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Extended to November 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury

Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

A Employer identification number

ALH FOUNDATION INC

52-6034744

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

20 SOUTH CHARLES STREET

B Telephone number

410-539-7558

City or town, state or province, country, and ZIP or foreign postal code

BALTIMORE, MD 21201

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col. (c), line 16)

Other (specify)

\$ 2244662. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B
Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 2174242.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees Stmt 2

b Accounting fees

c Other professional fees Stmt 3

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses Stmt 5

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

54529.

54469.

Statement 1

-15810.

0.

38719.

54469.

0.

0.

0.

10357.

0.

10357.

26232.

26232.

0.

3140.

877.

0.

65.

0.

65.

39794.

27109.

10422.

128950.

128950.

168744.

27109.

139372.

-130025.

27360.

N/A

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	116136.	101021.	101021.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	775682.	1368886.	1637218.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 7	1218124.	510010.	506423.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2109942.	1979917.	2244662.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	2104621.	2104621.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5321.	-124704.	
	30 Total net assets or fund balances	2109942.	1979917.	
31 Total liabilities and net assets/fund balances	2109942.	1979917.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2109942.
2 Enter amount from Part I, line 27a	2	-130025.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1979917.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1979917.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2174242.		1222413.	-15810.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-15810.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-15810.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	129798.	2335618.	.055573
2014	119239.	2304593.	.051740
2013	128665.	2162107.	.059509
2012	118549.	2015821.	.058809
2011	122940.	2045725.	.060096

2 Total of line 1, column (d)	2	.285727
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057145
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2182247.
5 Multiply line 4 by line 3	5	124705.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	274.
7 Add lines 5 and 6	7	124979.
8 Enter qualifying distributions from Part XII, line 4	8	139372.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	274.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	274.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	274.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2896.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2896.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2622.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 2622. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► SANFORD SCHREIBER Telephone no. ► 410-539-7558		
Located at ► 20 SOUTH CHARLES STREET, BALTIMORE, MD		ZIP+4 ► 21201
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FincEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHERINE APPLEFELD 23 MERRYMOUNT ROAD BALTIMORE, MD 21210	PRESIDENT 1.00	0.	0.	0.
ALEX APPLEFELD 23 MERRYMOUNT ROAD BALTIMORE, MD 21210	VICE PRESIDENT/TREASURER/ASST SEC 1.00	0.	0.	0.
SANFORD D SCHREIBER 20 SOUTH CHARLES ST #1200 BALTIMORE, MD 21201	VICE PRESIDENT/ ASST TREAS/SEC 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2215479.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2215479.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2215479.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33232.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2182247.
6	Minimum investment return. Enter 5% of line 5	6	109112.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	109112.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	274.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	274.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	108838.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	108838.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	108838.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	139372.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	139372.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	274.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	139098.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				108838.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	22814.			
b From 2012	20896.			
c From 2013	24802.			
d From 2014	8466.			
e From 2015	16844.			
f Total of lines 3a through e	93822.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 139372.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				108838.
e Remaining amount distributed out of corpus	30534.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	124356.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	22814.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	101542.			
10 Analysis of line 9:				
a Excess from 2012	20896.			
b Excess from 2013	24802.			
c Excess from 2014	8466.			
d Excess from 2015	16844.			
e Excess from 2016	30534.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED				128950.
Total			3a	128950.
b Approved for future payment				
None				
Total			3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THRU PNC			
b	THRU PNC			
c	THRU PNC			
d	THRU UBS			
e	THRU UBS			
f	Capital Gains Dividends			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	958073.		-15467.
b			14392.
c			-8491.
d	408595.	395944.	12651.
e	798713.	826469.	-27756.
f	8861.		8861.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-15467.
b			14392.
c			-8491.
d			12651.
e			-27756.
f			8861.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-15810.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Dividends and Interest from Securities	Statement	1
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Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
THRU PNC	465.	465.	0.	0.	
THRU PNC	34930.	0.	34930.	34930.	
THRU PNC	77.	0.	77.	77.	
THRU UBS	1635.	1635.	0.	0.	
THRU UBS	6761.	6761.	0.	0.	
THRU UBS	12613.	0.	12613.	12613.	
THRU UBS	60.	0.	60.	0.	
THRU UBS	1.	0.	1.	1.	
THRU UBS	6848.	0.	6848.	6848.	
To Part I, line 4	63390.	8861.	54529.	54469.	

Form 990-PF	Legal Fees	Statement	2
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Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL	10357.	0.		10357.
To Fm 990-PF, Pg 1, ln 16a	10357.	0.		10357.

Form 990-PF	Other Professional Fees	Statement	3
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Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISORY	1581.	1581.		0.
INVESTMENT ADVISORY	21754.	21754.		0.
	2897.	2897.		0.
To Form 990-PF, Pg 1, ln 16c	26232.	26232.		0.

Form 990-PF	Taxes			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FOREIGN	634.	634.		0.	
FOREIGN	243.	243.		0.	
EXCISE	2263.	0.		0.	
To Form 990-PF, Pg 1, ln 18	3140.	877.		0.	

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
POSTAGE	65.	0.		65.	
To Form 990-PF, Pg 1, ln 23	65.	0.		65.	

Form 990-PF	Corporate Stock		Statement	6
Description			Book Value	Fair Market Value
			1368886.	1637218.
Total to Form 990-PF, Part II, line 10b			1368886.	1637218.

Form 990-PF	Other Investments		Statement	7
Description	Valuation Method	Book Value	Fair Market Value	
MUTUAL FUNDS	COST	510010.	506423.	
Total to Form 990-PF, Part II, line 13		510010.	506423.	

Organization	Address	2019 Contribution	Date						
Central Scholarship Bureau	8 Park Center Court, Suite 211, Owba Mts, MD 21117	\$1,250	1/2/2018						
Santa Fe Symphony	PO Box 9692 Santa Fe, NM 87504	\$3,000	1/2/2018						
The American Jewish Committee	165 East 56 Street New York, NY 10022	\$250	1/2/2018						
St. John's College	1160 Camino Cruz Blanca Santa Fe 87505	\$2,500	1/2/2018						
The Lawrence Fund	211 E. Rock Way Annapolis, MD 21401	\$1,500	1/2/2018						
JHU Peabody Institute	1 East Mount Vernon Place Baltimore, MD 21202	\$6,000	1/2/2018						
Temple Beth Shalom	205 E Barcelona Road, Santa Fe, NM 87505	\$250	1/2/2018						
Creativity for Peace	369 Monticuma Ave. No 566 Santa Fe, NM 87501	\$1,000	1/2/2018						
New Mexico Philharmonic	PO Box 21428 Albuquerque, NM 87154	\$250	1/2/2018						
Educate New Mexico	PO Box 784 Albuquerque, NM 87103	\$250	1/2/2018						
Rio Grande Foundation	PO Box 40338 Albuquerque, NM 87196	\$300	1/2/2018						
The New Mexico Foundation for Open Govt	115 Gold Ave. SW Suite 201 Albuquerque, NM 87102	\$150	1/2/2018						
Think New Mexico	1227 Paseo de Pareda Santa Fe, NM 87501	\$500	1/2/2018						
PKD Foundation	8330 Ward Parkway, #510 Kansas City, MO 64114	\$150	1/2/2018						
CASA 1st Judicial District	498 W. San Francisco St. Santa Fe, NM 87501	\$150	1/2/2018						
The Santa Fe Desert Chorale	311 East Palace Avenue Santa Fe, NM 87501	\$1,500	1/2/2018						
U of MD Baltimore Foundation (Law School)	620 West Lexington Street Baltimore, MD 21201	\$500	1/2/2018						
Jazz Bakery	1836 Benedict Canyon Dr. Beverly Hills, CA 90210	\$2,000	1/2/2018						
WYPR	2218 North Charles Street Baltimore, MD 21218	\$1,000	1/2/2018						
Breakthrough Santa Fe	1101 Camino de la Cruz Blanca Santa Fe NM 87505	\$500	1/2/2018						
American Friends of the Hebrew University	PO Box 2035 New York, NY 10021	\$2,500	1/2/2018						
KHEM Community Partners	4125 Carlsbad, NE Albuquerque, NM 87107	\$250	1/2/2018						
Temple Ohav Shalom	7310 Park Heights Avenue, Baltimore, MD 21206	\$100	1/2/2018						
Birthright Israel Foundation	33 E. 33rd St. 7th Floor New York, NY 10018	\$500	1/2/2018						
Museum of NM Foundation	PO Box 2065 Santa Fe, NM 87504	\$2,500	1/3/2018						
Baltimore Symphony Orchestra	1212 Cathedral Street Baltimore, MD 21201	\$2,500	1/4/2018						
Baltimore Symphony Orchestra - Orchestral	1212 Cathedral Street Baltimore, MD 21201	\$7,500	1/2/2018						
The Associated	101 West Mount Royal Avenue, Baltimore, MD 21201	\$7,000	1/2/2018						
Northern New Mexico Radio Foundation	PO Box 31368 Santa Fe, NM 87594	\$2,000	3/2/2018						
B'Nai B'rith	1120 20th Street, NW #300 North Washington, D.C. 20036	\$250	3/13/2018						
The Israel Project	1901 Pennsylvania Ave, NW Suite 900 Washington, DC 20006	\$2,500	3/13/2018						
St. Vincent's Christa Foundation	456 St. Michaels Drive Santa Fe, NM 87505	\$250	3/13/2018						
United Negro College Fund	723 Main Street Suite 1010 Houston, TX 77002	\$500	3/14/2018						
National Urban League	120 Wall Street New York, NY 10005	\$500	3/14/2018						
The Myerberg Center	3101 Fallstaff Road Baltimore, MD 21209	\$1,500	3/14/2018						
Temple Beth Shalom	205 E Barcelona Road, Santa Fe, NM 87505	\$100	4/11/2018						
Pastoral Counseling	1751 Old Peace Trail, Suite E Santa Fe, NM 87505	\$2,500	4/11/2018						
American Cancer Society	P.O. Box 22478 Oklahoma City, OK 73123	\$500	5/14/2018						
The Park School	2425 Old Court Road, Baltimore, MD 21208	\$2,000	6/7/2018						
Northern New Mexico Radio Foundation	PO Box 31368 Santa Fe, NM 87594	\$1,500	6/15/2018						
Temple Beth Shalom	205 E Barcelona Road, Santa Fe, NM 87505	\$2,500	6/19/2018						
Outpost Productions	PO Box 4543 Albuquerque, NM 87196	\$2,500	6/19/2018						
Santa Fe Symphony	PO Box 9692 Santa Fe, NM 87504	\$10,000	7/3/2018						
MD Mental Health Association	1301 York Road #505 Lutherville, MD 21093	\$10,000	7/3/2018						
The Associated	101 West Mount Royal Avenue, Baltimore, MD 21201	\$36,000	10/20/2018						
Temple Ohav Shalom	7310 Park Heights Avenue, Baltimore, MD 21206	\$5,000	10/20/2018						
Park School	2425 Old Court Road, Baltimore, MD 21208	\$2,000	10/20/2018						
Contribution Total		\$178,860							

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MR. SANFORD D. SCHREIBER
STATEMENT OF CAPITAL GAINS AND LOSSES
ALH FOUNDATION INC
TRUST YEAR ENDING 12/31/2016

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TAX PREPARER: 717
TRUST ADMINISTRATOR: 1R3
INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC 8949
5.0000 ABBOTT LABORATORIES INC - 002824100 - MINOR = 053100								
SOLD		06/28/2016	185.69					
ACQ	5.0000	06/24/2016	185.69	192.41	-6.72	0.00	ST	C
4.0000 ADVANSIX INC - W/I - 00773T101 - MINOR = 053100								
SOLD		10/17/2016	6.39					
ACQ	4.0000	02/03/2015	6.39	5.58	0.81	0.00	LT	F
5.0000 AETNA INC NEW - 00817Y108 - MINOR = 053100								
SOLD		01/02/2016	550.54					
ACQ	5.0000	05/30/2013	550.54	312.88	237.66	0.00	LT	F
2.0000 AETNA INC NEW - 00817Y108 - MINOR = 053100								
SOLD		06/28/2016	236.28					
ACQ	2.0000	05/30/2013	236.28	125.15	111.13	0.00	LT	F
8.0000 ALASKA AIR GROUP INC - 011659109 - MINOR = 053100								
SOLD		03/02/2016	608.71					
ACQ	8.0000	11/17/2015	608.71	629.80	-21.09	0.00	ST	C
123.0000 ALASKA AIR GROUP INC - 011659109 - MINOR = 053100								
SOLD		06/16/2016	7404.30					
ACQ	123.0000	11/17/2015	7404.30	9683.15	-2278.85	0.00	ST	C
1.0000 ALPHABET INC/CA-CL A - 02079K305 - MINOR = 053100								
SOLD		06/28/2016	685.34					
ACQ	1.0000	03/02/2016	685.34	736.77	-51.43	0.00	ST	C
23.0000 ALTRIA GROUP INC - 02209S103 - MINOR = 053100								
SOLD		03/02/2016	1408.30					
ACQ	23.0000	05/13/2013	1408.30	852.57	555.73	0.00	LT	F
5.0000 ALTRIA GROUP INC - 02209S103 - MINOR = 053100								
SOLD		06/28/2016	336.14					
ACQ	5.0000	05/13/2013	336.14	185.34	150.80	0.00	LT	F
8.0000 AMERICAN ELECTRIC POWER INC - 025537101 - MINOR = 053100								
SOLD		03/02/2016	489.99					
ACQ	8.0000	10/15/2015	489.99	467.15	22.84	0.00	ST	C
4.0000 AMERICAN ELECTRIC POWER INC - 025537101 - MINOR = 053100								
SOLD		06/28/2016	273.27					
ACQ	4.0000	10/15/2015	273.27	233.58	39.69	0.00	ST	C
9.0000 AMERICAN WATER WORKS CO INC - 030420103 - MINOR = 053100								
SOLD		03/02/2016	609.68					
ACQ	9.0000	02/05/2016	609.68	573.67	36.01	0.00	ST	C
3.0000 AMERICAN WATER WORKS CO INC - 030420103 - MINOR = 053100								
SOLD		06/28/2016	245.45					
ACQ	3.0000	02/05/2016	245.45	191.22	54.23	0.00	ST	C
5.0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 053100								
SOLD		03/02/2016	434.99					
ACQ	5.0000	01/23/2015	434.99	647.88	-212.89	0.00	LT	F
69.0000 AMERIPRISE FINANCIAL INC - 03076C106 - MINOR = 053100								
SOLD		05/02/2016	6588.39					
ACQ	69.0000	01/23/2015	6588.39	8940.67	-2352.28	0.00	LT	F
10.0000 AMGEN INC - 031162100 - MINOR = 053100								
SOLD		03/02/2016	1466.67					
ACQ	10.0000	08/08/2014	1466.67	1264.80	201.87	0.00	LT	F
3.0000 AMGEN INC - 031162100 - MINOR = 053100								
SOLD		06/28/2016	441.32					
ACQ	3.0000	08/08/2014	441.32	379.44	61.88	0.00	LT	F
74.0000 APPLE INC - 037833100 - MINOR = 053100								
SOLD		03/02/2016	7435.36					
ACQ	74.0000	10/09/2013	7435.36	5148.36	2287.00	0.00	LT	F
23.0000 APPLE INC - 037833100 - MINOR = 053100								
SOLD		03/02/2016	2294.89					
ACQ	23.0000	10/09/2013	2294.89	1600.17	694.72	0.00	LT	F
99.0000 APPLE INC - 037833100 - MINOR = 053100								
SOLD		05/06/2016	9139.43					
ACQ	25.0000	10/09/2013	2307.94	1739.31	568.63	0.00	LT	F
	74.0000	06/23/2009	6831.49	1409.59	5421.90	0.00	LT	Y F
7.0000 APPLE INC - 037833100 - MINOR = 053100								
SOLD		06/28/2016	647.76					
ACQ	7.0000	06/23/2009	647.76	133.34	514.42	0.00	LT	Y F
34.1000 ARTISAN INTERNATIONAL FD-ADV - 04314H675 - MINOR = 050847								
SOLD		03/02/2016	911.15					
ACQ	34.1000	11/01/2012	911.15	809.38	101.77	0.00	LT	F
126.8180 BAIRD MIDCAP INST - 057071813 - MINOR = 050827								
SOLD		06/28/2016	1890.86					
ACQ	126.8180	04/06/2016	1890.86	1920.02	-29.16	0.00	ST	C
7.0000 BANK NEW YORK MELLON CORP COM - 064058100 - MINOR = 053100								
SOLD		06/28/2016	253.67					
ACQ	7.0000	05/02/2016	253.67	281.73	-28.06	0.00	ST	C
49.5230 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO - 09256H286 - MINOR = 050885								
SOLD		03/02/2016	476.91					
ACQ	49.5230	10/10/2014	476.91	507.12	-30.21	0.00	LT	F
80.6410 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO - 09256H286 - MINOR = 050885								
SOLD		06/28/2016	781.41					
ACQ	80.6410	10/10/2014	781.41	825.76	-44.35	0.00	LT	F
937.7070 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO - 09256H286 - MINOR = 050885								
SOLD		08/25/2016	9198.91					
ACQ	937.7070	10/30/2014	9198.91	9602.12	-403.21	0.00	LT	F
71.0000 BOEING CO - 097023105 - MINOR = 3101								
SOLD		02/01/2016	8461.54					
ACQ	1.0000	07/30/2012	119.18	74.72	44.46	0.00	LT	F
	5.0000	11/01/2012	595.88	354.00	241.88	0.00	LT	F
	65.0000	09/21/2012	7746.48	4540.78	3205.70	0.00	LT	F

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ALH FOUNDATION INC

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TRUST YEAR ENDING 12/31/2016

TAX PREPARER: 717
TRUST ADMINISTRATOR: 1R3
INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
3.0000 CIGNA CORP - 125509109 - MINOR = 053100								
SOLD		03/02/2016	418.43					
ACQ	3.0000	07/14/2014	418.43	284.68	133.75	0.00	LT	F
2.0000 CIGNA CORP - 125509109 - MINOR = 053100								
SOLD		06/28/2016	249.95					
ACQ	2.0000	07/14/2014	249.95	189.79	60.16	0.00	LT	F
70.0000 CIGNA CORP - 125509109 - MINOR = 053100								
SOLD		08/03/2016	8746.25					
ACQ	70.0000	07/14/2014	8746.25	6642.62	2103.63	0.00	LT	F
15.0000 CVS CAREMARK CORP - 126650100 - MINOR = 053100								
SOLD		03/02/2016	1477.76					
ACQ	15.0000	02/21/2013	1477.76	777.90	699.86	0.00	LT	F
20.0000 CVS CAREMARK CORP - 126650100 - MINOR = 053100								
SOLD		06/16/2016	1908.36					
ACQ	20.0000	02/21/2013	1908.36	1037.19	870.97	0.00	LT	F
5.0000 CVS CAREMARK CORP - 126650100 - MINOR = 053100								
SOLD		06/28/2016	465.04					
ACQ	5.0000	02/21/2013	465.04	259.30	205.74	0.00	LT	F
11.0000 CELANESE CORP-SERIES A - 150870103 - MINOR = 053100								
SOLD		03/02/2016	676.35					
ACQ	11.0000	10/27/2015	676.35	764.78	-88.63	0.00	ST	C
4.0000 CELANESE CORP-SERIES A - 150870103 - MINOR = 053100								
SOLD		06/28/2016	248.11					
ACQ	4.0000	10/27/2015	248.11	278.10	-29.99	0.00	ST	C
40.0000 CELANESE CORP-SERIES A - 150870103 - MINOR = 053100								
SOLD		07/21/2016	2817.55					
ACQ	29.0000	10/27/2015	2042.72	2016.24	26.48	0.00	ST	C
	11.0000	07/13/2015	774.83	757.73	17.10	0.00	LT	F
138.0000 CELANESE CORP-SERIES A - 150870103 - MINOR = 053100								
SOLD		08/24/2016	9129.40					
ACQ	138.0000	07/13/2015	9129.40	9506.03	-376.63	0.00	LT	F
64.0000 CISCO SYS INC COM - 17275R102 - MINOR = 053100								
SOLD		03/02/2016	1710.68					
ACQ	64.0000	03/27/2015	1710.68	1737.60	-26.92	0.00	ST	C
533.0000 CISCO SYS INC COM - 17275R102 - MINOR = 053100								
SOLD		05/06/2016	13779.77					
ACQ	108.0000	03/27/2015	2792.15	2932.20	-140.05	0.00	LT	F
	113.0000	06/06/2014	2921.41	2809.74	111.67	0.00	LT	F
	169.0000	06/25/2014	4369.20	4169.21	199.99	0.00	LT	F
	143.0000	11/11/2011	3697.01	2726.32	970.69	0.00	LT	F
10.0000 CISCO SYS INC COM - 17275R102 - MINOR = 053100								
SOLD		06/28/2016	275.19					
ACQ	10.0000	11/11/2011	275.19	190.65	84.54	0.00	LT	F
12.0000 CINTAS CORP - 172908105 - MINOR = 053100								
SOLD		03/02/2016	1027.54					
ACQ	12.0000	05/27/2015	1027.54	1030.82	-3.28	0.00	ST	C
4.0000 CINTAS CORP - 172908105 - MINOR = 053100								
SOLD		06/28/2016	369.59					
ACQ	4.0000	05/27/2015	369.59	343.61	25.98	0.00	LT	F
59.0000 CINTAS CORP - 172908105 - MINOR = 053100								
SOLD		09/07/2016	6981.51					
ACQ	14.0000	05/27/2015	1656.63	1202.63	454.00	0.00	LT	F
	41.0000	03/27/2015	4851.56	3361.59	1489.97	0.00	LT	F
	4.0000	02/03/2015	473.32	322.06	151.26	0.00	LT	F
569.5320 COLUMBIA ACORN INTL FUND CL 2 - 197199813 - MINOR = 050854								
SOLD		05/26/2016	22479.43					
ACQ	112.1720	06/25/2014	4427.43	5425.78	-998.35	0.00	LT	F
	364.7900	03/14/2014	14398.26	16922.62	-2524.36	0.00	LT	F
	92.5700	03/02/2016	3653.74	3476.93	176.81	0.00	ST	C
25.0000 COMCAST CORPORATION CL A - 20030N101 - MINOR = 053100								
SOLD		03/02/2016	1479.22					
ACQ	25.0000	07/23/2014	1479.22	1365.80	113.42	0.00	LT	F
7.0000 COMCAST CORPORATION CL A - 20030N101 - MINOR = 053100								
SOLD		06/28/2016	437.49					
ACQ	7.0000	07/23/2014	437.49	382.42	55.07	0.00	LT	F
8.0000 CONSTELLATION BRANDS INC CL A - 21036P108 - MINOR = 053100								
SOLD		03/02/2016	1138.54					
ACQ	8.0000	05/15/2014	1138.54	636.76	501.78	0.00	LT	F
3.0000 CONSTELLATION BRANDS INC CL A - 21036P108 - MINOR = 053100								
SOLD		06/28/2016	469.07					
ACQ	3.0000	05/15/2014	469.07	238.78	230.29	0.00	LT	F
43.0000 DEUTSCHE X-TRACKERS MSCI EUR ETP - 233051853 - MINOR = 053546								
SOLD		03/02/2016	1057.34					
ACQ	43.0000	04/30/2015	1057.34	1259.66	-202.32	0.00	ST	C
22.0000 D R HORTON INC - 23331A109 - MINOR = 053100								
SOLD		03/02/2016	610.93					
ACQ	22.0000	10/15/2015	610.93	641.69	-30.76	0.00	ST	C
7.0000 D R HORTON INC - 23331A109 - MINOR = 053100								
SOLD		06/28/2016	209.37					
ACQ	7.0000	10/15/2015	209.37	204.17	5.20	0.00	ST	C
13.0000 DISNEY WALT CO - 254687106 - MINOR = 053100								
SOLD		03/02/2016	1256.03					
ACQ	13.0000	02/07/2014	1256.03	983.45	272.58	0.00	LT	F

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TRUST YEAR ENDING 12/31/2016

TAX PREPARER: 717
TRUST ADMINISTRATOR: 1R3
INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
5.0000 DISNEY WALT CO - 254687106 - MINOR = 053100								
SOLD		06/28/2016	476.24					
ACQ	5.0000	02/07/2014	476.24	378.25	97.99	0.00	LT	F
100.0000 DOLLAR GENERAL CORP - 256677105 - MINOR = 053100								
SOLD		09/01/2016	7351.54					
ACQ	100.0000	06/30/2016	7351.54	9344.32	-1992.78	0.00	ST	C
17.0000 DOW CHEMICAL CO - 260543103 - MINOR = 053100								
SOLD		03/02/2016	840.80					
ACQ	17.0000	04/28/2015	840.80	874.24	-33.44	0.00	ST	C
5.0000 DOW CHEMICAL CO - 260543103 - MINOR = 053100								
SOLD		06/28/2016	239.29					
ACQ	5.0000	04/28/2015	239.29	257.13	-17.84	0.00	LT	F
59.0000 DOW CHEMICAL CO - 260543103 - MINOR = 053100								
SOLD		07/21/2016	3110.87					
ACQ	59.0000	04/28/2015	3110.87	3034.11	76.76	0.00	LT	F
14.0000 DR PEPPER SNAPPLE GROUP INC - 26138E109 - MINOR = 053100								
SOLD		03/02/2016	1282.51					
ACQ	14.0000	05/05/2015	1282.51	1062.60	219.91	0.00	ST	C
4.0000 DR PEPPER SNAPPLE GROUP INC - 26138E109 - MINOR = 053100								
SOLD		06/28/2016	375.39					
ACQ	4.0000	05/05/2015	375.39	303.60	71.79	0.00	LT	F
292.1220 EATON VANCE FLOATING RATE FUND CLASS I - 277911491 - MINOR = 050867								
SOLD		06/28/2016	2521.01					
ACQ	292.1220	11/01/2012	2521.01	2658.31	-137.30	0.00	LT	F
4873.9030 EATON VANCE FLOATING RATE FUND CLASS I - 277911491 - MINOR = 050867								
SOLD		08/05/2016	42549.17					
ACQ	4708.3900	11/01/2012	41104.24	42846.35	-1742.11	0.00	LT	F
	93.8190	11/02/2012	819.04	853.75	-34.71	0.00	LT	F
	71.6940	03/02/2016	625.89	592.91	32.98	0.00	ST	C
13.0000 EDWARDS LIFESCIENCES CORP - 28176E108 - MINOR = 053100								
SOLD		03/02/2016	1139.56					
ACQ	13.0000	02/06/2015	1139.56	879.46	260.10	0.00	LT	F
96.0000 EDWARDS LIFESCIENCES CORP - 28176E108 - MINOR = 053100								
SOLD		06/24/2016	9239.73					
ACQ	96.0000	02/06/2015	9239.73	6494.44	2745.29	0.00	LT	F
3.0000 EDWARDS LIFESCIENCES CORP - 28176E108 - MINOR = 053100								
SOLD		06/28/2016	282.86					
ACQ	3.0000	02/06/2015	282.86	202.95	79.91	0.00	LT	F
1.0000 EQUIFAX INC - 294429105 - MINOR = 053100								
SOLD		06/28/2016	121.01					
ACQ	1.0000	06/16/2016	121.01	120.79	0.22	0.00	ST	C
15.0000 EXXON MOBIL CORP - 30231G102 - MINOR = 053100								
SOLD		03/02/2016	1221.42					
ACQ	15.0000	11/17/2014	1221.42	1418.39	-196.97	0.00	LT	F
4.0000 EXXON MOBIL CORP - 30231G102 - MINOR = 053100								
SOLD		06/28/2016	359.67					
ACQ	4.0000	11/17/2014	359.67	378.24	-18.57	0.00	LT	F
9.0000 FACEBOOK INC A - 30303M102 - MINOR = 053100								
SOLD		03/02/2016	981.25					
ACQ	9.0000	03/02/2016	981.25	987.88	-6.63	0.00	ST	C
3.0000 FACEBOOK INC A - 30303M102 - MINOR = 053100								
SOLD		06/28/2016	335.57					
ACQ	3.0000	05/06/2016	335.57	357.48	-21.91	0.00	ST	C
15.0000 FOOT LOCKER INC - 344849104 - MINOR = 053100								
SOLD		03/02/2016	954.43					
ACQ	15.0000	04/03/2014	954.43	727.36	227.07	0.00	LT	F
4.0000 FOOT LOCKER INC - 344849104 - MINOR = 053100								
SOLD		06/28/2016	207.51					
ACQ	4.0000	04/03/2014	207.51	193.96	13.55	0.00	LT	F
184.0000 FOOT LOCKER INC - 344849104 - MINOR = 053100								
SOLD		06/30/2016	10077.61					
ACQ	184.0000	04/03/2014	10077.61	8922.30	1155.31	0.00	LT	F
13.0000 FORD MTR CO DEL COM PAR \$0.01 - 345370860 - MINOR = 053100								
SOLD		06/28/2016	159.05					
ACQ	13.0000	05/06/2016	159.05	174.50	-15.45	0.00	ST	C
695.0000 FORD MTR CO DEL COM PAR \$0.01 - 345370860 - MINOR = 053100								
SOLD		08/05/2016	8475.34					
ACQ	695.0000	05/06/2016	8475.34	9329.20	-853.86	0.00	ST	C
7.0000 GENERAL DYNAMICS CORP - 369550108 - MINOR = 3101								
SOLD		03/02/2016	951.77					
ACQ	7.0000	05/27/2015	951.77	981.54	-29.77	0.00	ST	C
2.0000 GENERAL DYNAMICS CORP - 369550108 - MINOR = 3101								
SOLD		06/28/2016	265.77					
ACQ	2.0000	05/27/2015	265.77	280.44	-14.67	0.00	LT	F
32.0000 GENERAL ELEC CO COM - 369604103 - MINOR = 31001								
SOLD		03/02/2016	957.42					
ACQ	32.0000	01/26/2010	957.42	531.06	426.36	0.00	LT	Y F
62.0000 GENERAL ELEC CO COM - 369604103 - MINOR = 31001								
SOLD		05/26/2016	1863.06					
ACQ	62.0000	01/26/2010	1863.06	1028.93	834.13	0.00	LT	Y F
9.0000 GENERAL ELEC CO COM - 369604103 - MINOR = 31001								
SOLD		06/28/2016	267.56					
ACQ	9.0000	01/26/2010	267.56	149.36	118.20	0.00	LT	Y F

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STATEMENT OF CAPITAL GAINS AND LOSSES
ALH FOUNDATION INC
TRUST YEAR ENDING 12/31/2016

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TAX PREPARER: 717
TRUST ADMINISTRATOR: 1R3
INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
7.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 5010								
SOLD		03/02/2016	618.57					
ACQ	7.0000	09/15/2015	618.57	768.46	-149.89	0.00	ST	C
3.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 5010								
SOLD		06/28/2016	244.31					
ACQ	3.0000	09/15/2015	244.31	329.34	-85.03	0.00	ST	C
96.0000 GILEAD SCIENCES INC COM - 375558103 - MINOR = 5010								
SOLD		08/03/2016	7666.29					
ACQ	96.0000	09/15/2015	7666.29	10538.88	-2872.59	0.00	ST	C
2394.3870 GOLDMAN SACHS MID CAP VALUE FD CL I - 38141W398 - MINOR = 050828								
SOLD		05/26/2016	81935.92					
ACQ	42.7790	06/25/2014	1463.90	2058.94	-595.04	0.00	LT	F
	1643.9840	05/30/2013	56257.33	76248.00	-19990.87	0.00	LT	F
	279.7560	02/26/2015	9573.25	11889.61	-2316.36	0.00	LT	F
	427.8680	03/02/2016	14641.64	13447.89	1193.75	0.00	ST	C
1382.0520 GOLDMAN SACHS GRTH OPPOR INSTT CLASS - 38142Y401 - MINOR = 050827								
SOLD		04/06/2016	78903.27					
ACQ	363.7020	02/26/2015	8485.17	10445.52	-1960.35	0.00	LT	F
	2552.7910	11/01/2012	59556.61	65249.32	-5692.71	0.00	LT	F
	465.5590	03/02/2016	10861.49	10307.47	554.02	0.00	ST	C
67.9690 HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO - 412295107 - MINOR = 050847								
SOLD		03/02/2016	1119.45					
ACQ	67.9690	11/01/2012	1119.45	1041.96	77.49	0.00	LT	F
54.3110 HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO - 412295107 - MINOR = 050847								
SOLD		06/28/2016	930.35					
ACQ	54.3110	11/01/2012	930.35	832.59	97.76	0.00	LT	F
13.0000 HOME DEPOT INC COM - 437076102								
SOLD		03/02/2016	1621.19					
ACQ	13.0000	11/10/2015	1621.19	1617.05	4.14	0.00	ST	C
3.0000 HOME DEPOT INC COM - 437076102								
SOLD		06/28/2016	379.55					
ACQ	3.0000	11/10/2015	379.55	373.16	6.39	0.00	ST	C
11.0000 HONEYWELL INTL INC - 438516106 - MINOR = 053100								
SOLD		03/02/2016	1166.30					
ACQ	11.0000	07/20/2015	1166.30	1169.74	-3.44	0.00	ST	C
3.0000 HONEYWELL INTL INC - 438516106 - MINOR = 053100								
SOLD		06/28/2016	338.51					
ACQ	3.0000	07/20/2015	338.51	319.02	19.49	0.00	ST	C
17.0000 HORMEL FOODS CORP - 440452100 - MINOR = 053100								
SOLD		03/02/2016	732.51					
ACQ	17.0000	02/05/2016	732.51	669.77	62.74	0.00	ST	C
225.0000 HORMEL FOODS CORP - 440452100 - MINOR = 053100								
SOLD		06/03/2016	7814.96					
ACQ	225.0000	02/05/2016	7814.96	8864.61	-1049.65	0.00	ST	C
8.0000 ILLINOIS TOOL WORKS INC COM - 452308109								
SOLD		03/02/2016	771.98					
ACQ	8.0000	02/17/2016	771.98	756.93	15.05	0.00	ST	C
3.0000 ILLINOIS TOOL WORKS INC COM - 452308109								
SOLD		06/28/2016	298.79					
ACQ	3.0000	02/17/2016	298.79	283.85	14.94	0.00	ST	C
77.0000 ISHARES CORE US AGGREGATE BOND ETF - 464287226 - MINOR = 053564								
SOLD		03/02/2016	8422.07					
ACQ	77.0000	10/23/2014	8422.07	8489.83	-67.76	0.00	LT	F
43.0000 ISHARES CORE US AGGREGATE BOND ETF - 464287226 - MINOR = 053564								
SOLD		06/28/2016	4835.30					
ACQ	43.0000	10/23/2014	4835.30	4741.08	94.22	0.00	LT	F
112.0000 ISHARES MSCI EMERGING MKTS INDEX FUND - 464287234 - MINOR = 2562								
SOLD		06/28/2016	3688.09					
ACQ	112.0000	06/25/2014	3688.09	4831.67	-1143.58	0.00	LT	F
3.0000 ISHARES TR S&P SMLCP VALU - 464287879 - MINOR = 0844								
SOLD		03/02/2016	318.50					
ACQ	3.0000	11/01/2012	318.50	235.15	83.35	0.00	LT	F
12.0000 ISHARES TR S&P SMLCP VALU - 464287879 - MINOR = 0844								
SOLD		06/28/2016	1336.68					
ACQ	12.0000	11/01/2012	1336.68	940.60	396.08	0.00	LT	F
7.0000 ISHARES TR S&P SMLCP GROW - 464287887 - MINOR = 053542								
SOLD		03/02/2016	828.49					
ACQ	7.0000	03/14/2014	828.49	839.70	-11.21	0.00	LT	F
8.0000 ISHARES TR S&P SMLCP GROW - 464287887 - MINOR = 053542								
SOLD		06/28/2016	980.99					
ACQ	8.0000	03/14/2014	980.99	959.66	21.33	0.00	LT	F
426.0000 ISHARES MSCI EAFE SMALL-CAP ETF - 464288273 - MINOR = 053553								
SOLD		01/29/2016	19817.92					
ACQ	426.0000	11/30/2015	19817.92	21516.88	-1698.96	0.00	ST	C
26.0000 J P MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001								
SOLD		03/02/2016	1540.21					
ACQ	26.0000	08/14/2015	1540.21	1753.44	-213.23	0.00	ST	C
9.0000 J P MORGAN CHASE & CO COM - 46625H100 - MINOR = 31001								
SOLD		06/28/2016	527.12					
ACQ	9.0000	08/14/2015	527.12	606.96	-79.84	0.00	ST	C
15.0000 JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001								
SOLD		03/02/2016	1601.06					
ACQ	15.0000	06/11/2009	1601.06	843.87	757.19	0.00	LT	Y F

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LEGEND: F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
3.0000 JOHNSON & JOHNSON COM - 478160104 - MINOR = 31001								
SOLD		06/28/2016	352.04					
ACQ	3.0000	06/11/2009	352.04	168.78	183.26	0.00	LT	Y F
31.0000 KROGER CO - 501044101 - MINOR = 053100								
SOLD		03/02/2016	1257.97					
ACQ	31.0000	05/06/2014	1257.97	720.91	537.06	0.00	LT	F
218.0000 KROGER CO - 501044101 - MINOR = 053100								
SOLD		07/14/2016	8366.78					
ACQ	218.0000	05/06/2014	8366.78	5069.59	3297.19	0.00	LT	F
3.0000 KROGER CO - 501044101 - MINOR = 053100								
SOLD		06/28/2016	107.82					
ACQ	3.0000	05/06/2014	107.82	69.76	38.06	0.00	LT	F
11.0000 L BRANDS INC - 501797104 - MINOR = 053100								
SOLD		03/02/2016	954.56					
ACQ	11.0000	09/26/2014	954.56	752.93	201.63	0.00	LT	F
129.0000 L BRANDS INC - 501797104 - MINOR = 053100								
SOLD		05/06/2016	8940.82					
ACQ	129.0000	09/26/2014	8940.82	8829.78	111.04	0.00	LT	F
11.0000 LAM RESEARCH CORP - 512807108 - MINOR = 053100								
SOLD		03/02/2016	818.60					
ACQ	11.0000	06/02/2015	818.60	912.32	-93.72	0.00	ST	C
5.0000 LAM RESEARCH CORP - 512807108 - MINOR = 053100								
SOLD		06/28/2016	403.69					
ACQ	5.0000	06/02/2015	403.69	414.69	-11.00	0.00	LT	F
22.0000 LINCOLN NATIONAL CORP - 534187109 - MINOR = 053100								
SOLD		03/02/2016	859.08					
ACQ	22.0000	09/12/2014	859.08	1207.14	-348.06	0.00	LT	F
272.0000 LINCOLN NATIONAL CORP - 534187109 - MINOR = 053100								
SOLD		05/20/2016	12249.55					
ACQ	50.0000	09/12/2014	2251.76	2743.50	-491.74	0.00	LT	F
	12.0000	12/17/2013	540.42	603.00	-62.58	0.00	LT	F
	210.0000	11/12/2013	9457.37	10202.70	-745.33	0.00	LT	F
3.0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 053100								
SOLD		03/02/2016	648.58					
ACQ	3.0000	11/22/2013	648.58	422.09	226.49	0.00	LT	F
1.0000 LOCKHEED MARTIN CORP - 539830109 - MINOR = 053100								
SOLD		06/28/2016	239.91					
ACQ	1.0000	11/22/2013	239.91	140.70	99.21	0.00	LT	F
1.0000 ST. JUDE MEDICAL, INC. SECURITIES LITIGATION - 5550119A5 - MINOR = 907159								
SOLD		05/24/2016	120.32					
ACQ	1.0000	01/01/2001	120.32	25.00	95.32	0.00	LT	Y F
ADDED UPDATE 06/03/16								
177.3400 MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND CLASS I - 56063J864 - MINOR = 050859								
SOLD		06/28/2016	3133.60					
ACQ	177.3400	02/26/2015	3133.60	3575.17	-441.57	0.00	LT	F
25.0000 MICROSOFT CORP - 594918104 - MINOR = 053100								
SOLD		03/02/2016	1307.97					
ACQ	25.0000	12/18/2015	1307.97	1376.24	-68.27	0.00	ST	C
92.0000 MICROSOFT CORP - 594918104 - MINOR = 053100								
SOLD		05/06/2016	4579.16					
ACQ	67.0000	12/18/2015	3334.82	3688.34	-353.52	0.00	ST	C
	25.0000	06/23/2009	1244.34	585.00	659.34	0.00	LT	Y F
4.0000 MICROSOFT CORP - 594918104 - MINOR = 053100								
SOLD		06/28/2016	196.39					
ACQ	4.0000	06/23/2009	196.39	93.60	102.79	0.00	LT	Y F
229.0000 MONDELEZ INTERNATIONAL - 609207105 - MINOR = 053100								
SOLD		02/05/2016	8761.47					
ACQ	229.0000	09/15/2015	8761.47	9764.54	-1003.07	0.00	ST	C
13.0000 NIKE INC CL B - 654106103 - MINOR = 31001								
SOLD		03/02/2016	805.20					
ACQ	13.0000	08/05/2015	805.20	759.02	46.18	0.00	ST	C
5.0000 NIKE INC CL B - 654106103 - MINOR = 31001								
SOLD		06/28/2016	262.54					
ACQ	5.0000	08/05/2015	262.54	291.93	-29.39	0.00	ST	C
3.0000 NORTHERN TRUST CORP - 665859104 - MINOR = 5010								
SOLD		06/28/2016	187.58					
ACQ	3.0000	05/20/2016	187.58	216.07	-28.49	0.00	ST	C
7.0000 NORTHROP GRUMMAN CORPORATION - 666807102 - MINOR = 053100								
SOLD		03/02/2016	1344.04					
ACQ	7.0000	07/20/2015	1344.04	1189.13	154.91	0.00	ST	C
35.0000 NORTHROP GRUMMAN CORPORATION - 666807102 - MINOR = 053100								
SOLD		05/26/2016	7488.27					
ACQ	14.0000	07/20/2015	2995.31	2378.26	617.05	0.00	ST	C
	21.0000	02/19/2015	4492.96	3554.90	938.06	0.00	LT	F
2.0000 NORTHROP GRUMMAN CORPORATION - 666807102 - MINOR = 053100								
SOLD		06/28/2016	428.13					
ACQ	2.0000	02/19/2015	428.13	338.56	89.57	0.00	LT	F
3.0000 O REILLY AUTOMOTIVE INC - 67103H107 - MINOR = 053100								
SOLD		03/02/2016	808.39					
ACQ	3.0000	12/17/2015	808.39	776.86	31.53	0.00	ST	C
1.0000 O REILLY AUTOMOTIVE INC - 67103H107 - MINOR = 053100								
SOLD		06/28/2016	269.40					
ACQ	1.0000	12/17/2015	269.40	258.96	10.44	0.00	ST	C

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LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
9.0000 PPG INDS INC COM - 693506107 - MINOR = 053100								
SOLD		03/02/2016	887.47					
ACQ	9.0000	03/04/2015	887.47	1062.77	-175.30	0.00	ST	C
2.0000 PPG INDS INC COM - 693506107 - MINOR = 053100								
SOLD		06/28/2016	198.38					
ACQ	2.0000	03/04/2015	198.38	236.17	-37.79	0.00	LT	F
36.0000 PPG INDS INC COM - 693506107 - MINOR = 053100								
SOLD		07/21/2016	3926.61					
ACQ	36.0000	03/04/2015	3926.61	4251.06	-324.45	0.00	LT	F
1.0000 PEPSICO INC COM - 713448108 - MINOR = SDE								
SOLD		06/28/2016	101.53					
ACQ	1.0000	06/03/2016	101.53	102.66	-1.13	0.00	ST	C
45.0000 PFIZER INC COM - 717081103								
SOLD		03/02/2016	1341.42					
ACQ	45.0000	10/27/2015	1341.42	1576.35	-234.93	0.00	ST	C
13.0000 PFIZER INC COM - 717081103								
SOLD		06/28/2016	443.94					
ACQ	13.0000	10/27/2015	443.94	455.39	-11.45	0.00	ST	C
18.0000 PRINCIPAL FINANCIAL GROUP COM - 74251V102 - MINOR = 3101								
SOLD		03/02/2016	706.66					
ACQ	18.0000	08/14/2015	706.66	1028.93	-322.27	0.00	ST	C
335.0000 PRINCIPAL FINANCIAL GROUP COM - 74251V102 - MINOR = 3101								
SOLD		06/24/2016	13891.38					
ACQ	51.0000	08/14/2015	2114.81	2915.30	-800.49	0.00	ST	C
	50.0000	08/12/2014	2073.34	2522.69	-449.35	0.00	LT	F
	234.0000	08/05/2014	9703.23	11585.18	-1881.95	0.00	LT	F
3.0000 PUBLIC STORAGE INC - 74460D109 - MINOR = 1								
SOLD		03/02/2016	766.87					
ACQ	3.0000	09/03/2015	766.87	608.43	158.44	0.00	ST	C
1.0000 PUBLIC STORAGE INC - 74460D109 - MINOR = 1								
SOLD		06/28/2016	252.60					
ACQ	1.0000	09/03/2015	252.60	202.81	49.79	0.00	ST	C
3.0000 RAYTHEON COMPANY - 755111507 - MINOR = 053100								
SOLD		03/02/2016	373.91					
ACQ	3.0000	02/01/2016	373.91	378.93	-5.02	0.00	ST	C
2.0000 RAYTHEON COMPANY - 755111507 - MINOR = 053100								
SOLD		06/28/2016	262.52					
ACQ	2.0000	02/01/2016	262.52	252.62	9.90	0.00	ST	C
1070.9050 RIDGEWORTH PDS SEIX FLTG RATE INCOME FD CL 1 - 76628T678 - MINOR = 050885								
SOLD		08/25/2016	9231.20					
ACQ	1070.9050	08/05/2016	9231.20	9199.07	32.13	0.00	ST	C
18.5110 ROWE T PRICE VALUE FD INC COM - 779578103 - MINOR = 050815								
SOLD		06/28/2016	569.95					
ACQ	18.5110	08/28/2013	569.95	587.17	-17.22	0.00	LT	F
211.0800 T ROWE PRICE REAL ESTATE FUND PD 122 - 779919109 - MINOR = 050856								
SOLD		03/02/2016	5735.84					
ACQ	211.0800	11/01/2012	5735.84	4377.80	1357.24	0.00	LT	F
154.0630 T ROWE PRICE REAL ESTATE FUND PD 122 - 779919109 - MINOR = 050856								
SOLD		06/28/2016	4463.21					
ACQ	154.0630	11/01/2012	4463.21	3195.27	1267.94	0.00	LT	F
2.0000 S&P GLOBAL INC - 78409V104 - MINOR = 053100								
SOLD		06/28/2016	200.33					
ACQ	2.0000	05/20/2016	200.33	216.92	-16.59	0.00	ST	C
337.0000 SPDR S&P INTERNATIONAL SMALL CAP - 78463X871 - MINOR = 053553								
SOLD		01/29/2016	8831.12					
ACQ	337.0000	11/30/2015	8831.12	9827.86	-996.74	0.00	ST	C
20.0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020								
SOLD		03/02/2016	1470.97					
ACQ	7.0000	03/31/2014	514.84	683.98	-169.14	0.00	LT	F
	13.0000	05/05/2015	956.13	1217.15	-261.02	0.00	ST	C
4.0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020								
SOLD		06/28/2016	304.15					
ACQ	4.0000	05/05/2015	304.15	374.51	-70.36	0.00	LT	F
66.0000 SECTOR SPDR TR SBI CONS STPLS - 81369Y308 - MINOR = 053509								
SOLD		03/02/2016	3387.12					
ACQ	66.0000	02/29/2016	3387.12	3385.89	1.23	0.00	ST	C
263.0000 SECTOR SPDR TR SBI CONS STPLS - 81369Y308 - MINOR = 053509								
SOLD		04/29/2016	13712.84					
ACQ	229.0000	02/29/2016	11940.08	11748.02	192.06	0.00	ST	C
	34.0000	01/29/2016	1772.76	1720.70	52.06	0.00	ST	C
34.0000 SECTOR SPDR TR SBI CONS STPLS - 81369Y308 - MINOR = 053509								
SOLD		06/28/2016	1798.56					
ACQ	34.0000	01/29/2016	1798.56	1720.70	77.86	0.00	ST	C
2.0000 SECTOR SPDR TR SBI CONS STPLS - 81369Y308 - MINOR = 053509								
SOLD		06/30/2016	108.45					
ACQ	2.0000	01/29/2016	108.45	101.22	7.23	0.00	ST	C
7.0000 SECTOR SPDR TR SBI CONS STPLS - 81369Y308 - MINOR = 053509								
SOLD		08/31/2016	379.94					
ACQ	7.0000	07/29/2016	379.94	381.96	-2.02	0.00	ST	C
406.0000 SECTOR SPDR TR SBI CYCL TRANS - 81369Y407 - MINOR = 053509								
SOLD		02/29/2016	30486.12					
ACQ	8.0000	11/30/2015	600.71	648.04	-47.33	0.00	ST-W	C
	390.0000	11/30/2015	29284.70	31591.87	-2307.17	0.00	ST	C
	8.0000	01/29/2016	600.71	586.35	14.36	0.00	ST	C

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TRUST ADMINISTRATOR: 1R3
INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
3.0000 SECTOR SPDR TR SBI CYCL TRANS - 81369Y407 - MINOR = 053509								
SOLD		06/28/2016	226.78					
ACQ	3.0000	04/29/2016	226.78	236.79	-10.01	0.00	ST	C
355.0000 SECTOR SPDR TR SBI CYCL TRANS - 81369Y407 - MINOR = 053509								
SOLD		06/30/2016	27578.64					
ACQ	355.0000	04/29/2016	27578.64	28019.79	-441.15	0.00	ST	C
485.0000 INDUSTRIAL SELECT SECTOR SPDR ETF LARGE CAP - 81369Y704 - MINOR = 053509								
SOLD		08/31/2016	28377.94					
ACQ	485.0000	07/29/2016	28377.94	28204.79	173.15	0.00	ST	C
2.0000 SECTOR SPDR TR SBI INT-TECH - 81369Y803 - MINOR = 053509								
SOLD		01/29/2016	81.47					
ACQ	2.0000	11/30/2015	81.47	88.07	-6.60	0.00	ST	C
709.0000 SECTOR SPDR TR SBI INT-TECH - 81369Y803 - MINOR = 053509								
SOLD		02/29/2016	29341.89					
ACQ	709.0000	11/30/2015	29341.89	31221.10	-1879.21	0.00	ST	C
635.0000 SECTOR SPDR TR SBI INT-TECH - 81369Y803 - MINOR = 053509								
SOLD		07/29/2016	29501.01					
ACQ	635.0000	06/30/2016	29501.01	27439.18	2061.83	0.00	ST	C
62.0000 SECTOR SPDR TR SHS BEN INT-UTILITIES - 81369Y886 - MINOR = 053509								
SOLD		03/02/2016	2846.98					
ACQ	62.0000	02/29/2016	2846.98	2888.80	-41.82	0.00	ST	C
293.0000 SECTOR SPDR TR SHS BEN INT-UTILITIES - 81369Y886 - MINOR = 053509								
SOLD		04/29/2016	14062.67					
ACQ	293.0000	02/29/2016	14062.67	13651.92	410.75	0.00	ST	C
65.0000 SECTOR SPDR TR SHS BEN INT-UTILITIES - 81369Y886 - MINOR = 053509								
SOLD		06/28/2016	3316.23					
ACQ	65.0000	02/29/2016	3316.23	3028.58	287.65	0.00	ST	C
2.0000 SIMON PROPERTY GROUP INC - 828606109 - MINOR = 053100								
SOLD		06/28/2016	422.85					
ACQ	2.0000	03/17/2016	422.85	402.60	20.25	0.00	ST	C
111.0000 SKYWORKS SOLUTIONS INC COM - 83088M102 - MINOR = 053100								
SOLD		03/02/2016	7750.68					
ACQ	111.0000	03/21/2014	7750.68	4232.19	3518.49	0.00	LT	F
2.0000 SNAP-ON INC COM - 833034101 - MINOR = 3101								
SOLD		03/02/2016	300.03					
ACQ	2.0000	09/11/2014	300.03	250.12	49.91	0.00	LT	F
60.0000 SNAP-ON INC COM - 833034101 - MINOR = 3101								
SOLD		03/17/2016	9340.29					
ACQ	60.0000	09/11/2014	9340.29	7503.49	1836.80	0.00	LT	F
122.0000 STANLEY BLACK & DECKER INC - 854502101 - MINOR = 053100								
SOLD		02/17/2016	11413.42					
ACQ	27.0000	07/20/2015	2525.92	2913.05	-387.13	0.00	ST	C
95.0000		06/10/2015	8887.50	10027.56	-1140.06	0.00	ST	C
8.0000 SUNTRUST BANKS INC COM - 867914103 - MINOR = 3101								
SOLD		06/28/2016	308.39					
ACQ	8.0000	06/24/2016	308.39	329.16	-20.77	0.00	ST	C
75.9610 TEMPLETON GLOBAL BOND FUND AD FUND - 880208400 - MINOR = 050866								
SOLD		03/02/2016	853.04					
ACQ	75.9610	03/12/2013	853.04	1028.51	-175.47	0.00	LT	F
CHANGED 02/28/17 CLS								
1835.1520 TEMPLETON GLOBAL BOND FUND AD FUND - 880208400 - MINOR = 050866								
SOLD		08/25/2016	20553.70					
ACQ	27.6260	03/12/2013	309.41	374.06	-64.65	0.00	LT	F
1807.5260		11/01/2012	20244.29	24365.45	-4121.16	0.00	LT	F
CHANGED 02/28/17 CLS								
17.0000 TEXAS INSTRS INC COM - 882508104 - MINOR = 31001								
SOLD		03/02/2016	922.57					
ACQ	17.0000	11/11/2015	922.57	987.52	-64.95	0.00	ST	C
5.0000 TEXAS INSTRS INC COM - 882508104 - MINOR = 31001								
SOLD		06/28/2016	298.14					
ACQ	5.0000	11/11/2015	298.14	290.45	7.69	0.00	ST	C
5.0000 THERMO ELECTRON CORP COM - 883556102 - MINOR = 1								
SOLD		03/02/2016	684.58					
ACQ	5.0000	08/20/2015	684.58	664.42	20.16	0.00	ST	C
2.0000 THERMO ELECTRON CORP COM - 883556102 - MINOR = 1								
SOLD		06/28/2016	285.33					
ACQ	2.0000	08/20/2015	285.33	265.77	19.56	0.00	ST	C
8.0000 TOTAL FINA S A - 89151E109 - MINOR = 1								
SOLD		06/28/2016	367.27					
ACQ	8.0000	08/12/2015	367.27	403.28	-36.01	0.00	ST	C
6.0000 TRACTOR SUPPLY CO COM - 892356106 - MINOR = 053100								
SOLD		03/02/2016	515.69					
ACQ	6.0000	04/22/2015	515.69	539.01	-23.32	0.00	ST	C
2.0000 TRACTOR SUPPLY CO COM - 892356106 - MINOR = 053100								
SOLD		06/28/2016	187.10					
ACQ	2.0000	04/22/2015	187.10	179.67	7.43	0.00	LT	F
126.0000 TRACTOR SUPPLY CO COM - 892356106 - MINOR = 053100								
SOLD		08/05/2016	10927.02					
ACQ	126.0000	04/22/2015	10927.02	11319.13	-392.11	0.00	LT	F
8.0000 THE TRAVELERS COS INC - 89417E109 - MINOR = 053100								
SOLD		03/02/2016	877.90					
ACQ	8.0000	08/14/2015	877.90	856.61	21.29	0.00	ST	C
158.0000 THE TRAVELERS COS INC - 89417E109 - MINOR = 053100								
SOLD		05/20/2016	17582.80					
ACQ	30.0000	08/14/2015	3338.51	3212.29	126.22	0.00	ST	C
128.0000		05/02/2014	14244.29	11685.71	2558.58	0.00	LT	F

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INVESTMENT OFFICER: 604

LEGEND: F - FEDERAL S - STATE I - INHERITED
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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
3.0000 TYSON FDS INC COM - 902494103 - MINOR = 053100								
SOLD		06/28/2016	190.81					
ACQ	3.0000	03/14/2016	190.81	202.01	-11.20	0.00	ST	C
3.0000 UNIVERSAL HEALTH SERVICES INC CLASS B - 913903100 - MINOR = 053100								
SOLD		03/02/2016	342.56					
ACQ	3.0000	08/24/2015	342.56	413.65	-71.09	0.00	ST	C
1.0000 UNIVERSAL HEALTH SERVICES INC CLASS B - 913903100 - MINOR = 053100								
SOLD		06/28/2016	131.21					
ACQ	1.0000	08/24/2015	131.21	137.88	-6.67	0.00	ST	C
783.6610 VANGUARD TOTL BD MKT IDX-ADM - 921937603 - MINOR = 050864								
SOLD		03/02/2016	8447.87					
ACQ	783.6610	10/23/2014	8447.87	8534.07	-86.20	0.00	LT	F
442.6300 VANGUARD TOTL BD MKT IDX-ADM - 921937603 - MINOR = 050864								
SOLD		06/28/2016	4908.77					
ACQ	442.6300	10/23/2014	4908.77	4820.24	88.53	0.00	LT	F
3.0000 VANTIV INC CLASS A - 92210H105 - MINOR = 053100								
SOLD		06/28/2016	158.94					
ACQ	3.0000	05/06/2016	158.94	164.20	-5.26	0.00	ST	C
28.0000 VERIZON COMMUNICATIONS COM - 92343V104								
SOLD		03/02/2016	1446.17					
ACQ	28.0000	05/15/2013	1446.17	1498.46	-52.29	0.00	LT	F
8.0000 VERIZON COMMUNICATIONS COM - 92343V104								
SOLD		06/28/2016	436.56					
ACQ	8.0000	05/15/2013	436.56	428.13	8.43	0.00	LT	F
9.0000 VISA INC CLASS A SHARES - 92826C839 - MINOR = 053100								
SOLD		01/02/2016	665.17					
ACQ	9.0000	02/11/2013	665.17	353.10	312.07	0.00	LT	F
3.0000 VISA INC CLASS A SHARES - 92826C839 - MINOR = 053100								
SOLD		06/28/2016	224.18					
ACQ	3.0000	02/11/2013	224.18	117.70	106.48	0.00	LT	F
24.0000 WEC ENERGY GROUP INC - 92939U106 - MINOR = 053100								
SOLD		03/02/2016	1340.85					
ACQ	24.0000	06/23/2009	1340.85	484.27	856.58	0.00	LT	Y F
6.0000 WEC ENERGY GROUP INC - 92939U106 - MINOR = 053100								
SOLD		06/28/2016	384.23					
ACQ	6.0000	06/23/2009	384.23	121.07	263.16	0.00	LT	Y F
349.5710 WASATCH INTL OPPORTUNIT-INST - 936793686 - MINOR = 050854								
SOLD		06/28/2016	1003.27					
ACQ	349.5710	05/26/2016	1003.27	1006.76	-3.49	0.00	ST	C
7457.7620 WASATCH INTL OPPORTUNIT-INST - 936793686 - MINOR = 050854								
SOLD		11/18/2016	21851.24					
ACQ	7457.7620	05/26/2016	21851.24	21478.36	372.88	0.00	ST	C
31.0000 WELLS FARGO & CO NEW COM - 949746101								
SOLD		03/02/2016	1522.07					
ACQ	31.0000	08/13/2009	1522.07	858.85	663.22	0.00	LT	Y F
8.0000 WELLS FARGO & CO NEW COM - 949746101								
SOLD		06/28/2016	364.47					
ACQ	8.0000	08/13/2009	364.47	221.64	142.83	0.00	LT	Y F
149.0000 WELLS FARGO & CO NEW COM - 949746101								
SOLD		09/07/2016	7431.78					
ACQ	138.0000	08/13/2009	6883.11	3823.29	3058.84	0.00	LT	Y F
	11.0000	06/23/2009	548.65	254.54	294.11	0.00	LT	Y F
151.8170 WELLS FARGO SPECIAL US MID CAP VALUE I - 949915482 - MINOR = 050828								
SOLD		06/28/2016	4753.39					
ACQ	151.8170	05/26/2016	4753.39	4876.36	-122.97	0.00	ST	C
15.0000 WYNDHAM WORLDWIDE CORP - 98310W108 - MINOR = 053100								
SOLD		03/02/2016	1126.03					
ACQ	15.0000	12/17/2015	1126.03	1109.80	16.23	0.00	ST	C
4.0000 WYNDHAM WORLDWIDE CORP - 98310W108 - MINOR = 053100								
SOLD		06/28/2016	266.75					
ACQ	4.0000	12/17/2015	266.75	295.95	-29.20	0.00	ST	C
6.0000 ACCENTURE PLC CLASS A SEDOL B4BNMY3 - G1151C101 - MINOR = 053703								
SOLD		03/02/2016	612.23					
ACQ	6.0000	07/13/2015	612.23	600.91	11.32	0.00	ST	C
3.0000 ACCENTURE PLC CLASS A SEDOL B4BNMY3 - G1151C101 - MINOR = 053703								
SOLD		06/28/2016	328.76					
ACQ	3.0000	07/13/2015	328.76	300.46	28.30	0.00	ST	C
3.0000 INGERSOLL-RAND PLC SEDOL: B633030 - G47791101 - MINOR = 053703								
SOLD		06/28/2016	178.28					
ACQ	3.0000	05/26/2016	178.28	200.23	-21.95	0.00	ST	C
385.0000 INVESCO LTD ISIN BMG491BT1088 SEDOL B28XP76 - G491BT108 - MINOR = 053703								
SOLD		02/05/2016	10615.25					
ACQ	93.0000	09/12/2014	2564.20	3797.83	-1233.63	0.00	LT	F
	287.0000	05/08/2013	7913.19	9480.59	-1567.40	0.00	LT	F
	5.0000	08/28/2013	137.86	153.05	-15.19	0.00	LT	F
17.0000 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182 - H84989104 - MINOR = 053703								
SOLD		03/02/2016	1003.15					
ACQ	17.0000	06/25/2014	1003.15	1036.83	-33.68	0.00	LT	F
5.0000 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182 - H84989104 - MINOR = 053703								
SOLD		06/28/2016	274.49					
ACQ	5.0000	06/25/2014	274.49	304.95	-30.46	0.00	LT	F
213.0000 TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN CH0102993182 - H84989104 - MINOR = 053703								
SOLD		07/14/2016	12676.21					
ACQ	28.0000	06/25/2014	1666.36	1707.72	-41.36	0.00	LT	F
	185.0000	01/30/2014	11009.85	10617.13	392.72	0.00	LT	F

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PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM NC
10.0000 CHECK POINT SOFTWARE COM - M22465104 - MINOR = 053703							
SOLD		03/02/2016	843.38				
ACQ	10.0000	08/29/2014	843.38	701.19	142.19	0.00	LT F
3.0000 CHECK POINT SOFTWARE COM - M22465104 - MINOR = 053703							
SOLD		08/28/2016	231.20				
ACQ	3.0000	08/29/2014	231.20	210.36	20.84	0.00	LT F
121.0000 CHECK POINT SOFTWARE COM - M22465104 - MINOR = 053703							
SOLD		08/22/2016	9135.56				
ACQ	121.0000	08/29/2014	9135.56	8484.38	651.18	0.00	LT F
112.0000 NXP SEMICONDUCTORS ISIN NL0009538784 SEDOL B505FN7 - N6596X109 - MINOR = 053703							
SOLD		01/01/2016	9601.58				
ACQ	112.0000	11/26/2013	9601.58	4778.38	4823.20	0.00	LT F
CHANGED 02/23/16 CHU							
TOTALS			958072.60	967638.76			

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0.00	0.00	14392.65	0.00	0.00	0.00*
COVERED FROM ABOVE	-15419.79	0.00	-8491.69	-47.33	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	464.93			0.00
	-15419.79	0.00	6365.89	-47.33	0.00	0.00
STATE						
NONCOVERED FROM ABOVE	0.00	0.00	14392.65	0.00	0.00	0.00*
COVERED FROM ABOVE	-15419.79	0.00	-8491.69	-47.33	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	464.93			0.00
	-15419.79	0.00	6365.89	-47.33	0.00	0.00
* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE						

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
MARYLAND	N/A	N/A