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### **Return of Private Foundation** or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2016

Department of the Treasury Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public. ► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For ca	lendar year 2016 or tax year beginning	, 2016	and ending	,	
	foundation			A Employer Identification nur	nber
The Number	Henry and Ruth Blaustein Rose and street (or PO box number if mail is not delivered to street ad	enberg Foundat:	Room/suite	52-6038384  B Telephone number (see instr	uctions)
	North Charles St, 22nd Fl		11001110	(410) 347-706	
City or to	wn, state or province, country, and ZIP or foreign postal code	<u> </u>			
Balt	imore	MD	21201	C If exemption application is	pending, check here.
G Ch	eck all that apply: Initial return	Initial return of a forme	er public charity	D 1 Foreign organizations, che	ck here ▶ □
	Final return	Amended return		1	
	Address change	Name change		2 Foreign organizations mee here and attach computation	ting the 85% test, check
H Ch	eck type of organization: X Section 501(	c)(3) exempt private fou	ındation	nicie and adacii compatadi	
	Section 4947(a)(1) nonexempt charitable trus	t Other taxable p	rivate foundation	E If private foundation status	
		ounting method: X C	ash Accrual	under section 507(b)(1)(A)	, cneck nere
		Other (specify)		F If the foundation is in a 60-	
<u> </u>		column (d) must be on c	ash basis.)	under section 507(b)(1)(B)	, check here L
Part		(a) Revenue and	(b) Net investmen	t (c) Adjusted net	(d) Disbursements
	Expenses (The total of amounts in columns (b), (c), and (d) may not neces-	expenses per books	income	income	for charitable purposes
	sarily equal the amounts in column (a)		•		(cash basis only)
	(see instructions).)				
	1 Contributions, gifts, grants, etc , received (attach schedule)	80,000.			<u> </u>
	2 Check X if the foundation is not required to attach Sch B			_ <del> </del>	<del> </del>
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	743,898.	743,89	8.	3
	5a Gross rents				
	b Net rental income or (loss) · · · ·				
REVENUE	6 a Net gain or (loss) from sale of assets not on line 10	945,477.			
_~V	b Gross sales price for all assets on line 6a 5,415,769.				
⋛E	7 Capital gain net income (from Part IV, line 2)		945,47	'7.	
7 N	8 Net short-term capital gain				
ੇ E	10 a Gross sales less				
⇒	returns and allowances				<u> </u>
A O N	b Less Cost of goods sold				
Z	C Gross profit or (loss) (attach schedule)				
$\hat{}$	11 Other income (attach schedule)				
IJ	See Line 11 Stmt	23,388.	23,38		
<u>z</u>	12 Total. Add lines 1 through 11	1,792,763.	1,712,76	3. SEC	EIVED
SCANNED 	13 Compensation of officers, directors, trustees, etc	06.070		0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
<u>ي</u>	14 Other employee salaries and wages	96,970.			1 4 2017 9669 70.
<i>!)</i>	16a Legal fees (attach schedule)	<del></del>			
Ď	b Accounting fees (attach sch) L-16b Stmt.	108,000.	43,20		EN, UT 64, 800.
M !	c Other professional fees (attach sch) L-16C Strpt.	94,975.	94,97	V	04,000.
O į	17 Interest	3.73.	J=, J1	<del></del>	
O I S E R R	18 Taxes (attach schedule)(see instrs) See Line.18 Stmt	69,941.	31,94	1.	
R A A	19 Depreciation (attach	,			
	schedule) and depletion	11 702			11 700
N V G E	21 Travel, conferences, and meetings	11,703.			11,703.
A E	22 Printing and publications	<del></del> _			
A E X D P	23 Other expenses (attach schedule)				
Ë	Bank Fees & Safe Deposit Box	369.			369.
S	24 Total operating and administrative			_	
Ŝ	expenses. Add lines 13 through 23	381,958.	170,11	6.	173,842. 1,274,500.
	26 Total expenses and disbursements.	1,274,500.	· · · · · · · · · · · · · · · · · · ·		1,2/4,500.
	Add lines 24 and 25 · · · · · · · · · · · · · · · · · ·	1,656,458.	170,11	6.	1,448,342.
	27 Subtract line 26 from line 12:		4 7 1		1/110/012.
	a Excess of revenue over expenses	126 005	other property of the post		
	b Net investment income (if negative, enter -0-).	136,305.	1 540 64	The state of the s	Secretary and the secretary an
	C Adjusted net income (if negative, enter -0-)	414	1,542,64	7. 77.332.7	
BAA F	or Paperwork Reduction Act Notice, see instr	uctions	TETA0204 401	10/10	Form 000 DE (0040)
		MULIVIIJ.	TEEA0301 12/	Ω -	Form <b>990-PF</b> (2016)
				<b>せ</b> 。	44

Dar	+ 11	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
- ar		(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash non-interest-bearing	-37,845.	225,990.	225,990.
	2	Savings and temporary cash investments	807,045.	1,328,591.	1,328,591.
	3	Accounts receivable			
	ł	Less: allowance for doubtful accounts			
	4	Pledges receivable			
	ļ	Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) .			
Ą		Less: allowance for doubtful accounts	_		
Š	8	Inventories for sale or use			
A S E T	9	Prepaid expenses and deferred charges			
Ś	10:	a Investments – U S. and state government obligations (attach schedule)			
	j 1	b Investments — corporate stock (attach schedule)			
	(	c Investments — corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
		Less. accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments - other (attach schedule) L-13 Stmt	26,184,075.	25,403,817.	25,403,817.
	14				
		Less: accumulated depreciation (attach schedule)			
	15	Other assets (describe			
	16	Total assets (to be completed by all filers -			
	<u> </u>	see the instructions. Also, see page 1, item I)	26,953,275.	26,958,398.	26,958,398.
Ļ	17	Accounts payable and accrued expenses			
À	18	Grants payable			
B	19	Deferred revenue			1
Ļ	20	Loans from officers, directors, trustees, & other disqualified persons			1
Ť	21	Mortgages and other notes payable (attach schedule)			
Ĺ	22	Other liabilities (describe			
S	23	Total liabilities (add lines 17 through 22)			}
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			,
NF	24	Unrestricted	Ì		
N F E U	25	Temporarily restricted			,
T N D	26	Permanently restricted			1
A B S A E L A N		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			1
S A E L	27	Capital stock, trust principal, or current funds	26,953,275.	26,958,398.	
T A S N	28	Paid-in or capital surplus, or land, bldg., and equipment fund	20,933,213.	20, 330, 330.	
C	29	Retained earnings, accumulated income, endowment, or other funds			
O E R S	30	Total net assets or fund balances (see instructions)	26 053 275	26 050 200	
	31	Total liabilities and net assets/fund balances (see instructions)	26,953,275. 26,958,39 26,953,275. 26,958,39		-
Раг	t III	Analysis of Changes in Net Assets or Fund Balance		20,000,000.	<u> </u>
	Total	net assets or fund balances at beginning of year — Part II, column (a of-year figure reported on prior year's return).	a) line 30 (must agree wi	ith	06.050.055
2		r amount from Part I, line 27a			26,953,275.
2	Other	increases not included in line 2 (tentral		2	136,305.
3 4	Ouisi Ouisi	increases not included in line 2 (Itemize)		3	07.000
5	Decre	ases not included in line 2 (Itemize) Net Unrealized	Togg	4	27,089,580.
6	Total	net assets or fund balances at end of year (line 4 minus line 5) — Pa	TIOSS TO THE SU		131,182.
					26,958,398.

		Losses for Tax on Investme				
	(a) List and describe 2-story brick wareho	the kind(s) of property sold (e.g., real buse; or common stock, 200 shares M	estate, ILC Company)	(b) How acquire P — Purchase D — Donation	(C) Date acquired (mo , day, yr.)	(d) Date sold (rho, day, yr)
1a Pub	licly Traded Sec	curities				· ·
		nent Fd IX - K1 L/T		Р	Various	12/31/16
C Hig	hbridge Capital	Corp Class 1-Distribut	ion	Ρ	Various	01/08/16
d Aur	ora Offshore Fd	II Ltd - L/T		P	Various	05/02/16
e See	Columns (a) thru (d)					<u> </u>
(e)	Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basi plus expense of sale	S	(h) Gain or (e) plus (f) mi	
а	3,280,673.		3,285	,066.		-4,393.
b	13.			0.		13.
C	1,876.			0.	<del></del>	1,876.
d	1,461,039.			2,788.		548,251.
<u>e</u>	See Columns (e) thru (h)			2,438.		399,730.
	F M V. as of 12/31/69	g gain in column (h) and owned by th (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	1	(f) Gains (Co gain minus col. (k), b han -0-) <b>or</b> Losses (fr	ut not less
а						-4,393.
b						13.
С						1,876.
d						548,251.
е	See Columns (i) thru (i)					399,730.
2 Capita	al gain net income or (net c	apital loss) If gain, also if (loss), ente	enter in Part I, line 7 er -0- in Part I, line 7			945,477.
If gair in Par	n, also enter in Part I, line 8 rt I, line 8	ss) as defined in sections 1222(5) and column (c) (see instructions) if (loss	s), enter -0-	3		0.
	<del></del>	oundations subject to the section 494			<u> </u>	
If section 49	940(d)(2) applies, leave this					
	undation liable for the section	e part blank. on 4942 tax on the distributable amou ounder section 4940(e). Do not comp		riod?	Yes	X No
If 'Yes,' the	undation liable for the section foundation does not qualify	on 4942 tax on the distributable amou	lete this part.		Yes	X No
f 'Yes,' the  1 Enter  Ba  Calence	undation liable for the section foundation does not qualify	on 4942 tax on the distributable amou under section 4940(e). Do not comp	lete this part.	y entries.	Yes  (d)  Distribution (col. (b) divided	ratio
f 'Yes,' the  1 Enter  Ba  Calence	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in	lete this part. Instructions before making any (c) Net value of	y entries.	(d) Distribution	ratio
f 'Yes,' the  1 Enter  Ba  Calence	foundation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions	lete this part.  instructions before making any (c)  Net value of noncharitable-use asse	y entries.	(d) Distribution	ratio by col. (c))
f 'Yes,' the  1 Enter  Ba  Calence	foundation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions	lete this part. Instructions before making any (c) Net value of noncharitable-use asse	y entries.	(d) Distribution	ratio by col. (c))
f 'Yes,' the  1 Enter  Ba  Calence	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.	lete this part. Instructions before making any (c) Net value of noncharitable-use asse 27,994 28,701	y entries.  488.  , 808.  , 887.	(d) Distribution	ratio by col. (c)) 0.058419 0.055223 0.049611
f 'Yes,' the  1 Enter  Ba  Calence	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.  1,353,917.	lete this part. Instructions before making any (c) Net value of noncharitable-use asset 27,994 28,701 27,290	y entries.  4.808.  .,808.  .,087.  .,837.  .,938.	(d) Distribution	ratio by col. (c)) 0.058419 0.055223
If Yes,' the  1 Enter  Ba  Calence	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.  1,353,917.  1,508,529.	lete this part.  (c)  Net value of noncharitable-use assesses 27,994 28,701 27,290 25,683 26,229	y entries.  4,808.  ,087.  4,837.  4,938.  1,325.	(d) Distribution	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734
If Yes,' the  1 Enter  Ba Calence  2 Total  3 Avera	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.  1,353,917.  1,508,529.  1,447,835.	lete this part.  (c)  Net value of noncharitable-use asses  27,994  28,701  27,290  25,683  26,229  on line 2 by 5, or by the	y entries.  , 808. , 087. , 837. , 938. , 325.	(d) Distribution	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199
1 Enter Bacalence 2 Total 3 Avera	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	on 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423. 1,584,955. 1,353,917. 1,508,529. 1,447,835.	lete this part.  (c)  Net value of noncharitable-use asset  27,994  28,701  27,290  25,683  26,229  on line 2 by 5, or by the pars	y entries.  , 808. , 087. , 837. , 938. , 325	Distribution (col. (b) divided	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199
1 Enter Bacalence 2 Total 3 Avera numb 4 Enter	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	an 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the ir (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.  1,353,917.  1,508,529.  1,447,835.  5-year base period — divide the total has been in existence if less than 5 years.	lete this part.  Instructions before making any (c) Net value of noncharitable-use asset  27,994  28,701  27,290  25,683  26,229  on line 2 by 5, or by the hars	y entries.  , 808. , 087. , 837. , 938. , 325	Distribution (col. (b) divided	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199 0.277186
1 Enter Ba Calence Total A Avera number Enter Multip	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	an 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423.  1,584,955.  1,353,917.  1,508,529.  1,447,835.  5-year base period — divide the total has been in existence if less than 5 years.	lete this part. Instructions before making any (c) Net value of noncharitable-use asset 27,994 28,701 27,290 25,683 26,229 In on line 2 by 5, or by the hars	y entries.  , 808. , 087. , 938. , 325 2 4	Distribution (col. (b) divided	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199 0.277186 0.055437
1 Enter Bacalence Total Averanumb Enter Multip Enter Add li	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	an 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the ir (b)  Adjusted qualifying distributions  1,635,423. 1,584,955. 1,353,917. 1,508,529. 1,447,835.  5-year base period — divide the total has been in existence if less than 5 years.  ble-use assets for 2016 from Part X,  me (1% of Part I, line 27b)	lete this part.  (c)  Net value of noncharitable-use asset  27,994  28,701  27,290  25,683  26,229  on line 2 by 5, or by the ears  line 5	y entries.  1,808. 1,808. 1,837. 1,938. 1,325. 1,325. 1,325. 1,325. 1,325. 1,325. 1,325. 1,325.	Distribution (col. (b) divided	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199 0.277186 0.055437 5,326,130.
1 Enter Bacalence Total Averanumb Enter Multip Enter Add ii Enter	undation liable for the section foundation does not qualify the appropriate amount in (a) ase period years dar year (or tax year beginning in)  2015 2014 2013 2012 2011  of line 1, column (d)	an 4942 tax on the distributable amount under section 4940(e). Do not compleach column for each year; see the in (b)  Adjusted qualifying distributions  1,635,423. 1,584,955. 1,353,917. 1,508,529. 1,447,835.  5-year base period — divide the total has been in existence if less than 5 years.  ble-use assets for 2016 from Part X,	lete this part. Instructions before making any (c) Net value of noncharitable-use asset 27,994 28,701 27,290 25,683 26,229  on line 2 by 5, or by the ears	y entries.  , 808. , 808. , 837. , 938. , 325.	Distribution (col. (b) divided	ratio by col. (c)) 0.058419 0.055223 0.049611 0.058734 0.055199 0.277186 0.055437 6,326,130. 1,459,442. 15,426.

_		0030				age -
	irt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instru	ıctions	<u>s)</u>		
1	a Exempt operating foundations described in section 4940(d)(2), check here   and enter 'N/A' on line 1.					
	Date of ruling or determination letter: (attach copy of letter if necessary — see instructions)	1				
	b'Domestic foundations that meet the section 4940(e) requirements in Part V,	1			30 <b>,</b> 8	353.
	check here . And enter 1% of Part I, line 27b					
	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable					
-	foundations only Others enter -0-)	2				0.
3	Add lines 1 and 2	3			30,8	353.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0	5			30,8	353.
6	Credits/Payments:					
	<b>a</b> 2016 estimated tax pmts and 2015 overpayment credited to 2016					
	b Exempt foreign organizations — tax withheld at source 6 b					
	c Tax paid with application for extension of time to file (Form 8868) 6c 25,000.	1	!			
	d Backup withholding erroneously withheld	1 1				
7		7			65.4	434.
8		8			<u> </u>	
9		9				
10	<b>►</b> .	10			34.	81.
11		11			9170	, O L .
	ort VII-A   Statements Regarding Activities					
					Yes	No
1	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			1 a	163	X
		• • •				<u> </u>
	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?			1ь		X
	·		}			├^
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		ŀ			}
	•		1	1 c		
	c Did the foundation file Form 1120-POL for this year?			- 10		X
	(1) On the foundation > \$ (2) On foundation managers > \$		i			
	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on			ĺ		Ì
	foundation managers > \$		j			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		$\cdot \cdot \cdot  $	2		Х
	If 'Yes,' attach a detailed description of the activities.					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles					İ
	of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes			3		Х
4:	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		[	4a	Х	
1	<b>b</b> If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		[	4 b	Х	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5		Х
	If 'Yes,' attach the statement required by General Instruction T.		- [			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		- 1			ĺ
	By language in the governing instrument, or			l		
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict		-			
	with the state law remain in the governing instrument?			6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If Yes,' complete Part II, col (c), and Part XV			7	Х	
8 a	a Enter the states to which the foundation reports or with which it is registered (see instructions)		- 1			
	MARYLAND		-			
ı	b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General		-		٠ ,	
-	(or designate) of each state as required by General Instruction G? If 'No,' attach explanation			8 b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)	(5)	ľ			
-	for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If 'Yes,' complete Part X	₩.		9		Х
10			Ī			
_	and addresses	<u>.</u>	<u>.</u>	10		х
3AA				n 990	-PF (2	2016)

rom	n 990-PF (2016) The Henry and Ruth Blaustein Rosenberg Foundation, Inc. 52-60383	34	P	age s
Pai	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	. 11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)			x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		Х	
	Website address			<b></b>
14	The books are in care of Rosemore Professional Services Inc. Telephone no. (410)	347	-706	6
	Located at One N Charles St, 22nd Fl Balt., MD ZIP+4 21201			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here			$\sqcap$
	and enter the amount of tax-exempt interest received or accrued during the year		_	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	. 16	Yes	No X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country			
Par	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			_
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No		j	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
_	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)			
b	If any answer is 'Yes' to 1a(1)—(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		Х
2 -	- Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	-	•	;
а	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?			
	If 'Yes,' list the years ▶ 20 , 20 , 20		l	
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer 'No' and attach statement — see instructions )	2 b		X
C	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.  20 , 20 , 20 , 20			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business	1 1	*	
•	enterprise at any time during the year?		l	
b	of Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or			
	(3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3 ь	-	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		<u>X</u>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of	4 b		
	the tax year beginning in 2016?	וטיף ן		Х

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Form 990-PF (2016)

Form 990-PF (2016) The Henry and Ruth Blaus Partivile Statements Regarding Activit	stein Rosenberg Four	ndation, Inc.	52-60	38384 Page
		n 4720 May Be Red	quired (continued)	
5 a During the year did the foundation pay or incur a	•			
<ul><li>(1) Carry on propaganda, or otherwise attempt t</li><li>(2) Influence the outcome of any specific public</li></ul>	- •	,	Yes X	No
on, directly or indirectly, any voter registratio  (3) Provide a grant to an individual for travel, stu	n drive?			No No
			🗀 1e3 🔽	1,40
(4) Provide a grant to an organization other than in section 4945(d)(4)(A)? (see instructions).	a charitable, etc., organ	ization described	Yes	No
(5) Provide for any purpose other than religious educational purposes, or for the prevention of	, charitable, scientific, lite of cruelty to children or a	erary, or nimals?	···· Yes	No No
b If any answer is 'Yes' to 5a(1)—(5), did any of the described in Regulations section 53 4945 or in a (see instructions)?	e transactions fail to qual current notice regarding	lify under the exceptions disaster assistance		5 b
Organizations relying on a current notice regardi				
c If the answer is 'Yes' to question 5a(4), does the tax because it maintained expenditure responsib	formulation alaim arrama	tion from the		No No
If 'Yes,' attach the statement required by Regula	tions section 53.4945—5	(d).		
6 a Did the foundation, during the year, receive any on a personal benefit contract?				No Ch
b Did the foundation, during the year, pay premium	ns, airectly or indirectly, o	on a personai penetit coi	inaci.	6 b X
If 'Yes' to 6b, file Form 8870.  7 a At any time during the tax year, was the foundati	on a nachi ta a neahibitor	t toy chaltar transaction	o □vos 5	Z No.
b if 'Yes,' did the foundation receive any proceeds			Land Land	
Part VIII Information About Officers, D				<u> </u>
and Contractors	irectors, rrustees,	i Quildation Mana	gers, riigiliy r ala	Lilipioyees,
List all officers, directors, trustees, foundation	n managers and their o	compensation (see inst	tructions).	
. Elst an officers, anderens, a decision, realization	(b) Title, and average	(c)Compensation	(d)Contributions to	(e) Expense account,
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	other allowances
See Attached				
				<u></u>
2 Compensation of five highest-paid employee	s (other than those inc	luded on line 1 – see i		nter 'NONE.'
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d)Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
n/a -7-	None			
n/a	0.00			<u> </u>
		<del></del>		<del> </del>
Total number of other employees paid over \$50,000 .			1	<del></del>
BAA	TEEA0306 12/			None Form <b>990-PF</b> (2016)

and Contractors (continued)  3 Five highest-paid independent contractors for professional services (see ins	tructions). If none, enter 'NONE,'	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Rosemore Professional Services, Inc.	Financial Services	(-)
One N. Charles St, 22nd Fl		
Baltimore MD 21201		108,000.
		•
		- <del></del>
Total number of others receiving over \$50,000 for professional services		None
		None
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info	ormation such as the number of	Evpense
organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1 N/A Administration of Grants is only activity		
		0.
2		
3		
	·	
A		
Partix B Summary of Program-Related Investments (see instructi	ons)	
Describe the two largest program-related investments made by the foundation during the		Amount
1 None		
		0.
2		
All other program-related investments. See instructions.	i	
3		
Table Addition Advantage		<del></del>
Total. Add lines 1 through 3		None Form 990-PF (2016)
u a a		

<u>Pa</u>	see instructions.)	gn iou	muations,
_	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	4000	
_ ';	Fair market value of assets not used (or neid for use) directly in carrying out chantable, etc., purposes: 3 Average monthly fair market value of securities	1 a	25,328,225.
l	Average of monthly cash balances	1 b	1,398,811.
	Fair market value of all other assets (see instructions)	1 c	<u> </u>
(	<b>d Total</b> (add lines 1a, b, and c)	1 d	26,727,036.
(	Reduction claimed for blockage or other factors reported on lines 1a and	( <u>(</u> )	
	1c (attach detailed explanation)	i.,	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	26,727,036.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	400,906.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4		26,326,130.
6	Minimum investment return. Enter 5% of line 5	6	1,316,307.
Pa	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating and certain foreign organizations check here  and do not complete this part.)	foun	
1		1	1,316,307.
2 :	Tax on investment income for 2016 from Part VI, line 5 2a 30,853.	,	
	Income tax for 2016 (This does not include the tax from Part VI)	1 .	-
(	Add lines 2a and 2b	2 c	30,853.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,285,454.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,285,454.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,285,454.
Pa	Qualifying Distributions (see instructions)	1 - 1	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:  Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 · · · · · · · · · · · · · · · · · ·		1 440 240
	Program-related investments – total from Part IX-B	1a	1,448,342.
_		2	0.
2		7, 1	
a	Amounts set aside for specific charitable projects that satisfy the:  Suitability test (prior IRS approval required)	3 a	
ì	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,448,342.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,448,342.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the formulating for the section 4940(a) reduction of tax in those years	undatio	

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Form **990-PF** (2016)

### Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	<b>(c)</b> 2015	(d) 2016
1	Distributable amount for 2016 from Part XI,				1 205 454
2	Undistributed income, if any, as of the end of 2016:				1,285,454.
	Enter amount for 2015 only			0.	
	Total for prior years: 20 , 20 , 20				
3	Excess distributions carryover, if any, to 2016:				<del></del>
•	From 2011 0 .				
i	From 2012 346, 831.				
(	From 2013 1,353,917.				
(	From 2014 1,584,955.				
•	From 2015 1,635,423.				
1	Total of lines 3a through e	4,921,126.			
4	Qualifying distributions for 2016 from Part				
	XII, line 4: \$ 1,448,342.				
	Applied to 2015, but not more than line 2a				
t	Applied to undistributed income of prior years (Election required — see instructions)				
C	Treated as distributions out of corpus (Election required — see instructions)				
C	Applied to 2016 distributable amount				
e	Remaining amount distributed out of corpus	1,448,342.			
5	Excess distributions carryover applied to 2016	1,285,454.			1,285,454.
	(If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,084,014.			
b	Prior years' undistributed income Subtract line 4b from line 2b		0.		<u></u>
C	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable		0		
	amount – see instructions		0.		
9	Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f	Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8	Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9	Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	5,084,014.			
10	Analysis of line 9:				
a	Excess from 20120 .		İ		
b	Excess from 2013 415,294.				
C	Excess from 2014 1,584,955.	ł			
d	Excess from 2015 1,635,423.				
6	Excess from 2016 1,448,342.				
A A					Form 990-PF (2016)

Form 990-PF (2016) The Henry and Ruth Bla	ustein Rosenberg	Foundation, Inc	/II-A guestion 9	52-6038384	1 Page 10 N/A				
1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling									
is effective for 2016, enter the date of the ruli b Check box to indicate whether the foundation	-		ed in section	4942(j)(3) or	4942(j)(5)				
2 a Enter the lesser of the adjusted net	Tax year	Tig loandation describ	Prior 3 years	1 (40420)(0) 01	<u> </u>				
income from Part I or the minimum investment return from Part X for each year listed	(a) 2016	<b>(b)</b> 2015	(c) 2014	(d) 2013	(e) Total				
<b>b</b> 85% of line 2a									
c Qualifying distributions from Part XII, line 4 for each year listed									
d Amounts included in line 2c not used directly for active conduct of exempt activities									
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c									
3 Complete 3a, b, or c for the alternative test relied upon:									
a 'Assets' alternative test — enter:	i.								
(1) Value of all assets			<del></del>	<del> </del>					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)									
b 'Endowment' alternative test — enter 2/3 of mlnimum investment return shown in Part X, line 6 for each year listed									
c 'Support' alternative test — enter									
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)		,							
(2) Support from general public and 5 or more exempt organizations as provided									
in section 4942(j)(3)(B)(lii)									
(4) Gross investment income	<u> </u>		<u>-</u>						
Part XV   Supplementary Information	(Complete this	part only if the	foundation ha	d \$5,000 or more	e in				
assets at any time during th	<u>e year – see in</u>	structions.)							
Information Regarding Foundation Manag a List any managers of the foundation who have close of any tax year (but only if they have co	e contributed more	than 2% of the total on \$5,000). (See section	contributions receive on 507(d)(2).)	ed by the foundation b	efore the				
Henry A. Rosenberg, Jr.									
b List any managers of the foundation who own a partnership or other entity) of which the fou None	n 10% or more of the ndation has a 10%	e stock of a corporation greater interest.	on (or an equally la	rge portion of the own	ership of				
2 Information Bosseline Contribution Com-	4 Ciff Lana Saha	lambia eta Danza							
2 Information Regarding Contribution, Gran Check here				d does not accept une	volicited				
requests for funds. If the foundation makes g complete items 2a, b, c, and d.	ifts, grants, etc. (see	instructions) to indiv	iduals or organizati	ons under other condi	itions,				
a The name, address, and telephone number of	r e-mail address of	the person to whom a	applications should	be addressed.					
Henry A. Rosenberg, Jr.	20-4 53-								
One North Charles Street, 2 Baltimore	22nd F100r MD 2120:	1 (41)	0) 247-7066						
b The form in which applications should be sub			0) 347-7066 v should include:	<del></del>					
Letter			,						
c Any submission deadlines:				· ·	<del> </del>				
None									
d Any restrictions or limitations on awards, such									
This organization does not	make gifts	or grants to	individuals	3 <b>.</b>					

Part XXV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Foundation Recipient show any relationship to any foundation manager or substantial contributor Purpose of grant or contribution status of recipient Amount Name and address (home or business) a Paid during the year See Schedule Attached 1,274,500. 1,274,500. **b** Approved for future payment See Schedule Attached 540,000. 540,000. 

Enter gross amounts unless otherwise indicated.	Unrelate	d business income	Excluded	by section 512, 513, or 514	(e)
1 `Program service revenue:	(a) Business code	(b) Amount	(c) Exclu- sion code	<b>(d)</b> Amount	Related or exempt function income (See instructions)
a			code		
b					
c					· · · · · · · · · · · · · · · · · · ·
d					
е					<u> </u>
f		-			
g Fees and contracts from government agencies					-
2 Membership dues and assessments	-				
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	743,898.	· · <u>- · · · · · · · · · · · · · · · · ·</u>
5 Net rental income or (loss) from real estate:				7137030.	
a Debt-financed property					
<b>b</b> Not debt-financed property		· · · · · ·			
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	<del></del>		18	945,477.	
9 Net income or (loss) from special events			10	<u> </u>	
10 Gross profit or (loss) from sales of inventory			<del></del>		
11 Other revenue:		<del></del>	<del></del>		
	532420	23,388.	14		
a Partnership K-1's	332420	23,388.	14		
•	<del>                                     </del>				
d	<del> </del>				
<u> </u>	1				
12 Subtotal Add columns (b) (d) and (a)		02 200		1 600 275	<del> </del>
Qubicial. Add coldinis (b), (d), and (c) · · · · ·		23,300.	1	1,089,3/3.	
13 Total. Add line 12 columns (b), (d), and (e)				13	1 712 762
				13	1,712,763
See worksheet in line 13 instructions to venfy calculations	s.)				1,712,763
See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities to the	s.) e Accompl	ishment of Exemp	t Purp	oses	
See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities to the  Line No. Explain below how each activity for which income	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
Part XVI-B Relationship of Activities to the Line No. Explain below how each activity for which inconcern accomplishment of the foundation's exempt p	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities to the  Line No. Explain below how each activity for which income	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
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Part XVI-B Relationship of Activities to the Line No. Explain below how each activity for which inconcern accomplishment of the foundation's exempt p	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
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Part XVI-B Relationship of Activities to the Explain below how each activity for which inconcern accomplishment of the foundation's exempt p	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
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Part XVI-B Relationship of Activities to the Line No. Explain below how each activity for which incomplishment of the foundation's exempt p	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	he
▼ accomplishment of the foundation's exempt p	s.)  Accompl ome is reporte	ishment of Exemp	ot Purp	oses	1,712,763 he ctions.)

Form 990-F			Blaustein Rosenberg Foundat		52-6038384		age 13
Part XVI	I Information Reg Exempt Organia		ansfers To and Transaction	ns and Relationship	s With Noncharitabl	D	
1 Did th	ne organization directly o	or indirectly end	gage in any of the following with a	ny other organization		Yes	No
descr	ibed in section 501(c) of ng to political organization	the Code (oth	er than section 501(c)(3) organize	tions) or in section 527,			
a Trans	fers from the reporting f	oundation to a	noncharitable exempt organization	n of <sup>.</sup>			
						)	Х
(2) C	other assets				<u>1a (</u>	2)	Х
<b>b</b> Other	transactions:						
			npt organization			<del></del>	X
			le exempt organization			-	Х
• •			assets			9)	Х
(4) R	eimbursement arrangen	nents		• • • • • • • • • • • • • • • • • • • •	<u>1b (</u>	<u>)</u>	Х
(5) L	oans or loan guarantees	:		• • • • • • • • • • • • • • • • • • • •	<u>1 b (</u>	i)	Х
(6) P	erformance of services	or membership	or fundraising solicitations		<u>1 b (</u>	i)	Х
c Sharir	ng of facilities, equipmer	nt, mailing lists	, other assets, or paid employees		<u>1 c</u>		Х
the go any tr	oods, other assets, or se ansaction or sharing arr	rvices given by angement, sho	implete the following schedule. Copy the reporting foundation. If the follow in column (d) the value of the go	undation received less than oods, other assets, or servi	n fair market value in ces received.		
(a) Line no.	(b) Amount involved	(c) Name	of noncharitable exempt organization	(a) Description of transfe	ers, transactions, and sharing an	angement	<u> </u>
		1			<del></del>		
<del></del>							
	<del></del>				· · · · · · · · · · · · · · · · · · ·		
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		<u> </u>		<u> </u>			
					<u> </u>		
				<u> </u>			
descri	bed in section 501(c) of	the Code (other	ed with, or related to, one or more er than section 501(c)(3)) or in sec			es X	No
	,' complete the following  (a) Name of organization		(b) Type of organization	(a) [	Description of relationship		
	(a) Name of Organization		(b) Type of Organization	(6)	Sesonibrion or relationship		
	· · · · · · · · · · · · · · · · · · ·						
Linds	or popultion of penuny I declare	that I have examine	d this return, including accompanying sched	ules and statements, and to the hest	of my knowledge and helief it is to	<u> </u>	

Sign May the IRS discuss this return with the preparer shown below (see instructions)? Here Pnnt/Type preparer's name Preparer's signature self-employed Dale E. Paid Firm's EIN ► 52-2358116 Rosemore Professional **Preparer Use Only** Firm's address 1 N Charles St 21201 Phone no. (410) 347-7066 Baltimore Form 990-PF (2016) BAA

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Atel K-1 non portfolio	21,659.	21,659.	
Rockefeller portfolio income	1,729.	1,729.	

Total <u>23,388.</u> <u>23,388.</u>

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Excise Taxes	38,000.			
Foreign Taxes	31,941.	31,941.		

Total <u>69,941.</u> <u>31,941.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
JP Morgan L/T Cap Gain Distr. Acct A52481-20-6	P	Various	12/31/16
JP Morgan L/T Cap Gain Distr. Acct A52481-30-5	P	Various	12/31/16
27.744 sh Avenue International LTD - L/T	P	Various	12/31/16
Golden Offshore Ltd-Conversions from Series/Side Pockets	P	Various	12/31/16
13,364.000 sh Colony Starwood Homes (SFR-Stk Distribution)	P	Various	11/18/16
CSFR Private Investors, LLC - L/T Gain/K1	P	Various	12/31/16
Rockefeller Global Equity Fd I LP - K1	P	Various	12/31/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,077.		0.	2,077.
90,777.		0.	90,777.
303,701.		272,438.	31,263.
158.		0.	158.
3,607.		0.	3,607.
271,848.		0.	271,848.

Total

272,438.

399,730.

### Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Columns (i) thru (I)

omplete only for assets the foundation on 12/3	showing gain in column 31/69	(h) and owned	(I) Gains (Column (h) gain minus column (k),
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	but not less than -0-)  or losses (from  column (h))
			2,077.
			90,777. 31,263.
			158.
			3,607.
			271,848.
Total			399,730.

Form 990-PF, Page 1, Part I Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Rosemore Professional Services	Tax and Investing	108,000.	43,200.		64,800.
Total		108,000.	43,200.		64,800.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JP MORGAN	Portfolio/Investment Expenses	35,948.	35,948.		
Rockefeller	Portfolio/Investment Expenses	56,065.	56,065.		
CSFR PRIVATE INVESTORS LLC	INVESTMENT COUNSEL FEES	478.	478.		
Willis of Maryland	Business Insurance	2,484.	2,484.		

Total 94,975. 94,975.

Form 990-PF, Page 2, Part II, Line 13 L-13 Stmt

	End of	Year
Line 13 - Investments - Other:	Book Value	Fair Market Value
JP Morgan Core Bond Fund	585,186.	585,186.
State of Israel Bond 03/01/20	49,389.	49,389.
State of Israel Bond 05/01/20	75,000.	75,000.
BlackRock High Yield Bond	979,801.	979,801.

Form 990-PF, Page 2, Part II, Line 13 L-13 Stmt

Continued

	End o	f Year
Line 13 - Investments - Other:	Book	Fair Market
	Value	Value
Ridgeworth SEIX Floating-1	652,067.	652,067.
JPM Managed Inc Fd	1,101,798.	1,101,798.
Ishares JPM EM Bond Fd	311,372.	311,372.
Rockefeller Global Equity	6,129,674.	6,129,674.
Ishares Russell 2000	1,011,375.	<u>1,011,375.</u>
T Rowe Price New Asia	841,690.	841,690.
Russell Midcap Index Fund	1,034,526.	1,034,526.
DF Dent Premier Growth	<u>878,826.</u>	878,826.
Cullen High Div Eqty	937,381.	937,381.
Artisan Intl Value Fund	696,358.	696,358.
SPDR S&P 500 ETF TR	2,244,241.	2,244,241.
Dodge & Cox Intl Stk Fd	803,391.	803,391.
Delaware Emerging Mkt	881,560.	881,560.
Atel Capital Equipment Fund IX	45,201.	45,201.
Highbridge Hedge Fund	1,901,141.	1,901,141.
PEG Corporate Fin Private Inv III (formerly JP-CFPI)	1,100,914.	1,100,914.
Avenue Int'l Hedge Fund	82,338.	82,338.
Coatue Int'l Hedge Fund	877,521.	<u>877,521.</u>
Goldentree Hedge Fund	999,626.	999,626.
Blackstone Real Estate	564,452.	564,452.
Global Access Hedge Fund	568,600.	568,600.
CSFR Private Investors LLC	50,389.	50,389.

Total

25,403,817. 25,403,817.

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

Stati	Status Organization Name	Purpose	City, State	Amount
PC	Abilities Network	support for the Healthy Families Baltimore County program	Towson, MD	\$15,000
PC	Access AfterSchool	general support	Carbondale, CO	2,500
PC	American Cancer Society	support for the Patient Resource Navigation	Baltimore, MD	15,000
		program at St. Agnes Hospital		
PC	American Visionary Art Museum, Inc.	general support	Baltimore, MD	10,000
PC	Arts Education in Maryland Schools, Inc.	general support	Baltimore, MD	10,000
SC	Arts Every Day, Inc.	general support	Baltimore, MD	12,500
, PC	Aspen Community Foundation	support for the Mental Health Fund	Aspen, CO	2,500
PC	The Associated Jewish Community Federation of	general support for the Annual Campaign	Baltimore, MD	100,000
	Baltimore, Inc.			
S	The Association of Baltimore Area Grantmakers	general support through membership	Baltimore, MD	6,000
PC	The B & O Railroad Museum, Inc.	support to develop and present new exhibits	Baltimore, MD	15,000
		and educational materials for children		
PC	Baltimore Choral Arts Society, Inc.	support for the annual concert in honor of	Baltimore, MD	15,000
		Ruth Blaustein Rosenberg		
PC	Baltimore Office of Promotion & the Arts	support for Free Fall Baltimore	Baltimore, MD	2,000
PC	Baltimore SquashWise	general support	Baltimore, MD	15,000
PC	Baltimore Symphony Endowment Trust	support for The Campaign for the BSO's Second Baltimore, MD	d Baltimore, MD	100,000
		Century		
SC	The Baltimore Symphony Orchestra	general support	Baltimore, MD	75,000
S	Baltimore Urban Debate League	general support	Baltimore, MD	10,000
PC	Brown Memorial Tutoring Program	general support	Baltimore, MD	2,500

### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

Statı	Status Organization Name	Purpose	City, State	Amount
PC	Bryn Mawr School for Girls of Baltimore City	support for the 2016 Annual Fund and for the field project	Baltimore, MD	\$8,000
PC	Caroline Center	general support	Baltimore, MD	10,000
S	CASA of Baltimore County, Inc.	general support	Towson, MD	2,500
PC	Center for Urban Families, Inc.	general support	Baltimore, MD	7,500
PC	Central Scholarship Bureau, Inc.	support for vocational training scholarships	Owings Mills, MD	10,000
PC	Charm City Youth Lacrosse League	general support	Baltimore, MD	5,000
PC	Chris Klug Foundation	general support through sponsorship of	Aspen, CO	15,000
		Summit for Life		
PC	Concert Artists of Baltimore, Inc.	general support and support for the opening	Baltimore, MD	30,000
		concert of the full orchestra and chorus series		
		in memory of Ruth Blaustein Rosenberg		
PC	Digital Harbor Foundation	support for Maker Foundations, a STEM after	Baltimore, MD	12,500
		school program for middle and high school		
		students		
PC	Dyslexia Tutoring Program, Inc.	general support	Baltimore, MD	25,000
PC	Enoch Pratt Free Library of Baltimore City	support for the Emergent Literacy Program	Baltimore, MD	10,000
PC	The Everyman Theatre, Inc.	support for educational outreach programming	Baltimore, MD	25,000
		for Baltimore City public school students		
PC	The Family Tree	general support	Baltimore, MD	20,000
PC	The Family Tree	support through sponsorship of The Brent A.	Baltimore, MD	15,000
		Rosenberg Family Fair and Walk		
PC	Gilman School, Inc.	support for the Annual Fund	Baltimore, MD	1,500
PC	Gilman School, Inc.	support for the Class of 1976 Field	Baltimore, MD	3,000

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

Statı	Status Organization Name	Purpose	City, State	Amount
PC	Gilman School, Inc.	support for the Brent A. Rosenberg Fund, which Baltimore, MD will enhance teaching and learning by providing faculty and staff with the resources, education and training needed to work effectively with children who have learning differences	Baltimore, MD វ	\$10,000
S	Gilman School, Inc.	support for the Bridges program	Baltimore, MD	15,000
PC	Greater Baltimore Cultural Alliance	general support	Baltimore, MD	10,000
PC	Heifetz International Music Institute, Inc.	general support	Staunton, VA	15,000
PC	Helping Up Mission	support for the Education and Workforce	Baltimore, MD	10,000
		Development program		
PC	Higher Achievement Program	general support	Baltimore, MD	2,000
PC	HopeWell Cancer Support	general support	Brooklandville, MD	10,000
PC	Johns Hopkins University-Peabody Institute	support for the Tuned-In program	Baltimore, MD	25,000
PC	Junior Achievement of Central Maryland, Inc.	support to enable Baltimore City student	Owings Mills, MD	10,000
		participation in its programs		
S	Kennedy Krieger Institute, Inc.	support for the capital campaign	Baltimore, MD	20,000
PC	Leveling the Playing Field, Inc.	support to expand programs to Baltimore City	Silver Spring, MD	10,000
PC	LifeBridge Health	support for the ER-7 capital campaign	Baltimore, MD	33,000
PC	LIFT-UP	support for programming in Roaring Fork Valley Rifle, CO	/ Rifle, CO	2,000
PC	Living Classrooms Foundation	support for Volunteer Engagement in the	Baltimore, MD	25,000
		Baltimore Target Investment Zone		
PC	Living Classrooms Foundation	support for the Fresh Start program	Baltimore, MD	10,000
PC	Marine Mammal Stranding Center	general support	Brigantine, NJ	15,000
PC	Maryland Citizens for the Arts, Inc.	general support	Baltimore, MD	7,500

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

Statı	Status Organization Name	Purpose	City, State	Amount
PC	Maryland Food Bank, Inc.	support to improve food safety and increase warehouse efficiency	Baltimore, MD	\$15,000
PC	Maryland New Directions, Inc.	support for the Maritime Transportation Distribution and Logistics training program	Baltimore, MD	15,000
S	The Maryland Zoological Society, Inc.	general support	Baltimore, MD	15,000
PC	Medical Education Resources Initiative for Teens, Inc.	general support	Baltimore, MD	10,000
PC	National Aquarium, Inc.	support for the "Campaign for the Future of the Baltimore, MD National Aquarium"	e Baltimore, MD	40,000
PC	National Boy Scouts of America Foundation	support for the Dorothy L. and Henry A. Rosenberg, Jr. Endowment Fund, proceeds from	Irving, TX n	80,000
		which support programming at the Baltimore Area Council for disadvantaged children		
PC	Next One Up Foundation	general support	Baltimore, MD	15,000
PC	Parks & People Foundation for Baltimore Recreation & Parks	capital support for the "One Park, One People" campaign	Baltimore, MD	10,000
PC	Planned Parenthood of Maryland, Inc.	support for the Peer Education program	Baltimore, MD	25,000
PC	Rangeley Lakes Region Historical Society	support for the Outdoor Sporting Heritage Museum	Rangeley, ME	10,000
PC	Ronald McDonald House Charities of Baltimore, Inc.	capital support for the construction of a new Ronald McDonald House	Baltimore, MD	25,000
PC	LifeBridge Health	general support through sponsorship of the 2016 Gala	Baltimore, MD	7,500
PC PC	South Baltimore Learning Center The Star Spangled Banner Flag House Association, Inc.	general support general support	Baltimore, MD Baltimore, MD	12,500

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

Statu	Status Organization Name	Purpose	City, State	Amount
PC	Strong City Baltimore, Inc.	support for the Adult Learning Center	Baltimore, MD	\$10,000
S	Surfrider Foundation	support for the Palm Beach County Chapter	San Clemente, CA	2,000
PC	Tropical Sands Christian Church	support for Palm Beach Troop Support	Palm Beach Gardens, FL 15,000	15,000
PC	Urban Alliance	support for the High School Internship Program Baltimore, MD	Baltimore, MD	10,000
PC	US Lacrosse Foundation, Inc.	support for the National Campaign for Lacrosse Baltimore, MD	: Baltimore, MD	33,000
PC	Wide Angle Youth Media, Inc.	general support	Baltimore, MD	7,500
S	Young Audiences of Maryland, Inc.	general support and support for the Wolf Trap Baltimore, MD	Baltimore, MD	20,000
		Early Learning Through the Arts program		
PC	Your Public Radio Corporation	general support	Baltimore, MD	2,000
PC	YouthEntity	general support	Carbondale, CO	2,500
PC	YouthZone	support for the Glenwood Springs chapter and Glenwood Springs, CO	Glenwood Springs, CO	10,000
		the Aspen chapter		
TOTAL	71		\$1,	\$1,274,500

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

# PAGE 10, PART XV, LINE 3B – GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTS

Statı	Status Organization Name	Purpose	City, State	Amount
PC	American Visionary Art Museum, Inc.	general support	Baltimore, MD	\$10,000
PC	Arts Education in Maryland Schools, Inc.	general support	Baltimore, MD	10,000
PC	The Associated Jewish Community Federation of	general support for the Annual Campaign	Baltimore, MD	100,000
	Baltimore, Inc.			
PC	The B & O Railroad Museum, Inc.	support to develop and present new exhibits	Baltimore, MD	15,000
		and educational materials for children		
PC	Baltimore Office of Promotion & the Arts	support for Free Fall Baltimore	Baltimore, MD	2,000
PC	Baltimore SquashWise	general support	Baltimore, MD	15,000
PC	Baltimore Urban Debate League	general support	Baltimore, MD	10,000
PC	Beacon Academy	support to launch an intensive high-school	Baltimore, MD	30,000
		preparation academy in Baltimore		
PC	CASA of Baltimore County, Inc.	general support	Towson, MD	2,500
PC	Center for Urban Families, Inc.	general support	Baltimore, MD	7,500
PC	Central Scholarship Bureau, Inc.	support for vocational training scholarships	Owings Mills, MD	10,000
PC	Enoch Pratt Free Library of Baltimore City	support for the Emergent Literacy, Program	Baltimore, MD	10,000
PC	The Family Tree	support through sponsorship of The Brent A.	Baltimore, MD	15,000
		Rosenberg Family Fair and Walk		
PC	The Family Tree	general support	Baltimore, MD	20,000
PC	Greater Baltimore Cultural Alliance	general support	Baltimore, MD	10,000
PC	Heifetz International Music Institute, Inc.	general support	Staunton, VA	15,000
PC	Living Classrooms Foundation	support for Volunteer Engagement in the	Baltimore, MD	25,000
		Baltimore Target Investment Zone		
PC	Maryland Citizens for the Arts, Inc.	general support	Baltimore, MD	7,500

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### THE HENRY AND RUTH BLAUSTEIN ROSENBERG FOUNDATION, INC. 1 NORTH CHARLES STREET, 22<sup>ND</sup> FLOOR, BALTIMORE, MARYLAND 21201 FORM 990-PF FOR CALENDAR YEAR 2016 52-6038384

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# PAGE 10, PART XV, LINE 3B – GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTS

Statı	Status Organization Name	Purpose	City, State	Amount
PC	Maryland Science Center	capital support for the Kids Room	Baltimore, MD	\$100,000
PC	The Maryland Zoological Society. Inc.	Improvement Project general support	Baltimore. MD	15.000
PC	Medical Education Resources Initiative for Teens, Inc.	general support	Baltimore, MD	10,000
S	Next One Up Foundation	general support	Baltimore, MD	15,000
PC	Planned Parenthood of Maryland, Inc.	support for the Peer Education program	Baltimore, MD	25,000
PC	Rangeley Lakes Region Historical Society	support for the Outdoor Sporting Heritage	Rangeley, ME	10,000
		Museum		
PC	Ronald McDonald House Charities of Baltimore, Inc.	capital support for the construction of a new	Baltimore, MD	25,000
		Ronald McDonald House		
PC	South Baltimore Learning Center	general support	Baltimore, MD	12,500
PC	Strong City Baltimore, Inc.	support for the Adult Learning Center	Baltimore, MD	10,000
TOTAL	۵۲			\$540,000