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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

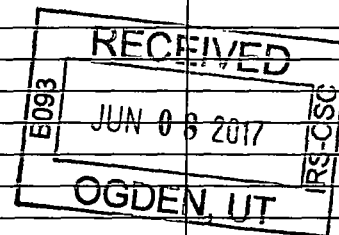
Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation JAMES L AND LOREN C ROSENZWEIG FAMILY FOUNDATION		A Employer identification number 52-7328957
Number and street (or P.O. box number if mail is not delivered to street address) 20 RESERVOIR ROAD	Room/suite	B Telephone number 617-731-7700
City or town, state or province, country, and ZIP or foreign postal code CHESTNUT HILL, MA 02467		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 647,083.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		373.	373.		STATEMENT 1
4 Dividends and interest from securities		10,824.	10,770.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		16,995.			
b Gross sales price for all assets on line 6a 234,768.					
7 Capital gain net income (from Part IV, line 2)			16,995.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		28,192.	28,138.		
13 Compensation of officers, directors, trustees, etc		7,000.	3,500.		3,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		4,368.	4,368.		0.
17 Interest					
18 Taxes STMT 4		2,586.	2,586.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		5,048.	2,671.		2,377.
24 Total operating and administrative expenses. Add lines 13 through 23		19,002.	13,125.		5,877.
25 Contributions, gifts, grants paid		28,184.			28,184.
26 Total expenses and disbursements. Add lines 24 and 25		47,186.	13,125.		34,061.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<18,994.>			
b Net investment income (if negative, enter -0-)			15,013.		
c Adjusted net income (if negative, enter -0-)				N/A	



JAMES L AND LOREN C ROSENZWEIG FAMILY

Form 990-PF (2016)

FOUNDATION

52-7328957

Page **2**

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	28,440.	46,738.	46,738.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	568,096.	485,862.	555,086.
	c Investments - corporate bonds STMT 7	0.	45,377.	45,259.
	11 Investments - land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 8)	435.	0.	0.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	596,971.	577,977.	647,083.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	702,903.	702,903.	
	29 Retained earnings, accumulated income, endowment, or other funds	<105,932.>	<124,926.>	
	30 Total net assets or fund balances	596,971.	577,977.	
	31 Total liabilities and net assets/fund balances	596,971.	577,977.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	596,971.
2 Enter amount from Part I, line 27a	2	<18,994.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	577,977.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	577,977.

Form 990-PF (2016)

**JAMES L AND LOREN C ROSENZWEIG FAMILY
FOUNDATION**

Form 990-PF (2016)

52-7328957

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 234,768.		217,773.	16,995.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			16,995.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	16,995.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	33,974.	674,189.	.050392
2014	32,703.	696,387.	.046961
2013	20,296.	624,549.	.032497
2012	29,370.	505,862.	.058059
2011	23,776.	493,002.	.048227

2 Total of line 1, column (d)	2	.236136
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years -the foundation has been in existence if less than 5 years	3	.047227
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	629,005.
5 Multiply line 4 by line 3	5	29,706.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	150.
7 Add lines 5 and 6	7	29,856.
8 Enter qualifying distributions from Part XII, line 4	8	34,061.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

JAMES L AND LOREN C ROSENZWEIG FAMILY

Form 990-PF (2016)

FOUNDATION

52-7328957

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	150.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	150.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	150.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	3,200.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,200.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,050.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2016)

JAMES L AND LOREN C ROSENZWEIG FAMILY

Form 990-PF (2016)

FOUNDATION

52-7328957

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X
14 The books are in care of ► LOREN ROSENZWEIG Telephone no. ► 617-731-7700 Located at ► 20 RESERVOIR ROAD, CHESTNUT HILL, MA ZIP+4 ► 02467		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES ROSENZWEIG	TRUSTEE			
20 RESERVOIR ROAD				
CHESTNUT HILL, MA 02467	1.00	0.	0.	0.
LOREN ROSENZWEIG	TRUSTEE			
20 RESERVOIR ROAD				
CHESTNUT HILL, MA 02467	1.00	7,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE. ALL OF THE FOUNDATION'S ACTIVITIES WERE INDIRECT (NOT DIRECT) CHARITABLE ACTIVITIES ACCORDING TO REGULATION SECTION 53.4942 (B)-(1)(B)(1).	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	603,264.
b	Average of monthly cash balances	1b	35,320.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	638,584.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	638,584.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,579.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	629,005.
6	Minimum investment return. Enter 5% of line 5	6	31,450.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,450.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	150.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	150.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,300.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,300.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,300.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	34,061.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	34,061.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	150.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,911.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**JAMES L AND LOREN C ROSENZWEIG FAMILY
FOUNDATION**

Form 990-PF (2016)

52-7328957 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				31,300.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			25,103.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4. ► \$ 34,061.				
a Applied to 2015, but not more than line 2a			25,103.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				8,958.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				22,342.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

-

☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

17010525 806665 ROSENZWEIGFO 2016.03030 JAMES L AND LOREN C ROSENZW ROSENZW1

**JAMES L AND LOREN C ROSENZWEIG FAMILY
FOUNDATION**

Form 990-PF (2016)

52-7328957 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED CHARITABLE LIST	N/A	501(C)(3) ORGANIZATION	FULLFILL CHARITABLE PURPOSE OF ORGANIZATION	28,184.
Total			▶ 3a	28,184.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form 990-PF (2016)

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
				373.
				10,824.
				16,995.
	0.		0.	28,192.
				28,192.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

**Paid
Preparer
Use Only**

DEBAIROS & MATHIAS

DEBAIROS & MATHIA

05/25/17

P00520778

Firm's name ► **DEBAIROS & MATHIAS**

Firm's EIN ► 04-3581340

Firm's address ► **TEN LAUREL AVENUE
WELLESLEY, MA 02481**

Phone no. (781) 237-3077

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a .8 ADIENT PLC		P	10/31/16	10/03/16
b 41.98 AMERICAN CAPITAL INC. BUILDER		P	VARIOUS	12/16/16
c 100 EMERSON ELECTRIC		P	06/26/15	02/08/16
d 100 FS NETWORKS		P	VARIOUS	05/11/16
e .925 JOHNSON CONTROLS		P	09/06/16	09/06/16
f 100 JOHNSON CTLS		P	09/28/15	09/06/16
g 300 SIERRA WIRELESS		P	VARIOUS	05/06/16
h 50 SIRONA DENTAL		P	06/26/15	02/08/16
i 1000 SUNOPTA INC		P	VARIOUS	02/08/16
j 488.79 AMERICAN CAPITAL INC BUILDER		P	VARIOUS	12/16/16
k 27.467 AMERICAN WASHINGTON MUTUAL INV		P	VARIOUS	09/29/16
l .292 DELL TECHNOLOGIES		P	06/26/15	09/07/16
m 200 EMC CORP		P	06/26/15	09/07/16
n 150 JOHNSON CTLS		P	06/26/15	09/06/16
o 1440.16 AMERICAN CAPITAL INC BUILDER		P	VARIOUS	12/16/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 36.		55.	<19.>
b 2,434.		2,372.	62.
c 4,635.		5,667.	<1,032.>
d 10,409.		11,649.	<1,240.>
e 44.		42.	2.
f 4,391.		3,924.	467.
g 5,444.		7,185.	<1,741.>
h 5,118.		5,076.	42.
i 5,148.		7,957.	<2,809.>
j 28,260.		27,604.	656.
k 1,041.		1,099.	<58.>
l 14.		14.	0.
m 4,810.		4,244.	566.
n 6,587.		7,876.	<1,289.>
o 81,152.		80,159.	993.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<19.>
b			62.
c			<1,032.>
d			<1,240.>
e			2.
f			467.
g			<1,741.>
h			42.
i			<2,809.>
j			656.
k			<58.>
l			0.
m			566.
n			<1,289.>
o			993.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	253.293 AMERICAN CAPITAL WORLD GRTH	P	03/27/06	02/08/16
b	557.72 AMERICAN GROWTH FD OF AMER	P	VARIOUS	02/08/16
c	1021.01 AMERICAN WASHINGTON MUTUAL INV	P	VARIOUS	02/08/16
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,000.		9,929.	71.
b 20,000.		17,681.	2,319.
c 38,940.		25,240.	13,700.
d 6,305.			6,305.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			71.
b			2,319.
c			13,700.
d			6,305.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	16,995.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FIDELITY	373.	373.	
TOTAL TO PART I, LINE 3	373.	373.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FIDELITY	17,129.	6,305.	10,824.	10,770.	
TO PART I, LINE 4	17,129.	6,305.	10,824.	10,770.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS PROFESSIONAL FEES	4,368.	4,368.		0.
TO FORM 990-PF, PG 1, LN 16C	4,368.	4,368.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	2,586.	2,586.		0.
TO FORM 990-PF, PG 1, LN 18	2,586.	2,586.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX CREDIT	293.	293.		0.	
INVESTMENT FEES	4,685.	2,343.		2,342.	
FILING FEES	70.	35.		35.	
TO FORM 990-PF, PG 1, LN 23	5,048.	2,671.		2,377.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CAPITAL INCOME BUILDER FUND	0.	0.		
CAPITAL WORLD GROWTH & INCOME FD	29,633.	32,977.		
GROWTH FUND OF AMERICA	42,584.	74,661.		
WASHINGTON MUTUAL INVESTMENTS	16,497.	28,023.		
FIDELITY STOCKS	397,148.	419,425.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	485,862.	555,086.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
FIDELITY BONDS	45,377.	45,259.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	45,377.	45,259.		

FORM 990-PF	OTHER ASSETS		STATEMENT	8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE	
MISCELLANEOUS RECEIVABLE	435.	0.	0.	
TO FORM 990-PF, PART II, LINE 15	435.	0.	0.	

JAMES L AND LOREN ROSENZWEIG FAMILY FOUNDATION

2016 CHARITABLE DONATIONS

NAME	CHECK #	DATE	AMOUNT	ADDRESS
BOSTON ERUV CORPORATION	EFT	6/22/2016	100	653 Chestnut Hill Ave , Brookline, MA 02445
BROWN RISD HILLEL	1038	6/6/2016	1000	80 Brown St , Providence, RI 02906
C A M E R A	EFT	6/21/2016	1000	PO Box 35040, Boston, MA 02135
CHABAD AT YALE	1056	8/7/2016	200	36 Lynwood Pl., New Haven, CT 06511
CHAI LIFELINE	1014	6/20/2016	250	151 West 30th Street, New York, NY 10001
CHMOL	EFT	3/21/2016	36	5225 New Utrecht Ave , Brooklyn, NY 11219
CHMOL	EFT	6/17/2016	36	5225 New Utrecht Ave , Brooklyn, NY 11219
DARKEI NOAM	EFT	3/16/2016	36	2822 Ave J, Brooklyn, NY 11210
GATEWAYS ACCESS TO JEWISH EDUCATION	1016	10/9/2016	1000	333 Nahanton Street, Newton, MA 02459
HAYLEY'S HOPE	EFT	1/5/2016	50	P.O. Box 32, Goshen, NY 10924
HEBREW ACADEMY OF CLEVE	EFT	8/30/2016	36	9 Prescott Street, Brookline, MA 02446
HOME OF THE SAGES OF ISRAEL	EFT	7/29/2016	25	25 Bialystoker Place, New York, NY 10002
JORDAN HOSPITAL CLUB	1077	3/8/2016	100	275 Sandwich St, Plymouth, MA 02360
KADIMAH-TORAS MOSHE	EFT	8/22/2016	300	113 Washington Street, Brighton, MA 02135
MAAYAN	EFT	2/2/2016	100	PO Box 254, Newton, MA 02464
MAIMONIDES	EFT	1/25/2016	980	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	3/24/2016	90	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	6/27/2016	234	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	1055	8/7/2016	250	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	9/30/2016	1500	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	9/30/2016	1500	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	9/30/2016	450	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	10/28/2016	296	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	10/28/2016	220	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	10/28/2016	100	34 Philbrick Road, Brookline, MA 02445
MEIR PANIM	EFT	3/16/2016	100	5316 New Utrecht Ave., Brooklyn, NY 11219
MINDS MATTER BOSTON	EFT	1/4/2016	50	3 Overlook Dr., #2, Amherst, NH 03031
MINDS MATTER BOSTON	EFT	2/17/2016	50	3 Overlook Dr., #2, Amherst, NH 03031
MUSEUM OF FINE ARTS	1041	7/30/2016	110	465 Huntington Ave., Boston, MA 02115
N E FRIENDS OF THE IDF	EFT	10/27/2016	2220	154 Wells Ave., Newton, MA 02459
NCSY	EFT	9/1/2016	100	113 Washington Street, Brighton, MA 02135
NER ISRAEL	1033	6/7/2016	200	400 Mount Wilson Ln, Baltimore, MD 21208
ORTHODOX UNION	EFT	3/1/2016	100	11 Broadway, New York, NY 10004
ORTHODOX UNION	EFT	4/12/2016	100	11 Broadway, New York, NY 10004
PAN MASS CHALLENGE	1036	6/1/2016	1000	77 4th Ave., Needham, MA 02494
ROFEH INTERNATIONAL	1057	10/20/2016	1000	1710 Beacon Street, Brookline, MA 02445
SHAAREI TEFILLAH	1053	1/21/2015	48	35 Morseland Ave., Newton, MA 02459
SHAAREI TEFILLAH	EFT	4/1/2016	8	35 Morseland Ave., Newton, MA 02459
SPECIAL OLYMPICS MA	1040	7/22/2016	3000	512 Forest Street, Marlborough, MA 01752
THE GUSH ETZION FOUNDATION	1037	6/1/2016	350	11 Crestview Road, Manchester, NH 03104
YACHAD	EFT	7/14/2016	100	11 Broadway, New York, NY 10004
YACHAD	EFT	10/31/2016	100	11 Broadway, New York, NY 10004
YAD HA CHAZAKEH	1015	8/21/2016	36	576 Fifth Ave , Suite 903, New York, NY 10036
YALE UNIVERSITY	EFT	2/2/2016	85	149 Elm Street, New Haven, CT 06521
YESHIVA DACHEI TORAH	EFT	1/13/2016	36	1214 Heysen Road, Far Rockaway, NY 11691
YESHIVA KOL BONAYICH	1054	7/18/2016	50	2901 Ave , Apt 1D, Brooklyn, NY 11210
YESHIVAH DACHEI TORAH	EFT	5/18/2016	36	257 Beach 17th Street, Far Rockaway, NY 11691
YOUNG ISRAEL OF BROOKLINE	1035	5/8/2016	360	62 Green Street, Brookline, MA 02446
ZAKA	EFT	1/13/2016	100	11 Broadway, Suite 1070, New York, NY 10004
ZAKA	EFT	7/13/2016	100	11 Broadway, Suite 1070, New York, NY 10004
ZAKA	EFT	9/21/2016	100	11 Broadway, Suite 1070, New York, NY 10004
ZAMIR CHORALE OF BOSTON	1032	4/25/2016	500	1320 Centre Street, Suite 306, Newton, MA 02459
ZAMIR CHORALE OF BOSTON	EFT	10/27/2016	1000	1320 Centre Street, Suite 306, Newton, MA 02459
MINDS MATTER BOSTON	1023	12/27/2016	100	3 Overlook Dr , #2, Amherst, NH 03031
Lurie Center for Autism Research	1039	12/6/2016	500	55 Fruit Street, Boston, MA 02114
Binyamin Fund	1021	12/23/2016	1000	11 Baker Lane, Suffern, NY 10901
Autism Services Ass'n	1018	12/19/2016	1000	47 Walnut Street, Wellesley, MA 02481
American Technion Society	1019	12/4/2016	500	One Gateway Centre, Newton, MA 02458
Combined Jewish Philanthropies	1022	12/4/2016	500	126 High Street, Boston, MA
als Ass'n Greater Philadelphia	1059	11/30/2016	50	321 Norristown Road - Suite 260, Ambler, PA 19002
American Committee for Weizmann	1020	12/9/2016	500	633 Third Avenue, NY, NY 10013
Brown University Class of 1978	1058	11/23/2016	78	Box 1893, Providence, RI 02192
Joslin Diabetes Center	1017	11/7/2016	750	One Joslin Place, Boston, MA 02215
Special Olympics MA	EFT	12/15/2016	100	512 Forest Street, Marlborough, MA 01752
Maimonides	EFT	12/13/2016	628	34 Philbrick Road, Brookline, MA 02445
MAIMONIDES	EFT	12/13/2016	1500	34 Philbrick Road, Brookline, MA 02445
HOME OF THE SAGES OF ISRAEL	EFT	12/9/2016	25	25 Bialystoker Place, New York, NY 10002
HOME OF THE SAGES OF ISRAEL	EFT	11/14/2016	25	25 Bialystoker Place, New York, NY 10002
		TOTAL	28,184	