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Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

**2016**

**Open to Public Inspection**

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 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016**

Name of foundation STANLEY PHELPS CHARTIABLE TRUST		<b>A Employer identification number</b> 52-6174489	
Number and street (or P O box number if mail is not delivered to street address) 620 LIBERTY AVENUE 10TH FLOOR		<b>B Telephone number</b> (see instructions) (412) 768-9969	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,549,708		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	54,591	54,591		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	64,032			
	<b>b</b> Gross sales price for all assets on line 6a 859,389				
	<b>7</b> Capital gain net income (from Part IV, line 2)		64,032		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	967				
<b>12 Total.</b> Add lines 1 through 11	119,590	118,623			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	17,856	11,874		5,982
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)				0
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	905	361		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	400			400
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	19,161	12,235	0	6,382
	<b>25</b> Contributions, gifts, grants paid	129,818			129,818
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	148,979	12,235	0	136,200	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-29,389				
<b>b Net investment income</b> (if negative, enter -0-)		106,388			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	101,591	47,830	47,830
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	383,800	353,908	469,960
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	24,418	24,418	27,183
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	1,925,959	1,980,228	2,004,735
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,435,768	2,406,384	2,549,708	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	2,401,379	2,386,152	
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	34,389	20,232		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	2,435,768	2,406,384		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	2,435,768	2,406,384		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,435,768
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-29,389
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	5
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	2,406,384
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	2,406,384

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)		
<b>1a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
<b>a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2</b> Capital gain net income or (net capital loss)					<b>2</b>	64,032
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }						
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)					<b>3</b>	
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8						

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	123,321	2,637,071	0 046764
2014	115,093	2,650,832	0 043418
2013	98,616	2,445,885	0 040319
2012	119,397	2,346,958	0 050873
2011	59,856	2,278,182	0 026274
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 207648
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 04153
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 2,491,455
<b>5</b> Multiply line 4 by line 3			<b>5</b> 103,470
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,064
<b>7</b> Add lines 5 and 6			<b>7</b> 104,534
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 136,200
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', and 'Total credits and payments'. Total amount owed is 24, with 24 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include: 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Did the foundation file Form 1120-POL for this year?', 'Has the foundation engaged in any activities that have not previously been reported to the IRS?', 'Did the foundation have at least \$5,000 in assets at any time during the year?', 'Enter the states to which the foundation reports or with which it is registered?', 'If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General?', 'Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016?', 'Did any persons become substantial contributors during the tax year?'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of PNC BANK NA Telephone no (412) 768-9969
Located at 620 LIBERTY AVENUE PITTSBURGH PA ZIP+4 152222705
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here . . . . . 15
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here.

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
PNC BANK NA 620 LIBERTY AVE PITTSBURGH, PA 15222	CO-TRUSTEE 3	12,499		
WALLACE DUNN 209 PURLINGTON ROAD TIMONIUM, MD 21093	CO-TRUSTEE 1	5,357		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000.  0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	2,529,396
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	0
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	2,529,396
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	2,529,396
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	37,941
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	2,491,455
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	124,573

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	124,573
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	1,064
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,064
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	123,509
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	123,509
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	123,509

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	136,200
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	136,200
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	1,064
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	135,136

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				123,509
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			129,818	
<b>b</b> Total for prior years 2014, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .	0			
<b>b</b> From 2012. . . . .	0			
<b>c</b> From 2013. . . . .	0			
<b>d</b> From 2014. . . . .	0			
<b>e</b> From 2015. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>136,200</u>				
<b>a</b> Applied to 2015, but not more than line 2a			129,818	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				6,382
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				117,127
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .	0			
<b>b</b> Excess from 2013. . . . .	0			
<b>c</b> Excess from 2014. . . . .	0			
<b>d</b> Excess from 2015. . . . .	0			
<b>e</b> Excess from 2016. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

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**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> KENNEDY KRIEGER INSTITUTE ATTN MICHAEL J NEUMAN SR VP-FINANCE BALTIMORE, MD 21213	NONE	PC	BENNETT IST PHYSICALLY	129,818
<b>Total</b> . . . . .			▶ <b>3a</b>	129,818
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
35 BOEING CO		2012-09-21	2016-01-29
90 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-05
143 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76			2016-02-05
4 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-08
21 MONDELEZ INTERNATIONAL		2015-09-15	2016-02-08
46 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76		2013-05-08	2016-02-08
38 STANLEY BLACK & DECKER INC			2016-02-16
2 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-16
20 STANLEY BLACK & DECKER INC		2015-06-10	2016-02-17
42 APPLE INC		2008-11-13	2016-03-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,184		2,445	1,739
3,418		3,864	-446
3,872		5,132	-1,260
148		172	-24
764		902	-138
1,185		1,514	-329
3,534		4,073	-539
186		212	-26
1,859		2,123	-264
4,210		535	3,675

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,739
			-446
			-1,260
			-24
			-138
			-329
			-539
			-26
			-264
			3,675

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
55 SKYWORKS SOLUTIONS INC COM		2014-03-21	2016-03-02
5 AETNA INC NEW		2013-05-30	2016-03-11
5 AMERIPRISE FINANCIAL INC		2015-01-26	2016-03-11
5 CIGNA CORP		2014-07-11	2016-03-11
10 CVS CAREMARK CORP		2013-02-21	2016-03-11
10 CISCO SYS INC COM		2015-03-26	2016-03-11
10 COMCAST CORPORATION CL A		2014-07-22	2016-03-11
5 DOW CHEMICAL CO		2015-04-29	2016-03-11
10 JOHNSON & JOHNSON COM			2016-03-11
117 KROGER CO			2016-03-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,783		2,113	1,670
565		308	257
462		652	-190
719		466	253
1,002		518	484
278		272	6
590		547	43
253		259	-6
1,076		619	457
4,498		2,706	1,792

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,670
			257
			-190
			253
			484
			6
			43
			-6
			457
			1,792

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 LINCOLN NATIONAL CORP		2014-09-12	2016-03-11
5 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-03-11
5 CHECK POINT SOFTWARE COM		2014-08-29	2016-03-11
27 SNAP-ON INC COM			2016-03-17
3 SNAP-ON INC COM		2014-09-11	2016-03-17
13 025 TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y			2016-04-19
8187 526 TOUCHSTONE SANDS CAPITAL SELECT GROWTH FD CLASS Y			2016-04-19
32 AMERIPRISE FINANCIAL INC		2015-01-23	2016-04-29
3 AMERIPRISE FINANCIAL INC		2015-01-23	2016-05-02
144 CISCO SYS INC COM			2016-05-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
399		548	-149
528		503	25
415		354	61
4,212		3,380	832
468		375	93
203		225	-22
127,644		140,281	-12,637
3,071		4,149	-1,078
292		389	-97
3,779		3,731	48

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-149
			25
			61
			832
			93
			-22
			-12,637
			-1,078
			-97
			48

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24 MICROSOFT CORP		2015-12-18	2016-05-05
55 APPLE INC		2008-11-13	2016-05-06
141 CISCO SYS INC COM			2016-05-06
67 L BRANDS INC		2014-09-25	2016-05-06
3 L BRANDS INC		2014-09-25	2016-05-06
3 MICROSOFT CORP		2015-12-18	2016-05-06
18 MICROSOFT CORP		2015-12-18	2016-05-06
5 MICROSOFT CORP		2008-11-13	2016-05-06
20 THE TRAVELERS COS INC		2015-08-13	2016-05-19
65 THE TRAVELERS COS INC		2014-05-01	2016-05-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,199		1,316	-117
5,087		701	4,386
3,709		3,025	684
4,657		4,549	108
208		204	4
150		165	-15
901		987	-86
250		96	154
2,201		2,144	57
7,155		5,899	1,256

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-117
			4,386
			684
			108
			4
			-15
			-86
			154
			57
			1,256

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
24 LINCOLN NATIONAL CORP		2014-09-12	2016-05-20
41 LINCOLN NATIONAL CORP			2016-05-23
74 LINCOLN NATIONAL CORP		2013-11-12	2016-05-24
40 GENERAL ELEC CO COM		2010-01-26	2016-05-26
8 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-05-26
7 NORTHROP GRUMMAN CORPORATION		2015-02-20	2016-05-27
5 NORTHROP GRUMMAN CORPORATION		2015-07-20	2016-05-27
52 HORMEL FOODS CORP		2016-02-08	2016-06-02
11 HORMEL FOODS CORP		2016-02-08	2016-06-02
15 ALTRIA GROUP INC		2013-05-13	2016-06-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,075		1,315	-240
1,820		2,056	-236
3,337		3,597	-260
1,202		664	538
1,712		1,371	341
1,498		1,200	298
1,070		850	220
1,780		2,088	-308
378		442	-64
979		555	424

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-240
			-236
			-260
			538
			341
			298
			220
			-308
			-64
			424

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 AMERICAN WATER WORKS CO INC		2016-02-09	2016-06-03
5 BANK NEW YORK MELLON CORP COM		2016-04-29	2016-06-03
5 CELANESE CORP-SERIES A		2015-10-26	2016-06-03
15 D R HORTON INC		2015-10-16	2016-06-03
5 DR PEPPER SNAPPLE GROUP INC		2015-05-04	2016-06-03
5 EXXON MOBIL CORP		2014-11-17	2016-06-03
10 FOOT LOCKER INC		2014-04-02	2016-06-03
5 GILEAD SCIENCES INC COM		2015-09-14	2016-06-03
5 HONEYWELL INTL INC		2015-07-20	2016-06-03
57 HORMEL FOODS CORP			2016-06-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
382		328	54
205		201	4
351		349	2
460		450	10
463		381	82
441		475	-34
548		480	68
430		547	-117
571		531	40
1,975		2,262	-287

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			4
			2
			10
			82
			-34
			68
			-117
			40
			-287

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
49 ISHARES TR RUSSELL 1000 GROWTH INDEX FD		2016-04-19	2016-06-03
5 J P MORGAN CHASE & CO COM		2015-08-13	2016-06-03
189 786 JPMORGAN VALUE ADVANTAGE-INS FD 1400		2013-11-21	2016-06-03
5 KROGER CO		2014-05-07	2016-06-03
5 LAM RESEARCH CORP		2015-06-01	2016-06-03
5 MICROSOFT CORP		2008-11-13	2016-06-03
5 NIKE INC CL B		2015-08-05	2016-06-03
5 PEPSICO INC COM		2016-06-02	2016-06-03
94 503 T ROWE PRICE GROWTH STOCK FUND		2014-12-15	2016-06-03
5 PRINCIPAL FINANCIAL GROUP COM		2015-08-13	2016-06-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,925		4,980	-55
318		338	-20
5,500		5,189	311
181		115	66
416		417	-1
259		96	163
270		292	-22
511		509	2
4,900		4,806	94
213		285	-72

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-55
			-20
			311
			66
			-1
			163
			-22
			2
			94
			-72

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
171 66 ROWE T PRICE VALUE FD INC COM		2013-11-21	2016-06-03
5 TRACTOR SUPPLY CO COM		2015-04-21	2016-06-03
5 TYSON FDS INC COM		2016-03-11	2016-06-03
5 VERIZON COMMUNICATIONS COM		2013-05-15	2016-06-03
20 VISA INC CLASS A SHARES		2013-02-08	2016-06-03
5 WEC ENERGY GROUP INC		2009-03-13	2016-06-03
10 WELLS FARGO & CO NEW COM		2009-05-11	2016-06-03
16 ALASKA AIR GROUP INC		2015-11-17	2016-06-16
13 CVS CAREMARK CORP		2013-02-21	2016-06-16
38 ALASKA AIR GROUP INC			2016-06-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,500		6,029	-529
473		452	21
315		338	-23
254		267	-13
1,596		788	808
307		95	212
497		273	224
966		1,255	-289
1,240		674	566
2,275		2,963	-688

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-529
			21
			-23
			-13
			808
			212
			224
			-289
			566
			-688

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 ALASKA AIR GROUP INC			2016-06-20
24 EDWARDS LIFESCIENCES CORP		2015-02-06	2016-06-23
121 PRINCIPAL FINANCIAL GROUP COM			2016-06-23
30 PRINCIPAL FINANCIAL GROUP COM		2015-08-13	2016-06-23
28 EDWARDS LIFESCIENCES CORP		2015-02-06	2016-06-24
24 PRINCIPAL FINANCIAL GROUP COM			2016-06-24
67 FOOT LOCKER INC		2014-04-02	2016-06-30
6 FOOT LOCKER INC			2016-06-30
22 FOOT LOCKER INC		2014-04-03	2016-07-01
74 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182			2016-07-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
658		840	-182
2,377		1,610	767
5,387		6,054	-667
1,336		1,709	-373
2,701		1,879	822
974		1,184	-210
3,681		3,219	462
330		287	43
1,207		1,050	157
4,364		4,308	56

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-182
			767
			-667
			-373
			822
			-210
			462
			43
			157
			56

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
41 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182		2014-01-28	2016-07-14
14 CELANESE CORP-SERIES A		2015-10-26	2016-07-21
25 DOW CHEMICAL CO		2015-04-29	2016-07-21
5 DOW CHEMICAL CO		2015-04-29	2016-07-21
5 PPG INDS INC COM		2015-03-02	2016-07-21
15 PPG INDS INC COM		2015-03-02	2016-07-21
6 CELANESE CORP-SERIES A		2015-10-26	2016-07-22
29 CIGNA CORP		2014-07-11	2016-08-02
6 CIGNA CORP		2014-07-11	2016-08-02
48 GILEAD SCIENCES INC COM			2016-08-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,442		2,328	114
977		978	-1
1,311		1,294	17
262		259	3
543		593	-50
1,626		1,778	-152
420		419	1
3,604		2,705	899
744		560	184
3,831		5,244	-1,413

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			114
			-1
			17
			3
			-50
			-152
			1
			899
			184
			-1,413

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
169 FORD MTR CO DEL COM PAR \$0 01			2016-08-05
14 TRACTOR SUPPLY CO COM		2015-04-21	2016-08-05
206 FORD MTR CO DEL COM PAR \$0 01		2016-05-09	2016-08-08
48 TRACTOR SUPPLY CO COM			2016-08-08
3 TRACTOR SUPPLY CO COM		2015-04-22	2016-08-08
12 CHECK POINT SOFTWARE COM		2014-08-29	2016-08-19
30 CHECK POINT SOFTWARE COM			2016-08-22
23 CHECK POINT SOFTWARE COM		2014-08-28	2016-08-23
17 CELANESE CORP-SERIES A		2015-07-10	2016-08-24
25 CELANESE CORP-SERIES A			2016-08-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,063		2,272	-209
1,222		1,267	-45
2,513		2,752	-239
4,075		4,338	-263
258		271	-13
912		849	63
2,265		2,114	151
1,748		1,612	136
1,124		1,157	-33
1,650		1,701	-51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-209
			-45
			-239
			-263
			-13
			63
			151
			136
			-33
			-51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
33 CELANESE CORP-SERIES A		2015-07-10	2016-08-26
52 DOLLAR GENERAL CORP		2016-06-30	2016-08-31
14 CINTAS CORP		2015-05-26	2016-09-07
85 WELLS FARGO & CO NEW COM		2009-05-11	2016-09-07
7 CINTAS CORP			2016-09-08
9 CINTAS CORP		2015-03-27	2016-09-09
107 KROGER CO		2014-05-07	2016-09-13
17 KROGER CO		2014-05-07	2016-09-13
20 EXXON MOBIL CORP		2014-11-17	2016-09-16
13 HONEYWELL INTL INC			2016-09-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2,159		2,244	-85
3,822		4,879	-1,057
1,656		1,200	456
4,223		2,321	1,902
821		580	241
1,030		741	289
3,306		2,467	839
524		392	132
1,681		1,900	-219
1,484		1,376	108

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			-85
			-1,057
			456
			1,902
			241
			289
			839
			132
			-219
			108

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
2 HONEYWELL INTL INC		2015-05-26	2016-09-16
20 SCHLUMBERGER LTD COM		2015-05-04	2016-09-16
120 D R HORTON INC			2016-09-26
2 D R HORTON INC		2015-10-15	2016-09-26
2 D R HORTON INC		2015-10-15	2016-09-26
4822 716 DRIEHAUS ACTIVE INCOME FUND FUND 640			2016-09-26
25227 687 PNC TOTAL RETURN ADVANTAGE FUND CLASS I		2013-06-07	2016-09-26
2441 833 T ROWE PRICE REAL ESTATE FUND FD 122		2008-12-17	2016-09-26
10 ABBOTT LABORATORIES INC		2016-06-23	2016-09-27
5 AETNA INC NEW		2013-05-30	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
228		210	18
1,523		1,860	-337
3,590		3,584	6
60		59	1
60		59	1
48,034		52,000	-3,966
277,000		273,973	3,027
73,328		27,666	45,662
417		393	24
573		308	265

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			18
			-337
			6
			1
			1
			-3,966
			3,027
			45,662
			24
			265

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 ALTRIA GROUP INC		2013-05-13	2016-09-27
5 AMERICAN ELECTRIC POWER INC		2015-10-15	2016-09-27
5 AMERICAN WATER WORKS CO INC		2016-02-09	2016-09-27
5 AMGEN INC		2014-08-07	2016-09-27
10 APPLE INC		2008-11-13	2016-09-27
25 APPLIED MATERIALS INC		2016-07-14	2016-09-27
10 BANK NEW YORK MELLON CORP COM		2016-04-29	2016-09-27
10 BERRY GLOBAL GROUP INC		2016-08-25	2016-09-27
5 BURLINGTON STORES INC		2016-09-01	2016-09-27
10 CBS CORP CLASS B WI		2016-08-05	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
946		555	391
334		293	41
386		328	58
849		632	217
1,125		127	998
731		651	80
392		401	-9
454		453	1
414		411	3
509		521	-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			391
			41
			58
			217
			998
			80
			-9
			1
			3
			-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 CVS CAREMARK CORP		2013-02-21	2016-09-27
15 CISCO SYS INC COM		2012-01-09	2016-09-27
5 CINTAS CORP		2015-03-27	2016-09-27
15 COMCAST CORPORATION CL A			2016-09-27
5 CONSTELLATION BRANDS INC CL A		2014-05-16	2016-09-27
27 D R HORTON INC		2015-10-15	2016-09-27
10 DEVON ENERGY CORP NEW			2016-09-27
5 DICK'S SPORTING GOODS, INC		2016-09-26	2016-09-27
10 DISNEY WALT CO		2012-06-01	2016-09-27
10 DOW CHEMICAL CO			2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
452		259	193
464		285	179
566		412	154
985		666	319
828		411	417
811		795	16
386		412	-26
300		300	
919		446	473
520		517	3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			193
			179
			154
			319
			417
			16
			-26
			473
			3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 DR PEPPER SNAPPLE GROUP INC		2015-05-04	2016-09-27
5 EDWARDS LIFESCIENCES CORP			2016-09-27
5 EQUIFAX INC		2016-06-20	2016-09-27
5 EXXON MOBIL CORP		2014-11-17	2016-09-27
10 FACEBOOK INC A		2016-05-06	2016-09-27
5 GENERAL DYNAMICS CORP		2015-06-01	2016-09-27
15 GENERAL ELEC CO COM		2010-01-26	2016-09-27
5 HOME DEPOT INC COM		2015-11-09	2016-09-27
5 HONEYWELL INTL INC		2015-05-26	2016-09-27
5 ILLINOIS TOOL WORKS INC COM		2016-02-17	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
904		762	142
594		335	259
656		622	34
412		475	-63
1,277		1,192	85
768		703	65
443		249	194
627		620	7
578		524	54
588		475	113

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			142
			259
			34
			-63
			85
			65
			194
			7
			54
			113

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
10 INTEL CORP		2016-08-22	2016-09-27
20 J P MORGAN CHASE & CO COM		2015-08-13	2016-09-27
10 JOHNSON & JOHNSON COM		2016-08-02	2016-09-27
5 KRAFT HEINZ CO/THE		2016-09-13	2016-09-27
5 LAM RESEARCH CORP		2015-06-01	2016-09-27
10 MICROSOFT CORP		2008-11-13	2016-09-27
10 NIKE INC CL B		2015-08-05	2016-09-27
10 NORTHERN TRUST CORP		2016-05-20	2016-09-27
5 NORTHROP GRUMMAN CORPORATION		2015-07-20	2016-09-27
5 O REILLY AUTOMOTIVE INC		2015-12-16	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
366		353	13
1,305		1,353	-48
1,177		1,248	-71
444		441	3
455		417	38
568		193	375
540		585	-45
670		719	-49
1,083		850	233
1,412		1,281	131

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			13
			-48
			-71
			3
			38
			375
			-45
			-49
			233
			131

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 PPG INDS INC COM		2015-02-27	2016-09-27
5 PEPSICO INC COM		2016-06-02	2016-09-27
35 PFIZER INC COM		2015-10-27	2016-09-27
5 PUBLIC STORAGE INC		2015-09-03	2016-09-27
5 RAYTHEON COMPANY		2016-01-29	2016-09-27
5 S&P GLOBAL INC		2016-05-24	2016-09-27
10 SCHLUMBERGER LTD COM			2016-09-27
5 SIMON PROPERTY GROUP INC		2016-03-18	2016-09-27
10 STATE STR CORP COM		2016-09-08	2016-09-27
15 SUNTRUST BANKS INC COM		2016-06-23	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
508		590	-82
535		509	26
1,173		1,226	-53
1,122		1,016	106
691		636	55
622		556	66
751		880	-129
1,077		1,029	48
687		706	-19
636		645	-9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-82
			26
			-53
			106
			55
			66
			-129
			48
			-19
			-9

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10 TEXAS INSTRS INC COM		2015-11-11	2016-09-27
5 THERMO ELECTRON CORP COM		2015-08-20	2016-09-27
20 TOTAL FINA S A		2015-08-12	2016-09-27
5 TYSON FDS INC COM		2016-03-11	2016-09-27
5 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-09-27
10 VANTIV INC CLASS A		2016-05-09	2016-09-27
15 VERIZON COMMUNICATIONS COM		2013-05-15	2016-09-27
5 VISA INC CLASS A SHARES		2013-02-08	2016-09-27
5 VULCAN MATERIALS CO		2016-07-21	2016-09-27
15 WEC ENERGY GROUP INC		2009-03-13	2016-09-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
683		579	104
781		665	116
910		1,014	-104
379		338	41
604		704	-100
552		555	-3
780		802	-22
408		197	211
567		625	-58
948		284	664

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			104
			116
			-104
			41
			-100
			-3
			-22
			211
			-58
			664

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 WELLS FARGO & CO NEW COM		2009-05-11	2016-09-27
5 WYNDHAM WORLDWIDE CORP		2015-12-16	2016-09-27
5 ACCENTURE PLC CLASS A SEDOL B4BNMY3		2015-07-13	2016-09-27
5 INGERSOLL-RAND PLC SEDOL B633030		2016-05-31	2016-09-27
30 PPG INDS INC COM		2015-02-27	2016-10-14
5 PPG INDS INC COM		2015-02-27	2016-10-14
52 ADVANSIX INC - W/I		2015-02-02	2016-10-17
73 CVS CAREMARK CORP		2013-02-21	2016-10-19
4 CVS CAREMARK CORP		2013-02-21	2016-10-19
25 LOCKHEED MARTIN CORP			2016-10-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
447		273	174
335		369	-34
569		503	66
324		334	-10
2,783		3,538	-755
464		590	-126
8		7	1
6,305		3,783	2,522
345		207	138
5,766		3,527	2,239

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			174
			-34
			66
			-10
			-755
			-126
			1
			2,522
			138
			2,239

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 PUBLIC STORAGE INC		2015-09-03	2016-10-21
13 PUBLIC STORAGE INC			2016-10-24
8 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-27
6 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-27
2 ADVANSIX INC - W/I			2016-10-28
16 UNIVERSAL HEALTH SERVICES INC CLASS B		2015-08-21	2016-10-28
2 ALPHABET INC/CA-CL A		2015-11-02	2016-11-08
6 ALTRIA GROUP INC		2013-05-13	2016-11-11
29 AMERICAN ELECTRIC POWER INC			2016-11-11
32 ALTRIA GROUP INC			2016-11-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,326		2,235	91
2,746		2,626	120
989		1,127	-138
732		845	-113
31		28	3
1,939		2,254	-315
1,620		1,488	132
372		222	150
1,748		1,700	48
1,960		1,183	777

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			120
			-138
			-113
			3
			-315
			132
			150
			48
			777

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
50 AMERICAN ELECTRIC POWER INC			2016-11-14
18 EXXON MOBIL CORP			2016-12-09
5 JOHNSON & JOHNSON COM		2008-11-13	2016-12-09
5 JOHNSON & JOHNSON COM		2016-08-02	2016-12-09
27 TOTAL FINA S A		2015-08-12	2016-12-09
19 TOTAL FINA S A		2015-08-12	2016-12-09
1563 858 BLACKROCK TOTAL RETURN-I		2016-09-26	2016-12-22
1704 545 PNC TOTAL RETURN ADVANTAGE FUND CLASS I		2013-06-07	2016-12-22
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,929		2,912	17
1,596		1,708	-112
560		300	260
560		624	-64
1,323		1,369	-46
932		963	-31
18,000		18,704	-704
18,000		18,511	-511
			5,197

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			17
			-112
			260
			-64
			-46
			-31
			-704
			-511

**TY 2016 Investments - Other Schedule****Name:** STANLEY PHELPS CHARITABLE TRUST**EIN:** 52-6174489

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
MUTUAL FUNDS - FIXED	AT COST	843,941	816,119
MUTUAL FUNDS - EQUITIES	AT COST	1,136,287	1,188,616
MUTUAL FUNDS - ALTERNATIVE			

**TY 2016 Other Expenses Schedule****Name:** STANLEY PHELPS CHARITABLE TRUST**EIN:** 52-6174489**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NON-ALLOCABLE EXPENSE -	400	0		400

**TY 2016 Other Income Schedule****Name:** STANLEY PHELPS CHARTIABLE TRUST**EIN:** 52-6174489**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND FROM PRIOR YEAR	967	0	

**TY 2016 Other Increases Schedule****Name:** STANLEY PHELPS CHARTIABLE TRUST**EIN:** 52-6174489

<b>Description</b>	<b>Amount</b>
ROUNDING ADJ FOR SALES AND TRANSACTIONS	5

**TY 2016 Taxes Schedule****Name:** STANLEY PHELPS CHARTIABLE TRUST**EIN:** 52-6174489

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	130	130		0
FEDERAL ESTIMATES - PRINCIPAL	544	0		0
FOREIGN TAXES ON QUALIFIED FOR	216	216		0
FOREIGN TAXES ON NONQUALIFIED	15	15		0