENT NONE PUBLIC SUPPORT RECIPIENT'S 265 HENRY STREET TAX EXEMPT PROGRAM NEW YORK NY 10002 1,900. INTERNATIONAL RESCUE COMMITTEE SUPPORT RECIPIENT'S NONE PUBLIC 122 EAST 42ND STREET TAX EXEMPT PROGRAM 3,600, NEW YORK, NY 10168 LINCOLN CENTER FOR THE PERFORMING NONE PUBLIC SUPPORT RECIPIENT'S ARTS, INC. TAX EXEMPT PROGRAM 70 LINCOLN CENTER PLAZA, 9TH FL. 4,400. NEW YORK, NY 10023 LINCOLN CENTER THEATER NONE PUBLIC SUPPORT RECIPIENT'S 150 WEST 65TH ST TAX EXEMPT PROGRAM 2,753. NEW YORK, NY 10023 MARTHA'S TABLE NONE PUBLIC SUPPORT RECIPIENT'S 2114 4TH ST., NW TAX EXEMPT PROGRAM WASHINGTON, DC 20009 1,000. MASS EYE AND EAR NONE PUBLIC SUPPORT RECIPIENT'S 243 CHARLES STREET TAX EXEMPT PROGRAM BOSTON, MA 02114 25,000. MOUNT SINAI HEALTH SYSTEM NONE PUBLIC SUPPORT RECIPIENT'S ONE GUSTAVE L. LEVY PLACE, BOX 1223, TAX EXEMPT PROGRAM NEW YORK, NY 10029 NEW YORK, NY 10029 2,600. NATIONALS DREAM FOUNDATION NONE PUBLIC SUPPORT RECIPIENT'S NATIONALS PARK, 1500 S. CAPITAL ST., TAX EXEMPT PROGRAM SE WASHINGTON DC 20003-1507 5,000. Total from continuation sheets 995 778. THE DOZORETZ FAMILY PRIVATE FOUNDATION 54-1922422

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y	<del></del>			
Recipient  Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
.  NETWORK FOR TEACHING ENTREPRENEURSHIP	NONE	PUBLIC	SUPPORT RECIPIENT'S	
1660 L ST., NW, SUITE 510 WASHINGTON, DC 20036			TAX EXEMPT PROGRAM	2,500.
				•
PUBLIC THEATER 425 LAFAYETTE ST.	NONE	PUBLIC	SUPPORT RECIPIENT'S TAX EXEMPT PROGRAM	
NEW YORK, NY 10003				9,600.
ROSENTHAL CENTER FOR ADDICTION STUDIES	NONE	PUBLIC	SUPPORT RECIPIENT'S TAX EXEMPT PROGRAM	
118 EAST 28TH STREET, SUITE 708 NEW YORK, NY 10016				10,000.
SILVER SHIELD FOUNDATION 870 UN PLAZA, 1ST FL.	NONE	PUBLIC	SUPPORT RECIPIENT'S TAX EXEMPT PROGRAM	
NEW YORK, NY 10017			TIM DIBIT TROOTER	1,000.
SUN VALLEY CENTER FOR THE ARTS P.O. BOX 656	NONE	PUBLIC	SUPPORT RECIPIENT'S TAX EXEMPT PROGRAM	
SUN VALLEY, ID 83353	<del>                                     </del>	<del> </del>	-	1,850.
SUN VALLEY WRITERS' CONFERENCE	NONE	PUBLIC	SUPPORT RECIPIENT'S	
PO BOX 551 MONTEREY, CA 93942			TAX EXEMPT PROGRAM	3,000.
TEMPLE EMANUEL	NONE	PUBLIC	SUPPORT RECIPIENT'S	
424 25TH ST. VIRGINIA BEACH, VA 23451			TAX EXEMPT PROGRAM	200.
TEMPLE EMANUEL	NONE	PUBLIC	SUPPORT RECIPIENT'S	
424 25TH STREET	NONE	POBLIC	TAX EXEMPT PROGRAM	
VIRGINIA BEACH, VA 23451	<del> </del>			1,000.
THE ICAHN SCHOOL OF MEDICINE	NONE	PUBLIC	SUPPORT RECIPIENT'S	
ONE GUSTAVE L. LEVY PLACE, BOX 1189 NEW YORK, NY 10029-7063			TAX EXEMPT PROGRAM	50,000.
THE ICAHN SCHOOL OF MEDICINE AT MOUNT	NONE	PUBLIC	SUPPORT RECIPIENT'S	
SINAI ONE GUSTAVE L. LEVY PLACE, BOX 1049			TAX EXEMPT PROGRAM	
NEW YORK, NY 10029				4,700.
Total from continuation sheets				

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Foundation Purpose of grant or show any relationship to Amount status of contribution any foundation manager Name and address (home or business) recipient or substantial contributor THE ICAHN SCHOOL OF MEDICINE AT MOUNT NONE PUBLIC SUPPORT RECIPIENT'S SINAI TAX EXEMPT PROGRAM ONE GUSTAVE L. LEVY PLACE, BOX 1049 NEW YORK, NY 10030 12,000. THE ICAHN SCHOOL OF MEDICINE AT MOUNT SUPPORT RECIPIENT'S PUBLIC TAX EXEMPT PROGRAM ONE GUSTAVE L. LEVY PLACE, BOX 1049 NEW YORK, NY 10030 10,000. THE METROPOLITAN MUSEUM OF ART NONE PUBLIC SUPPORT RECIPIENT'S 1000 FIFTH AVENUE TAX EXEMPT PROGRAM NEW YORK, NY 10028 3,500. THE NANTUCKET COMMUNITY FOUNDATION NONE PUBLIC SUPPORT RECIPIENT'S P.O. BOX 568 TAX EXEMPT PROGRAM NANTUCKET, MA 02554 5.000. THE ROCKEFELLER UNIVERSITY NONE SUPPORT RECIPIENT'S PUBLIC 1230 YORK AVENUE, BOX 164 TAX EXEMPT PROGRAM NEW YORK, NY 10065 2,150. THE UCLA FOUNDATION NONE PUBLIC SUPPORT RECIPIENT'S BOX 951722, 12-138 CHS TAX EXEMPT PROGRAM 200,000. LOS ANGELES CA 90095-1722 THE UCLA FOUNDATION NONE PUBLIC SUPPORT RECIPIENT'S 300 STEIN PLAZA, 3RD FLOOR TAX EXEMPT PROGRAM LOS ANGELES CA 90095-7383 200,000. UNITED JEWISH FEDERATION OF TIDEWATER NONE PUBLIC SUPPORT RECIPIENT'S 5000 CORPORATE WOODS DRIVE, STE 200 TAX EXEMPT PROGRAM VIRGINIA BEACH, VA 23462 5,250. VANDERBILT UNIVERSITY NONE PUBLIC SUPPORT RECIPIENT'S 401 KIRKLAND HALL TAX EXEMPT PROGRAM NASHVILLE TN 37240-7783 400,000. WASHINGTON HEBREW CONGREGATION NONE PUBLIC SUPPORT RECIPIENT'S 3935 MACOMB ST., NW. TAX EXEMPT PROGRAM WASHINGTON, DC 20016 200, Total from continuation sheets

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to Amount any foundation manager or substantial contributor status of contribution Name and address (home or business) recipient WASHINGTON HEBREW CONGREGATION NONE PUBLIC SUPPORT RECIPIENT'S 3935 MACOMB ST., NW. TAX EXEMPT PROGRAM WASHINGTON DC 20016 100. WASHINGTON HEBREW CONGREGATION NONE SUPPORT RECIPIENT'S PUBLIC 3935 MACOMB ST., NW TAX EXEMPT PROGRAM WASHINGTON, DC 20016 4,965. WOOD RIVER JEWISH COMMUNITY NONE PUBLIC SUPPORT RECIPIENT'S 471 LEADVILLE AVENUE TAX EXEMPT PROGRAM 500. KETCHUM, ID 83340 WOOD RIVER JEWISH COMMUNITY NONE PUBLIC SUPPORT RECIPIENT'S PO BOX 837 TAX EXEMPT PROGRAM KETCHUM, ID 83340 200, YOUNG WOMEN'S LEADERSHIP NETWORK NONE SUPPORT RECIPIENT'S PUBLIC 322 8TH AVENUE, 14TH FLOOR TAX EXEMPT PROGRAM NEW YORK, NY 10001 2,350, Total from continuation sheets

FORM 990-PF	DIVIDENDS	AND INTER	EST FROM SECUR	ITIES ST	PATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE S PER BOOKS		(C) ADJUSTED NET INCOME
BAINCO DIVIDEND INCOME BAINCO INTEREST	22,548.		22,548.	22,548.	
INCOME RBC DIVIDEND	58.		58.	58.	
INCOME	6,706.	. (	6,706.	6,706.	
UBS INTEREST INCOME	208.		208.	208.	
TO PART I, LINE 4	29,520.	, ,	29,520.	29,520.	
FORM 990-PF		ACCOUNTI	NG FEES	្ត នា	PATEMENT 2
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES		3,200.	1,600.		1,600.
TO FORM 990-PF, PG	1, LN 16B	3,200.	1,600.		1,600.
FORM 990-PF	ניס	HER PROFES	SIONAL FEES	Si	PATEMENT 3
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	<del></del>	22,645.	22,645.		0.
TO FORM 990-PF, PG	1, LN 16C	22,645.	22,645.		0.

FORM 990-PF	TAXES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM	
FOREIGN TAX TAXES	134. 30,816.	134.		0.
TO FORM 990-PF, PG 1, LN 18	30,950.	134.		0.
FORM 990-PF	OTHER E	XPENSES		STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM	
BANK FEES REGISTRATION FEE	150. 25.	75. 13.		75. 12.
TO FORM 990-PF, PG 1, LN 23	175.	88.		87.
FORM 990-PF	CORPORAT	E STOCK		STATEMENT 6
DESCRIPTION		ВС	OOK VALUE	FAIR MARKET VALUE
RBC INVESTMENTS UBS INVESTMENTS BAINCO INVESTMENTS			0. 0. 9,808,465.	0. 0. 8,468,672.
TOTAL TO FORM 990-PF, PART II, LINE 10B			9,808,465.	8,468,672.