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Form **990**
 Department of the Treasury
 Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

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 ▶ Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No 1545-0047
2016
Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 01-01-2016, and ending 12-31-2016

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final <input type="checkbox"/> Return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization OCEANA INC Doing business as Number and street (or P O box if mail is not delivered to street address) Room/suite 1350 CONNECTICUT AVE NW 5TH FL City or town, state or province, country, and ZIP or foreign postal code WASHINGTON, DC 20036	D Employer identification number 51-0401308 E Telephone number (202) 833-3900 G Gross receipts \$ 24,979,362
I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527	F Name and address of principal officer JAMES F SIMON 1350 CONNECTICUT AVE NW 5TH FL WASHINGTON, DC 20036	H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶
J Website: ▶ WWW OCEANA ORG	K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶	L Year of formation 2001 M State of legal domicile DC

Part I Summary

1	Briefly describe the organization's mission or most significant activities TO ADVOCATE FOR POLICY CHANGES BY GOVERNMENTS AND CORPORATIONS IN ORDER TO PRESERVE OCEAN LIFE		
2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets			
3	Number of voting members of the governing body (Part VI, line 1a)	3	19
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	18
5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	149
6	Total number of volunteers (estimate if necessary)	6	3,025
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0
8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
9	Program service revenue (Part VIII, line 2g)	18,802,188	24,887,791
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0	0
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	33,326	16,952
12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	-466,747	-163,021
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	18,368,767	24,741,722
14	Benefits paid to or for members (Part IX, column (A), line 4)	855,835	1,982,281
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0	0
16a	Professional fundraising fees (Part IX, column (A), line 11e)	14,125,958	17,402,731
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 3,610,040	294,980	217,808
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	10,564,173	15,248,063
18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	25,840,946	34,850,883
19	Revenue less expenses Subtract line 18 from line 12	-7,472,179	-10,109,161
20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
21	Total liabilities (Part X, line 26)	39,349,695	30,212,898
22	Net assets or fund balances Subtract line 21 from line 20	2,119,265	3,068,865
		37,230,430	27,144,033

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here *****
 Signature of officer _____ Date 2017-06-30
 CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER
 Type or print name and title _____

Paid Preparer Use Only

Print/Type preparer's name FRANK H SMITH	Preparer's signature FRANK H SMITH	Date 2017-06-30	Check <input type="checkbox"/> if self-employed	PTIN P00639053
Firm's name ▶ RAFFA PC			Firm's EIN ▶ 52-1511275	
Firm's address ▶ 1899 L STREET NW SUITE 850 WASHINGTON, DC 20036			Phone no (202) 822-5000	

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission

OCEANA, INC (OCEANA) IS AN INTERNATIONAL OCEAN ADVOCACY ORGANIZATION THAT SEEKS TO RESTORE THE RESILIENCE, DIVERSITY AND ABUNDANCE OF MARINE ECOSYSTEMS AND TO ENSURE THAT OUR OCEANS ARE A SIGNIFICANT SOURCE OF WILD-CAUGHT FISH THAT CAN HELP TO FEED THE WORLD

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses \$ 14,346,531 including grants of \$ 820,578) (Revenue \$)
See Additional Data

4b (Code) (Expenses \$ 6,981,389 including grants of \$ 1,161,703) (Revenue \$ 50,000)
See Additional Data

4c (Code) (Expenses \$ 2,244,865 including grants of \$) (Revenue \$)
See Additional Data

(Code) (Expenses \$ 1,481,827 including grants of \$) (Revenue \$)
MARINE SCIENCE

(Code) (Expenses \$ 423,297 including grants of \$) (Revenue \$)
LAW

4d Other program services (Describe in Schedule O)
(Expenses \$ 1,905,124 including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 25,477,909

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	Yes	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		No
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		No
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		No
14a Did the organization maintain an office, employees, or agents outside of the United States?	Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions)	Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		No

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include 20a-24d, 25a-25b, 26-27, 28a-28c, 29-31, 32-33, 34, 35a-35b, 36-37, 38.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V [X]

Table with columns for question ID, question text, and Yes/No response boxes. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited transactions, charitable contributions, and organizational details.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a (19), 1b (18), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the States with which a copy of this Form 990 is required to be filed AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY
18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [] Another's website [X] Upon request [X] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20 State the name, address, and telephone number of the person who possesses the organization's books and records
JAMES F SIMON 1350 CONNECTICUT AVE NW 5TH FLOOR WASHINGTON, DC 20036 (202) 833-3900

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a	8,344				
	b Membership dues	1b					
	c Fundraising events	1c	1,949,321				
	d Related organizations	1d					
	e Government grants (contributions)	1e	1,817,960				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	21,112,166				
	g Noncash contributions included in lines 1a-1f \$ _____		45,771				
	h Total. Add lines 1a-1f			24,887,791			
Program Service Revenue	2a _____	Business Code					
	b _____						
	c _____						
	d _____						
	e _____						
	f All other program service revenue						
	g Total. Add lines 2a-2f						
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		19,769			19,769	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6a Gross rents	(i) Real	(ii) Personal				
		b Less rental expenses					
		c Rental income or (loss)					
		d Net rental income or (loss)					
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		b Less cost or other basis and sales expenses		61,989			
		c Gain or (loss)		64,806			
		d Net gain or (loss)		-2,817			-2,817
	8a Gross income from fundraising events (not including \$ 1,949,321 of contributions reported on line 1c) See Part IV, line 18	a					
		b Less direct expenses	b	145,960			
		c Net income or (loss) from fundraising events		172,834			-26,874
	9a Gross income from gaming activities See Part IV, line 19	a					
b Less direct expenses		b					
c Net income or (loss) from gaming activities							
10a Gross sales of inventory, less returns and allowances	a						
	b Less cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue	Business Code						
11a CONSULTING INCOME	900099	50,000	50,000				
b OTHER INCOME	900099	-332			-332		
c FOREIGN CURRENCY LOSS	900099	-185,815			-185,815		
d All other revenue							
e Total. Add lines 11a-11d			-136,147				
12 Total revenue. See Instructions			24,741,722	50,000	0	-196,069	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.				
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	1,161,703	1,161,703		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	820,578	820,578		
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	2,349,316	1,482,580	453,418	413,318
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7 Other salaries and wages.	11,981,856	8,852,276	1,962,627	1,166,953
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	647,700	415,492	157,463	74,745
9 Other employee benefits.	1,004,251	641,689	262,502	100,060
10 Payroll taxes.	1,419,608	1,119,452	181,842	118,314
11 Fees for services (non-employees):				
a Management.				
b Legal.	398,602	291,745	105,998	859
c Accounting.	153,535	53,092	100,443	
d Lobbying.	16,030	16,030		
e Professional fundraising services. See Part IV, line 17.	217,808			217,808
f Investment management fees.				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	3,486,334	2,710,283	517,265	258,786
12 Advertising and promotion.	628,651	568,410	34,393	25,848
13 Office expenses.	1,426,185	1,029,342	244,178	152,665
14 Information technology.	1,000,954	956,574	32,078	12,302
15 Royalties.				
16 Occupancy.	1,848,168	1,379,882	305,537	162,749
17 Travel.	1,908,382	1,233,799	390,100	284,483
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.	1,322,038	577,408	234,771	509,859
20 Interest.	2,507	2,507		
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	522,969	247,973	272,364	2,632
23 Insurance.	320,331	197,422	105,057	17,852
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a EQUIPMENT RENTAL/MAINT	1,472,471	1,419,008	39,898	13,565
b DUES AND SUBSCRIPTIONS	401,880	119,690	252,111	30,079
c BANK AND MERCHANT FEES	149,527	74,249	28,145	47,133
d LOSS ON DISPOSAL OF FA	141,373	88,595	52,778	
e All other expenses	48,126	18,130	29,966	30
25 Total functional expenses. Add lines 1 through 24e.	34,850,883	25,477,909	5,762,934	3,610,040
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	18,318,277	1	17,339,640
	2 Savings and temporary cash investments	5,798,405	2	5,007,200
	3 Pledges and grants receivable, net	12,685,963	3	5,384,302
	4 Accounts receivable, net	37,125	4	196,880
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	1,721	8	26,241
	9 Prepaid expenses and deferred charges	275,605	9	170,764
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	7,703,708		
	b Less accumulated depreciation	5,869,418		
	11 Investments—publicly traded securities	145,183	11	3,659
	12 Investments—other securities See Part IV, line 11		12	
	13 Investments—program-related See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets See Part IV, line 11	216,832	15	249,922
16 Total assets. Add lines 1 through 15 (must equal line 34)	39,349,695	16	30,212,898	
Liabilities	17 Accounts payable and accrued expenses	1,688,552	17	2,725,847
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	430,713	25	343,018
	26 Total liabilities. Add lines 17 through 25	2,119,265	26	3,068,865
Net Assets or Fund Balances	27 Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. Unrestricted net assets	13,558,220	27	11,928,550
	28 Temporarily restricted net assets	23,672,210	28	15,215,483
	29 Permanently restricted net assets		29	
	30 Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34. Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	37,230,430	33	27,144,033
	34 Total liabilities and net assets/fund balances	39,349,695	34	30,212,898

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	24,741,722
2	Total expenses (must equal Part IX, column (A), line 25)	2	34,850,883
3	Revenue less expenses Subtract line 2 from line 1	3	-10,109,161
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	37,230,430
5	Net unrealized gains (losses) on investments	5	22,764
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	27,144,033

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
<p>1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____</p> <p>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O</p>		
<p>2a Were the organization's financial statements compiled or reviewed by an independent accountant?</p> <p>If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both</p> <p><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>		No
<p>b Were the organization's financial statements audited by an independent accountant?</p> <p>If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both</p> <p><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis</p>	Yes	
<p>c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</p> <p>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O</p>	Yes	
<p>3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</p>		No
<p>b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</p>		

Software ID:**Software Version:****EIN:** 51-0401308**Name:** OCEANA INC

Form 990 (2016)

Form 990, Part III, Line 4a:

INTERNATIONAL BELIZE- CLEAN ENERGY CAMPAIGN IN DECEMBER 2015, THE GOVERNMENT OF BELIZE ANNOUNCED THAT IT WOULD PERMANENTLY BAN OFFSHORE OIL DRILLING IN CERTAIN AREAS, INCLUDING THE LENGTH OF THE BELIZE BARRIER REEF RESERVE SYSTEM AND THE WORLD HERITAGE SITES, AND PRIME MINISTER DEAN BARROW PLEDGED A MORATORIUM ON ALL OFFSHORE OIL DRILLING AFTER INITIALLY PLEDGING TO BAN OFFSHORE OIL DRILLING, THE BELIZEAN GOVERNMENT APPROVED MULTI-BEAM SONAR AND SEISMIC TESTING IN ITS WATERS IN OCTOBER 2016 HOWEVER, AFTER PRESSURE FROM OCEANA AND ITS OCEAN CONSTITUENCY THE BELIZEAN GOVERNMENT WITHDREW ITS APPROVAL OF THE SEISMIC ACTIVITY THE GOVERNMENT OF BELIZE ISSUED A CLEAR POLITICAL STATEMENT RENOUNCING THEIR PLANS TO DRILL, AND ALL THE OIL EXPLORATION LEASES HAVE BEEN RELINQUISHED OR DEEMED INVALID THERE FISHERIES CAMPAIGN OCEANA SEEKS TO REDUCE OVERFISHING, STOP WASTEFUL BYCATCH AND DIMINISH DESTRUCTIVE FISHING METHODS THAT UNNECESSARILY KILL MARINE WILDLIFE AND DESTROY MARINE HABITAT ITS CURRENT FOCUS IS ON TWO CAMPAIGNS THAT AIM TO ACHIEVE A NATIONAL BAN ON GILLNET FISHING AND MINIMUM SIZE LIMITS ON THE FIVE FISH SPECIES AT HIGHEST RISK FROM JUVENILE FISHING OCEANA CREATED THE FISH RIGHT, EAT RIGHT PROGRAM TO PROMOTE SUSTAINABLE SEAFOOD ITS PARTNERS INCLUDE THE BELIZE TOURISM BOARD, BELIZE TOURISM INDUSTRY ASSOCIATION, BELIZE FISHERIES DEPARTMENT WILDLIFE CONSERVATION SOCIETY, AND THE ENVIRONMENTAL DEFENSE FUND OCEANA ALSO OBTAINED THE ENDORSEMENT OF 110 LOCAL RESTAURANTS AND RESORTS THAT DECIDED TO PARTICIPATE IN THE PROGRAM AND HAVE PLEDGED TO ONLY USE SUSTAINABLY CAUGHT FISH AS A RESULT OF OCEANA'S ADVOCACY, THE BELIZE FISHERIES DEPARTMENT AGREED TO PREPARE A WHITE PAPER ON GILLNET USE IN BELIZEAN WATERS IN OCTOBER 2016 THE DOCUMENT HAS NOT BEEN RELEASED TO THE PUBLIC OR TO OCEANA DESPITE REPEATED REQUESTS OCEANA WON THE ENDORSEMENT OF KEY FISHING AND TOURISM ORGANIZATIONS FOR A GILLNET BAN, INCLUDING THE BELIZE CHAMBER OF COMMERCE AND INDUSTRY, THE BELIZE GAME FISH ASSOCIATION, THE BONEFISH TARPON TRUST, THE BELIZE TOURISM INDUSTRY ASSOCIATION, THE BELIZE COAST GUARD, THE SEA TO SHORE ALLIANCE, AND MARALLIANCE OCEANA IS ALSO ENDORSED BY CHEF SEAN KUYLEN, A CELEBRATED LOCAL AND INTERNATIONAL CHEF BELIZE WAVEMAKERS OCEANA'S STRONG SOCIAL MEDIA FOLLOWING ILLUSTRATES OCEANA'S COMMUNITY REACH, ESPECIALLY WITH YOUNG PEOPLE IN THE 18 TO 34 AGE RANGE WHO ARE POLITICALLY AND SOCIALLY ACTIVE AND CAN VOTE SOCIAL MEDIA OCEANA'S CURRENT FACEBOOK FOLLOWING IS OVER 17,200, UP 5,000 FOLLOWERS FROM LAST YEAR THIS FOLLOWING REMAINS SIGNIFICANTLY LARGER THAN SEVERAL KEY NGOS IN BELIZE AS WELL AS THE NATIONAL TELECOMMUNICATIONS COMPANY OF BELIZE, BELIZE TELEMEDIA LIMITED SIMILARLY, OCEANA HAS APPROXIMATELY 12,900 INSTAGRAM FOLLOWERS TRADITIONAL MEDIA OCEANA BELIZE CREATED VIDEO OF FISHERS PROMOTING SUSTAINABLE FISHING PRACTICES, AND AIRED THEIR MESSAGES ON BOTH TV AND RADIO IN PARTNERSHIP WITH THE BELIZE COALITION TO SAVE OUR NATURAL HERITAGE, OCEANA ALSO LAUNCHED AN ENVIRONMENTAL JOURNALISM COURSE, IN ORDER TO ENHANCE THE QUALITY OF ENVIRONMENTAL STORIES ABOUT BELIZE AND TO HIGHLIGHT THE ECONOMIC BENEFITS OF SAFEGUARDING NATURAL RESOURCES ANNUAL EVENTS IN 2016, OCEANA CONTINUED TO ENGAGE THE LOCAL POPULATION IN ANNUAL EVENTS, INCLUDING REEF WEEK (A WEEK-LONG SERIES OF EVENTS THAT PROMOTE THE IMPORTANCE OF BELIZE'S REEF), OCEAN HEROES, WHICH RECOGNIZES BELIZEANS AS PROTECTORS OF BELIZE'S MARINE RESOURCES, HANDS ACROSS THE SAND, AND ANNUAL WAVEMAKER RALLIES GRASSROOTS MOBILIZATION IN 2016, OCEANA LOCAL ORGANIZERS MADE APPROXIMATELY 200 VISITS TO KEY FISHING VILLAGES, INCLUDING SAN PEDRO, CAYCE CAULKER, BELIZE CITY, SANGRIA, HOPKINS, PLACENTIA, PUNTA GORDO, COROZAL, AND ORANGE WALK BRAZIL-OCEANA'S GOAL IN BRAZIL IS TO ESTABLISH A MODERN FISHERIES MANAGEMENT SYSTEM BASED ON SCIENTIFIC PRINCIPLES THE HEART OF THE WORK IS OCEANA'S CAMPAIGN TO REQUIRE SCIENCE-BASED RECOVERY PLANS HIGHLIGHTED BELOW ARE KEY ACCOMPLISHMENTS FOR OCEANA IN 2016 RED LIST CAMPAIGN THE BRAZILIAN WILDLIFE RED LISTS WERE THE RESULT OF SIX YEARS OF CAREFUL WORK BY MORE THAN 1,500 EXPERTS, USING THE BEST SCIENCE AVAILABLE AND THE METHODOLOGY DEVELOPED BY THE INTERNATIONAL UNION FOR CONSERVATION OF NATURE (IUCN) THE RED LIST HAD BEEN SUSPENDED DUE TO A LAWSUIT FILED BY ENTITIES LINKED TO THE INDUSTRIAL FISHING SECTOR, LEAVING HUNDREDS OF SPECIES INCLUDING WHALE SHARKS, RAYS, SEA HORSES, HAMMERHEAD SHARKS, AND GROUPERS WITH NO PROTECTIONS BRAZIL'S FEDERAL COURT ISSUED A RULING REESTABLISHING THE RED LIST OF AQUATIC ENDANGERED INVERTEBRATES AND FISHES FOLLOWING PRESSURE FROM OCEANA THE PRESIDING JUDGE NOTED THAT ECONOMIC INTERESTS OF THE FISHING INDUSTRY CANNOT PREVAIL OVER THE PUBLIC INTEREST OF CONSERVATION FOLLOWING THE JUNE RULING, THE RED LIST WAS OFFICIALLY REINSTATED IN DECEMBER RECOVERY PLAN CAMPAIGN OCEANA SEEKS TO GET THE BRAZILIAN GOVERNMENT TO APPROVE REGULATION REQUIRING SCIENCE-BASED RECOVERY/MANAGEMENT PLANS IN DECEMBER 2016, AT THE FIRST MEETING OF THE TUNA FISHERIES MANAGEMENT COUNCIL, OCEANA SECURED THE APPROVAL OF IMPORTANT MARINE CONSERVATION MEASURES THE MEASURES INCLUDE A FISHING BAN FOR ALL HAMMERHEAD SHARK SPECIES IN BRAZIL'S TUNA FISHERIES, THE MANDATORY USE OF CIRCLE HOOKS IN ALL BRAZILIAN LONGLINE FISHERIES (A REQUIREMENT THAT WILL PROTECT SEA TURTLES AND SHARKS), A REJECTION OF LEASES THAT WOULD HAVE ALLOWED CHINESE FISHING VESSELS AND PURSE SEINERS TO PARTICIPATE THESE FISHERIES, AND A FORMAL COMMITMENT FROM THE GOVERNMENT TO DEVELOP A MANAGEMENT PLAN FOR TUNA FISHERIES WITHIN SIX MONTHS BRAZIL ESTABLISHES NEW PROCESSES AND FISHERIES MANAGEMENT COUNCILS THAT WILL INITIATE PLANNING EFFORTS FOR MANAGEMENT AND RECOVERY PLANS FOR EIGHT OVERFISHED SPECIES TRANSPARENCY CAMPAIGN OCEANA SEEKS TO RESTORE THE SCIENTIFIC BASIS FOR FISHERIES MANAGEMENT DECISIONS, INCLUDING REINSTATING LANDINGS MONITORING FOR AT LEAST ONE STATE OR FISHERY AND NATIONAL LOGBOOK AND OBSERVER PROGRAMS BY 2020 THE BRAZILIAN GOVERNMENT IS NOW COLLECTING BASIC FISHERY LANDINGS DATA FOR THE FIRST TIME SINCE 2008, IN PORTS COVERING 40 PERCENT OF THE COUNTRY'S LANDINGS, BY WEIGHT PROTECT TAINHA CAMPAIGN OCEANA SEEKS TO DEVELOP A SCIENCE-BASED MANAGEMENT PLAN AND CATCH LIMITS IN PLACE FOR TAINHA PRIOR TO THE OPENING OF THE 2016 TAINHA SEASON, AND AS A RESULT OF OCEANA'S RECOMMENDATIONS, THE MINISTRY OF AGRICULTURE PUBLISHED CRITERIA THAT PROHIBIT VESSELS FROM FISHING TAINHA THAT FAILED TO PRESENT LOGBOOKS AND/OR TO MAINTAIN THE VESSEL MONITORING SYSTEM IN 2015, AS WELL AS ANY VESSEL THAT OPERATED IN AREAS CLOSED TO FISHING CHILE-HABITAT PROTECTION OCEANA IS WORKING TO PROTECT AT LEAST 20 PERCENT OF CHILE'S EXCLUSIVE ECONOMIC ZONE AS MARINE PROTECTED AREAS (MPAS) IN AUGUST 2016 THE CHILEAN GOVERNMENT SIGNED A DECREE ON TO CREATE THE NAZCA-DESVENTURADAS MARINE PARK - THE LARGEST MARINE PARK IN THE AMERICAS AT NEARLY 300,000 SQUARE KILOMETERS OCEANA CONDUCTED AN EXPEDITION IN LA HIGUERA, AN AREA BETWEEN THE DESVENTURADAS AND JUAN FERN NDEZ ISLANDS, IN OCTOBER/NOVEMBER AS PART OF AN EFFORT TO CREATE A 3,500 SQUARE KILOMETER MARINE PROTECTED AREA CHILE'S PRESIDENT MICHELLE BACHELET PARTICIPATED IN THE EVENT LAUNCHING THE EXPEDITION FISHERIES MANAGEMENT OCEANA IS SEEKING TO IMPROVE FISHERIES MANAGEMENT IN CHILE WITH A FOCUS ON THE RECOVERY OF THE COMMON HAKE AND ANCHOVETA FISHERIES, AND ON HELPING CONTROL ILLEGAL, UNREPORTED, AND UNREGULATED FISHING THE CHILEAN GOVERNMENT ANNOUNCED ITS FIRST-EVER FISHERIES MANAGEMENT PLAN, FOR COMMON HAKE, THE SECOND LARGEST FISHERY IN CHILE THE PLAN WILL REDUCE OVERFISHING OF HAKE ON WHICH MORE THAN 7,000 ARTISANAL FISHERS DEPEND FOR FOOD AND LIVELIHOODS OCEANA HAS STRONGLY ADVOCATED FOR FISHERIES MANAGEMENT PLANS IN CHILE SINCE THE COUNTRY'S HISTORIC FISHERIES ACT REFORM IN 2013, WHICH OCEANA WAS INSTRUMENTAL IN BRINGING ABOUT SALMON FARMING THE CHILEAN GOVERNMENT ANNOUNCED A DENSITY REDUCTION PLAN FOR THE COUNTRY'S SALMON INDUSTRY, IMPROVING SANITARY CONDITIONS AND REDUCING THE RAPID SPREAD OF DISEASES AND PARASITES OCEANA WAS INVITED TO PRESENT BEFORE THE COMMISSION OF ENVIRONMENT IN THE CHILEAN SENATE REGARDING MALPRACTICE IN SALMON AQUACULTURE EUROPE- TRANSPARENCY OCEANA AIMS TO IMPROVE TRANSPARENCY AND ACCOUNTABILITY IN THE FISHERIES SECTOR IN EUROPE THE FISHERIES COMMITTEE OF THE EUROPEAN PARLIAMENT VOTED TO SUPPORT TRANSPARENCY IN EU FISHING OPERATIONS OUTSIDE EU WATERS, WHICH ACCOUNT FOR 28 PERCENT OF TOTAL EU CATCHES THE IMPROVED REGULATION PROMISES TO BE A MAJOR STEP FORWARD IN IMPROVING THE MANAGEMENT OF ALL EUROPEAN-FLAGGED VESSELS IN DEVELOPING COUNTRIES AS A RESULT OF OCEANA'S ADVOCACY, SPANISH GUARDIA CIVIL, INTERPOL AND EUROPOL JOINED FORCES ON ILLEGAL FISHING IN A JOINT ACTION AGAINST POACHERS IN ANTARCTIC WATERS

Form 990, Part III, Line 4b:

UNITED STATES OCEANA IS WORKING ON SCIENCE-BASED POLICY CAMPAIGNS THAT ADDRESS RESPONSIBLE FISHING, CLIMATE AND ENERGY, AND SEAFOOD FRAUD RESPONSIBLE FISHING OCEANA'S RESPONSIBLE FISHING CAMPAIGN SEEKS TO WIN LONG-TERM, SUSTAINABLE MANAGEMENT OF U S FISHERIES OCEANA IS WORKING TO REDUCE BYCATCH OF FISH AND PROTECTED OR ENDANGERED SPECIES, TO PROTECT THE HABITATS THAT ARE NECESSARY FOR NURSING, SPAWNING, FEEDING, AND OTHER FUNCTIONS, AND TO GET SCIENCE-BASED QUOTAS FOR COMMERCIAL SPECIES AS WELL AS FOR SPECIES THAT ARE NECESSARY FOOD-FISH FOR COMMERCIALLY IMPORTANT SPECIES HIGHLIGHTED BELOW ARE SAMPLES OF OCEANA'S ACHIEVEMENTS IN 2016 IN EACH OF THESE AREAS BYCATCH THE UNINTENTIONAL CATCH OF MARINE SPECIES IN FISHING FOR TARGETED SPECIES (BYCATCH) UNDERMINES SUCCESSFUL FISHERIES MANAGEMENT AND WASTES THE OCEAN'S LIVING MARINE RESOURCES OCEANA HAS BEEN SUCCESSFUL IN SETTING SCIENTIFICALLY-BASED QUOTAS AND PROTECTING HABITAT, LEAVING BYCATCH AS THE GREATEST REMAINING BARRIER TO RESTORING U S FISHERIES AND ECOSYSTEMS THE NATIONAL OCEANIC AND ATMOSPHERIC ASSOCIATION (NOAA) FISHERIES CLOSED THE PACIFIC LOGGERHEAD CONSERVATION AREA IN RESPONSE TO OCEANA AND ITS PARTNERS' REQUEST TO PROTECT ENDANGERED LOGGERHEAD SEA TURTLES THESE SEA TURTLES BROUGHT INTO SOUTHERN CALIFORNIA WATERS BY UNUSUALLY WARM OCEAN WATERS, RISK DROWNING FROM ENTANGLEMENTS IN MILE-LONG NETS THE U S GOVERNMENT RELEASED A PROPOSED RULE TO REQUIRE TURTLE EXCLUDER DEVICES (TEDS) IN U S SKIMMER, PUSHER-HEAD AND WING NET SHRIMP TRAWLS, WHICH WOULD SAVE AS MANY AS 2,500 ENDANGERED AND THREATENED SEA TURTLES EVERY YEAR THE PACIFIC FISHERY MANAGEMENT COUNCIL VOTED LAST FALL TO SET HARD BYCATCH CAPS FOR NINE SPECIES - INCLUDING SEVEN ENDANGERED SPECIES OF SEA TURTLES AND MARINE MAMMALS THESE CAPS ARE EXPECTED TO BE IMPLEMENTED THIS YEAR, AND WILL CAUSE THE CLOSURE OF THE FISHERY FOR THE REMAINDER OF THE FISHING SEASON IF TOO MANY ENDANGERED SPECIES ARE CAUGHT OVER A TWO-YEAR PERIOD PROTECTING HABITAT OCEANA'S FOCUS IS ON PROTECTING IMPORTANT PLACES, INCLUDING NURSERY AND SPAWNING GROUNDS THE NATIONAL MARINE FISHERIES SERVICE RELEASED A STRONG RULE TO PROTECT MORE THAN 35,000 SQUARE MILES OF OCEAN HABITAT OFF THE U S ATLANTIC COAST THIS FOLLOWS THE ACTION TAKEN LAST YEAR BY THE MID-ATLANTIC FISHERY MANAGEMENT COUNCIL TO PROHIBIT DESTRUCTIVE BOTTOM TRAWLING IN THIS AREA RICH WITH DEEP-SEA CORALS, SEA CANYONS AND SEAMOUNTS THIS PROGRESS IS THE RESULT OF A 13-YEAR PROCESS IN WHICH OCEANA HAS BEEN ENGAGED TO IDENTIFY AND CONSERVE CORALS OCEANA CONDUCTED AN EXPEDITION IN THE U S PACIFIC, OFF THE COAST OF SOUTHERN CALIFORNIA, FOCUSING ON SITES THAT IT HAS PROPOSED TO BE PROTECTED FROM BOTTOM TRAWLING OCEANA EXAMINED CORALS, SPONGES, ROCKY REEFS, UNDERWATER MOUNTAINS AND CANYONS, AND OTHER TYPES OF DEEP SEA ARCHITECTURE TO DEPTHS UP TO 435 METERS (1,427 FEET) BELOW THE OCEAN SURFACE SCIENCE-BASED CATCH LIMITS ALTHOUGH SCIENTIFICALLY-BASED QUOTAS ARE REQUIRED BY LAW, MANAGERS ARE NOT SETTING SUFFICIENT LIMITS FOR SOME FISHERIES OCEANA'S WORK IS DESIGNED TO ENSURE THAT QUOTAS ARE SET IN A WAY THAT MAINTAINS HEALTHY ECOSYSTEMS, TAKING INTO ACCOUNT FACTORS SUCH AS PREDATOR-PREY RELATIONSHIPS AND POPULATION DYNAMICS THE OREGON FISH AND WILDLIFE COMMISSION ADOPTED A FORAGE FISH MANAGEMENT PLAN FOR HUNDREDS OF SMALL, SCHOOLING FISH IN STATE WATERS, MIRRORING ACTION TAKEN IN 2015 BY THE PACIFIC FISHERY MANAGEMENT COUNCIL TO PROTECT FORAGE FISH FROM NEW COMMERCIAL DEVELOPMENT THIS WILL PREVENT THE DEVELOPMENT OF COMMERCIAL FISHERIES FOR THESE SMALL FISH WITHOUT CAREFUL CONSIDERATION AND ANALYSIS OF POTENTIAL EFFECTS ON THE OCEAN ECOSYSTEM AND EXISTING FISHERIES THE CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE ESTABLISHED CONFORMING REGULATIONS TO PROHIBIT NEW FISHERIES FROM DEVELOPING ON SEVEN GROUPS OF CURRENTLY UNMANAGED FORAGE FISH IN STATE WATERS THESE REGULATIONS MIRROR THOSE FINALIZED BY NOAA IN MAY FOR FEDERAL WATERS OFF CALIFORNIA, OREGON AND WASHINGTON, AND ARE THE LAST PIECE OF THE PUZZLE TO ACHIEVING PROTECTIONS FOR HUNDREDS OF FORAGE FISH SPECIES FROM 0 TO 200 MILES OFF THE U S WEST COAST THE U S PACIFIC FISHERY MANAGEMENT COUNCIL VOTED TO KEEP THE DIRECTED PACIFIC SARDINE FISHERY CLOSED FOR A SECOND YEAR IN A ROW, BASED ON A NEW SCIENTIFIC ASSESSMENT THAT FOUND THAT THE SARDINE POPULATION HAS COLLAPSED ROUGHLY 90 PERCENT SINCE 2007 CLIMATE AND ENERGY OCEANA'S GOAL FOR THE CLIMATE AND ENERGY CAMPAIGN IS TO PREVENT THE EXPANSION OF OFFSHORE DRILLING INTO THE ATLANTIC, PACIFIC, AND ARCTIC OCEANS WE WILL PROTECT MORE THAN 300,000 SQUARE MILES IN THE ATLANTIC, MORE THAN 580,000 SQUARE MILES IN THE PACIFIC, AND THE U S ARCTIC FROM POLLUTION, NOISE, AND OTHER IMPACTS ASSOCIATED WITH OFFSHORE OIL AND GAS ACTIVITIES OUR CAMPAIGN ALSO INCLUDES WORK TO STOP SEISMIC TESTING AND THE DEVELOPMENT OF OFFSHORE WIND ENERGY OCEANA BUILT AND LED A POWERFUL GRASSROOTS MOVEMENT TO DEMONSTRATE THE BROAD-BASED AND DIVERSE OPPOSITION TO OFFSHORE DRILLING STOPPING THE EXPANSION OF OFFSHORE OIL THE OBAMA ADMINISTRATION REMOVED THE ATLANTIC OCEAN FROM THE FIVE-YEAR PROGRAM (2017-2022) FOR OIL AND GAS DEVELOPMENT ON THE OUTER CONTINENTAL SHELF FOLLOWING YEARS OF OCEANA CAMPAIGNING AGAINST PROPOSALS FOR OFFSHORE DRILLING ACTIVITY THE OBAMA ADMINISTRATION PROTECTED THE ARCTIC OCEAN FROM OFFSHORE OIL DRILLING BY REMOVING THE BEAUFORT AND CHUKCHI SEAS FROM THE FINAL 2017-2022 FIVE-YEAR PROGRAM FOR OIL AND GAS LEASING ON THE OUTER CONTINENTAL SHELF IN NOVEMBER OF 2016 THIS FOLLOWED THE SIMILAR MARCH 2016 DECISION, DESCRIBED ABOVE, TO REMOVE THE ATLANTIC OCEAN FROM THE FIVE-YEAR PLAN THE OBAMA ADMINISTRATION PERMANENTLY PROTECTED IMPORTANT AREAS OF THE ATLANTIC OCEAN FROM OFFSHORE DRILLING BY WITHDRAWING 3 8 MILLION ACRES IN THE NORTH AND MID-ATLANTIC OCEAN FROM FUTURE MINERAL EXTRACTION, PROTECTING 31 CANYONS THAT EXTEND FROM NEW ENGLAND TO THE CHESAPEAKE BAY PRESIDENT OBAMA ISSUED AN EXECUTIVE ORDER CREATING THE NORTHERN BERING SEA CLIMATE RESILIENCE AREA IN ALASKA, RECOGNIZING THE IMPORTANCE OF THE REGION, INCREASING LOCAL PARTICIPATION IN MANAGEMENT DECISIONS, AND HELPING THE REGION FROM POTENTIAL IMPACTS ASSOCIATED WITH INDUSTRIAL ACTIVITIES THE BUREAU OF OCEAN ENERGY MANAGEMENT (BOEM) AND THE BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT (BSEE) FINALIZED RULES TO IMPROVE SPILL PREVENTION AND RESPONSE REQUIREMENTS FOR OIL AND GAS EXPLORATION DRILLING IN THE U S ARCTIC OCEAN OCEANA HELPED TO ORGANIZE THE BUSINESS ALLIANCE FOR PROTECTING THE ATLANTIC COAST, A NEWLY FORMED COALITION REPRESENTING BUSINESSES THAT ARE OPPOSED TO SEISMIC AIRGUN TESTING AND OFFSHORE OIL DRILLING IN THE ATLANTIC OCEAN THE INVOLVEMENT OF THIS COALITION WAS INTEGRAL TO OCEANA'S VICTORIES IN THE CAMPAIGN TO STOP OFFSHORE OIL DRILLING SEAFOOD FRAUD THE OBAMA ADMINISTRATION ANNOUNCED A FINAL RULE TO IMPLEMENT THE SEAFOOD IMPORT MONITORING PROGRAM TO ADDRESS ILLEGAL FISHING AND SEAFOOD FRAUD IN THE UNITED STATES, REQUIRING IMPORTED SEAFOOD AT RISK OF ILLEGAL FISHING AND SEAFOOD FRAUD TO BE TRACED FROM THE FISHING BOAT OR FARM TO THE U S BORDER

Form 990, Part III, Line 4c:

MARKETING AND COMMUNICATIONS KEY ACHIEVEMENTS OF OCEANA'S MARKETING AND COMMUNICATIONS DEPARTMENT IN 2016 ARE HIGHLIGHTED BELOW ONLINE COMMUNICATIONS AS OF NOVEMBER 2016. OCEANA HAS BUILT A SUPPORTER BASE OF 1.9 MILLION SUPPORTERS, UP FROM THE 2015 END-OF-YEAR LEVEL OF 1.7 MILLION, INCLUDING 617,000 WAVEMAKERS (E-MAIL SUPPORTERS) - THE NUMBER HAS DECREASED BECAUSE OCEANA CULLED WAVEMAKERS WHO HAD NOT OPENED AN EMAIL FOR 18 OR MORE MONTHS AND REDUCED THE SIZE OF THE LIST BY OVER 200,000. 842,862 FACEBOOK FOLLOWERS, 254,892 TWITTER FOLLOWERS, 225,694 INSTAGRAM FOLLOWERS, SEVERAL THOUSAND FOLLOWERS ON YOUTUBE AND GOOGLE PLUS. OCEANA SUPPORTERS ENGAGED IN OVER 5.1 MILLION ACTIONS ON SOCIAL MEDIA IN SUPPORT OF OCEAN CONSERVATION, WELL ABOVE THE 2016 GOAL OF DOING SO 2.6 MILLION TIMES. OCEANA HAD MORE THAN 4 MILLION VISITS TO ITS WEBSITES. OCEANA ENGAGED MORE THAN 396,000 OF ITS E-SUPPORTERS TO TAKE AT LEAST ONE POLITICAL ACTION. PR AND MEDIA IN 2016, OCEANA WAS WIDELY FEATURED IN THE MEDIA TO RAISE THE PROFILE OF CAMPAIGNS AND THE ORGANIZATION. THE STORIES GENERATED A TOTAL OF 5,832 PRESS HITS AS OF NOVEMBER 2016. HIGH-PROFILE PRESS: OCEANA AND ITS LEADERS GENERATED HIGH-PROFILE PRESS. NOTABLE EXAMPLES INCLUDE: OCEANA BROUGHT LOGAN PAUL - WHO HAS 10 MILLION FOLLOWERS ON FACEBOOK - ON A TRIP TO BELIZE. THE LIVE UNDERWATER VIDEO STREAM LOGAN POSTED ABOUT THE TRIP ON FACEBOOK WAS VIEWED MORE THAN 1.5 MILLION TIMES. OCEANA COMPLETED A CULTIVATION TRIP TO MONTEREY, CA WITH AUSTIN STOWELL, WHO WAS FEATURED IN BRIDGE OF SPIES AND WAS RECENTLY CAST ALONG WITH STEVE CARELL AND EMMA STONE IN A BIOPIC ABOUT BILLIE JEAN KING. OCEANA'S VIDEO PSAs STARRING LAUREN CONRAD AND COBIE SMULDERS WERE FEATURED ON OUTSIDE TV, BLOOMBERG TV, PEOPLE MAGAZINE AND ON DISCOVERY. ANDY SHARPLESS, OCEANA'S CEO, MADE AN APPEARANCE ON BBC WORLD SERVICE RADIO PROGRAM/PODCAST "THE FOOD CHAIN" TO DISCUSS ILLEGAL FISHING AND THE POTENTIAL FOR HEALTHY OCEANS TO HELP FEED THE WORLD. JANUARY JONES WAS FEATURED IN PEOPLE MAGAZINE'S "WHY I CARE" SERIES FOR HER ADVOCACY FOR SHARKS, HELPING DELIVER PRESS COVERAGE OF OCEANA. VEEP ACTOR REID SCOTT WAS HONORED AT THE TELEVISION INDUSTRY ADVOCACY AWARDS FOR HIS SUPPORT OF OUR CAMPAIGN TO STOP SEISMIC AIRGUN BLASTING. COVERAGE OF OCEANA'S THREE MAJOR ORGANIZATIONAL EVENTS - NEW YORK GALA, PARTNERS AWARD GALA AND SEACHANGE SUMMER PARTY - ALSO RECEIVED COVERAGE IN OUTLETS INCLUDING THE NEW YORK TIMES, FORBES, VARIETY, BILLBOARD, US WEEKLY, LOS ANGELES CONFIDENTIAL, PEOPLE, GQ, DAILY MAIL, YAHOO, HOLLYWOOD TIMES, STYLE & SOCIETY AND THE LOS ANGELES TIMES. IN MARCH 2016, OCEANA LAUNCHED A NEW PSA CAMPAIGN FEATURING TELEVISION STAR, AUTHOR, AND FASHION DESIGNER LAUREN CONRAD. PROMINENT PRINT PLACEMENTS INCLUDED PEOPLE, INSTYLE, CAPITOL FILE, HAMPTONS MAGAZINE AND OTHERS. IN JULY, THE PSA ALSO BEGAN AIRING REGULARLY ON ABC NETWORKS ACROSS THE COUNTRY. IN RECENT MONTHS, THE SPOTS HAVE BEEN AIRING DURING "GOOD MORNING AMERICA AND COLLEGE FOOTBALL PROGRAMMING IN TOP MARKETS INCLUDING LOS ANGELES, NEW YORK AND D.C. THE PSA ALSO AIRED ON USA NETWORK CHANNELS, BLOOMBERG TV, DISCOVERY CHANNEL AND OUTSIDE TV. OCEANA DEVELOPED RELATIONSHIPS WITH REPORTERS IN THE FOOD AND SCIENCE ISSUE AREAS, INCLUDING A REPORTER AT GIZMODO, MADDIE STONE, WHO REGULARLY COMES TO OCEANA FOR ISSUE-AREA EXPERTISE FOR HER ARTICLES AND THE OPINION EDITOR AT THE HILL, WHO HAS REPEATEDLY ACCEPTED AND PUBLISHED OP-EDS BY OCEANA CEO ANDY SHARPLESS. OCEANA COLLABORATED WITH BLOOMBERG PHILANTHROPIES ON MULTIPLE PROJECTS. THE FIRST, CO-AUTHORED BY OCEANA CEO ANDY SHARPLESS AND RARE CEO BRETT JENKS AND ENTITLED "HEALTHY OCEANS, HEALTHY PEOPLE," FOCUSED ON A NEWLY-RELEASED STUDY THAT HIGHLIGHTED THE IMPORTANCE OF SEAFOOD TO THE NUTRITION OF PEOPLE LIVING IN POVERTY AROUND THE WORLD. THE SECOND, WRITTEN FOR THE OUR OCEAN CONFERENCE, WAS A LONG BLOG POST BY MR. SHARPLESS THAT APPEARED ON THE BLOOMBERG PHILANTHROPIES BLOG. OCEANA PUBLISHED A PIECE, ENTITLED "WHAT BREXIT MEANS FOR THE OCEANS," THAT PROMPTED A RADIO APPEARANCE WHERE MR. SHARPLESS DISCUSSED THE IMPLICATIONS OF THE BREXIT VOTE. OCEANA RECRUITED LOGAN PAUL, CHARLIE DAY AND JOSHUA JACKSON, WITH THE LATTER TRIP PRODUCING A PSA THAT WILL BE PUBLISHED IN 2017. OCEANA SUCCESSFULLY BROUGHT ACTRESS KATE WALSH TO D.C. FOR THE COASTAL VOICES SUMMIT. OTHER MEDIA: AZULA.COM - OCEANA'S "BUZZFEED FOR THE OCEANS" - WAS WIDELY READ AND EXCEEDED ITS INITIAL GOAL OF ATTRACTING OVER 4 MILLION VISITS IN 2016. WE HAVE GENERATED 4.5 MILLION VISITS TO DATE AND HAVE BUILT CORPORATE PARTNERSHIPS WITH UBISOFT AND SERIOUSLY ENTERTAINMENT THAT RESULTED IN MILLIONS OF VISITS IN 2016 AND THAT WILL RESULT IN MILLIONS MORE IN THE FUTURE. OCEANA CREATED THREE MAGAZINES AND ONE ANNUAL REPORT IN 2016. PUBLICATIONS: OCEANA PUBLISHED 43 REPORTS IN 2016. UNITED STATES - FISH STORIES: SUCCESS AND VALUE IN SEAFOOD TRACEABILITY (MARCH 2016). IN THIS REPORT, SEVENTEEN TRACEABILITY PIONEERS - FISHERMEN, WHOLESALERS, RETAILERS, AND RESTAURATEURS - DISCUSS HOW TRACEABILITY ADDS SUCCESS AND VALUE TO THEIR BUSINESSES. THE INTERVIEWS THAT OCEANA CONDUCTED INDICATE THAT CONSUMERS ARE WILLING TO PAY TOP-DOLLAR FOR SEAFOOD PRODUCTS THAT THEY KNOW ARE SAFE, LEGALLY CAUGHT AND HONESTLY LABELED. GLOBAL FISHING WATCH REVEALS A FISHERIES MANAGEMENT SUCCESS IN THE PHOENIX ISLANDS (MARCH 2016). OCEANA'S REPORT HIGHLIGHTS THAT GLOBAL FISHING WATCH CAN MONITOR THE SUCCESS OR FAILURE OF POLICIES AIMED AT CONTROLLING FISHING ACTIVITY IN MARINE PROTECTED AREAS (MPAS). USING THE PHOENIX ISLANDS PROTECTED AREA AS A CASE STUDY, OCEANA DOCUMENTED THE APPARENT SUCCESS BY SHOWING A DRASTIC REDUCTION IN DETECTED FISHING ACTIVITY FOLLOWING NEW REGULATIONS THAT ESTABLISHED IT AS A NO-TAKE AREA. TIME FOR ACTION: SIX YEARS AFTER DEEPWATER HORIZON (APRIL 2016) THE REPORT HIGHLIGHTS LONG-TERM IMPACTS OF THE DEEPWATER HORIZON OIL SPILL FOCUSING ON THE MARINE LIFE, COMMUNITIES AND ECONOMY. IT ALSO DEMONSTRATES THAT DESPITE THE EVIDENCE OF NEGATIVE CONSEQUENCES, OFFSHORE DRILLING ACCIDENTS CONTINUE TO OCCUR. TEDS FOR ALL TRAWLS: A NET POSITIVE FOR FISHERMEN AND SEA TURTLES (MAY 2016) BY DEMONSTRATING THE BENEFITS OF TURTLE EXCLUDER DEVICES (TEDS) FOR BOTH THE MARINE WILDLIFE AND THE FISHERMEN, OCEANA CALLS ON THE FEDERAL GOVERNMENT TO IMPLEMENT A POLICY THAT MAKES THE USE OF IMPROVED TEDS A REQUIREMENT FOR ALL TRAWLS. OCEANA REVEALS SHORTFALLS IN PROPOSED TRACEABILITY RULE TO ADDRESS SEAFOOD FRAUD (JUNE 2016). OCEANA'S REPORT ANALYZES SCIENTIFIC STUDIES, GOVERNMENT DOCUMENTS AND NEWS REPORTS SINCE 2001 THAT IDENTIFY EXAMPLES OF SEAFOOD FRAUD (SPECIES SUBSTITUTION AND MISLABELING) AND HIGHLIGHTS HOW THE OBAMA ADMINISTRATION'S PROPOSED TRACEABILITY RULE FALLS SHORT IN FIGHTING IT WITHIN THE U.S. CONSERVATION ALTERNATIVE TO MODIFY U.S. WEST COAST EFH CONSERVATION AND MANAGEMENT (JUNE 2016). IN 2013 OCEANA AND ITS PARTNERS SUBMITTED A COMPREHENSIVE CONSERVATION PROPOSAL TO PROTECT OVER 138,000 MI² OF SEAFLOOR HABITAT OFF THE U.S. WEST COAST FROM BOTTOM TRAWLING. IN THIS PROPOSAL UPDATE, OCEANA PROVIDES NEW SCIENTIFIC INFORMATION, MAPS AND ANALYSES OF THE SIXTY-THREE ECOLOGICALLY IMPORTANT AREAS OFF THE WEST COAST. SHARK FIN TRADE: WHY IT SHOULD BE BANNED IN THE UNITED STATES (JUNE 2016). OCEANA'S REPORT DEMONSTRATES THAT CONGRESS NEEDS TO PASS A FEDERAL BAN ON THE BUYING AND SELLING OF SHARK FIN PRODUCTS WHICH WOULD REDUCE THE INTERNATIONAL FIN TRADE, IMPROVE ENFORCEMENT OF THE CURRENT FINNING BAN, AND REINFORCE THE STATUS OF THE UNITED STATES AS A LEADER IN SHARK CONSERVATION. EXPLORING THE USE OF MANNED AERIAL OVERFLIGHT SURVEYS TO ESTIMATE THE SPATIAL DISTRIBUTION AND ABUNDANCE OF DUNGENESS CRAB FISHING EFFORT IN MONTEREY BAY (AUGUST 2016). IN RESPONSE TO A RECENT INCREASE IN WHALE ENTANGLEMENTS WITH DUNGENESS CRAB FISHING GEAR, THE STATE OF CALIFORNIA CONVENED THE DUNGENESS CRAB FISHING GEAR WORKING GROUP, OF WHICH OCEANA IS A MEMBER, TO DEVELOP COLLABORATIVE SOLUTIONS. THE PROJECT EXPLORED AND PILOTTED A METHOD FOR COLLECTING DATA AT A REGIONAL SCALE BY CONDUCTING AN AERIAL SURVEY OF TRAP BUOYS FROM A SINGLE-ENGINE AIRCRAFT IN MONTEREY BAY. DECEPTIVE DISHES: SEAFOOD SWAPS FOUND WORLDWIDE (SEPTEMBER 2016). THIS REPORT IS AN UPDATE OF OCEANA'S 2014 REVIEW OF SEAFOOD FRAUD STUDIES, IT DEMONSTRATES THE GLOBAL SCOPE OF THE PROBLEM, BUT ALSO REVEALS SOME PROMISING TRENDS DUE TO RECENT REGULATIONS IN THE EUROPEAN UNION (EU) THAT ARE INCREASING TRANSPARENCY AND TRACEABILITY AS WELL AS ADDRESSING ILLEGAL, UNREGULATED AND UNREPORTED (IUU) FISHING.

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors								(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)								
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
SIMON SIDAMON-ERISTOFF CHAIR	9 00	X		X				0	0	0
VALARIE VAN CLEAVE VICE-CHAIR	7 00	X		X				0	0	0
JAMES SANDLER SECRETARY	3 00	X		X				0	0	0
MARIA EUGENIA GIRON TREASURER	3 00	X		X				0	0	0
KEITH ADDIS PRESIDENT	6 00	X		X				0	0	0
MONIQUE BAR DIRECTOR	2 00	X						0	0	0
HERBERT M BEDOLFE III DIRECTOR	3 00	X						0	0	0
TED DANSON DIRECTOR	2 00	X						0	0	0
SYDNEY DAVIS DIRECTOR	2 00	X						0	0	0
CESAR GAVIRIA DIRECTOR	2 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LOIC GOUZER DIRECTOR	2 00 1 00	X						0	0	0
STEPHEN P MCALLISTER DIRECTOR	2 00 1 00	X						0	0	0
DR KRISTIAN PARKER DIRECTOR	3 00 1 00	X						0	0	0
DR DANIEL PAULY DIRECTOR	2 00 1 00	X						0	0	0
SUSAN ROCKEFELLER DIRECTOR	6 00 1 00	X						0	0	0
HEATHER STEVENS DIRECTOR	2 00 1 00	X						0	0	0
DIANA THOMSON DIRECTOR	2 00 1 00	X						0	0	0
ROGIER VAN VILET DIRECTOR (UNTIL JAN 2016)	2 00 1 00	X						0	0	0
SAM WATERSTON DIRECTOR	2 00 1 00	X						0	0	0
ANDREW F SHARPLESS CHIEF EXECUTIVE OFFICER	40 00			X				342,988	0	102,570

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JAMES F SIMON PRESIDENT & GENERAL COUNSEL	40 00			X				270,190	0	82,478
CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER	40 00			X				218,886	0	68,706
MICHAEL F HIRSHFIELD CHIEF SCIENTIST & STRATEGY OFFICER	40 00				X			239,230	0	74,769
LARS GUSTAVSSON SVP, EUROPE	40 00				X			198,762	0	0
COURTNEY J SAKAI SVP, GLOBAL DEVELOPMENT	40 00				X			194,622	0	58,622
MATTHEW M LITTLEJOHN SVP, STRATEGIC MKTG & COMMUNICATIONS	40 00				X			192,103	0	58,622
JACQUELINE SAVITZ SVP, UNITED STATES	40 00				X			187,211	0	59,557
MARIBEL LOPEZ CARMONA SR OPERATIONS DIR - UNTIL 09/2016	40 00					X		225,615	0	0
NANCY GOLDEN VICE PRESIDENT OF DEVELOPMENT	40 00					X		179,297	0	52,759
SUSAN MURRAY DEPUTY VP, PACIFIC	40 00					X		148,366	0	44,589

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PATRICIA MAJLUF VP, PERU	40 00					X		146,734	0	0
ERIC BILSKY SENIOR ATTORNEY & ASSISTANT GENERAL COUNSEL	40 00					X		133,405	0	41,623

SCHEDULE A
(Form 990 or
990EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
- 8 A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university _____
- 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III)
- 11 An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s) _____

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
 (Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant")	15,092,380	44,635,808	21,110,289	18,802,188	24,887,791	124,528,456
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3	15,092,380	44,635,808	21,110,289	18,802,188	24,887,791	124,528,456
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						35,477,645
6	Public support. Subtract line 5 from line 4						89,050,811

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
7	Amounts from line 4	15,092,380	44,635,808	21,110,289	18,802,188	24,887,791	124,528,456
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	101,303	168,386	87,084	45,208	19,769	421,750
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)	4,668	3,296	24,773	17,452	566	50,755
11	Total support. Add lines 7 through 10						125,000,961
12	Gross receipts from related activities, etc (see instructions)					12	967,356

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14	Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	71.240 %
15	Public support percentage for 2015 Schedule A, Part II, line 14	15	62.930 %

- 16a 33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization
- b 33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization
- 17a 10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization
- b 10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization
- 18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3	Gross receipts from activities that are not an unrelated trade or business under section 513						
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5						
7a	Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c	Add lines 7a and 7b						
8	Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
9	Amounts from line 6						
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c	Add lines 10a and 10b						
11	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15	Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	
16	Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from 2015 Schedule A, Part III, line 17	18	
19a	33 1/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
b	33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
20	Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► <input type="checkbox"/>		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
	5a		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
	5b		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		
	10b		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b	A family member of a person described in (a) above?		
c	A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		
		11a	
		11b	
		11c	

Section B. Type I Supporting Organizations

		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
		1	
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
		2	

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
		1	

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
		1	
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
		2	
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
		3	

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2	Activities Test Answer (a) and (b) below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
		2a	
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
		2b	
3	Parent of Supported Organizations Answer (a) and (b) below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
		3a	
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
		3b	

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI)		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

990 Schedule A, Supplemental Information

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME	OTHER INCOME - 2012 AMOUNT \$ 4,668 2013 AMOUNT \$ 3,296 2014 AMOUNT \$ 24,773 2015 AMOUNT \$ 17,452 2016 AMOUNT \$ 566

Schedule A Form 990 of 990-E 2016

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No 1545-0047

2016

Open to Public Inspection

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at**
www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization OCEANA INC	Employer identification number 51-0401308
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B** Check if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

(a) Filing organization's totals	(b) Affiliated group totals
----------------------------------	-----------------------------

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	74,264													
b Total lobbying expenditures to influence a legislative body (direct lobbying)	115,947													
c Total lobbying expenditures (add lines 1a and 1b)	190,211													
d Other exempt purpose expenditures	34,442,863													
e Total exempt purpose expenditures (add lines 1c and 1d)	34,633,074													
f Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table>			If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000													
Over \$17,000,000	\$1,000,000													
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000													
h Subtract line 1g from line 1a If zero or less, enter -0-	0													
i Subtract line 1f from line 1c If zero or less, enter -0-	0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	181,774	199,471	281,792	190,211	853,248
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	22,720	4,671	62,815	74,264	164,470

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
<i>For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity</i>			
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	
a Current year	2a
b Carryover from last year	2b
c Total	2c
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4
5 Taxable amount of lobbying and political expenditures (see instructions)	5

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements
▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047
2016
Open to Public Inspection

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

Preservation of land for public use (e g , recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table
- | | Amount |
|--|--------|
| c Beginning balance | |
| d Additions during the year | |
| e Distributions during the year | |
| f Ending balance | |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b** If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a** Board designated or quasi-endowment ▶
 - b** Permanent endowment ▶
 - c** Temporarily restricted endowment ▶
- The percentages on lines 2a, 2b, and 2c should equal 100%
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- | | | |
|--|------------|-----------|
| (i) unrelated organizations | Yes | No |
| (ii) related organizations | | |
| b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? | | |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		2,364,583	1,736,729	627,854
d Equipment		3,691,714	2,608,733	1,082,981
e Other		1,647,411	1,523,956	123,455
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				1,834,290

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12)		

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13)		

Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15)	

Part X Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
DEFERRED RENT AND LEASE INCENTIVE	343,018
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	343,018

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	26,307,682
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a	22,764	
b	Donated services and use of facilities	2b	1,370,362	
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d	172,834	
e	Add lines 2a through 2d		2e	1,565,960
3	Subtract line 2e from line 1		3	24,741,722
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	0
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)		5	24,741,722

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	36,394,079
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a	1,370,362	
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d	172,834	
e	Add lines 2a through 2d		2e	1,543,196
3	Subtract line 2e from line 1		3	34,850,883
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	0
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)		5	34,850,883

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Additional Data

Software ID:

Software Version:

EIN: 51-0401308

Name: OCEANA INC

Supplemental Information

Return Reference	Explanation
PART X, LINE 2	OCEANA PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2016, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX- EXEMPT STATUS

Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS	SPECIAL EVENT EXPENSES 172,834

Supplemental Information

Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS	SPECIAL EVENT EXPENSES 172,834

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data					
3a Sub-total	8	98			11,484,342
b Total from continuation sheets to Part I	0	0			756,829
c Totals (add lines 3a and 3b)	8	98			12,241,171

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 2	OCEANA INFREQUENTLY MAKES GRANTS TO FOREIGN ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

Additional Data

Software ID:

Software Version:

EIN: 51-0401308

Name: OCEANA INC

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	3	32	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	5,665,489
EUROPE (INCLUDING ICELAND & GREENLAND)	0	4	FUNDRAISING		490,485
SOUTH AMERICA	3	38	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	2,656,494

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		43,880
CENTRAL AMERICA AND THE CARIBBEAN	1	12	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	404,853
SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		1,497

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	1	12	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	1,467,986
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		753,658
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY AND COMMUNICATIONS	30,095

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		14,941
MIDDLE EAST AND NORTH AFRICA - ALGERIA, BAHRAIN, DJIBOUTI, EGYPT,	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	711,793

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	TO SUPPORT OCEANA CANADA'S MISSION TO RESTORE CANADIAN OCEANS	753,658	WIRE	0		
		SOUTH AMERICA	OCEANA WORKS WITH ARTISANAL FISHERMEN TO VALIDATE MARINE PROTECTED AREAS (MPAS) AND FISHING MANAGEMENT PROPOSALS THEY ARE OCEANA'S ALLIES TO MOVE FORWARD WITH OCEANA'S PROPOSALS IN FISHERIES AND HABITAT PROTECTION OCEANA DONATED COMMUNICATIONS EQUIPMENT TO ENABLE THE ARTISANAL FISHERMAN ASSOCIATION OF ROBINSON CRUSOE ISLAND TO COORDINATE ON PROPOSAL AGREEMENTS WHILE AT SEA	0		5,747	RADIO COMMUNICATIONS EQUIPMENT	COST

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	TO SUPPORT INTERSECTORAL COOPERATION PROCESSES FOR AN EFFECTIVE MANAGEMENT OF PROTECTED AREAS IN THE MARINE-COASTAL FIELD	25,439	WIRE	0		
		SOUTH AMERICA	THE PROVIDE IBAMA ITAJAI WITH THE NECESSARY EQUIPMENT THEY NEEDED TO HELP COMBAT SEVERAL ILLEGAL ACTIVITIES OFF SANTA CATARINA STATE, THE MOST IMPORTANT FISHERIES STATE IN BRAZIL	0		8,618	WI-FI CELLS, CONTAINERS, AND DRONE	COST

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	ASSIST IN DEVELOPMENT OF A NETWORK OF MARINE PROTECTED AREAS	6,947	WIRE	0		
		MIDDLE EAST AND NORTH AFRICA	ASSIST IN DEVELOPMENT OF A NETWORK OF MARINE PROTECTED AREAS	7,994	WIRE	0		

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

OMB No 1545-0047

2016

Open to Public Inspection

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a** Mail solicitations
 - b** Internet and email solicitations
 - c** Phone solicitations
 - d** In-person solicitations
 - e** Solicitation of non-government grants
 - f** Solicitation of government grants
 - g** Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? **Yes** **No**
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
M R STRATEGIC SERVICES INC 1901 L STREET NW SUITE 800 WASHINGTON, DC 20036	FUNDRAISING COUNSEL		No	439,562	105,012	334,550
CHARITY BUZZ 437 FIFTH AVENUE 11TH FLOOR NEW YORK, NY 10016	PROFESSIONAL SOLICITOR/FUNDRAISER	Yes		355,057	71,886	283,171
THE HOLMAN GROUP LLC 1854 NORTH DOHENY DRIVE WEST HOLLYWOOD, CA 90069	FUNDRAISING COUNSEL		No	180,000	21,000	159,000
DONOR SERVICES GROUP LLC 6715 WEST SUNSET BOULEVARD LOS ANGELES, CA 90028	PROFESSIONAL SOLICITOR		No	13,887	19,910	-6,023
Total				988,506	217,808	770,698

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, DC

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d)	
		SEACHANGE (event type)	OCEANA BALL IN NEW YORK (event type)	1 (total number)	Total events (add col (a) through col (c))	
1	Gross receipts	1,135,385	598,506	361,390	2,095,281	
2	Less Contributions	1,079,500	537,549	332,272	1,949,321	
3	Gross income (line 1 minus line 2)	55,885	60,957	29,118	145,960	
Direct Expenses	4	Cash prizes				
	5	Noncash prizes				
	6	Rent/facility costs	8,028		8,028	
	7	Food and beverages	37,882	53,644	16,365	107,891
	8	Entertainment	27,810	12,500	6,670	46,980
	9	Other direct expenses		1,713	8,222	9,935
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶				172,834
11	Net income summary Subtract line 10 from line 3, column (d) ▶				-26,874	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
		1	Gross revenue		
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No	<input type="checkbox"/> Yes _____% <input type="checkbox"/> No
7	Direct expense summary Add lines 2 through 5 in column (d) ▶				
8	Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain _____

- 11** Does the organization conduct gaming activities with nonmembers? Yes No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13** Indicate the percentage of gaming activity conducted in
- | | | |
|----------|-----------------------------|---|
| a | The organization's facility | % |
| b | An outside facility | % |

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

- Director/officer Employee Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
PART I, LINE 2B, COLUMN III	CHARITY BUZZ COLLECTED AND PROCESSED THE WINNING AUCTION BID PAYMENTS FOR OCEANA'S FUNDRAISING EVENTS, INCLUDING ITS NEW YORK, SEACHANGE AND LA BENEFITS

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

OMB No 1545-0047

2016

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

Attach to Form 990.

Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

Name of the organization OCEANA INC

Employer identification number

51-0401308

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section if applicable, (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation, (g) Description of non-cash assistance, (h) Purpose of grant or assistance.

Summary table with 2 rows: 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table. 3 Enter total number of other organizations listed in the line 1 table.

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
PART I, LINE 2	OCEANA INFREQUENTLY MAKES GRANTS TO ORGANIZATIONS OR INDIVIDUALS HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT

Additional Data

Software ID:
Software Version:
EIN: 51-0401308
Name: OCEANA INC

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SKYTRUTH 213 WEST WASHINGTON STREET SHEPHERDSTOWN, WV 254433283	54-2059475	501(C)(3)	967,979				DEVELOPMENT PARTNERSHIP TO CREATE GLOBAL FISHING WATCH SOFTWARE THAT WILL ENABLE ITS USERS TO TRACK GLOBAL COMMERCIAL FISHING ACTIVITY OVER TIME THROUGH A HEATMAP, VIEW INDIVIDUAL VESSEL TRACKS, DOWNLOAD SUMMARY DATA, VIEW FISHERIES AND OTHER DATA OVERLAYS, AND MORE
GLOBAL ALLIANCE FOR INCINERATOR ALTERNATIVES 1958 UNIVERSITY AVENUE BERKELEY, CA 94704	45-3543427	501(C)(3)	85,850				HOST THE GLOBAL MOVEMENT ALIGNMENT MEETING IN TAGAYTAY, PHILIPPINES AND CHINA MOVEMENT ALIGNMENT MEETING IN GUANGZHOU, CHINA
SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) 8 ARGONAUT SUITE 170 ALISO VIEJO, CA 92656	33-0385201	501(C)(6)	30,000				TO HELP LOCAL ECOLOGICAL AND ENVIRONMENTAL ORGANIZATIONS WHOSE EFFORTS ARE FOCUSED ON ENHANCING THE OCEANIC ENVIRONMENT

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE STORY OF STUFF PROJECT 1442A WALNUT STREET SUITE 272 BERKELEY, CA 94709	46-4334785	501(C)(3)	19,356				SUPPORT THE DEVELOPMENT AND COORDINATION OF THE BREAK FREE FROM PLASTIC WEBSITE AND SOCIAL MEDIA ASSETS ON BEHALF OF THE PLASTICS MOVEMENT ALIGNMENT PROJECT

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
▶ **Attach to Form 990.**
▶ **Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.**

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items

- First-class or charter travel
- Travel for companions
- Tax indemnification and gross-up payments
- Discretionary spending account
- Housing allowance or residence for personal use
- Payments for business use of personal residence
- Health or social club dues or initiation fees
- Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III

- Compensation committee
- Independent compensation consultant
- Form 990 of other organizations
- Written employment contract
- Compensation survey or study
- Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of

a The organization?

b Any related organization?

If "Yes," on line 5a or 5b, describe in Part III

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes," on line 6a or 6b, describe in Part III

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a	Yes	
4b		No
4c		No
5a		No
5b		No
6a		No
6b		No
7		No
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 4A	FOR THE YEAR ENDED DECEMBER 31, 2016, MARIBEL LOPEZ CARMONA, SENIOR OPERATIONS DIRECTOR, RECEIVED A SEVERANCE PAYMENT IN THE AMOUNT OF \$150,268, IN ACCORDANCE WITH SPANISH LAW

Schedule L (Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

Attach to Form 990 or Form 990-EZ.

Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2016

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization OCEANA INC

Employer identification number

51-0401308

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

Table with 4 main columns: (a) Name of disqualified person, (b) Relationship between disqualified person and organization, (c) Description of transaction, (d) Corrected? (Yes/No)

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 \$
3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

Table with 9 main columns: (a) Name of interested person, (b) Relationship with organization, (c) Purpose of loan, (d) Loan to or from the organization? (To/From), (e) Original principal amount, (f) Balance due, (g) In default? (Yes/No), (h) Approved by board or committee? (Yes/No), (i) Written agreement? (Yes/No)

Total \$

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

Table with 5 main columns: (a) Name of interested person, (b) Relationship between interested person and the organization, (c) Amount of assistance, (d) Type of assistance, (e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) PHILIPPE GOUZER	PHILIPPE GOUZER IS A FAMILY MEMBER OF BOARD DIRECTOR, LOIC GOUZER	24,241	PHILIPPE GOUZER WORKED FOR FUNDACION OCEANA AS A CONSULTANT IN THE 2016 TAX YEAR		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No 1545-0047

2016

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
 ▶ **Attach to Form 990.**
 ▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990**

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	682	45,771	FAIR MARKET VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29	
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30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

	Yes	No
30a		No
31		No
32a	Yes	
33		

Part II Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B)	OCEANA REPORTS THE NUMBER OF ITEMS CONTRIBUTED IN PART I, COLUMN (B)
PART I, LINE 32B	CHARITY BUZZ PROMOTED, COLLECTED, AND PROCESSED NON-CASH DONATIONS RELATED TO OCEANA'S FUNDRAISING EVENTS

SCHEDULE O
(Form 990 or 990-
EZ)

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Employer identification number

51-0401308

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	<p>THE VIDAL ARMADORES COMPANY RAID CONCLUDED WITH FOUR ARRESTS, INCLUDING ANTONIO VIDAL SUAREZ AND HIS SON, WHO ARE GLOBALLY KNOWN FOR THEIR LINKS WITH ILLEGAL FISHING OFFENSES IN AN ARCTIC WATERS FOR THE PRIZED PATAGONIAN TOOTHFISH RESTORING MEDITERRANEAN FISH STOCK OCEANA SEEKS TO ENSURE THAT EU FISH STOCKS IN THE NORTH AND MEDITERRANEAN SEA ARE FISHED AT SUSTAINABLE LEVELS BY 2020 ALSO AS PART OF THE FISHERIES WORK, OCEANA IS CAMPAIGNING FOR SUBSTANTIALLY ELIMINATING IUU AND DESTRUCTIVE FISHING FROM EU MARKETS AND FLEETS THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) AGREED ON A RECOVERY PLAN FOR THE SEVERELY DEPLETED MEDITERRANEAN SWORDFISH THE PLAN INCLUDES A REDUCTION OF CATCHES AND THE ADOPTION OF A QUOTA SYSTEM, ENFORCED BY MONITORING AND CONTROL MEASURES TO PREVENT ILLEGAL FISHING AND IMPROVE TRANSPARENCY IN FISHERY MANAGEMENT AND TRADE THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN ADOPTED A BAN ON BOTTOM TRAWLING IN THREE NURSERY AREAS FOR HAKE, THE MOST OVERFISHED SPECIES IN THE MEDITERRANEAN, AND ROSE SHRIMP IN THE STRAIT OF SICILY THE COMMISSION ALSO PROHIBITED THE COMMERCIAL HARVEST OF RED CORAL HABITAT CAMPAIGN OCEANA AIMS TO SECURE PROTECTION FOR 20 PERCENT OF THE BALTIC SEA AND KATTEGAT, AND 10 PERCENT OF THE OTHER EUROPEAN WATERS WITH MPAS IN THE ATLANTIC OCEAN (INCLUDING THE NORTH SEA) AND MEDITERRANEAN SEA BY 2020 THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN CREATED THREE FISHERIES RESTRICTED AREAS IN THE STRAIT OF SICILY, PROTECTING 1,493 SQUARE KILOMETERS BETWEEN ITALY, MALTA, AND TUNISIA AND BANNING BOTTOM TRAWLING IN THREE NURSERY AREAS FOR HAKE AND ROSE SHRIMP THE EUROPEAN COMMISSION, EUROPEAN PARLIAMENT AND THE FISHERIES MINISTERS OF ALL 27 MEMBER STATES REACHED AN AGREEMENT THAT BANS ALL TRAWLING BELOW 800 METERS AND STOPS BOTTOM FISHING ACTIVITY BELOW 400 METERS IF THE PRESENCE OF VULNERABLE MARINE ECOSYSTEMS IS DEMONSTRATED THESE ACTIONS PROTECT 4.9 MILLION SQUARE KILOMETERS - AN AREA LARGER THAN THE EUROPEAN UNION ITSELF SPAIN ANNOUNCED A BAN ON BOTTOM TRAWLING AND OTHER DESTRUCTIVE FISHING PRACTICES IN A 1,400 SQUARE KILOMETER REGION BETWEEN MALLORCA AND MENORCA IN THE BALEARIC ISLANDS THE SPANISH GOVERNMENT ALSO EXPANDED THE PROTECTED AREA IN FORT D'EN MOREU, A VIBRANT REEF TO THE EAST OF CABRERA THAT HAS BEEN THREATENED BY ILLEGAL TRAWLING ACTIVITY THE GOVERNMENT OF DENMARK DECIDED TO CREATE NEW MARINE PROTECTED AREAS IN THE KATTEGAT TO PROTECT FRAGILE AND THREATENED SOFT BOTTOM SPECIES AND HABITATS, LIKE SEA PENS AND HAPLOOPS, WHICH ARE LARGELY UNPROTECTED IN EUROPEAN WATERS IN 2016, OCEANA CONDUCTED FOUR EXPEDITIONS IN EUROPEAN WATERS THE BALTIC SEA, EASTERN AND CENTRAL MEDITERRANEAN (MALTA AND LEBANON RESPECTIVELY), AND THE NORTH SEA THE TWO-YEAR RESEARCH PROJECT IN THE EASTERN MEDITERRANEAN WILL SUPPORT THE CREATION OF PROPOSALS TO INCREASE THE SURFACE OF MPAS IN LEBANON AND PROTECTING 10 PERCENT OF THE MARINE ECOSYSTEM BY 2020 GLOBAL FISHING WATCH</p>

990 Schedule O, Other Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>GLOBAL FISHING WATCH (GFW), LAUNCHED BY OCEANA AND ITS PARTNERS GOOGLE AND SKYTRUTH, IS THE FIRST AND ONLY FREE SOURCE OF DATA ON FISHING VESSEL TRACKING AVAILABLE TO ANYONE IN THE WORLD WITH AN INTERNET CONNECTION GLOBAL FISHING WATCH PROVIDES A GLOBAL VIEW OF COMMERCIAL FISHING ACTIVITY, AND EXPOSING BOTH LEGAL AND ILLEGAL FISHING SOURCES IN SEPTEMBER 2016, OCEANA, GOOGLE, AND SKYTRUTH LAUNCHED GLOBAL FISHING WATCH AT A HIGH-VISIBILITY EVENT, FEATURING LEONARDO DICAPRIO TED DANSON EMCEED THE EVENT, AND U S SECRETARY OF STATE JOHN KERRY SPOKE AT THE EVENT ABOUT THE VALUE OF THE GLOBAL FISHING WATCH AS A NEW TRANSPARENCY TOOL ALSO IN ATTENDANCE WAS THE DIRECTOR GENERAL OF THE UNITED NATIONS FOOD AND AGRICULTURE ORGANIZATION AND INDONESIAN FISHERY MINISTER THE GLOBAL FISHING WATCH LAUNCH GENERATED MORE THAN 240 UNIQUE STORIES AND 1,800 NEWS HITS THIS INCLUDES COVERAGE IN 75 COUNTRIES AND 21 LANGUAGES KEY OUTLETS INCLUDED THE NEW YORK TIMES, WASHINGTON POST, AGENCE FRANCE PRESSE, LE FIGARO, INTERNATIONAL BUSINESS TIMES, USA TODAY, FORBES, BLOOMBERG AND BLOOMBERG VIEW, SCIENTIFIC AMERICAN, AND FAST COMPANY COEXIST WITHIN TWO DAYS, GLOBAL FISHING WATCH WEBSITE HAD 8,300 REGISTERED USERS BY THE END OF 2016, THE NUMBER OF USERS HAD GROWN TO NEARLY 25,000 PEOPLE IN MORE THAN 188 COUNTRIES AND 36 TERRITORIES OCEANA ESTABLISHED RESEARCH PARTNERSHIPS WITH THE UNIVERSITY OF CALIFORNIA AT SANTA BARBARA, UNIVERSITY OF MIAMI, DALHOUSIE, UNIVERSITY OF BRITISH COLUMBIA, NATIONAL GEOGRAPHIC, UNIVERSITY OF WOLLONGONG, AND THE AUSTRALIAN COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RESEARCH ORGANIZATION (CSIRO) PERU- SHARK CAMPAIGN PERU'S MINISTRY OF PRODUCTION ANNOUNCED A BAN ON THE LANDING AND TRANSHIPMENT OF SHARK FINS AND HEADLESS OR FINLESS SHARK BODIES IN THE COUNTRY'S PORTS THE MEASURE ALSO BANS THE USE OF HARPOONS TO HUNT SHARKS AND PROMOTES TRACEABILITY IN THE SHARK SUPPLY CHAIN ANCHOVETA RECOVERY CAMPAIGN OCEANA AIMS TO IMPROVE MANAGEMENT OF ANCHOVETA AND TO ADDRESS THE EMERGING NATIONAL EMERGENCY CAUSED BY THE ONGOING EL NIÑO EVENT PERU'S MINISTRY OF PRODUCTION DELAYED THE OPENING OF THE 2016 ANCHOVETA FISHING SEASON, AND CLOSED IT EARLY, IN RESPONSE TO OCEANA'S STEADY PRESSURE TO AVOID THE COLLAPSE OF THIS FISHERY - THE LARGEST IN THE WORLD BY VOLUME THESE DECISIONS SAVED 40 TO 60 BILLION ANCHOVETA, AND IN TURN SUPPORTED PERUVIANS WHO DEPEND ON THIS FISHERY TO MAKE A LIVING TRANSPARENCY OCEANA IS CAMPAIGNING TO MAKE FISH STOCK ASSESSMENTS, LANDINGS, AND MANAGEMENT PLANS TRANSPARENT TO THE PUBLIC THE GOVERNMENT RELEASED ITS VESSEL MONITORING SYSTEM (VMS) DATA FOR 2012 TO OCEANA THIS IS A GOOD FIRST STEP TOWARD OBTAINING BOTH NEAR REAL-TIME AND HISTORICAL VMS DATA PREVIOUS TO THIS, THE GOVERNMENT WITHHELD DATA LESS THAN FOUR YEARS OLD ON THE GROUNDS THAT THE DATA COULD STILL BE USED IN ENFORCEMENT PROCEEDINGS THE PERUVIAN GOVERNMENT HAS STARTED PUBLISHING ALL REPORTS SUPPORTING FISHERY MANAGEMENT DECISIONS ADDITIONALLY THE GOVERNMENT HAS</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>BEEN TAKING STEPS TO IMPROVE ITS STOCK ASSESSMENT METHODS PHILIPPINES- OCEANA IN THE PHILIPPINES IS WORKING TO STOP ILLEGAL FISHING BY COMMERCIAL FISHERS IN ORDER TO REBUILD FISH POPULATIONS AND REDIRECT FISH CATCHES TO THE SMALL-SCALE FISHING SECTOR END COMMERCIAL FISHING IN TA ON STRAIT OCEANA IS SEEKING TO PREVENT COMMERCIAL FISHING VESSELS FROM FISHING IN TA ON STRAIT AS A KEY FIRST STEP TOWARDS PREVENTING ILLEGAL FISHING IN MUNICIPAL WATER S NATIONWIDE OCEANA'S MILESTONES FOR 2016 ARE HIGHLIGHTED BELOW THE PHILIPPINE NATIONAL POLICE MARITIME GROUP AND THE FISHERIES BUREAU INCREASED ENFORCEMENT ACTIVITIES AND HAVE BEEN CONDUCTING OPERATIONS IN BANTAYAN (PART OF TA ON STRAIT AND VISAYAS SEA) THE FISHERIES UNDERSECRETARY AND NATIONAL DIRECTOR OF THE BUREAU OF FISHERIES AND AQUATIC RESOURCES COMMITTED TO ASSIGN TWO VESSELS TO PATROL THE TA ON STRAIT, AND ORDERED HIS STAFF TO COORDINATE WITH OCEANA FOR PILOT TESTING OF VESSEL MONITORING MECHANISMS BIODIVERSITY MANAGEMENT BUREAU DIRECTOR MUNDITA LIM REVIEWED AND ENDORSED THE TA ON STRAIT GENERAL MANAGEMENT PLAN, AND GAVE APPROVAL FOR ITS FULL IMPLEMENTATION BY THE MANAGEMENT BODY IN SEPTEMBER, THE PHILIPPINE GOVERNMENT AND CIVIL SOCIETY ORGANIZATIONS MADE A DECISION TO CONTINUE AND STRENGTHEN THE COASTAL LAW ENFORCEMENT ALLIANCE IN REGION 7(CLEAR7) OCEANA BUILT A STRONGER COALITION OF CONSTITUENTS WITH THE BIGGEST PRIVATE UNIVERSITY IN THE VISAYAS AND MINDANAO REGIONS, THE UNIVERSITY OF CEBU, AND WITH CIVIL SOCIETY ORGANIZATIONS, AND PEOPLE'S ORGANIZATIONS TO PROTECT AND CONSERVE THE FRAGILE ECOSYSTEMS IN TA ON STRAIT OCEANA PRESENTED ITS FIRST HERO AWARDS FOR EACH OF THE THREE PROVINCES IN CEBU AND NEGROS OCEANA CARRIED OUT ENFORCEMENT TRAININGS FOR ENFORCERS AND DEPUTIES IN TA ON STRAIT AND CONDUCTED THE FIRST -EVER TRAINING OF SPECIAL PROSECUTORS FOR PROTECTED AREAS PROTECT BENHAM RISE SEAFLOOR HABITAT THIS CAMPAIGN SEEKS TO GET PROTECTION FROM FISHING FOR THE BENHAM RISE SEAFLOOR BY 2018 IN MAY 2016, OCEANA LAUNCHED ITS FIRST SEA EXPEDITION IN THE PHILIPPINES, FINDING LARGE, BEAUTIFUL PATCHES OF INTACT CORAL REEFS, AND GREAT DIVERSITY, MAINLY OF INVERTEBRATES FOLLOWING THE EXPEDITION, OCEANA CARRIED OUT MEDIA EFFORTS TO INCREASE THE AWARENESS OF THE THREATS FACING THE AREA, AS WELL AS ENCOURAGE PEOPLE TO TAKE ACTION AGAINST FURTHER EXPLOITATION OF THE BENHAM RISE OCEANA LAUNCHED AN ONGOING PUBLICITY CAMPAIGN THAT INCLUDES FORUMS, PUBLIC DISCUSSIONS, AND THE PRODUCTION OF PRESS MATERIALS, ALL PROMOTING THE CORAL REEFS OF BENHAM RISE THE LAST FORUM IN 2016 FEATURED ALEXANDRA COUSTEAU AND OTHER EXPEDITION MEMBERS SHARING THEIR RECOMMENDATIONS</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T</p>	<p>INSTITUTIONALIZING VESSEL MONITORING MECHANISMS (VMM) THIS OCEANA CAMPAIGN FOCUSES ON ACHIEVING EVIDENCE OF "ON THE WATER" VESSEL MONITORING TO BE REQUIRED FOR COMMERCIAL VESSELS THROUGHOUT TA ON STRAIT AND OTHER KEY FISHERIES AREAS BY THE CLOSE OF 2018 THE BUREAU OF FISHERIES AND AQUATIC RESOURCES (BFAR) COMMITTED TO START DRAFTING RULES FOR COMMERCIAL FISHING VESSELS OCEANA WILL WORK TOGETHER WITH THE BUREAU IN DRAFTING THESE RULES BAN DESTRUCTIVE FISHING GEAR WITH THIS CAMPAIGN, OCEANA AIMS TO GET THE BUREAU TO 1) BAN THE USE OF BOTTOM TRAWLS IN THE PHILIPPINES, 2) FULLY IMPLEMENT THE TOTAL BAN ON THE USE OF THE MODIFIED DANISH SEINES (HULBOT-HULBOT), AND 3) ESTABLISH A POLICY TO CONTROL THE MATERIALS USED FOR BLAST FISHING, ALL BY 2018 THE COURTS CONTINUE TO PROTECT THE HULBOT-HULBOT BAN AS OF DECEMBER, TWO OF THE FOUR LAWSUITS FILED BY FISHERMEN HAVE BEEN DISMISSED IN FAVOR OF THE GOVERNMENT AND THE BAN, WHILE TWO MORE ARE STILL PENDING FINAL JUDGMENT IN 2016, OCEANA INC PROVIDED \$753,659 IN CHARITABLE CONTRIBUTIONS AND \$242,739 IN OTHER EXPENSES IN SUPPORT OF OCEANA CANADA, AN INDEPENDENT NONPROFIT ORGANIZATION INCORPORATED UNDER CANADIAN LAW WITH OUR SUPPORT, OCEANA CANADA ACHIEVED THE FOLLOWING VICTORIES GAINED THE GOVERNMENT'S PUBLIC RELEASE, FOR THE FIRST TIME, OF ITS ANNUAL SUSTAINABILITY SURVEY FOR FISHERIES (PREVIOUSLY CALLED THE FISHERIES CHECKLIST), SHARING KEY DATA ON THE STATUS OF 159 OF CANADA'S COMMERCIAL FISH STOCKS ACHIEVED A COMMITMENT TO A NEW DEPARTMENT OF FISHERIES AND OCEANS CANADA WEBSITE WITH DETAILED INFORMATION BY SPECIES PUBLISHED HERE'S THE CATCH HOW TO RESTORE ABUNDANCE TO CANADA'S OCEANS, A GROUND-BREAKING SCIENCE REPORT ON REBUILDING CANADA'S FISHERIES THE REPORT REVEALED A FIRST-EVER ANALYSIS OF THE STATE OF CANADA'S FISH STOCKS AND THE DEGREE TO WHICH THE GOVERNMENT IS MEETING ITS OWN POLICY COMMITMENTS HOSTED A NATIONAL SCIENCE SYMPOSIUM AIMED AT POLITICAL DECISION-MAKERS, AND ATTENDED BY OVER 200 STAKEHOLDERS INCLUDING POLICYMAKERS, REPRESENTATIVES OF FIRST NATIONS, NGOS, SCIENTISTS, FOUNDATIONS, SMALL AND LARGE FISHING SECTORS, AND ACADEMICS THE SYMPOSIUM BUILT ON THE FISHERIES REPORT OUTCOMES AND RECOMMENDATIONS AND PAVED THE WAY FOR SETTING A NATIONAL FISHERY REBUILDING AGENDA ATTRACTED OVER 40,000 FOLLOWERS ON SOCIAL MEDIA AND 9,000 AVERAGE MONTHLY UNIQUE VISITORS TO THE WEBSITE TOTALED OVER 470 PRINT MEDIA HITS OR 120 PERCENT OF OUR 2016 GOAL</p>

990 Schedule O, Organizational Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>WHAT'S IN AN INCH? THE CASE FOR REQUIRING IMPROVED TURTLE EXCLUDER DEVICES IN ALL U S SHRIMP TRAWLS (NOVEMBER 2016) TO DEMONSTRATE THAT 3-INCH TEDS SHOULD BE REQUIRED ON ALL TRAWLS IN ORDER TO MOST EFFECTIVELY REDUCE SEA TURTLE MORTALITY, OCEANA ANALYZED DATA FROM ACADEMIC AND GOVERNMENT SOURCES DOCUMENTING 352 SEA TURTLE CAPTURES, FINDING THAT THE FISHERIES SERVICE CAN SAVE THOUSANDS OF SEA TURTLES BY REQUIRING 3-INCH TEDS ON ALL SHRIMP FISHING TRAWL VESSELS IN THE SOUTH ATLANTIC AND THE GULF OF MEXICO CANADA- CANADA'S MARINE FISHERIES STATUS, RECOVERY POTENTIAL AND PATHWAYS TO SUCCESS (MAY 2016) OCEANA CANADA AND UNIVERSITY OF VICTORIA ANALYZE CANADA'S NATIONAL AND INTERNATIONAL LEGAL AND POLICY FRAMEWORK IN RELATION TO ITS FISHERIES MANAGEMENT, AS WELL AS THE IMPORTANCE OF HEALTHY FISHERIES AND CANADA'S RECOVERY POTENTIAL HERE'S THE CATCH HOW TO RESTORE ABUNDANCE TO CANADA'S OCEANS (JUNE 2016) OCEANA CANADA COMMISSIONED RENOWNED FISHERIES SCIENTISTS DR JULIA K BAUM AND DR SUSANNA D FULLER TO ASSESS THE STATE OF CANADA'S FISHERIES THE RESULTING REPORT REPRESENTS THE MOST COMPREHENSIVE AND UP-TO-DATE PUBLIC ANALYSES EVER CONDUCTED ON THE STATE OF CANADA'S FISH PRIORITIES FOR AN ABUNDANT NORTHERN COD FISHERY (OCTOBER 2016) THIS PUBLICATION IS OCEANA CANADA'S PRESENTATION TO THE HOUSE OF COMMONS STANDING COMMITTEE ON FISHERIES AND OCEANS ON HOW TO ENSURE THE RETURN OF THE NORTHERN COD FISHERY CREATING MODERN SAFEGUARDS IN THE FISHERIES ACT TO REBUILD FISH STOCKS IN CANADA (NOVEMBER 2016) OCEANA CANADA'S PRESENTATION TO THE HOUSE OF COMMONS STANDING COMMITTEE ON FISHERIES AND OCEANS, RECOMMENDING THAT THE FISHERIES ACT BE AMENDED TO MANDATE REBUILDING FISH STOCKS WHEN THEY HAVE FALLEN BELOW HEALTHY LEVELS, AS WELL AS, REQUIRING THE MINISTER OF FISHERIES, OCEANS AND THE CANADIAN COAST GUARD TO REPORT ANNUALLY TO PARLIAMENT ON THE STATUS OF CANADA'S FISH STOCKS CHILE- PROPUESTA PARA LA ELIMINACION PROGRESIVA DE LA PESCA DE ARRASTRE EN CHILE / PROPOSAL FOR THE PROGRESSIVE ELIMINATION OF TRAWLING IN CHILE (NOVEMBER 2016) OCEANA REACTS TO PRESIDENT MICHELLE BACHELET'S GOVERNMENT PROGRAM 2014-2018, IN WHICH SHE SUGGESTS TO ELIMINATE TRAWLING, BY PUBLISHING THIS PROPOSAL AND ANALYZING WHICH ZONES SHOULD BE A PRIORITY EUROPEAN UNION- THE EU IUU REGULATION (JAN 2016) OCEANA ANALYZES THE EFFECTIVENESS OF THE EU IUU REGULATION, INTRODUCED IN 2010 TO COMBAT IUU FISHING THE REGULATION HELPS PREVENT ILLEGALLY CAUGHT FISH ENTERING THE EU MARKET AND THIS REPORT DEMONSTRATES THAT ITS POTENTIAL CAN BE EXTENDED TO THE FISHING INDUSTRY ON A GLOBAL SCALE OCEANA PROPOSAL FOR A MARINE PROTECTED AREA THE SOUND (JANUARY 2016) OCEANA USES ITS 2011, 2012 AND 2013 EXPEDITIONS IN THE SOUND, AN AREA LOCATED BETWEEN SWEDEN AND DENMARK, TO MAKE ITS CASE FOR CREATING A MARINE PROTECTED AREA IN THAT REGION MEDITERRANEAN SEA A KEY EU FISHING REGION IN A BLEAK STATE OF OVERFISHING (JAN 2016) USING SCIENTIFIC REPORTS ON OVERFISHING AS EVIDENCE, OCEANA ARGUES THAT IN</p>

990 Schedule O, Other Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	<p>ORDER TO FULFILL THE 2020 COMMITMENTS, EMERGENCY MEASURES SHOULD BE APPLIED WITH NO FURTHER DELAY WHERE DO EUROPEAN VESSELS FISH (FEBRUARY 2016) THROUGH AN ACCESS TO INFORMATION REQUEST TO THE EUROPEAN COMMISSION, OCEANA AND ITS NGO COLLEAGUES WERE ABLE TO PUBLISH HOW MANY VESSELS WERE AUTHORIZED TO FISH OUTSIDE THE EU BETWEEN 2010 AND 2014 BY ANALYZING THE GAPS IN THE AVAILABLE PUBLIC INFORMATION, OCEANA HIGHLIGHTS THE NEED TO REVISE THE FISHERIES AUTHORIZATION REGULATION JOINT NGO STATEMENT TO THE "HIGH LEVEL SEMINAR ON THE STATE OF STOCKS IN THE MEDITERRANEAN AND ON THE CFP APPROACH" (CATANIA, FEBRUARY 2016) OCEANA EUROPE ISSUES A STATEMENT REQUIRING IMMEDIATE EMERGENCY AND RECOVERY MEASURES, AS A RESPONSE TO 96 PERCENT OF THE FISH STOCKS IN EUROPE BEING REPORTED AS OVEREXPLOITED 2016 KEY PRIORITIES 18TH SESSION OF THE GFCM SCIENTIFIC ADVISORY COMMITTEE (SAC)(FEBRUARY 2016) OCEANA CALLS UPON THE 18TH SESSION OF THE SAC TO APPLY THE BEST AVAILABLE SCIENTIFIC ADVICE TO HALT OVERFISHING AND THE CONTINUING DETERIORATION OF FISHING RESOURCES AND THE MARINE ENVIRONMENT 40TH GFCM SESSION TIME FOR ACTION TO RECOVER STOCKS AND PROTECT JUVENILES (APRIL 2016) OCEANA URGES THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN AND BLACK SEA TO ADOPT A MULTIANNUAL MANAGEMENT PLAN (MAP) FOR DEMERSAL STOCKS OF HAKE AND DEEP-WATER ROSE SHRIMP IN THE STRAIT OF SICILY AIMED AT REBUILDING THESE STOCKS THE REPORT ALSO IDENTIFIES THREE AREAS THAT NEED TO BE DESIGNATED AS FISHERIES RESTRICTED AREAS (FRAS) IN THE STRAIT OF SICILY VIAJE A LAS PROFUNDIDADES DE EL HIERRO / JOURNEY TO THE DEPTHS OF EL HIERR O (MAY 2016) OCEANA REPORTS ON ITS RANGER EXPEDITION (CONDUCTED IN AUTUMN OF 2014) IN THE CANARY ISLANDS ENSURING BETTER CONTROL OF THE EU'S EXTERNAL FISHING FLEET (JUNE 2016) USING SIMONAS DAKAUNTAS, AN INDUSTRIAL-SIZED TRAWLER OWNED BY A LITHUANIAN FISHING COMPANY, AS A CASE STUDY, OCEANA DEMONSTRATES HOW THE COMMUNITY FLEET REGISTRAR(CFR) SYSTEM IS FAILING TO ALLOW ADEQUATE CONTROL OF VESSELS MOVING BETWEEN EU AND NON-EU FLAGS OCEANA CONTRIBUTION TO THE EUROPEAN COMMISSION'S PUBLIC CONSULTATION ON THE "MULTIANNUAL PLAN FOR THE FISHERIES EXPLOITING DEMERSAL STOCKS IN THE WESTERN MEDITERRANEAN SEA" (SEPTEMBER 2016, OCTOBER 2016-PUBLISHED TWICE ON EU SITE) IN LIGHT OF THE NEW EU COMMISSION CONSULTATION FOR A MULTIANNUAL MANAGEMENT PLANS (MAP) IN THE WESTERN MEDITERRANEAN, OCEANA PROVIDES ITS VISION AND FRAMEWORK ON HOW TO TRANSFORM THIS PLAN INTO A MODEL OF COMMON FISHERIES POLICY (CFP) IMPLEMENTATION THAT CAN BRING HEALTH BACK TO DEPLETED MEDITERRANEAN STOCKS OCEANA RECOMMENDATIONS ON FISHING OPPORTUNITIES FOR 2017 BALTIC SEA STOCKS (SEPTEMBER 2016) IN ADVANCE OF THE FISHERIES COUNCIL DECISION IN OCTOBER ON FISHING OPPORTUNITIES IN THE BALTIC SEA, OCEANA RELEASES ITS RECOMMENDATIONS FOR SETTING TOTAL ALLOWABLE CATCHES (TACS) FOR 2017, IN LINE WITH THE MOST RECENTLY AVAILABLE SCIENTIFIC ADVICE AND AIMED AT ENSURING BALTIC FISHERIES RECOVER FROM OVERFISHING</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT</p>	<p>NG BEFORE 2020 REFLAGGING BY EU FISHING VESSELS (SEPTEMBER 2016) THIS OCEANA REPORT ANALYZES THE FLAGGING BEHAVIOR OF ALL 771 EU FISHING VESSELS ABOVE 50 METERS IN THE EU FLEET REGISTER OVER THE 10-YEAR PERIOD 2005 TO 2015 IT SEEKS TO SHOW PATTERNS OF REFLAGGING BEHAVIOR IN THE EUROPEAN FLEET AND TO HIGHLIGHT WHICH FLAG STATES AND COMPANIES ENGAGE IN REFLAGGING MEDITERRANEAN SWORDFISH RECOVER IT OR LOSE IT (OCTOBER 2016) OCEANA REPORTS ON THE STATUS OF THE MEDITERRANEAN SWORDFISH ITS STOCK HAS DECLINED STEEPLY, AND AS A RESULT, CALLS ON THE EUROPEAN UNION TO PROPOSE A RECOMMENDATION FOR ITS RECOVERY PLAN AT THE SPECIAL MEETING OF THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) THE NEED FOR A PUBLIC REGISTER OF INFORMATION ON EUROPEAN UNION VESSELS FISHING OUTSIDE EU WATERS (OCTOBER 2016) RESPONDING TO AN OPPORTUNITY PRESENTED BY THE REVISION OF THE EU'S EXTERNAL FLEET REGULATIONS, OCEANA REPORTS ON WHAT ACTIONS ARE NEEDED IN ORDER TO IMPROVE TRANSPARENCY AND ACCOUNTABILITY TOWARDS THE RECOVERY OF EUROPEAN FISHERIES (NOVEMBER 2016) OCEANA HAS COMMISSIONED THE MOST COMPREHENSIVE SCIENTIFIC STUDY EVER MADE ON THE STATUS OF EUROPEAN FISH STOCKS AND THEIR POTENTIAL PRODUCTIVITY IF SUSTAINABLY MANAGED THIS REPORT PRESENTS THE FINDINGS AND CONFIRMS THAT ONLY A MINORITY OF EUROPEAN STOCKS CAN BE CONSIDERED WELL MANAGED EXPLOITATION AND STATUS OF EUROPEAN STOCKS (NOVEMBER 2016) OCEANA REPORTS ON THE OUTCOME OF FOUR WORKSHOPS IN 2016, PRESENTING STOCK ASSESSMENTS FOR 397 STOCKS IN 14 EUROPEAN ECOREGIONS, FROM THE BARENTS SEA TO THE BLACK SEA FISHING OPPORTUNITIES RECOMMENDATIONS NORTH-EAST ATLANTIC STOCKS 2017 (NOVEMBER 2016) DESPITE AN OVERALL POSITIVE STOCK RECOVERY IN THE PAST YEARS, OCEANA REPORTS ON THE INDICATORS THAT MANY FISH STOCKS IN THE NORTHEAST ATLANTIC ARE STILL IN A PROBLEMATIC STATE AND THAT THE POSITIVE TREND IS STARTING TO REVERSE PERU- AREAS MARINAS PROTEGIDAS RECOMENDACIONES PARA SU GESTION Y APROVECHAMIENTO SOSTENIBLE / MARINE PROTECTED AREAS RECOMMENDATION FOR THEIR MANAGEMENT AND SUSTAINABLE USE (JANUARY 2016) THIS REPORT OUTLINES THE IMPORTANCE OF PROTECTED NATURAL AREAS (ANPS) AND RECOMMENDS INCREASING THE COVERAGE OF MARINE ANPS, AS WELL AS IMPROVING THEIR MANAGEMENT AGENDA AZUL AL 2021 PARA LA GESTION PESQUERA Y CONSERVACION DEL MAR PERUANO / BLUE AGENDA UNTIL 2021 FOR FISHERIES MANAGEMENT AND CONSERVATION OF THE PERUVIAN SEA (MAY 2016) IN THIS REPORT, OCEANA PRESENTS A PROPOSAL FOR SUSTAINABLE AND TRANSPARENT FISHERY MANAGEMENT, REDUCTION AND EFFECTIVE CONTROL OF ILLEGAL FISHING, GREATER CONTRIBUTION OF FISHERIES TO NUTRITION AND FOOD SECURITY, AS WELL AS THE CONSERVATION OF MARINE HABITATS AND ENDANGERED SPECIES IN PERU</p>

990 Schedule O, Open Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT	<p>EL NINO Y LA ANCHOVETA / EL NINO AND THE ANCHOVETA (MAY 2016) IN THIS REPORT, OCEANA PERU ANALYZES THE RELATIONSHIP BETWEEN THE ANCHOVETA POPULATION AND THE HUMBOLDT CURRENT AND PROVIDES RECOMMENDATIONS ON HOW TO PROTECT THE ANCHOVETA DURING ITS SHORTAGE CAUSED BY EL NIÑO EVALUACION SITUACION DEL STOCK NORTE-CENTRO DE ANCHOVETA PERUANA / NORTH-CENTRAL STOCK OF PERUVIAN ANCHOVETA SITUATION EVALUATION (JUNE 2016) IN ORDER TO TRACK THE CHANGES IN THE ANCHOVETA POPULATION IN THE PERUVIAN SEA, THIS REPORT ANALYZES THE RECENT NORTH-CENTRAL STOCK EVALUATIONS AND COMPARES THEM TO A SERIES OF EVALUATIONS DONE IN THE LAST TWENTY YEARS GLOBAL FISHING WATCH, EL PRIMER MAPA GLOBAL DE LA PESCA COMERCIAL / GLOBAL FISHING WATCH, THE FIRST GLOBAL MAP OF COMMERCIAL FISHING (SEPTEMBER 2016) OCEANA PERU INTRODUCES GLOBAL FISHING WATCH AS A TOOL TO COMBAT ILLEGAL FISHING SEGUNDA TEMPORADA DE PESCA DE ANCHOVETA 2016, UN DESAFÍO PARA EL MANEJO PESQUERO / SECOND ANCHOVETA FISHING SEASON 2016, A CHALLENGE FOR FISHERIES MANAGEMENT (NOVEMBER 2016) AS THE PERUVIAN GOVERNMENT AUTHORIZED THE SECOND ANCHOVETA FISHING SEASON, OCEANA PERU PUBLISHED A FEW RECOMMENDATIONS TO CONSIDER BASED ON A REPORT BY THE OCEAN INSTITUTE OF PERU THAT ANALYZED THE ANCHOVETA POPULATION (WHICH AT THIS POINT WAS NOT YET AVAILABLE TO THE PUBLIC) INFOGRAFIA PESCA ILEGAL / ILLEGAL FISHING INFOGRAPHIC (NOVEMBER 2016) AN INFOGRAPHIC OCEANA PERU PUBLISHED DEPICTING ILLEGAL FISHING ON A GLOBAL SCALE SEGUNDA TEMPORADA DE PESCA 2016 LOS RETOS DE LA PESQUERIA INDUSTRIAL DE ANCHOVETA / SECOND FISHING SEASON 2016 THE CHALLENGES OF THE ANCHOVETA INDUSTRIAL FISHERY (DECEMBER 2016) OCEANA PERU RELEASES A SECOND ANALYSIS OF THE NORTH-CENTRAL ANCHOVETA STOCK, FOCUSING ON THE JUVENILE POPULATION THAT NEEDS TO SURVIVE IN ORDER TO HELP REPLENISH THE STOCK, BUT INSTEAD IS BEING FISHED AND DISCARDED TECNOLOGIA SATELITAL Y GESTION PESQUERA AVANCES Y RETOS PARA EL PERU/ SATELLITE TECHNOLOGY AND FISHERIES MANAGEMENT PROGRESS AND CHALLENGES FOR PERU (DECEMBER 2016) THIS REPORT CONSISTS OF OCEANA'S CONCLUSIONS AND RECOMMENDATION FOLLOWING THE SATELLITE TECHNOLOGY AND FISHERIES MANAGEMENT SEMINAR, HOSTED IN NOVEMBER 2016 JUVENILES EN LAS REDES IMPACTOS DEL ENMALLAMIENTO EN SEGUNDA TEMPORADA DE PESCA DE ANCHOVETA 2016 / JUVENILES IN THE NETS IMPACTS OF THE ENTANGLEMENT IN THE SECOND SEASON OF ANCHOVETA FISHING 2016 (DECEMBER 2016) OCEANA PERU PUBLISHES ITS ESTIMATE FOR THE UNREPORTED POPULATION OF JUVENILE ANCHOVETAS TO SEE IF THE SUSTAINABLE MAXIMUM HAS BEEN REACHED OR NOT HOWEVER, THIS IS THE FIRST ESTIMATE AND ONLY SHOWS THAT JUVENILE ENTANGLEMENT IS AN IMPORTANT PROBLEM TO FURTHER STUDY AND ADDRESS PHILIPPINES-ATONG TANON, ATONG AMPINGAN FISHERIES CHALLENGES IN THE TANON STRAIT PROTECTED SEASCAPE (SEPTEMBER 2016) A REPORT THAT INTRODUCES THE READER TO THE COASTAL POPULATION OF TANON STRAIT BY ANALYZING SURVEYS AIMED TO LEARN ABOUT VILLAGE LIFE, MARINE LIFE AND COASTAL HABITATS, FISHERIES AND LIVELIHOOD SOUR</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T	CES AND THE CHALLENGES THAT COME WITH COMMERCIAL FISHING

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	IN DECEMBER 2016, OCEANA AMENDED ITS BYLAWS TO RAISED THE MAXIMUM NUMBER OF BOARD OF DIRECTORS FROM 20 TO 22 IN THE AMENDED BYLAWS AND ALLOW WRITTEN UNANIMOUS CONSENT FOR BOARD RESOLUTIONS THROUGH ELECTRONIC MAIL OR OTHER ELECTRONIC CONSENT PROCEDURES

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	INFORMATION FOR THE FEDERAL FORM 990 COMES LARGELY FROM OCEANA'S INDEPENDENTLY AUDITED FINANCIAL STATEMENTS, WHICH CONSOLIDATES OCEANA'S ACTIVITIES ACROSS NATIONAL BOUNDARIES THE ACCOUNTING DEPARTMENT COLLECTS THIS AND OTHER INFORMATION NEEDED FOR THE FEDERAL FORM 990, WHICH IS REVIEWED AND PRESENTED IN DRAFT FORM BY A TAX ACCOUNTING FIRM AFTER APPROVAL BY SENIOR MANAGEMENT, THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW BEFORE IT IS SUBMITTED TO THE INTERNAL REVENUE SERVICE

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>EVERY YEAR, OCEANA'S OFFICERS, DIRECTORS, AND KEY EMPLOYEES FILL OUT A DISCLOSURE REPORT ASKING THEM TO DISCLOSE ANY FAMILY OR BUSINESS RELATIONSHIPS THEY MAY HAVE WITH OTHER OCEANA OFFICERS, DIRECTORS, OR KEY EMPLOYEES, AS WELL AS ANY FINANCIAL CONFLICTS OF INTEREST THEY MAY HAVE IN ADDITION, OCEANA'S CONFLICT OF INTEREST POLICY REQUIRES ANY DIRECTOR OR OFFICER WHO IS AN INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER CONSIDERATION BY THE CORPORATION TO PROMPTLY DISCLOSE TO THE BOARD OF DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT IF OCEANA IS CONSIDERING ENTERING INTO A TRANSACTION OR ARRANGEMENT IN WHICH AN INTERESTED PERSON HAS A FINANCIAL INTEREST, THE BOARD OF DIRECTORS APPOINTS A COMMITTEE OR SUBCOMMITTEE TO REVIEW THE TRANSACTION OR ARRANGEMENT NO INTERESTED PERSON WITH RESPECT TO THE TRANSACTION OR ARRANGEMENT UNDER REVIEW WILL BE A MEMBER OF THIS COMMITTEE OR SUBCOMMITTEE OR OTHERWISE PARTICIPATE IN ITS DELIBERATIONS, EXCEPT TO FURNISH SUCH FACTUAL INFORMATION AS THE COMMITTEE MAY REQUEST IF THE COMMITTEE FINDS THAT AN INTERESTED PERSON HAS A CONFLICT OF INTEREST WITH RESPECT TO A PROPOSED TRANSACTION OR ARRANGEMENT, THE COMMITTEE WILL OBTAIN RELIABLE INFORMATION ABOUT THE TERMS OF COMPARABLE TRANSACTIONS OR ARRANGEMENTS THAT ARE REASONABLY AVAILABLE TO OCEANA FROM UNRELATED PARTIES FREE OF ANY CONFLICTS OF INTEREST AFTER REVIEWING THIS COMPARABILITY INFORMATION, THE COMMITTEE WILL DETERMINE BY MAJORITY VOTE WHETHER THE PROPOSED TRANSACTION OR ARRANGEMENT IS FAIR AND REASONABLE TO OCEANA AND WHETHER IT WOULD BE IN THE OCEANA'S BEST INTEREST TO ENTER INTO IT ON THE TERMS PROPOSED IF THE BOARD OF DIRECTORS LEARNS THAT A DIRECTOR OR OFFICER HAS FAILED TO DISCLOSE A FINANCIAL INTEREST AS REQUIRED BY THIS POLICY, IT WILL INFORM THE INDIVIDUAL AND AFFORD AN OPPORTUNITY FOR THE INDIVIDUAL TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE AFTER HEARING THE INDIVIDUAL'S RESPONSE, THE BOARD WILL TAKE APPROPRIATE ACTION IF ANY ACTION IS NEEDED AS FOR THE STAFF, OCEANA'S EMPLOYEE MANUAL STATES ITS CONFLICT OF INTEREST POLICY ENFORCEMENT RULES EMPLOYEES MUST DISCLOSE ANY ACTUAL, POTENTIAL, OR APPARENT CONFLICT OF INTEREST TO THE GENERAL COUNSEL WHERE APPROPRIATE, THE GENERAL COUNSEL MAY REQUIRE SUITABLE REMEDIAL ACTION, SUCH AS DIVESTITURE OF ADVERSE INTERESTS, RECUSAL FROM CERTAIN DECISIONS, OR OTHER ACTION TO AVOID AN APPEARANCE OR EXISTENCE OF A CONFLICT THESE REPORTS ARE REVIEWED EACH YEAR BY THE BOARD'S FINANCE AND AUDIT COMMITTEE</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS OCEANA PROVIDES THE FINANCE AND AUDIT COMMITTEE OF THE BOARD OF DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO, TOP MANAGEMENT, OFFICERS, AND KEY EMPLOYEES THE COMMITTEE REVIEWS THESE DATA TO DETERMINE IF THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS THE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES OCEANA REGULARLY CONDUCTS COMPENSATION REVIEWS, MOST RECENTLY IN OCTOBER OF 2016

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	OCEANA POSTS ANNUAL REPORTS, ITS AUDITED FINANCIAL STATEMENTS, AND THE PUBLIC DISCLOSURE COPY OF ITS IRS FORM 990 ON ITS WEBSITE, WWW OCEANA ORG IT ALSO SHARES RELEVANT INFORMATION WITH INDEPENDENT "WATCHDOG ORGANIZATIONS SUCH AS GUIDESTAR, CHARITY NAVIGATOR AND THE BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY OCEANA'S ARTICLES OF INCORPORATION, BY-LAWS AND FORM 1023 ARE AVAILABLE ON OCEANA'S WEBSITE AND TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST OCEANA'S ARTICLES OF INCORPORATION, AS WELL AS A CERTIFICATE OF GOOD STANDING, ARE ALSO INDEPENDENTLY AVAILABLE THROUGH THE DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS FOR THE DISTRICT OF COLUMBIA (WHERE OCEANA, INC IS INCORPORATED), THOUGH THERE IS A FEE FOR THIS SERVICE

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART IX, LINE 11G	OTHER CONSULTING PROGRAM SERVICE EXPENSES 2,688,767 MANAGEMENT AND GENERAL EXPENSES 451,402 FUNDRAISING EXPENSES 252,832 TOTAL EXPENSES 3,393,001 TRAINING AND DEVELOPMENT PROGRAM SERVICE EXPENSES 21,516 MANAGEMENT AND GENERAL EXPENSES 65,863 FUNDRAISING EXPENSES 5,954 TOTAL EXPENSES 93,333

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990	THIS RETURN PRESENTS CONSOLIDATED FINANCIAL STATEMENTS FOR OCEANA, INC AND ITS NON-U S AFFILIATES OCEANA HAS FIELD OFFICES IN SPAIN, BRAZIL, DENMARK, BELGIUM, PHILIPPINES, BELIZE, CHILE AND PERU FOR THE PURPOSE OF BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEANS THROUGH PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS MOBILIZATION, AND PUBLIC EDUCATION THE OPERATIONS IN SPAIN, BELIZE, BRAZIL, AND THE UNITED KINGDOM FOLLOW THE HOST COUNTRY'S REGULATIONS AND, AS A RESULT, WERE INCORPORATED AS INDEPENDENT ENTITIES HOWEVER, THESE ENTITIES ARE DEPENDENT ON OCEANA FOR FUNDING, PARTICIPATE IN OCEANA ACTIVITIES AND DECISION-MAKING, AND CARRY OUT THE GENERAL MISSION AND INTERNATIONAL ACTIVITIES OF OCEANA

**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2016

**Open to Public
Inspection**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) OCEANA ADVOCACY RESOURCES INC 1350 CONNECTICUT AVENUE NW 5TH FLOOR WASHINGTON, DC 20036 31-1814181	LOBBYING	DC	501(C)(4)	N/A	OCEANA INC	Yes	
(2) FUNDACION OCEANA GRAN VIA 59 9TH FLOOR MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SP	N/A	N/A	OCEANA INC	Yes	
(3) OCEANA UK 2-6 CANNON STREET EC4M 6YH LONDON EC4M 6YH UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	UK	N/A	N/A	OCEANA INC	Yes	
(4) OCEANA IN BELIZE 2385 HIBISCUS STREET BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BH	N/A	N/A	OCEANA INC	Yes	
(5) FRIENDS OF OCEANA 58 AVENUE LOUIS-CASI CASE POSTALE, GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SZ	N/A	N/A	OCEANA INC	Yes	
(6) OCEANA BRASIL 1350 CONNECTICUT AVENUE NW 5TH FLOOR WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BR	N/A	N/A	OCEANA INC	Yes	

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

	Yes	No
1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		No
b Gift, grant, or capital contribution to related organization(s)	Yes	
c Gift, grant, or capital contribution from related organization(s)		No
d Loans or loan guarantees to or for related organization(s)		No
e Loans or loan guarantees by related organization(s)		No
f Dividends from related organization(s)		No
g Sale of assets to related organization(s)		No
h Purchase of assets from related organization(s)		No
i Exchange of assets with related organization(s)		No
j Lease of facilities, equipment, or other assets to related organization(s)		No
k Lease of facilities, equipment, or other assets from related organization(s)		No
l Performance of services or membership or fundraising solicitations for related organization(s)		No
m Performance of services or membership or fundraising solicitations by related organization(s)		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		No
o Sharing of paid employees with related organization(s)		No
p Reimbursement paid to related organization(s) for expenses	Yes	
q Reimbursement paid by related organization(s) for expenses		No
r Other transfer of cash or property to related organization(s)		No
s Other transfer of cash or property from related organization(s)		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) FUNDACION OCEANA	B	2,201,124	COST
(2) OCEANA UK	B	203,041	COST
(3) OCEANA IN BELIZE	B	560,771	COST
(4) OCEANA BRASIL	B	1,961,893	COST

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference**Explanation**

Additional Data

Software ID:
Software Version:
EIN: 51-0401308
Name: OCEANA INC

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) 1350 CONNECTICUT AVENUE NW 5TH FLOOR WASHINGTON, DC 20036 31-1814181	LOBBYING	DC	501(C)(4)	N/A	OCEANA INC	Yes	
(1) GRAN VIA 59 9TH FLOOR MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SP	N/A	N/A	OCEANA INC	Yes	
(2) 2-6 CANNON STREET EC4M 6YH LONDON EC4M 6YH UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	UK	N/A	N/A	OCEANA INC	Yes	
(3) 2385 HIBISCUS STREET BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BH	N/A	N/A	OCEANA INC	Yes	
(4) 58 AVENUE LOUIS-CASI CASE POSTALE, GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	SZ	N/A	N/A	OCEANA INC	Yes	
(5) 1350 CONNECTICUT AVENUE NW 5TH FLOOR WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BR	N/A	N/A	OCEANA INC	Yes	