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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

LEO GOODWIN FOUNDATION, INC.
800 CORPORATE DRIVE #500
FORT LAUDERDALE, FL 33334

A Employer identification number

52-6054098

B Telephone number (see instructions)

954-772-6863

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

► \$ 12,625,737.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

70,808.

70,808.

4 Dividends and interest from securities.

216,821.

216,821.

5a Gross rents

-797.

-797.

b Net rental income or (loss)

-797.

6a Net gain or (loss) from sale of assets not on line 10

-30,495.

b Gross sales price for all assets on line 6a

5,611,477.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

SEE STATEMENT 1

-112,648.

-112,648.

12 Total. Add lines 1 through 11

143,689.

174,184.

0.

13 Compensation of officers, directors, trustees, etc

485,000.

35,000.

450,000.

14 Other employee salaries and wages

50,000.

25,000.

25,000.

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

16b Accounting fees (attach sch)

16c Other professional fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instrs)

19 Depreciation (attach schedule) and depletion

SEE STMT 5

330.

20 Occupancy

23,241.

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 6

32,050.

24 Total operating and administrative expenses. Add lines 13 through 23

727,763.

170,903.

476,913.

25 Contributions, gifts, grants paid

558,501.

558,501.

26 Total expenses and disbursements. Add lines 24 and 25

1,286,264.

170,903.

0.

1,035,414.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-1,142,575.

b Net investment income (if negative, enter -0-)

3,281.

c Adjusted net income (if negative, enter -0-)

0.

SCANNED DEC 08 2017

ADMINISTRATIVE AND OPERATING EXPENSES

GL

7

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		238,571.	386,474.	386,474.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)	STATEMENT 7	124,155.	15,271.	23,140.
	b	Investments — corporate stock (attach schedule)	STATEMENT 8	4,862,253.	2,383,249.	2,686,332.
	c	Investments — corporate bonds (attach schedule)	STATEMENT 9	1,024,918.	301,472.	305,277.
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)	STATEMENT 10	7,555,861.	9,442,033.	9,188,297.	
14	Land, buildings, and equipment: basis	6,146.				
	Less: accumulated depreciation (attach schedule)	SEE STMT 11	5,992.	484.	154.	
15	Other assets (describe SEE STATEMENT 12)		77,950.	36,063.	36,063.	
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		13,884,192.	12,564,716.	12,625,737.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 13)		620,727.	534,864.	
	23	Total liabilities (add lines 17 through 22)		620,727.	534,864.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		13,263,465.	12,029,852.	
	30	Total net assets or fund balances (see instructions)		13,263,465.	12,029,852.	
	31	Total liabilities and net assets/fund balances (see instructions)		13,884,192.	12,564,716.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,263,465.
2	Enter amount from Part I, line 27a	2	-1,142,575.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	12,120,890.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 14	5	91,038.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	12,029,852.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE STATEMENT 15				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-30,266.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	813,609.	13,489,406.	0.060315
2014	684,521.	14,428,810.	0.047441
2013	6,524.	14,512,001.	0.000450
2012	823,560.	14,634,772.	0.056274
2011	866,329.	14,349,146.	0.060375

2 Total of line 1, column (d)	2	0.224855
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044971
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	12,285,277.
5 Multiply line 4 by line 3	5	552,481.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	33.
7 Add lines 5 and 6	7	552,514.
8 Enter qualifying distributions from Part XII, line 4	8	1,035,414.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)		1	33.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	33.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	33.
6 Credits/Payments:			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		33.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) MD FL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here.

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLIOT P. BORKSON 1313 SOUTH ANDREWS AVE FT. LAUDERDALE, FL 33316	VICE PRESIDE 20.00	185,000.	0.	0.
ALAN J. GOLDBERG 404 DEERCREEK RUN DEERFIELD BEACH, FL 33442	PRESIDENT 20.00	300,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	12,658,486.
b	Average of monthly cash balances	1 b	312,523.
c	Fair market value of all other assets (see instructions)	1 c	36,217.
d	Total (add lines 1a, b, and c)	1 d	13,007,226.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	534,864.
3	Subtract line 2 from line 1d	3	12,472,362.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	187,085.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,285,277.
6	Minimum investment return. Enter 5% of line 5	6	614,264.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	614,264.
2 a	Tax on investment income for 2016 from Part VI, line 5	2 a	33.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	33.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	614,231.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	614,231.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	614,231.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,035,414.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,035,414.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	33.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,035,381.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				614,231.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2016.				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				56,220.
f Total of lines 3a through e	56,220.			
4 Qualifying distributions for 2016 from Part XII, line 4: \$ 1,035,414.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2016 distributable amount				614,231.
e Remaining amount distributed out of corpus	421,183.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	477,403.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	477,403.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				56,220.
e Excess from 2016				421,183.

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- | | | | | | | | | | |
|--|--------------------------|------------|----|--------------------------|------------|--|--|--|--|
| 1 If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling _____ | | | | | | | | | |
| b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5) | ☐ | 4942(j)(3) | or | ☐ | 4942(j)(5) | | | | |

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- NONE
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 16

- b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

- c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 17				
Total			3 a	558,501.
b Approved for future payment				
Total			3 b	

2016

FEDERAL STATEMENTS

PAGE 1

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LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

07:59AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ADVANTAGE ADVISERS HIGH	\$ 30,669.	\$ 30,669.	
AMERIGAS PARTNERS LP	-213.	-213.	
APERIOS EMERGING CONNECT	-12,828.	-12,828.	
ARMORY CREDIT	-28.	-28.	
BUCKEYE PARTNERS	-646.	-646.	
ENBRIDGE ENERGY PTNRS	-2,019.	-2,019.	
ENERGY TRANSFER EQUITY LP	-1,835.	-1,835.	
ENERGY TRANSFER PTNRS LP	-9,779.	-9,779.	
ENLINK MIDSTREAM PTNRS	-5,962.	-5,962.	
ENTERPRISE PROD PTNRS LP	-8,205.	-8,205.	
EQT MIDSTREAM PARTNERS	-2,150.	-2,150.	
GENESIS ENERGY LP	-4,605.	-4,605.	
MAGELLAN MID PTNRS LP	-1,637.	-1,637.	
MCDONALD US LP	-3,469.	-3,469.	
ML - OTHER INCOME	10.	10.	
ML ASPECT FUTURE LLC	-26,816.	-26,816.	
ML WINTON LLC	-16,067.	-16,067.	
MPLX LP	-3,661.	-3,661.	
ODP AIV I LP	8,864.	8,864.	
ODP AIV II LP	23.	23.	
ODP AIV III LP	3,539.	3,539.	
ONEOK PARTNERS LP	-3,396.	-3,396.	
OPPENHEIMER DRILL PTNRS	-14,650.	-14,650.	
OPPENHEIMER FD	-5,602.	-5,602.	
PHILLIPS 66 PARTNERS	-1,116.	-1,116.	
PLAINS ALL AMER PIPE LP	-4,837.	-4,837.	
PTNRS GROUP PVT EQUITY	-16,717.	-16,717.	
SHELL MIDSTREAM PARTNERS	-2,506.	-2,506.	
SPECTRA ENERGY	-785.	-785.	
SUNOCO LOGISTICS	-1,007.	-1,007.	
TALLGRASS ENERGY PARTNERS	-2,045.	-2,045.	
WESTERN GAS PARTNERS LP	-2,590.	-2,590.	
WILLIAMS PTNRS LP	-4,329.	-4,329.	
XANTHUS PTNR	3,747.	3,747.	
TOTAL	\$ -112,648.	\$ -112,648.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 24,326.			
TOTAL	\$ 24,326.	\$ 0.	\$ 0.	\$ 0.

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52-6054098

11/20/17

07:59AM

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 79,277.	\$ 79,277.		
TOTAL	\$ 79,277.	\$ 79,277.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHOLDING - EMERALD	\$ 1,847.	\$ 1,847.		
FOREIGN TAX WITHHOLDING - ML 2163	703.	703.		
FOREIGN TAX WITHHOLDING - ML 2164	547.	547.		
FOREIGN TAX WITHHOLDING - ML 2212	119.	119.		
FOREIGN TAX WITHHOLDING - ML 4007	464.	464.		
FOREIGN TAX WITHHOLDING - ML 4008	483.	483.		
FOREIGN TAX WITHHOLDING - UBS FIN SVCS	41.	41.		
FOREIGN TAX WITHHOLDING K-1	713.	713.		
PAYROLL TAXES	3,825.	1,912.		\$ 1,913.
TOTAL	\$ 8,742.	\$ 6,829.	\$ 0.	\$ 1,913.

STATEMENT 5
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
COMPUTER 2/15/12	2,861	2,377	200DB	0.1152		330	0	0

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVERTISING & PROMOTION	\$ 6,894.			
BANK CHARGES	582.			
CERTIFICATE FEE	945.			
INSURANCE EXPENSE	10,886.			
OFFICE EXPENSE	6,863.			
POSTAGE	165.			
PRINTING	13.			

2016

FEDERAL STATEMENTS

PAGE 3

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52-6054098

11/20/17

07:59AM

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PUBLIC RELATIONS - WEBSITE / LOGO	\$ 4,270.			
TELEPHONE	1,432.			
TOTAL	\$ 32,050.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 7
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BOND GOVT AGENCY OPP - #2638	COST	\$ 15,271.	\$ 23,140.
U.S. TREASURY NOTES OPP #2638	COST	0.	0.
TOTAL		\$ 15,271.	\$ 23,140.

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EMERALD CHARLES SCHWAB #9084	COST	\$ 2,383,249.	\$ 2,686,332.
MERRILL LYNCH #4007	COST	0.	0.
MERRILL LYNCH #4008	COST	0.	0.
TOTAL		\$ 2,383,249.	\$ 2,686,332.

STATEMENT 9
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BOND CORPORATE OPP - #0106	COST	\$ 301,472.	\$ 305,277.
TOTAL		\$ 301,472.	\$ 305,277.

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52-6054098

11/20/17

07.59AM

STATEMENT 10
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
XANTHUS FUND PARTERSHIP	COST	\$ 960,795.	\$ 974,120.
ARMORY CREDIT OPPORTUNITY FUND K-1	COST	75,183.	74,217.
LGF INVESTMENTS, LLC	COST	2,118,856.	1,340,000.
MCDONALD USAF, LP	COST	62,640.	154,761.
MILLER/HOWARD LLC ML #0216	COST	567,787.	919,867.
OPP DRILLING PARTNERS LP #7720	COST	39,682.	138,981.
ML ASPECT FUTURES ACCESS LLC	COST	312,521.	314,415.
ML PRIVATE EQUITY MASTER FD	COST	621,641.	621,630.
ML WINTON FUTURES ACCESS LLC	COST	305,750.	306,826.
ML WESTERN ASSET MIDDLE MKT DEBT FUND	COST	608,000.	469,198.
SUSA EUROPEAN EQUITY FUND	COST	600,000.	601,873.
OPPENHEIMER PRIVATE EQUITY	COST	133,486.	131,470.
APERIOS EMERGING CONNECTIVITY	COST	0.	0.
MERRILL LYNCH #2163	COST	0.	0.
MERRILL LYNCH #2164	COST	0.	0.
ADVANTAGE ADVISERS HIGH INCOME FUND I	COST	470,084.	469,018.
MERRILL LYNCH #2212	COST	754,006.	817,189.
POHBA RIVERCHASE CRE INVESTORS	COST	101,681.	100,000.
NADG NNN PROPERTY FUND	COST	191,770.	200,000.
UBS FINANCIAL JU 24165	COST	952,284.	988,865.
TOTAL OTHER INVESTMENTS		\$ 8,876,166.	\$ 8,622,430.
<u>OTHER SECURITIES</u>			
INVESTMENT MANAGEMENT MONEY FUND	COST	565,867.	565,867.
TOTAL OTHER SECURITIES		\$ 565,867.	\$ 565,867.
TOTAL		\$ 9,442,033.	\$ 9,188,297.

STATEMENT 11
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 1,249.	\$ 1,249.	\$ 0.	\$ 0.
MACHINERY AND EQUIPMENT	4,897.	4,743.	154.	154.
TOTAL	\$ 6,146.	\$ 5,992.	\$ 154.	\$ 154.

STATEMENT 12
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
HIGH INCOME FD DISTRIBUTIONS RECEIVABLE	\$ 2,366.	\$ 2,366.
INCOME TAX RECEIVABLE	6,342.	6,342.

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52-6054098

11/20/17

07:59AM

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
OPPENHEIMER DISTRIBUTIONS RECEIVABLE	\$ 27,355.	\$ 27,355.
TOTAL	\$ 36,063.	\$ 36,063.

STATEMENT 13
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

MERRILL LYNCH MARGIN LOAN PAYABLE	\$ 534,864.
TOTAL	\$ 534,864.

STATEMENT 14
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

K-1 BOOK TAX ADJUSTMENTS	\$ 84,437.
NON-DEDUCTIBLE EXPENSES - PER K-1'S	6,351.
PENALTY	250.
TOTAL	\$ 91,038.

STATEMENT 15
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	MCDONALD USAF LP L/T C/G	PURCHASED	VARIOUS	VARIOUS
2	ODP AIV II K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
3	BUCKEYE PARTNERS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
4	ADVANTAGE ADV. HIGH INCOME K-1 S/T C/G	PURCHASED	VARIOUS	VARIOUS
5	ARMORY CREDIT OPPORT K-1 S/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
6	ADVANTAGE ADV. HIGH INCOME K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
7	BUCKEYE PARTNERS K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
8	ARMORY CREDIT OPPORT K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
9	ASPECT FUTURES ACCESS K-1 SEC 1256	PURCHASED	VARIOUS	12/31/2016
10	ML WINTON FUTURES K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
11	APERIOS EMERG CONNECT K-1 S/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
12	MAGELLAN ENERGY K-1 S/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
13	APERIOS EMERG CONNECT K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
14	ENERGY TRANSFER EQUITY K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
15	ENERGY TRANSFER PARTNER K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
16	MAGELLAN ENERGY K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
17	ONEOK PARTNERS K-1 L/T C/G	PURCHASED	VARIOUS	VARIOUS
18	WESTERN GAS K-1 L/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
19	AMERIGAS PARTNERS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
20	ENBRIDGE ENERGY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS

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07:59AM

STATEMENT 15 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
21	ENERGY TRANS EQTY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
22	ENERGY TRANS PARTNERS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
23	ENLINK MIDSTREAM K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
24	ENTERPRISE PROD PARTN EQTY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
25	EQT MIDSTREAM EQTY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
26	GENESIS ENERGY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
27	MPLX K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
28	ONEOK PARTNERS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
29	PLAINS ALL AMERICAN K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
30	TALLGRASS ENERGY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
31	WESTERN GAS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
32	WILLIAMS PARTNERS K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
33	PARTNERS GROUP K-1 S/T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
34	PARTNERS GROUP K-1 L/T CAP GAIN	PURCHASED	VARIOUS	12/31/2016
35	PARTNERS GROUP K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
36	PARTNERS GROUP K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
37	MADOFF SETTLEMENT	PURCHASED	VARIOUS	VARIOUS
38	OPP PRIVATE EQUITY K-1 S-T CAP LOSS	PURCHASED	VARIOUS	VARIOUS
39	OPP PRIVATE EQUITY K-1 L-T CAP GAIN	PURCHASED	VARIOUS	VARIOUS
40	OPP PRIVATE EQUITY K-1 SEC 1231	PURCHASED	VARIOUS	VARIOUS
41	OPP PRIVATE EQUITY K-1 SEC 1256	PURCHASED	VARIOUS	VARIOUS
42	GENESIS ENERGY LP - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
43	TALLGRASS ENERGY - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
44	SPECTRA ENERGY - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
45	ENLINK MIDSTREAM - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
46	WILLIAMS PARTNERS - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
47	MAGELLAN MIDSTREAM - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
48	ENTERPRISE PRODUCTS - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
49	ENBRIDGE ENERGY - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
50	ONEOK PARTNERS - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
51	PLAINS ALL AMERICAN - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
52	AMERIGAS PARTNERS - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
53	ENERGY TRANSFER EQUITY LP - BASIS ADJ	PURCHASED	VARIOUS	VARIOUS
54	MERRILL LYNCH #2212 CAPITAL GAIN DIST	PURCHASED	12/31/2016	12/31/2016
55	MERRILL LYNCH #2212 - UNRECAP 1250 GAIN	PURCHASED	12/31/2016	12/31/2016
56	MERRILL LYNCH #4007 SEE ATTACHMENT C-2	PURCHASED	VARIOUS	VARIOUS
57	MERRILL LYNCH #4007 SEE ATTACHMENT C-2	PURCHASED	VARIOUS	VARIOUS
58	MERRILL LYNCH #2164 SEE ATTACHED C-3	PURCHASED	VARIOUS	VARIOUS
59	MERRILL LYNCH #2164 SEE ATTACHED C-3	PURCHASED	VARIOUS	VARIOUS
60	FANUC	PURCHASED	11/11/2016	11/18/2016
61	MERRILL LYNCH #2163 SEE ATTACHED C-4	PURCHASED	VARIOUS	VARIOUS
62	MERRILL LYNCH #2163 SEE ATTACHED C-4	PURCHASED	VARIOUS	VARIOUS
63	MERRILL LYNCH #2163 - CASH IN LIEU	PURCHASED	VARIOUS	VARIOUS
64	MERRILL LYNCH #2212 SEE ATTACHED C-5	PURCHASED	VARIOUS	VARIOUS
65	UBS FINANCIAL #24165 SEE ATTACHED C-6	PURCHASED	VARIOUS	VARIOUS
66	CHARLES SCHWAB CAPITAL GAIN DIST	PURCHASED	1/01/2016	12/31/2016
67	CHARLES SCHWAB #9084 SEE ATTACHED C-7	PURCHASED	VARIOUS	VARIOUS
68	CHARLES SCHWAB #9084 SEE ATTACHED C-7	PURCHASED	VARIOUS	VARIOUS
69	CHARLES SCHWAB #9084 SEE ATTACHED C-7	PURCHASED	VARIOUS	VARIOUS
70	OPPENHEIMER #2638 SEE ATTACHED C-8	PURCHASED	VARIOUS	VARIOUS
71	OPPENHEIMER #2638 SEE ATTACHED C-8	PURCHASED	VARIOUS	VARIOUS
72	OPPENHEIMER #0216 SEE ATTACHED C-9	PURCHASED	VARIOUS	VARIOUS
73	OPPENHEIMER #0216 SEE ATTACHED C-9	PURCHASED	VARIOUS	VARIOUS
74	OPPENHEIMER #0106 SEE ATTACHED C-10	PURCHASED	VARIOUS	VARIOUS
75	MERRILL LYNCH #4008 SEE ATTACHED C-11	PURCHASED	VARIOUS	VARIOUS
76	MERRILL LYNCH #4008 SEE ATTACHED C-11	PURCHASED	VARIOUS	VARIOUS

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07:59AM

STATEMENT 15 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
77	MERRILL LYNCH #4008 SEE ATTACHED C-11	PURCHASED	2/18/2010	1/28/2016
78	OTHER CAPITAL GAINS	PURCHASED	VARIOUS	VARIOUS
79	WASH SALE			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	0.		6,512.	-6,512.				\$ -6,512.
2	0.		37,868.	-37,868.				-37,868.
3	0.		66.	-66.				-66.
4	0.		97.	-97.				-97.
5	14,662.		0.	14,662.				14,662.
6	135.		0.	135.				135.
7	20.		0.	20.				20.
8	0.		5,327.	-5,327.				-5,327.
9	0.		16,165.	-16,165.				-16,165.
10	7,365.		0.	7,365.				7,365.
11	0.		47,054.	-47,054.				-47,054.
12	0.		1.	-1.				-1.
13	0.		12,972.	-12,972.				-12,972.
14	0.		52.	-52.				-52.
15	932.		0.	932.				932.
16	134.		0.	134.				134.
17	5.		0.	5.				5.
18	15.		0.	15.				15.
19	0.		3.	-3.				-3.
20	0.		9.	-9.				-9.
21	0.		9.	-9.				-9.
22	0.		83.	-83.				-83.
23	0.		107.	-107.				-107.
24	0.		151.	-151.				-151.
25	0.		40.	-40.				-40.
26	0.		22.	-22.				-22.
27	0.		6.	-6.				-6.
28	0.		130.	-130.				-130.
29	491.		0.	491.				491.
30	0.		10.	-10.				-10.
31	0.		21.	-21.				-21.
32	0.		584.	-584.				-584.
33	21.		0.	21.				21.
34	41,769.		0.	41,769.				41,769.
35	3.		0.	3.				3.
36	0.		9.	-9.				-9.
37	212,595.		0.	212,595.				212,595.
38	0.		129.	-129.				-129.
39	2,303.		0.	2,303.				2,303.
40	639.		0.	639.				639.
41	1.		0.	1.				1.
42	1,993.		0.	1,993.				1,993.
43	1,905.		0.	1,905.				1,905.
44	1,564.		0.	1,564.				1,564.
45	10,601.		0.	10,601.				10,601.
46	17,000.		0.	17,000.				17,000.
47	7,152.		0.	7,152.				7,152.
48	15,724.		0.	15,724.				15,724.

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07:59AM

STATEMENT 15 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
49	11,729.		0.	11,729.				\$ 11,729.
50	11,459.		0.	11,459.				11,459.
51	11,804.		0.	11,804.				11,804.
52	8,063.		0.	8,063.				8,063.
53	32,113.		0.	32,113.				32,113.
54	88.		0.	88.				88.
55	50.		0.	50.				50.
56	129,640.		141,010.	-11,370.				-11,370.
57	263,432.		282,796.	-19,364.				-19,364.
58	77,349.		88,026.	-10,677.				-10,677.
59	315,278.		322,471.	-7,193.				-7,193.
60	18.		0.	18.				18.
61	61,326.		64,315.	-2,989.				-2,989.
62	314,446.		368,708.	-54,262.				-54,262.
63	3.		0.	3.				3.
64	122,471.		114,318.	8,153.				8,153.
65	78,170.		65,084.	13,086.				13,086.
66	60,951.		0.	60,951.				60,951.
67	561,224.		671,393.	-110,169.				-110,169.
68	878,204.		1048873.	-170,669.				-170,669.
69	323,400.		282,386.	41,014.				41,014.
70	359,253.		356,923.	2,330.				2,330.
71	965,297.		943,375.	21,922.				21,922.
72	40,696.		86,578.	-45,882.				-45,882.
73	187,948.		245,544.	-57,596.				-57,596.
74	48,519.		46,296.	2,223.				2,223.
75	137,134.		148,334.	-11,200.				-11,200.
76	245,758.		235,586.	10,172.				10,172.
77	4,786.		2,529.	2,257.				2,257.
78	23,839.		0.	23,839.				23,839.
79								229.
TOTAL								\$ -30,266.

STATEMENT 16
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

E-MAIL ADDRESS:

FORM AND CONTENT:

ELLIOT BORKSON

LEO GOODWIN FOUNDATION

800 CORPORATE DR SUITE 500

FORT LAUDERDALE, FL 33327

954-772-6863

1. LETTER STATING PURPOSE OF PROGRAM AND AMOUNT REQUESTED.

2. OBJECTIVES: SPECIFIC PROGRAM DESCRIPTION; TYPE OF PERSON REACHED (AGE, GEOGRAPHIC LOCATION, SOCIAL AND ECONOMIC STATUS), NUMBER OF PERSONS TO BE REACHED. PRIORITY GIVEN TO RESIDENT OF BROWARD COUNTY AND FLORIDA.

3. HOW WILL FUNDS BE USED.

4. LINE ITEM OPERATING BUDGET AND AUDITED STATEMENTS AND

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

07:59AM

STATEMENT 16 (CONTINUED)
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

TAX RETURN.

5. IRS DETERMINATION LETTER OF 501(C)(3) STATUS AND
VERIFICATION THAT IT HAS NOT BEEN REVOKED.

6. FUNDING SOURCES WITH DOLLAR AMOUNTS OR RECEIVED.

7. NAMES OF GOVERNING BOARD MEMBERS.

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

ACCEPTED ANYTIME PROVIDED SUFFICIENT TIME TO REVIEW.
NONE, OTHER THAN QUALIFICATION FOR CHARITABLE PURPOSES.

STATEMENT 17
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ACHIEVEMENT & REHABILITATION CENTERS 10250 NW 53RD STREET FORT LAUDERDALE FL 33351	NONE	PC	CHARITABLE	\$ 15,000.
AMERICAN DIABETES ASSOCIATION 6400 N ANDREWS AVE FORT LAUDERDALE FL 33309	NONE	PC	CHARITABLE	152,451.
ANN STORCK CENTER 1790 SW 43RD WAY FORT LAUDERDALE FL 33317	NONE	PC	CHARITABLE	5,000.
BONNET HOUSE 900 N BIRCH RD FORT LAUDERDALE FL 33304	NONE	PC	CHARITABLE	2,500.
BOYS AND GIRLS CLUBS OF BROWARD 877 NW 61ST ST #100 FORT LAUDERDALE FL 33309	NONE	PC	CHARITABLE	5,000.
BROWARD 2-1-1 250 NE 33RD ST OAKLAND PARK FL 33334	NONE	PC	CHARITABLE	5,000.
BROWARD EDUCATION FOUNDATION 600 SE 3RD AVE FORT LAUDERDALE FL 33301	NONE	PC	CHARITABLE	31,000.
BROWARD PERFORMING ARTS FOUNDATION 201 SW 5TH AVE FORT LAUDERDALE FL 33312	NONE	PC	CHARITABLE	33,000.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

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STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BROWARD PARTNERSHIP FOR THE HOMELESS 920 NW 7TH AVE FORT LAUDERDALE FL 33311	NONE	PC	CHARITABLE	\$ 45,000.
CHILDREN'S DIAGNOSTIC AND TREATMENT CNTR 1401 S FEDERAL HWY FORT LAUDERDALE FL 33316	NONE	PC	CHARITABLE	3,500.
CHILDREN'S HARBOR 19425 SW 58TH MANOR SOUTHWEST RANCHES FL 33332	NONE	PC	CHARITABLE	500.
FORT LAUDERDALE CHILDREN'S THEATRE 2542B E SUNRISE BLVD FORT LAUDERDALE FL 33304	NONE	PC	CHARITABLE	2,000.
HENDERSON MENTAL HEALTH CENTER 4720 FL-7, LAUDERDALE LAKES FL 33319	NONE	PC	CHARITABLE	20,000.
JASON TAYLOR FOUNDATION 1575 N PARK DR #99 FORT LAUDERDALE FL 33326	NONE	PC	CHARITABLE	2,500.
JUNIOR ACHIEVEMENT OF S FLORIDA 1130 COCONUT CREEK BLVD COCONUT CREEK FL 33066	NONE	PC	CHARITABLE	9,800.
KIDS IN DISTRESS, INC. 819 NE 26TH ST WILTON MANORS FL 33305	NONE	PC	CHARITABLE	25,000.
LIGHTHOUSE FOR THE BLIND 650 N ANDREWS AVE FORT LAUDERDALE FL 33311	NONE	PC	CHARITABLE	1,500.
MUSEUM OF DISCOVERY & SCIENCE 401 SW 2ND ST FORT LAUDERDALE FL 33312	NONE	PC	CHARITABLE	25,000.
PACE CENTER FOR GIRLS/BROWARD 2225 N ANDREWS AVE FORT LAUDERDALE FL 33311	NONE	PC	CHARITABLE	40,000.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

07:59AM

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNITED WAY OF BROWARD COUNTY 1300 S ANDREWS AVE FORT LAUDERDALE FL 33316	NONE	PC	CHARITABLE	\$ 25,000.
WOMEN IN DISTRESS OF BROWARD COUNTY 1372 SOUTH STATE ROAD 7 MARGATE FL 33063	NONE	PC	CHARITABLE	55,000.
YOUNG AT ART MUSEUM 751 SW 121ST AVE DAVIE FL 33325	NONE	PC	CHARITABLE	5,000.
HEART GALLERY OF BROWARD 401 NE 4TH STREET FORT LAUDERDALE FL 33301	NONE	PC	CHARITABLE	2,500.
HOLOCAUST DOCUMENTATION AND EDUC. CENTER 2031 HARRISON STREET HOLLYWOOD FL 33020	NONE	PC	CHARITABLE	12,500.
JACK & JILL CHILDREN'S CENTER 1315 W BROWARD BLVD FORT LAUDERDALE FL 33312	NONE	PC	CHARITABLE	5,000.
LUPUS FOUNDATION OF AMERICA 2300 HIGH RIDGE RD. BOYNTON BEACH FL 33426	NONE	PC	CHARITABLE	2,000.
NATIONAL MS SOCIETY 3201 W COMMERCIAL BLVD. SUITE 127 FORT LAUDERDALE FL 33309	NONE	PC	CHARITABLE	7,500.
VOICES OF BROWARD 401 E. LAS OLAS BLVD. SUITE 130-301 FORT LAUDERDALE FL 33301	NONE	PC	CHARITABLE	2,500.
ASSOCIATION OF FUNDRAISING 4300 WILSON BLVD ARLINGTON VA 22203	NONE	PC	CHARITABLE	2,750.
CORAL SPRINGS MUSEUM OF ART 2855 CORAL SPRINGS DR. CORAL SPRINGS FL 33065	NONE	PC	CHARITABLE	2,500.

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

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STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
FUNDING ARTS BROWARD 401 E LAS OLAS BLVD FORT LAUDERDALE FL 33301	NONE	PC	CHARIABLE	\$ 3,500.
HANDS ON BROWARD 5815 N. ANDREWS WAY FORT LAUDERDALE FL 33309	NONE	PC	CHARITABLE	5,000.
HILEL OF BROWARD & PALM BEACH 777 GLADES ROAD BOCA RATON FL 33431	NONE	PC	CHARITABLE	2,500.
MOTHERS AGAINST DRUNK DRIVING 2425 E COMMERICAL BLVD #403 FORT LAUDERDALE FL 33308	NONE	PC	CHARITABLE	1,500.
TOTAL \$				558,501.

STATEMENT 18
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
ADVANTAGE ADVISERS HIGH			1	\$ 30,669.	
AMERIGAS PARTNERS LP			1	-213.	
APERIOS EMERGING CONNECT			1	-12,828.	
ARMORY CREDIT			1	-28.	
BUCKEYE PARTNERS			1	-646.	
ENBRIDGE ENERGY PTNRS			1	-2,019.	
ENERGY TRANSFER EQUITY LP			1	-1,835.	
ENERGY TRANSFER PTNRS LP			1	-9,779.	
ENLINK MIDSTREAM PTNRS			1	-5,962.	
ENTERPRISE PROD PTNRS LP			1	-8,205.	
EQT MIDSTREAM PARTNERS			1	-2,150.	
GENESIS ENERGY LP			1	-4,605.	
MAGELLAN MID PTNRS LP			1	-1,637.	
MCDONALD US LP			1	-3,469.	
ML - OTHER INCOME			1	10.	
ML ASPECT FUTURE LLC			1	-26,816.	
ML WINTON LLC			1	-16,067.	
MPLX LP			1	-3,661.	
ODP AIV I LP			1	8,864.	
ODP AIV II LP			1	23.	
ODP AIV III LP			1	3,539.	
ONEOK PARTNERS LP			1	-3,396.	
OPPENHEIMER DRILL PTNRS			1	-14,650.	
OPPENHEIMER FD			1	-5,602.	

2016

FEDERAL STATEMENTS

PAGE 13

CLIENT GDWINFOU

LEO GOODWIN FOUNDATION, INC.

52-6054098

11/20/17

07:59AM

STATEMENT 18 (CONTINUED)
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
PHILLIPS 66 PARTNERS			1	\$ -1,116.	
PLAINS ALL AMER PIPE LP			1	-4,837.	
PTNRS GROUP PVT EQUITY			1	-16,717.	
SHELL MIDSTREAM PARTNERS			1	-2,506.	
SPECTRA ENERGY			1	-785.	
SUNOCO LOGISTICS			1	-1,007.	
TALLGRASS ENERGY PARTNERS			1	-2,045.	
WESTERN GAS PARTNERS LP			1	-2,590.	
WILLIAMS PTNRS LP			1	-4,329.	
XANTHUS PTNR			1	3,747.	
TOTAL		\$ 0.		\$ -112,648.	\$ 0.

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2016 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
AKZO NOBEL N V SPNSD ADR	CUSIP Number	010199305					
139,0000 Sale	06/03/15	01/28/16	2,920.34	3,593.18	0.00	0.00	(672.84)
1,0000 Sale	06/03/15	03/21/16	21.92	25.85	0.00	0.00	(3.93)
37,0000 Sale	06/03/15	06/01/16	845.75	956.46	0.00	0.00	(110.71)
Security Subtotal			3,788.01	4,575.49	0.00	0.00	(787.48)
ALIBABA GROUP HOLDING LT							
14,0000 Sale	CUSIP Number	01609W102					
1,0000 Sale	02/27/15	01/28/16	956.47	1,203.97	0.00	0.00	(247.50)
2,0000 Sale	03/13/15	01/28/16	68.32	81.82	0.00	0.00	(13.50)
7,0000 Sale	06/02/15	03/21/16	155.68	181.18	0.00	0.00	(25.50)
5,0000 Sale	06/02/15	06/01/16	558.39	634.14	0.00	0.00	(75.75)
9,0000 Sale	07/08/15	06/01/16	398.86	389.29	0.00	0.00	9.57
16,0000 Sale	07/08/15	06/27/16	666.43	700.73	0.00	0.00	(34.30)
9,0000 Sale	09/08/15	06/27/16	1,184.78	1,052.00	0.00	0.00	132.78
9,0000 Sale	05/05/16	06/27/16	666.44	710.79	0.00	0.00	(44.35)
Security Subtotal			4,655.37	4,953.92	0.00	0.00	(298.55)



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
8 of 34

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY** **2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ACCOR SA SHS ADR	CUSIP Number 00435F309						
254.0000 Sale	04/29/15	01/28/16	1,910.04	2,781.11	0.00	0.00	(871.07)
48.0000 Sale	04/29/15	03/30/16	404.58	525.56	0.00	0.00	(120.98)
90.0000 Sale	05/13/15	05/06/16	773.34	991.14	0.00	0.00	(217.80)
Security Subtotal			3,087.96	4,297.81	0.00	0.00	(1,209.85)
ADIDAS AG SPONSORED ADR	CUSIP Number 00687A107						
20.0000 Sale	06/15/16	06/27/16	1,326.29	1,300.07	0.00	0.00	26.22
ASSA ABLOY AB ADR	CUSIP Number 045387107						
320.0000 Sale	06/23/15	01/28/16	3,263.94	3,147.90	0.00	0.00	116.04
1.0000 Sale	06/23/15	03/21/16	9.62	9.84	0.00	0.00	(0.22)
84.0000 Sale	06/23/15	06/01/16	863.50	826.33	0.00	0.00	37.17
Security Subtotal			4,137.06	3,984.07	0.00	0.00	152.99
BANCO BILBAO VIZCAYA ARGENTARIA S A ADR	CUSIP Number 05946K101						
118.0000 Sale	01/16/15	01/12/16	801.81	1,020.11	0.00	0.00	(218.30)
159.0000 Sale	05/05/16	06/27/16	841.69	1,006.77	0.00	0.00	(165.08)
65.0000 Sale	04/18/16	06/27/16	344.08	438.95	0.00	0.00	(94.87)
Security Subtotal			1,987.58	2,465.83	0.00	0.00	(478.25)
BOC HONG KONG HLDGS ADR	CUSIP Number 096813209						
11.0000 Sale	04/19/16	06/01/16	669.10	687.13	0.00	0.00	(18.03)
31.0000 Sale	04/19/16	06/27/16	1,772.54	1,936.44	0.00	0.00	(163.90)
Security Subtotal			2,441.64	2,623.57	0.00	0.00	(181.93)
BARCLAYS PLC ADR	CUSIP Number 06738E204						
45.0000 Sale	05/25/16	06/01/16	470.79	493.17	0.00	0.00	(22.38)
126.0000 Sale	05/25/16	06/27/16	884.92	1,380.89	0.00	0.00	(495.97)
Security Subtotal			1,355.71	1,874.06	0.00	0.00	(518.35)
BASF SE SPONSORED ADR	CUSIP Number 055262505						
12.0000 Sale	03/14/16	06/01/16	932.91	874.86	0.00	0.00	58.05
13.0000 Sale	03/14/16	06/27/16	936.65	947.77	0.00	0.00	(11.12)
11.0000 Sale	03/22/16	06/27/16	792.55	826.05	0.00	0.00	(33.50)
6.0000 Sale	04/18/16	06/27/16	432.31	458.55	0.00	0.00	(26.24)
Security Subtotal			3,094.42	3,107.23	0.00	0.00	(12.81)

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BAYERISCHE MOTORENWERKE AG BMW SHS							
	CUSIP Number	072743206					
42.0000 Sale	09/08/15	06/27/16	1,015.96	1,323.37	0.00	0.00	(307.41)
8.0000 Sale	06/15/16	06/27/16	193.52	205.63	0.00	0.00	(12.11)
Security Subtotal			1,209.48	1,529.00	0.00	0.00	(319.52)
BURBERRY GROU PLC-SP ADR							
	CUSIP Number	12082W204					
172.0000 Sale	08/03/15	01/28/16	2,853.43	4,316.73	0.00	0.00	(1,463.30)
2.0000 Sale	08/03/15	03/21/16	38.73	50.19	0.00	0.00	(11.46)
25.0000 Sale	08/03/15	06/01/16	384.98	627.44	0.00	0.00	(242.46)
21.0000 Sale	09/08/15	06/01/16	323.40	446.50	0.00	0.00	(123.10)
51.0000 Sale	09/08/15	06/27/16	713.47	1,084.34	0.00	0.00	(370.87)
70.0000 Sale	01/12/16	06/27/16	979.28	1,115.44	0.00	0.00	(136.16)
Security Subtotal			5,293.29	7,640.64	0.00	0.00	(2,347.35)
BRENNTAG AG, MUEHLEIM/RUHR							
	CUSIP Number	107180101					
63.0000 Sale	03/30/16	06/01/16	657.08	711.77	0.00	0.00	(54.69)
5.0000 Sale	03/30/16	06/27/16	43.99	56.49	0.00	0.00	(12.50)
141.0000 Sale	03/31/16	06/27/16	1,240.78	1,605.21	0.00	0.00	(364.43)
Security Subtotal			1,941.85	2,373.47	0.00	0.00	(431.62)
BRIDGESTONE CORP ADR							
	CUSIP Number	108441205					
75.0000 Sale	06/29/15	06/27/16	1,172.98	1,397.51	0.00	0.00	(224.53)
17.0000 Sale	01/12/16	06/27/16	265.88	284.07	0.00	0.00	(18.19)
Security Subtotal			1,438.86	1,681.58	0.00	0.00	(242.72)
CANADIAN PACIFIC RAILWAY LTD							
	CUSIP Number	13645T100					
5.0000 Sale	03/22/16	06/01/16	652.17	663.41	0.00	0.00	(11.24)
8.0000 Sale	03/22/16	06/27/16	964.72	1,061.46	0.00	0.00	(96.74)
5.0000 Sale	03/30/16	06/27/16	602.95	670.50	0.00	0.00	(67.55)
Security Subtotal			2,219.84	2,395.37	0.00	0.00	(175.53)
DBS GROUP HLDGS SPN ADR							
	CUSIP Number	23304Y100					
1.0000 Sale	06/22/15	01/12/16	42.66	106.67	0.00	0.00	(64.01)



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
10 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
DIAGEO PLC SPSPD ADR NEW	CUSIP Number 25243QZ05						
9,000 Sale	07/08/15	06/27/16	931.49	1,037.41	0.00	0.00	(105.92)
3,000 Sale	05/05/16	06/27/16	310.50	326.72	0.00	0.00	(16.22)
Security Subtotal			1,241.99	1,364.13	0.00	0.00	(122.14)
DEUTSCHE BK AG REG SHS	CUSIP Number D18190898						
65,000 Sale	06/26/15	01/28/16	1,155.04	2,093.45	0.00	0.00	(938.41)
1,000 Sale	06/26/15	03/21/16	19.05	32.21	0.00	0.00	(13.16)
64,000 Sale	06/26/15	05/25/16	1,149.05	2,061.24	0.00	0.00	(912.19)
Security Subtotal			2,323.14	4,186.90	0.00	0.00	(1,863.76)
DANONE-SPONS ADR	CUSIP Number 23636T100						
218,000 Sale	01/12/16	01/28/16	2,914.39	2,871.41	0.00	0.00	42.98
54,000 Sale	01/12/16	06/01/16	758.72	711.27	0.00	0.00	47.45
149,000 Sale	01/12/16	06/27/16	1,991.20	1,962.56	0.00	0.00	28.64
Security Subtotal			5,664.31	5,545.24	0.00	0.00	119.07
FRESENIUS MEDICAL CARE AG & CO SPON ADR	CUSIP Number 358029106						
87,000 Sale	03/31/15	01/28/16	3,767.90	3,634.03	0.00	0.00	133.87
1,000 Sale	03/31/15	03/21/16	42.74	41.77	0.00	0.00	0.97
Security Subtotal			3,810.64	3,675.80	0.00	0.00	134.84
FANUC LTD-UNSP	CUSIP Number 307305102						
1,000 Sale	09/08/15	01/28/16	23.44	27.46	0.00	0.00	(4.02)
4,000 Sale	09/08/15	03/21/16	104.15	109.85	0.00	0.00	(5.70)
89,000 Sale	09/08/15	04/28/16	2,272.51	2,444.20	0.00	0.00	(171.69)
Security Subtotal			2,400.10	2,581.51	0.00	0.00	(181.41)
GLAXOSMITHKLINE PLC ADR	CUSIP Number 37733W105						
84,000 Sale	01/12/16	01/28/16	3,422.10	3,307.28	0.00	0.00	114.82
1,000 Sale	01/12/16	03/21/16	39.86	39.37	0.00	0.00	0.49
21,000 Sale	01/12/16	06/01/16	886.25	826.82	0.00	0.00	59.43
59,000 Sale	01/12/16	06/27/16	2,369.39	2,322.98	0.00	0.00	46.41
Security Subtotal			6,717.60	6,496.45	0.00	0.00	221.15
GOLDCORP INC	CUSIP Number 380956409						
72,000 Sale	06/15/16	06/27/16	1,308.93	1,291.39	0.00	0.00	17.54

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
GEA GROUP AG ADR 18.0000 Sale 50.0000 Sale	CUSIP Number 361592108 05/06/16 06/01/16 05/06/16 06/27/16		838.24 2,182.65 3,020.89	827.11 2,297.54 3,124.65	0.00 0.00 0.00	0.00 0.00 0.00	11.13 (114.89) (103.76)
Security Subtotal							
HSBC HLDG PLC SP ADR 3.0000 Sale	CUSIP Number 404280406 12/03/15 04/18/16		95.64	127.50	0.00	0.00	(31.86)
HDFC BANK LTD ADR 49.0000 Sale	CUSIP Number 40415F101 05/13/15 01/28/16		2,831.17	2,792.18	0.00	0.00	38.99
JD COM INC CL A ADR 102.0000 Sale 23.0000 Sale 43.0000 Sale 29.0000 Sale	CUSIP Number 47215P106 10/13/15 01/28/16 10/13/15 06/01/16 10/13/15 06/27/16 01/12/16 06/27/16		2,596.25 551.76 868.15 585.51 4,501.67	2,856.69 644.15 1,204.29 794.54 5,499.67	0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00	(260.44) (92.39) (336.14) (209.03) (898.00)
Security Subtotal							
KERRY GRO ADR 38.0000 Sale	CUSIP Number 492460100 03/31/15 01/28/16		3,000.04	2,582.77	0.00	0.00	417.27
KDDI CORP SHS 301.0000 Sale 10.0000 Sale	CUSIP Number 48667L106 02/17/15 01/28/16 06/03/15 06/01/16		3,686.28 143.41 3,829.69	3,328.64 112.51 3,441.15	0.00 0.00 0.00	0.00 0.00 0.00	357.64 30.90 388.54
Security Subtotal							
KBC GROUPE SA SHS 87.0000 Sale 1.0000 Sale 19.0000 Sale	CUSIP Number 48241F104 06/03/15 01/28/16 06/03/15 03/21/16 06/03/15 06/01/16		2,377.67 26.21 562.20 2,966.08	2,949.17 33.90 644.07 3,627.14	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	(571.50) (7.69) (81.87) (661.06)
Security Subtotal							
KASIKORN BANK PCL-UNSPON 133.0000 Sale 31.0000 Sale 88.0000 Sale	CUSIP Number 485785109 08/26/15 01/28/16 08/26/15 06/01/16 08/26/15 06/27/16		2,473.75 601.39 1,651.72 4,726.86	2,566.51 598.21 1,698.15 4,862.87	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	(92.76) 3.18 (46.43) (136.01)
Security Subtotal							



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
12 of 34

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY** **2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
KUBOTA CORP ADR 5.0000 Sale	CUSIP Number 501173207 03/02/16	06/27/16	315.45	342.91	0.00	0.00	(27.46)
LLOYDS BANKING GROUP PLC ADR 114.0000 Sale	CUSIP Number 539439109 05/25/16	06/27/16	315.79	500.56	0.00	0.00	(184.77)
NESTLE S A REP RG SH ADR 29.0000 Sale	CUSIP Number 641069406 07/08/15	06/27/16	2,114.64	2,117.11	0.00	0.00	(2.47)
NOKIA CORP SPON ADR 3.0000 Sale	CUSIP Number 654902204 03/13/15	02/26/16	18.29	22.98	0.00	0.00	(4.69)
ORIX CORPORATION SP ADR 23.0000 Sale 13.0000 Sale 3.0000 Sale 1.0000 Sale 4.0000 Sale 2.0000 Sale 3.0000 Sale	CUSIP Number 686330101 04/24/15 04/27/15 05/13/15 05/13/15 08/03/15 08/04/15 08/05/15	01/28/16 01/28/16 01/28/16 03/21/16 06/27/16 06/27/16 06/27/16	1,535.50 867.90 200.29 73.22 247.19 123.60 185.40	1,850.54 1,046.35 236.62 78.87 294.07 147.63 224.83	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00	(315.04) (178.45) (36.33) (5.65) (46.88) (24.03) (39.43)
Security Subtotal			3,233.10	3,878.91	0.00	0.00	(645.81)
OTSUKA HOLDINGS CO LTD SHS 1.0000 Sale 45.0000 Sale 122.0000 Sale	CUSIP Number 689164101 02/29/16 02/29/16 02/29/16	03/21/16 06/01/16 06/27/16	17.46 911.28 2,758.36	17.85 803.30 2,177.85	0.00 0.00 0.00	0.00 0.00 0.00	(0.39) 107.90 580.51
Security Subtotal			3,687.10	2,999.00	0.00	0.00	688.10
ROYAL DUTCH SHELL PLC SPONS ADR A 3.0000 Sale 20.0000 Sale 5.0000 Sale 18.0000 Sale 1.0000 Sale 61.0000 Sale	CUSIP Number 780259206 03/13/15 04/24/15 08/03/15 08/03/15 09/08/15 09/08/15	01/28/16 01/28/16 01/28/16 06/01/16 06/01/16 06/27/16	129.75 865.00 216.25 875.89 48.67 3,113.52	171.18 1,259.32 287.44 1,034.79 50.44 3,076.91	0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00	(41.43) (394.32) (71.19) (158.90) (1.77) 36.61
Security Subtotal			5,249.08	5,880.08	0.00	0.00	(631.00)



Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
13 of 34

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SAP SE SHS 6,0000 Sale	CUSIP Number 803054204 05/25/16	06/27/16	432.49	483.63	0.00	0.00	(51.14)
SWISSCOM AG ADR 53,0000 Sale 13,0000 Sale 37,0000 Sale	CUSIP Number 871013108 08/25/15 08/25/15 08/25/15	01/28/16 06/01/16 06/15/16	2,600.13 614.89 1,732.84	2,902.55 711.95 2,026.30	0.00 0.00 0.00	0.00 0.00 0.00	(302.41) (97.08) (293.46)
Security Subtotal			4,947.86	5,640.80	0.00	0.00	(692.94)
STATOIL ASA SHS 69,0000 Sale	CUSIP Number 85771P102 10/13/15	06/27/16	1,083.63	1,168.71	0.00	0.00	(85.08)
SCHNEIDER ELEC SE ADR 167,0000 Sale 1,0000 Sale 60,0000 Sale 94,0000 Sale 67,0000 Sale	CUSIP Number 80687P106 11/13/15 11/13/15 11/13/15 11/13/15 03/01/16	01/28/16 03/21/16 06/01/16 06/27/16 06/27/16	1,765.33 12.36 770.54 1,029.27 733.64	1,998.52 11.97 718.03 1,124.91 796.89	0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00	(233.19) 0.39 52.51 (95.64) (63.25)
Security Subtotal			4,311.14	4,650.32	0.00	0.00	(339.18)
SEVEN AND I HOLDINGS CO LTD SHS 30,0000 Sale 60,0000 Sale 44,0000 Sale 41,0000 Sale	CUSIP Number 81783H105 09/18/15 09/22/15 09/22/15 09/24/15	01/28/16 01/28/16 05/06/16 05/06/16	636.88 1,273.78 911.66 849.51	641.67 1,266.09 928.47 907.41	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	(4.79) 7.69 (16.81) (57.90)
Security Subtotal			3,671.83	3,743.64	0.00	0.00	(71.81)
SUNTORY BEVERAGE AND FOOD-UADR 128,0000 Sale 31,0000 Sale 48,0000 Sale 42,0000 Sale	CUSIP Number 86803T104 10/13/15 10/13/15 10/13/15 11/27/15	01/28/16 06/01/16 06/27/16 06/27/16	2,918.35 708.95 1,034.37 905.09	2,504.24 606.50 939.09 842.10	0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00	414.11 102.45 95.28 62.99
Security Subtotal			5,566.76	4,891.93	0.00	0.00	674.83
TEVA PHARMACTCL INDS ADR 30,0000 Sale 17,0000 Sale 14,0000 Sale	CUSIP Number 881624209 11/27/15 11/27/15 11/27/15	01/28/16 06/01/16 06/27/16	1,803.05 898.14 678.70	1,902.88 1,078.30 888.01	0.00 0.00 0.00	0.00 0.00 0.00	(99.83) (180.16) (209.31)

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
14 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
TEVA PHARMACTCL INDS ADR	CUSIP Number 881624209						
23.0000 Sale	02/26/16	06/27/16	1,115.01	1,331.71	0.00	0.00	(216.70)
7.0000 Sale	03/01/16	06/27/16	339.36	389.57	0.00	0.00	(50.21)
Security Subtotal			4,834.26	5,590.47	0.00	0.00	(756.21)
TAIWAN S MANUFACTURING ADR	CUSIP Number 874039100						
82.0000 Sale	01/12/16	06/27/16	2,039.61	1,737.68	0.00	0.00	301.93
TELEFONICA BRASIL SP ADR	CUSIP Number 87936R106						
22.0000 Sale	05/25/16	06/01/16	249.25	260.43	0.00	0.00	(11.18)
56.0000 Sale	05/25/16	06/27/16	674.23	662.93	0.00	0.00	11.30
28.0000 Sale	06/15/16	06/27/16	337.12	329.36	0.00	0.00	7.76
Security Subtotal			1,260.60	1,252.72	0.00	0.00	7.88
Covered Short Term Capital Gains and Losses Subtotal			129,640.40	141,009.58	0.00	0.00	(11,369.18)
NET SHORT TERM CAPITAL GAINS AND LOSSES			129,640.40	141,009.58	0.00	0.00	(11,369.18)

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds

COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part II, (D)

AKZO NOBEL N V SPNSD ADR	CUSIP Number 010199305						
23.0000 Sale	06/03/15	06/27/16	448.03	594.55	0.00	0.00	(146.52)
72.0000 Sale	06/22/15	06/27/16	1,402.53	1,880.65	0.00	0.00	(478.12)
Security Subtotal			1,850.56	2,475.20	0.00	0.00	(624.64)
ARM HLDGS PLC SPD ADR	CUSIP Number 042068106						
32.0000 Sale	10/13/14	01/12/16	1,359.32	1,257.35	0.00	0.00	101.97
26.0000 Sale	10/31/14	01/12/16	1,104.45	1,090.82	0.00	0.00	13.63
Security Subtotal			2,463.77	2,348.17	0.00	0.00	115.60
AIA GROUP LTD SPONSORED ADR	CUSIP Number 001317205						
179.0000 Sale	03/06/12	01/28/16	3,871.70	2,501.04	0.00	0.00	1,370.66
2.0000 Sale	03/06/12	03/21/16	44.29	27.94	0.00	0.00	16.35
17.0000 Sale	03/06/12	06/01/16	399.67	237.53	0.00	0.00	162.14
32.0000 Sale	09/06/12	06/01/16	752.32	439.04	0.00	0.00	313.28

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
15 of 34

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
AIA GROUP LTD							
SPONSORED ADR							
68,000 Sale	09/06/12	06/27/16	1,521.80	932.96	0.00	0.00	588.84
3,000 Sale	06/05/13	06/27/16	67.13	51.75	0.00	0.00	15.38
50,000 Sale	03/02/15	06/27/16	1,118.99	1,220.73	0.00	0.00	(101.7)
3,000 Sale	03/13/15	06/27/16	67.14	71.76	0.00	0.00	(4.62)
Security Subtotal			7,843.04	5,482.75	0.00	0.00	2,360.29
ALIBABA GROUP HOLDING LT							
25,000 Sale	09/30/14	01/28/16	1,707.96	2,225.27	0.00	0.00	(517.31)
ACCOR SA SHS ADR							
88,000 Sale	04/29/15	05/06/16	756.15	963.54	0.00	0.00	(207.39)
ASSA ABLOY AB ADR							
227,000 Sale	06/23/15	06/27/16	2,129.21	2,233.04	0.00	0.00	(103.83)
ASTELLAS PHARMA INC SHS							
178,000 Sale	07/10/12	01/28/16	2,426.09	1,613.82	0.00	0.00	812.27
46,000 Sale	07/31/12	01/28/16	626.97	438.38	0.00	0.00	188.59
49,000 Sale	07/31/12	02/29/16	705.44	466.97	0.00	0.00	238.47
65,000 Sale	09/07/12	02/29/16	935.79	662.10	0.00	0.00	273.69
90,000 Sale	06/13/13	02/29/16	1,295.72	914.97	0.00	0.00	380.75
Security Subtotal			5,990.01	4,096.24	0.00	0.00	1,893.77
BANCO BILBAO VIZCAYA ARGENTARIA S A ADR							
268,000 Sale	01/16/15	01/28/16	1,689.42	2,316.86	0.00	0.00	(627.44)
2,000 Sale	01/16/15	03/21/16	14.18	17.29	0.00	0.00	(3.11)
131,000 Sale	01/16/15	06/01/16	858.13	1,132.49	0.00	0.00	(274.36)
26,000 Sale	01/16/15	06/27/16	137.63	224.77	0.00	0.00	(87.14)
5,000 Sale	03/13/15	06/27/16	26.46	47.90	0.00	0.00	(21.44)
100,000 Sale	05/13/15	06/27/16	529.36	1,024.73	0.00	0.00	(495.37)
Security Subtotal			3,255.18	4,764.04	0.00	0.00	(1,508.86)
BHP BILLITON LTD ADR							
21,000 Sale	04/27/12	01/28/16	459.75	1,564.12	0.00	0.00	(1,104.37)
1,000 Sale	05/31/12	01/28/16	21.89	60.80	0.00	0.00	(38.91)
12,000 Sale	09/06/12	01/28/16	262.71	786.13	0.00	0.00	(523.42)
1,000 Sale	06/05/13	01/28/16	21.89	64.90	0.00	0.00	(43.01)



LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BHP BILLITON LTD ADR	CUSIP Number	088606108					
23.0000 Sale	08/08/13	01/28/16	503.53	1,508.17	0.00	0.00	(1,004.64)
46.0000 Sale	04/03/14	01/28/16	1,007.09	3,199.19	0.00	0.00	(2,192.10)
5.0000 Sale	04/03/14	06/01/16	132.24	347.74	0.00	0.00	(215.50)
14.0000 Sale	01/23/15	06/01/16	370.30	644.59	0.00	0.00	(274.29)
44.0000 Sale	01/23/15	06/27/16	1,151.90	2,025.86	0.00	0.00	(873.96)
6.0000 Sale	03/13/15	06/27/16	157.07	266.40	0.00	0.00	(109.33)
19.0000 Sale	06/19/15	06/27/16	497.42	833.89	0.00	0.00	(336.47)
Security Subtotal			4,585.79	11,301.79	0.00	0.00	(6,716.00)
BAYERISCHE MOTORENWERKE AG BMW SHS	CUSIP Number	072743206					
125.0000 Sale	04/24/14	01/28/16	3,533.68	5,260.00	0.00	0.00	(1,726.32)
1.0000 Sale	04/24/14	03/21/16	30.30	42.08	0.00	0.00	(11.78)
31.0000 Sale	04/24/14	06/01/16	852.17	1,304.48	0.00	0.00	(452.31)
17.0000 Sale	04/24/14	06/27/16	411.22	715.36	0.00	0.00	(304.14)
30.0000 Sale	01/16/15	06/27/16	725.68	1,066.21	0.00	0.00	(340.53)
Security Subtotal			5,553.05	8,388.13	0.00	0.00	(2,835.08)
BRAMBLES LTD SHS ADR	CUSIP Number	105105209					
199.0000 Sale	05/14/13	01/28/16	3,124.24	3,429.47	0.00	0.00	(305.23)
27.0000 Sale	05/14/13	06/01/16	495.43	465.30	0.00	0.00	30.13
2.0000 Sale	06/05/13	06/01/16	36.70	32.62	0.00	0.00	4.08
19.0000 Sale	07/08/13	06/01/16	348.65	291.40	0.00	0.00	57.25
34.0000 Sale	07/08/13	06/27/16	590.90	521.45	0.00	0.00	69.45
94.0000 Sale	07/23/13	06/27/16	1,633.69	1,446.88	0.00	0.00	186.81
13.0000 Sale	03/13/15	06/27/16	225.94	223.60	0.00	0.00	2.34
Security Subtotal			6,455.55	6,410.72	0.00	0.00	44.83
BRIDGESTONE CORP ADR	CUSIP Number	108441205					
53.0000 Sale	03/07/14	01/28/16	924.83	980.43	0.00	0.00	(55.60)
171.0000 Sale	05/15/14	01/28/16	2,983.90	2,964.22	0.00	0.00	19.68
57.0000 Sale	05/15/14	06/01/16	971.83	988.07	0.00	0.00	(16.24)
2.0000 Sale	05/15/14	06/27/16	31.27	34.67	0.00	0.00	(3.40)
59.0000 Sale	05/23/14	06/27/16	922.74	1,014.37	0.00	0.00	(91.63)
Security Subtotal			5,834.57	5,981.76	0.00	0.00	(147.19)

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS
Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CARNIVAL PLC ADR	CUSIP Number 14365C103						
48,000 Sale	10/17/14	01/28/16	2,360.60	1,697.35	0.00	0.00	663.25
1,000 Sale	10/17/14	03/21/16	50.83	35.36	0.00	0.00	15.47
10,000 Sale	10/17/14	03/30/16	533.10	353.61	0.00	0.00	179.49
10,000 Sale	10/17/14	06/01/16	492.45	353.62	0.00	0.00	138.83
25,000 Sale	06/26/15	06/27/16	1,094.54	1,316.67	0.00	0.00	(222.13)
2,000 Sale	10/17/14	06/27/16	87.56	70.72	0.00	0.00	16.84
Security Subtotal			4,619.08	3,827.33	0.00	0.00	791.75
CHECK POINT SOFTWARE TECH	CUSIP Number M22465104						
1,000 Sale	02/01/11	01/28/16	77.03	46.61	0.00	0.00	30.42
32,000 Sale	11/15/11	01/28/16	2,465.24	1,887.45	0.00	0.00	577.79
19,000 Sale	07/13/12	01/28/16	1,463.74	858.58	0.00	0.00	605.16
5,000 Sale	09/06/12	01/28/16	385.20	240.85	0.00	0.00	144.35
9,000 Sale	09/06/12	06/01/16	759.51	433.54	0.00	0.00	325.97
4,000 Sale	09/07/12	06/01/16	337.57	194.41	0.00	0.00	143.16
7,000 Sale	09/07/12	06/15/16	591.58	340.21	0.00	0.00	251.37
8,000 Sale	09/07/12	06/27/16	617.20	388.81	0.00	0.00	228.39
7,000 Sale	10/26/12	06/27/16	540.05	313.39	0.00	0.00	226.66
4,000 Sale	06/05/13	06/27/16	308.60	196.92	0.00	0.00	111.68
12,000 Sale	02/03/15	06/27/16	925.81	926.07	0.00	0.00	(0.26)
2,000 Sale	03/13/15	06/27/16	154.31	161.90	0.00	0.00	(7.59)
Security Subtotal			8,625.84	5,988.74	0.00	0.00	2,637.10
CAPITA PLC SHS	CUSIP Number 13970R109						
43,000 Sale	11/20/14	01/28/16	2,851.70	2,872.98	0.00	0.00	(21.28)
16,000 Sale	11/28/14	01/28/16	1,061.11	1,081.55	0.00	0.00	(20.44)
7,000 Sale	11/28/14	03/01/16	392.34	473.18	0.00	0.00	(80.84)
12,000 Sale	11/28/14	06/01/16	722.50	811.16	0.00	0.00	(88.66)
5,000 Sale	11/28/14	06/27/16	222.54	337.99	0.00	0.00	(115.45)
17,000 Sale	01/16/15	06/27/16	756.65	1,104.90	0.00	0.00	(348.25)
11,000 Sale	02/27/15	06/27/16	489.61	820.11	0.00	0.00	(330.50)
3,000 Sale	03/13/15	06/27/16	133.53	211.95	0.00	0.00	(78.42)
Security Subtotal			6,629.98	7,713.82	0.00	0.00	(1,083.84)
CONTINENTAL AG SPNRD ADR	CUSIP Number 210771200						
12,000 Sale	10/14/14	01/28/16	508.79	437.05	0.00	0.00	71.74
21,000 Sale	10/17/14	01/28/16	890.38	811.85	0.00	0.00	78.53
29,000 Sale	01/21/15	01/28/16	1,229.58	1,312.56	0.00	0.00	(82.98)
1,000 Sale	01/21/15	03/21/16	44.06	45.26	0.00	0.00	(1.20)



Taxpayer No.
XX-XXX4098

Account No.
54C-04007

Page
18 of 34

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CONTINENTAL AG SPNRD ADR	CUSIP Number 210771200						
16.0000 Sale	01/21/15	06/01/16	681.27	724.17	0.00	0.00	(42.90)
44.0000 Sale	01/21/15	06/27/16	1,617.84	1,991.47	0.00	0.00	(373.63)
Security Subtotal			4,971.92	5,322.36	0.00	0.00	(350.44)
DBS GROUP HLDGS SPN ADR	CUSIP Number 23304Y100						
8.0000 Sale	07/29/13	01/12/16	341.23	426.06	0.00	0.00	(84.83)
54.0000 Sale	10/10/13	01/12/16	2,303.36	2,862.50	0.00	0.00	(559.14)
23.0000 Sale	10/22/13	01/12/16	981.06	1,248.04	0.00	0.00	(266.98)
22.0000 Sale	01/15/14	01/12/16	938.41	1,201.05	0.00	0.00	(262.64)
2.0000 Sale	07/10/14	01/12/16	85.31	113.54	0.00	0.00	(28.23)
Security Subtotal			4,649.37	5,851.19	0.00	0.00	(1,201.82)
DIAGEO PLC SPSPD ADR NEW	CUSIP Number 25243Q205						
3.0000 Sale	03/31/11	01/28/16	318.75	229.22	0.00	0.00	89.53
9.0000 Sale	05/05/11	01/28/16	956.25	733.69	0.00	0.00	222.56
6.0000 Sale	07/05/11	01/28/16	637.50	503.82	0.00	0.00	133.68
13.0000 Sale	11/15/11	01/28/16	1,381.27	1,106.17	0.00	0.00	275.10
9.0000 Sale	11/15/11	06/01/16	975.39	765.81	0.00	0.00	209.58
4.0000 Sale	11/15/11	06/27/16	413.99	340.36	0.00	0.00	73.63
7.0000 Sale	01/15/14	06/27/16	724.48	911.81	0.00	0.00	(187.33)
1.0000 Sale	03/13/15	06/27/16	103.49	109.63	0.00	0.00	(6.14)
Security Subtotal			5,511.12	4,700.51	0.00	0.00	810.61
DAIKIN INDUSTRIES LTD	CUSIP Number 23381B106						
SHS							
37.0000 Sale	12/01/14	01/12/16	4,918.99	5,015.59	0.00	0.00	(96.60)
DNB ASA SP ADR	CUSIP Number 23328E106						
3.0000 Sale	06/05/13	06/27/16	334.43	478.80	0.00	0.00	(144.37)
ESSILOR INTL SA ADR	CUSIP Number 297284200						
16.0000 Sale	11/15/11	01/12/16	965.64	572.16	0.00	0.00	393.48
25.0000 Sale	02/23/12	01/12/16	1,508.82	985.43	0.00	0.00	523.39
1.0000 Sale	05/31/12	01/12/16	60.35	42.82	0.00	0.00	17.53
4.0000 Sale	07/25/14	01/12/16	241.42	199.62	0.00	0.00	41.80
31.0000 Sale	07/25/14	01/28/16	1,889.73	1,547.07	0.00	0.00	342.66
1.0000 Sale	07/25/14	03/21/16	60.55	49.91	0.00	0.00	10.64
5.0000 Sale	07/25/14	06/01/16	329.44	249.52	0.00	0.00	79.92

LEO GOODWIN FOUNDATION, INC.

Form 1099-B

2016 ANNUAL STATEMENT SUMMARY

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ESSILOR INTL SA ADR	CUSIP Number 297284200						
3.0000 Sale	09/02/14	06/01/16	197.67	160.43	0.00	0.00	37.24
21.0000 Sale	09/02/14	06/27/16	1,309.95	1,123.01	0.00	0.00	186.94
Security Subtotal			6,563.57	4,929.97	0.00	0.00	1,633.60
FRESENIUS MEDICAL CARE AG & CO SPON ADR	CUSIP Number 358029106						
22.0000 Sale	03/31/15	06/01/16	954.51	918.95	0.00	0.00	35.56
7.0000 Sale	03/31/15	06/27/16	284.12	292.39	0.00	0.00	(8.27)
26.0000 Sale	05/13/15	06/27/16	1,055.32	1,104.83	0.00	0.00	(49.51)
16.0000 Sale	06/19/15	06/27/16	649.42	680.66	0.00	0.00	(31.24)
12.0000 Sale	06/22/15	06/27/16	487.08	520.29	0.00	0.00	(33.21)
Security Subtotal			3,430.45	3,517.12	0.00	0.00	(86.67)
FANUC LTD-JNSP	CUSIP Number 307305102						
76.0000 Sale	11/15/11	01/28/16	1,780.80	2,114.32	0.00	0.00	(333.52)
4.0000 Sale	06/05/13	01/28/16	93.72	96.16	0.00	0.00	(2.44)
Security Subtotal			1,874.52	2,210.48	0.00	0.00	(335.96)
HSBC HLDG PLC SP ADR	CUSIP Number 404280406						
53.0000 Sale	02/17/12	01/28/16	1,823.70	2,430.58	0.00	0.00	(606.88)
1.0000 Sale	05/31/12	01/28/16	34.40	39.24	0.00	0.00	(4.84)
3.0000 Sale	06/05/12	01/28/16	103.22	117.72	0.00	0.00	(14.50)
35.0000 Sale	07/18/13	01/28/16	1,204.34	1,981.79	0.00	0.00	(777.45)
18.0000 Sale	07/22/13	01/28/16	619.37	1,017.41	0.00	0.00	(398.04)
19.0000 Sale	10/18/13	01/28/16	653.79	1,049.82	0.00	0.00	(396.03)
11.0000 Sale	10/18/13	03/01/16	353.18	607.79	0.00	0.00	(254.61)
9.0000 Sale	11/05/13	03/01/16	288.98	505.62	0.00	0.00	(216.64)
8.0000 Sale	11/05/13	03/30/16	252.68	449.44	0.00	0.00	(196.76)
21.0000 Sale	04/04/14	03/30/16	663.32	1,073.60	0.00	0.00	(410.28)
30.0000 Sale	04/04/14	04/18/16	956.32	1,533.71	0.00	0.00	(577.39)
5.0000 Sale	04/24/14	04/18/16	159.38	258.25	0.00	0.00	(98.87)
5.0000 Sale	03/13/15	04/18/16	159.38	207.65	0.00	0.00	(48.27)
26.0000 Sale	04/14/15	04/18/16	828.83	1,183.48	0.00	0.00	(354.65)
Security Subtotal			8,100.89	12,456.10	0.00	0.00	(4,355.21)



LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
HDFC BANK LTD ADR	CUSIP Number	40415F101					
13.0000 Sale	05/13/15	06/01/16	839.50	740.78	0.00	0.00	98.72
5.0000 Sale	05/13/15	06/15/16	323.05	284.92	0.00	0.00	38.13
6.0000 Sale	05/13/15	06/27/16	383.39	341.90	0.00	0.00	41.49
22.0000 Sale	06/26/15	06/27/16	1,405.77	1,353.82	0.00	0.00	51.95
Security Subtotal			2,951.71	2,721.42	0.00	0.00	230.29
HEIDELBERGCEMENT AG SHS	CUSIP Number	42281P205					
37.0000 Sale	04/04/13	01/28/16	534.64	534.99	0.00	0.00	(0.35)
1.0000 Sale	06/05/13	01/28/16	14.44	15.18	0.00	0.00	(0.74)
107.0000 Sale	07/05/13	01/28/16	1,546.13	1,368.23	0.00	0.00	177.90
19.0000 Sale	01/10/14	01/28/16	274.55	294.64	0.00	0.00	(20.09)
1.0000 Sale	01/10/14	03/21/16	16.36	15.51	0.00	0.00	0.85
30.0000 Sale	01/10/14	06/01/16	507.88	465.22	0.00	0.00	42.66
13.0000 Sale	05/22/14	06/01/16	220.09	215.75	0.00	0.00	4.34
24.0000 Sale	05/22/14	06/15/16	383.76	398.30	0.00	0.00	(14.54)
27.0000 Sale	05/22/14	06/27/16	392.84	448.08	0.00	0.00	(55.24)
60.0000 Sale	07/09/14	06/27/16	872.98	1,010.92	0.00	0.00	(137.94)
4.0000 Sale	03/13/15	06/27/16	58.20	59.88	0.00	0.00	(1.68)
Security Subtotal			4,821.87	4,826.70	0.00	0.00	(4.83)
INTESA SANPAOLO SPON ADR	CUSIP Number	46115H107					
167.0000 Sale	02/14/14	01/28/16	2,755.28	2,976.39	0.00	0.00	(221.11)
4.0000 Sale	02/14/14	03/21/16	68.21	71.29	0.00	0.00	(3.08)
24.0000 Sale	02/14/14	06/01/16	367.00	427.75	0.00	0.00	(60.75)
17.0000 Sale	07/03/14	06/01/16	259.96	327.07	0.00	0.00	(67.11)
55.0000 Sale	07/03/14	06/27/16	568.68	1,058.17	0.00	0.00	(489.49)
63.0000 Sale	11/10/14	06/27/16	651.41	1,051.25	0.00	0.00	(399.84)
Security Subtotal			4,670.54	5,911.92	0.00	0.00	(1,241.38)
JARDINE STRATEGIC UNSP AD	CUSIP Number	471122200					
180.0000 Sale	07/12/13	01/28/16	2,368.75	3,385.51	0.00	0.00	(1,016.76)
31.0000 Sale	07/19/13	01/28/16	407.96	549.03	0.00	0.00	(141.07)
40.0000 Sale	07/19/13	06/01/16	583.98	708.42	0.00	0.00	(124.44)
13.0000 Sale	08/12/13	06/01/16	189.80	223.22	0.00	0.00	(33.42)
63.0000 Sale	08/12/13	06/27/16	895.83	1,081.78	0.00	0.00	(185.95)
77.0000 Sale	11/22/13	06/27/16	1,094.92	1,242.93	0.00	0.00	(148.01)
8.0000 Sale	03/13/15	06/27/16	113.76	136.40	0.00	0.00	(22.64)
Security Subtotal			5,655.00	7,327.29	0.00	0.00	(1,672.29)

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
KERRY GRO ADR							
	CUSIP Number	492460100					
10,000 Sale	03/31/15	06/01/16	907.18	679.68	0.00	0.00	227.50
9,000 Sale	03/31/15	06/27/16	751.03	611.71	0.00	0.00	139.32
18,000 Sale	04/14/15	06/27/16	1,502.07	1,313.35	0.00	0.00	188.72
Security Subtotal			3,160.28	2,604.74	0.00	0.00	555.54
KDDI CORP SHS							
	CUSIP Number	48667L106					
62,000 Sale	02/17/15	06/01/16	889.11	685.63	0.00	0.00	203.48
201,000 Sale	06/03/15	06/27/16	2,953.03	2,261.49	0.00	0.00	691.54
Security Subtotal			3,842.14	2,947.12	0.00	0.00	895.02
KBC GROUPE SA SHS							
	CUSIP Number	48241F104					
55,000 Sale	06/03/15	06/27/16	1,215.47	1,864.42	0.00	0.00	(648.95)
KUBOTA CORP ADR							
	CUSIP Number	501173207					
27,000 Sale	09/22/14	01/28/16	1,877.27	2,059.80	0.00	0.00	(182.53)
20,000 Sale	09/24/14	01/28/16	1,390.58	1,533.05	0.00	0.00	(142.47)
1,000 Sale	09/24/14	03/21/16	67.73	76.65	0.00	0.00	(8.92)
13,000 Sale	09/24/14	06/01/16	936.37	996.48	0.00	0.00	(60.11)
18,000 Sale	09/24/14	06/27/16	1,135.59	1,379.74	0.00	0.00	(244.15)
14,000 Sale	10/01/14	06/27/16	883.24	1,087.94	0.00	0.00	(204.70)
Security Subtotal			6,290.78	7,133.66	0.00	0.00	(842.88)
LLOYDS BANKING GROUP PLC ADR							
	CUSIP Number	539439109					
634,000 Sale	09/22/14	01/28/16	2,365.09	3,191.49	0.00	0.00	(826.40)
80,000 Sale	09/23/14	01/28/16	298.44	397.72	0.00	0.00	(99.28)
65,000 Sale	09/23/14	06/01/16	272.41	323.15	0.00	0.00	(50.74)
138,000 Sale	09/30/14	06/01/16	578.36	696.73	0.00	0.00	(118.37)
70,000 Sale	09/30/14	06/27/16	193.89	353.42	0.00	0.00	(159.53)
171,000 Sale	01/16/15	06/27/16	473.66	764.71	0.00	0.00	(291.05)
204,000 Sale	02/27/15	06/27/16	565.07	997.93	0.00	0.00	(432.86)
13,000 Sale	03/13/15	06/27/16	36.00	61.05	0.00	0.00	(25.05)
Security Subtotal			4,782.92	6,786.20	0.00	0.00	(2,003.28)
NESTLE S A REP RG SH ADR							
	CUSIP Number	641069406					
13,000 Sale	09/19/11	01/28/16	936.89	717.91	0.00	0.00	218.98
41,000 Sale	10/06/11	01/28/16	2,954.81	2,239.30	0.00	0.00	715.51
31,000 Sale	11/15/11	01/28/16	2,234.14	1,763.90	0.00	0.00	470.24
10,000 Sale	11/15/11	04/18/16	748.52	569.00	0.00	0.00	179.52



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
22 of 34

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY**
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
NESTLE S A REP RG SH ADR							
	CUSIP Number	641069406					
11.0000 Sale	11/15/11	06/01/16	816.84	625.90	0.00	0.00	190.94
3.0000 Sale	05/31/12	06/01/16	222.77	169.95	0.00	0.00	52.82
5.0000 Sale	09/02/14	06/01/16	371.30	389.09	0.00	0.00	(17.79)
21.0000 Sale	09/02/14	06/27/16	1,531.28	1,634.17	0.00	0.00	(102.89)
Security Subtotal			9,816.55	8,109.22	0.00	0.00	1,707.33
NOKIA CORP SPON ADR							
	CUSIP Number	654902204					
303.0000 Sale	10/15/13	01/28/16	2,142.17	2,059.61	0.00	0.00	82.56
145.0000 Sale	10/22/13	01/28/16	1,025.13	1,045.97	0.00	0.00	(20.84)
11.0000 Sale	01/10/14	01/28/16	77.77	89.35	0.00	0.00	(11.58)
143.0000 Sale	01/10/14	02/26/16	871.45	1,161.62	0.00	0.00	(290.17)
290.0000 Sale	02/06/14	02/26/16	1,767.27	1,996.42	0.00	0.00	(229.15)
Security Subtotal			5,883.79	6,352.97	0.00	0.00	(469.18)
ORIX CORPORATION SP ADR							
	CUSIP Number	686330101					
10.0000 Sale	05/13/15	06/01/16	688.68	788.72	0.00	0.00	(100.04)
1.0000 Sale	05/13/15	06/27/16	61.79	78.87	0.00	0.00	(17.08)
18.0000 Sale	06/02/15	06/27/16	1,112.38	1,456.90	0.00	0.00	(344.52)
Security Subtotal			1,862.85	2,324.49	0.00	0.00	(461.64)
PRUDENTIAL PLC ADR							
	CUSIP Number	74435K204					
89.0000 Sale	04/24/14	01/28/16	3,392.63	4,029.92	0.00	0.00	(637.29)
21.0000 Sale	04/24/14	06/01/16	822.30	950.88	0.00	0.00	(128.58)
16.0000 Sale	04/24/14	06/27/16	470.07	724.48	0.00	0.00	(254.41)
44.0000 Sale	06/27/14	06/27/16	1,292.70	2,008.65	0.00	0.00	(715.95)
3.0000 Sale	03/13/15	06/27/16	88.14	148.65	0.00	0.00	(60.51)
Security Subtotal			6,065.84	7,862.58	0.00	0.00	(1,796.74)
ROYAL DUTCH SHELL PLC SPONS ADR A							
	CUSIP Number	780259206					
16.0000 Sale	04/24/14	01/12/16	646.17	1,211.77	0.00	0.00	(565.60)
23.0000 Sale	04/24/14	01/28/16	994.73	1,741.92	0.00	0.00	(747.19)
18.0000 Sale	07/18/14	01/28/16	778.48	1,478.08	0.00	0.00	(699.60)
13.0000 Sale	10/17/14	01/28/16	562.24	888.00	0.00	0.00	(325.76)
9.0000 Sale	12/22/14	01/28/16	389.24	617.76	0.00	0.00	(228.52)
Security Subtotal			3,370.86	5,937.53	0.00	0.00	(2,566.67)



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
23 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
RECKITT BENCKISER GROUP							
PLC SHS SPONSORE ADR							
121.0000 Sale	09/02/14	01/12/16	2,137.32	2,096.25	0.00	0.00	41.07
187.0000 Sale	09/02/14	01/28/16	3,279.92	3,239.66	0.00	0.00	40.26
38.0000 Sale	09/02/14	06/01/16	764.47	658.33	0.00	0.00	106.14
9.0000 Sale	09/05/14	06/01/16	181.07	153.85	0.00	0.00	27.22
64.0000 Sale	09/05/14	06/27/16	1,196.13	1,094.03	0.00	0.00	102.10
65.0000 Sale	11/10/14	06/27/16	1,214.83	1,061.11	0.00	0.00	153.72
Security Subtotal			8,773.74	8,303.23	0.00	0.00	470.51
ROCHE HLDG LTD SPN ADR							
160.0000 Sale	11/15/11	01/28/16	5,103.91	3,129.60	0.00	0.00	1,974.31
5.0000 Sale	11/15/11	03/21/16	152.24	97.80	0.00	0.00	54.44
3.0000 Sale	11/15/11	06/01/16	98.77	58.68	0.00	0.00	40.09
40.0000 Sale	12/01/11	06/01/16	1,316.96	807.95	0.00	0.00	509.01
1.0000 Sale	05/31/12	06/01/16	32.93	19.52	0.00	0.00	13.41
1.0000 Sale	05/31/12	06/27/16	30.82	19.52	0.00	0.00	11.30
2.0000 Sale	06/05/12	06/27/16	61.65	38.95	0.00	0.00	22.70
28.0000 Sale	10/14/14	06/27/16	863.22	969.80	0.00	0.00	(106.58)
83.0000 Sale	05/13/15	06/27/16	2,558.85	3,046.86	0.00	0.00	(488.01)
Security Subtotal			10,219.35	8,188.68	0.00	0.00	2,030.67
SAP SE SHS							
60.0000 Sale	04/24/14	01/28/16	4,672.26	4,740.42	0.00	0.00	(68.16)
1.0000 Sale	04/24/14	03/21/16	79.50	79.01	0.00	0.00	0.49
18.0000 Sale	04/24/14	06/01/16	1,456.89	1,422.13	0.00	0.00	34.76
9.0000 Sale	04/24/14	06/27/16	648.72	711.06	0.00	0.00	(62.34)
15.0000 Sale	06/19/14	06/27/16	1,081.22	1,181.25	0.00	0.00	(100.03)
16.0000 Sale	01/23/15	06/27/16	1,153.30	1,031.01	0.00	0.00	122.29
Security Subtotal			9,091.89	9,164.88	0.00	0.00	(72.99)
SEKISUI HSE LD SPONS ADR							
116.0000 Sale	08/09/13	01/28/16	1,727.20	1,508.53	0.00	0.00	218.67
30.0000 Sale	09/06/13	01/28/16	446.69	396.74	0.00	0.00	49.95
21.0000 Sale	10/23/13	01/28/16	312.69	294.03	0.00	0.00	18.66
46.0000 Sale	10/23/13	06/01/16	804.98	644.08	0.00	0.00	160.90
30.0000 Sale	10/23/13	06/27/16	504.58	420.05	0.00	0.00	84.53
94.0000 Sale	03/27/14	06/27/16	1,581.05	1,137.12	0.00	0.00	443.93
Security Subtotal			5,377.19	4,400.55	0.00	0.00	976.64



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
24 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS
Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
STATOIL ASA SHS							
173.0000 Sale	08/02/12	01/28/16	2,301.02	4,084.51	0.00	0.00	(1,783.49)
8.0000 Sale	06/05/13	01/28/16	106.41	182.10	0.00	0.00	(75.69)
7.0000 Sale	12/22/14	01/28/16	93.11	127.47	0.00	0.00	(34.36)
28.0000 Sale	12/22/14	06/01/16	443.00	509.88	0.00	0.00	(66.88)
4.0000 Sale	03/13/15	06/01/16	63.29	65.92	0.00	0.00	(2.63)
4.0000 Sale	04/24/15	06/01/16	63.29	79.67	0.00	0.00	(16.38)
51.0000 Sale	04/24/15	06/27/16	800.93	1,015.80	0.00	0.00	(214.87)
Security Subtotal			3,871.05	6,065.35	0.00	0.00	(2,194.30)
SANOFI ADR							
3.0000 Sale	11/26/12	01/28/16	122.75	133.92	0.00	0.00	(11.17)
20.0000 Sale	12/20/12	01/28/16	818.39	951.89	0.00	0.00	(133.50)
1.0000 Sale	04/26/13	01/28/16	40.91	53.58	0.00	0.00	(12.67)
48.0000 Sale	04/24/14	01/28/16	1,964.15	2,545.24	0.00	0.00	(581.09)
1.0000 Sale	04/24/14	03/21/16	39.82	53.03	0.00	0.00	(13.21)
36.0000 Sale	04/24/14	03/22/16	1,413.24	1,908.93	0.00	0.00	(495.69)
34.0000 Sale	08/01/14	03/22/16	1,334.73	1,811.68	0.00	0.00	(476.95)
1.0000 Sale	03/13/15	03/22/16	39.26	47.18	0.00	0.00	(7.92)
Security Subtotal			5,773.25	7,505.45	0.00	0.00	(1,732.20)
SAFRAN SA-UNSPON ADR							
79.0000 Sale	01/18/13	01/28/16	1,233.16	895.16	0.00	0.00	338.00
47.0000 Sale	01/24/13	01/28/16	733.66	532.39	0.00	0.00	201.27
31.0000 Sale	01/24/13	03/30/16	543.16	351.15	0.00	0.00	192.01
6.0000 Sale	01/24/13	05/06/16	99.03	67.97	0.00	0.00	31.06
4.0000 Sale	06/05/13	05/06/16	66.02	52.43	0.00	0.00	13.59
75.0000 Sale	07/11/14	05/06/16	1,237.88	1,192.53	0.00	0.00	45.35
8.0000 Sale	03/13/15	05/06/16	132.05	139.24	0.00	0.00	(7.19)
Security Subtotal			4,044.96	3,230.87	0.00	0.00	814.09
SUMITOMO MITSUI-UNSPONS ADR							
626.0000 Sale	04/24/14	01/28/16	4,244.26	4,974.64	0.00	0.00	(730.38)
2.0000 Sale	04/24/14	03/21/16	12.80	15.89	0.00	0.00	(3.09)
63.0000 Sale	04/24/14	06/01/16	399.43	500.65	0.00	0.00	(101.22)
94.0000 Sale	05/22/14	06/01/16	595.98	731.95	0.00	0.00	(135.97)
149.0000 Sale	05/22/14	06/27/16	827.48	1,160.22	0.00	0.00	(332.74)

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SUMITOMO MITSUI-UNSPONS							
ADR	CUSIP Number	86562M209					
273.0000 Sale	02/13/15	06/27/16	1,516.12	2,022.93	0.00	0.00	(506.81)
6.0000 Sale	03/13/15	06/27/16	33.33	47.63	0.00	0.00	(14.30)
Security Subtotal			7,629.40	9,453.91	0.00	0.00	(1,824.51)
TAIWAN S MANUFACTURING ADR							
200.0000 Sale	08/28/13	01/28/16	4,317.91	3,250.39	0.00	0.00	1,067.52
21.0000 Sale	11/21/13	01/28/16	453.39	363.66	0.00	0.00	89.73
27.0000 Sale	11/21/13	06/01/16	680.66	467.56	0.00	0.00	213.10
32.0000 Sale	10/02/14	06/01/16	806.71	641.98	0.00	0.00	164.73
17.0000 Sale	10/02/14	06/15/16	437.16	341.05	0.00	0.00	96.11
3.0000 Sale	10/02/14	06/27/16	74.61	60.19	0.00	0.00	14.42
48.0000 Sale	10/31/14	06/27/16	1,193.91	1,052.85	0.00	0.00	141.06
Security Subtotal			7,964.35	6,177.68	0.00	0.00	1,786.67
TORONTO DOMINION BANK							
17.0000 Sale	07/21/11	01/28/16	633.74	727.08	0.00	0.00	(93.34)
50.0000 Sale	11/15/11	01/28/16	1,863.97	1,777.75	0.00	0.00	86.22
18.0000 Sale	04/26/13	01/28/16	671.03	716.56	0.00	0.00	(45.53)
12.0000 Sale	04/26/13	06/01/16	522.98	477.71	0.00	0.00	45.27
2.0000 Sale	06/05/13	06/01/16	87.16	80.36	0.00	0.00	6.80
7.0000 Sale	02/03/15	06/01/16	305.09	290.10	0.00	0.00	14.99
35.0000 Sale	02/03/15	06/27/16	1,458.07	1,450.48	0.00	0.00	7.59
2.0000 Sale	03/13/15	06/27/16	83.31	83.42	0.00	0.00	(0.11)
22.0000 Sale	04/24/15	06/27/16	916.52	1,017.44	0.00	0.00	(100.92)
Security Subtotal			6,541.87	6,620.90	0.00	0.00	(79.03)
UCB UNSPOND ADR							
56.0000 Sale	03/06/14	01/28/16	2,443.23	2,295.52	0.00	0.00	147.71
13.0000 Sale	03/07/14	01/28/16	567.18	530.14	0.00	0.00	37.04
3.0000 Sale	03/26/14	01/28/16	130.89	120.23	0.00	0.00	10.66
18.0000 Sale	03/26/14	06/01/16	658.61	721.39	0.00	0.00	(62.78)
50.0000 Sale	06/05/14	06/27/16	1,710.96	1,968.82	0.00	0.00	(257.86)
Security Subtotal			5,510.87	5,636.10	0.00	0.00	(125.23)

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
26 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
VODAFONE GROUP PLC SHS							
ADR			CUSIP Number	92857W308			
26,000 Sale	03/15/12	01/28/16	810.66	1,254.80	0.00	0.00	(444.14)
30,000 Sale	11/28/12	01/28/16	935.38	1,386.00	0.00	0.00	(450.62)
38,000 Sale	06/13/13	01/28/16	1,184.82	1,962.57	0.00	0.00	(777.75)
16,000 Sale	02/06/14	01/28/16	498.88	1,047.00	0.00	0.00	(548.12)
19,000 Sale	03/26/14	01/28/16	592.42	700.98	0.00	0.00	(108.56)
1,000 Sale	03/26/14	03/21/16	31.33	36.90	0.00	0.00	(5.57)
3,000 Sale	03/26/14	06/01/16	100.82	110.68	0.00	0.00	(9.86)
28,000 Sale	04/04/14	06/01/16	941.06	1,007.78	0.00	0.00	(66.72)
4,000 Sale	06/17/14	06/01/16	134.44	132.44	0.00	0.00	2.00
29,000 Sale	06/17/14	06/27/16	820.97	960.15	0.00	0.00	(139.18)
32,000 Sale	07/03/14	06/27/16	905.90	1,080.17	0.00	0.00	(174.27)
32,000 Sale	09/30/14	06/27/16	905.90	1,064.29	0.00	0.00	(158.39)
Security Subtotal			7,862.58	10,743.76	0.00	0.00	(2,881.18)
WPP PLC NEW/ADR							
			CUSIP Number	92937A102			
7,000 Sale	03/15/12	01/12/16	748.69	460.71	0.00	0.00	287.98
1,000 Sale	05/31/12	01/12/16	106.95	59.51	0.00	0.00	47.44
6,000 Sale	10/18/12	01/12/16	641.75	409.79	0.00	0.00	231.96
10,000 Sale	10/18/12	01/28/16	1,054.78	682.97	0.00	0.00	371.81
8,000 Sale	11/05/12	01/28/16	843.83	520.39	0.00	0.00	323.44
4,000 Sale	11/05/12	06/01/16	460.31	260.19	0.00	0.00	200.12
1,000 Sale	11/05/12	06/27/16	98.23	65.05	0.00	0.00	33.18
11,000 Sale	11/20/14	06/27/16	1,080.65	1,123.14	0.00	0.00	(42.49)
Security Subtotal			5,035.19	3,581.75	0.00	0.00	1,453.44
DNB NOR ASA							
10 NOK PAR ORD CL A			CUSIP Number	R1640U124			
78,000 Sale	11/15/11	03/22/16	937.60	874.38	0.00	0.00	63.22
35,000 Sale	11/15/11	06/02/16	444.80	392.35	0.00	0.00	52.45
49,000 Sale	12/21/11	06/02/16	622.72	469.50	0.00	0.00	153.22
62,000 Sale	12/21/11	06/27/16	685.09	594.06	0.00	0.00	91.03
Security Subtotal			2,690.21	2,330.29	0.00	0.00	359.92
Covered Long Term Capital Gains and Losses Subtotal			263,431.50	282,796.32	0.00	0.00	(19,364.82)



Account No.
54C-04007

Taxpayer No.
XX-XXX4098

Page
27 of 34

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
NET LONG TERM CAPITAL GAINS AND LOSSES			263,431.50	282,796.32	0.00	0.00	(19,364.82)
SALES PROCEEDS AND NET GAINS AND LOSSES			393,071.90	423,805.90	0.00	0.00	(30,734.00)
COVERED SHORT TERM GAINS/LOSSES							(11,369.18)
COVERED LONG TERM GAINS/LOSSES							(19,364.82)



Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
7 of 34

LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2016 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
ANHEUSER-BUSCH INBEV ADR 1.0000 Sale	CUSIP Number 08/26/16	11/18/16	101.87	127.67	0.00	0.00	(25.80)
ACCENTURE PLC SHS 12.0000 Sale	CUSIP Number 11/10/16	11/18/16	1,418.82	1,427.08	0.00	0.00	(8.26)
ACTELION LTD-UNSP 27.0000 Sale	CUSIP Number 02/18/15	01/28/16	895.58	767.99	0.00	0.00	127.59
10.0000 Sale	12/16/15	08/15/16	416.22	341.30	0.00	0.00	74.92
18.0000 Sale	12/16/15	10/21/16	674.26	614.34	0.00	0.00	59.92
5.0000 Sale	01/26/16	10/21/16	187.30	164.67	0.00	0.00	22.63
32.0000 Sale	01/26/16	11/18/16	1,246.05	1,053.86	0.00	0.00	192.19
Security Subtotal			3,419.41	2,942.16	0.00	0.00	477.25
AON PLC 41.0000 Sale	CUSIP Number 05/22/15	01/28/16	3,524.01	4,218.12	0.00	0.00	(694.11)
1.0000 Sale	08/13/15	01/28/16	85.96	101.46	0.00	0.00	(15.50)
12.0000 Sale	08/13/15	06/01/16	1,306.53	1,217.50	0.00	0.00	89.03
Security Subtotal			4,916.50	5,537.08	0.00	0.00	(620.58)
BG GROUP PLC SPON ADR 159.0000 Sale	CUSIP Number 01/22/15	01/11/16	2,133.76	2,205.97	0.00	0.00	(72.21)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
8 of 34

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BHP BILLITON LTD ADR							
4.0000 Sale	CUSIP Number 088606108	10/08/15	105.80	145.87	0.00	0.00	(40.07)
4.0000 Sale	08/26/16	11/18/16	141.25	131.60	0.00	0.00	9.65
Security Subtotal			247.05	277.47	0.00	0.00	(30.42)
BANCA MEDIOLANUM SPA-ADR							
10.0000 Sale	CUSIP Number 05970D106	04/28/16	165.69	162.95	0.00	0.00	2.74
6.0000 Sale	04/29/16	06/01/16	99.42	99.63	0.00	0.21 (w)	0.00
23.0000 Sale	04/29/16	06/01/16	381.11	381.90	0.00	0.79 (w)	0.00
12.0000 Sale	04/29/16	11/18/16	148.91	199.25	0.00	0.00	(50.34)
6.0000 Sale	04/29/16	11/18/16	74.45	100.15	0.00	0.00 (y)	(25.70)
23.0000 Sale	04/29/16	11/18/16	285.43	367.23	0.00	0.00 (y)	(81.80)
36.0000 Sale	05/19/16	11/18/16	446.76	573.56	0.00	0.00	(126.80)
Security Subtotal			1,601.77	1,884.67	0.00	1.00	(281.90)
CANADIAN NATL RAILWAY CO							
20.0000 Sale	CUSIP Number 136375102	07/25/16	1,287.39	1,266.89	0.00	0.00	20.50
4.0000 Sale	08/08/16	11/18/16	257.48	248.89	0.00	0.00	8.59
5.0000 Sale	09/22/16	11/18/16	321.86	324.84	0.00	0.00	(2.98)
Security Subtotal			1,866.73	1,840.62	0.00	0.00	26.11
CREDIT SUISSE GP SP ADR							
20.0000 Sale	CUSIP Number 225401108	10/26/15	346.84	491.77	0.00	0.00	(144.93)
8.0000 Sale	10/26/15	01/28/16	138.74	212.24	0.00	0.00 (y)	(73.50)
59.0000 Sale	10/27/15	01/28/16	1,023.21	1,446.34	0.00	0.00	(423.13)
24.0000 Sale	10/27/15	06/01/16	324.23	588.34	0.00	0.00	(264.11)
53.0000 Sale	10/27/15	06/24/16	607.95	1,299.25	0.00	0.00	(691.30)
Security Subtotal			2,440.97	4,037.94	0.00	0.00	(1,596.97)
CAP GEMINI SP ADR							
13.0000 Sale	CUSIP Number 139098107	02/16/16	616.58	523.53	0.00	0.00	93.05
Cash/Lieu	06/17/16	11/08/16	8.14	8.05	0.00	0.00	0.09
72.0000 Sale	02/16/16	11/18/16	1,163.49	1,159.81	0.00	0.00	3.68
20.0000 Sale	06/17/16	11/18/16	323.20	372.70	0.00	0.00	(49.50)
Security Subtotal			2,111.41	2,064.09	0.00	0.00	47.32



LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CHECK POINT SOFTWARE TECH							
4.0000 Sale	10/02/15	01/28/16	308.15	320.06	0.00	11.91 (w)	0.00
35.0000 Sale	10/02/15	01/28/16	2,696.35	2,800.49	0.00	0.00	(104.14)
5.0000 Sale	12/15/15	01/28/16	385.20	435.40	0.00	0.00	(50.20)
4.0000 Sale	10/02/15	06/01/16	337.56	313.83	0.00	0.00 (v)	23.73
8.0000 Sale	12/15/15	06/01/16	675.13	696.64	0.00	0.00	(21.51)
10.0000 Sale	12/15/15	08/01/16	762.21	870.80	0.00	0.00	(108.59)
14.0000 Sale	12/15/15	09/23/16	1,060.25	1,219.12	0.00	0.00	(158.87)
2.0000 Sale	12/15/15	09/26/16	150.22	174.16	0.00	0.00	(23.94)
Security Subtotal			6,375.07	6,830.50	0.00	11.91	(443.52)
CARLSBERG AS SPONSOREDAD							
21.0000 Sale	03/03/15	01/28/16	343.56	359.98	0.00	0.00	(16.42)
29.0000 Sale	09/21/15	06/01/16	562.90	449.22	0.00	0.00	113.68
Security Subtotal			906.46	809.20	0.00	0.00	97.26
COMPASS GROUP PLC SHS ADR							
238.0000 Sale	06/15/15	01/28/16	4,048.31	4,141.13	0.00	0.00	(92.82)
44.0000 Sale	06/15/15	06/01/16	828.05	765.59	0.00	0.00	62.46
17.0000 Sale	07/09/15	06/01/16	319.94	286.07	0.00	0.00	33.87
Security Subtotal			5,196.30	5,192.79	0.00	0.00	3.51
CONTINENTAL AG SPNRD ADR							
11.0000 Sale	10/20/16	11/18/16	395.23	423.54	0.00	0.00	(28.31)
ENI S P A SPONSORED ADR							
48.0000 Sale	06/10/16	11/15/16	1,272.64	1,501.58	0.00	0.00	(228.94)
3.0000 Sale	06/16/16	11/15/16	79.54	90.82	0.00	0.00	(11.28)
9.0000 Sale	06/17/16	11/15/16	238.62	277.50	0.00	0.00	(38.88)
2.0000 Sale	07/01/16	11/15/16	53.02	66.43	0.00	0.00	(13.41)
12.0000 Sale	07/05/16	11/15/16	318.17	385.53	0.00	0.00	(67.36)
Security Subtotal			1,961.99	2,321.86	0.00	0.00	(359.87)
ENCANA CORP							
246.0000 Sale	05/08/15	01/28/16	1,018.44	3,400.33	0.00	0.00 (v)	(2,381.89)
11.0000 Sale	05/08/15	01/28/16	45.54	150.35	0.00	0.00	(104.81)
13.0000 Sale	06/11/15	01/28/16	53.83	158.62	0.00	0.00	(104.79)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
10 of 34

2016 ANNUAL STATEMENT SUMMARY

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ENCANA CORP 173 0000 Sale 27 0000 Sale	CUSIP Number 292505104 06/11/15 02/11/16 11/09/15 02/11/16		583.65 91.10 1,792.56	2,110.88 215.91 6,036.09	0.00 0.00 0.00	0.00 0.00 0.00	(1,527.23) (124.81) (4,243.53)
Security Subtotal							
FANUC LTD-UNSP 26 0000 Sale 94 0000 Sale	CUSIP Number 307305102 03/07/16 06/01/16 03/07/16 11/18/16		653.68 1,669.03 2,322.71	677.83 1,470.36 2,148.19	0.00 0.00 0.00	0.00 0.00 0.00	(24.15) 198.67 174.52
Security Subtotal							
GEA GROUP AG ADR 21 0000 Sale 15 0000 Sale 10 0000 Sale 1 0000 Sale 5 0000 Sale 9 0000 Sale	CUSIP Number 361592108 10/13/15 01/28/16 10/13/15 01/28/16 10/13/15 06/01/16 10/13/15 08/09/16 10/13/15 08/09/16 06/17/16 11/18/16		867.28 619.49 465.69 53.73 268.65 320.96 2,595.80	874.77 624.84 416.55 41.66 208.62 421.57 2,588.01	0.00 0.00 0.00 0.00 0.00 0.00 0.00	7.49 (w) 0.00 0.00 0.00 0.00 (v) 0.00 7.49	0.00 (5.35) 49.14 12.07 60.03 (100.61) 15.28
Security Subtotal							
JAPAN TOBACCO INC ADR 78 0000 Sale	CUSIP Number 471105205 12/17/15 11/18/16		1,298.67	1,482.56	0.00	0.00	(183.89)
KDDI CORP SHS 35 0000 Sale	CUSIP Number 48667L106 09/27/16 11/18/16		437.36	552.36	0.00	0.00	(115.00)
KBC GROUPE SA SHS 78 0000 Sale	CUSIP Number 48241F104 02/18/15 01/28/16		2,131.70	2,344.51	0.00	0.00	(212.81)
LLOYDS BANKING GROUP PLC ADR 38 0000 Sale 136 0000 Sale	CUSIP Number 539439109 11/03/15 07/05/16 11/04/15 07/05/16		109.24 391.01 500.25	177.85 630.51 808.36	0.00 0.00 0.00	0.00 0.00 0.00	(68.61) (239.50) (308.11)
Security Subtotal							
MEDIOLANUM SPA SHS 29 0000 Exchange	CUSIP Number 58502L104 12/16/15 01/22/16		395.97	469.12	0.00	0.00	(73.15)
MAKITA CORP SPOND ADR 3 0000 Sale	CUSIP Number 560877300 08/26/16 11/18/16		206.01	223.34	0.00	0.00	(17.33)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
11 of 34

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
PRUDENTIAL PLC ADR	CUSIP Number 74435K204	08/12/16 11/18/16	74.96	73.66	0.00	0.00	1.30
2.0000 Sale	08/12/16 11/18/16	262.39	260.41	0.00	0.00	0.00	1.98
7.0000 Sale							
Security Subtotal			337.35	334.07	0.00	0.00	3.28
ROYAL DUTCH SHELL PLC SPONS ADR A	CUSIP Number 780259206	03/08/16 11/18/16	789.62	758.76	0.00	0.00	30.86
16.0000 Sale							
RYOHIN KEIKAKU CO LTD ADR	CUSIP Number 78392U105	07/06/16 11/18/16	470.75	534.52	0.00	0.00	(63.77)
12.0000 Sale							
RELX PLC	CUSIP Number 759530108	09/28/15 01/28/16	3,483.25	3,413.95	0.00	0.00	69.30
201.0000 Sale	09/28/15 06/01/16	554.82	509.54	0.00	0.00	0.00	45.28
30.0000 Sale	12/15/15 06/01/16	480.85	461.40	0.00	0.00	0.00	19.45
26.0000 Sale	12/15/15 11/18/16	932.98	976.04	0.00	0.00	0.00	(43.06)
55.0000 Sale	12/16/15 11/18/16	1,102.62	1,161.72	0.00	0.00	0.00	(59.10)
65.0000 Sale							
Security Subtotal			6,554.52	6,522.65	0.00	0.00	31.87
RYANAIR HOLDINGS PLC SHS ADR	CUSIP Number 783513203	06/06/16 11/18/16	500.95	520.32	0.00	0.00	(19.37)
6.0000 Sale							
SAP SE SHS	CUSIP Number 803054204	07/21/16 11/18/16	1,247.70	1,268.21	0.00	0.00	(20.51)
15.0000 Sale	09/22/16 11/18/16	748.62	832.12	0.00	0.00	0.00	(83.50)
9.0000 Sale							
Security Subtotal			1,996.32	2,100.33	0.00	0.00	(104.01)
SHIRE PLC-ADR	CUSIP Number 82481R106	11/10/16 11/18/16	354.56	376.73	0.00	0.00	(22.17)
2.0000 Sale							
SAMPO PLC SHS	CUSIP Number 79588J102	09/02/16 11/18/16	420.85	417.98	0.00	0.00	2.87
19.0000 Sale							
STATOIL ASA SHS	CUSIP Number 85771P102	11/07/16 11/18/16	1,419.16	1,455.29	0.00	0.00	(36.13)
87.0000 Sale							



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
12 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
SIGNET JEWELERS LTD SHS	CUSIP Number	G81276100					
4 0000 Sale	02/12/16	11/18/16	355.75	389.52	0.00	0.00	(33.77)
SUNCOR ENERGY INC NEW	CUSIP Number	867224107					
32.0000 Sale	11/15/16	11/18/16	992.37	982.09	0.00	0.00	10.28
25.0000 Sale	11/16/16	11/18/16	775.30	755.36	0.00	0.00	19.94
Security Subtotal			1,767.67	1,737.45	0.00	0.00	30.22
SONY CORP ADR NEW	CUSIP Number	835699307					
48.0000 Sale	02/04/15	01/28/16	966.93	1,253.96	0.00	0.00	(287.03)
51.0000 Sale	02/12/15	01/28/16	1,027.38	1,379.14	0.00	0.00	(351.76)
11.0000 Sale	07/13/15	06/01/16	305.61	315.64	0.00	0.00	(10.03)
33.0000 Sale	12/16/15	11/18/16	963.00	822.01	0.00	0.00	140.99
Security Subtotal			3,262.92	3,770.75	0.00	0.00	(507.83)
WORLDPAY GROUP PLC	CUSIP Number	981560105					
37.0000 Sale	04/08/16	06/01/16	443.99	440.25	0.00	0.00	3.74
46.0000 Sale	04/08/16	11/18/16	463.67	547.33	0.00	0.00	(83.66)
26.0000 Sale	04/11/16	11/18/16	262.07	313.16	0.00	0.00	(51.09)
31.0000 Sale	06/20/16	11/18/16	312.47	395.09	0.00	0.00	(82.62)
22.0000 Sale	09/20/16	11/18/16	221.75	263.02	0.00	0.00	(41.27)
30.0000 Sale	10/07/16	11/18/16	302.41	336.38	0.00	0.00	(33.97)
Security Subtotal			2,006.36	2,295.23	0.00	0.00	(288.87)
TEVA PHARMACTCL INDS ADR	CUSIP Number	881624209					
9.0000 Sale	02/12/16	11/18/16	347.70	490.29	0.00	0.00	(142.59)
TELENOR ASA ADR	CUSIP Number	87944W105					
47.0000 Sale	04/30/15	01/28/16	2,293.56	3,212.24	0.00	0.00	(918.68)
8.0000 Sale	06/09/15	06/01/16	133.12	182.80	0.00	0.00	(49.68)
15.0000 Sale	10/21/16	11/18/16	217.79	251.75	0.00	0.00	(33.96)
7.0000 Sale	10/24/16	11/18/16	101.65	117.83	0.00	0.00	(16.18)
Security Subtotal			2,746.12	3,764.62	0.00	0.00	(1,018.50)
VINCI SA ADR	CUSIP Number	927320101					
181.0000 Sale	02/17/15	01/28/16	3,046.17	2,706.79	0.00	0.00	339.38
14.0000 Sale	07/06/16	11/18/16	225.68	241.03	0.00	0.00	(15.35)
Security Subtotal			3,271.85	2,947.82	0.00	0.00	324.03



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
13 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
VIVENDI SHS UNSP ADR	CUSIP Number 92852T201						
111.0000 Sale	07/14/15	01/28/16	2,425.31	2,978.48	0.00	0.00	(553.17)
38.0000 Sale	07/14/15	02/08/16	718.49	1,019.65	0.00	0.00	(301.16)
5.0000 Sale	07/14/15	06/01/16	98.39	134.17	0.00	35.78 (w)	0.00
26.0000 Sale	07/31/15	06/01/16	511.68	684.79	0.00	173.11 (w)	0.00
4.0000 Sale	05/18/16	11/18/16	77.76	77.27	0.00	0.00	0.49
Security Subtotal			3,831.63	4,894.36	0.00	208.89	(853.84)
Covered Short Term Capital Gains and Losses Subtotal			77,208.45	87,885.87	0.00	229.29	(10,448.13)

NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part I, (B)

INFORMA PLC SHS ADR	CUSIP Number 45672B305						
110.0000 Prin Payment	11/07/16	11/07/16	140.32	140.32	0.00	0.00	0.00
Noncovered Short Term Capital Gains and Losses Subtotal			140.32	140.32	0.00	0.00	0.00
NET SHORT TERM CAPITAL GAINS AND LOSSES			77,348.77	88,026.19	0.00	229.29	(10,448.13)

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds

COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part II, (D)

ASSOC BRIT FOODS ADR NEW	CUSIP Number 045519402						
55.0000 Sale	04/24/14	01/28/16	2,401.81	2,675.20	0.00	0.00	(273.39)
15.0000 Sale	04/24/14	06/01/16	631.49	729.60	0.00	0.00	(98.11)
33.0000 Sale	04/24/14	11/18/16	1,054.00	1,605.12	0.00	0.00	(551.12)
Security Subtotal			4,087.30	5,009.92	0.00	0.00	(922.62)
ANHEUSER-BUSCH INBEV ADR	CUSIP Number 03524A108						
74.0000 Sale	04/24/14	01/28/16	9,075.95	8,085.23	0.00	0.00	990.72
22.0000 Sale	04/24/14	06/01/16	2,812.92	2,403.72	0.00	0.00	409.20
7.0000 Sale	04/24/14	06/16/16	878.67	764.82	0.00	0.00	113.85
21.0000 Sale	04/24/14	11/18/16	2,139.08	2,294.46	0.00	0.00	(155.38)
17.0000 Sale	09/15/14	11/18/16	1,731.64	1,942.56	0.00	0.00	(210.92)
Security Subtotal			16,638.26	15,490.79	0.00	0.00	1,147.47



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
14 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
ACTELION LTD-UNSP							
95.0000 Sale	12/23/14	01/28/16	3,151.09	2,831.88	0.00	0.00	319.21
38.0000 Sale	02/18/15	06/01/16	1,559.87	1,066.24	0.00	0.00	493.63
9.0000 Sale	02/18/15	08/15/16	374.59	252.53	0.00	0.00	122.06
Security Subtotal			5,085.55	4,150.65	0.00	0.00	934.90
ASHTHEAD GROUP PLC SHS ADR							
38.0000 Sale	07/09/14	01/28/16	1,935.30	2,392.11	0.00	0.00	(456.81)
10.0000 Sale	07/09/14	01/29/16	509.49	629.50	0.00	0.00	(120.01)
4.0000 Sale	07/22/14	01/29/16	203.80	255.71	0.00	0.00	(51.91)
9.0000 Sale	07/22/14	06/01/16	496.79	575.34	0.00	0.00	(78.55)
17.0000 Sale	07/22/14	11/18/16	1,199.32	1,086.74	0.00	0.00	112.58
Security Subtotal			4,344.70	4,939.40	0.00	0.00	(594.70)
AON PLC							
12.0000 Sale	09/18/15	11/18/16	1,341.30	1,096.56	0.00	0.00	244.74
12.0000 Sale	09/30/15	11/18/16	1,341.30	1,066.48	0.00	0.00	274.82
Security Subtotal			2,682.60	2,163.04	0.00	0.00	519.56
ASSA ABLOY AB ADR							
541.0000 Sale	04/24/14	01/28/16	5,518.10	4,603.91	0.00	0.00	914.19
156.0000 Sale	04/24/14	06/01/16	1,603.65	1,327.56	0.00	0.00	276.09
330.0000 Sale	04/24/14	11/18/16	3,022.73	2,808.30	0.00	0.00	214.43
Security Subtotal			10,144.48	8,739.77	0.00	0.00	1,404.71
BAYER AG SP ADR							
34.0000 Sale	02/17/12	01/28/16	3,795.69	2,518.72	0.00	0.00	1,276.97
6.0000 Sale	06/05/12	01/28/16	669.82	359.19	0.00	0.00	310.63
5.0000 Sale	04/24/14	01/28/16	558.20	669.85	0.00	0.00	(111.65)
8.0000 Sale	04/24/14	04/28/16	925.74	1,071.76	0.00	0.00	(146.02)
14.0000 Sale	04/24/14	05/19/16	1,383.86	1,875.58	0.00	0.00	(491.72)
18.0000 Sale	04/24/14	05/25/16	1,744.10	2,411.46	0.00	0.00	(667.36)
Security Subtotal			9,077.41	8,906.56	0.00	0.00	170.85



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
15 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BRITISH AMN TOBACO SPADR							
58.0000 Sale	04/24/14	01/28/16	6,281.41	6,677.57	0.00	0.00	(396.16)
15.0000 Sale	04/24/14	06/01/16	1,805.98	1,726.96	0.00	0.00	79.02
26.0000 Sale	04/24/14	11/18/16	2,785.06	2,993.39	0.00	0.00	(208.33)
9.0000 Sale	07/31/15	11/18/16	964.06	1,072.12	0.00	0.00	(108.06)
Security Subtotal			11,836.51	12,470.04	0.00	0.00	(633.53)
BG GROUP PLC SPON ADR							
26.0000 Sale	05/06/13	01/11/16	348.91	464.03	0.00	0.00	(115.12)
3.0000 Sale	06/05/13	01/11/16	40.25	54.62	0.00	0.00	(14.37)
107.0000 Sale	11/04/13	01/11/16	1,435.91	2,199.37	0.00	0.00	(763.46)
Security Subtotal			1,825.07	2,718.02	0.00	0.00	(892.95)
BNP PARIBAS SPONSORD ADR							
8.0000 Sale	02/17/12	01/20/16	185.45	194.40	0.00	0.00	(8.95)
106.0000 Sale	02/22/12	01/20/16	2,457.32	2,552.63	0.00	0.00	(95.31)
11.0000 Sale	06/05/12	01/20/16	255.00	189.07	0.00	0.00	65.93
31.0000 Sale	09/07/12	01/20/16	718.65	756.29	0.00	0.00	(37.64)
29.0000 Sale	01/08/13	01/20/16	672.29	880.74	0.00	0.00	(208.45)
15.0000 Sale	04/22/13	01/20/16	347.74	383.46	0.00	0.00	(35.72)
Security Subtotal			4,636.45	4,956.59	0.00	0.00	(320.14)
BHP BILLITON LTD ADR							
24.0000 Sale	02/17/12	01/28/16	525.43	1,819.37	0.00	1,293.94 (w)	0.00
15.0000 Sale	02/17/12	01/28/16	328.39	1,137.11	0.00	0.00	(808.72)
73.0000 Sale	04/27/12	01/28/16	1,598.18	5,437.16	0.00	0.00	(3,838.98)
24.0000 Sale	02/25/12	06/01/16	634.78	1,841.88	0.00	0.00 (v)	(1,207.10)
4.0000 Sale	04/27/12	06/01/16	105.80	297.93	0.00	0.00	(192.13)
81.0000 Sale	10/08/15	11/18/16	2,860.17	2,953.83	0.00	0.00	(93.66)
Security Subtotal			6,052.75	13,487.28	0.00	1,293.94	(6,140.59)
CIE FINANCIERE RICHEMONT SA SHS							
335.0000 Sale	04/24/14	01/28/16	2,138.27	3,329.90	0.00	0.00	(1,191.63)
61.0000 Sale	04/24/14	04/01/16	390.16	606.34	0.00	216.18 (w)	0.00
35.0000 Sale	04/24/14	04/01/16	223.87	347.90	0.00	0.00	(124.03)
71.0000 Sale	04/24/14	06/01/16	414.16	705.74	0.00	0.00	(291.58)
10.0000 Sale	04/24/14	06/01/16	58.34	103.23	0.00	0.00 (v)	(44.89)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
16 of 34

2016 ANNUAL STATEMENT SUMMARY
Form 1099-B 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CIE FINANCIERE RICHEMONT SA SHS							
	CUSIP Number 204319107						
51,000 Sale	04/24/14	11/18/16	330.06	526.44	0.00	0.00	(196.38)
118,000 Sale	06/27/14	11/18/16	763.69	1,226.49	0.00	0.00	(462.80)
Security Subtotal			4,318.55	6,846.04	0.00	216.18	(2,311.35)
CARLSBERG AS SPONSOREDAD							
	CUSIP Number 142795202						
185,000 Sale	04/24/14	01/28/16	3,026.54	3,666.70	0.00	0.00	(640.16)
24,000 Sale	03/03/15	06/01/16	465.84	411.41	0.00	0.00	54.43
122,000 Sale	09/21/15	11/18/16	2,045.90	1,889.80	0.00	0.00	156.10
Security Subtotal			5,538.28	5,967.91	0.00	0.00	(429.63)
COMPASS GROUP PLC SHS ADR							
	CUSIP Number 20449X302						
63,000 Sale	07/09/15	11/18/16	1,069.71	1,060.12	0.00	0.00	9.59
77,000 Sale	08/14/15	11/18/16	1,307.44	1,262.15	0.00	0.00	45.29
Security Subtotal			2,377.15	2,322.27	0.00	0.00	54.88
CONTINENTAL AG SPNRD ADR							
	CUSIP Number 210771200						
13,000 Sale	02/14/14	01/28/16	551.18	601.75	0.00	0.00	(50.57)
23,000 Sale	05/14/14	01/28/16	975.18	1,047.53	0.00	0.00	(72.35)
9,000 Sale	05/22/14	01/28/16	381.60	413.61	0.00	0.00	(32.01)
13,000 Sale	05/22/14	06/01/16	553.53	597.44	0.00	0.00	(43.91)
1,000 Sale	05/22/14	11/18/16	35.92	45.96	0.00	0.00	(10.04)
27,000 Sale	06/18/14	11/18/16	970.09	1,260.20	0.00	0.00	(290.11)
Security Subtotal			3,467.50	3,966.49	0.00	0.00	(498.99)
DAIWA HOUSE IND LTD ADR							
	CUSIP Number 234062206						
249,000 Sale	04/24/14	01/28/16	6,553.56	4,176.97	0.00	0.00	2,376.59
61,000 Sale	04/24/14	06/01/16	1,717.72	1,023.28	0.00	0.00	694.44
106,000 Sale	04/24/14	11/18/16	2,953.09	1,778.15	0.00	0.00	1,174.94
40,000 Sale	05/23/14	11/18/16	1,114.38	740.78	0.00	0.00	373.60
Security Subtotal			12,338.75	7,719.18	0.00	0.00	4,619.57

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
17 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
FRESENIUS SE& CO-SPN ADR	CUSIP Number	35804MT05					
193.0000 Sale	04/24/14	01/28/16	3,099.52	2,439.52	0.00	0.00	660.00
59.0000 Sale	04/24/14	06/01/16	1,111.54	745.76	0.00	0.00	365.78
23.0000 Sale	04/24/14	11/18/16	406.17	290.72	0.00	0.00	115.45
96.0000 Sale	09/17/14	11/18/16	1,695.32	1,197.85	0.00	0.00	497.47
Security Subtotal			6,312.55	4,673.85	0.00	0.00	1,638.70
GEA GROUP AG ADR	CUSIP Number	361592108					
16.0000 Sale	10/13/15	11/18/16	570.57	667.60	0.00	0.00	(97.03)
INFORMA PLC SHS	CUSIP Number	45672B305					
182.0000 Sale	04/24/14	01/28/16	3,315.98	3,012.10	0.00	0.00	303.88
55.0000 Sale	04/24/14	06/01/16	1,093.38	910.25	0.00	0.00	183.13
110.0000 Sale	04/24/14	11/18/16	1,742.36	1,680.18	0.00	0.00	62.18
Security Subtotal			6,151.72	5,602.53	0.00	0.00	549.19
JAPAN TOBACCO INC	CUSIP Number	471105205					
41.0000 Sale	10/06/14	01/28/16	759.30	673.36	0.00	0.00	85.94
250.0000 Sale	10/29/14	01/28/16	4,629.92	4,190.55	0.00	0.00	439.37
34.0000 Sale	10/29/14	06/01/16	663.32	569.91	0.00	0.00	93.41
35.0000 Sale	02/18/15	06/01/16	682.84	544.36	0.00	0.00	138.48
67.0000 Sale	02/18/15	11/11/16	1,181.48	1,042.06	0.00	0.00	139.42
21.0000 Sale	02/18/15	11/18/16	349.64	326.62	0.00	0.00	23.02
Security Subtotal			8,266.50	7,346.86	0.00	0.00	919.64
KOMATSU NEW NEW SPNSDADR	CUSIP Number	500458401					
120.0000 Sale	04/24/14	01/28/16	1,709.97	2,552.40	0.00	0.00	(842.43)
37.0000 Sale	04/24/14	06/01/16	624.18	786.99	0.00	0.00	(162.81)
75.0000 Sale	04/24/14	11/18/16	1,676.21	1,595.25	0.00	0.00	80.96
Security Subtotal			4,010.36	4,934.64	0.00	0.00	(924.28)
KDDI CORP SHS	CUSIP Number	48667L106					
76.0000 Sale	08/01/13	01/28/16	930.75	695.81	0.00	0.00	234.94
185.0000 Sale	01/29/14	01/28/16	2,265.65	1,786.85	0.00	0.00	478.80
73.0000 Sale	04/24/14	01/28/16	894.02	648.24	0.00	0.00	245.78
88.0000 Sale	04/24/14	06/01/16	1,261.97	781.44	0.00	0.00	480.53
93.0000 Sale	04/24/14	11/17/16	1,193.64	825.84	0.00	0.00	367.80



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
18 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e Cost Basis	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain or Loss
KDDI CORP SHS							
16,000 Sale	04/24/14	11/18/16	199.93	142.08	0.00	0.00	57.85
85,000 Sale	10/30/14	11/18/16	1,062.13	890.91	0.00	0.00	171.22
Security Subtotal			7,808.09	5,771.17	0.00	0.00	2,036.92
KBC GROUPE SA SHS							
21,000 Sale	02/18/15	06/01/16	621.38	631.21	0.00	0.00	(9.83)
14,000 Sale	02/18/15	11/16/16	412.17	420.81	0.00	0.00	(8.64)
29,000 Sale	02/18/15	11/18/16	855.77	871.68	0.00	0.00	(15.91)
Security Subtotal			1,889.32	1,923.70	0.00	0.00	(34.38)
LLOYDS BANKING GROUP PLC ADR							
1179,000 Sale	04/24/14	01/28/16	4,398.18	6,030.70	0.00	0.00	(1,632.52)
311,000 Sale	04/24/14	06/01/16	1,303.40	1,590.79	0.00	0.00	(287.39)
192,000 Sale	04/24/14	07/05/16	551.98	982.10	0.00	0.00	(430.12)
109,000 Sale	04/24/14	07/05/16	313.37	577.19	0.00	0.00	(263.82)
128,000 Sale	05/01/14	07/05/16	367.99	696.49	0.00	0.00	(328.50)
105,000 Sale	05/02/14	07/05/16	301.87	570.32	0.00	0.00	(268.45)
Security Subtotal			7,236.79	10,447.59	0.00	0.00	(3,210.80)
MEDIOLANUM SPA SHS							
141,000 Exchange	04/24/14	01/22/16	1,925.19	2,550.14	0.00	0.00	(624.95)
11,000 Exchange	05/23/14	01/22/16	150.19	198.26	0.00	0.00	(48.07)
64,000 Exchange	01/02/15	01/22/16	873.85	821.42	0.00	0.00	52.43
Security Subtotal			2,949.23	3,569.82	0.00	0.00	(620.59)
MAKITA CORP SPOND ADR							
69,000 Sale	04/24/14	01/28/16	3,819.56	3,592.14	0.00	0.00	227.42
18,000 Sale	04/24/14	06/01/16	1,144.38	937.08	0.00	0.00	207.30
40,000 Sale	04/24/14	11/18/16	2,746.74	2,082.40	0.00	0.00	664.34
Security Subtotal			7,710.68	6,611.62	0.00	0.00	1,099.06
NOVARTIS ADR							
35,000 Sale	02/01/11	01/28/16	2,672.20	1,979.85	0.00	0.00	692.35
8,000 Sale	05/05/11	01/28/16	610.78	478.47	0.00	0.00	132.31
63,000 Sale	11/15/11	01/28/16	4,809.98	3,484.20	0.00	0.00	1,325.78
15,000 Sale	11/15/11	06/01/16	1,196.55	829.57	0.00	0.00	366.98
20,000 Sale	02/17/12	06/01/16	1,595.42	1,133.22	0.00	0.00	462.20



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
19 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
NOVARTIS ADR							
3,000 Sale	02/17/12	11/18/16	212.21	169.98	0.00	0.00	42.23
1,000 Sale	05/31/12	11/18/16	70.73	51.96	0.00	0.00	18.77
3,000 Sale	06/05/12	11/18/16	212.21	154.47	0.00	0.00	57.74
12,000 Sale	09/05/13	11/18/16	848.86	897.68	0.00	0.00	(48.82)
46,000 Sale	04/24/14	11/18/16	3,253.99	3,894.71	0.00	0.00	(640.72)
Security Subtotal			15,482.93	13,074.11	0.00	0.00	2,408.82
NOVO NORDISK A S ADR							
78,000 Sale	04/24/14	01/28/16	4,254.83	3,504.20	0.00	0.00	750.63
24,000 Sale	04/24/14	06/01/16	1,350.67	1,078.22	0.00	0.00	272.45
20,000 Sale	04/24/14	09/22/16	910.74	898.51	0.00	0.00	12.23
28,000 Sale	04/24/14	09/28/16	1,221.48	1,257.92	0.00	0.00	(36.44)
Security Subtotal			7,737.72	6,738.85	0.00	0.00	998.87
PRUDENTIAL PLC ADR							
75,000 Sale	02/17/12	01/28/16	2,858.95	1,729.23	0.00	0.00	1,129.72
3,000 Sale	05/31/12	01/28/16	114.35	62.44	0.00	0.00	51.91
11,000 Sale	06/05/12	01/28/16	419.31	225.81	0.00	0.00	193.50
3,000 Sale	06/05/13	01/28/16	114.35	100.59	0.00	0.00	13.76
71,000 Sale	04/24/14	01/28/16	2,706.51	3,214.88	0.00	0.00	(508.37)
42,000 Sale	04/24/14	06/01/16	1,644.60	1,901.76	0.00	0.00	(257.16)
101,000 Sale	04/24/14	11/18/16	3,785.77	4,573.28	0.00	0.00	(787.51)
Security Subtotal			11,643.84	11,807.99	0.00	0.00	(164.15)
ROYAL KPN N V SP ADR							
406,000 Sale	11/28/14	01/28/16	1,551.70	1,368.95	0.00	0.00	182.75
155,000 Sale	01/23/15	01/28/16	592.40	489.10	0.00	0.00	103.30
164,000 Sale	01/23/15	06/01/16	660.91	517.50	0.00	0.00	143.41
346,000 Sale	01/23/15	11/18/16	948.71	1,091.81	0.00	0.00	(143.10)
Security Subtotal			3,753.72	3,467.36	0.00	0.00	286.36
ROYAL DUTCH SHELL PLC SPONS ADR A							
15,000 Sale	12/05/13	01/28/16	648.74	986.49	0.00	337.75 (w)	0.00
30,000 Sale	12/05/13	01/28/16	1,297.48	1,972.97	0.00	0.00	(675.49)
9,000 Sale	12/18/13	01/28/16	389.25	612.98	0.00	0.00	(223.73)
15,000 Sale	12/13/13	06/01/16	729.91	1,014.37	0.00	0.00 (v)	(284.46)
9,000 Sale	04/24/14	06/01/16	437.95	681.62	0.00	0.00	(243.67)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
20 of 34

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ROYAL DUTCH SHELL PLC							
SPONS ADR A	CUSIP Number	780259206					
4,0000 Sale	04/24/14	11/18/16	197.40	302.94	0.00	0.00	(105.54)
30,0000 Sale	07/31/15	11/18/16	1,480.52	1,736.88	0.00	0.00	(256.36)
Security Subtotal			5,181.25	7,308.25	0.00	337.75	(1,789.25)
RED ELECTRICA CORP UNSPN							
ADR	CUSIP Number	756568101					
194,0000 Sale	04/24/14	01/28/16	3,111.70	3,162.20	0.00	0.00	(50.50)
52,0000 Sale	04/24/14	06/01/16	917.78	847.60	0.00	0.00	70.18
4,0000 Sale	04/24/14	11/18/16	34.59	40.75	0.00	0.00	(6.16)
180,0000 Sale	03/20/15	11/18/16	1,556.98	1,827.16	0.00	0.00	(270.18)
Security Subtotal			5,621.05	5,877.71	0.00	0.00	(256.66)
RYOHIN KEIKAKU CO LTD							
ADR	CUSIP Number	78392U105					
24,0000 Sale	05/02/14	01/28/16	977.50	533.86	0.00	0.00	443.64
39,0000 Sale	05/22/14	01/28/16	1,588.44	876.99	0.00	0.00	711.45
9,0000 Sale	05/22/14	06/01/16	407.78	202.38	0.00	0.00	205.40
6,0000 Sale	09/22/14	06/01/16	271.86	141.56	0.00	0.00	130.30
35,0000 Sale	09/22/14	11/18/16	1,373.02	825.78	0.00	0.00	547.24
Security Subtotal			4,618.60	2,580.57	0.00	0.00	2,038.03
REXAM PLC SHS							
ADR	CUSIP Number	761655604					
76,0000 Sale	04/24/14	01/28/16	3,274.02	3,216.73	0.00	0.00	57.29
16,0000 Sale	04/24/14	04/08/16	697.34	677.20	0.00	0.00	20.14
8,0000 Sale	04/24/14	04/11/16	349.81	338.60	0.00	0.00	11.21
14,0000 Sale	04/24/14	06/01/16	634.05	592.56	0.00	0.00	41.49
17,0000 Sale	04/24/14	06/14/16	752.31	719.53	0.00	0.00	32.78
11,0000 Sale	04/24/14	06/15/16	490.93	465.58	0.00	0.00	25.35
Security Subtotal			6,198.46	6,010.20	0.00	0.00	188.26
RYANAIR HOLDINGS PLC SHS							
ADR	CUSIP Number	783513203					
26,0000 Sale	04/24/14	01/28/16	2,025.66	1,535.22	0.00	0.00	490.44
7,0000 Sale	04/24/14	06/01/16	608.01	413.33	0.00	0.00	194.68
16,0000 Sale	04/24/14	11/18/16	1,335.86	944.74	0.00	0.00	391.12
Security Subtotal			3,969.53	2,893.29	0.00	0.00	1,076.24

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHIRE PLC-ADR							
	CUSIP Number 82481R106						
3.0000 Sale	10/15/14	01/28/16	500.99	577.40	0.00	76.41 (W)	0.00
14.0000 Sale	10/15/14	01/28/16	2,337.96	2,694.56	0.00	0.00	(356.60)
4.0000 Sale	10/24/14	01/28/16	667.99	777.73	0.00	0.00	(109.74)
7.0000 Sale	10/24/14	06/01/16	1,335.93	1,361.02	0.00	0.00	(25.09)
1.0000 Sale	10/30/14	06/01/16	190.85	182.50	0.00	0.00 (Y)	8.35
2.0000 Sale	10/30/14	11/18/16	354.55	365.01	0.00	0.00 (Y)	(10.46)
4.0000 Sale	02/13/15	11/18/16	709.11	956.16	0.00	0.00	(247.05)
9.0000 Sale	10/20/15	11/18/16	1,595.51	1,892.38	0.00	0.00	(296.87)
Security Subtotal			7,692.89	8,806.76	0.00	76.41	(1,037.46)
SAMPO PLC SHS							
	CUSIP Number 79588J102						
162.0000 Sale	04/24/14	01/28/16	3,797.21	4,166.64	0.00	0.00	(369.43)
47.0000 Sale	04/24/14	06/01/16	1,048.55	1,208.84	0.00	0.00	(160.29)
97.0000 Sale	04/24/14	11/18/16	2,148.49	2,494.84	0.00	0.00	(346.35)
Security Subtotal			6,994.25	7,870.32	0.00	0.00	(876.07)
SWEDBANK A B ADR							
	CUSIP Number 870195104						
188.0000 Sale	04/24/14	01/28/16	3,884.01	4,936.88	0.00	0.00	(1,052.87)
36.0000 Sale	05/22/14	02/10/16	676.75	953.26	0.00	0.00	(276.51)
5.0000 Sale	07/21/14	02/10/16	94.00	130.31	0.00	0.00	(36.31)
42.0000 Sale	07/21/14	06/01/16	926.92	1,094.64	0.00	0.00	(167.72)
52.0000 Sale	07/21/14	11/18/16	1,191.81	1,355.26	0.00	0.00	(163.45)
38.0000 Sale	10/29/14	11/18/16	870.95	1,011.01	0.00	0.00	(140.06)
Security Subtotal			7,644.44	9,481.36	0.00	0.00	(1,836.92)
SIGNET JEWELERS LTD SHS							
	CUSIP Number G81276100						
17.0000 Sale	04/24/14	01/28/16	1,935.41	1,701.01	0.00	0.00	234.40
3.0000 Sale	04/24/14	06/01/16	295.92	300.18	0.00	4.26 (W)	0.00
6.0000 Sale	04/24/14	06/01/16	591.83	600.36	0.00	0.00	(8.53)
7.0000 Sale	04/24/14	11/18/16	622.55	700.42	0.00	0.00	(77.87)
3.0000 Sale	04/24/14	11/18/16	266.80	300.41	0.00	0.00 (Y)	(33.61)
Security Subtotal			3,712.51	3,602.38	0.00	4.26	114.39

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
22 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SEVEN AND I HOLDINGS CO LTD SHS							
	CUSIP Number	81783H105					
166.0000 Sale	04/24/14	01/28/16	3,524.12	3,131.17	0.00	0.00	392.95
47.0000 Sale	04/24/14	06/01/16	995.91	886.54	0.00	0.00	109.37
100.0000 Sale	04/24/14	11/18/16	1,930.96	1,886.25	0.00	0.00	44.71
Security Subtotal			6,450.99	5,903.96	0.00	0.00	547.03
SOFTBANK GROUP CORP ADR							
	CUSIP Number	83404D109					
164.0000 Sale	06/04/14	01/28/16	3,525.35	6,178.60	0.00	0.00	(2,653.25)
4.0000 Sale	06/04/14	06/01/16	113.35	150.70	0.00	0.00	(37.35)
34.0000 Sale	09/17/14	06/01/16	963.54	1,362.80	0.00	0.00	(399.26)
11.0000 Sale	09/29/14	06/01/16	311.74	395.25	0.00	0.00	(83.51)
22.0000 Sale	09/29/14	07/25/16	543.80	790.51	0.00	0.00	(246.71)
11.0000 Sale	09/29/14	11/18/16	336.37	395.25	0.00	0.00	(58.88)
62.0000 Sale	10/31/14	11/18/16	1,895.92	2,230.26	0.00	0.00	(334.34)
Security Subtotal			7,690.07	11,503.37	0.00	0.00	(3,813.30)
SUMITOMO MITSUI-UNSPONS ADR							
	CUSIP Number	86562M209					
16.0000 Sale	03/10/11	01/28/16	108.47	113.01	0.00	0.00	(4.54)
523.0000 Sale	11/15/11	01/28/16	3,545.93	2,837.80	0.00	0.00	708.13
160.0000 Sale	12/21/11	01/28/16	1,084.80	879.88	0.00	0.00	204.92
34.0000 Sale	12/21/11	06/01/16	215.56	186.98	0.00	0.00	28.58
154.0000 Sale	09/17/12	06/01/16	976.38	1,022.19	0.00	0.00	(45.81)
7.0000 Sale	12/20/12	06/01/16	44.39	50.85	0.00	0.00	(6.46)
121.0000 Sale	12/20/12	11/18/16	889.81	878.93	0.00	0.00	10.88
294.0000 Sale	04/19/13	11/18/16	2,162.03	2,662.20	0.00	0.00	(500.17)
Security Subtotal			9,027.37	8,631.84	0.00	0.00	395.53
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR							
	CUSIP Number	86562X106					
402.0000 Sale	04/24/14	01/28/16	1,355.52	1,664.28	0.00	0.00	(308.76)
352.0000 Sale	04/24/14	04/11/16	940.66	1,457.28	0.00	0.00	(516.62)
Security Subtotal			2,296.18	3,121.56	0.00	0.00	(825.38)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02164

Taxpayer No.
XX-XXX4098

Page
23 of 34

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SONY CORP ADR NEW							
22.0000 Sale	02/12/15	06/01/16	611.22	594.93	0.00	0.00	16.29
28.0000 Sale	07/13/15	11/18/16	817.09	803.45	0.00	0.00	13.64
Security Subtotal			1,428.31	1,398.38	0.00	0.00	29.93
WOLSELEY PLC SHS ADR							
132.0000 Sale	04/24/14	01/28/16	640.45	765.60	0.00	125.15 (W)	0.00
51.0000 Sale	04/24/14	01/28/16	247.45	295.80	0.00	48.35 (W)	0.00
474.0000 Sale	04/24/14	01/28/16	2,299.80	2,749.20	0.00	0.00	(449.40)
184.0000 Sale	04/24/14	06/01/16	1,025.60	1,067.20	0.00	0.00	(41.60)
298.0000 Sale	04/24/14	11/18/16	1,663.39	1,728.40	0.00	0.00	(65.01)
132.0000 Sale	04/24/14	11/18/16	736.81	773.07	0.00	0.00 (M)	(36.26)
51.0000 Sale	05/13/14	11/18/16	284.68	305.16	0.00	0.00 (M)	(20.48)
Security Subtotal			6,898.18	7,684.43	0.00	173.50	(612.75)
TEVA PHARMACTCL INDS ADR							
19.0000 Sale	04/24/14	01/28/16	1,141.93	953.37	0.00	0.00	188.56
24.0000 Sale	05/15/14	01/28/16	1,442.44	1,204.38	0.00	0.00	238.06
27.0000 Sale	05/27/14	01/28/16	1,622.75	1,390.66	0.00	0.00	232.09
11.0000 Sale	05/27/14	06/01/16	581.14	566.56	0.00	0.00	14.58
13.0000 Sale	07/18/14	06/01/16	686.82	701.49	0.00	0.00	(14.67)
21.0000 Sale	07/18/14	11/18/16	811.30	1,133.17	0.00	0.00	(321.87)
21.0000 Sale	01/21/15	11/18/16	811.30	1,229.37	0.00	0.00	(418.07)
Security Subtotal			7,097.68	7,179.00	0.00	0.00	(81.32)
TELENOR ASA ADR							
36.0000 Sale	04/30/15	06/01/16	599.02	820.15	0.00	0.00	(221.13)
88.0000 Sale	06/09/15	11/18/16	1,277.73	2,010.75	0.00	0.00	(733.02)
Security Subtotal			1,876.75	2,830.90	0.00	0.00	(954.15)
UNILEVER PLC NEW ADR							
98.0000 Sale	04/24/14	01/28/16	4,214.91	4,272.79	0.00	0.00	(57.88)
18.0000 Sale	04/24/14	06/01/16	824.44	784.80	0.00	0.00	39.64
10.0000 Sale	01/21/15	06/01/16	458.03	419.13	0.00	0.00	38.90
26.0000 Sale	01/21/15	11/18/16	1,009.34	1,089.72	0.00	0.00	(80.38)
33.0000 Sale	09/30/15	11/18/16	1,281.09	1,340.33	0.00	0.00	(59.24)
Security Subtotal			7,787.81	7,906.77	0.00	0.00	(118.96)



LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
VALEO SPONSORED ADR							
	CUSIP Number 919134304						
48.0000 Sale	04/24/14	01/28/16	3,167.84	3,263.32	0.00	0.00	(95.48)
14.0000 Sale	04/24/14	06/01/16	1,051.24	951.80	0.00	0.00	99.44
39.0000 Sale	04/24/14	11/18/16	1,060.85	883.81	0.00	0.00	177.04
48.0000 Sale	10/30/14	11/18/16	1,305.67	889.45	0.00	0.00	416.22
Security Subtotal			6,585.60	5,988.38	0.00	0.00	597.22
VINCI SA ADR							
	CUSIP Number 927320101						
53.0000 Sale	02/17/15	06/01/16	994.26	792.60	0.00	0.00	201.66
4.0000 Sale	02/17/15	11/18/16	64.47	59.82	0.00	0.00	4.65
18.0000 Sale	02/18/15	11/18/16	290.15	268.97	0.00	0.00	21.18
90.0000 Sale	03/13/15	11/18/16	1,450.78	1,287.77	0.00	0.00	163.01
Security Subtotal			2,799.66	2,409.16	0.00	0.00	390.50
VIVENDI SHS UNSP ADR							
	CUSIP Number 928527201						
5.0000 Sale	07/14/15	11/18/16	97.19	132.37	0.00	0.00	(35.18)
30.0000 Sale	07/31/15	11/18/16	583.19	790.15	0.00	0.00	(206.96)
26.0000 Sale	07/31/15	11/18/16	505.43	675.35	0.00	0.00	(169.92)
Security Subtotal			1,185.81	1,597.87	0.00	0.00	(412.06)
WOLTERS KLUWR NV SPN ADR							
	CUSIP Number 977874205						
100.0000 Sale	11/06/14	01/28/16	3,360.54	2,754.05	0.00	0.00	606.49
6.0000 Sale	11/06/14	06/01/16	242.21	165.24	0.00	0.00	76.97
22.0000 Sale	12/19/14	06/01/16	888.13	671.43	0.00	0.00	216.70
24.0000 Sale	12/19/14	11/18/16	835.80	732.47	0.00	0.00	103.33
35.0000 Sale	01/20/15	11/18/16	1,218.89	1,069.17	0.00	0.00	149.72
Security Subtotal			6,545.57	5,392.36	0.00	0.00	1,153.21
Covered Long Term Capital Gains and Losses Subtotal			315,278.29	322,470.46	0.00	2,102.04	(5,090.13)
NET LONG TERM CAPITAL GAINS AND LOSSES			315,278.29	322,470.46	0.00	2,102.04	(5,090.13)
OTHER TRANSACTIONS - Cost basis not reported to IRS - Form 8949, (X)							
FANUC LTD-UNSP			CUSIP Number 307305102				
1.0000 Sale	11/11/16	11/18/16	17.75	N/A	0.00	0.00	N/A



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
7 of 38

2016 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2016 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
ARYZTA AG-UNSPON ADR	CUSIP Number 04338X102		730.21	874.38	0.00	0.00	(144.17)
38.0000 Sale	10/27/15	04/21/16					
A.P. MOELLER - MAERSK	CUSIP Number 00202F102						
A/S SHS UNSPONSORE ADR	03/02/16	06/01/16	599.83	619.95	0.00	0.00	(20.12)
92.0000 Sale	03/02/16	11/18/16	2,079.95	2,190.05	0.00	0.00	(110.10)
325.0000 Sale							
Security Subtotal			2,679.78	2,810.00	0.00	0.00	(130.22)
AMBARELLA INC	CUSIP Number G037AX101						
7.0000 Sale	02/23/16	06/01/16	287.06	306.61	0.00	0.00	(19.55)
22.0000 Sale	02/23/16	11/18/16	1,358.93	963.63	0.00	0.00	395.30
8.0000 Sale	02/24/16	11/18/16	494.16	343.76	0.00	0.00	150.40
2.0000 Sale	02/25/16	11/18/16	123.54	87.49	0.00	0.00	36.05
Security Subtotal			2,263.69	1,701.49	0.00	0.00	562.20
AMEC PLC	CUSIP Number 00167X205						
68.0000 Sale	03/04/16	06/01/16	420.23	474.52	0.00	0.00	(54.29)
5.0000 Sale	03/04/16	11/18/16	26.43	34.89	0.00	0.00	(8.46)
82.0000 Sale	03/07/16	11/18/16	433.61	587.69	0.00	0.00	(154.08)
42.0000 Sale	03/08/16	11/18/16	222.09	283.29	0.00	0.00	(61.20)
82.0000 Sale	04/22/16	11/18/16	433.61	583.14	0.00	0.00	(149.53)

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY** **2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
AMEC PLC ADR	CUSIP Number 00167X205	04/25/16 11/18/16	338.43	455.16	0.00	0.00	(116.73)
5.0000 Sale	04/26/16 11/18/16	26.44	35.51	0.00	0.00	0.00	(9.07)
Security Subtotal			1,900.84	2,454.20	0.00	0.00	(553.36)
BANCO SANTANDER SA ADR	CUSIP Number 05964H705	04/21/16 11/18/16	773.48	864.41	0.00	0.00	(90.93)
173.0000 Sale	11/14/16 11/18/16	26.83	28.27	0.00	0.00	0.00	(1.44)
6.0000 Sale			800.31	892.68	0.00	0.00	(92.37)
Security Subtotal							
BP PLC SPON ADR	CUSIP Number 055622104	03/01/16 11/18/16	1,247.24	1,095.13	0.00	0.00	152.11
37.0000 Sale	03/02/16 11/18/16	606.78	529.48	0.00	0.00	0.00	77.30
18.0000 Sale			1,854.02	1,624.61	0.00	0.00	229.41
Security Subtotal							
BASF SE SPONSORED ADR	CUSIP Number 055262505	05/06/16 06/01/16	466.45	466.83	0.00	0.00	(0.38)
6.0000 Sale	05/06/16 11/18/16	1,446.87	1,322.68	0.00	0.00	0.00	124.19
17.0000 Sale	07/18/16 11/18/16	851.10	789.42	0.00	0.00	0.00	61.68
10.0000 Sale			2,764.42	2,578.93	0.00	0.00	185.49
Security Subtotal							
BNP PARIBAS SPONSORD ADR	CUSIP Number 05565A202	05/06/16 11/18/16	172.33	151.98	0.00	0.00	20.35
6.0000 Sale							
CREDIT SUISSE GP SP ADR	CUSIP Number 225401108	03/04/16 11/18/16	490.81	544.52	0.00	0.00	(53.71)
35.0000 Sale							
CEMEX SAB DE CV SPND ADR	CUSIP Number 151290889	03/04/16 06/01/16	485.10	445.96	0.00	0.00	39.14
77.0000 Sale	03/04/16 11/18/16	1,223.47	915.10	0.00	0.00	0.00	308.37
158.0000 Sale	07/18/16 11/18/16	278.77	245.83	0.00	0.00	0.00	32.94
36.0000 Sale	07/27/16 11/18/16	247.80	230.76	0.00	0.00	0.00	17.04
32.0000 Sale			2,235.14	1,837.65	0.00	0.00	397.49
Security Subtotal							
CHIBA BANK LTD SHS	CUSIP Number 167071109	09/07/16 11/18/16	744.70	712.91	0.00	0.00	31.79
24.0000 Sale							
CHINA CONSTRUCT UNSPN AD	CUSIP Number 168919108	07/10/15 06/01/16	204.80	270.40	0.00	0.00	(65.60)
16.0000 Sale							

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
9 of 38

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CAPCOM CO LTD ADR	CUSIP Number 13916V107	09/23/16 11/18/16	991.78	1,021.50	0.00	0.00	(29.72)
87.0000 Sale							
COCA COLA AMATIL SPD ADR	CUSIP Number 191085208	09/09/16 11/18/16	486.33	531.08	0.00	0.00	(44.75)
71.0000 Sale			801.44	869.87	0.00	0.00	(68.43)
117.0000 Sale			1,287.77	1,400.95	0.00	0.00	(113.18)
Security Subtotal							
DEUTSCHE TELE AG SPN ADR	CUSIP Number 251566105	04/20/15 01/28/16	1,561.21	1,653.02	0.00	0.00	(91.81)
92.0000 Sale			1,900.61	2,007.16	0.00	0.00	(106.55)
112.0000 Sale			16.59	17.92	0.00	0.00	(1.33)
1.0000 Sale			105.65	107.53	0.00	0.00	(1.88)
6.0000 Sale			792.44	779.52	0.00	0.00	12.92
45.0000 Sale			4,376.50	4,565.15	0.00	0.00	(188.65)
Security Subtotal							
DIAGEO PLC SPSP ADR NEW	CUSIP Number 25243Q205	06/10/15 01/28/16	2,550.01	2,813.28	0.00	0.00	(263.27)
24.0000 Sale			650.26	703.32	0.00	0.00	(53.06)
6.0000 Sale			3,200.27	3,516.60	0.00	0.00	(316.33)
Security Subtotal							
DAIWA SECURITIES GROUP INC SHS	CUSIP Number 234064301	03/17/15 01/28/16	1,271.98	1,719.13	0.00	0.00	(447.15)
212.0000 Sale							
ENCANA CORP	CUSIP Number 292505104	05/05/16 06/01/16	840.33	691.30	0.00	0.00	149.03
107.0000 Sale			1,554.38	1,098.34	0.00	0.00	456.04
170.0000 Sale			2,394.71	1,789.64	0.00	0.00	605.07
Security Subtotal							
ERICSSON AMERICAN SEK 10 NEW	CUSIP Number 294821608	10/27/15 03/04/16	612.52	625.75	0.00	0.00	(13.23)
66 0000 Sale							
G4S PLC SHS	CUSIP Number 37441W108	06/08/16 11/18/16	15.05	14.07	0.00	0.00	0.98
1 0000 Sale			511.70	418.90	0.00	0.00	92.80
34.0000 Sale			526.75	432.97	0.00	0.00	93.78
Security Subtotal							



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
10 of 38

2016 ANNUAL STATEMENT SUMMARY

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ENGIE SHS 12.0000 Sale	CUSIP Number 29286D105 10/27/15	06/08/16	193.18	209.22	0.00	0.00	(16.04)
HSBC HLDG PLC SP ADR 60.0000 Sale	CUSIP Number 404280406 04/21/16	11/18/16	2,342.59	2,038.42	0.00	0.00	304.17
HOYA CORP ADR 22.0000 Sale	CUSIP Number 443251103 07/28/16	11/18/16	883.07	770.33	0.00	0.00	112.74
HEIDELBERGCEMENT AG SHS 111.0000 Sale	CUSIP Number 42281P205 03/04/16	11/18/16	1,966.88	1,712.80	0.00	0.00	254.08
H LUNDBECK A/S SPONSORED ADR LEVEL 1 7.0000 Sale 2.0000 Sale	CUSIP Number 40422M206 03/13/15 03/13/15	01/28/16 02/24/16	227.85 73.53	134.54 38.44	0.00 0.00	0.00 0.00	93.31 35.09
Security Subtotal			301.38	172.98	0.00	0.00	128.40
HONDA MOTOR ADR NEW 78.0000 Sale 24.0000 Sale 55.0000 Sale	CUSIP Number 438128308 08/10/15 08/10/15 07/27/16	01/28/16 06/01/16 11/18/16	2,125.47 662.87 1,576.83	2,776.56 854.33 1,497.55	0.00 0.00 0.00	0.00 0.00 0.00	(651.09) (191.46) 79.28
Security Subtotal			4,365.17	5,128.44	0.00	0.00	(763.27)
ITAU UNIBANCO BANCO HOLD SA SPONSORED ADR Cash/Lieu	CUSIP Number 465562106 10/27/15	10/27/16	9.31	5.61	0.00	0.00	3.70
INCITEC PIVOT LTD-SPONS 312.0000 Sale	CUSIP Number 45326Y206 03/07/16	11/18/16	708.24	749.65	0.00	0.00	(41.41)
NOVARTIS ADR 15.0000 Sale 33.0000 Sale	CUSIP Number 66987V109 05/09/16 05/09/16	06/01/16 11/18/16	1,196.56 2,334.37	1,116.15 2,455.52	0.00 0.00	0.00 0.00	80.41 (121.15)
Security Subtotal			3,530.93	3,571.67	0.00	0.00	(40.74)
NEWCREST MNG LTD SPN ADR 86.0000 Sale	CUSIP Number 651191108 06/08/16	11/18/16	1,322.48	1,397.81	0.00	0.00	(75.33)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
11 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
RIO TINTO PLC SPNSRD ADR	CUSIP Number 767204100						
19,000 Sale	05/05/16	06/01/16	517.93	584.16	0.00	0.00	(66.23)
98,000 Sale	05/05/16	11/18/16	3,582.23	3,013.01	0.00	0.00	569.22
Security Subtotal			4,100.16	3,597.17	0.00	0.00	502.99
ROYAL DUTCH SHELL PLC SPONS ADR A	CUSIP Number 780259206						
12,000 Sale	05/05/16	06/01/16	583.94	609.09	0.00	0.00	(25.15)
41,000 Sale	05/05/16	11/18/16	2,023.07	2,081.04	0.00	0.00	(57.97)
Security Subtotal			2,607.01	2,690.13	0.00	0.00	(83.12)
SENSATA TECH HLDNG BV, ALMELO	CUSIP Number N7902X106						
18,000 Sale	10/07/16	11/18/16	660.62	712.38	0.00	0.00	(51.76)
SONY CORP ADR NEW	CUSIP Number 835699307						
49,000 Sale	10/20/15	06/01/16	1,361.36	1,334.52	0.00	0.00	26.84
TOTAL S.A SP ADR	CUSIP Number 89151E109						
10,000 Sale	03/01/16	11/18/16	459.01	457.14	0.00	0.00	1.87
6,000 Sale	03/02/16	11/18/16	275.41	271.16	0.00	0.00	4.25
Security Subtotal			734.42	728.30	0.00	0.00	6.12
TOSHIBA CORP SHS	CUSIP Number 891493306						
10,000 Sale	03/23/15	01/28/16	99.09	256.62	0.00	0.00	(157.53)
149,000 Sale	03/23/15	03/02/16	1,411.48	3,823.59	0.00	0.00	(2,412.11)
23,000 Sale	10/27/15	03/02/16	217.89	397.30	0.00	0.00	(179.41)
Security Subtotal			1,728.46	4,477.51	0.00	0.00	(2,749.05)
VODAFONE GROUP PLC SHS ADR	CUSIP Number 92857W308						
55,000 Sale	03/23/15	01/28/16	1,714.88	1,866.52	0.00	0.00	(151.64)
WHITBREAD PLC SHS	CUSIP Number 963410105						
50,000 Sale	09/07/16	11/18/16	1,109.48	1,443.20	0.00	0.00	(333.72)
Covered Short Term Capital Gains and Losses Subtotal			61,142.95	64,132.10	0.00	0.00	(2,989.15)



Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
12 of 38

LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part I, (B)							
UBS GROUP AG NAMEN-AKT 210.0000 Prin Payment	CUSIP Number 05/17/16	H42097107 05/17/16	182.80	182.80	0.00	0.00	0.00
Noncovered Short Term Capital Gains and Losses Subtotal							
			182.80	182.80	0.00	0.00	0.00
NET SHORT TERM CAPITAL GAINS AND LOSSES							
			61,325.75	64,314.90	0.00	0.00	(2,989.15)

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds

COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part II, (D)

AXA -SPONS ADR							
192.0000 Sale	CUSIP Number 04/28/14	054536107 01/28/16	4,637.09	4,978.90	0.00	0.00	(341.81)
48.0000 Sale	04/28/14	06/01/16	1,200.07	1,244.72	0.00	0.00	(44.65)
83.0000 Sale	04/28/14	11/18/16	1,919.08	2,152.34	0.00	0.00	(233.26)
54.0000 Sale	07/21/14	11/18/16	1,248.56	1,273.13	0.00	0.00	(24.57)
Security Subtotal			9,004.80	9,649.09	0.00	0.00	(644.29)
AKZO NOBEL N V SPNSD ADR							
156.0000 Sale	CUSIP Number 04/28/14	010199305 01/28/16	3,277.50	3,872.45	0.00	0.00	(594.95)
47.0000 Sale	04/28/14	06/01/16	1,074.33	1,166.70	0.00	0.00	(92.37)
116.0000 Sale	04/28/14	11/18/16	2,359.39	2,879.52	0.00	0.00	(520.13)
Security Subtotal			6,711.22	7,918.67	0.00	0.00	(1,207.45)
ARYZTA AG-UNSPON ADR							
66.0000 Sale	CUSIP Number 04/28/14	04338X102 01/28/16	1,489.59	2,932.38	0.00	0.00	(1,442.79)
1.0000 Sale	04/28/14	02/24/16	22.79	44.43	0.00	0.00	(21.64)
67.0000 Sale	04/28/14	04/21/16	1,287.47	2,976.80	0.00	0.00	(1,689.33)
Security Subtotal			2,799.85	5,953.61	0.00	0.00	(3,153.76)
ACCENTURE PLC SHS							
8.0000 Sale	CUSIP Number 01/28/13	G1151C101 01/28/16	824.78	576.78	0.00	0.00	248.00
12.0000 Sale	04/29/13	01/28/16	1,237.18	974.81	0.00	0.00	262.37
1.0000 Sale	04/29/13	06/01/16	118.75	81.23	0.00	0.00	37.52



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
13 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
ACCENTURE PLC SHS 5,000 Sale 11,000 Sale	CUSIP Number 03/27/14 06/01/16 03/27/14 11/18/16		593.77 1,301.08 4,075.56	388.57 854.85 2,876.24	0.00 0.00 0.00	0.00 0.00 0.00	205.20 446.23 1,199.32
Security Subtotal							
AVIVA PLC SHS 96,000 Sale 13,000 Sale 10,000 Sale 109,000 Sale 56,000 Sale 64,000 Sale 35,000 Sale 102,000 Sale	CUSIP Number 04/16/14 01/28/16 04/16/14 01/28/16 04/17/14 01/28/16 04/28/14 01/28/16 04/28/14 02/22/16 04/28/14 02/23/16 04/28/14 06/01/16 04/28/14 11/18/16		1,284.77 173.97 133.83 1,458.76 679.01 762.21 453.94 1,157.03 6,103.52	1,620.15 212.72 173.19 1,874.84 963.22 1,100.83 602.02 1,754.44 8,301.41	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	(335.38) (38.75) (39.36) (416.08) (284.21) (338.62) (148.08) (597.41) (2,197.89)
Security Subtotal							
ADECCO SA ADR 71,000 Sale 27,000 Sale 73,000 Sale 14,000 Sale	CUSIP Number 04/28/14 01/28/16 04/28/14 06/01/16 04/28/14 11/18/16 10/27/15 11/18/16		2,140.61 818.08 2,200.16 421.96 5,580.81	2,827.12 1,062.37 2,872.35 510.14 7,271.98	0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00	(686.51) (244.29) (672.19) (88.18) (1,691.17)
Security Subtotal							
BANCO SANTANDER SA ADR 194,000 Sale 193,000 Sale 24,000 Sale 19,000 Sale 193,000 Sale 8,000 Sale 137,000 Sale 38,000 Sale	CUSIP Number 04/28/14 01/28/16 04/28/14 06/01/16 04/28/14 06/01/16 04/28/14 11/18/16 04/28/14 11/18/16 08/11/14 11/18/16 03/13/15 11/18/16 10/27/15 11/18/16		798.09 900.07 111.93 84.94 862.88 35.76 612.51 169.89 3,576.07	1,895.36 1,885.59 234.48 185.63 1,872.95 87.60 922.01 214.64 7,298.26	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 985.52 (w) 0.00 0.00 0.00 0.00 0.00 0.00 0.00	(1,097.27) 0.00 (122.55) (100.69) (1,010.07) (51.84) (309.50) (44.75) (2,736.67)
Security Subtotal							
BAYER AG SP ADR 20,000 Sale 3,000 Sale 21,000 Sale	CUSIP Number 04/28/14 01/28/16 04/28/14 06/01/16 04/28/14 11/18/16		2,232.76 289.22 2,035.48	2,686.20 402.93 2,820.51	0.00 0.00 0.00	0.00 0.00 0.00	(453.44) (113.71) (785.03)



LEO GOODWIN FOUNDATION, INC

Taxpayer No.
XX-XXX4098

Account No.
54C-02163

Page
14 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BAYER AG SP ADR	CUSIP Number 072730302						
1.0000 Sale	04/28/14	11/18/16	96.92	130.30	0.00	0.00 (v)	(33.38)
2.0000 Sale	10/27/15	11/18/16	193.87	256.30	0.00	0.00	(62.43)
Security Subtotal			4,848.25	6,296.24	0.00	0.00	(1,447.99)
BARCLAYS PLC ADR	CUSIP Number 06738E204						
172.0000 Sale	04/28/14	01/28/16	1,780.98	2,872.38	0.00	0.00	(1,091.40)
78.0000 Sale	04/28/14	06/01/16	816.03	1,302.59	0.00	0.00	(486.56)
121.0000 Sale	04/28/14	09/07/16	1,097.11	2,020.69	0.00	0.00	(923.58)
133.0000 Sale	04/28/14	11/18/16	1,387.16	2,221.09	0.00	0.00	(833.93)
Security Subtotal			5,081.28	8,416.75	0.00	0.00	(3,335.47)
BP PLC SPON ADR	CUSIP Number 055622104						
5.0000 Sale	04/28/14	01/28/16	158.85	244.88	0.00	86.03 (w)	0.00
76.0000 Sale	04/28/14	01/28/16	2,414.47	3,722.20	0.00	0.00	(1,307.73)
39.0000 Sale	04/28/14	06/01/16	1,216.91	1,910.07	0.00	0.00	(693.16)
17.0000 Sale	04/28/14	11/18/16	573.05	832.60	0.00	0.00	(259.55)
5.0000 Sale	05/20/14	11/18/16	168.54	233.83	0.00	0.00 (v)	(65.29)
30.0000 Sale	03/13/15	11/18/16	1,011.28	1,116.51	0.00	0.00	(105.23)
Security Subtotal			5,543.10	8,060.09	0.00	86.03	(2,430.96)
BNP PARIBAS SPONSORD ADR	CUSIP Number 05565A202						
64.0000 Sale	04/28/14	01/28/16	1,497.63	2,430.45	0.00	0.00	(932.82)
36.0000 Sale	04/28/14	06/01/16	942.68	1,367.13	0.00	424.45 (w)	0.00
18.0000 Sale	04/28/14	11/18/16	516.98	683.57	0.00	0.00	(166.59)
36.0000 Sale	04/28/14	11/18/16	1,033.97	1,336.30	0.00	0.00 (v)	(302.33)
34.0000 Sale	03/13/15	11/18/16	976.53	928.69	0.00	0.00	47.84
Security Subtotal			4,967.79	6,746.14	0.00	424.45	(1,353.90)
CREDIT SUISSE GP SP ADR	CUSIP Number 225401108						
85.0000 Sale	04/28/14	01/28/16	1,474.11	2,598.41	0.00	0.00	(1,124.30)
27.0000 Sale	04/28/14	06/01/16	364.76	825.38	0.00	0.00	(460.62)
90.0000 Sale	04/28/14	11/18/16	1,262.06	2,751.25	0.00	0.00	(1,489.19)
32.0000 Sale	03/13/15	11/18/16	448.74	781.78	0.00	0.00	(333.04)
Security Subtotal			3,549.67	6,956.82	0.00	0.00	(3,407.15)



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
15 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CARNIVAL PLC ADR	CUSIP Number	14365C103					
92.0000 Sale	04/28/14	01/28/16	4,524.48	3,565.14	0.00	0.00	959.34
58.0000 Sale	04/28/14	05/05/16	2,903.60	2,247.58	0.00	0.00	656.02
9.0000 Sale	04/28/14	06/01/16	443.21	348.76	0.00	0.00	94.45
4.0000 Sale	04/28/14	11/18/16	204.71	155.01	0.00	0.00	49.70
23.0000 Sale	10/20/15	11/18/16	1,177.12	1,236.85	0.00	0.00	(59.73)
Security Subtotal			9,253.12	7,553.34	0.00	0.00	1,699.78
C & C GROUP PLC SPON ADR	CUSIP Number	124651100					
146.0000 Sale	04/28/14	01/28/16	1,684.81	2,664.50	0.00	0.00	(979.69)
1.0000 Sale	04/28/14	02/24/16	11.37	18.25	0.00	0.00	(6.88)
49.0000 Sale	04/28/14	03/04/16	557.95	894.25	0.00	0.00	(336.30)
99.0000 Sale	04/28/14	03/07/16	1,133.45	1,806.75	0.00	0.00	(673.30)
Security Subtotal			3,387.58	5,383.75	0.00	0.00	(1,996.17)
CHINA CONSTRUCT UNSPN AD	CUSIP Number	168919108					
93.0000 Sale	12/23/14	01/28/16	1,090.87	1,508.55	0.00	0.00	(417.68)
1.0000 Sale	12/23/14	02/24/16	11.55	16.22	0.00	0.00	(4.57)
26.0000 Sale	12/23/14	06/01/16	332.79	421.74	0.00	0.00	(88.95)
103.0000 Sale	07/10/15	11/18/16	1,443.01	1,740.70	0.00	0.00	(297.69)
Security Subtotal			2,878.32	3,687.21	0.00	0.00	(808.89)
CHOW TAI FOOK JEWELLERY GROUP LTD UNSPON ADR	CUSIP Number	17044P106					
22.0000 Sale	04/28/14	01/28/16	120.33	311.52	0.00	0.00	(191.19)
4.0000 Sale	04/28/14	02/24/16	22.35	56.64	0.00	0.00	(34.29)
83.0000 Sale	04/28/14	06/01/16	565.22	1,175.28	0.00	0.00	(610.06)
100.0000 Sale	04/28/14	11/18/16	712.98	1,416.00	0.00	0.00	(703.02)
35.0000 Sale	10/27/15	11/18/16	249.55	310.07	0.00	0.00	(60.52)
Security Subtotal			1,670.43	3,269.51	0.00	0.00	(1,599.08)
DEUTSCHE TELE AG SPN ADR	CUSIP Number	251566105					
150.0000 Sale	09/04/15	11/18/16	2,282.95	2,598.41	0.00	0.00	(315.46)
DIAGEO PLC SPSD ADR NEW	CUSIP Number	25243Q205					
3.0000 Sale	06/10/15	11/18/16	305.02	351.66	0.00	0.00	(46.64)
2.0000 Sale	06/10/15	11/18/16	203.34	234.53	0.00	0.00	(31.19)
13.0000 Sale	10/20/15	11/18/16	1,321.76	1,487.82	0.00	0.00	(166.06)
Security Subtotal			1,830.12	2,074.01	0.00	0.00	(243.89)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
16 of 38

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
DANONE-SPONS	ADR	CUSIP Number	236367100				
143.0000 Sale	04/28/14	01/28/16	1,911.73	2,090.54	0.00	0.00	(178.81)
2.0000 Sale	04/28/14	02/24/16	27.87	29.24	0.00	0.00	(1.37)
32.0000 Sale	04/28/14	06/01/16	449.61	467.81	0.00	0.00	(18.20)
94.0000 Sale	04/28/14	11/18/16	1,172.90	1,374.20	0.00	0.00	(201.30)
Security Subtotal			3,562.11	3,961.79	0.00	0.00	(399.68)
DAIWA SECURITIES GROUP INC SHS	CUSIP Number	234064301					
52.0000 Sale	03/17/15	06/01/16	295.87	421.67	0.00	0.00	(125.80)
232.0000 Sale	03/17/15	11/18/16	1,389.65	1,881.31	0.00	0.00	(491.66)
Security Subtotal			1,685.52	2,302.98	0.00	0.00	(617.46)
ERICSSON AMERICAN SEK 10 NEW	CUSIP Number	294821608					
264.0000 Sale	04/28/14	01/28/16	2,315.27	3,140.54	0.00	0.00	(825.27)
5.0000 Sale	04/28/14	02/24/16	45.89	59.48	0.00	0.00	(13.59)
70.0000 Sale	04/28/14	03/01/16	652.35	832.72	0.00	0.00	(180.37)
211.0000 Sale	04/28/14	03/04/16	1,958.20	2,510.06	0.00	0.00	(551.86)
Security Subtotal			4,971.71	6,542.80	0.00	0.00	(1,571.09)
EMBRAER S A SPONSRD ADR	CUSIP Number	29082A107					
2.0000 Sale	08/10/11	01/28/16	53.15	45.06	0.00	0.00	8.09
43.0000 Sale	11/15/11	01/28/16	1,142.93	1,154.98	0.00	0.00	(12.05)
1.0000 Sale	11/15/11	02/24/16	29.24	26.86	0.00	0.00	2.38
25.0000 Sale	11/15/11	11/18/16	519.51	671.50	0.00	0.00	(151.99)
26.0000 Sale	07/27/12	11/18/16	540.30	654.40	0.00	0.00	(114.10)
Security Subtotal			2,285.13	2,552.80	0.00	0.00	(267.67)
PJSC GAZPROM ADR SPONSORED	CUSIP Number	368287207					
554.0000 Sale	05/09/14	01/28/16	1,956.13	4,340.37	0.00	0.00	(2,384.24)
6.0000 Sale	05/09/14	02/24/16	21.25	47.01	0.00	0.00	(25.76)
40.0000 Sale	05/09/14	06/01/16	170.47	313.38	0.00	0.00	(142.91)
43.0000 Sale	05/09/14	06/01/16	183.26	330.02	0.00	0.00	(146.76)
130.0000 Sale	06/27/14	06/01/16	554.05	1,151.25	0.00	0.00	(597.20)
70.0000 Sale	03/13/15	06/01/16	298.34	323.40	0.00	0.00	(25.06)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
17 of 38

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
PJSC GAZPROM ADR SPONSORED							
	CUSIP Number	368287207					
	03/13/15	09/07/16	822.76	868.56	0.00	0.00	(45.80)
	03/13/15	11/18/16	1,414.19	1,432.20	0.00	0.00	(18.01)
Security Subtotal			5,420.45	8,806.19	0.00	0.00	(3,385.74)
G4S PLC SHS							
	CUSIP Number	37441W108					
	05/14/14	01/28/16	1,434.13	1,970.87	0.00	0.00	(536.74)
	05/14/14	06/01/16	105.75	173.26	0.00	67.51 (w)	0.00
	05/14/14	11/18/16	15.04	21.66	0.00	0.00	(6.62)
	05/21/14	11/18/16	120.39	180.10	0.00	0.00	(59.71)
	12/22/14	11/18/16	827.73	1,204.10	0.00	0.00	(376.37)
	12/23/14	11/18/16	376.24	545.73	0.00	0.00	(169.49)
	10/20/15	11/18/16	255.85	329.98	0.00	0.00	(74.13)
Security Subtotal			3,135.13	4,425.70	0.00	67.51	(1,223.06)
GREEK ORGANISATION OF FOOTBALL PROGNOSTI							
	CUSIP Number	392483103					
	06/13/14	01/28/16	1,501.47	3,760.65	0.00	0.00	(2,259.18)
	06/13/14	06/01/16	318.39	701.29	0.00	0.00	(382.90)
	03/13/15	06/01/16	310.44	343.20	0.00	0.00	(32.76)
	03/13/15	11/18/16	1,470.73	1,460.80	0.00	0.00	9.93
Security Subtotal			3,601.03	6,265.94	0.00	0.00	(2,664.91)
ENGIE SHS							
	CUSIP Number	29286D105					
	05/14/14	01/28/16	588.66	994.98	0.00	0.00	(406.32)
	05/14/14	06/01/16	151.49	268.91	0.00	0.00	(117.42)
	05/14/14	06/08/16	515.13	860.52	0.00	0.00	(345.39)
Security Subtotal			1,255.28	2,124.41	0.00	0.00	(869.13)
HSBC HLDG PLC SP ADR							
	CUSIP Number	404280406					
	04/27/12	01/28/16	688.19	911.99	0.00	0.00	(223.80)
	04/30/12	01/28/16	1,514.02	1,989.52	0.00	0.00	(475.50)
	04/30/12	06/01/16	161.34	226.08	0.00	0.00	(64.74)
	07/17/12	06/01/16	1,097.16	1,466.83	0.00	0.00	(369.67)
	07/17/12	11/18/16	1,327.46	1,466.83	0.00	0.00	(139.37)
	10/27/15	11/18/16	351.38	352.57	0.00	0.00	(1.19)
Security Subtotal			5,139.55	6,413.82	0.00	0.00	(1,274.27)



Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
18 of 38

LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
HOYA CORP ADR	CUSIP Number 443251103						
90.0000 Sale	04/28/14	01/28/16	3,406.44	2,683.80	0.00	0.00	722.64
42.0000 Sale	04/28/14	05/06/16	1,592.41	1,252.44	0.00	0.00	339.97
10.0000 Sale	04/28/14	06/01/16	342.49	298.20	0.00	0.00	44.29
30.0000 Sale	04/28/14	11/18/16	1,204.17	894.60	0.00	0.00	309.57
8.0000 Sale	10/27/15	11/18/16	321.11	302.40	0.00	0.00	18.71
Security Subtotal			6,866.62	5,431.44	0.00	0.00	1,435.18
HYPERMARCAS S.A.-UNSP ADR	CUSIP Number 44915J100						
341.0000 Sale	04/28/14	01/28/16	1,827.73	2,499.53	0.00	0.00	(671.80)
9.0000 Sale	04/28/14	02/24/16	54.26	65.97	0.00	0.00	(11.71)
138.0000 Sale	04/28/14	06/01/16	1,063.96	1,011.54	0.00	0.00	52.42
59.0000 Sale	04/28/14	11/18/16	440.71	432.47	0.00	0.00	8.24
33.0000 Sale	04/28/14	11/18/16	246.50	207.58	0.00	0.00	38.92
78.0000 Sale	10/27/15	11/18/16	582.66	350.22	0.00	0.00	232.44
Security Subtotal			4,215.82	4,567.31	0.00	0.00	(351.49)
HEIDELBERGCEMENT AG SHS	CUSIP Number 42281P205						
124.0000 Sale	04/28/14	01/28/16	1,791.77	2,124.12	0.00	0.00	(332.35)
75.0000 Sale	04/28/14	06/01/16	1,269.72	1,284.75	0.00	0.00	(15.03)
44.0000 Sale	04/28/14	11/18/16	779.66	753.72	0.00	0.00	25.94
Security Subtotal			3,841.15	4,162.59	0.00	0.00	(321.44)
H LUNDBECK A/S SPONSORED ADR LEVEL 1	CUSIP Number 40422M206						
88.0000 Sale	04/28/14	01/28/16	2,864.34	2,587.20	0.00	0.00	277.14
17.0000 Sale	03/13/15	06/01/16	663.50	326.74	0.00	0.00	336.76
34.0000 Sale	03/13/15	11/18/16	1,282.45	653.48	0.00	0.00	628.97
Security Subtotal			4,810.29	3,567.42	0.00	0.00	1,242.87
HITACHI LTD 10 NEW ADR	CUSIP Number 433578507						
40.0000 Sale	04/28/14	01/28/16	1,934.92	2,868.80	0.00	0.00	(933.88)
11.0000 Sale	04/28/14	06/01/16	504.05	788.92	0.00	0.00	(284.87)
43.0000 Sale	04/28/14	11/18/16	2,307.41	3,083.96	0.00	0.00	(776.55)
3.0000 Sale	10/27/15	11/18/16	160.99	179.28	0.00	0.00	(18.29)
Security Subtotal			4,907.37	6,920.96	0.00	0.00	(2,013.59)
HONDA MOTOR ADR NEW	CUSIP Number 438128308						
77.0000 Sale	08/10/15	11/18/16	2,207.54	2,740.96	0.00	0.00	(533.42)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
19 of 38

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
INDRA SISTEMAS SA SHS							
805,000 Sale	04/28/14	01/28/16	3,871.98	7,518.70	0.00	0.00	(3,646.72)
130,000 Sale	04/28/14	06/01/16	722.78	1,214.20	0.00	0.00	(491.42)
120,000 Sale	03/13/15	06/01/16	667.19	634.80	0.00	0.00	32.39
544,000 Sale	03/13/15	11/18/16	2,725.38	2,877.76	0.00	0.00	(152.38)
Security Subtotal			7,987.33	12,245.46	0.00	0.00	(4,258.13)
ITAU UNIBANCO BANCO HOLD SA SPONSORED ADR							
440,000 Sale	04/28/14	01/28/16	2,558.51	5,947.89	0.00	0.00	(3,389.38)
9,000 Sale	04/28/14	02/24/16	54.80	121.66	0.00	0.00	(66.86)
296,000 Sale	04/28/14	06/01/16	2,391.63	4,001.31	0.00	0.00	(1,609.68)
10,000 Sale	03/13/15	06/01/16	80.80	93.16	0.00	0.00	(12.36)
190,000 Sale	03/13/15	09/07/16	2,169.14	1,770.10	0.00	0.00	399.04
69,000 Sale	03/13/15	11/18/16	717.58	584.39	0.00	0.00	133.19
148,000 Sale	10/27/15	11/18/16	1,539.17	908.30	0.00	0.00	630.87
Security Subtotal			9,511.63	13,426.81	0.00	0.00	(3,915.18)
INCITEC PIVOT LTD-SPONS SHS							
812,000 Sale	04/28/14	01/28/16	1,705.17	2,168.04	0.00	0.00	(462.87)
5,000 Sale	04/28/14	02/24/16	9.54	13.35	0.00	3.81 (w)	0.00
472,000 Sale	04/28/14	06/01/16	1,146.93	1,260.24	0.00	0.00	(113.31)
771,000 Sale	04/28/14	11/18/16	1,750.13	2,058.57	0.00	0.00	(308.44)
5,000 Sale	05/10/14	11/18/16	11.34	15.82	0.00	0.00 (y)	(4.48)
Security Subtotal			4,623.11	5,516.02	0.00	3.81	(889.17)
JAPAN AIRLINES CO LTD SHS							
202,000 Sale	01/13/15	01/28/16	3,625.83	3,218.57	0.00	0.00	407.26
1,000 Sale	01/13/15	02/24/16	18.20	15.93	0.00	0.00	2.27
20,000 Sale	01/13/15	03/02/16	358.47	318.67	0.00	0.00	39.80
29,000 Sale	01/13/15	03/02/16	519.79	462.07	0.00	0.00	57.72
114,000 Sale	01/13/15	05/25/16	1,958.47	1,816.42	0.00	0.00	142.05
Security Subtotal			6,480.76	5,831.66	0.00	0.00	649.10
KT CORP ADR							
140,000 Sale	05/09/14	01/28/16	1,584.78	2,211.51	0.00	0.00	(626.73)
2,000 Sale	05/09/14	02/24/16	23.53	31.59	0.00	0.00	(8.06)
57,000 Sale	05/09/14	06/01/16	819.65	900.41	0.00	0.00	(80.76)

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
KT CORP ADR	CUSIP Number	48268K101					
60.0000 Sale	05/09/14	11/18/16	900.08	947.79	0.00	0.00	(47.71)
32.0000 Sale	04/15/15	11/18/16	480.05	438.48	0.00	0.00	41.57
Security Subtotal			3,808.09	4,529.78	0.00	0.00	(721.69)
LLOYDS BANKING GROUP PLC ADR	CUSIP Number	539439109					
349.0000 Sale	04/28/14	01/28/16	1,301.92	1,746.78	0.00	0.00	(444.86)
459.0000 Sale	04/28/14	05/05/16	1,723.28	2,297.34	0.00	0.00	(574.06)
Security Subtotal			3,025.20	4,044.12	0.00	0.00	(1,018.92)
LG DISPLAY CO LTD	CUSIP Number	50186V102					
194.0000 Sale	04/28/14	01/28/16	1,745.99	2,647.58	0.00	0.00	(901.59)
5.0000 Sale	04/28/14	02/24/16	48.05	68.24	0.00	0.00	(20.19)
29.0000 Sale	04/28/14	03/01/16	292.83	395.77	0.00	0.00	(102.94)
72.0000 Sale	04/28/14	03/02/16	729.07	982.60	0.00	0.00	(253.53)
9.0000 Sale	04/28/14	03/03/16	89.30	122.83	0.00	0.00	(33.53)
47.0000 Sale	04/28/14	06/01/16	505.71	641.42	0.00	0.00	(135.71)
27.0000 Sale	04/28/14	08/09/16	379.92	368.48	0.00	0.00	11.44
21.0000 Sale	04/28/14	11/18/16	254.98	286.59	0.00	0.00	(31.61)
75.0000 Sale	10/27/15	11/18/16	910.67	714.44	0.00	0.00	196.23
Security Subtotal			4,956.52	6,227.95	0.00	0.00	(1,271.43)
LIXIL GROUP CORPORATION ADR	CUSIP Number	53931R103					
57.0000 Sale	04/28/14	01/28/16	2,368.31	2,972.55	0.00	0.00	(604.24)
6.0000 Sale	04/28/14	06/01/16	215.09	312.90	0.00	0.00	(97.81)
51.0000 Sale	04/28/14	11/18/16	2,274.55	2,659.65	0.00	0.00	(385.10)
4.0000 Sale	04/28/14	11/18/16	178.40	194.21	0.00	0.00 (v)	(15.81)
Security Subtotal			5,036.35	6,139.31	0.00	0.00	(1,102.96)
MARINE HARVEST ASA ADR	CUSIP Number	56824R205					
288.0000 Sale	04/28/14	01/28/16	3,849.63	3,347.19	0.00	0.00	502.44
4.0000 Sale	04/28/14	02/24/16	57.79	46.49	0.00	0.00	11.30
80.0000 Sale	04/28/14	06/01/16	1,346.46	900.64	0.00	0.00	445.82
11.0000 Sale	04/28/14	08/09/16	179.03	123.84	0.00	0.00	55.19
18.0000 Sale	04/28/14	08/18/16	280.03	202.64	0.00	0.00	77.39
6.0000 Sale	04/28/14	08/19/16	93.71	67.55	0.00	0.00	26.16



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
21 of 38

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
MARINE HARVEST ASA ADR	CUSIP Number 56824R205						
10.0000 Sale	04/28/14	08/22/16	157.61	112.58	0.00	0.00	45.03
89.0000 Sale	04/28/14	11/18/16	1,551.46	943.81	0.00	0.00	607.65
Security Subtotal			7,515.72	5,744.74	0.00	0.00	1,770.98
NSK LTD ADR	CUSIP Number 670184100						
90.0000 Sale	04/28/14	01/28/16	1,754.97	1,935.00	0.00	0.00	(180.03)
24.0000 Sale	04/28/14	05/06/16	414.67	516.00	0.00	0.00	(101.33)
31.0000 Sale	04/28/14	06/01/16	546.83	666.50	0.00	0.00	(119.67)
50.0000 Sale	04/28/14	11/18/16	1,123.47	1,075.00	0.00	0.00	48.47
25.0000 Sale	10/27/15	11/18/16	561.74	553.97	0.00	0.00	7.77
Security Subtotal			4,401.68	4,746.47	0.00	0.00	(344.79)
NESTLE S A REP RG SH ADR	CUSIP Number 641069406						
3.0000 Sale	11/15/11	01/28/16	216.21	170.70	0.00	0.00	45.51
12.0000 Sale	11/15/11	03/01/16	859.65	682.80	0.00	0.00	176.85
20.0000 Sale	11/15/11	05/05/16	1,455.81	1,138.00	0.00	0.00	317.81
16.0000 Sale	08/06/13	05/05/16	1,164.66	1,131.32	0.00	0.00	33.34
Security Subtotal			3,696.33	3,122.82	0.00	0.00	573.51
NORSK HYDRO AS SPNRD ADR	CUSIP Number 656531605						
375.0000 Sale	05/09/14	01/28/16	1,268.23	2,063.40	0.00	0.00	(795.17)
12.0000 Sale	05/09/14	02/24/16	44.67	66.03	0.00	0.00	(21.36)
157.0000 Sale	05/09/14	06/01/16	610.41	863.88	0.00	0.00	(253.47)
228.0000 Sale	05/09/14	11/18/16	1,020.50	1,254.54	0.00	0.00	(234.04)
112.0000 Sale	10/27/15	11/18/16	501.31	404.32	0.00	0.00	96.99
Security Subtotal			3,445.12	4,652.17	0.00	0.00	(1,207.05)
ORKLA AS A SHS ADR	CUSIP Number 686331109						
120.0000 Sale	05/14/14	01/28/16	947.98	1,056.84	0.00	0.00	(108.86)
2.0000 Sale	05/14/14	02/24/16	16.27	17.61	0.00	0.00	(1.34)
13.0000 Sale	05/14/14	04/21/16	109.64	114.49	0.00	0.00	(4.85)
94.0000 Sale	05/15/14	04/21/16	792.79	831.08	0.00	0.00	(38.29)
Security Subtotal			1,866.68	2,020.02	0.00	0.00	(153.34)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
22 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
OTSUKA HOLDINGS CO LTD							
SHS	CUSIP Number	689164101					
240.0000 Sale	04/28/14	01/28/16	3,986.33	3,439.20	0.00	0.00	547.13
4.0000 Sale	04/28/14	02/24/16	71.19	57.32	0.00	0.00	13.87
60.0000 Sale	04/28/14	03/02/16	1,095.92	859.80	0.00	0.00	236.12
45.0000 Sale	04/28/14	06/01/16	911.28	644.85	0.00	0.00	266.43
98.0000 Sale	04/28/14	06/08/16	2,140.94	1,404.34	0.00	0.00	736.60
Security Subtotal			8,205.66	6,405.51	0.00	0.00	1,800.15
POSCO SPN ADR							
46.0000 Sale	09/18/14	01/28/16	1,644.59	3,974.49	0.00	0.00	(2,329.90)
2.0000 Sale	09/18/14	02/24/16	79.46	172.80	0.00	0.00	(93.34)
26.0000 Sale	09/18/14	06/01/16	1,132.80	2,246.46	0.00	0.00	(1,113.66)
4.0000 Sale	09/18/14	11/18/16	212.42	345.61	0.00	0.00	(133.19)
29.0000 Sale	03/13/15	11/18/16	1,540.11	1,681.13	0.00	0.00	(141.02)
16.0000 Sale	10/27/15	11/18/16	849.73	653.44	0.00	0.00	196.29
Security Subtotal			5,459.11	9,073.93	0.00	0.00	(3,614.82)
QINETIQ GROUP PLC ADR							
130.0000 Sale	04/28/14	01/28/16	1,693.87	1,907.10	0.00	0.00	(213.23)
1.0000 Sale	04/28/14	02/24/16	12.78	14.67	0.00	0.00	(1.89)
131.0000 Sale	04/28/14	04/21/16	1,631.25	1,921.77	0.00	0.00	(290.52)
Security Subtotal			3,337.90	3,843.54	0.00	0.00	(505.64)
ROYAL KPN N V SP ADR							
827.0000 Sale	05/19/14	01/28/16	3,160.73	3,054.44	0.00	0.00	106.29
3.0000 Sale	05/19/14	02/24/16	11.12	11.08	0.00	0.00	0.04
181.0000 Sale	05/19/14	06/01/16	729.41	668.51	0.00	0.00	60.90
495.0000 Sale	05/19/14	11/18/16	1,357.26	1,828.23	0.00	0.00	(470.97)
Security Subtotal			5,258.52	5,562.26	0.00	0.00	(303.74)
SANOFI ADR							
28.0000 Sale	04/28/14	01/28/16	1,145.74	1,497.63	0.00	0.00	(351.89)
7.0000 Sale	04/28/14	06/01/16	287.50	374.41	0.00	0.00	(86.91)
24.0000 Sale	04/28/14	11/18/16	963.64	1,283.68	0.00	0.00	(320.04)
Security Subtotal			2,396.88	3,155.72	0.00	0.00	(758.84)

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
23 of 38

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SAFRAN SA-UNSPON ADR							
191.0000 Sale	04/22/13	01/28/16	2,981.46	2,191.46	0.00	0.00	790.00
67.0000 Sale	04/22/13	06/01/16	1,162.42	768.73	0.00	0.00	393.69
96.0000 Sale	04/22/13	11/18/16	1,580.12	1,101.46	0.00	0.00	478.66
57.0000 Sale	04/28/14	11/18/16	938.21	945.24	0.00	0.00	(7.03)
Security Subtotal			6,662.21	5,006.89	0.00	0.00	1,655.32
SCHNEIDER ELEC SE ADR							
120.0000 Sale	04/28/14	01/28/16	1,268.50	2,192.15	0.00	0.00	(923.65)
4.0000 Sale	04/28/14	02/24/16	45.44	73.07	0.00	0.00	(27.63)
67.0000 Sale	04/28/14	06/01/16	860.43	1,223.95	0.00	0.00	(363.52)
79.0000 Sale	04/28/14	11/18/16	1,033.45	1,443.16	0.00	0.00	(409.71)
45.0000 Sale	10/27/15	11/18/16	588.68	532.29	0.00	0.00	56.39
Security Subtotal			3,796.50	5,464.62	0.00	0.00	(1,668.12)
SUMITOMO MITSUI-UNSPONS ADR							
515.0000 Sale	04/28/14	01/28/16	3,491.69	4,066.80	0.00	0.00	(575.11)
110.0000 Sale	04/28/14	06/01/16	697.41	868.64	0.00	0.00	(171.23)
192.0000 Sale	04/28/14	09/07/16	1,360.17	1,516.16	0.00	0.00	(155.99)
330.0000 Sale	04/28/14	11/18/16	2,427.73	2,605.91	0.00	0.00	(178.18)
Security Subtotal			7,977.00	9,057.51	0.00	0.00	(1,080.51)
SONY CORP ADR NEW							
110.0000 Sale	09/11/14	01/28/16	2,215.89	2,180.72	0.00	0.00	35.17
12.0000 Sale	09/18/14	01/28/16	241.74	215.55	0.00	0.00	26.19
3.0000 Sale	09/18/14	02/24/16	63.80	53.89	0.00	0.00	9.91
41.0000 Sale	09/18/14	06/01/16	1,139.08	736.48	0.00	0.00	402.60
19.0000 Sale	10/20/15	11/18/16	554.59	517.46	0.00	0.00	37.13
100.0000 Sale	10/23/15	11/18/16	2,918.94	2,889.29	0.00	0.00	29.65
Security Subtotal			7,134.04	6,593.39	0.00	0.00	540.65
TDK CORP AMERN DEP SHR							
19.0000 Sale	09/12/14	01/28/16	1,009.83	1,037.53	0.00	0.00	(27.70)
10.0000 Sale	09/12/14	06/01/16	575.49	546.07	0.00	0.00	29.42
22.0000 Sale	09/12/14	11/18/16	1,520.61	1,201.35	0.00	0.00	319.26
Security Subtotal			3,105.93	2,784.95	0.00	0.00	320.98



LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
24 of 38

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
TOTAL SA	SP ADR	CUSIP Number	89151E109				
50.0000 Sale	03/06/14	01/28/16	2,205.46	3,262.21	0.00	0.00	(1,056.75)
4.0000 Sale	03/07/14	01/28/16	176.43	260.74	0.00	0.00	(84.31)
16.0000 Sale	03/17/14	01/28/16	705.75	1,039.25	0.00	0.00	(333.50)
5.0000 Sale	04/03/14	01/28/16	220.55	330.48	0.00	0.00	(109.93)
11.0000 Sale	04/03/14	06/01/16	522.62	727.07	0.00	0.00	(204.45)
13.0000 Sale	04/21/14	06/01/16	617.65	889.05	0.00	0.00	(271.40)
3.0000 Sale	04/21/14	11/18/16	137.70	205.17	0.00	0.00	(67.47)
6.0000 Sale	04/28/14	11/18/16	275.40	417.35	0.00	0.00	(141.95)
45.0000 Sale	03/13/15	11/18/16	2,065.53	2,120.01	0.00	0.00	(54.48)
Security Subtotal			6,927.09	9,251.33	0.00	0.00	(2,324.24)
TEVA PHARMACTCL INDS ADR							
59.0000 Sale	04/28/14	01/28/16	3,546.00	2,892.75	0.00	0.00	653.25
37.0000 Sale	04/28/14	05/09/16	1,935.12	1,814.10	0.00	0.00	121.02
14.0000 Sale	05/08/14	05/09/16	732.21	700.97	0.00	0.00	31.24
Security Subtotal			6,213.33	5,407.82	0.00	0.00	805.51
TURKIYE GRTI BK SPN ADR							
265.0000 Sale	05/14/14	01/28/16	663.29	1,065.93	0.00	0.00	(402.64)
2.0000 Sale	05/14/14	02/24/16	5.04	8.04	0.00	0.00	(3.00)
71.0000 Sale	05/14/14	06/01/16	179.34	285.59	0.00	0.00	(106.25)
189.0000 Sale	05/14/14	11/18/16	424.86	760.24	0.00	0.00	(335.38)
79.0000 Sale	10/27/15	11/18/16	177.59	206.19	0.00	0.00	(28.60)
Security Subtotal			1,450.12	2,325.99	0.00	0.00	(875.87)
TELEPERFORMCE UNSPOND ADR							
93.0000 Sale	04/28/14	01/28/16	3,796.19	2,653.94	0.00	0.00	1,142.25
17.0000 Sale	04/28/14	04/21/16	723.42	485.13	0.00	0.00	238.29
17.0000 Sale	04/28/14	06/01/16	738.63	485.13	0.00	0.00	253.50
36.0000 Sale	04/28/14	11/18/16	1,726.88	1,027.33	0.00	0.00	699.55
Security Subtotal			6,985.12	4,651.53	0.00	0.00	2,333.59
TRAVELSKY TECHNOLOGY LTD SPON ADR							
101.0000 Sale	04/28/14	01/28/16	1,423.06	808.00	0.00	0.00	615.06
1.0000 Sale	04/28/14	02/24/16	14.43	8.00	0.00	0.00	6.43
51.0000 Sale	04/28/14	06/01/16	956.23	408.00	0.00	0.00	548.23
17.0000 Sale	04/28/14	09/06/16	364.49	136.00	0.00	0.00	228.49

Attachment C-4



Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
25 of 38

LEO GOODWIN FOUNDATION, INC

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
TRAVELSKY TECHNOLOGY LTD SPON ADR							
35.0000 Sale	04/28/14	11/18/16	718.53	280.00	0.00	0.00	438.53
17.0000 Sale	04/28/14	11/18/16	349.01	136.00	0.00	0.00	213.01
Security Subtotal			3,825.75	1,776.00	0.00	0.00	2,049.75
UNILEVER NV NY REG SHS							
62.0000 Sale	04/28/14	01/28/16	2,683.94	2,617.01	0.00	0.00	66.93
14.0000 Sale	04/28/14	06/01/16	633.07	590.94	0.00	0.00	42.13
38.0000 Sale	04/28/14	11/18/16	1,479.37	1,603.98	0.00	0.00	(124.61)
Security Subtotal			4,796.38	4,811.93	0.00	0.00	(15.55)
UBS GROUP AG NAMEN-AKT							
10.0000 Sale	01/31/14	01/28/16	163.62	187.95	0.00	0.00	(24.33)
66.0000 Sale	02/03/14	01/28/16	1,079.96	1,217.97	0.00	0.00	(138.01)
101.0000 Sale	02/12/14	01/28/16	1,652.67	1,994.25	0.00	0.00	(341.58)
37.0000 Sale	03/14/14	06/01/16	566.19	688.32	0.00	0.00	(122.13)
63.0000 Sale	03/14/14	11/18/16	1,001.04	1,172.00	0.00	0.00	(170.96)
110.0000 Sale	04/28/14	11/18/16	1,747.87	2,016.96	0.00	0.00	(269.09)
Security Subtotal			6,211.35	7,277.45	0.00	0.00	(1,066.10)
VODAFONE GROUP PLC SHS ADR							
16.0000 Sale	03/23/15	06/01/16	537.75	542.99	0.00	0.00	(5.24)
39.0000 Sale	03/23/15	11/18/16	1,000.39	1,323.53	0.00	0.00	(323.14)
Security Subtotal			1,538.14	1,866.52	0.00	0.00	(328.38)
WIENERBERGER BAUST SPADR							
961.0000 Sale	04/28/14	01/28/16	2,882.95	3,536.48	0.00	0.00	(653.53)
15.0000 Sale	04/28/14	02/24/16	49.04	55.20	0.00	0.00	(6.16)
299.0000 Sale	04/28/14	06/01/16	1,046.48	1,100.32	0.00	0.00	(53.84)
637.0000 Sale	04/28/14	11/18/16	2,229.45	2,344.16	0.00	0.00	(114.71)
Security Subtotal			6,207.92	7,036.16	0.00	0.00	(828.24)
WPP PLC NEW/ADR							
26.0000 Sale	04/28/14	01/28/16	2,742.43	2,706.86	0.00	0.00	35.57
28.0000 Sale	04/28/14	05/05/16	3,207.64	2,915.08	0.00	0.00	292.56
Security Subtotal			5,950.07	5,621.94	0.00	0.00	328.13

LEO GOODWIN FOUNDATION, INC

Account No.
54C-02163

Taxpayer No.
XX-XXX4098

Page
26 of 38

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B **2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
Covered Long Term Capital Gains and Losses Subtotal			310,842.68	366,320.96	0.00	1,567.32	(53,910.96)
NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part II, (E)							
NESTLE S A REP RG SH ADR	CUSIP Number 641069406						
38.0000 Sale	02/18/10	01/28/16	2,738.60	1,814.12	0.00	0.00	924.48
12.0000 Sale	02/18/10	01/28/16	864.83	572.88	0.00	0.00	291.95
Security Subtotal			3,603.43	2,387.00	0.00	0.00	1,216.43
Noncovered Long Term Capital Gains and Losses Subtotal			3,603.43	2,387.00	0.00	0.00	1,216.43
NET LONG TERM CAPITAL GAINS AND LOSSES			314,446.11	368,707.96	0.00	1,567.32	(52,694.53)
OTHER TRANSACTIONS - Cost basis not reported to IRS - Form 8949, (X)							
BANCO SANTANDER SA ADR	CUSIP Number 05964H105						
Cash/Lieu	11/16/16	11/16/16	2.42	N/A	0.00	0.00	N/A
CEMEX SAB DE CV SPND ADR	CUSIP Number 151290889						
Cash/Lieu	05/11/16	05/11/16	0.28	N/A	0.00	0.00	N/A
Other Transactions Subtotal			2.70		0.00	0.00	
SALES PROCEEDS AND NET GAINS AND LOSSES*			375,774.56	433,022.86	0.00	1,567.32	(55,683.68)
COVERED SHORT TERM GAINS/LOSSES							(2,989.15)
NONCOVERED SHORT TERM GAIN/LOSSES							0.00
COVERED LONG TERM GAINS/LOSSES							(53,910.96)
NONCOVERED LONG TERM GAINS/LOSSES							1,216.43
OTHER TRANSACTIONS							N/C



Taxpayer No.
XX-XXX4098

Account No.
54C-02212

Page
7 of 17

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2016 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
ALTRIA GROUP INC	CUSIP Number	02209S103					
32.0000 Sale	02/05/16	05/05/16	2,014.05	1,907.09	0.00	0.00	106.96
22.0000 Sale	02/05/16	05/05/16	1,382.48	1,311.13	0.00	0.00	71.35
11.0000 Sale	02/22/16	05/05/16	692.32	671.00	0.00	0.00	21.32
8.0000 Sale	02/22/16	05/05/16	502.72	488.00	0.00	0.00	14.72
24.0000 Sale	02/22/16	05/06/16	1,509.69	1,464.00	0.00	0.00	45.69
70.0000 Sale	02/05/16	05/06/16	4,403.24	4,171.77	0.00	0.00	231.47
7.0000 Sale	02/22/16	05/09/16	443.08	427.00	0.00	0.00	16.08
21.0000 Sale	02/05/16	05/09/16	1,329.23	1,251.53	0.00	0.00	77.70
Security Subtotal			12,276.81	11,691.52	0.00	0.00	585.29
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
ABBVIE INC SHS	CUSIP Number	00287Y109					
8.0000 Sale	02/22/16	06/30/16	491.91	438.64	0.00	0.00	53.27
96.0000 Sale	02/05/16	07/14/16	6,109.51	5,105.94	0.00	0.00	1,003.57
60.0000 Sale	02/05/16	07/15/16	3,826.95	3,191.22	0.00	0.00	635.73
41.0000 Sale	02/05/16	07/18/16	2,607.87	2,180.66	0.00	0.00	427.21
34.0000 Sale	02/05/16	07/19/16	2,155.94	1,808.36	0.00	0.00	347.58
5.0000 Sale	02/05/16	07/20/16	318.62	265.93	0.00	0.00	52.69
55.0000 Sale	02/22/16	07/20/16	3,504.85	3,015.64	0.00	0.00	489.21
7.0000 Sale	02/22/16	07/21/16	443.68	383.81	0.00	0.00	59.87
Security Subtotal			19,459.33	16,390.20	0.00	0.00	3,069.13



Taxpayer No.
XX-XXX4098

Account No.
54C-02212

Page
8 of 17

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b Date Acquired	1c Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
AT&T INC	CUSIP Number	00206R102					
9.0000 Sale	02/05/16	06/30/16	384.02	331.24	0.00	0.00	52.78
AUTOMATIC DATA PROC	CUSIP Number	053015103					
52.0000 Sale	02/05/16	05/05/16	4,522.73	4,221.78	0.00	0.00	300.95
67.0000 Sale	02/05/16	05/06/16	5,820.54	5,439.59	0.00	0.00	380.95
50.0000 Sale	02/05/16	05/09/16	4,363.05	4,059.40	0.00	0.00	303.65
1.0000 Sale	02/05/16	05/10/16	87.41	81.19	0.00	0.00	6.22
56.0000 Sale	02/22/16	05/10/16	4,895.35	4,817.97	0.00	0.00	77.38
Security Subtotal			19,689.08	18,619.93	0.00	0.00	1,069.15
CENTURYLINK INC SHS	CUSIP Number	156700106					
21.0000 Sale	02/05/16	11/03/16	482.96	559.88	0.00	76.92 (w)	0.00
84.0000 Sale	02/05/16	11/03/16	1,962.89	2,239.53	0.00	276.64 (w)	0.00
80.0000 Sale	02/05/16	11/03/16	1,869.41	2,132.89	0.00	0.00	(263.48)
180.0000 Sale	02/05/16	11/04/16	4,182.41	4,799.00	0.00	0.00	(616.59)
11.0000 Sale	02/05/16	11/04/16	255.60	352.75	0.00	0.00	(97.15)
10.0000 Sale	02/05/16	11/07/16	233.09	320.68	0.00	0.00	(87.59)
84.0000 Sale	02/05/16	11/07/16	1,957.97	2,662.68	0.00	0.00	(704.71)
132.0000 Sale	02/22/16	11/07/16	3,076.82	3,937.48	0.00	0.00	(860.66)
Security Subtotal			14,021.15	17,004.89	0.00	353.56	(2,630.18)
CALIFORNIA RESOURCES CORP SHS	CUSIP Number	13057Q107					
21.0000 Sale	02/05/16	03/03/16	20.29	24.02	0.00	0.00	(3.73)
6.0000 Sale	02/22/16	03/03/16	5.80	7.50	0.00	0.00	(1.70)
Cash/Lieu	02/22/16	04/04/16	1.03	1.20	0.00	0.00	(0.17)
Security Subtotal			27.12	32.72	0.00	0.00	(5.60)
DIGITAL RLTY TR INC	CUSIP Number	253868103					
3.0000 Sale	02/22/16	06/30/16	324.05	245.58	0.00	0.00	78.47
14.0000 Sale	02/22/16	07/18/16	1,484.14	1,146.04	0.00	0.00	338.10
4.0000 Sale	02/22/16	07/18/16	423.86	327.44	0.00	0.00	96.42
13.0000 Sale	02/22/16	07/19/16	1,372.52	1,064.18	0.00	0.00	308.34
3.0000 Sale	02/05/16	09/13/16	280.43	244.25	0.00	0.00	36.18
2.0000 Sale	02/05/16	09/13/16	186.65	162.84	0.00	0.00	23.81
9.0000 Sale	02/22/16	09/13/16	841.30	736.74	0.00	0.00	104.56
6.0000 Sale	02/22/16	09/13/16	559.96	491.16	0.00	0.00	68.80
4.0000 Sale	02/05/16	09/14/16	370.62	325.67	0.00	0.00	44.95
13.0000 Sale	02/22/16	09/14/16	1,204.51	1,064.17	0.00	0.00	140.34

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
DIGITAL RLTY TR INC	CUSIP Number 253868103						
1.0000 Sale	02/05/16	09/15/16	91.95	81.42	0.00	0.00	10.53
2.0000 Sale	02/22/16	09/15/16	183.90	163.72	0.00	0.00	20.18
Security Subtotal			7,323.89	6,053.21	0.00	0.00	1,270.68
EXXON MOBIL CORP	CUSIP Number 30231G102						
5.0000 Sale	02/22/16	06/30/16	463.34	412.29	0.00	0.00	51.05
21.0000 Sale	02/05/16	07/26/16	1,920.80	1,662.15	0.00	0.00	258.65
38.0000 Sale	02/05/16	07/26/16	3,479.29	3,007.70	0.00	0.00	471.59
7.0000 Sale	02/22/16	07/26/16	640.27	577.20	0.00	0.00	63.07
11.0000 Sale	02/22/16	07/26/16	1,007.17	907.03	0.00	0.00	100.14
44.0000 Sale	02/05/16	07/27/16	4,006.81	3,482.61	0.00	0.00	524.20
14.0000 Sale	02/22/16	07/27/16	1,274.89	1,154.40	0.00	0.00	120.49
67.0000 Sale	02/05/16	07/28/16	6,038.55	5,303.06	0.00	0.00	735.49
22.0000 Sale	02/22/16	07/28/16	1,982.81	1,814.05	0.00	0.00	168.76
17.0000 Sale	02/05/16	07/29/16	1,477.40	1,345.55	0.00	0.00	131.85
5.0000 Sale	02/22/16	07/29/16	434.53	412.28	0.00	0.00	22.25
Security Subtotal			22,725.86	20,078.32	0.00	0.00	2,647.54
KRAFT (THE) HEINZ CO SHS	CUSIP Number 500754106						
5.0000 Sale	02/22/16	06/30/16	433.72	361.20	0.00	0.00	72.52
ELI LILLY & CO	CUSIP Number 532457108						
35.0000 Sale	02/05/16	02/18/16	2,584.08	2,622.00	0.00	0.00	(37.92)
54.0000 Sale	02/05/16	02/19/16	3,921.78	4,045.37	0.00	0.00	(123.59)
36.0000 Sale	02/22/16	02/22/16	2,622.73	2,696.92	0.00	0.00	(74.19)
Security Subtotal			9,128.59	9,364.29	0.00	0.00	(235.70)
METLIFE INC	CUSIP Number 59156R108						
4.0000 Sale	07/21/16	10/18/16	187.33	172.59	0.00	0.00	14.74
11.0000 Sale	07/21/16	10/18/16	516.25	474.64	0.00	0.00	41.61
6.0000 Sale	07/22/16	10/18/16	281.01	259.25	0.00	0.00	21.76
16.0000 Sale	07/22/16	10/18/16	750.90	691.35	0.00	0.00	59.55
13.0000 Sale	07/21/16	10/19/16	609.88	560.93	0.00	0.00	48.95
18.0000 Sale	07/22/16	10/19/16	844.45	777.76	0.00	0.00	66.69
Security Subtotal			3,189.82	2,936.52	0.00	0.00	253.30
PACCAR INC	CUSIP Number 693718108						
34.0000 Sale	02/22/16	06/30/16	1,718.96	1,771.99	0.00	0.00	(53.03)

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
PPL CORPORATION	CUSIP Number 69351T106						
10.0000 Sale	02/05/16	06/30/16	372.23	363.67	0.00	0.00	8.56
SPECTRA ENERGY CORP	CUSIP Number 847560109						
15.0000 Sale	02/05/16	10/18/16	642.41	440.97	0.00	0.00	201.44
35.0000 Sale	02/05/16	10/18/16	1,499.07	1,028.94	0.00	0.00	470.13
46.0000 Sale	02/05/16	10/19/16	1,977.94	1,352.32	0.00	0.00	625.62
Security Subtotal			4,119.42	2,822.23	0.00	0.00	1,297.19
TORONTO DOMINION BANK	CUSIP Number 891160509						
49.0000 Sale	02/22/16	06/30/16	2,088.97	1,868.62	0.00	0.00	220.35
VENTAS INC REIT	CUSIP Number 92276F100						
9.0000 Sale	02/05/16	09/13/16	608.08	506.97	0.00	0.00	101.11
17.0000 Sale	02/05/16	09/13/16	1,152.21	957.61	0.00	0.00	194.60
26.0000 Sale	02/05/16	09/14/16	1,762.85	1,464.58	0.00	0.00	298.27
22.0000 Sale	02/05/16	09/15/16	1,493.54	1,239.26	0.00	0.00	254.28
Security Subtotal			5,016.68	4,168.42	0.00	0.00	848.26
VERIZON COMMUNICATIONS COM	CUSIP Number 92343V104						
9.0000 Sale	02/22/16	06/30/16	495.75	459.00	0.00	0.00	36.75
Covered Short Term Capital Gains and Losses Subtotal			122,471.40	114,317.97	0.00	353.56	8,506.99
NET SHORT TERM CAPITAL GAINS AND LOSSES			122,471.40	114,317.97	0.00	353.56	8,506.99
SALES PROCEEDS AND NET GAINS AND LOSSES			122,471.40	114,317.97	0.00	353.56	8,506.99
COVERED SHORT TERM GAINS/LOSSES							8,506.99

(W) This transaction has been identified as a "Wash Sale". The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

UBS FINANCIAL SERVICES INC.

Account JU 24165

Proceeds from Broker and Barter Exchange Transactions

2016 1099-B* OMB No 1545-0715

02/28/2017

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position

Several column headings describe two related items a quantity and a qualifier For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium) When these conditions exist, the qualifier is shown to the right of the amount

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked Basis is provided to the IRS (Line 3)

*Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRANE CO COMMON STOCK / CUSIP 224399105 / Symbol CR							
11/25/16	500 000	35,424.23 N	07/11/16	28,896.50		6,527.73	Sale Proceeds adjusted for option premium of \$424.99
JOHNSON CONTROLS INC **MERGER EFF 09/2016** / CUSIP 478366107 / Symbol							
09/06/16	400 000	18,284.66	06/30/16	17,682.48		602.18	Merger
NORDSTROM INC COMMON STOCK / CUSIP 655664100 / Symbol JWN							
11/22/16	500 000	24,429.47 N	07/05/16	18,472.50		5,956.97	Sale Proceeds adjusted for option premium of \$679.99
ADIANT PLC FOREIGN STOCK / CUSIP G0084W101 / Symbol ADNT							
10/31/16	0.400	18.15	10/31/16	18.63		-0.48	Sale
JOHNSON CTLS INTL PLC FOREIGN STOCK / CUSIP G51502105 / Symbol JCI							
09/06/16	0.280	13.55	09/06/16	13.40		0.15	Sale
Totals :		78,170.06		65,083.51		13,086.55	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Attachment C-6



Schwab One® Account of
LEO GOODWIN FOUNDATION

Account Number
4126-9084

TAX YEAR 2016
FORM 1099 COMPOSITE

Date Prepared: February 10, 2017

Recipient's Name and Address

LEO GOODWIN FOUNDATION
800 CORPORATE DR STE 500
FORT LAUDERDALE FL 33334

Taxpayer ID Number: **-***4098
Account Number: 4126-9084

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2016

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with Box A checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS. Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount		4-Federal Income tax withheld
					1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	
1.875 AMG SOUTHERNSUN SMALL CAP I 00170K547 / SSSIX	12/28/15	S	\$ 32,603.96	\$ 35,533.15	--	\$ (2,929.19)	\$ 0.00
Security Subtotal	01/26/16		\$ 32,603.96	\$ 35,533.15	--	\$ (2,929.19)	\$ 0.00
2.500 ARES CAPITAL CORP 04010L103 / ARCC	04/20/16	S	\$ 35,224.28	\$ 37,784.24	--	\$ (2,559.96)	\$ 0.00
Security Subtotal	06/27/16		\$ 35,224.28	\$ 37,784.24	--	\$ (2,559.96)	\$ 0.00
6.592 CAMBIAR GBL ULTRA FOCUS FD IN 0075W0650 / CAMAX	07/22/15	S	\$ 96,518.99	\$ 125,000.00	--	\$ (28,481.01)	\$ 0.00
Security Subtotal	06/27/16		\$ 96,518.99	\$ 125,000.00	--	\$ (28,481.01)	\$ 0.00

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Attachment C-7



Schwab One® Account of
LEO GOODWIN FOUNDATION

Account Number
4126-9084

TAX YEAR 2016
FORM 1099 COMPOSITE

Taxpayer ID Number: **_***4098

Date Prepared: February 10, 2017

Proceeds from Broker Transactions — 2016 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with Box A checked.

1a-Description of property (Example: 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired 1c-Date sold or disposed **	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount		Realized Gain or (Loss)	4-Federal Income tax withheld
				1g-Wash Sale Loss Disallowed			
5.194 HENDERSON GLOBAL EQUITY INCO 425067634 / HFQIX	VARIOUS 11/17/16	\$ 35,788.26	\$ 36,209.45	--	--	(421.19)	\$ 0.00
Security Subtotal		\$ 35,788.26	\$ 36,209.45	--	--	(421.19)	\$ 0.00
31.677 VAN ECK EMERG MKTS FD CL I 921075438 / EMRIX	VARIOUS 01/26/16	\$ 361,088.91	\$ 436,866.68	--	--	(75,777.77)	\$ 0.00
Security Subtotal		\$ 361,088.91	\$ 436,866.68	--	--	(75,777.77)	\$ 0.00
Total Short-Term (Cost basis is reported to the IRS)		\$ 561,224.40	\$ 671,393.52	--	--		
Total Short-Term Sales Price of Stocks, Bonds, etc.		\$ 561,224.40	\$ 671,393.52	--	--		

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Attachment C-7



Schwab One® Account of
LEO GOODWIN FOUNDATION

Account Number
4126-9084

TAX YEAR 2016
FORM 1099 COMPOSITE

Taxpayer ID Number: **-***4098

Date Prepared: February 10, 2017

Proceeds from Broker Transactions — 2016 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with Box D checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired	1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount		4-Federal Income tax withheld
					1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)	
14.347 AMG SOUTHERNSUN SMALL CAP L S 00170K547 / SSSIX	VARIOUS \$		249,472.98 \$	348,401.49	--	(98,928.51) \$	0.00
	01/26/16				--		
Security Subtotal	\$		249,472.98 \$	348,401.49	--	(98,928.51) \$	0.00
8.149 CAMBIAR GLBL ULTRA FOCUS FD IN S 0075W0650 / CAMAX	VARIOUS \$		119,306.88 \$	88,972.69	--	30,334.19 \$	0.00
	06/27/16				--		
Security Subtotal	\$		119,306.88 \$	88,972.69	--	30,334.19 \$	0.00
73.939 HENDERSON GLOBAL EQUITY INC S 425067634 / HFQIX	VARIOUS \$		509,423.73 \$	611,498.62	--	(102,074.89) \$	0.00
	11/17/16				--		
Security Subtotal	\$		509,423.73 \$	611,498.62	--	(102,074.89) \$	0.00
Total Long-Term (Cost basis is reported to the IRS)	\$		878,203.59 \$	1,048,872.80	--		

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Attachment C-7



Schwab One® Account of
LEO GOODWIN FOUNDATION

Account Number
4126-9084

TAX YEAR 2016
FORM 1099 COMPOSITE

Taxpayer ID Number: **-***4098

Date Prepared: February 10, 2017

Proceeds from Broker Transactions — 2016 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS AVAILABLE BUT NOT REPORTED TO THE IRS - Report on Form 8949, Part II, with Box E checked.

1a-Description of property (Example 100 sh XYZ Co.) CUSIP Number / Symbol	1b-Date acquired	1c-Date sold or disposed	1d-Reported to IRS Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount		Realized Gain or (Loss)	4-Federal Income tax withheld
					1g-Wash Sale Loss Disallowed			
16.625 CAMBIAR GBL ULTRA FOCUS FDI 0075W0650 / CAMAX	VARIOUS	S	243,399.63	227,068.37	--	\$	16,331.26	0.00
	06/27/16				--			
Security Subtotal			\$ 243,399.63	\$ 227,068.37	--	\$	16,331.26	0.00
					--			
3.218 MATTHEW 25 FUND 577119100 / MXXVX	07/11/11	S	80,000.00	55,317.78	--	\$	24,682.22	0.00
	06/27/16				--			
Security Subtotal			\$ 80,000.00	\$ 55,317.78	--	\$	24,682.22	0.00
					--			
Total Long-Term (Cost basis is available but not reported to the IRS)			\$ 323,399.63	\$ 282,386.15	--			
					--			
Total Long-Term Sales Price of Stocks, Bonds, etc.			\$ 1,201,603.22	\$ 1,331,258.95	--			
					--			
Total Sales Price of Stocks, Bonds, etc.			\$ 1,762,827.62					
Total Federal Income Tax Withheld			\$ 0.00					

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Attachment C-7

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

2016

03/10/2017

Sales transactions are organized into sections according to term (long, short or undetermined) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase

Several columns include both an amount and a qualifying notation to its right Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross) Accrued market discount and Wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions Similarly, sales of collectibles, noted as "12- [X] Collectible," are handled distinctly under the tax code You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ANHEUSER BUSCH INBEV FIN INC B/E 2 65% DUE 02/01/21 / CUSIP 035242AJ5 / Symbol							
06/21/16	10,000 000	10,282.60	05/11/16	10,233.23	.	49.37	Sale ⁵⁶ Original basis \$10,238.90
CHEVRON CORP NEW B/E 3 191% DUE 06/24/23 / CUSIP 166764AH3 / Symbol							
02/03/16	20,000 000	19,967.00	01/28/16	20,053.40	.	-86.40	Sale Original basis \$20,053.40
06/21/16	20,000 000	20,937.60	01/28/16	20,053.40	.	884.20	Sale Original basis \$20,053.40
Security total		40,904.60		40,106.80	.	797.80	
CITIGROUP INC B/E 2 5% DUE 09/26/18 / CUSIP 172967HC8 / Symbol							
02/03/16	21,000.000	21,169.68	02/20/15	21,406.77	.	-237.09	Sale Original basis \$21,406.77
COMM 2006-C7 CL A-4 6 006% DUE 06/10/46 / CUSIP 20047QAE5 / Symbol							
01/11/16	0 000	2,510.49	01/11/16	2,510.49	.	0.00	Principal payment
02/10/16	0 000	5,694.97	02/10/16	5,694.97	.	0.00	Principal payment
03/10/16	0 000	4,156.42	03/10/16	4,156.42	.	0.00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

Proceeds Not Reported to the IRS

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported Date (G)Gross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COMM 2006-C7 CL A-4 6 006% DUE 06/10/46 / CUSIP 20047QAE5 / Symbol (cont'd)						
04/11/16	0.000	5,781.60	5,781.60	..	0.00	Principal payment
	Security total	18,143.48	18,143.48		0.00	
COSTCO WHSL CORP NEW B/E 1.7% DUE 12/15/19 / CUSIP 22160KAF2 / Symbol						
06/21/16	10,000 000	10,166.20	10,187.80	..	-21.60	Sale Original basis \$10,187.80
CSFB COML MTG PTC 2007-C5 CL A-4 5 695% DUE 09/17/40 / CUSIP 22546BAF7 / Symbol						
01/15/16	0.000	2,766.72	2,766.72	.	0.00	Principal payment
02/18/16	0.000	5,501.06	5,501.06	..	0.00	Principal payment
	Security total	8,267.78	8,267.78	.	0.00	
FHLMC PC GOLD COMB 30 7% DUE 07/01/32 PL G0-1449 / CUSIP 31283HTE5 / Symbol						
01/15/16	0.000	11.66	11.66	.	0.00	Principal payment
02/16/16	0.000	10.37	10.37	..	0.00	Principal payment
03/15/16	0.000	9.11	9.11	.	0.00	Principal payment
04/15/16	0.000	13.06	13.06	.	0.00	Principal payment
05/16/16	0.000	8.96	8.96	.	0.00	Principal payment
06/15/16	0.000	11.94	11.94	.	0.00	Principal payment
07/15/16	0.000	12.68	12.68	.	0.00	Principal payment
08/15/16	0.000	8.22	8.22	.	0.00	Principal payment
09/15/16	0.000	19.93	19.93	.	0.00	Principal payment
10/17/16	0.000	8.73	8.73	.	0.00	Principal payment
11/15/16	0.000	8.02	8.02	.	0.00	Principal payment
12/15/16	0.000	9.67	9.67	.	0.00	Principal payment
	Security total	132.35	132.35	.	0.00	
FHLMC PC GOLD COMB 30 5% DUE 12/01/35 PL A4-7923 / CUSIP 3128K8YU4 / Symbol						
01/15/16	0.000	66.81	66.81	.	0.00	Principal payment
02/16/16	0.000	12.56	12.56	..	0.00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

03/10/2017

2016

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) or (N) net acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD COMB 30 5% DUE 12/01/35 PL A4-7923 / CUSIP 3128K8YU4 / Symbol (cont'd)							
03/15/16	0.000	15.74	03/15/16	15.74	.	0.00	Principal payment
04/15/16	0.000	41.31	04/15/16	41.31	.	0.00	Principal payment
05/16/16	0.000	34.01	05/16/16	34.01	.	0.00	Principal payment
06/15/16	0.000	43.06	06/15/16	43.06	.	0.00	Principal payment
07/15/16	0.000	43.62	07/15/16	43.62	...	0.00	Principal payment
08/15/16	0.000	34.78	08/15/16	34.78	.	0.00	Principal payment
09/15/16	0.000	49.12	09/15/16	49.12	.	0.00	Principal payment
10/17/16	0.000	3.21	10/17/16	3.21	.	0.00	Principal payment
11/15/16	0.000	12.25	11/15/16	12.25	.	0.00	Principal payment
12/15/16	0.000	3.02	12/15/16	3.02	.	0.00	Principal payment
Security total				359.49	.	0.00	
FHLMC PC GOLD COMB 15 5% DUE 02/01/22 PL G1-2522 / CUSIP 3128MBAX5 / Symbol							
01/15/16	0.000	19.16	01/15/16	19.16	.	0.00	Principal payment
02/16/16	0.000	20.01	02/16/16	20.01	.	0.00	Principal payment
03/15/16	0.000	28.72	03/15/16	28.72	.	0.00	Principal payment
04/15/16	0.000	38.78	04/15/16	38.78	..	0.00	Principal payment
05/16/16	0.000	21.24	05/16/16	21.24	.	0.00	Principal payment
06/15/16	0.000	21.78	06/15/16	21.78	.	0.00	Principal payment
07/15/16	0.000	27.00	07/15/16	27.00	.	0.00	Principal payment
08/15/16	0.000	16.55	08/15/16	16.55	.	0.00	Principal payment
09/15/16	0.000	19.62	09/15/16	19.62	...	0.00	Principal payment
10/17/16	0.000	20.87	10/17/16	20.87	.	0.00	Principal payment
11/15/16	0.000	21.15	11/15/16	21.15	.	0.00	Principal payment
12/15/16	0.000	15.86	12/15/16	15.86	.	0.00	Principal payment
Security total				270.74	.	0.00	
FHLMC PC GOLD COMB 15 5% DUE 12/01/21 PL G1-2674 / CUSIP 3128MBFP7 / Symbol							
01/15/16	0.000	20.34	01/15/16	20.34	.	0.00	Principal payment
02/16/16	0.000	19.73	02/16/16	19.73	.	0.00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Symbol	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD COMB 15 5% DUE 12/01/21 PL G1-2674 / CUSIP 3128MBFP7 / Symbol (cont'd)								
03/15/16	0.000	18.83	03/15/16	18.83			0.00	Principal payment
04/15/16	0.000	22.15	04/15/16	22.15			0.00	Principal payment
05/16/16	0.000	18.76	05/16/16	18.76			0.00	Principal payment
06/15/16	0.000	19.20	06/15/16	19.20			0.00	Principal payment
07/15/16	0.000	20.04	07/15/16	20.04			0.00	Principal payment
08/15/16	0.000	16.83	08/15/16	16.83			0.00	Principal payment
09/15/16	0.000	17.17	09/15/16	17.17			0.00	Principal payment
10/17/16	0.000	16.29	10/17/16	16.29			0.00	Principal payment
11/15/16	0.000	17.55	11/15/16	17.55			0.00	Principal payment
12/15/16	0.000	17.31	12/15/16	17.31			0.00	Principal payment
		224.20		224.20			0.00	
Security total								
FHLMC PC GOLD COMB 15 5% DUE 07/01/22 PL G1-2709 / CUSIP 3128MBGS0 / Symbol								
01/15/16	0.000	2.62	01/15/16	2.62			0.00	Principal payment
02/16/16	0.000	2.67	02/16/16	2.67			0.00	Principal payment
03/15/16	0.000	2.03	03/15/16	2.03			0.00	Principal payment
04/15/16	0.000	2.35	04/15/16	2.35			0.00	Principal payment
05/16/16	0.000	2.02	05/16/16	2.02			0.00	Principal payment
06/15/16	0.000	1.95	06/15/16	1.95			0.00	Principal payment
07/15/16	0.000	1.66	07/15/16	1.66			0.00	Principal payment
08/15/16	0.000	2.48	08/15/16	2.48			0.00	Principal payment
09/15/16	0.000	2.04	09/15/16	2.04			0.00	Principal payment
10/17/16	0.000	1.69	10/17/16	1.69			0.00	Principal payment
11/15/16	0.000	2.17	11/15/16	2.17			0.00	Principal payment
12/15/16	0.000	1.92	12/15/16	1.92			0.00	Principal payment
		25.60		25.60			0.00	
Security total								
FHLMC PC GOLD COMB 15 5% DUE 08/01/22 PL G1-2769 / CUSIP 3128MBJN8 / Symbol								
01/15/16	0.000	1.10	01/15/16	1.10			0.00	Principal payment
02/16/16	0.000	0.92	02/16/16	0.92			0.00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD COMB 15 5% DUE 08/01/22 PL G1-2769 / CUSIP 3128MBJN8 / Symbol (cont'd)							
03/15/16	0.000	1.77	03/15/16	1.77		0.00	Principal payment
04/15/16	0.000	0.88	04/15/16	0.88	...	0.00	Principal payment
05/16/16	0.000	0.95	05/16/16	0.95		0.00	Principal payment
06/15/16	0.000	0.85	06/15/16	0.85	...	0.00	Principal payment
07/15/16	0.000	0.91	07/15/16	0.91		0.00	Principal payment
08/15/16	0.000	1.40	08/15/16	1.40	..	0.00	Principal payment
09/15/16	0.000	0.79	09/15/16	0.79		0.00	Principal payment
10/17/16	0.000	0.97	10/17/16	0.97	...	0.00	Principal payment
11/15/16	0.000	0.82	11/15/16	0.82		0.00	Principal payment
12/15/16	0.000	0.60	12/15/16	0.60		0.00	Principal payment
	Security total	11.96		11.96		0.00	
FHLMC PC GOLD COMB 15 5% DUE 11/01/22 PL G1-2896 / CUSIP 3128MBNM5 / Symbol							
01/15/16	0.000	1.01	01/15/16	1.01		0.00	Principal payment
02/16/16	0.000	1.14	02/16/16	1.14	..	0.00	Principal payment
03/15/16	0.000	0.90	03/15/16	0.90		0.00	Principal payment
04/15/16	0.000	1.01	04/15/16	1.01	..	0.00	Principal payment
05/16/16	0.000	1.08	05/16/16	1.08		0.00	Principal payment
06/15/16	0.000	0.87	06/15/16	0.87		0.00	Principal payment
07/15/16	0.000	0.73	07/15/16	0.73		0.00	Principal payment
08/15/16	0.000	1.20	08/15/16	1.20		0.00	Principal payment
09/15/16	0.000	0.85	09/15/16	0.85		0.00	Principal payment
10/17/16	0.000	0.96	10/17/16	0.96		0.00	Principal payment
11/15/16	0.000	0.79	11/15/16	0.79		0.00	Principal payment
12/15/16	0.000	0.79	12/15/16	0.79		0.00	Principal payment
	Security total	11.33		11.33		0.00	
FHLMC PC GOLD COMB 15 5% DUE 05/01/23 PL G1-3206 / CUSIP 3128MBYB7 / Symbol							
01/15/16	0.000	2.98	01/15/16	2.98		0.00	Principal payment
02/16/16	0.000	6.64	02/16/16	6.64		0.00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

2016

Proceeds Not Reported to the IRS (continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD COMB 15 5% DUE 05/01/23 PL G1-3206 / CUSIP 3128MBYB7 / Symbol (cont'd)							
03/15/16	0 000	6 48	03/15/16	6 48	.	0 00	Principal payment
04/15/16	0 000	2 90	04/15/16	2 90	.	0 00	Principal payment
05/16/16	0 000	2 14	05/16/16	2 14	.	0 00	Principal payment
06/15/16	0 000	2 00	06/15/16	2 00	.	0 00	Principal payment
07/15/16	0 000	1 37	07/15/16	1 37	...	0 00	Principal payment
08/15/16	0 000	1 98	08/15/16	1 98	.	0 00	Principal payment
09/15/16	0 000	3 12	09/15/16	3 12	.	0 00	Principal payment
10/17/16	0 000	1 35	10/17/16	1 35	..	0 00	Principal payment
11/15/16	0 000	3 19	11/15/16	3 19	.	0 00	Principal payment
12/15/16	0 000	4 81	12/15/16	4 81	.	0 00	Principal payment
Security total				38 96	.	0 00	
FHLMC PC GOLD COMB 15 5% DUE 02/01/24 PL G1-3452 / CUSIP 3128MCBV6 / Symbol							
01/15/16	0 000	2 05	01/15/16	2 05	.	0 00	Principal payment
02/16/16	0 000	3 60	02/16/16	3 60	.	0 00	Principal payment
03/15/16	0 000	2 18	03/15/16	2 18	...	0 00	Principal payment
04/15/16	0 000	7 30	04/15/16	7 30	.	0 00	Principal payment
05/16/16	0 000	7 20	05/16/16	7 20	.	0 00	Principal payment
06/15/16	0 000	5 93	06/15/16	5 93	.	0 00	Principal payment
07/15/16	0 000	6 13	07/15/16	6 13	.	0 00	Principal payment
08/15/16	0 000	1 98	08/15/16	1 98	.	0 00	Principal payment
09/15/16	0 000	4 27	09/15/16	4 27	.	0 00	Principal payment
10/17/16	0 000	4 27	10/17/16	4 27	.	0 00	Principal payment
11/15/16	0 000	1 84	11/15/16	1 84	.	0 00	Principal payment
12/15/16	0 000	1 70	12/15/16	1 70	.	0 00	Principal payment
Security total				48 45	.	0 00	
FHLMC PC GOLD COMB 15 5% DUE 03/01/24 PL G1-8304 / CUSIP 3128MMKS1 / Symbol							
01/15/16	0 000	35 38	01/15/16	35 38	.	0 00	Principal payment
02/16/16	0 000	43 03	02/16/16	43 03	.	0 00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

2016

Proceeds Not Reported to the IRS (continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD COMB 15 5% DUE 03/01/24 PL G1-8304 / CUSIP 3128MMKS1 / Symbol (cont'd)							
03/15/16	0 000	83.44	03/15/16	83.44	..	0 00	Principal payment
04/15/16	0 000	54.05	04/15/16	54.05	.	0.00	Principal payment
05/16/16	0 000	62.14	05/16/16	62.14	..	0.00	Principal payment
06/15/16	0 000	27.35	06/15/16	27.35	..	0.00	Principal payment
07/15/16	0 000	51.29	07/15/16	51.29	..	0.00	Principal payment
08/15/16	0 000	33.63	08/15/16	33.63	.	0.00	Principal payment
09/15/16	0 000	36.76	09/15/16	36.76	.	0.00	Principal payment
10/17/16	0 000	64.94	10/17/16	64.94	.	0.00	Principal payment
11/15/16	0 000	84.67	11/15/16	84.67	..	0.00	Principal payment
12/15/16	0 000	55.45	12/15/16	55.45	..	0.00	Principal payment
	Security total	632.13		632.13	..	0.00	
FHLMC PC GOLD 15 YR 5% DUE 03/01/23 PL J0-7091 / CUSIP 3128PJ2Y2 / Symbol							
01/15/16	0 000	1 08	01/15/16	1 08	.	0 00	Principal payment
02/16/16	0 000	1 12	02/16/16	1 12	.	0.00	Principal payment
03/15/16	0 000	1 10	03/15/16	1 10	.	0.00	Principal payment
04/15/16	0 000	1 12	04/15/16	1 12	..	0.00	Principal payment
05/16/16	0 000	1 17	05/16/16	1 17	.	0.00	Principal payment
06/15/16	0 000	1 17	06/15/16	1 17	.	0.00	Principal payment
07/15/16	0 000	4 11	07/15/16	4 11	.	0.00	Principal payment
08/15/16	0 000	1 11	08/15/16	1 11	.	0.00	Principal payment
09/15/16	0 000	1 10	09/15/16	1 10	.	0.00	Principal payment
10/17/16	0 000	1 14	10/17/16	1 14	.	0.00	Principal payment
11/15/16	0 000	1 15	11/15/16	1 15	.	0.00	Principal payment
12/15/16	0 000	1 10	12/15/16	1 10	.	0.00	Principal payment
	Security total	16 47		16 47	.	0 00	
FHLMC PC GOLD 15 YR 5% DUE 07/01/23 PL J0-8266 / CUSIP 3128PLFF4 / Symbol							
01/15/16	0 000	0.81	01/15/16	0.81	..	0.00	Principal payment
02/16/16	0 000	5 03	02/16/16	5 03	.	0 00	Principal payment

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FHLMC PC GOLD 15 YR 5% DUE 07/01/23 PL J0-8266 / CUSIP 3128PLFF4 / Symbol (cont'd)							
03/15/16	0.000	2.90	03/15/16	2.90	.	0.00	Principal payment
04/15/16	0.000	0.74	04/15/16	0.74	.	0.00	Principal payment
05/16/16	0.000	3.17	05/16/16	3.17	..	0.00	Principal payment
06/15/16	0.000	4.61	06/15/16	4.61	..	0.00	Principal payment
07/15/16	0.000	0.72	07/15/16	0.72	.	0.00	Principal payment
08/15/16	0.000	3.14	08/15/16	3.14	...	0.00	Principal payment
09/15/16	0.000	0.69	09/15/16	0.69	.	0.00	Principal payment
10/17/16	0.000	0.71	10/17/16	0.71	.	0.00	Principal payment
11/15/16	0.000	0.74	11/15/16	0.74	...	0.00	Principal payment
12/15/16	0.000	0.70	12/15/16	0.70	.	0.00	Principal payment
Security total				23.96	.	0.00	
FEDERAL NATL MTG ASSN B/E 1.5% DUE 06/22/20 / CUSIP 3135G0D75 / Symbol							
06/21/16	33,000.000	33,424.45	11/20/15	32,675.91	.	748.54	Sale Original basis \$32,675.91
FNMA PASS-THRU INT 15 YEAR 5.5% DUE 08/01/23 PL 257315 / CUSIP 31371NYL3 / Symbol							
01/25/16	0.000	3.25	01/25/16	3.25	.	0.00	Principal payment
02/25/16	0.000	2.40	02/25/16	2.40	.	0.00	Principal payment
03/28/16	0.000	0.57	03/28/16	0.57	.	0.00	Principal payment
04/25/16	0.000	0.66	04/25/16	0.66	.	0.00	Principal payment
05/25/16	0.000	0.93	05/25/16	0.93	.	0.00	Principal payment
06/27/16	0.000	0.53	06/27/16	0.53	.	0.00	Principal payment
07/25/16	0.000	1.57	07/25/16	1.57	.	0.00	Principal payment
08/25/16	0.000	0.56	08/25/16	0.56	.	0.00	Principal payment
09/26/16	0.000	0.65	09/26/16	0.65	.	0.00	Principal payment
10/25/16	0.000	0.50	10/25/16	0.50	.	0.00	Principal payment
11/25/16	0.000	0.51	11/25/16	0.51	.	0.00	Principal payment
12/27/16	0.000	0.63	12/27/16	0.63	.	0.00	Principal payment
Security total				12.76	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 6% DUE 01/01/39 PL 257534 / CUSIP 31371PBB5 / Symbol							
01/25/16	0.000	7.27	01/25/16	7.27	.	0.00	Principal payment
02/25/16	0.000	6.76	02/25/16	6.76	..	0.00	Principal payment
03/28/16	0.000	124.67	03/28/16	124.67	..	0.00	Principal payment
04/25/16	0.000	43.49	04/25/16	43.49	.	0.00	Principal payment
05/25/16	0.000	10.19	05/25/16	10.19	..	0.00	Principal payment
06/27/16	0.000	68.60	06/27/16	68.60	.	0.00	Principal payment
07/25/16	0.000	71.39	07/25/16	71.39	..	0.00	Principal payment
08/25/16	0.000	59.67	08/25/16	59.67	..	0.00	Principal payment
09/26/16	0.000	51.55	09/26/16	51.55	..	0.00	Principal payment
10/25/16	0.000	7.89	10/25/16	7.89	.	0.00	Principal payment
11/25/16	0.000	91.40	11/25/16	91.40	..	0.00	Principal payment
12/27/16	0.000	67.57	12/27/16	67.57	.	0.00	Principal payment
Security total				610.45		0.00	
FNMA PASS-THRU LNG 30 YEAR 5.5% DUE 12/01/35 PL 850278 / CUSIP 31408FUB3 / Symbol							
01/25/16	0.000	7.06	01/25/16	7.06	.	0.00	Principal payment
02/25/16	0.000	7.10	02/25/16	7.10	.	0.00	Principal payment
03/28/16	0.000	7.13	03/28/16	7.13		0.00	Principal payment
04/25/16	0.000	300.00	04/25/16	300.00		0.00	Principal payment
05/25/16	0.000	291.20	05/25/16	291.20		0.00	Principal payment
06/27/16	0.000	306.13	06/27/16	306.13		0.00	Principal payment
07/25/16	0.000	5.27	07/25/16	5.27		0.00	Principal payment
08/25/16	0.000	317.42	08/25/16	317.42		0.00	Principal payment
09/26/16	0.000	4.59	09/26/16	4.59	..	0.00	Principal payment
10/25/16	0.000	5.60	10/25/16	5.60		0.00	Principal payment
11/25/16	0.000	4.64	11/25/16	4.64	.	0.00	Principal payment
12/27/16	0.000	4.67	12/27/16	4.67		0.00	Principal payment
Security total				1,260.81		0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU INT 15 YEAR 5 5% DUE 06/01/22 PL 888435 / CUSIP 31410GBC6 / Symbol							
01/25/16	0.000	2.76	01/25/16	2.76	.	0.00	Principal payment
02/25/16	0.000	5.53	02/25/16	5.53	.	0.00	Principal payment
03/28/16	0.000	3.51	03/28/16	3.51	.	0.00	Principal payment
04/25/16	0.000	3.31	04/25/16	3.31	.	0.00	Principal payment
05/25/16	0.000	2.09	05/25/16	2.09	.	0.00	Principal payment
06/27/16	0.000	1.97	06/27/16	1.97	.	0.00	Principal payment
07/25/16	0.000	2.40	07/25/16	2.40	.	0.00	Principal payment
08/25/16	0.000	2.45	08/25/16	2.45	.	0.00	Principal payment
09/26/16	0.000	4.45	09/26/16	4.45	.	0.00	Principal payment
10/25/16	0.000	3.32	10/25/16	3.32	.	0.00	Principal payment
11/25/16	0.000	4.68	11/25/16	4.68	.	0.00	Principal payment
12/27/16	0.000	3.04	12/27/16	3.04	.	0.00	Principal payment
Security total		39.51		39.51		0.00	
FNMA PASS-THRU INT 15 YEAR 5 5% DUE 06/01/23 PL 889709 / CUSIP 31410KN26 / Symbol							
01/25/16	0.000	1.75	01/25/16	1.75	.	0.00	Principal payment
02/25/16	0.000	1.84	02/25/16	1.84	.	0.00	Principal payment
03/28/16	0.000	1.19	03/28/16	1.19	.	0.00	Principal payment
04/25/16	0.000	1.35	04/25/16	1.35	.	0.00	Principal payment
05/25/16	0.000	2.03	05/25/16	2.03	.	0.00	Principal payment
06/27/16	0.000	1.65	06/27/16	1.65	.	0.00	Principal payment
07/25/16	0.000	1.36	07/25/16	1.36	.	0.00	Principal payment
08/25/16	0.000	1.30	08/25/16	1.30	.	0.00	Principal payment
09/26/16	0.000	3.44	09/26/16	3.44	.	0.00	Principal payment
10/25/16	0.000	1.43	10/25/16	1.43	.	0.00	Principal payment
11/25/16	0.000	1.42	11/25/16	1.42	.	0.00	Principal payment
12/27/16	0.000	2.01	12/27/16	2.01	.	0.00	Principal payment
Security total		20.77		20.77		0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

Proceeds Not Reported to the IRS**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5.5% DUE 06/01/38 PL 889749 / CUSIP 31410KQA5 / Symbol							
01/25/16	0.000	9.61	01/25/16	9.61	..	0.00	Principal payment
02/25/16	0.000	8.91	02/25/16	8.91	..	0.00	Principal payment
03/28/16	0.000	7.97	03/28/16	7.97	..	0.00	Principal payment
04/25/16	0.000	8.40	04/25/16	8.40	..	0.00	Principal payment
05/25/16	0.000	7.90	05/25/16	7.90	..	0.00	Principal payment
06/27/16	0.000	7.78	06/27/16	7.78	..	0.00	Principal payment
07/25/16	0.000	7.08	07/25/16	7.08	..	0.00	Principal payment
08/25/16	0.000	4.54	08/25/16	4.54	..	0.00	Principal payment
09/26/16	0.000	5.86	09/26/16	5.86	..	0.00	Principal payment
10/25/16	0.000	6.86	10/25/16	6.86	..	0.00	Principal payment
11/25/16	0.000	6.16	11/25/16	6.16	..	0.00	Principal payment
12/27/16	0.000	6.55	12/27/16	6.55	..	0.00	Principal payment
Security total				87.62	..	0.00	
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 05/01/37 PL 899509 / CUSIP 31410WKW7 / Symbol							
01/25/16	0.000	10.56	01/25/16	10.56	..	0.00	Principal payment
02/25/16	0.000	6.94	02/25/16	6.94	..	0.00	Principal payment
03/28/16	0.000	0.75	03/28/16	0.75	..	0.00	Principal payment
04/25/16	0.000	0.75	04/25/16	0.75	..	0.00	Principal payment
05/25/16	0.000	0.75	05/25/16	0.75	..	0.00	Principal payment
06/27/16	0.000	13.44	06/27/16	13.44	..	0.00	Principal payment
07/25/16	0.000	30.87	07/25/16	30.87	..	0.00	Principal payment
08/25/16	0.000	0.70	08/25/16	0.70	..	0.00	Principal payment
09/26/16	0.000	0.70	09/26/16	0.70	..	0.00	Principal payment
10/25/16	0.000	16.62	10/25/16	16.62	..	0.00	Principal payment
11/25/16	0.000	11.81	11/25/16	11.81	..	0.00	Principal payment
12/27/16	0.000	0.71	12/27/16	0.71	..	0.00	Principal payment
Security total				94.60	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

Proceeds Not Reported to the IRS**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 05/01/37 PL 918244 / CUSIP 31411YER0 / Symbol							
01/25/16	0.000	20.19	01/25/16	20.19	..	0.00	Principal payment
02/25/16	0.000	1.66	02/25/16	1.66	..	0.00	Principal payment
03/28/16	0.000	24.68	03/28/16	24.68	..	0.00	Principal payment
04/25/16	0.000	14.61	04/25/16	14.61	..	0.00	Principal payment
05/25/16	0.000	1.80	05/25/16	1.80	..	0.00	Principal payment
06/27/16	0.000	25.36	06/27/16	25.36	..	0.00	Principal payment
07/25/16	0.000	25.89	07/25/16	25.89	..	0.00	Principal payment
08/25/16	0.000	1.80	08/25/16	1.80	..	0.00	Principal payment
09/26/16	0.000	1.60	09/26/16	1.60	..	0.00	Principal payment
10/25/16	0.000	2.10	10/25/16	2.10	..	0.00	Principal payment
11/25/16	0.000	2.01	11/25/16	2.01	..	0.00	Principal payment
12/27/16	0.000	2.09	12/27/16	2.09	..	0.00	Principal payment
Security total				123.79	..	0.00	
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 02/01/37 PL 922039 / CUSIP 31412DLU0 / Symbol							
01/25/16	0.000	12.15	01/25/16	12.15	..	0.00	Principal payment
02/25/16	0.000	6.67	02/25/16	6.67	..	0.00	Principal payment
03/28/16	0.000	3.46	03/28/16	3.46	..	0.00	Principal payment
04/25/16	0.000	5.88	04/25/16	5.88	..	0.00	Principal payment
05/25/16	0.000	6.48	05/25/16	6.48	..	0.00	Principal payment
06/27/16	0.000	8.63	06/27/16	8.63	..	0.00	Principal payment
07/25/16	0.000	6.03	07/25/16	6.03	..	0.00	Principal payment
08/25/16	0.000	3.57	08/25/16	3.57	..	0.00	Principal payment
09/26/16	0.000	0.41	09/26/16	0.41	..	0.00	Principal payment
10/25/16	0.000	9.86	10/25/16	9.86	..	0.00	Principal payment
11/25/16	0.000	2.81	11/25/16	2.81	..	0.00	Principal payment
12/27/16	0.000	5.49	12/27/16	5.49	..	0.00	Principal payment
Security total				71.44	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

Proceeds Not Reported to the IRS

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 11/01/38 PL 930175 / CUSIP 31412NMY9 / Symbol							
01/25/16	0.000	0.15	01/25/16	0.15	..	0.00	Principal payment
02/25/16	0.000	0.16	02/25/16	0.16	.	0.00	Principal payment
03/28/16	0.000	0.23	03/28/16	0.23	..	0.00	Principal payment
04/25/16	0.000	0.15	04/25/16	0.15	.	0.00	Principal payment
05/25/16	0.000	0.15	05/25/16	0.15	.	0.00	Principal payment
06/27/16	0.000	1.51	06/27/16	1.51	..	0.00	Principal payment
07/25/16	0.000	0.15	07/25/16	0.15	.	0.00	Principal payment
08/25/16	0.000	0.15	08/25/16	0.15	.	0.00	Principal payment
09/26/16	0.000	2.01	09/26/16	2.01	.	0.00	Principal payment
10/25/16	0.000	0.15	10/25/16	0.15	..	0.00	Principal payment
11/25/16	0.000	0.15	11/25/16	0.15	.	0.00	Principal payment
12/27/16	0.000	0.14	12/27/16	0.14	.	0.00	Principal payment
Security total		5.10		5.10	.	0.00	
FNMA PASS-THRU INT 15 YEAR 5 5% DUE 01/01/23 PL 933446 / CUSIP 31412SP74 / Symbol							
01/25/16	0.000	7.69	01/25/16	7.69		0.00	Principal payment
02/25/16	0.000	8.16	02/25/16	8.16	..	0.00	Principal payment
03/28/16	0.000	7.97	03/28/16	7.97		0.00	Principal payment
04/25/16	0.000	31.17	04/25/16	31.17		0.00	Principal payment
05/25/16	0.000	8.53	05/25/16	8.53		0.00	Principal payment
06/27/16	0.000	35.40	06/27/16	35.40		0.00	Principal payment
07/25/16	0.000	66.89	07/25/16	66.89		0.00	Principal payment
08/25/16	0.000	6.50	08/25/16	6.50	.	0.00	Principal payment
09/26/16	0.000	25.71	09/26/16	25.71	.	0.00	Principal payment
10/25/16	0.000	6.81	10/25/16	6.81	.	0.00	Principal payment
11/25/16	0.000	7.30	11/25/16	7.30	.	0.00	Principal payment
12/27/16	0.000	6.58	12/27/16	6.58	.	0.00	Principal payment
Security total		218.71		218.71		0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)Gross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 07/01/37 PL 938289 / CUSIP 31412X2W3 / Symbol							
01/25/16	0.000	28.90	01/25/16	28.90		0.00	Principal payment
02/25/16	0.000	111.92	02/25/16	111.92		0.00	Principal payment
03/28/16	0.000	84.19	03/28/16	84.19		0.00	Principal payment
04/25/16	0.000	86.60	04/25/16	86.60		0.00	Principal payment
05/25/16	0.000	53.49	05/25/16	53.49		0.00	Principal payment
06/27/16	0.000	78.24	06/27/16	78.24		0.00	Principal payment
07/25/16	0.000	81.74	07/25/16	81.74		0.00	Principal payment
08/25/16	0.000	82.75	08/25/16	82.75		0.00	Principal payment
09/26/16	0.000	71.43	09/26/16	71.43		0.00	Principal payment
10/25/16	0.000	97.64	10/25/16	97.64		0.00	Principal payment
11/25/16	0.000	54.62	11/25/16	54.62		0.00	Principal payment
12/27/16	0.000	4.96	12/27/16	4.96		0.00	Principal payment
	Security total	836.48		836.48		0.00	
FNMA PASS-THRU LNG 30 YEAR 5% DUE 06/01/37 PL 937630 / CUSIP 31412XEB6 / Symbol							
01/25/16	0.000	0.60	01/25/16	0.60		0.00	Principal payment
02/25/16	0.000	0.61	02/25/16	0.61		0.00	Principal payment
03/28/16	0.000	15.32	03/28/16	15.32		0.00	Principal payment
04/25/16	0.000	0.54	04/25/16	0.54		0.00	Principal payment
05/25/16	0.000	0.55	05/25/16	0.55		0.00	Principal payment
06/27/16	0.000	0.55	06/27/16	0.55		0.00	Principal payment
07/25/16	0.000	0.55	07/25/16	0.55		0.00	Principal payment
08/25/16	0.000	0.55	08/25/16	0.55		0.00	Principal payment
09/26/16	0.000	0.56	09/26/16	0.56		0.00	Principal payment
10/25/16	0.000	0.56	10/25/16	0.56		0.00	Principal payment
11/25/16	0.000	0.56	11/25/16	0.56		0.00	Principal payment
12/27/16	0.000	0.56	12/27/16	0.56		0.00	Principal payment
	Security total	21.51		21.51		0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) gross or (N) net	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 05/01/37 PL 937997 / CUSIP 31412XRS5 / Symbol							
01/25/16	0.000	16.56	01/25/16	16.56	..	0.00	Principal payment
02/25/16	0.000	0.30	02/25/16	0.30	..	0.00	Principal payment
03/28/16	0.000	0.30	03/28/16	0.30	.	0.00	Principal payment
04/25/16	0.000	0.30	04/25/16	0.30		0.00	Principal payment
05/25/16	0.000	0.30	05/25/16	0.30		0.00	Principal payment
06/27/16	0.000	0.30	06/27/16	0.30	.	0.00	Principal payment
07/25/16	0.000	0.30	07/25/16	0.30	.	0.00	Principal payment
08/25/16	0.000	0.31	08/25/16	0.31	...	0.00	Principal payment
09/26/16	0.000	0.31	09/26/16	0.31	...	0.00	Principal payment
10/25/16	0.000	0.31	10/25/16	0.31	.	0.00	Principal payment
11/25/16	0.000	0.31	11/25/16	0.31	.	0.00	Principal payment
12/27/16	0.000	0.31	12/27/16	0.31	.	0.00	Principal payment
Security total		19.91		19.91		0.00	
FNMA PASS-THRU INT 15 YEAR 5.5% DUE 11/01/22 PL 948037 / CUSIP 31413KV65 / Symbol							
01/25/16	0.000	0.43	01/25/16	0.43	...	0.00	Principal payment
02/25/16	0.000	0.42	02/25/16	0.42	.	0.00	Principal payment
03/28/16	0.000	0.43	03/28/16	0.43		0.00	Principal payment
04/25/16	0.000	0.42	04/25/16	0.42		0.00	Principal payment
05/25/16	0.000	0.46	05/25/16	0.46		0.00	Principal payment
06/27/16	0.000	0.45	06/27/16	0.45	..	0.00	Principal payment
07/25/16	0.000	0.43	07/25/16	0.43		0.00	Principal payment
08/25/16	0.000	0.43	08/25/16	0.43	..	0.00	Principal payment
09/26/16	0.000	0.44	09/26/16	0.44	.	0.00	Principal payment
10/25/16	0.000	0.43	10/25/16	0.43	.	0.00	Principal payment
11/25/16	0.000	0.44	11/25/16	0.44	.	0.00	Principal payment
12/27/16	0.000	0.40	12/27/16	0.40	.	0.00	Principal payment
Security total		5.18		5.18		0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5.5% DUE 11/01/37 PL 948121 / CUSIP 31413KYS4 / Symbol							
01/25/16	0.000	0.07	01/25/16	0.07	..	0.00	Principal payment
02/25/16	0.000	0.07	02/25/16	0.07	..	0.00	Principal payment
03/28/16	0.000	0.07	03/28/16	0.07	..	0.00	Principal payment
04/25/16	0.000	0.07	04/25/16	0.07	..	0.00	Principal payment
05/25/16	0.000	0.08	05/25/16	0.08	..	0.00	Principal payment
06/27/16	0.000	0.07	06/27/16	0.07	..	0.00	Principal payment
07/25/16	0.000	0.07	07/25/16	0.07	..	0.00	Principal payment
08/25/16	0.000	0.07	08/25/16	0.07	..	0.00	Principal payment
09/26/16	0.000	3.62	09/26/16	3.62	..	0.00	Principal payment
10/25/16	0.000	0.06	10/25/16	0.06	..	0.00	Principal payment
11/25/16	0.000	6.04	11/25/16	6.04	..	0.00	Principal payment
12/27/16	0.000	0.05	12/27/16	0.05	..	0.00	Principal payment
Security total				10.34	..	0.00	
FNMA PASS-THRU INT 15 YEAR 5 5% DUE 08/01/22 PL 949663 / CUSIP 31413MQQ3 / Symbol							
01/25/16	0.000	0.90	01/25/16	0.90	..	0.00	Principal payment
02/25/16	0.000	0.86	02/25/16	0.86	..	0.00	Principal payment
03/28/16	0.000	0.80	03/28/16	0.80	..	0.00	Principal payment
04/25/16	0.000	2.63	04/25/16	2.63	..	0.00	Principal payment
05/25/16	0.000	0.85	05/25/16	0.85	..	0.00	Principal payment
06/27/16	0.000	0.87	06/27/16	0.87	..	0.00	Principal payment
07/25/16	0.000	0.87	07/25/16	0.87	..	0.00	Principal payment
08/25/16	0.000	0.91	08/25/16	0.91	..	0.00	Principal payment
09/26/16	0.000	1.04	09/26/16	1.04	..	0.00	Principal payment
10/25/16	0.000	0.80	10/25/16	0.80	..	0.00	Principal payment
11/25/16	0.000	5.35	11/25/16	5.35	..	0.00	Principal payment
12/27/16	0.000	0.74	12/27/16	0.74	..	0.00	Principal payment
Security total				16.62	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

03/10/2017

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 12/01/37 PL 959514 / CUSIP 31413YN72 / Symbol							
01/25/16	0.000	4.79	01/25/16	4.79	...	0.00	Principal payment
02/25/16	0.000	0.54	02/25/16	0.54	.	0.00	Principal payment
03/28/16	0.000	7.94	03/28/16	7.94	.	0.00	Principal payment
04/25/16	0.000	4.43	04/25/16	4.43	.	0.00	Principal payment
05/25/16	0.000	4.10	05/25/16	4.10	.	0.00	Principal payment
06/27/16	0.000	2.60	06/27/16	2.60	..	0.00	Principal payment
07/25/16	0.000	3.02	07/25/16	3.02	.	0.00	Principal payment
08/25/16	0.000	1.98	08/25/16	1.98	.	0.00	Principal payment
09/26/16	0.000	5.48	09/26/16	5.48	.	0.00	Principal payment
10/25/16	0.000	9.07	10/25/16	9.07	.	0.00	Principal payment
11/25/16	0.000	13.31	11/25/16	13.31	.	0.00	Principal payment
12/27/16	0.000	7.81	12/27/16	7.81	..	0.00	Principal payment
Security total		65.07		65.07	...	0.00	
FNMA PASS-THRU INT 15 YEAR 5 5% DUE 03/01/23 PL 962325 / CUSIP 31414CSN9 / Symbol							
01/25/16	0.000	2.43	01/25/16	2.43	..	0.00	Principal payment
02/25/16	0.000	2.68	02/25/16	2.68	.	0.00	Principal payment
03/28/16	0.000	2.70	03/28/16	2.70	.	0.00	Principal payment
04/25/16	0.000	2.65	04/25/16	2.65	.	0.00	Principal payment
05/25/16	0.000	2.72	05/25/16	2.72	.	0.00	Principal payment
06/27/16	0.000	2.60	06/27/16	2.60	.	0.00	Principal payment
07/25/16	0.000	2.92	07/25/16	2.92	..	0.00	Principal payment
08/25/16	0.000	19.37	08/25/16	19.37	.	0.00	Principal payment
09/26/16	0.000	2.61	09/26/16	2.61	..	0.00	Principal payment
10/25/16	0.000	2.34	10/25/16	2.34	.	0.00	Principal payment
11/25/16	0.000	10.51	11/25/16	10.51	.	0.00	Principal payment
12/27/16	0.000	2.16	12/27/16	2.16	.	0.00	Principal payment
Security total		55.69		55.69		0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

03/10/2017

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 05/01/38 PL 963081 / CUSIP 31414DM60 / Symbol							
01/25/16	0.000	1.10	01/25/16	1.10		0.00	Principal payment
02/25/16	0.000	2.52	02/25/16	2.52	..	0.00	Principal payment
03/28/16	0.000	0.92	03/28/16	0.92	..	0.00	Principal payment
04/25/16	0.000	0.17	04/25/16	0.17	..	0.00	Principal payment
05/25/16	0.000	1.03	05/25/16	1.03		0.00	Principal payment
06/27/16	0.000	0.15	06/27/16	0.15	..	0.00	Principal payment
07/25/16	0.000	0.17	07/25/16	0.17	..	0.00	Principal payment
08/25/16	0.000	2.72	08/25/16	2.72	..	0.00	Principal payment
09/26/16	0.000	0.56	09/26/16	0.56	..	0.00	Principal payment
10/25/16	0.000	1.07	10/25/16	1.07	..	0.00	Principal payment
11/25/16	0.000	0.17	11/25/16	0.17	..	0.00	Principal payment
12/27/16	0.000	2.98	12/27/16	2.98	..	0.00	Principal payment
Security total		13.56		13.56		0.00	
FNMA PASS-THRU INT 15 YEAR 5% DUE 06/01/23 PL 963451 / CUSIP 31414DZQ2 / Symbol							
01/25/16	0.000	41.80	01/25/16	41.80	..	0.00	Principal payment
02/25/16	0.000	19.44	02/25/16	19.44	..	0.00	Principal payment
03/28/16	0.000	11.60	03/28/16	11.60	..	0.00	Principal payment
04/25/16	0.000	18.66	04/25/16	18.66	..	0.00	Principal payment
05/25/16	0.000	17.10	05/25/16	17.10	..	0.00	Principal payment
06/27/16	0.000	26.36	06/27/16	26.36	..	0.00	Principal payment
07/25/16	0.000	43.16	07/25/16	43.16		0.00	Principal payment
08/25/16	0.000	22.70	08/25/16	22.70		0.00	Principal payment
09/26/16	0.000	11.09	09/26/16	11.09	..	0.00	Principal payment
10/25/16	0.000	23.27	10/25/16	23.27	..	0.00	Principal payment
11/25/16	0.000	11.52	11/25/16	11.52	..	0.00	Principal payment
12/27/16	0.000	17.79	12/27/16	17.79	..	0.00	Principal payment
Security total		264.49		264.49		0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 04/01/38 PL 973277 / CUSIP 31414QXE2 / Symbol							
01/25/16	0.000	0.46	01/25/16	0.46	.	0.00	Principal payment
02/25/16	0.000	2.30	02/25/16	2.30	..	0.00	Principal payment
03/28/16	0.000	1.59	03/28/16	1.59	.	0.00	Principal payment
04/25/16	0.000	9.00	04/25/16	9.00	..	0.00	Principal payment
05/25/16	0.000	0.98	05/25/16	0.98	.	0.00	Principal payment
06/27/16	0.000	0.20	06/27/16	0.20	..	0.00	Principal payment
07/25/16	0.000	2.30	07/25/16	2.30	...	0.00	Principal payment
08/25/16	0.000	3.11	08/25/16	3.11	...	0.00	Principal payment
09/26/16	0.000	3.12	09/26/16	3.12	.	0.00	Principal payment
10/25/16	0.000	0.21	10/25/16	0.21	...	0.00	Principal payment
11/25/16	0.000	2.92	11/25/16	2.92	.	0.00	Principal payment
12/27/16	0.000	2.86	12/27/16	2.86	.	0.00	Principal payment
Security total		29.05		29.05	.	0.00	
FNMA PASS-THRU LNG 30 YEAR 5 5% DUE 04/01/38 PL 973715 / CUSIP 31414RGY5 / Symbol							
01/25/16	0.000	52.99	01/25/16	52.99	.	0.00	Principal payment
02/25/16	0.000	0.61	02/25/16	0.61	..	0.00	Principal payment
03/28/16	0.000	0.63	03/28/16	0.63	.	0.00	Principal payment
04/25/16	0.000	0.65	04/25/16	0.65	.	0.00	Principal payment
05/25/16	0.000	0.62	05/25/16	0.62	..	0.00	Principal payment
06/27/16	0.000	0.80	06/27/16	0.80	.	0.00	Principal payment
07/25/16	0.000	0.63	07/25/16	0.63	.	0.00	Principal payment
08/25/16	0.000	0.65	08/25/16	0.65	.	0.00	Principal payment
09/26/16	0.000	0.65	09/26/16	0.65	..	0.00	Principal payment
10/25/16	0.000	0.65	10/25/16	0.65	.	0.00	Principal payment
11/25/16	0.000	0.65	11/25/16	0.65	.	0.00	Principal payment
12/27/16	0.000	0.66	12/27/16	0.66	.	0.00	Principal payment
Security total		60.19		60.19	.	0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5.5% DUE 06/01/38 PL 985720 / CUSIP 31415QAV8 / Symbol							
01/25/16	0.000	17.26	01/25/16	17.26	.	0.00	Principal payment
02/25/16	0.000	0.25	02/25/16	0.25	..	0.00	Principal payment
03/28/16	0.000	0.25	03/28/16	0.25	.	0.00	Principal payment
04/25/16	0.000	17.52	04/25/16	17.52	..	0.00	Principal payment
05/25/16	0.000	0.23	05/25/16	0.23	...	0.00	Principal payment
06/27/16	0.000	16.51	06/27/16	16.51	.	0.00	Principal payment
07/25/16	0.000	0.19	07/25/16	0.19	.	0.00	Principal payment
08/25/16	0.000	0.19	08/25/16	0.19	.	0.00	Principal payment
09/26/16	0.000	0.20	09/26/16	0.20	.	0.00	Principal payment
10/25/16	0.000	0.20	10/25/16	0.20	.	0.00	Principal payment
11/25/16	0.000	0.27	11/25/16	0.27	.	0.00	Principal payment
12/27/16	0.000	0.20	12/27/16	0.20	.	0.00	Principal payment
Security total		53.27		53.27	.	0.00	
FNMA PASS-THRU INT 15 YEAR 5.5% DUE 11/01/22 PL 995529 / CUSIP 31416B4N5 / Symbol							
01/25/16	0.000	2.42	01/25/16	2.42	..	0.00	Principal payment
02/25/16	0.000	1.87	02/25/16	1.87	..	0.00	Principal payment
03/28/16	0.000	1.41	03/28/16	1.41	.	0.00	Principal payment
04/25/16	0.000	1.69	04/25/16	1.69	.	0.00	Principal payment
05/25/16	0.000	1.43	05/25/16	1.43	.	0.00	Principal payment
06/27/16	0.000	2.15	06/27/16	2.15	.	0.00	Principal payment
07/25/16	0.000	1.56	07/25/16	1.56	.	0.00	Principal payment
08/25/16	0.000	1.40	08/25/16	1.40	.	0.00	Principal payment
09/26/16	0.000	3.04	09/26/16	3.04	.	0.00	Principal payment
10/25/16	0.000	1.50	10/25/16	1.50	.	0.00	Principal payment
11/25/16	0.000	1.27	11/25/16	1.27	..	0.00	Principal payment
12/27/16	0.000	1.16	12/27/16	1.16	.	0.00	Principal payment
Security total		20.90		20.90	.	0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU INT 15 YEAR 5.5% DUE 02/01/23 PL 995431 / CUSIP 31416BY44 / Symbol							
01/25/16	0.000	5.63	01/25/16	5.63	..	0.00	Principal payment
02/25/16	0.000	7.08	02/25/16	7.08	..	0.00	Principal payment
03/28/16	0.000	5.82	03/28/16	5.82	..	0.00	Principal payment
04/25/16	0.000	6.50	04/25/16	6.50	..	0.00	Principal payment
05/25/16	0.000	5.29	05/25/16	5.29	..	0.00	Principal payment
06/27/16	0.000	5.03	06/27/16	5.03	..	0.00	Principal payment
07/25/16	0.000	5.07	07/25/16	5.07	..	0.00	Principal payment
08/25/16	0.000	7.18	08/25/16	7.18	..	0.00	Principal payment
09/26/16	0.000	6.02	09/26/16	6.02	..	0.00	Principal payment
10/25/16	0.000	4.74	10/25/16	4.74	..	0.00	Principal payment
11/25/16	0.000	4.37	11/25/16	4.37	..	0.00	Principal payment
12/27/16	0.000	4.71	12/27/16	4.71	..	0.00	Principal payment
Security total		67.44		67.44	..	0.00	
FNMA PASS-THRU INT 15 YEAR 5.5% DUE 11/01/23 PL 995428 / CUSIP 31416BYZ5 / Symbol							
01/25/16	0.000	31.81	01/25/16	31.81	..	0.00	Principal payment
02/25/16	0.000	26.30	02/25/16	26.30	..	0.00	Principal payment
03/28/16	0.000	33.15	03/28/16	33.15	..	0.00	Principal payment
04/25/16	0.000	31.75	04/25/16	31.75	..	0.00	Principal payment
05/25/16	0.000	24.06	05/25/16	24.06	..	0.00	Principal payment
06/27/16	0.000	27.02	06/27/16	27.02	..	0.00	Principal payment
07/25/16	0.000	28.13	07/25/16	28.13	..	0.00	Principal payment
08/25/16	0.000	27.83	08/25/16	27.83	..	0.00	Principal payment
09/26/16	0.000	26.67	09/26/16	26.67	..	0.00	Principal payment
10/25/16	0.000	31.12	10/25/16	31.12	..	0.00	Principal payment
11/25/16	0.000	26.70	11/25/16	26.70	..	0.00	Principal payment
12/27/16	0.000	24.24	12/27/16	24.24	..	0.00	Principal payment
Security total		338.78		338.78	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 04/01/39 PL 995687 / CUSIP 31416CCY0 / Symbol							
01/25/16	0.000	8.13	01/25/16	8.13	..	0.00	Principal payment
02/25/16	0.000	94.02	02/25/16	94.02	..	0.00	Principal payment
03/28/16	0.000	37.34	03/28/16	37.34	..	0.00	Principal payment
04/25/16	0.000	34.73	04/25/16	34.73	..	0.00	Principal payment
05/25/16	0.000	66.72	05/25/16	66.72	..	0.00	Principal payment
06/27/16	0.000	9.17	06/27/16	9.17	..	0.00	Principal payment
07/25/16	0.000	8.59	07/25/16	8.59	..	0.00	Principal payment
08/25/16	0.000	8.31	08/25/16	8.31	..	0.00	Principal payment
09/26/16	0.000	41.22	09/26/16	41.22	..	0.00	Principal payment
10/25/16	0.000	66.36	10/25/16	66.36	..	0.00	Principal payment
11/25/16	0.000	69.20	11/25/16	69.20	..	0.00	Principal payment
12/27/16	0.000	38.76	12/27/16	38.76	..	0.00	Principal payment
	Security total	482.55		482.55	..	0.00	
FNMA PASS-THRU LNG 30 YEAR 5% DUE 01/01/39 PL AA0712 / CUSIP 31416HYJ8 / Symbol							
01/25/16	0.000	72.23	01/25/16	72.23	..	0.00	Principal payment
02/25/16	0.000	46.18	02/25/16	46.18	..	0.00	Principal payment
03/28/16	0.000	42.90	03/28/16	42.90	..	0.00	Principal payment
04/25/16	0.000	39.67	04/25/16	39.67	..	0.00	Principal payment
05/25/16	0.000	3.12	05/25/16	3.12	..	0.00	Principal payment
06/27/16	0.000	3.08	06/27/16	3.08	..	0.00	Principal payment
07/25/16	0.000	3.08	07/25/16	3.08	..	0.00	Principal payment
08/25/16	0.000	14.67	08/25/16	14.67	..	0.00	Principal payment
09/26/16	0.000	2.98	09/26/16	2.98	..	0.00	Principal payment
10/25/16	0.000	64.91	10/25/16	64.91	..	0.00	Principal payment
11/25/16	0.000	2.99	11/25/16	2.99	..	0.00	Principal payment
12/27/16	0.000	2.86	12/27/16	2.86	..	0.00	Principal payment
	Security total	298.67		298.67	..	0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

03/10/2017

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 03/01/39 PL AA4434 / CUSIP 31416M4U5 / Symbol							
01/25/16	0.000	2.28	01/25/16	2.28		0.00	Principal payment
02/25/16	0.000	7.52	02/25/16	7.52		0.00	Principal payment
03/28/16	0.000	7.36	03/28/16	7.36		0.00	Principal payment
04/25/16	0.000	6.24	04/25/16	6.24		0.00	Principal payment
05/25/16	0.000	4.33	05/25/16	4.33		0.00	Principal payment
06/27/16	0.000	8.98	06/27/16	8.98		0.00	Principal payment
07/25/16	0.000	6.03	07/25/16	6.03		0.00	Principal payment
08/25/16	0.000	6.61	08/25/16	6.61		0.00	Principal payment
09/26/16	0.000	6.84	09/26/16	6.84		0.00	Principal payment
10/25/16	0.000	3.43	10/25/16	3.43		0.00	Principal payment
11/25/16	0.000	1.42	11/25/16	1.42		0.00	Principal payment
12/27/16	0.000	5.72	12/27/16	5.72		0.00	Principal payment
Security total		66.76		66.76		0.00	
FNMA PASS-THRU LNG 30 YEAR 5% DUE 06/01/39 PL AA8429 / CUSIP 31416SLK5 / Symbol							
01/25/16	0.000	0.63	01/25/16	0.63		0.00	Principal payment
02/25/16	0.000	0.64	02/25/16	0.64		0.00	Principal payment
03/28/16	0.000	0.62	03/28/16	0.62		0.00	Principal payment
04/25/16	0.000	2.44	04/25/16	2.44		0.00	Principal payment
05/25/16	0.000	0.60	05/25/16	0.60		0.00	Principal payment
06/27/16	0.000	0.60	06/27/16	0.60		0.00	Principal payment
07/25/16	0.000	9.43	07/25/16	9.43		0.00	Principal payment
08/25/16	0.000	10.13	08/25/16	10.13		0.00	Principal payment
09/26/16	0.000	9.18	09/26/16	9.18		0.00	Principal payment
10/25/16	0.000	8.23	10/25/16	8.23		0.00	Principal payment
11/25/16	0.000	10.50	11/25/16	10.50		0.00	Principal payment
12/27/16	0.000	8.90	12/27/16	8.90		0.00	Principal payment
Security total		61.90		61.90		0.00	

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

PROCEEDS NOT REPORTED TO THE IRS

Report on Form 8949, Part I, with Box C checked

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Description of property		Proceeds & Reported		Date		Quantity		Cost or other basis		Accrued mkt disc (D) & Wash sale loss disallowed (W)		Gain or loss(-) & Loss not allowed (X) also not reported (Z)		Additional information	
Date sold or disposed		(G)ross or (N)et		acquired											
FNMA PASS-THRU LNG 30 YEAR 5% DUE 07/01/39 PL AA9716 / CUSIP 31416TYN3 / Symbol:															
01/25/16	0.000	6.95	0.48	01/25/16				6.95				0.00		Principal payment	
02/25/16	0.000	0.48	7.75	02/25/16				0.48				0.00		Principal payment	
03/28/16	0.000	7.75	3.72	03/28/16				7.75				0.00		Principal payment	
04/25/16	0.000	3.72	6.52	04/25/16				3.72				0.00		Principal payment	
05/25/16	0.000	6.52	0.44	05/25/16				6.52				0.00		Principal payment	
06/27/16	0.000	0.44	8.17	06/27/16				0.44				0.00		Principal payment	
07/25/16	0.000	8.17	0.45	07/25/16				8.17				0.00		Principal payment	
08/25/16	0.000	0.45	3.06	08/25/16				0.45				0.00		Principal payment	
09/26/16	0.000	3.06	0.45	09/26/16				3.06				0.00		Principal payment	
10/25/16	0.000	0.45	3.97	10/25/16				0.45				0.00		Principal payment	
11/25/16	0.000	3.97	7.74	11/25/16				3.97				0.00		Principal payment	
12/27/16	0.000	7.74		12/27/16				7.74				0.00		Principal payment	
Security total		49.70						49.70				0.00			
FNMA PASS-THRU LNG 30 YEAR 5% DUE 11/01/39 PL AC5465 / CUSIP 31417SCB4 / Symbol:															
01/25/16	0.000	5.77	5.62	01/25/16				5.77				0.00		Principal payment	
02/25/16	0.000	5.62	0.66	02/25/16				5.62				0.00		Principal payment	
03/28/16	0.000	0.66	5.83	03/28/16				0.66				0.00		Principal payment	
04/25/16	0.000	5.83	10.08	04/25/16				5.83				0.00		Principal payment	
05/25/16	0.000	10.08	0.61	05/25/16				10.08				0.00		Principal payment	
06/27/16	0.000	0.61	6.00	06/27/16				0.61				0.00		Principal payment	
07/25/16	0.000	6.00	6.08	07/25/16				6.00				0.00		Principal payment	
08/25/16	0.000	6.08	10.74	08/25/16				6.08				0.00		Principal payment	
09/26/16	0.000	10.74	0.60	09/26/16				10.74				0.00		Principal payment	
10/25/16	0.000	0.60	5.51	10/25/16				0.60				0.00		Principal payment	
11/25/16	0.000	5.51	15.79	11/25/16				5.51				0.00		Principal payment	
12/27/16	0.000	15.79		12/27/16				15.79				0.00		Principal payment	
Security total		73.29						73.29				0.00			

Oppenheimer & Co. Inc.

Account G520102638

2016

03/10/2017

Proceeds Not Reported to the IRS (continued)

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FNMA PASS-THRU LNG 30 YEAR 5% DUE 11/01/39 PL AC7248 / CUSIP 31417UBS3 / Symbol							
01/25/16	0.000	0.59	01/25/16	0.59	..	0.00	Principal payment
02/25/16	0.000	0.58	02/25/16	0.58	..	0.00	Principal payment
03/28/16	0.000	11.16	03/28/16	11.16	..	0.00	Principal payment
04/25/16	0.000	12.60	04/25/16	12.60	..	0.00	Principal payment
05/25/16	0.000	0.56	05/25/16	0.56	..	0.00	Principal payment
06/27/16	0.000	12.09	06/27/16	12.09	..	0.00	Principal payment
07/25/16	0.000	0.54	07/25/16	0.54	..	0.00	Principal payment
08/25/16	0.000	13.40	08/25/16	13.40	..	0.00	Principal payment
09/26/16	0.000	0.52	09/26/16	0.52	..	0.00	Principal payment
10/25/16	0.000	12.57	10/25/16	12.57	..	0.00	Principal payment
11/25/16	0.000	0.50	11/25/16	0.50	..	0.00	Principal payment
12/27/16	0.000	0.50	12/27/16	0.50	..	0.00	Principal payment
Security total				65.61		0.00	
FNMA PASS-THRU LNG 30 YEAR 6% DUE 09/01/39 PL AD0205 / CUSIP 31418MGP1 / Symbol							
01/25/16	0.000	4.79	01/25/16	4.79	..	0.00	Principal payment
02/25/16	0.000	2.30	02/25/16	2.30	..	0.00	Principal payment
03/28/16	0.000	5.88	03/28/16	5.88	..	0.00	Principal payment
04/25/16	0.000	3.14	04/25/16	3.14	..	0.00	Principal payment
05/25/16	0.000	5.00	05/25/16	5.00	..	0.00	Principal payment
06/27/16	0.000	2.25	06/27/16	2.25	..	0.00	Principal payment
07/25/16	0.000	1.75	07/25/16	1.75	..	0.00	Principal payment
08/25/16	0.000	5.75	08/25/16	5.75	..	0.00	Principal payment
09/26/16	0.000	1.31	09/26/16	1.31	..	0.00	Principal payment
10/25/16	0.000	2.63	10/25/16	2.63	..	0.00	Principal payment
11/25/16	0.000	2.50	11/25/16	2.50	..	0.00	Principal payment
12/27/16	0.000	3.66	12/27/16	3.66	..	0.00	Principal payment
Security total				40.96		0.00	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported Date (G) gross or (N) net acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
HOME DEPOT INC B/E 2.625% DUE 06/01/22 / CUSIP 437076BGG6 / Symbol 02/03/16	25,000 000	25,267.00 06/03/15	24,771.25	...	495.75	Sale Original basis \$24,771.25
RIO TINTO FIN USA PLC FGN 2 25% DUE 12/14/18 / CUSIP 76720AAAM8 / Symbol 02/03/16	20,000 000	19,405.80 07/13/15	20,145.60	.	-739.80	Sale Original basis \$20,145.60
RIO TINTO FIN USA PLC T/S UNDLY 76720AAAM8 / CUSIP 76799ADD1 / Symbol 06/14/16	14,000.000	14,341.04 07/13/15	14,101.92	...	239.12	Redemption Original basis \$14,101.92
RIO TINTO FIN USA PLC T/S UNDLY 76720AAAM8 / CUSIP 767SPC9M2 / Symbol 05/06/16	6,000 000	5,910.06 07/13/15	6,043.68	.	-133.62	Redemption Original basis \$6,043.68
	0 000	180.00 05/06/16	0.00	.	180.00	Cash in lieu
	6,000 000	6,090.06 Various	6,043.68	.	46.38	Total of 2 transactions
TELEFONICA EMISIONES S A U FGN 5.134% DUE 04/27/20 / CUSIP 87938WAM5 / Symbol 02/03/16	40,000 000	43,184.80 04/29/15	44,887.20	.	-1,702.40	Sale Original basis \$44,887.20
UNITED STATES TREAS NTS B/E 1 375% DUE 12/31/18 / CUSIP 912828RY8 / Symbol 02/03/16	40,000 000	40,545.31 01/04/16	40,079.69	.	465.62	Sale Original basis \$40,079.69
UNITED STATES TREAS NTS B/E 1.625% DUE 11/15/22 / CUSIP 912828TY6 / Symbol 06/21/16	60,000 000	60,700.78 01/04/16	58,512.73	88.38 D	2,099.66	Sale ⁵⁶ Original basis \$58,500.00
Totals:		359,252.70	356,922.96	88.38 D	2,241.35	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

03/10/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported Date (G)ross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AFAC INC B/E 4% DUE 02/15/22 / CUSIP 001055AJ1 / Symbol						
02/03/16	25,000 000	26,507.50 01/26/15	26,970.09	..	-462.59	Sale ⁵⁶ Original basis \$27,303.50
06/21/16	20,000 000	21,878.60 01/26/15	21,476.67		401.93	Sale ⁵⁶ Original basis \$21,842.80
	Security total	48,386.10	48,446.76	..	-60.66	
AMAZON COM INC B/E 1.2% DUE 11/29/17 / CUSIP 023135AH9 / Symbol						
06/21/16	10,000 000	10,029.70 11/29/12	9,974.00		55.70	Sale Original basis \$9,974.00
AMERICAN INTL GROUP INC B/E 3.375% DUE 08/15/20 / CUSIP 026874CX3 / Symbol						
02/03/16	25,000,000	25,324.75 01/26/15	26,163.72	...	-838.97	Sale ⁵⁶ Original basis \$26,426.00
06/21/16	20,000,000	20,902.40 01/26/15	20,852.79		49.61	Sale ⁵⁶ Original basis \$21,140.80
	Security total	46,227.15	47,016.51		-789.36	
BB&T CORP SR MEDIUM TERM NTS B/E 1.45% DUE 01/12/18 / CUSIP 05531FAM5 / Symbol						
02/03/16	40,000 000	39,870.40 11/19/12	40,075.60		-205.20	Sale Original basis \$40,075.60
BANK MONTREAL MTN SR B/E 1.45% DUE 04/09/18 / CUSIP 06366RMS1 / Symbol						
02/03/16	45,000 000	44,848.80 04/25/13	45,080.10		-231.30	Sale Original basis \$45,080.10
BOSTON PPTYS LTD PARTNERSHIP B/E 5.875% DUE 10/15/19 / CUSIP 10112RAQ7 / Symbol						
02/03/16	40,000,000	44,711.60 02/11/10	40,464.19		4,247.41	Sale ⁵⁶ Original basis \$41,214.80
CISCO SYS INC B/E 2.125% DUE 03/01/19 / CUSIP 17275RAR3 / Symbol						
02/03/16	40,000,000	40,848.40 07/15/14	40,278.80		569.60	Sale Original basis \$40,278.80

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

03/10/2017

2016

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CITIGROUP INC B/E 2 5% DUE 09/26/18 / CUSIP 172967HC8 / Symbol 06/21/16	20,000 000	20,347.80	02/20/15	20,387.40	..	-39.60	Sale Original basis \$20,387.40
COMCAST CORP NEW B/E 3 125% DUE 07/15/22 / CUSIP 20030NBD2 / Symbol 02/03/16	25,000.000	25,921.75	04/18/13	25,981.18	.	-59.43	Sale ⁵⁶ Original basis \$26,406.75
2 transactions for 06/21/16							
5,000 000		5,305.00	04/18/13	5,184.65		120.35	Sale ⁵⁶ Original basis \$5,281.35
15,000 000		15,915.00	01/26/15	15,575.44		339.56	Sale ⁵⁶ Original basis \$15,708.45
20,000 000		21,220.00	Various	20,760.09		459.91	Total of 2 transactions
Security total		47,141.75		46,741.27		400.48	
CSFB COML MTG PTC 2007-C5 CL A-4 5 695% DUE 09/17/40 / CUSIP 22546BAF7 / Symbol 06/21/16							
3 transactions for 06/21/16							
10,000 000		7,484.57	02/19/09	4,613.33		2,871.23	Sale Original basis \$6,256.25
17,000 000		12,723.76	03/31/09	7,756.48		4,967.28	Sale Original basis \$10,518.75
10,000 000		7,484.57	06/30/09	5,147.94		2,336.62	Sale Original basis \$6,981.25
37,000.000		27,692.90	Various	17,517.75		10,175.13	Total of 3 transactions
GENERAL ELEC CAP CORP MTN BE B/E 2 9% DUE 01/09/17 / CUSIP 36962G5N0 / Symbol 02/03/16	40,000.000	40,553.60	02/01/12	40,273.63	..	279.97	Sale ⁵⁶ Original basis \$41,447.60

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS

(continued)

2016

03/10/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GOLDMAN SACHS GROUP INC B/E 2.625% DUE 01/31/19 / CUSIP 38145XAA1 / Symbol							
02/03/16	25,000 000	25,205 75	01/26/15	25,407.00		-201 25	Sale Original basis \$25,407.00
06/21/16	20,000.000	20,436.60	01/26/15	20,325 60		111 00	Sale Original basis \$20,325.60
Security total		45,642 35		45,732.60	...	-90.25	
HOME DEPOT INC B/E 2.625% DUE 06/01/22 / CUSIP 437076BG6 / Symbol							
06/21/16	20,000.000	20,778 20	06/03/15	19,817 00	...	961.20	Sale Original basis \$19,817.00
JPMORGAN CHASE & CO B/E 2.2% DUE 10/22/19 / CUSIP 48127HAA7 / Symbol							
02/03/16	20,000.000	19,998 20	01/12/15	20,015.00	...	-16.80	Sale Original basis \$20,015 00
06/21/16	20,000.000	20,253.20	01/12/15	20,015.00		238 20	Sale Original basis \$20,015.00
Security total		40,251 40		40,030 00	...	221 40	
KEYBANK NATIONAL ASSOCIATION B/E 1.65% DUE 02/01/18 / CUSIP 49327M2A1 / Symbol							
02/03/16	40,000 000	39,907 60	07/18/13	39,438 40		469.20	Sale Original basis \$39,438 40
LOWES COS INC B/E 3.8% DUE 11/15/21 / CUSIP 548661CV7 / Symbol							
02/03/16	20,000.000	21,588 60	02/16/12	20,914 21		674 39	Sale ⁵⁶ Original basis \$21,541 00
06/21/16	20,000 000	21,906 60	02/16/12	20,854 04		1,052.56	Sale ⁵⁶ Original basis \$21,541 00
Security total		43,495.20		41,768 25		1,726.95	

Oppenheimer & Co. Inc.

Account G520102638

Proceeds Not Reported to the IRS (continued)

2016

03/10/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MORGAN STANLEY B/E 2.5% DUE 01/24/19 / CUSIP 61746BDM5 / Symbol							
02/03/16	20,000.000	20,128.60	04/02/14	19,988.00		140.60	Sale Original basis \$19,988.00
06/21/16	20,000.000	20,355.80	04/02/14	19,988.00		367.80	Sale Original basis \$19,988.00
	Security total	40,484.40		39,976.00		508.40	
OMNICOM GROUP INC B/E 6.25% DUE 07/15/19 / CUSIP 681919AY2 / Symbol							
02/03/16	40,000.000	44,802.40	02/11/10	41,182.47		3,619.93	Sale ⁵⁶ Original basis \$43,234.40
PHILLIPS 66 B/E 4 3% DUE 04/01/22 / CUSIP 718546AC8 / Symbol							
02/03/16	25,000.000	25,540.75	01/26/15	26,943.64		-1,402.89	Sale ⁵⁶ Original basis \$27,266.00
06/21/16	20,000.000	21,743.00	01/26/15	21,458.81		284.19	Sale ⁵⁶ Original basis \$21,812.80
	Security total	47,283.75		48,402.45		-1,118.70	
PRUDENTIAL FINL INC MTNS BOOK B/E 3% DUE 05/12/16 / CUSIP 74432QBR5 / Symbol							
02/03/16	40,000.000	40,190.00	07/15/11	40,356.80		-166.80	Sale Original basis \$40,356.80
SIMON PPTY GROUP LP B/E 3 375% DUE 03/15/22 / CUSIP 828807CK1 / Symbol							
02/03/16	45,000.000	47,181.60	01/26/15	47,214.69		-33.09	Sale ⁵⁶ Original basis \$47,584.80
STATOIL ASA B/E 1.15% DUE 05/15/18 / CUSIP 85771PAJ1 / Symbol							
06/21/16	20,000.000	19,929.60	05/09/13	19,990.20		-60.60	Sale Original basis \$19,990.20
U S BANCORP MTNS BK ENT B/E 1 95% DUE 11/15/18 / CUSIP 91159HHE3 / Symbol							
02/03/16	40,000.000	40,358.22	11/08/13	39,961.60		396.62	Sale Original basis \$39,961.60

Oppenheimer & Co. Inc.

Account G520102638

2016

(continued)

03/10/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES TREAS NTS B/E 3 125% DUE 05/15/19 / CUSIP 912828KQ2 / Symbol							
2 transactions for 06/21/16							
06/21/16	4,000 000	4,258 13	07/17/09	3,845.34	104 55 D	308 23	Sale ⁵⁶ Original basis \$3,830 02
	4,000 000	4,258 13	07/22/09	3,876 56	82 48 D	299 08	Sale ⁵⁶ Original basis \$3,861 27
	8,000 000	8,516.26	Various	7,721.90	187.03 D	607 31	Total of 2 transactions
UNITED STATES TREAS NTS B/E 1.375% DUE 11/30/18 / CUSIP 912828RT9 / Symbol							
02/03/16	25,000 000	25,343.75	03/21/13	25,278 82	..	64.93	Sale ⁵⁶ Original basis \$25,562 50
UNITED TECHNOLOGIES CORP B/E 1.8% DUE 06/01/17 / CUSIP 913017BU2 / Symbol							
01/28/16	40,000 000	40,339.60	06/26/12	40,220 92	...	118.68	Sale ⁵⁶ Original basis \$40,812.00
WELLS FARGO CO MTN BE B/E 2.15% DUE 01/30/20 / CUSIP 94974BGF1 / Symbol							
06/21/16	10,000 000	10,134.30	01/27/15	10,026.90	.	107 40	Sale Original basis \$10,026.90
Totals:		965,296.83		943,375.01	187.03 D	21,734.75	

Oppenheimer & Co. Inc.

Account G520220216

Proceeds Not Reported to the IRS

2016

02/04/2017

Sales transactions are organized into sections according to term (long, short or undetermined) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase

Several columns include both an amount and a qualifying notation to its right Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross) Accrued market discount and Wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions Similarly, sales of collectibles, noted as "12- [X] Collectible," are handled distinctly under the tax code You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ENERGY TRANSFER EQUITY L P COM UT LTD PTN / CUSIP 29273V100 / Symbol ETE							
03/28/16	111 000	747 02	07/29/15	3,345.53		-2,598.50	Sale
03/30/16	1,545 000	10,614 06	07/29/15	46,566 14		-35,952 08	Sale
	Security total	11,361 08		49,911 67		-38,550 58	
SPECTRA ENERGY PARTNERS LP SBI/CBI / CUSIP 84756N109 / Symbol SEP							
07/28/16	82 000	3,921 23	01/14/16	3,668 68		252 55	Sale
10/20/16	195 000	8,651 98	01/14/16	8,724 30		-72 32	Sale
	2 transactions for 10/26/16						
	69 000	2,997 30	01/14/16	3,087 06		-89 76	Sale
	114 000	4,952 06	02/19/16	5,214 36		-262 30	Sale
10/26/16	183 000	7,949 36	Various	8,301 42		-352 06	Total of 2 transactions
	Security total	20,522 57		20,694 40		-171 83	

Oppenheimer & Co. Inc.

Account G520220216

Proceeds Not Reported to the IRS

(continued)

2016

02/04/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TALLGRASS ENERGY PARTNERS LP COM UNIT / CUSIP 874697105 / Symbol TEP							
2 transactions for 05/25/16							
	41 000	1,876 95	07/29/15	1,890 10		-13 15	Sale
	59 000	2,700 98	12/07/15	2,164 69		536 29	Sale
05/25/16	100 000	4,577 93	Various	4,054 79		523.14	Total of 2 transactions
WILLIAMS PARTNERS L P NEW COM UNIT LTD PAR / CUSIP 96949L105 / Symbol WPZ							
01/14/16	253 000	4,234.73	07/29/15	11,916 81		-7,682.08	Sale
Totals:		40,696.31		86,577.67	...	-45,881.35	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERIGAS PARTNERS L P UNIT L P INT / CUSIP 030975106 / Symbol APU							
3 transactions for 08/11/16							
	234 000	10,709 32	10/13/11	10,558 08		151 24	Sale
	5 000	228 83	10/24/12	226 05		2 78	Sale
	17 000	778 03	07/29/15	778 94		-0 91	Sale
08/11/16	256 000	11,716 18	Various	11,563.07		153 11	Total of 3 transactions
ENBRIDGE ENERGY PARTNERS L P SBI/CBI / CUSIP 29250R106 / Symbol EEP							
07/28/16	457 000	10,268 61	04/30/12	14,107 59		-3,838 98	Sale

Oppenheimer & Co. Inc.

Account G520220216

Proceeds Not Reported to the IRS

(continued)

02/04/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ENERGY TRANSFER EQUITY L P COM UT LTD PTN / CUSIP 29273V100 / Symbol ETE							
4 transactions for 02/08/16							
	273 000	1,160 26	10/13/11	2,357 79		-1,197 54	Sale
	804 000	3,417 02	10/13/11	6,943 83		-3,526 81	Sale
	804 000	3,417 02	10/13/11	6,943 83		-3,526 81	Sale
	1,116 000	4,743 02	10/13/11	9,638 45		-4,895 42	Sale
02/08/16	2,997 000	12,737 32	Various	25,883 90		-13,146 58	Total of 4 transactions
9 transactions for 03/28/16							
	659 000	4,435 04	10/13/11	5,691 52		-1,256 48	Sale
	10 000	67 30	11/21/12	111 83		-44 53	Sale
	10 000	67 30	11/21/12	111 83		-44 53	Sale
	10 000	67 30	11/21/12	111 83		-44 53	Sale
	10 000	67 30	11/21/12	111 83		-44 53	Sale
	204 000	1,372 91	01/25/13	2,486 01		-1,113 10	Sale
	204 000	1,372 91	01/25/13	2,486 01		-1,113 10	Sale
	204 000	1,372 91	01/25/13	2,486 01		-1,113 10	Sale
	204 000	1,372 91	01/25/13	2,486 01		-1,113 10	Sale
03/28/16	1,515 000	10,195 88	Various	16,082 88		-5,887 00	Total of 9 transactions
Security total				41,966 78		-19,033 58	
ENLINK MIDSTREAM PARTNERS LP COM UNIT REP LTD / CUSIP 29336U107 / Symbol ENLK							
4 transactions for 03/08/16							
	194 000	1,986 75	05/15/14	6,035 54		-4,048 79	Sale
	205 000	2,099 40	05/28/14	6,271 71		-4,172 31	Sale
	755 000	7,731 94	10/06/14	23,124 29		-15,392 35	Sale
	14 000	143 37	11/14/14	392 45		-249 07	Sale
03/08/16	1,168 000	11,961 46	Various	35,823 99		-23,862 52	Total of 4 transactions

Oppenheimer & Co. Inc.

Account G520220216

Proceeds Not Reported to the IRS (continued)

2016

02/04/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ENTERPRISE PRODS PARTNERS L P SBI/CBI / CUSIP 293792107 / Symbol EPD							
03/16/16	528 000	12,835 45	10/13/11	11,170 05		1,665 40	Sale
03/30/16	116 000	2,834 39	10/13/11	2,454 03		380 36	Sale
04/27/16	119 000	3,231.97	10/13/11	2,517 49		714 48	Sale
08/16/16	357 000	9,781 62	10/13/11	7,552.48		2,229 14	Sale
	Security total	28,683 43		23,694 05		4,989 38	
GENESIS ENERGY L P UNIT LTD PARTN / CUSIP 371927104 / Symbol GEL							
12/16/16	100 000	3,392.93	10/13/11	2,479 50		913.43	Sale
MAGELLAN MIDSTREAM PARTNRS LP COM UNIT RP LP / CUSIP 559080106 / Symbol MMIP							
07/19/16	121 000	8,994.95	10/13/11	3,746 11		5,248 84	Sale
07/28/16	168 000	12,062 15	10/13/11	5,201 21		6,860.94	Sale
08/02/16	155 000	10,928 83	10/13/11	4,798 74		6,130 09	Sale
08/16/16	198 000	14,051 77	10/13/11	6,130 00		7,921 77	Sale
	Security total	46,037.70		19,876 06		26,161 64	
ONEOK PARTNERS LP UNIT LTD PARTN / CUSIP 68268N103 / Symbol OKS							
03/07/16	297 000	9,495.07	10/13/11	14,109 57		-4,614.50	Sale
PLAINS ALL AMERN PIPELINE L P UNIT LTD PARTN / CUSIP 726503105 / Symbol PAA							
03/28/16	420 000	8,794 85	10/13/11	12,830 58		-4,035 73	Sale
08/30/16	317 000	8,980 44	10/13/11	9,684 03		-703 59	Sale
	Security total	17,775 29		22,514 61		-4,739.32	
TALLGRASS ENERGY PARTNERS LP COM UNIT / CUSIP 874697105 / Symbol TEP							
05/25/16	236 000	10,803 91	02/24/15	11,707 91		-904 00	Sale
WILLIAMS PARTNERS L P NEW COM UNIT LTD PAR / CUSIP 96949L105 / Symbol WPZ							
	5 transactions for 01/14/16	1,790 97	12/04/13	5,248 36		-3,457 39	Sale
	107 000						

Oppenheimer & Co. Inc.

Account G520220216

Proceeds Not Reported to the IRS

(continued)

02/04/2017

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WILLIAMS PARTNERS L P NEW COM UNIT LTD PAR / CUSIP 96949L105 / Symbol WPZ (cont'd)							
	12 000	200 86	03/11/14	635 19		-434 34	Sale
	197 000	3,297 40	03/11/14	10,427 78		-7,130 38	Sale
	249 000	4,167 78	11/10/14	15,013 00		-10,845 22	Sale
	324 000	5,423 14	12/22/14	16,376 70		-10,953 56	Sale
01/14/16	889 000	14,880.15	Various	47,701.03		-32,820 89	Total of 5 transactions
Totals:		187,947.93		245,544.16	...	-57,596.23	

Oppenheimer & Co. Inc.

Account G521400106

Proceeds Not Reported to the IRS

2016

02/04/2017

Sales transactions are organized into sections according to term (long, short or undetermined) For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase

Several columns include both an amount and a qualifying notation to its right Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross) Accrued market discount and Wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions Similarly, sales of collectibles, noted as "12- [X] Collectible," are handled distinctly under the tax code You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios

Closing of written options is presented in a distinct manner in accordance with IRS regulation For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)Gross or (N)Net acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ALCOA CORP B/E 5 125% DUE 10/01/24 / CUSIP 013817AW1 / Symbol 04/13/16	3,000.000	2,857.80	2,602.20	6.83 D	248.77	Sale ⁵⁶ Original basis \$2,602.20
ALERIS INTL INC T/S UNDL 014477AM5 / CUSIP 01499AEN9 / Symbol						
2 transactions for 04/04/16						
3,000.000	2,973.75	02/19/16	2,619.60		354.15	Redemption ⁵⁶ Original basis \$2,596.86
0.000	90.00	04/04/16	0.00		90.00	Cash in lieu
3,000.000	3,063.75	Various	2,619.60		444.15	Total of 2 transactions
BP CAP MKTS P L C FGN 1 375% DUE 05/10/18 / CUSIP 05565QCE6 / Symbol 10/26/16	9,000.000	8,979.48	8,823.96		155.52	Sale Original basis \$8,823.96

Oppenheimer & Co. Inc.

Account G521400106

2016

(continued)

02/04/2017

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CALLUMET SPECIALTY PRODS PARTNE B/E 7.625% DUE 01/15/22 / CUSIP 131477AL5 / Symbol							
02/23/16	1,000 000	622 50	02/19/16	680 02	0 57 D	-58 09	Sale ⁵⁶ Original basis \$680.00
03/03/16	2,000 000	1,200 00	02/19/16	1,360 13	3 73 D	-163 86	Sale ⁵⁶ Original basis \$1,360.00
	Security total	1,822.50		2,040 15	4.30 D	-221 95	
INTELSAT JACKSON HLDGS LTD B/E 7.5% DUE 04/01/21 / CUSIP 45824TAG0 / Symbol							
02/25/16	3,000,000	1,980 00	02/19/16	2,497 50	1.61 D	-519 11	Sale ⁵⁶ Original basis \$2,497.50
NOVELIS INC T/S UNDLY 67000XAM8 / CUSIP 67099ALR7 / Symbol							
09/14/16	4,000 000	4,193 20	02/19/16	3,610 16		583 04	Redemption Original basis \$3,610 16
POST HLDGS INC T/S UNDLY 737446AB0 / CUSIP 73799AEN5 / Symbol							
08/03/16	4,000,000	4,283.32	02/19/16	4,240 87		42.45	Redemption ⁵⁶ Original basis \$4,260 00
RIO TINTO FIN USA PLC T/S UNDLY 76720AAM8 / CUSIP 76799ADD1 / Symbol							
06/14/16	6,000 000	6,146 16	02/22/16	5,831 46		314 70	Redemption Original basis \$5,831 46
RIO TINTO FIN USA PLC T/S UNDLY 76720AAM8 / CUSIP 767SPC9M2 / Symbol							
	2 transactions for 05/06/16						
	3,000 000	2,955 03	02/22/16	2,915 73		39 30	Redemption Original basis \$2,915 73
	0 000	90 00	05/06/16	0 00		90 00	Cash in lieu
05/06/16	3,000 000	3,045 03	Various	2,915 73		129.30	Total of 2 transactions
TESORO CORP B/E 5.375% DUE 10/01/22 / CUSIP 881609AZ4 / Symbol							
08/24/16	3,000,000	3,123 00	02/19/16	2,786 25	16 54 D	320.21	Sale ⁵⁶ Original basis \$2,786 25

Oppenheimer & Co. Inc.	Proceeds Not Reported to the IRS (continued)	Account G521400106 02/04/2017
2016		

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 8949, Part I, with Box C checked

Description of property									
Date sold or disposed	Quantity	Proceeds & Reported		Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information	
		(G)ross or (N)et							
TESORO LOGISTICS LP / CORP B/E 6 125% DUE 10/15/21 / CUSIP 88160QAD5 / Symbol									
10/19/16	5,000 000	5,234 50	02/19/16		4,537.50	54 42 D	642 58	Sale ⁵⁶ Original basis	\$4,537 50
TOYS R US PPTY CO II LLC B/E 8 5% DUE 12/01/17 / CUSIP 89236MAB6 / Symbol									
03/01/16	4,000 000	3,790 00	02/19/16		3,790 23	3 32 D	-3.55	Sale ⁵⁶ Original basis	\$3,790 00
Totals:		48,518.74			46,295.61	87.02 D	2,136.11		

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2016 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)							
AKZO NOBEL N V SPNSD ADR	CUSIP Number 010199305						
49.0000 Sale	03/24/16	06/01/16	1,120.05	1,078.64	0.00	0.00	41.41
101.0000 Sale	03/24/16	06/27/16	1,966.43	2,223.33	0.00	0.00	(256.90)
Security Subtotal			3,086.48	3,301.97	0.00	0.00	(215.49)
ARKEMA ADR	CUSIP Number 041232109						
29.0000 Sale	06/17/16	06/27/16	2,039.30	2,258.54	0.00	0.00	(219.24)
AERCAP HOLDINGS N.V SHS	CUSIP Number N00985106						
6.0000 Sale	02/11/16	06/27/16	190.14	159.32	0.00	0.00	30.82
AAC TECHNOLOGIES HLDG UNSP ADR	CUSIP Number 000304105						
19.0000 Sale	02/18/16	06/01/16	1,530.99	1,227.38	0.00	0.00	303.61
29.0000 Sale	02/18/16	06/27/16	2,322.27	1,873.38	0.00	0.00	448.89
Security Subtotal			3,853.26	3,100.76	0.00	0.00	752.50



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
8 of 27

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BEZEQ THE ISRAEL TELECOM CORP							
	CUSIP Number	08861Q103					
37,000 Sale	02/25/16	06/01/16	354.82	415.94	0.00	0.00	(61.12)
249,000 Sale	02/25/16	06/27/16	2,263.36	2,799.19	0.00	0.00	(535.83)
Security Subtotal			2,618.18	3,215.13	0.00	0.00	(596.95)
CHINA EASTN AIRLN SP ADR							
	CUSIP Number	16937R104					
29,000 Sale	09/11/15	01/28/16	700.51	830.93	0.00	0.00	(130.42)
101,000 Sale	09/14/15	01/28/16	2,439.71	2,883.35	0.00	0.00	(443.64)
44,000 Sale	09/14/15	06/01/16	1,183.57	1,256.11	0.00	0.00	(72.54)
86,000 Sale	09/14/15	06/27/16	2,197.26	2,455.13	0.00	0.00	(257.87)
Security Subtotal			6,521.05	7,425.52	0.00	0.00	(904.47)
CARNIVAL PLC ADR							
	CUSIP Number	14365C103					
9,000 Sale	12/28/15	01/28/16	442.61	508.26	0.00	0.00	(65.65)
58,000 Sale	12/29/15	01/28/16	2,852.39	3,333.15	0.00	0.00	(480.76)
17,000 Sale	12/29/15	06/01/16	837.17	976.96	0.00	0.00	(139.79)
47,000 Sale	12/29/15	06/27/16	2,056.68	2,700.99	0.00	0.00	(644.31)
Security Subtotal			6,188.85	7,519.36	0.00	0.00	(1,330.51)
CONTROLADORA VUELA COMPANIA DE AVI CL A ADR							
	CUSIP Number	21240E105					
40,000 Sale	03/01/16	06/01/16	758.78	799.48	0.00	0.00	(40.70)
25,000 Sale	03/01/16	06/27/16	443.73	499.68	0.00	0.00	(55.95)
84,000 Sale	03/02/16	06/27/16	1,490.97	1,739.72	0.00	0.00	(248.75)
13,000 Sale	03/03/16	06/27/16	230.75	269.67	0.00	0.00	(38.92)
Security Subtotal			2,924.23	3,308.55	0.00	0.00	(384.32)
COLLIERS INTERNTNL GRP I							
	CUSIP Number	194693107					
16,000 Sale	03/28/16	06/01/16	628.78	562.61	0.00	0.00	66.17
20,000 Sale	03/29/16	06/01/16	785.99	712.63	0.00	0.00	73.36
14,000 Sale	03/29/16	06/27/16	465.80	498.84	0.00	0.00	(33.04)
9,000 Sale	03/30/16	06/27/16	299.44	330.77	0.00	0.00	(31.33)
12,000 Sale	03/31/16	06/27/16	399.26	451.27	0.00	0.00	(52.01)
20,000 Sale	04/01/16	06/27/16	665.44	747.00	0.00	0.00	(81.56)
4,000 Sale	04/04/16	06/27/16	133.10	146.72	0.00	0.00	(13.62)
Security Subtotal			3,377.81	3,449.84	0.00	0.00	(72.03)

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
9 of 27

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
GRUPO FIN BANORTE-SPON ADR	CUSIP Number	40052P107					
35.0000 Sale	04/26/16	06/01/16	906.83	966.03	0.00	0.00	(59.20)
35.0000 Sale	04/26/16	06/27/16	855.03	966.03	0.00	0.00	(111.00)
55.0000 Sale	04/27/16	06/27/16	1,343.62	1,529.70	0.00	0.00	(186.08)
Security Subtotal			3,105.48	3,461.76	0.00	0.00	(356.28)
HEINEKEN N V ADR	CUSIP Number	423012301					
95.0000 Sale	10/26/15	01/28/16	4,029.83	4,212.20	0.00	0.00	(182.37)
24.0000 Sale	10/26/15	06/01/16	1,119.10	1,064.13	0.00	0.00	54.97
50.0000 Sale	10/26/15	06/27/16	2,176.95	2,216.95	0.00	0.00	(40.00)
Security Subtotal			7,325.88	7,493.28	0.00	0.00	(167.40)
ITV PLC SHS	CUSIP Number	45069P107					
112.0000 Sale	05/01/15	01/28/16	4,160.72	4,339.16	0.00	0.00	(178.44)
KOREA ELEC POWER SPN ADR	CUSIP Number	500631106					
130.0000 Sale	09/10/15	01/28/16	2,805.85	2,677.96	0.00	0.00	127.89
75.0000 Sale	09/11/15	01/28/16	1,618.77	1,536.67	0.00	0.00	82.10
18.0000 Sale	09/11/15	06/01/16	456.69	368.80	0.00	0.00	87.89
36.0000 Sale	09/14/15	06/01/16	913.40	744.81	0.00	0.00	168.59
91.0000 Sale	09/14/15	06/27/16	2,259.71	1,882.71	0.00	0.00	377.00
Security Subtotal			8,054.42	7,210.95	0.00	0.00	843.47
KASIKORNBANK PCL-UNSPON	CUSIP Number	485785109					
123.0000 Sale	01/30/15	01/28/16	2,287.76	3,370.34	0.00	0.00	(1,082.58)
KEPPEL LTD SPONS ADR	CUSIP Number	492051305					
164.0000 Sale	03/13/15	02/25/16	1,166.23	2,038.52	0.00	0.00	(872.29)
MURATA MANUFACTURING CO LTD SHS	CUSIP Number	626425102					
94.0000 Sale	09/11/15	01/28/16	2,725.95	3,197.65	0.00	0.00	(471.70)
28.0000 Sale	09/11/15	06/01/16	802.46	952.49	0.00	0.00	(150.03)
80.0000 Sale	09/11/15	06/27/16	2,103.95	2,721.40	0.00	0.00	(617.45)
Security Subtotal			5,632.36	6,871.54	0.00	0.00	(1,239.18)
MAGNA INTL INC CL A VTG	CUSIP Number	559222401					
3.0000 Sale	02/11/16	06/27/16	101.46	95.23	0.00	0.00	6.23



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
10 of 27

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
MITSUBISHI ELEC ADR	CUSIP Number 606776201						
147.0000 Sale	06/22/15	01/28/16	2,717.83	3,879.27	0.00	0.00	(1,161.44)
74.0000 Sale	06/22/15	06/01/16	1,768.86	1,952.83	0.00	0.00	(183.97)
Security Subtotal			4,486.69	5,832.10	0.00	0.00	(1,345.41)
NXP SEMICONDUCTORS N.V.	CUSIP Number N6596X109						
29.0000 Sale	03/16/15	01/28/16	2,027.66	3,020.84	0.00	0.00	(993.18)
4.0000 Sale	03/17/15	01/28/16	279.68	415.16	0.00	0.00	(135.48)
Security Subtotal			2,307.34	3,436.00	0.00	0.00	(1,128.66)
PERSIMMON PLC-UNSPON	CUSIP Number 715318101						
71.0000 Sale	12/29/15	01/28/16	4,007.88	4,307.23	0.00	0.00	(299.35)
16.0000 Sale	12/29/15	06/01/16	951.18	970.64	0.00	0.00	(19.46)
39.0000 Sale	12/29/15	06/27/16	1,355.22	2,365.95	0.00	0.00	(1,010.73)
Security Subtotal			6,314.28	7,643.82	0.00	0.00	(1,329.54)
RENAULT SA	CUSIP Number 759673403						
213.0000 Sale	10/27/15	01/28/16	3,627.32	3,873.77	0.00	0.00	(246.45)
61.0000 Sale	10/27/15	06/01/16	1,129.70	1,109.39	0.00	0.00	20.31
125.0000 Sale	10/27/15	06/27/16	1,817.46	2,273.33	0.00	0.00	(455.87)
Security Subtotal			6,574.48	7,256.49	0.00	0.00	(682.01)
SHIRE PLC-ADR	CUSIP Number 82481R106						
14.0000 Sale	03/18/15	01/28/16	2,337.96	3,516.44	0.00	0.00	(1,178.48)
SUNCOR ENERGY INC NEW	CUSIP Number 867224107						
89.0000 Sale	03/13/15	02/11/16	1,830.28	2,450.16	0.00	0.00	(619.88)
SUMITOMO MITSUI-UNSPONS	CUSIP Number 86562M209						
ADR							
123.0000 Sale	02/11/16	06/17/16	719.49	625.49	0.00	0.00	94.00
WH GROUP LTD ADR	CUSIP Number 92890T106						
370.0000 Sale	09/01/15	01/28/16	4,066.22	3,818.29	0.00	0.00	247.93
58.0000 Sale	09/02/15	01/28/16	637.41	597.94	0.00	0.00	39.47
130.0000 Sale	09/02/15	06/01/16	1,944.76	1,340.21	0.00	0.00	604.55
153.0000 Sale	09/02/15	06/27/16	2,279.65	1,577.32	0.00	0.00	702.33
Security Subtotal			8,928.04	7,333.76	0.00	0.00	1,594.28



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
11 of 27

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
TEVA PHARMACTCL INDS ADR	CUSIP Number 881624209						
47.0000 Sale	03/16/15	01/28/16	2,824.78	2,838.36	0.00	0.00	(13.58)
28.0000 Sale	03/17/15	01/28/16	1,682.85	1,691.54	0.00	0.00	(8.69)
Security Subtotal			4,507.63	4,529.90	0.00	0.00	(22.27)
TECHTRONIC INDS SPD ADR	CUSIP Number 87873R101						
211.0000 Sale	11/09/15	01/28/16	4,015.26	4,317.13	0.00	0.00	(301.87)
49.0000 Sale	11/09/15	06/01/16	982.43	1,002.55	0.00	0.00	(20.12)
115.0000 Sale	11/09/15	06/27/16	2,257.40	2,352.94	0.00	0.00	(95.54)
Security Subtotal			7,255.09	7,672.62	0.00	0.00	(417.53)
TORAY INDUSTRIES INC ADR	CUSIP Number 890880206						
223.0000 Sale	09/22/15	01/28/16	3,750.79	3,808.54	0.00	0.00	(57.75)
52.0000 Sale	09/22/15	06/01/16	889.70	888.09	0.00	0.00	1.61
135.0000 Sale	09/22/15	06/27/16	2,282.80	2,305.62	0.00	0.00	(22.82)
Security Subtotal			6,923.29	7,002.25	0.00	0.00	(78.96)
UNIBAIL-RODAMCO SE UNSP ADR	CUSIP Number 904587102						
152.0000 Sale	10/01/15	01/28/16	3,754.33	3,926.38	0.00	0.00	(172.05)
41.0000 Sale	10/01/15	06/01/16	1,096.73	1,059.09	0.00	0.00	37.64
86.0000 Sale	10/01/15	06/27/16	2,141.35	2,221.51	0.00	0.00	(80.16)
Security Subtotal			6,992.41	7,206.98	0.00	0.00	(214.57)
VESTAS WIND SYTS AS ADR	CUSIP Number 925458101						
236.0000 Sale	09/01/15	01/28/16	4,977.15	4,157.24	0.00	0.00	819.91
9.0000 Sale	09/01/15	02/12/16	187.94	158.54	0.00	0.00	29.40
41.0000 Sale	09/01/15	06/01/16	971.68	722.23	0.00	0.00	249.45
98.0000 Sale	09/01/15	06/27/16	2,023.66	1,726.31	0.00	0.00	297.35
Security Subtotal			8,160.43	6,764.32	0.00	0.00	1,396.11
YY INC ADR AMERICAN DEPOSITORY SHRS REPRESENTING CLASS A SHS	CUSIP Number 98426T106						
12.0000 Sale	01/29/15	01/28/16	685.78	866.53	0.00	0.00	(180.75)
72.0000 Sale	01/30/15	01/28/16	4,114.74	5,201.21	0.00	0.00	(1,086.47)
46.0000 Sale	03/13/15	02/25/16	2,362.26	2,376.36	0.00	0.00	(14.10)
Security Subtotal			7,162.78	8,444.10	0.00	0.00	(1,281.32)



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
12 of 27

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
Covered Short Term Capital Gains and Losses Subtotal			137,133.80	148,334.20	0.00	0.00	(11,200.40)
NET SHORT TERM CAPITAL GAINS AND LOSSES			137,133.80	148,334.20	0.00	0.00	(11,200.40)
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds							
COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part II, (D)							
ADVANCED SEMICONDUCTOR SPADR	CUSIP Number	00756M404					
479,000 Sale	12/10/13	01/28/16	2,468.38	2,362.57	0.00	0.00	105.81
188,000 Sale	12/10/13	06/01/16	1,042.20	927.27	0.00	0.00	114.93
270,000 Sale	12/10/13	06/27/16	1,410.72	1,331.72	0.00	0.00	79.00
147,000 Sale	01/17/14	06/27/16	768.06	701.20	0.00	0.00	66.86
Security Subtotal			5,689.36	5,322.76	0.00	0.00	366.60
ALLIANZ SE SPD ADR	CUSIP Number	018805101					
254,000 Sale	11/06/12	01/28/16	4,015.67	3,136.26	0.00	0.00	879.41
57,000 Sale	11/06/12	06/01/16	927.37	703.81	0.00	0.00	223.56
103,000 Sale	11/06/12	06/27/16	1,372.96	1,271.79	0.00	0.00	101.17
39,000 Sale	07/21/14	06/27/16	519.86	674.70	0.00	0.00	(154.84)
Security Subtotal			6,835.86	5,786.56	0.00	0.00	1,049.30
AERCAP HOLDINGS N V SHS	CUSIP Number	N00985106					
38,000 Sale	06/03/11	01/28/16	1,101.21	489.60	0.00	0.00	611.61
35,000 Sale	11/15/11	01/28/16	1,014.29	392.22	0.00	0.00	622.07
50,000 Sale	11/15/11	06/01/16	1,925.75	560.31	0.00	0.00	1,365.44
55,000 Sale	11/15/11	06/27/16	1,742.92	616.35	0.00	0.00	1,126.57
Security Subtotal			5,784.17	2,058.48	0.00	0.00	3,725.69
BT GROUP PLC ADR	CUSIP Number	05577E101					
22,000 Sale	11/05/12	01/28/16	742.99	397.77	0.00	0.00	345.22
101,000 Sale	11/06/12	01/28/16	3,411.02	1,855.12	0.00	0.00	1,555.90
3,000 Sale	11/06/12	02/11/16	98.27	55.10	0.00	0.00	43.17
17,000 Sale	11/06/12	06/01/16	535.83	312.25	0.00	0.00	223.58
19,000 Sale	11/06/12	06/27/16	479.93	348.98	0.00	0.00	130.95
54,000 Sale	11/07/12	06/27/16	1,364.02	972.49	0.00	0.00	391.53
Security Subtotal			6,632.06	3,941.71	0.00	0.00	2,690.35

2016 ANNUAL STATEMENT SUMMARY
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
BANK MANDIRI TBK-UNSPON							
ADR	CUSIP Number	69367U105					
434.0000 Sale	04/09/13	01/28/16	2,928.58	4,586.60	0.00	0.00	(1,658.02)
27.0000 Sale	06/05/13	06/01/16	178.57	265.68	0.00	0.00	(87.11)
82.0000 Sale	01/21/14	06/01/16	542.34	589.20	0.00	0.00	(46.86)
351.0000 Sale	01/21/14	06/27/16	2,277.94	2,522.08	0.00	0.00	(244.14)
Security Subtotal			5,927.43	7,963.56	0.00	0.00	(2,036.13)
BRIDGESTONE CORP ADR							
219.0000 Sale	CUSIP Number	108441205					
46.0000 Sale	11/05/13	01/28/16	3,821.48	3,592.19	0.00	0.00	229.29
111.0000 Sale	11/05/13	06/01/16	784.28	754.52	0.00	0.00	29.76
20.0000 Sale	11/05/13	06/27/16	1,735.99	1,820.70	0.00	0.00	(84.71)
3.0000 Sale	07/21/14	06/27/16	312.80	375.40	0.00	0.00	(62.60)
	03/13/15	06/27/16	46.92	60.09	0.00	0.00	(13.17)
Security Subtotal			6,701.47	6,602.90	0.00	0.00	98.57
CANADIAN NATL RAILWAY CO							
16.0000 Sale	CUSIP Number	136375102					
10.0000 Sale	07/21/11	01/28/16	835.34	636.46	0.00	0.00	198.88
32.0000 Sale	07/22/11	01/28/16	522.09	396.99	0.00	0.00	125.10
22.0000 Sale	11/15/11	01/28/16	1,670.70	1,260.00	0.00	0.00	410.70
30.0000 Sale	11/15/11	06/01/16	1,296.15	866.25	0.00	0.00	429.90
9.0000 Sale	11/15/11	06/27/16	1,701.26	1,181.25	0.00	0.00	520.01
	01/17/14	06/27/16	510.38	481.12	0.00	0.00	29.26
Security Subtotal			6,535.92	4,822.07	0.00	0.00	1,713.85
CAP GEMINI SP ADR							
110.0000 Sale	CUSIP Number	139098107					
22.0000 Sale	12/10/13	01/28/16	4,876.21	3,556.23	0.00	0.00	1,319.98
36.0000 Sale	12/10/13	06/01/16	1,043.44	711.24	0.00	0.00	332.20
13.0000 Sale	12/10/13	06/27/16	1,472.37	1,163.86	0.00	0.00	308.51
	07/21/14	06/27/16	531.69	449.36	0.00	0.00	82.33
Security Subtotal			7,923.71	5,880.69	0.00	0.00	2,043.02
CHECK POINT SOFTWARE TECH							
6.0000 Sale	CUSIP Number	M22465104					
19.0000 Sale	11/17/11	01/28/16	462.23	328.63	0.00	0.00	133.60
16.0000 Sale	12/22/11	01/28/16	1,463.73	1,036.64	0.00	0.00	427.09
11.0000 Sale	04/24/12	01/28/16	1,232.62	958.05	0.00	0.00	274.57
8.0000 Sale	05/24/12	01/28/16	847.44	577.97	0.00	0.00	269.47
4.0000 Sale	05/24/12	06/01/16	675.12	420.35	0.00	0.00	254.77
2.0000 Sale	06/05/12	06/01/16	337.56	207.08	0.00	0.00	130.48
	06/05/13	06/01/16	168.79	98.46	0.00	0.00	70.33



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
14 of 27

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY**
2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
CHECK POINT SOFTWARE TECH	CUSIP Number	M22465104					
21.0000 Sale	06/05/13	06/27/16	1,620.11	1,033.83	0.00	0.00	586.28
6.0000 Sale	07/21/14	06/27/16	462.89	385.67	0.00	0.00	77.22
Security Subtotal			7,270.49	5,046.68	0.00	0.00	2,223.81
CHINA MOBILE LTD SPN ADR	CUSIP Number	16941M109					
54.0000 Sale	08/27/13	01/28/16	2,920.81	2,881.81	0.00	0.00	39.00
10.0000 Sale	09/06/13	01/28/16	540.90	555.86	0.00	0.00	(14.96)
3.0000 Sale	08/28/13	01/28/16	162.26	160.39	0.00	0.00	1.87
18.0000 Sale	09/06/13	06/01/16	1,026.32	1,000.54	0.00	0.00	25.78
8.0000 Sale	09/06/13	06/27/16	434.07	444.69	0.00	0.00	(10.62)
8.0000 Sale	11/07/13	06/27/16	434.07	420.12	0.00	0.00	13.95
24.0000 Sale	01/17/14	06/27/16	1,302.21	1,203.01	0.00	0.00	99.20
Security Subtotal			6,820.64	6,666.42	0.00	0.00	154.22
DBS GROUP HLDGS SPN ADR	CUSIP Number	23304Y100					
59.0000 Sale	11/11/14	01/28/16	2,219.72	3,566.63	0.00	0.00	(1,346.91)
32.0000 Sale	11/11/14	06/01/16	1,445.35	1,934.44	0.00	0.00	(489.09)
41.0000 Sale	11/11/14	06/27/16	1,811.83	2,478.50	0.00	0.00	(666.67)
10.0000 Sale	03/13/15	06/27/16	441.91	561.20	0.00	0.00	(119.29)
Security Subtotal			5,918.81	8,540.77	0.00	0.00	(2,621.96)
ITV PLC SHS	CUSIP Number	45069P107					
8.0000 Sale	05/01/15	06/01/16	242.23	309.94	0.00	0.00	(67.71)
76.0000 Sale	05/01/15	06/27/16	1,542.77	2,944.43	0.00	0.00	(1,401.66)
Security Subtotal			1,785.00	3,254.37	0.00	0.00	(1,469.37)
ICON PLC	CUSIP Number	G4705A100					
2.0000 Sale	10/15/14	01/28/16	133.23	106.13	0.00	0.00	27.10
47.0000 Sale	10/16/14	01/28/16	3,131.09	2,551.63	0.00	0.00	579.46
15.0000 Sale	10/16/14	06/01/16	1,081.48	814.35	0.00	0.00	267.13
32.0000 Sale	10/16/14	06/27/16	2,115.79	1,737.28	0.00	0.00	378.51
Security Subtotal			6,461.59	5,209.39	0.00	0.00	1,252.20

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
15 of 27

2016 ANNUAL STATEMENT SUMMARY 2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Form 1099-B

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
KDDI CORP SHS							
366,000 Sale	01/21/14	01/28/16	4,482.32	3,555.56	0.00	0.00	826.76
18,000 Sale	01/21/14	02/11/16	224.57	179.78	0.00	0.00	44.79
78,000 Sale	01/21/14	06/01/16	1,118.57	779.05	0.00	0.00	339.52
161,000 Sale	01/21/14	06/27/16	2,363.43	1,608.05	0.00	0.00	755.38
Security Subtotal			8,188.89	6,222.44	0.00	0.00	1,966.45
KASIKORNBANK PCL-UNSPON							
49,000 Sale	01/30/15	06/01/16	950.58	1,342.65	0.00	0.00	(392.07)
106,000 Sale	01/30/15	06/27/16	1,989.57	2,904.52	0.00	0.00	(914.95)
14,000 Sale	03/13/15	06/27/16	262.78	383.32	0.00	0.00	(120.54)
Security Subtotal			3,202.93	4,630.49	0.00	0.00	(1,427.56)
KEPPEL LTD SPONS ADR							
171,000 Sale	03/18/14	01/28/16	1,140.55	2,886.86	0.00	0.00	(1,746.31)
306,000 Sale	03/18/14	02/25/16	2,176.01	5,165.95	0.00	0.00	(2,989.94)
Security Subtotal			3,316.56	8,052.81	0.00	0.00	(4,736.25)
MANULIFE FINANCIAL CORP							
226,000 Sale	02/03/14	01/28/16	3,026.08	4,010.64	0.00	0.00	(984.56)
78,000 Sale	02/03/14	06/01/16	1,150.47	1,384.20	0.00	0.00	(233.73)
93,000 Sale	02/03/14	06/27/16	1,175.83	1,650.40	0.00	0.00	(474.57)
64,000 Sale	03/13/15	06/27/16	809.19	1,067.92	0.00	0.00	(258.73)
Security Subtotal			6,161.57	8,113.16	0.00	0.00	(1,951.59)
MAGNA INTL INC CL A VTG							
16,000 Sale	12/03/14	01/28/16	544.03	887.36	0.00	0.00	(343.33)
47,000 Sale	12/04/14	01/28/16	1,598.09	2,614.58	0.00	0.00	(1,016.49)
36,000 Sale	12/04/14	06/01/16	1,439.61	2,002.66	0.00	0.00	(563.05)
45,000 Sale	12/04/14	06/27/16	1,521.87	2,503.32	0.00	0.00	(981.45)
10,000 Sale	03/13/15	06/27/16	338.20	516.50	0.00	0.00	(178.30)
Security Subtotal			5,441.80	8,524.42	0.00	0.00	(3,082.62)
MITSUBISHI ELEC ADR							
96,000 Sale	06/22/15	06/27/16	2,159.57	2,533.40	0.00	0.00	(373.83)

LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Form 1099-B **2016 ANNUAL STATEMENT SUMMARY** **2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
NIPPON TEL&TEL SPDN ADR							
5.0000 Sale	06/03/11	02/11/16	210.38	117.17	0.00	0.00	93.21
17.0000 Sale	06/03/11	06/01/16	741.01	398.39	0.00	0.00	342.62
2.0000 Sale	06/03/11	06/27/16	91.51	46.87	0.00	0.00	44.64
9.0000 Sale	11/15/11	06/27/16	411.83	226.18	0.00	0.00	185.65
28.0000 Sale	06/05/12	06/27/16	1,281.26	586.23	0.00	0.00	695.03
11.0000 Sale	06/05/13	06/27/16	503.36	277.79	0.00	0.00	225.57
3.0000 Sale	03/13/15	06/27/16	137.28	92.55	0.00	0.00	44.73
Security Subtotal			3,376.63	1,745.18	0.00	0.00	1,631.45
NETEASE.COM INC ADR							
44.0000 Sale	06/20/12	01/28/16	6,801.06	2,748.32	0.00	0.00	4,052.74
5.0000 Sale	06/20/12	06/01/16	872.02	312.31	0.00	0.00	559.71
2.0000 Sale	06/05/13	06/01/16	348.82	126.84	0.00	0.00	221.98
2.0000 Sale	06/05/13	06/27/16	333.59	126.84	0.00	0.00	206.75
11.0000 Sale	03/13/15	06/27/16	1,834.76	1,053.69	0.00	0.00	781.07
Security Subtotal			10,190.25	4,368.00	0.00	0.00	5,822.25
NXP SEMICONDUCTORS N V							
20.0000 Sale	03/17/15	06/01/16	1,875.92	2,075.81	0.00	0.00	(199.89)
25.0000 Sale	03/17/15	06/27/16	1,894.96	2,594.77	0.00	0.00	(699.81)
Security Subtotal			3,770.88	4,670.58	0.00	0.00	(899.70)
ORIX CORPORATION SP ADR							
57.0000 Sale	07/15/14	01/28/16	3,805.39	4,569.12	0.00	0.00	(763.73)
13.0000 Sale	07/15/14	06/01/16	895.29	1,042.08	0.00	0.00	(146.79)
29.0000 Sale	07/15/14	06/27/16	1,792.15	2,324.64	0.00	0.00	(532.49)
5.0000 Sale	03/13/15	06/27/16	309.00	358.55	0.00	0.00	(49.55)
Security Subtotal			6,801.83	8,294.39	0.00	0.00	(1,492.56)
PANDORA A/S SHS							
153.0000 Sale	03/17/14	01/28/16	4,948.39	2,506.11	0.00	0.00	2,442.28
36.0000 Sale	03/17/14	06/01/16	1,348.03	589.67	0.00	0.00	758.36
62.0000 Sale	03/17/14	06/27/16	1,965.73	1,015.55	0.00	0.00	950.18
Security Subtotal			8,262.15	4,111.33	0.00	0.00	4,150.82



LEO GOODWIN FOUNDATION, INC.

Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
17 of 27

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
RYANAIR HOLDINGS PLC SHS							
ADR	CUSIP Number		783513203				
63.0000 Sale	01/02/15	01/28/16	4,908.34	4,514.49	0.00	0.00	393.85
13.0000 Sale	01/02/15	06/01/16	1,129.16	931.56	0.00	0.00	197.60
13.0000 Sale	01/02/15	06/27/16	861.88	931.56	0.00	0.00	(69.68)
14.0000 Sale	03/13/15	06/27/16	928.18	919.41	0.00	0.00	8.77
Security Subtotal			7,827.56	7,297.02	0.00	0.00	530.54
ROCHE HLDG LTD SPN ADR							
ADR	CUSIP Number		771195104				
91.0000 Sale	09/12/13	01/28/16	2,902.84	2,913.93	0.00	0.00	(11.09)
40.0000 Sale	09/13/13	01/28/16	1,275.98	1,275.89	0.00	0.00	0.09
54.0000 Sale	09/13/13	04/26/16	1,713.12	1,722.46	0.00	0.00	(9.34)
36.0000 Sale	12/19/13	04/26/16	1,142.08	1,216.49	0.00	0.00	(74.41)
9.0000 Sale	03/13/15	04/26/16	285.53	295.65	0.00	0.00	(10.12)
Security Subtotal			7,319.55	7,424.42	0.00	0.00	(104.87)
SK TELECOM ADR							
ADR	CUSIP Number		78440P108				
79.0000 Sale	12/17/12	01/28/16	1,515.45	1,275.35	0.00	0.00	240.10
48.0000 Sale	12/18/12	01/28/16	920.79	784.04	0.00	0.00	136.75
51.0000 Sale	12/18/12	06/01/16	1,028.84	833.04	0.00	0.00	195.80
95.0000 Sale	12/18/12	06/27/16	1,862.90	1,551.75	0.00	0.00	311.15
19.0000 Sale	01/17/14	06/27/16	372.59	440.59	0.00	0.00	(68.00)
Security Subtotal			5,700.57	4,884.77	0.00	0.00	815.80
SHIRE PLC-ADR							
ADR	CUSIP Number		82481R106				
7.0000 Sale	03/18/15	06/01/16	1,335.93	1,758.22	0.00	0.00	(422.29)
12.0000 Sale	03/18/15	06/27/16	1,979.48	3,014.08	0.00	0.00	(1,034.60)
Security Subtotal			3,315.41	4,772.30	0.00	0.00	(1,456.89)
SUNCOR ENERGY INC NEW							
ADR	CUSIP Number		867224107				
133.0000 Sale	05/28/13	01/28/16	3,100.20	4,155.75	0.00	0.00	(1,055.55)
41.0000 Sale	05/28/13	02/11/16	843.15	1,281.09	0.00	0.00	(437.94)
6.0000 Sale	06/05/13	02/11/16	123.38	183.60	0.00	0.00	(60.22)
Security Subtotal			4,066.73	5,620.44	0.00	0.00	(1,553.71)



LEO GOODWIN FOUNDATION, INC.

Form 1099-B

2016 ANNUAL STATEMENT SUMMARY

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SUMITOMO MITSUBISHI-UNSPONS ADR	CUSIP Number	86562M209					
252.0000 Sale	11/11/14	01/28/16	1,708.55	1,986.01	0.00	0.00	(277.46)
235.0000 Sale	11/12/14	01/28/16	1,593.30	1,838.03	0.00	0.00	(244.73)
220.0000 Sale	11/12/14	06/01/16	1,394.84	1,720.71	0.00	0.00	(325.87)
243.0000 Sale	11/12/14	06/17/16	1,421.41	1,900.60	0.00	0.00	(479.19)
Security Subtotal			6,118.10	7,445.35	0.00	0.00	(1,327.25)
SMC CORP JAPAN SPONSORED ADR	CUSIP Number	78445W306					
256.0000 Sale	01/05/15	01/28/16	2,946.51	3,412.71	0.00	0.00	(466.20)
90.0000 Sale	01/05/15	06/01/16	1,134.88	1,199.78	0.00	0.00	(64.90)
184.0000 Sale	01/05/15	06/27/16	2,163.79	2,452.89	0.00	0.00	(289.10)
Security Subtotal			6,245.18	7,065.38	0.00	0.00	(820.20)
TEVA PHARMACTCL INDS ADR	CUSIP Number	881624209					
8.0000 Sale	03/17/15	06/01/16	422.65	483.30	0.00	0.00	(60.65)
44.0000 Sale	03/17/15	06/27/16	2,134.39	2,658.12	0.00	0.00	(523.73)
Security Subtotal			2,557.04	3,141.42	0.00	0.00	(584.38)
TAIWAN S MANUFACTURING ADR	CUSIP Number	874039100					
75.0000 Sale	10/24/13	01/28/16	1,619.22	1,401.89	0.00	0.00	217.33
59.0000 Sale	11/06/13	01/28/16	1,273.78	1,076.01	0.00	0.00	197.77
50.0000 Sale	11/21/13	01/28/16	1,079.49	866.58	0.00	0.00	212.91
10.0000 Sale	11/21/13	02/11/16	219.38	173.32	0.00	0.00	46.06
12.0000 Sale	11/21/13	06/01/16	302.51	207.98	0.00	0.00	94.53
31.0000 Sale	01/08/14	06/01/16	781.51	530.21	0.00	0.00	251.30
26.0000 Sale	01/08/14	06/27/16	646.67	444.70	0.00	0.00	201.97
66.0000 Sale	01/17/14	06/27/16	1,641.55	1,170.73	0.00	0.00	470.82
Security Subtotal			7,564.11	5,871.42	0.00	0.00	1,692.69
TATA MOTORS LTD ADR	CUSIP Number	876568502					
10.0000 Sale	12/12/12	01/28/16	239.49	259.49	0.00	0.00	(20.00)
30.0000 Sale	04/03/13	01/28/16	718.49	711.16	0.00	0.00	7.33
7.0000 Sale	04/03/13	06/01/16	233.60	165.94	0.00	0.00	67.66
45.0000 Sale	04/04/13	06/01/16	1,501.73	1,076.90	0.00	0.00	424.83
9.0000 Sale	04/10/13	06/01/16	300.35	230.95	0.00	0.00	69.40
24.0000 Sale	04/10/13	06/27/16	774.70	615.85	0.00	0.00	158.85
30.0000 Sale	04/16/13	06/27/16	968.38	765.56	0.00	0.00	202.82



Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
19 of 27

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
TATA MOTORS LTD ADR	CUSIP Number 876568502						
11.0000 Sale	04/17/13	06/27/16	355.07	277.37	0.00	0.00	77.70
5.0000 Sale	06/05/13	06/27/16	161.40	135.88	0.00	0.00	25.52
Security Subtotal			5,253.21	4,239.10	0.00	0.00	1,014.11
TOYOTA MOTOR CORP ADR	CUSIP Number 892331307						
5.0000 Sale	12/03/14	01/28/16	576.98	638.83	0.00	0.00	(61.85)
27.0000 Sale	12/04/14	01/28/16	3,115.75	3,467.12	0.00	0.00	(351.37)
5.0000 Sale	12/04/14	06/01/16	515.32	642.06	0.00	0.00	(126.74)
22.0000 Sale	12/04/14	06/27/16	2,200.39	2,825.06	0.00	0.00	(624.67)
Security Subtotal			6,408.44	7,573.07	0.00	0.00	(1,164.63)
UNILEVER PLC NEW ADR	CUSIP Number 904767704						
39.0000 Sale	09/17/14	01/28/16	1,677.36	1,660.30	0.00	0.00	17.06
67.0000 Sale	09/18/14	01/28/16	2,881.63	2,857.26	0.00	0.00	24.37
23.0000 Sale	09/18/14	06/01/16	1,053.47	980.85	0.00	0.00	72.62
50.0000 Sale	09/18/14	06/27/16	2,208.96	2,132.29	0.00	0.00	76.67
Security Subtotal			7,821.42	7,630.70	0.00	0.00	190.72
VALEO SPONSORED ADR	CUSIP Number 919134304						
54.0000 Sale	11/15/11	01/28/16	3,563.82	1,222.02	0.00	0.00	2,341.80
16.0000 Sale	11/15/11	06/01/16	1,201.41	362.08	0.00	0.00	839.33
42.0000 Sale	11/15/11	06/27/16	920.20	316.82	0.00	0.00	603.38
51.0000 Sale	06/05/12	06/27/16	1,117.39	353.93	0.00	0.00	763.46
Security Subtotal			6,802.82	2,254.85	0.00	0.00	4,547.97
VALIDUS HOLDINGS LTD	CUSIP Number G9319H102						
102.0000 Sale	07/17/12	01/28/16	4,415.50	3,366.30	0.00	0.00	1,049.20
25.0000 Sale	07/17/12	06/01/16	1,213.47	825.07	0.00	0.00	388.40
27.0000 Sale	07/17/12	06/27/16	1,233.33	891.08	0.00	0.00	342.25
3.0000 Sale	06/05/13	06/27/16	137.03	108.51	0.00	0.00	28.52
18.0000 Sale	01/17/14	06/27/16	822.23	666.27	0.00	0.00	155.96
Security Subtotal			7,821.56	5,857.23	0.00	0.00	1,964.33
ZURICH INSURANCE GROUP AG SHS SPON ADR	CUSIP Number 989825104						
108.0000 Sale	05/19/14	01/28/16	2,361.92	3,013.03	0.00	0.00	(651.11)
144.0000 Sale	05/19/14	03/24/16	3,254.66	4,017.38	0.00	0.00	(762.72)
Security Subtotal			5,616.58	7,030.41	0.00	0.00	(1,413.83)



Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
20 of 27

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
WABCO HOLDINGS INC	CUSIP Number	92927K102					
32.0000 Sale	03/17/14	01/28/16	2,750.96	3,342.98	0.00	0.00	(592.02)
33.0000 Sale	03/17/14	03/23/16	3,429.73	3,447.45	0.00	0.00	(17.72)
4.0000 Sale	07/21/14	03/23/16	415.73	417.55	0.00	0.00	(1.82)
Security Subtotal			6,596.42	7,207.98	0.00	0.00	(611.56)
YY INC ADR	CUSIP Number	98426T106					
AMERICAN DEPOSITORY SHRS REPRESENTING CLASS A SHS							
9.0000 Sale	01/30/15	02/25/16	462.18	650.15	0.00	0.00	(187.97)
WIPRO LIMITED SPON ADR	CUSIP Number	97651M109					
137.0000 Sale	06/27/14	01/28/16	1,569.29	1,611.95	0.00	0.00	(42.66)
197.0000 Sale	06/30/14	01/28/16	2,256.58	2,341.90	0.00	0.00	(85.32)
77.0000 Sale	06/30/14	06/01/16	936.31	915.36	0.00	0.00	20.95
8.0000 Sale	07/01/14	06/01/16	97.28	96.00	0.00	0.00	1.28
191.0000 Sale	07/01/14	06/27/16	2,242.29	2,291.90	0.00	0.00	(49.61)
Security Subtotal			7,101.75	7,257.11	0.00	0.00	(155.36)
Covered Long Term Capital Gains and Losses Subtotal			245,758.20	235,585.68	0.00	0.00	10,172.52
NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part II, (E)							
NIPPON TEL&TEL SPDN ADR	CUSIP Number	654624105					
115.0000 Sale	02/18/10	01/28/16	4,744.11	2,506.99	0.00	0.00	2,237.12
1.0000 Sale	02/18/10	02/11/16	42.07	21.80	0.00	0.00	20.27
Security Subtotal			4,786.18	2,528.79	0.00	0.00	2,257.39
Noncovered Long Term Capital Gains and Losses Subtotal			4,786.18	2,528.79	0.00	0.00	2,257.39
NET LONG TERM CAPITAL GAINS AND LOSSES			250,544.38	238,114.47	0.00	0.00	12,429.91



Account No.
54C-04008

Taxpayer No.
XX-XXX4098

Page
21 of 27

LEO GOODWIN FOUNDATION, INC.

2016 ANNUAL STATEMENT SUMMARY

Form 1099-B

2016 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
SALES PROCEEDS AND NET GAINS AND LOSSES			387,678.18	386,448.67	0.00	0.00	1,229.51
COVERED SHORT TERM GAINS/LOSSES							(11,200.40)
COVERED LONG TERM GAINS/LOSSES							10,172.51
NONCOVERED LONG TERM GAINS/LOSSES							2,257.39