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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
S & R FOUNDATION

A Employer identification number
52-2284478

Number and street (or P O box number if mail is not delivered to street address)
3400 PROSPECT STREET NW

Room/suite

B Telephone number (see instructions)
(301) 941-8111

City or town, state or province, country, and ZIP or foreign postal code
WASHINGTON, DC 20007

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 40,408,741

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,900,347			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	459,326	459,326	459,326	
	4	Dividends and interest from securities				
	5a	Gross rents	1,200	1,200	1,200	
	b	Net rental income or (loss) 1,200				
	6a	Net gain or (loss) from sale of assets not on line 10	-159,661			
	b	Gross sales price for all assets on line 6a 3,957,440				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	345,911	0	345,911	
	12	**Total.** Add lines 1 through 11	2,547,123	460,526	806,437	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	138,206	0	0	0
	14	Other employee salaries and wages	641,455	0	0	779,661
	15	Pension plans, employee benefits	115,630	0	0	115,630
	16a	Legal fees (attach schedule)	44,020	0	0	44,020
	b	Accounting fees (attach schedule)	29,225	14,613	0	29,225
	c	Other professional fees (attach schedule)	853,554	91,507	0	853,554
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion	165,873	0	165,873	
	20	Occupancy				
	21	Travel, conferences, and meetings	118,173	0	0	118,173
	22	Printing and publications	26,597	0	0	26,597
	23	Other expenses (attach schedule)	973,493	1,200	87	972,206
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,106,226	107,320	165,960	2,939,066
	25	Contributions, gifts, grants paid	45,084,257			45,084,257
	26	**Total expenses and disbursements.** Add lines 24 and 25	48,190,483	107,320	165,960	48,023,323
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-45,643,360			
	b	**Net investment income** (if negative, enter -0-)		353,206		
	c	**Adjusted net income**(if negative, enter -0-)			640,477	

Part II **Balance Sheets**		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year **(a)** Book Value	End of year **(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing	3,649,632	1,107,843	1,107,843
	2	Savings and temporary cash investments	184,477	184,532	184,532
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶	510,000		
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 104,500			
		Less allowance for doubtful accounts ▶ 0	0	104,500	104,500
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	18,239	31,839	31,839
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	107,893,842	10,204,157	10,570,812
	c	Investments—corporate bonds (attach schedule)	0	11,134,974	11,012,557
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 17,143,651			
		Less accumulated depreciation (attach schedule) ▶ 268,538	16,628,473	16,875,113	16,875,113
	15	Other assets (describe ▶)	1,860,927	521,545	521,545
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	130,745,590	40,164,503	40,408,741
Liabilities	17	Accounts payable and accrued expenses	101,074	260,350	
	18	Grants payable	181,933	156,262	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	283,007	416,612	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	130,222,678	39,497,986	
	25	Temporarily restricted	239,905	249,905	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	130,462,583	39,747,891	
	31	**Total liabilities and net assets/fund balances** (see instructions)	130,745,590	40,164,503	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	130,462,583
2	Enter amount from Part I, line 27a	2	-45,643,360
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	84,819,223
5	Decreases not included in line 2 (itemize) ▶	5	45,071,332
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	39,747,891

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-159,661
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	18,817,022	62,368,372	0 301708
2014	2,706,496	40,594,642	0 066671
2013	1,163,729	18,174,122	0 064032
2012	933,607	8,209,171	0 113727
2011	156,449	13,875,730	0 011275

2	**Total** of line 1, column (d)	2	0 557413
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 111483
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	46,394,331
5	Multiply line 4 by line 3	5	5,172,179
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,532
7	Add lines 5 and 6	7	5,175,711
8	Enter qualifying distributions from Part XII, line 4 , ...	8	48,428,249

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	3,532
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,532
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,532
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 3,532		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	3,532
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	105
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	105
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DC, MD, NY, VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶WWW SANDRFOUNDATION ORG			
14	The books are in care of ▶THE FOUNDATION Telephone no ▶(202) 298-6007			
	Located at ▶3400 PROSPECT STREET NW WASHINGTON DC ZIP+4 ▶20007			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☑ Yes ☐ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SACHIKO KUNO 3400 PROSPECT STREET NW WASHINGTON, DC 20007	DIRECTOR & PRESIDENT 40 00	0	0	0
DOUGLAS CHARNAS 2001 K STREET NW SUITE 400 WASHINGTON, DC 20006	DIRECTOR & SECRETARY/TREAS 6 00	0	0	0
MARIANNE F CHACONAS 3400 PROSPECT STREET NW WASHINGTON, DC 20007	DIRECTOR & VICE PRESIDENT 5 00	0	0	0
KATHERINE GOODALL 3400 PROSPECT STREET NW WASHINGTON, DC 20007	CHEIF OPERATING OFFICER 40 00	138,206	3,706	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
RYAN ROSS 3400 PROSPECT STREET NW WASHINGTON, DC 20007	HALCYON PROGRAM DIRE 40 00	81,311	2,345	0
JEREMY E NEY 3400 PROSPECT STREET NW WASHINGTON, DC 20007	ARTS PROGRAM MANAGER 40 00	77,421	2,250	0
ROBERT D CARSON 3400 PROSPECT STREET NW WASHINGTON, DC 20007	DIRECTOR OF EVENTS 40 00	76,892	2,388	0
KARINA BURGER 3400 PROSPECT STREET NW WASHINGTON, DC 20007	OFFICE MANAGER 40 00	69,616	2,010	0
DANIELLE REED 3400 PROSPECT STREET NW WASHINGTON, DC 20007	SENIOR PROGRAM DIREC 40 00	58,597	1,593	0
Total number of other employees paid over $50,000 ▶				1

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
SAGE COMMUNICATIONS 1651 OLD MEADOW RD STE 500 MCLEAN, VA 22102	COMMUNICATIONS	187,685
OCCASIONS CATERERS 655 TAYLOR ST NE WASHINGTON, DC 20017	CATERING	133,913
INT'L INSTITUTE OF GLOBAL RESILIENCE 4800 HAMPDEN LANE 200 BETHESDA, MD 20814	CONSULTING SERVICES	87,100
PIANO CRAFT 211 PERRY PKWY 2 GAITHERSBURG, MD 20877	PURCHASE	54,545
MCGUIREWOODS LLP 2001 K STREET NW SUITE 400 WASHINGTON, DC 20006	LEGAL SERVICES	50,318
Total number of others receiving over $50,000 for professional services. . . . . ▶		2

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 FINANCIAL SUPPORT FOR INDIVIDUALS AND INSTITUTIONS TO DEVELOP YOUNG ARTISTS AND MUSICIANS	46,462,337
2 HALCYON INCUBATOR SUPPORTS SOCIAL ENTREPRENEURS THROUGH FELLOWSHIPS DESIGNED TO TRANSFORM IDEAS INTO VENTURES TO ACHIEVE MEANINGFUL SOCIAL OUTCOMES	1,992,199
3 INTERNATIONAL PROGRAMS DESIGNED TO CONNECT UNIVERSITY STUDENTS WITH INTERNATIONAL ORGANIZATIONS	373,184
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	44,537,602
b	Average of monthly cash balances		1b	2,563,242
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	47,100,844
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	47,100,844
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	706,513
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	46,394,331
6	**Minimum investment return.** Enter 5% of line 5		6	2,319,717

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	
2a	Tax on investment income for 2016 from Part VI, line 5	2a		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	
3	Distributable amount before adjustments Subtract line 2c from line 1		3	
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	48,023,323
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	404,926
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	48,428,249
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,532
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	48,424,717

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ ________				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	640,477	375,748	190,848	82,495	1,289,568
b 85% of line 2a	544,405	319,386	162,221	70,121	1,096,133
c Qualifying distributions from Part XII, line 4 for each year listed	48,428,249	19,010,832	2,706,496	1,163,729	71,309,306
d Amounts included in line 2c not used directly for active conduct of exempt activities	0	0	0	0	0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	48,428,249	19,010,832	2,706,496	1,163,729	71,309,306
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	1,546,478	2,078,946	1,353,155	605,804	5,584,383
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SACHIKO KUNO

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

See Additional Data Table

b The form in which applications should be submitted and information and materials they should include

See Additional Data Table

c Any submission deadlines

See Additional Data Table

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Additional Data Table

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF CHICAGO DEPARTMENT OF PATHOLOGY 5841 S MARYLAND AVE MC 1089 ROOM P310 CHICAGO, IL 60637			UENO AWARD WINNER GIFT FUNDS	50,000
SACHIKO KUNO FOUNDATION 3400-3410 PROSPECT STREET NW WASHINGTON, DC 20007		PRIVATE FOUNDATION	ENDOWMENT OF THE KUNO FOUNDATION TO SUPPORT ITS CHARITABLE, LITERARY, SCIENTIFIC, AND EDUCATIONAL PURPOSES WITHIN THE MEANING OF TREASURY REGULATION SECTION 53 4945-5(C)(2)	21,564,358
RYUJI UENO FOUNDATION 4521 WETHERILL ROAD BETHESDA, MD 20816		PRIVATE FOUNDATION	ENDOWMENT OF THE UENO FOUNDATION TO SUPPORT ITS CHARITABLE, LITERARY, SCIENTIFIC, AND EDUCATIONAL PURPOSES WITHIN THE MEANING OF TREASURY REGULATION SECTION 53 4945-5(C)(2)	23,469,899
Total . . . . ▶ **3a**				45,084,257
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	459,326	
4 Dividends and interest from securities. . . .					
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					1,200
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-159,661	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ROYALTIES			15		402
b CONCERT REVENUE					318,513
c MISCELLANEOUS INCOME					26,996
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		299,665	347,111

13 **Total.** Add line 12, columns (b), (d), and (e). 13 646,776

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
6	THIS INCOME IS DERIVED FROM AND USED TO SUPPORT EXPENSES RELATED TO MAINTAINING THE ORGANIZATION'S MUSICAL PROGRAM
11	THIS INCOME IS USED TO SUPPORT MUSICAL RESEARCH STUDENTS AND INSTITUTIONS AND TO SUPPORT THE ARTIST IN RESIDENCE PROGRAM WHICH PROMOTES IMPROVING RELATIONSHIPS BETWEEN JAPAN AND THE UNITED STATES

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-06-22	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
RICHARD E MORRIS		2017-06-22		P00190795
Firm's name ▶ COUNCILOR BUCHANAN & MITCHELL PC				Firm's EIN ▶52-1711839
Firm's address ▶ 7910 WOODMONT AVENUE SUITE 500 BETHESDA, MD 20814				Phone no (301) 986-0600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN 0001		2015-01-01	2016-12-31
JP MORGAN 0002		2015-01-01	2016-12-31
GS ADVISORY		2015-01-01	2016-12-31
GS GOV/CORP		2015-01-01	2016-12-31
GS SMALL CAP CORE		2015-01-01	2016-12-31
GS DYNAMIC EQ		2015-01-01	2016-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,681,942		1,759,092	-77,150
488,123		481,146	6,977
726,996		800,336	-73,340
955,547		946,774	8,773
44,307		49,658	-5,351
60,525		80,095	-19,570

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-77,150
			6,977
			-73,340
			8,773
			-5,351
			-19,570

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

SR FOUNDATION CO DR SACHIKO KUNO
1623 28TH STREET NW
WASHINGTON, DC 20007
(202) 298-6007

b The form in which applications should be submitted and information and materials they should include

ENTRY MUST BE SUBMITTED IN WRITING THE FORM IS AVAILABLE ON THE WEBSITE AND SHOULD INCLUDE ENTRANT'S BIOGRAPHY AND PICTURE ESSAY OF 250-500 WORDS DETAILING HOW THE AWARDEE WOULD ADVANCE THE MISSION OF THE FOUNDATION SAMPLE PHOTOGRAPH OR RECORDING OF THE ENTRANT'S WORK 3 LETTERS OF RECOMMENDATION FROM RECOGNIZED AUTHORITIES OR PROFESSIONALS IN THE ENTRANT'S DISCIPLINE

c Any submission deadlines

OCTOBER 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

MUST BE AT LEAST 18 YEARS OLD AND NOT ENROLLED OR FINISHING WORK IN A DEGREE-GRANTING PROGRAM APPLICANT MUST RESIDE IN THE UNITED STATES OR JAPAN FOR 1 OF THE 5 PREVIOUS YEARS BEFORE APPLYING AND MUST NOT HAVE RECEIVED AN ADVANCED LEVEL OF RECOGNITION FOR HIS WORK IN THE UNITED STATES OR JAPAN

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

APS BUSINESS OFFICE
9650 ROCKVILLE PIKE
BETHESDA, MD 20814
(301) 634-7164

b The form in which applications should be submitted and information and materials they should include

APPLICATION MUST INCLUDE PLAN OF RESEARCH THE CURRICULUM VITAE INCLUDING PUBLICATION LIST AT LEAST 2 LETTERS OF RECOMMENDATION FROM RECOGNIZED AUTHORITIES OR PROFESSIONALS IN THE SCIENTIST'S FIELD OF RESEARCH ONE OF THOSE LETTERS SHOULD BE FROM THE INSTITUTION TO BE FUNDED EXPLANATION OF HOW THE GRANT WILL CONTRIBUTE TO DEVELOP THE RESEARCH PROJECT AND HOW IT WILL CONTRIBUTE TO THE FIELDS OF MEDICINE, PHARMACY AND PUBLIC HEALTH DEMONSTRATION OF FINANCIAL NEED

c Any submission deadlines

NOVEMBER 13

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

APPLICANT MUST BE 18 YEARS OF AGE OR OLDER BE AFFILIATED WITH OR EMPLOYED BY AN EDUCATIONAL OR CHARITABLE INSTITUTION IN THE U S ON AT LEAST A PART-TIME BASIS RESIDE IN THE US WHEN THE GRANT IS RECEIVED DEMONSTRATE THE SCIENTIFIC ABILITY OF THE SCIENTIST (OR SCIENTISTS) WHO WILL CONDUCT THE RESEARCH AS EVIDENCED IN THE PLAN OF THE RESEARCH, CV, PUBLICATIONS AND LETTERS OF RECOMMENDATION

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

INTERNATIONAL INSTITUTE FOR GLOBAL
1623 28TH STREET NW
WASHINGTON, DC 20007
(202) 298-6007

b The form in which applications should be submitted and information and materials they should include

APPLICANT MUST COMPLETE THE ONLINE APPLICATION FORM AND SUBMIT IT WITH ALL REQUIRED DOCUMENTS, WHICH ARE EXPECTED TO INCLUDE A) APPLICANTS RESUME AND BIOGRAPHY, INCLUDING A PUBLICATION LIST B) A MINIMUM OF TWO (2) LETTERS OF RECOMMENDATION FROM RECOGNIZED AUTHORITIES OR PROFESSIONALS IN THE APPLICANT'S DISCIPLINE C) ONE (1) TO THREE (3) AUTHENTIC WORK SAMPLES PRODUCED BY THE APPLICANT, WHICH MAY INCLUDE A RESEARCH PAPER, PUBLICATION OR ARTICLE D) A STATEMENT OF PURPOSE 250-500 WORD STATEMENT DESCRIBING A PROPOSED RESEARCH THEME

c Any submission deadlines

NOT AT THIS TIME

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

1) APPLICANT MUST BE AT LEAST TWENTY ONE (21) YEARS OF AGE 2) APPLICANT MUST HOLD PH D (OR COMPARABLE) DEGREE, BE PH D CANDIDATE, OR POSSESS TEN YEARS OR MORE OF EQUIVALENT PROFESSIONAL EXPERIENCE IN THE EMERGENCY MANAGEMENT FIELD 3) APPLICANT'S FIELD OF RESEARCH MUST BE UNIQUE AND INNOVATIVE 4) APPLICANT MUST HAVE DOCUMENTED PROOF OF PRODUCING UNIQUE AND OUTSTANDING WORK PRODUCTS RELATED TO EMERGENCY MANAGEMENT 5) APPLICANT MUST BE ABLE TO DEMONSTRATE EVIDENCE OF GOOD HEALTH (PHYSICAL EXAMINATION) EVIDENCE OF THIS WILL BE A LETTER (OBTAINED AT THE APPLICANT'S EXPENSE) SIGNED AND DATED BY THE APPLICANT'S DOCTOR WITHIN THE PAST SIX MONTHS STATING THAT THE DOCTOR HAS EXAMINED THE APPLICANT AND THAT THE APPLICANT IS IN SUFFICIENTLY GOOD HEALTH TO TRAVEL TO & FROM WASHINGTON DC BY AIR, TRAVEL TO AND FROM MEETINGS AND SEMINARS, CONDUCT ACADEMIC RESEARCH, WORK AT A COMPUTER, AND PERFORM OTHER TASKS OF A SEDENTARY NATURE 6) APPLICANT MUST BE PROFICIENT IN ENGLISH COMMUNICATION

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

LISA OBERSTOETTER
1623 28TH STREET NW
WASHINGTON, DC 20007
(202) 298-6007

b The form in which applications should be submitted and information and materials they should include

APPLICATIONS ARE SUBMITTED ONLINE AND REQUIRES APPLICANTS TO SUBMIT A RESUME AND DESCRIBE THE SOCIAL VENTURE THAT WOULD BE CREATED IF SELECTED FOR THE INCUBATOR PROGRAM THE APPLICANT MUST IDENTIFY POTENTIAL BENEFICAIRES OF THE VENTURE AND THE SOCIAL ISSUES THAT THE VENTURE WILL ADDRESS ADDITIONALLY, THE APPLICANT MUST EXPLAIN HOW THE SOCIAL IMPACT OF THE VENTURE WILL BE MEASURED, PLANS FOR GENERATING REVENUE, PROVIDE A COMPETITIVE ANALYSIS, REASONS FOR SELECTING THE VENTURE, AND IDENTIFY THE MOST SIGNIFICANT CHALLENGES THAT WOULD BE FACED

c Any submission deadlines

APPLICATIONS ARE ACCEPTED TWICE A YEAR AND ARE DUE IN MAY AND OCTOBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

APPLICANT MUST BE 21 YEARS OF AGE BY THE START OF THE INCUBATOR PROGRAM THE VENTURE MUST BE THE ORIGINAL IDEA OF THE APPLICANT THE VENTURE MUST BE IN OPERATION LESS THAN TWO YEARS, AND MUST BE INDEPENDENT AND AUTONOMOUS APPLICANTS MUST COMMIT TO A MINIMUM OF 40 HOURS A WEEK FOR THE FIRST EIGHT MONTHS OF THE FELLOWSHIP AND RESIGN FROM CURRENT EMPLOYMENT AND NOT BE ENROLLED IN A COLLEGE OR UNIVERSITY ALL APLLICANTS MUST HAVE LEGAL STATUS TO WORK IN THE UNITED STATES

TY 2016 Accounting Fees Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	29,225	14,613	0	29,225

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Amortization Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
LOGO DESIGN	2001-01-01	5,954	5,954	180 000000000000		0	0	5,954
TRADE MARK	2014-05-14	1,300	145	180 000000000000	87	0	87	232

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Depreciation Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
WEBSITE	2011-09-16	28,800	28,800	SL	3 000000000000	0	0	0	
LIGHTING	2012-10-03	5,088	5,088	SL	3 000000000000	0	0	0	
COMPUTER FOR KATE	2013-06-13	1,858	961	SL	5 000000000000	372	0	372	
COMPUTER FOR MAKI AND LEO	2013-06-13	3,714	1,919	SL	5 000000000000	743	0	743	
LAPTOP FOR KANAKO SAEKI	2013-11-07	1,772	767	SL	5 000000000000	354	0	354	
COMPUTER SHOWCASE	2013-12-10	1,693	706	SL	5 000000000000	339	0	339	
MICROPHONE	2013-07-09	1,336	668	SL	5 000000000000	267	0	267	
BALDWIN D 9' CONCERT GRAND	2013-03-11	50,000	28,333	SL	5 000000000000	9,167	0	9,167	
DOUBLE BASS 2/2	2013-07-29	8,750	4,229	SL	5 000000000000	1,604	0	1,604	
MARIMBA-YM6100C	2013-03-06	16,595	9,404	SL	5 000000000000	3,042	0	3,042	
DOUBLE BASS 1/2	2013-04-16	8,750	4,667	SL	5 000000000000	1,604	0	1,604	
OFFICE FURNITURE	2014-06-18	84,460	18,099	SL	7 000000000000	12,066	0	12,066	
FURNITURE FOR UPSTAIRS OFFICES	2015-03-06	12,683	1,510	SL	7 000000000000	1,812	0	1,812	
DESK FOR KYOTO UNIVERSITY INTERNS	2015-07-22	448	27	SL	7 000000000000	64	0	64	
FILLMORE BUILDING	2015-06-09	4,524,372	67,672	SL	39 000000000000	116,010	0	116,010	
FILLMORE_CARPET	2015-09-08	3,800	46	SL	27 500000000000	138	0	138	
ITALIAN VIOLIN C 1785 #55960 DONATED BY DR UENO	2015-01-31	16,190	2,968	SL	5 000000000000	2,968	0	2,968	
CELLO CARLO DE MARCH, VENICE, ITALY 1858 # C1501 DONATED BY DR UENO	2015-01-31	22,000	4,033	SL	5 000000000000	4,033	0	4,033	
ELECRTRIC VIOLIN STING RAY SVX4 - RED FROM DR UENO	2015-03-22	625	94	SL	5 000000000000	115	0	115	
ELECRTRIC VIOLIN STING RAY SVX4 - BLACK FROM DR UENO	2015-03-22	668	100	SL	5 000000000000	122	0	122	

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TA 100 FROM DR UENO	2015-03-22	999	150	SL	5 000000000000	183	0	183	
4/4 VIOLIN JT LAMY MIRECOURT C 1910 FROM DR UENO	2015-09-04	5,800	387	SL	5 000000000000	1,063	0	1,063	
FILLMORE LAND	2015-06-09	12,001,208		L		0	0	0	
TECH_COMPUTERS	2015-10-09	500	42	SL	3 000000000000	167	0	167	
TECH_FURNITURE	2015-10-09	7,295	261	SL	7 000000000000	1,042	0	1,042	
RETAINER FOR ENGINEERING & SURVEY SERVICES AT FILLMORE	2017-01-01	4,000		SL	27 500000000000	0	0	0	
PROFESSIONAL SERVICES FOR JUNE	2017-01-01	21,314		SL	27 500000000000	0	0	0	
INITIAL PAYMENT AS PER PROPOSAL NO 16059-030	2017-01-01	5,000		SL	27 500000000000	0	0	0	
FILLMORE PROJECT	2017-01-01	54,501		SL	27 500000000000	0	0	0	
GEOTECHNICAL ENGINEERING INVESTIGATION	2017-01-01	3,368		SL	27 500000000000	0	0	0	
BOUNDARY/ TOPOGRAPHIC/ TREE/ UTILITY SURVEYS	2017-01-01	8,956		SL	27 500000000000	0	0	0	
FILLMORE RENOVATION - AUGUST	2017-01-01	22,558		SL	27 500000000000	0	0	0	
GEOTECHNICAL ENGINEERING INVESTIGATION	2017-01-01	13,681		SL	27 500000000000	0	0	0	
LANDSCAPE DESIGN FOR FILLMORE	2017-01-01	15,483		SL	27 500000000000	0	0	0	
LANDSCAPE DESIGN FOR FILLMORE	2017-01-01	4,652		SL	27 500000000000	0	0	0	
SCHEMATIC DESIGN	2017-01-01	18,897		SL	27 500000000000	0	0	0	
ARCHITECT SERVICES FOR SEPTEMBER	2017-01-01	60,071		SL	27 500000000000	0	0	0	
FILLMORE PERMIT PROCESSING	2017-01-01	1,363		SL	27 500000000000	0	0	0	
LANDSCAPE ARCHITECT SERVICE	2017-01-01	17,770		SL	27 500000000000	0	0	0	
GEOTECHNICAL ENGENEERING INVESTIGATION	2017-01-01	176		SL	27 500000000000	0	0	0	

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
ARCHITECT FEES FOR OCTOBER	2017-01-01	29,225		SL	27 500000000000	0	0	0	
DESIGNI DEVELOPMENT	2017-01-01	5,305		SL	27 500000000000	0	0	0	
SERVICES AS PER NOVE 27 2016	2017-01-01	23,708		SL	27 500000000000	0	0	0	
DESIGN DEVELOPMENT	2017-01-01	2,126		SL	27 500000000000	0	0	0	
ARCHITECT FEES FOR DECEMBER	2017-01-01	92,772		SL	27 500000000000	0	0	0	
STEINWAY B GRAND PIANO - SERIAL #532750	2016-04-05	52,900		SL	5 000000000000	7,935	0	7,935	
COMPUTER FOR EA	2016-02-25	1,799		SL	5 000000000000	300	0	300	
COMPUTER FOR INCUBATOR	2016-08-21	2,380		SL	5 000000000000	159	0	159	
COMPUTER FOR ALEX	2016-09-07	1,884		SL	5 000000000000	126	0	126	
FRIDGE AND MICROWAVE	2016-06-13	666		SL	5 000000000000	78	0	78	
ADJ TO TIE TO FS	2017-01-01	69			0 %	0	0	0	

TY 2016 Investments Corporate Bonds Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	11,134,974	11,012,557

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TY 2016 Investments Corporate Stock Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	10,204,157	10,570,812

TY 2016 Land, Etc. Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
WEBSITE	28,800	28,800	0	0
LIGHTING	5,088	5,088	0	0
COMPUTER FOR KATE	1,858	1,333	525	525
COMPUTER FOR MAKI AND LEO	3,714	2,662	1,052	1,052
LAPTOP FOR KANAKO SAEKI	1,772	1,121	651	651
COMPUTER SHOWCASE	1,693	1,045	648	648
MICROPHONE	1,336	935	401	401
OFFICE FURNITURE	84,460	30,165	54,295	54,295
FURNITURE FOR UPSTAIRS OFFICES	12,683	3,322	9,361	9,361
DESK FOR KYOTO UNIVERSITY INTERNS	448	91	357	357
FILLMORE BUILDING	4,524,372	183,682	4,340,690	4,340,690
FILLMORE_CARPET	3,800	184	3,616	3,616
FILLMORE LAND	12,001,208	0	12,001,208	12,001,208
TECH_COMPUTERS	500	209	291	291
TECH_FURNITURE	7,295	1,303	5,992	5,992
RETAINER FOR ENGINEERING & SURVEY SERVICES AT FILLMORE	4,000	0	4,000	4,000
PROFESSIONAL SERVICES FOR JUNE	21,314	0	21,314	21,314
INITIAL PAYMENT AS PER PROPOSAL NO 16059-030	5,000	0	5,000	5,000
FILLMORE PROJECT	54,501	0	54,501	54,501
GEOTECHNICAL ENGINEERING INVESTIGATION	3,368	0	3,368	3,368
BOUNDARY/ TOPOGRAPHIC/ TREE/ UTILITY SURVEYS	8,956	0	8,956	8,956
FILLMORE RENOVATION - AUGUST	22,558	0	22,558	22,558
GEOTECHNICAL ENGINEERING INVESTIGATION	13,681	0	13,681	13,681
LANDSCAPE DESIGN FOR FILLMORE	15,483	0	15,483	15,483
LANDSCAPE DESIGN FOR FILLMORE	4,652	0	4,652	4,652
SCHEMATIC DESIGN	18,897	0	18,897	18,897
ARCHITECT SERVICES FOR SEPTEMBER	60,071	0	60,071	60,071
FILLMORE PERMIT PROCESSING	1,363	0	1,363	1,363
LANDSCAPE ARCHITECT SERVICE	17,770	0	17,770	17,770
GEOTECHNICAL ENGENEERING INVESTIGATION	176	0	176	176

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
ARCHITECT FEES FOR OCTOBER	29,225	0	29,225	29,225
DESIGNI DEVELOPMENT	5,305	0	5,305	5,305
SERVICES AS PER NOVE 27 2016	23,708	0	23,708	23,708
DESIGN DEVELOPMENT	2,126	0	2,126	2,126
ARCHITECT FEES FOR DECEMBER	92,772	0	92,772	92,772
STEINWAY B GRAND PIANO - SERIAL #532750	52,900	7,935	44,965	44,965
COMPUTER FOR EA	1,799	300	1,499	1,499
COMPUTER FOR INCUBATOR	2,380	159	2,221	2,221
COMPUTER FOR ALEX	1,884	126	1,758	1,758
FRIDGE AND MICROWAVE	666	78	588	588
ADJ TO TIE TO FS	69	0	69	69

TY 2016 Legal Fees Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	44,020	0	0	44,020

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TY 2016 Other Assets Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
NON DEPRECIABLE FIXED ASSETS	1,853,500	0	0
INTANGIBLE ASSETS, NET OF ACCUMULATED AMORTIZATION	1,155	1,068	1,068
INTEREST RECEIVABLE	6,272	5,857	5,857
REFUNDABLE PROPERTY TAXES		514,620	514,620

TY 2016 Other Decreases Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Description	Amount
FAIR VALUE ADJUSTMENT	45,071,332

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TY 2016 Other Expenses Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EVENTS	165,122	1,200	0	163,922
INSTRUMENT MOVING	0	0	0	0
PERFORMANCE FEE	186,627	0	0	186,627
ARTIST IN RESIDENCE	0	0	0	0
OFFICE EXPENSE	133,610	0	0	133,610
INSURANCE	47,595	0	0	47,595
AWARDS	30,600	0	0	30,600
MISCELLANEOUS EXPENSE	6,866	0	0	6,866
STIPENDS	196,000	0	0	196,000
GLOBAL LEADERSHIP	2,298	0	0	2,298

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LICENSES AND FEES	1,370	0	0	1,370
TAXES AND ASSESSMENTS	203,318	0	0	203,318
AMORTIZATION	87	0	87	0

TY 2016 Other Income Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTIES	402		402
CONCERT REVENUE	318,513		318,513
MISCELLANEOUS INCOME	26,996		26,996

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TY 2016 Other Professional Fees Schedule

Name: S & R FOUNDATION

EIN: 52-2284478

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING AND GENERAL	480,613	0	0	480,613
COMMUNICATIONS	258,392	0	0	258,392
IT / WEB	23,042	0	0	23,042
INVESTMENT MANAGEMENT FEES	91,507	91,507	0	91,507