

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

2016

Open to Public Inspection

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation THE NEEL FOUNDATION		A Employer identification number 54-0761588
Number and street (or P O box number if mail is not delivered to street address) 32 VAN WYCK STREET	Room/suite	B Telephone number (see instructions) (914) 271-3428
City or town, state or province, country, and ZIP or foreign postal code CROTON ON HUDSON, NY 10520		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,127,921	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	9,374	9,374	9,374	
	4 Dividends and interest from securities	55,061	55,061	55,061	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	29,377			
	b Gross sales price for all assets on line 6a	29,377			
	7 Capital gain net income (from Part IV, line 2)		11,524		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	93,812	75,959	64,435		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500			
	c Other professional fees (attach schedule)	17,657			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion	124			
	20 Occupancy				
	21 Travel, conferences, and meetings	1,000			
	22 Printing and publications				
	23 Other expenses (attach schedule)	922			
	24 Total operating and administrative expenses. Add lines 13 through 23	22,203	0		
	25 Contributions, gifts, grants paid	115,319			115,319
26 Total expenses and disbursements. Add lines 24 and 25	137,522	0		115,319	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-43,710				
b Net investment income (if negative, enter -0-)		75,959			
c Adjusted net income (if negative, enter -0-)			64,435		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	64,464	66,162	66,162
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	54,579	57,016	55,432
	b Investments—corporate stock (attach schedule)	1,038,860	984,756	1,386,890
	c Investments—corporate bonds (attach schedule)	172,910	193,800	193,386
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	462,526	444,706	426,051
	14 Land, buildings, and equipment basis ▶ _____ 7,394 Less accumulated depreciation (attach schedule) ▶ _____ 7,208	310	186	
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,793,649	1,746,626	2,127,921	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	444,469	444,469	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	1,349,180	1,302,157		
30 Total net assets or fund balances (see instructions)	1,793,649	1,746,626		
31 Total liabilities and net assets/fund balances (see instructions) .	1,793,649	1,746,626		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,793,649
2 Enter amount from Part I, line 27a	2	-43,710
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	1,749,939
5 Decreases not included in line 2 (itemize) ▶ _____	5	3,313
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,746,626

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2 11,524
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
	2015	100,076		
	2014	81,244	1,932,492	0 042041
	2013	106,277	1,700,428	0 062500
	2012	95,092	1,944,226	0 048910
	2011	85,578	1,913,070	0 044733
2	Total of line 1, column (d)			2 0 198184
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 049546
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 0
5	Multiply line 4 by line 3			5
6	Enter 1% of net investment income (1% of Part I, line 27b)			6 760
7	Add lines 5 and 6			7 760
8	Enter qualifying distributions from Part XII, line 4			8 115,319

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sections 1a through 11 with descriptions of tax components and amounts. Total amount owed is 781.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions 1a through 10 regarding political activities, unrelated business income, and asset requirements. Columns for Yes/No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 32 VAN WYCK STREET CROTON ON HUDSON NY ZIP+4 10520

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	760
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	760
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	115,319
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	115,319
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	760
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	114,559

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				86,896
f Total of lines 3a through e.	86,896			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>115,319</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus	115,319			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	202,215			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	202,215			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				86,896
e Excess from 2016.				115,319

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	115,319
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JAMES NEEL 32 VAN WYCK STREET CROTON ON HUDSON, NY 10520	PRESIDENT 000 00	0	0	0
MARY NEEL GILBERT 155 ANSON VALLEY ROAD NEW VINYARD, ME 04956	VICE PRESIDE 000 00	0	0	0
WENDY NEEL ELLSWORTH 1378 COBBLER ROAD QUAKERTOWN, PA 18951	SECRETARY 000 00	0	0	0
KATHRYN LEEDS NEEL 32 VAN WYCK STREET CROTON ON HUDSON, NY 10520	TREASURER 000 00	0	0	0
SOPHIA NEEL KOUNTZ 446 SUGARLAND RUN DRIVE STERLING, VA 20164	DIRECTOR 000 00	0	0	0
AMY BOWEN NEEL 26483 DUTCHER CREEK ROAD COLVERDALE CA, CA 95425	DIRECTOR 000 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A VOICE IS HEARD 42 N DECATUR STREET STRASBURG, PA 17579			DONATION	5,230
AAUW CA SPF 1331 GARDEN HIGHWAY SACRAMENTO, CA 95833			DONATION	850
ACUMEN FUND 40 WORTH ST 303 NEW YORK, NY 10013			DONATION	250
ALLIANCE EARTH PO BOX 1636 BASALT, CO 81621			DONATION	6,500
AMERICAN ASSOC OF WOOD TURNING 222 LANDMARK CENTER ST PAUL, MN 55102			DONATION	1,000
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN REFUGEE COMMITTEE INT 615 1ST AVE NE MINNEAPOLIS, MN 55413			DONATION	250
AMERICAN UNIV SCH OF COMMUNICATION 4400 MASSACHUSETTS AVE WASHINGTON, DC 20016			DONATION	4,500
ANTLER RIDGE 52 COUNTRY ROAD 661 NEWTOWN, NJ 07860			DONATION	500
ASSN TO BENEFIT CHILDREN 419 E 86THSTREET NEW YORK, NY 10022			DONATION	500
BEADS FOR EDUCATION 5501 VENTNOR AVE VENTNOR, NJ 08406			DONATION	1,250
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB, UT 84741			DONATION	200
BIG LIFE FDN 24010 NE TREE HILL DRIVE WOOD VILLAGE, OR 97060			DONATION	1,000
BLUE HILL HERITAGE TRUST 258 MOUNTAIN RD BLUE HILL, ME 04614			DONATION	250
BRIARCLIFF CONGREGATIONAL CH S STATE STREET BRIARCLIFF MANOR, NY 10510			DONATION	2,200
BRIARCLIFF SPCA 590 NORTH STATE ROAD BRIARCLIFF MANOR, NY 10510			DONATION	1,250
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROADLEAF HEALTH & EDU ALLIANCE 919 MAIN ST STROUDSBURG, PA 18360			DONATION	250
BROOKLIN VOL FIRE DEPT 25 BAY RD BROOKLIN, ME 04616			DONATION	100
CA JUMPSTART COALITION 700 R STREET SACRAMENTO, CA 95811			DONATION	1,000
CENTER FOR ART AND WOOD 141 N 3RD STREET PHILADELPHIA, PA 19106			DONATION	1,000
CLOVERDALE ARTS ALLIANCE 204 N CLOVERDALE BLVD CLOVERDALE, CA 95425			DONATION	2,500
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CLOVERDALE PERFORMING ARTS CTR 209 N CLOVERDALE BLVD CLOVERDALE, CA 95425			DONATION	4,100
COMPASSION INTL 12290 VOYAGER PARKWAY COLORADO SPRINGS, CO 80921			DONATION	1,000
CRAILO NHT 9 RIVERSIDE AVE RENSSELAER, NY 12144			DONATION	1,000
CROTON CARING COMMITTEE 38 PENFIELD AVE CROTON ON HUDSON, NY 10520			DONATION	125
CROTON LIONS CLUB PO BOX 296 CROTON ON HUDSON, NY 10520			DONATION	1,000
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EAGLEBROOK SCHOOL 271 PINE NOON ROAD DEERFIELD, MA 01342			DONATION	300
ELECTRONIC FRONTIER FDN 815 EDDY ST SAN FRANCISCO, CA 94109			DONATION	300
EVERY NATION CITY CHURCH 15055 OXNARD ST VAN NUYS, CA 91411			DONATION	700
FALCONRIDGE EQUINE RESCUE PO BOX 1500 VALLEY CENTER, CA 92082			DONATION	2,000
FARM SANCTUARY 3150 AIKENS RD WATKINS GLEN, NY 14891			DONATION	500
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
FOOTHILLS ANIMAL SHELTER 580 MCINTYRE STREET GOLDEN, CO 80401			DONATION	1,000
FORGOTTEN FELINES OF VALHALLA PO BOX 430 VALHALLA, NY 10595			DONATION	500
FOUNTAIN VALLEY SCHOOL 6155 FOUNTAIN VLY SCH RD COLORADO SPRINGS, CO 80911			DONATION	300
FRIEND MEMORIAL LIBRARY 1 REACH ROAD BROOKLIN, ME 04616			DONATION	500
FRIENDS OF FIBER ART INTL BOX 468 WESTERN SPRINGS, IL 60558			DONATION	200
Total 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF THE NATIONAL ZOO PO BOX 37012 WASHINGTON, DC 20013			DONATION	500
FRONTIER NURSING UNIVERSITY 195 SCHOOL STREET HYDEN, KY 41749			DONATION	5,000
GODFREY DANIELS FOLK MUSIC CLUB 7 E 4TH STREET BETHLEHEM, PA 18015			DONATION	50
GRACE HUDSON MUSEUM 431 S MAIN STREET UKIAH, CA 95482			DONATION	2,500
HAYCOCK VOLUNTEER FIRE CO NO 1 850 OLD BETHLEHEM RD QUAKERTOWN, PA 18951			DONATION	50
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
HEIFER INTERNATIONAL 1 WORLD AVE LITTLE ROCK, AR 72202			DONATION	200
HOPE'S DOOR 39 WASHINGTON AVE PLEASANTVILLE, NY 10570			DONATION	1,250
INNOCENCE PROJECT 40 WORTH STREET STE 701 NEW YORK, NY 10013			DONATION	1,750
INTL EDUCATION OF STUDENTS 33 WEST MONROE STREET CHICAGO, IL 60603			DONATION	4,000
INTL WOMENS HEALTH COALITION 333 SEVENTH AVE NEW YORK, NY 10001			DONATION	725
Total 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
K9'S 4 COPS INC 1210 WEST CLAY STREET HOUSTON, TX 77019			DONATION	500
KENNEBEC COMM COLLEGE 92 WESTERN AVE FAIRFIELD, ME 04937			DONATION	6,000
KRCB PUBLIC RADIO 5850 LABATH AVE ROHNERT PARK, CA 94928			DONATION	750
LAKSHIRE COW SANCTUARY PO BOX 227 SHILOH, NJ 08353			DONATION	200
LAST CHANCE RANCH 9 BECK ROAD QUAKERTOWN, PA 18951			DONATION	200
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LITTLE ORPHAN ANIMALS 960 WASHINGTON ST PEEKSKILL, NY 10566			DONATION	500
MIDDLEBURY COLLEGE 14 OLD CHAPEL ROAD MIDDLEBURY, VT 05753			DONATION	500
NATL TRUST FOR HISTORIC PRESER 2600 VIRGINIA AVE WASHINGTON, DC 20037			DONATION	100
NATURES BEST FDN 1130 BELLVIEW ROAD MCLEAN, VA 22102			DONATION	2,000
NEW YORK MILITARY ACADEMY 78 ACADEMY AVENUE CORNWALL ON HUDSON, NY 12510			DONATION	200
Total ▶ 3a				115,319

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OAK HILL CEMETARY 3001 R STREET WASHINGTON, DC 20007			DONATION	1,000
PEBBLE HILL CHURCH 320 EDISON FURLONG RD DOYLESTOWN, PA 18901			DONATION	250
PENDLETON HISTORIC FDN PO BOX 444 PENDELTON, SC 29670			DONATION	1,000
PEPPERTREE DOG RESCUE PO BOX 2396 ALBANY, NY 12220			DONATION	1,000
PLANNED PARENTHOOD 1108 16TH STREET NW WASHINGTON, DC 20036			DONATION	1,050
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLAY RUGBY CA INC 630 9TH AVE NEW YORK, NY 10036			DONATION	500
RAINFOREST ACTION NETWORK 425 BUSH ST STE 300 SAN FRANCISCO, CA 94108			DONATION	1,000
REACH OUT WORLDWIDE 700 S FLOWER ST 201 BURBANK, CA 91502			DONATION	500
RICHLANDTOWN FIRE COMPANY PO BOX 640 RICHLANDTOWN, PA 18955			DONATION	200
RSE FDN PO BOX 7007 ALBERT LEA, MN 56007			DONATION	1,000
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SALVATION ARMY PO BOX 269 ALEXANDRIA, VA 22314			DONATION	75
SAMBURU YOUTH EDUCATION FUND 640 PETERSON STREET FORT COLLINS, CO 80524			DONATION	1,000
SOC OF THE CINCINNATI 2118 MASSACHUSETTS AVE WASHINGTON, DC 20008			DONATION	200
STERLING VOLUNTEER FIRE CO 46700 MIDDLEFIELD DRIVE STERLING, VA 20165			DONATION	500
THE BLUE DEER CTR 1153 COUNTRY ROAD MAGARETVILLE, NY 12455			DONATION	4,000
Total ▶ 3a				115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE DANNY FUND PO BOX 8271 PELHAM, NY 10803			DONATION	500
THE DEERWOOD FOUNDATION 16 DEE ROAD LEXINGTON, MA 02420			DONATION	1,500
THE GORILLA FDN 1733 WOODSIDE ROAD REDWOOD CITY, CA 94061			DONATION	500
THE ITY BITY KITTY INC PO BOX ALLENTOWN, PA 18104			DONATION	250
THE LOUDON LITERACY COUNCIL 17 ROYAL ST SW LEESBURG, VA 20175			DONATION	250
Total 3a				115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE MADEIRA SCH FUND 8328 GEORGETOWN PIKE MCLEAN, VA 22102			DONATION	1,000
THE NATURE CONSERVANCY 4245 NO FAIRFAX DRIVE ARLINGTON, VA 22203			DONATION	100
THE ORANGUTAN FDN INTERNATIONAL 824 S WELLESLEY AVE LOS ANGELES, CA 90049			DONATION	500
THE WOUNDED WARRIOR PROJECT 4899 BELFORD ROAD JACKSONVILLE, FL 32256			DONATION	500
TUCK SCHOOL OF BUSINESS 100 TUCK HALL HANOVER, NH 03755			DONATION	1,000
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

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Name and address (home or business)				
a <i>Paid during the year</i>				
TURPENTINE CREEK WILDLIFE REFUGE 239 TURPENTINE CREEK LANE EUREKA SPRINGS, AR 72632			DONATION	1,000
UNITED FRIENDS SCHOOL 1018 W BROAD STREET QUAKERTOWN, PA 18951			DONATION	150
UNITY CHURCH OF DAYTONA BEACH 908 RIDGEWOOD AVE HOLLY HILL, FL 32117			DONATION	1,500
US FRIENDS DAVID SHELDRIK 201 N ILLINOIS AVE INDIANAPOLIS, IN 46204			DONATION	775
WAMU 4400 MASSACHUSETTS AVE WASHINGTON, DC 20016			DONATION	500
Total ▶ 3a				115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
WASHINGTON NATL CATHEDRAL 3101 WISCONSIN AVE WASHINGTON, DC 20016			DONATION	500
WATER IS LIFE KENYA 910 BAYLOR DRIVE NEWARK, DE 19711			DONATION	675
WILDCAT SANCTUARY PO BOX 314 SANDSTONE, MN 55072			DONATION	400
WWF 1250 24TH ST NW WASHINGTON, DC 20037			DONATION	900
THE SMITH FUND 10 ELM STREET NORTH HAMPTON, MA 01063			DONATION	800
Total 3a			▶	115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
BENNETT CONSERVATORY OF MUSIC 3534 S 108TH ST OMAHA, NE 68114			DONATION	500
CROTON VOLUNTEER FIRE DEPT PO BOX 365 CROTON ON HUDSON, NY 10520			DONATION	50
LOON PRESERVATION COMMITTEE PO BOX 604 LEES MILL ROA MOULTONBOROUGH, NH 03254			DONATION	125
SQUAM LAKES ASSN PO BOX 204 HOLDERNESS, NH 03245			DONATION	250
USOC 1 VICTORIA LANE PARK CITY, UT 84060			DONATION	100
Total 3a	▶			115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
SONOMA CO LIBRARY 211 E STREET SANTA ROSA, CA 95404			DONATION	1,000
THE YOSEMITE CONSERVANCY 101 MONTGOMERY STREET SAN FRANCISCO, CA 94104			DONATION	750
CRAFT EMERGENCY RELIEF FUND 535 STONE CUTTERS WAY MONTPELIET, VT 05602			DONATION	1,000
WLIW 825 EIGHTH AVE NEW YORK, NY 10019			DONATION	240
EISENHOWER THEATRE HALL 655 RUGER ROAD WEST POINT, NY 10996			DONATION	1,840
Total 3a	▶			115,319

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Name and address (home or business)				
a <i>Paid during the year</i>				
THIRTEEN 825 EIGHTH AVE NEW YORK, NY 10019			DONATION	200
GIH GLOBAL INDUSTRIAL EQ 11 HARBOR PARK DRIVE PORT WASHINGTON, NY 11050			DONATION	184
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHER BLVD BRONX, NY 10460			DONATION	3,000
NY BOTANICAL GARDEN 2900 SOUTHERN BLVD BRONX, NY 10458			DONATION	3,000
RIKKIS REFUGE ANIMAL SANCTUARY PO BOX 1357 ORANGE, VA 22960			DONATION	500
Total ▶ 3a				115,319

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SILVER & BROWN 11 STERLING PLACE BROOKLYN, NY 11217			DONATION	125
THE OLD GALLERY 14863 COLORADO HWY 7 ALLENSPARK, CO 80510			DONATION	500
AMERICAN FOREST FDN 2000 M STREET SW WASHINGTON, DC 20036			DONATION	250
ANIMAL WELFARE INST 900 PENNSYLVANIA AVE SE WASHINGTON, DC 20003			DONATION	250
CHILD FIND OF AMERICA PO BOX 277 NEW PALTZ, NY 12561			DONATION	250
Total 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL RESCUE COMM 122 EAST 42 STEET NEW YORK, NY 10168			DONATION	500
NATL ALLIANCE TO END HOMELESSNESS 1518 K STREET NW WASHINGTON, DC 20005			DONATION	250
NORML FDN 1100 H STREET NW WASHINGTON, DC 20005			DONATION	500
CAVALIER RESCUE USA 1345 EAGLE RUN DRIVE SANIBEL, FL 33957			DONATION	300
DETERMINED TO HEAL 8112 RIVER FALL DR POTOMAC, MD 20854			DONATION	1,000
Total ▶ 3a				115,319

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIV OF COLORADO FDN 1620 RESERVIOR ROAD GREELEY, CO 80631			DONATION	1,000
Total 				115,319
3a				

TY 2016 Accounting Fees Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	2,500			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: THE NEEL FOUNDATION

EIN: 54-0761588

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2009-10-31	661	661	S/L	5 0000				
COMPUTER	2010-05-11	4,580	4,580	200DB	5 0000				
COMPUTER	2013-07-31	2,153	1,843	200DB	5 0000	124			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: THE NEEL FOUNDATION

EIN: 54-0761588

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MERRILL LYNCH		PURCHASE			2,809				2,809	
MERRILL LYNCH		PURCHASE			15,044				15,044	

TY 2016 Investments Corporate Bonds Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	193,800	193,386

TY 2016 Investments Corporate Stock Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	984,756	1,386,890

TY 2016 Investments Government Obligations Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588**US Government Securities - End
of Year Book Value:**

57,016

**US Government Securities - End
of Year Fair Market Value:**

55,432

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - SEE ATTACHED	AT COST	444,706	426,051

**TY 2016 Land, Etc.
Schedule****Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	7,394	7,208	186	

TY 2016 Other Decreases Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Description	Amount
FEDERAL TAX	717
FEDERAL TAX	2,596

TY 2016 Other Expenses Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE	297			
FOREIGN TAX	450			
CHECK FEES	175			

TY 2016 Other Professional Fees Schedule**Name:** THE NEEL FOUNDATION**EIN:** 54-0761588

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY	17,657			