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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC
% JOHN R PERKINSON JR

A Employer identification number
51-0172567

Number and street (or P O box number if mail is not delivered to street address) Room/suite
4622 COUNTRY CLUB ROAD STE 100

B Telephone number (see instructions)
(336) 794-6020

City or town, state or province, country, and ZIP or foreign postal code
WINSTONSALEM, NC 27104

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☑ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 803,492

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	12,029	12,029		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	97,017			
	b	Gross sales price for all assets on line 6a 550,379				
	7	Capital gain net income (from Part IV, line 2)		97,017		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	170			
	12	**Total.** Add lines 1 through 11	109,216	109,046		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	4,594	0	0	4,594
	b	Accounting fees (attach schedule)	9,504	4,752	0	4,752
	c	Other professional fees (attach schedule)	7,172	7,172		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	959			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	501	67		66
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22,730	11,991	0	9,412
	25	Contributions, gifts, grants paid	160,000			160,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	182,730	11,991	0	169,412
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-73,514			
	b	**Net investment income** (if negative, enter -0-)		97,055		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	10,580	11,349	11,349
	2	Savings and temporary cash investments	28,895	19,647	19,647
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	704,448	639,413	772,496
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	743,923	670,409	803,492
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	743,923	670,409	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	743,923	670,409	
	31	**Total liabilities and net assets/fund balances** (see instructions)	743,923	670,409	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	743,923
2	Enter amount from Part I, line 27a	2	-73,514
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	670,409
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	670,409

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	UBS FINANCIAL SERVICES SHORT TERM (SEE ATTACHED)	P		2016-12-31
b	UBS FINANCIAL SERVICES LONG TERM (SEE ATTACHED)	P		2016-12-31
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	142,024		143,195	-1,162
b	408,355		310,218	98,179
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-1,171
b				98,137
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	97,017
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	167,493	1,075,148	0 155786
2014	148,441	1,121,627	0 132344
2013	110,093	1,056,019	0 104253
2012	108,779	1,006,699	0 108055
2011	104,802	1,007,556	0 104016

2	**Total** of line 1, column (d)	2	0 604454
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 120891
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	926,190
5	Multiply line 4 by line 3	5	111,968
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	971
7	Add lines 5 and 6	7	112,939
8	Enter qualifying distributions from Part XII, line 4 , ...	8	169,412

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	971
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	971
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	971
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,603		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	700		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,303
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,332
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 1,332 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶JOHN R PERKINSON JR Telephone no ▶(336) 794-6020

Located at ▶4622 COUNTRY CLUB ROAD STE 100 WINSTONSALEM NC ZIP+4 ▶27104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ADRIENNE HERMES 4622 COUNTRY CLUB ROAD STE 100 WINSTONSALEM, NC 27104	SECRETARY 0	0	0	0
GWENDOLYN SMITH 4622 COUNTRY CLUB ROAD STE 100 WINSTONSALEM, NC 27104	DIRECTOR 0	0	0	0
IRMA SMART 4622 COUNTRY CLUB ROAD STE 100 WINSTONSALEM, NC 27104	VICE PRESIDENT 0	0	0	0
DORIS CRUMPLER 4622 COUNTRY CLUB ROAD STE 100 WINSTONSALEM, NC 27104	DIRECTOR/PRESIDENT 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	905,609
b	Average of monthly cash balances.	1b	34,685
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	940,294
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	940,294
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,104
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	926,190
6	**Minimum investment return.** Enter 5% of line 5.	6	46,310

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	46,310
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	971		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	971
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	45,339
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	45,339
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	45,339

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	169,412
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	169,412
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	971
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	168,441

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					45,339
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 2014 , 2013 , 2012			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	56,504				
b From 2012.	60,512				
c From 2013.	59,848				
d From 2014.	95,888				
e From 2015.	116,530				
f **Total** of lines 3a through e.		389,282			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 169,412					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2016 distributable amount.					45,339
e Remaining amount distributed out of corpus		124,073			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		513,355			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		56,504			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		456,851			
10 Analysis of line 9					
a Excess from 2012.	60,512				
b Excess from 2013.	59,848				
c Excess from 2014.	95,888				
d Excess from 2015.	116,530				
e Excess from 2016.	124,073				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MS DONNIE JONES PERKINSON LAW
4622 COUNTRY CLUB ROAD STE 100
WINSTONSALEM, NC 27104
(336) 794-6020

b The form in which applications should be submitted and information and materials they should include

LETTER SPECIFYING REASONS FOR APPLICATION (I E , HOW FUNDS ARE TO BE USED)

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ONLY SEC 501(C)(3) AND SEC 170(C) ENTITIES ARE CONSIDERED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				160,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	12,029	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	170	
8 Gain or (loss) from sales of assets other than inventory			18	97,017	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				109,216	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 109,216

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-06-26	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN R PERKINSON JR		2017-06-26		P00967463
Firm's name ▶ PERKINSON LAW PLLC				Firm's EIN ▶
Firm's address ▶ 4622 COUNTRY CLUB ROAD 100 WINSTONSALEM, NC 27104				Phone no (336) 794-6020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN DIABETES ASSOCIATION 1081 SPRUCE STREET MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	1,000
AMERICAN RED CROSS- GREENSBORO CHAPTER 1501 YANCEYVILLE STREET GREENSBORO, NC 27415	NONE	PC	CHARITABLE	2,000
BOYS AND GIRLS CLUB -MARTINSVILLEHENRY COUNTY 6 EAST MAIN STREET SUITE A MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	4,600
CARLISLE SCHOOL - ANNUAL GIVING PO BOX 5388 MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	5,400
CRISIS CONTROL MINISTRY 200 E 10TH ST WINSTONSALEM, NC 27101	NONE	PC	CHARITBLE	2,000
Total . . . . ▶ **3a**				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DANVILLE SCIENCE CENTER PO BOX 167 DANVILLE, VA 24543	NONE	PC	CHARITABLE	1,000
DEACON CLUB - WAKE FOREST UNIVERSITY ATHLECTIC DEV 499 DEACON BOULEVARD WINSTONSALEM, NC 27105	NONE	PC	CHARITABLE	500
DUKE ENDOWMENT FUND DEVELOPMENT OFFICE - DUKE UNIVERSIT DURHAM, NC 27706	NONE	PC	CHARITABLE	3,500
DUKE UNIVERSITY IRON DUKES BOX 90542 116 CAMERON INDOOR STADI DURHAM, NC 27708	NONE	PC	CHARITABLE	8,000
DUKE CANCER INSTITUTE OFFICE OF DEVELOPMENT 710 WEST MAIN ST SUITE 200 DURHAM, NC 27701	NONE	PC	CHARITABLE	3,000
Total . . . . ▶ 3a				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DYER'S STORE FIRE DEPARTMENT 3230 CHATHAM ROAD MARTINSVILLE, VA 24112	NONE	PC	CHARTIABLE	750
FERRUM COLLEGE SCHOLARSHIP FUND FERRUM, VA 24088	NONE	PC	CHARITABLE	7,000
FORK MOUNTAIN FIRE DEPARTMENT 2889 FORM MOUNTAIN ROAD BASSETT, VA 24055	NONE	PC	CHARITABLE	1,000
FORK MOUNTAIN RESCUE SQUAD 2805 VIRGIL H GOODE HIGHWAY ROCKY MOUNT, VA 24151	NONE	PC	CHARITABLE	1,000
FRANKLIN COUNTY PUBLIC LIBRARY 355 FRANKLIN COUNTY ROCKY MOUNT, VA 24151	NONE	NC	CHARITABLE	500
Total . . . . ▶ 3a				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRANKLIN COUNTRY HIGH SCHOOL 700 TANYARD ROAD ROCKY MOUNT, VA 24151	NONE	NC	CHARITABLE	10,000
HUMANE SOCIETY OF THE PIEDMONT 4527 W WENDOVER AVENUE GREENSBORO, NC 27409	NONE	PC	CHARITABLE	1,000
KENTUCKY STATE FAIR HORSE SHOW C/O EXPOSITION CENTER 937 PHILLIPS LANE LOUISVILLE, KY 40209	NONE	NC	CHARITABLE	4,000
MARTINSVILLE AREA COMMUNITY FOUNDATION PO BOX 1124 MARTINSVILLE, VA 24114	NONE	PF	CHARITABLE	4,000
MARTINSVILLE CEMETARY ASSOCIATION PO BOX 246 MARTINSVILLE, VA 24114	NONE	NC	CHARITABLE	500
Total . . . . ▶ **3a**				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MORAVIAN CHURCH PO BOX 730 CLEMMONS, NC 27012	NONE	PC	CHARITABLE	750
OAK RIDGE PRESBYTERIAN CHURCH 2614 OAK RIDGE RD OAK RIDGE, NC 27310	NONE	PC	CHARITABLE	5,000
PATRICK HENRY COMMUNITY COLLEGE PO BOX 5311 MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	6,000
PIEDMONT ARTS ASSOCIATION 215 STARLING AVENUE MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	3,000
RIVERWOOD THERAPEUTIC RIDING CENTER 6825 ROLLING VIEW DRIVE TOBACCOVILLE, NC 27050	NONE	PC	CHARITABLE	1,000
Total . . . . ▶ 3a				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROANOKE COLLEGE 211 COLLEGE LANE SALEM, VA 24153	NONE	PC	CHARITABLE	5,000
SALVATION ARMY-BOYS AND GIRLS CLUB 1311 S EUGENE STREET GREENSBORO, NC 27406	NONE	PC	CHARITABLE	1,000
SOUTHERN AREA AGENCY ON AGING 204 CLEVELAND AVENUE MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	2,000
SPCA 132 JOSEPH MARTIN HIGHWAY MARTINSVILLE, VA 24078	NONE	PC	CHARITABLE	7,000
ST JUDE'S CHILDREN'S HOSPITAL PO BOX 1893 MEMPHIS, TN 38101	NONE	PC	CHARITABLE	4,000
Total . . . . ▶ 3a				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SUMMERFIELD FIRE DEPARTMENT 7400 SUMMERFIELD ROAD SUMMERFIELD, NC 27358	NONE	PC	CHARITABLE	1,000
THE CHILDREN'S CENTER 2315 COLISEUM DRIVE WINSTONSALEM, NC 27106	NONE	PC	CHARITABLE	3,000
THE SUMMIT SCHOOL 2100 REYNOLDA ROAD WINSTONSALEM, NC 27106	NONE	PC	CHARITABLE	4,500
VIRGINIA MUSEUM OF NATURAL HISTORY 21 STARLING AVENUE MARTINSVILLE, NC 24112	NONE	PC	CHARITABLE	5,000
VIRGINIA TECH ATHLECTIC FUND-HOKIE CLUB POK BOX 10307 BLACKSBURG, VA 24062	NONE	PC	CHARITABLE	2,000
Total . . . . ▶ **3a**				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WALNUT COVE VFD & RESUE SQUAD PO BOX 532 WALNUT COVE, NC 27052	NONE	PC	CHARITABLE	1,000
WALNUT COVE PUBLIC LIBRARY PO BOX 706 WALNUT COVE, NC 27052	NONE	NC	CHARITABLE	1,500
YMCA MARTINSVILLEHENRY COUNTY 3 STARLING AVENUE MARTINSVILLE, NC 24112	NONE	PC	CHARITABLE	1,000
CONE HEALTH 1200 NORTH ELM STREET GREENSBORO, NC 274011020	NONE	PC	CHARITABLE	10,000
HOLY TRINITY LUTHERAN CHURCH 1527 CHURCH STREET EXTENSION PO BOX 5184 MARTINSVILLE, VA 24115	NONE	PC	CHARITABLE	1,000
Total . . . . ▶ **3a**				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW COLLEGE FOUNDATION 191 FAYETTE STREET MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	2,500
OAK RIDGE ELEMENTARY SCHOOL 2050 OAK RIDGE ROAD OAK RIDGE, NC 27310	NONE	PC	CHARITABLE	3,000
PIEDMONT VA DENTAL HEALTH FOUNDATION 407 STARLING AVE MARTINSVILLE, VA 24112	NONE	PC	CHARITABLE	5,000
SUMMERFIELD ELEMENTARY SCHOOL 7501 SUMMERFIELD ROAD SUMMERFIELD, NC 27358	NONE	PC	CHARITABLE	3,000
WFU BRENNERS CHILDREN'S HOSPITAL PO BOX 571021 WINSTONSALEM, NC 271571021	NONE	PC	CHARITABLE	2,500
Total . . . . ▶ 3a				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUKE EYE CENTER OF WINSTON-SALEM 2025 FRONTIS PLAZA BLVD SUITE 100 WINSTONSALEM, NC 27103	NONE	PC	CHARITABLE	4,000
MTN VALLEY HOSPICE & PALLIATIVE CARE 401 TECHNOLOGY LANE SUITE 200 MOUNT AIRY, NC 27030	NONE	PC	CHARITABLE	1,000
SPENCER PENN CENTRE PO BOX 506 SPENCER, VA 24165	NONE	PC	CHARITABLE	1,500
WFU BAPTIST MEDICAL CENTER PO BOX 571021 WINSTONSALEM, NC 27157	NONE	PC	CHARITABLE	6,000
FRANKLIN CO YMCA 235 TECHNOLOGY DRIVE ROCKY MOUNT, VA 24151	NONE	PC	CHARITABLE	500
Total . . . . ▶ **3a**				160,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TACKFULLY TEAMED RIDING ACADEMY 7975 HENRY ROAD HENRY, VA 24102	NONE	PC	CHARITABLE	1,500
TEEN CANCER AMERICA 11835 OLYMPIC BLVD 265 E LOS ANGELES, CA 90064	NONE	PC	CHARITABLE	1,000
HOPE TREE FAMILY SERVICES 860 MOUNT VERNON LANE PO BOX 849 SALEM, VA 24153	NONE	PC	CHARITABLE	2,000
WINSTON-SALEM LACROSSE INC PO BOX 21418 WINSTONSALEM, NC 27120	NONE	PC	CHARITABLE	1,000
Total . . . . ▶ **3a**				160,000

TY 2016 Accounting Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC
EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PERKINSON LAW PLLC	9,504	4,752		4,752

TY 2016 All Other Program Related Investments Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount
NONE	

TY 2016 Depreciation Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

TY 2016 Explanation of Non-Filing with Attorney General Statement

Name: HERMES FAMILY FOUNDATION

C/O PERKINSON LAW PLLC

EIN: 51-0172567

Statement: THE STATE DOES NOT REQUIRE A COPY OF THE FORM 900 PF.

TY 2016 Investments Corporate Stock Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS FINANCIAL-SEE ATTACHED	639,413	772,496

TY 2016 Legal Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PERKINSON LAW PLLC	4,594			4,594

TY 2016 Other Expenses Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	71	36		35
CHECK FEES	62	31		31
BASIS ADJUSTMENT- UBS	368			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491230003067

TY 2016 Other Income Schedule

Name: HERMES FAMILY FOUNDATION

C/O PERKINSON LAW PLLC

EIN: 51-0172567

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER NONTAXABLE INVESTMENT INCOME	170		

TY 2016 Other Professional Fees Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	7,172	7,172		

TY 2016 Taxes Schedule

Name: HERMES FAMILY FOUNDATION
C/O PERKINSON LAW PLLC

EIN: 51-0172567

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	259			
FEDERAL TAXES	700			

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 00	1,627 33					
UBS BANK USA BUS ACCT	2,881 91	18,019.83					250,000 00
Total	**$2,881.91**	**$19,647.16**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol. ACN Exchange NYSE								
EAI. $518 Current yield. 2 07%	Nov 20, 15	210 000	108.098	22,700.73	117 130	24,597 30	1,896.57	LT
	Earnings	4 000	115.730	462.92	117.130	468.52	5.60	
Security total		214 000	108.241	23,163.65		25,065 82	1,902.17	
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Feb 18, 16	243.000	81.257	19,745 57	102.950	25,016.85	5,271 28	ST
ALPHABET INC CL A								
Symbol GOOGL Exchange. OTC	Aug 30, 11	38 000	271.693	10,324.34	792 450	30,113 10	19,788.76	LT
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange. NYSE								
EAI $534 Current yield 2.70%	Jan 22, 14	170.000	114.546	19,472 83	110 940	18,859.80	-613 03	LT

continued next page

Your Financial Advisor:
JEFF KOBERNICK/ROB SECHAN
212-821-7000/800-308-3140

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Earnings	8.000	109 206	873.65[2]	110 940	887.52	13 87	
Security total		178.000	114 306	20,346.48		19,747.32	-599.16	
AMERISOURCEBERGEN CORP Symbol· ABC Exchange NYSE EAI $292 Current yield· 1 87%	Nov 25, 16	200.000	78 505	15,701 00	78.190	15,638.00	-63.00	ST
BECTON DICKINSON & CO Symbol· BDX Exchange· NYSE EAI. $447 Current yield. 1.76%	Aug 16, 16	153.000	172 920	26,456 90	165 550	25,329 15	-1,127 75	ST
COMCAST CORP NEW CL A Symbol· CMCSA Exchange OTC EAI· $396 Current yield 1.59%	Mar 1, 11	171 000	25 788	4,409 82	69 050	11,807 55	7,397.73	LT
	Mar 31, 14	160 000	50 150	8,024 00	69.050	11,048 00	3,024.00	LT
	Earnings	29 000	55.684	1,614 85	69 050	2,002 45	387 60	
Security total		360.000	39 024	14,048.67		24,858.00	10,809.33	
CVS HEALTH CORP Symbol· CVS Exchange. NYSE EAI $618 Current yield 2 53%	Feb 23, 12	182 000	43 965	8,001.77	78 910	14,361.62	6,359.85	LT
	Oct 5, 12	100 000	49 008	4,900.82	78.910	7,891.00	2,990.18	LT
	Earnings	27 000	75 003	2,025.10	78.910	2,130 57	105.47	
Security total		309 000	48.310	14,927 69		24,383 19	9,455.50	
DANAHER CORP Symbol: DHR Exchange NYSE EAI. $158 Current yield· 0 64%	Nov 21, 06	190 000	27.607	5,245 40	77 840	14,789 60	9,544 20	LT
	Jan 22, 14	100 000	58.549	5,854.93	77 840	7,784 00	1,929 07	LT
	Aug 15, 16	25 000	81.387	2,034 69	77.840	1,946 00	-88.69	ST
Security total		315.000	41 698	13,135 02		24,519 60	11,384 58	
ECOLAB INC Symbol. ECL Exchange: NYSE EAI: $317 Current yield· 1.26%	Mar 18, 14	104.000	110.528	11,494.97	117 220	12,190.88	695.91	LT
	Jun 4, 14	110.000	109.882	12,087 06	117.220	12,894.20	807.14	LT
Security total		214.000	110.196	23,582.03		25,085.08	1,503.05	
FACEBOOK INC CL A Symbol FB Exchange. OTC	May 18, 15	217 000	80 856	17,545 76	115 050	24,965 85	7,420 09	LT

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Account name: HERMES FAMILY FOUNDATION
Friendly account name: Foundation
Account number: Y1 08023 4C

Your Financial Advisor:
JEFF KOBERNICK/ROB SECHAN
212-821-7000/800-308-3140

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
HILTON WORLDWIDE HOLDINGS INC								
Symbol· HLT Exchange NYSE								
EAI· $275 Current yield. 1 03%	Mar 2, 16	973.000	21.317	20,742 25	27.200	26,465 60	5,723 35	ST
	Earnings	9.000	23.574	212 17	27 200	244 80	32.63	
Security total		982 000	21.339	20,954.42		26,710.40	5,755 98	
HOME DEPOT INC								
Symbol· HD Exchange· NYSE								
EAI. $541 Current yield 2 06%	Jan 21, 15	189.000	103.370	19,537.10	134 080	25,341 12	5,804.02	LT
	Earnings	7 000	122 508	857 56	134 080	938 56	81.00	
Security total		196 000	104.054	20,394 66		26,279.68	5,885.02	
HONEYWELL INTL INC								
Symbol: HON Exchange· NYSE								
EAI· $591 Current yield 2.30%	Mar 23, 16	219 000	111 538	24,426 96	115.850	25,371 15	944 19	ST
	Earnings	3.000	114.686	344 06	115 850	347 55	3 49	
Security total		222 000	111 581	24,771 02		25,718 70	947 68	
INTERCONTINENTALEXCHANGE GROUP								
Symbol· ICE Exchange NYSE								
EAI· $306 Current yield· 1.21%	Apr 27, 11	449.000	24.220	10,875 21	56 420	25,332.58	14,457.37	LT
	Earnings	1.000	56.410	56.41	56.420	56 42	0.01	
Security total		450.000	24.292	10,931 62		25,389 00	14,457.38	
INVESCO LTD								
Symbol· IVZ Exchange: NYSE								
EAI· $883 Current yield. 3 69%	Mar 14, 16	764.000	29.976	22,902.11	30 340	23,179 76	277 65	ST
	Earnings	24 000	31 172	748 15	30.340	728 16	-19.99	
Security total		788 000	30 013	23,650 26		23,907.92	257 66	
LOWES COMPANIES INC								
Symbol LOW Exchange: NYSE								
EAI $477 Current yield. 1.97%	Feb 18, 16	337 000	68.053	22,933 88	71 120	23,967.44	1,033.56	ST
	Earnings	4.000	72 525	290 10	71 120	284.48	-5.62	
Security total		341.000	68 106	23,223.98		24,251 92	1,027 94	
MEDTRONIC PLC								
Symbol· MDT Exchange: NYSE								
EAI. $545 Current yield. 2 41%	Jan 27, 15	193.000	76.210	14,708 65	71.230	13,747.39	-961 26	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Jan 27, 15	100 000	76.210	7,621 06	71.230	7,123 00	-498 06	LT
	Earnings	24.000	76.639	1,839 35	71 230	1,709 52	-129 83	
Security total		317.000	76.243	24,169 06		22,579 91	-1,589.15	
O REILLY AUTOMOTIVE INC Symbol ORLY Exchange. OTC	Jul 15, 15	99 000	238 123	23,574 23	278 410	27,562 59	3,988.36	LT
ROCKWELL COLLINS INC Symbol: COL Exchange: NYSE EAI $352 Current yield. 1.42%	Jan 22, 14	255.000	79 138	20,180 32	92 760	23,653 80	3,473 48	LT
	Earnings	12.000	86.602	1,039.23	92 760	1,113 12	73 89	
Security total		267 000	79 474	21,219 55		24,766 92	3,547.37	
ROCKWELL AUTOMATION INC NEW Symbol. ROK Exchange NYSE EAI. $578 Current yield: 2.26%	Jan 28, 15	181 000	107.975	19,543 52	134.400	24,326 40	4,782.88	LT
	Earnings	9.000	114 881	1,033 93[2]	134 400	1,209.60	175 67	
Security total		190.000	108 302	20,577.45		25,536.00	4,958 55	
STARBUCKS CORP Symbol SBUX Exchange OTC EAI. $432 Current yield. 1 80%	Oct 30, 13	406 000	40 139	16,296.57	55 520	22,541 12	6,244 55	LT
	Earnings	26.000	48.605	1,263.74	55 520	1,443.52	179 78	
Security total		432 000	40 649	17,560.31		23,984 64	6,424 33	
THERMO FISHER SCIENTIFIC INC Symbol. TMO Exchange: NYSE EAI. $101 Current yield 0.42%	Apr 22, 15	169 000	130 580	22,068 05	141.100	23,845 90	1,777 85	LT
TIME WARNER INC NEW Symbol. TWX Exchange. NYSE EAI $431 Current yield 1 67%	Oct 19, 15	259 000	71 270	18,458 93	96.530	25,001 27	6,542 34	LT
	Earnings	9.000	74 400	669.60[2]	96 530	868.77	199 17	
Security total		268.000	71.375	19,128 53		25,870 04	6,741 51	
TJX COS INC NEW Symbol TJX Exchange. NYSE EAI $324 Current yield 1 38%	Aug 17, 12	296 000	45 187	13,375.48	75 130	22,238.48	8,863 00	LT
	Earnings	16.000	64 888	1,038 22	75.130	1,202.08	163 86	
Security total		312 000	46 198	14,413 70		23,440 56	9,026 86	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
UNTD TECHNOLOGIES CORP								
Symbol· UTX Exchange NYSE								
EAI $612 Current yield· 2.41%	Mar 2, 16	229.000	95 477	21,864 35	109.620	25,102.98	3,238 63	ST
	Earnings	3 000	104 790	314 37	109 620	328.86	14 49	
Security total		232.000	95 598	22,178 72		25,431 84	3,253 12	
VISA INC CL A								
Symbol: V Exchange. NYSE								
EAI. $211 Current yield 0 85%	Sep 13, 16	319 000	81.839	26,106.92	78 020	24,888 38	-1,218.54	ST
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol. DIS Exchange: NYSE								
EAI. $396 Current yield 1.50%	Jun 28, 16	252 000	95.871	24,159 61	104 220	26,263 44	2,103.83	ST
	Earnings	2 000	96.180	192 36	104.220	208 44	16.08	
Security total		254 000	95.874	24,351.97		26,471 88	2,119 91	
Total				**$558,251.56**		**$691,358.24**	**$133,106.68**	

Total estimated annual income: $10,335

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
CONSUMERS STAPLES SELECT									
SECTOR SPDR FUND ETF									
Symbol XLP									
Trade date: Nov 30, 16	389 000	50.844	19,778.53	19,778 53	51 710	20,115 19	336 66		ST
Total reinvested	2 000	52.090		104 18	51 710	103 42	-0.76		
EAI. $511 Current yield: 2.53%									

continued next page

Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	391 000	50 851	19,778.53	19,882.71		20,218.61	335.90	440 08	
HEALTH CARE SELECT SECTOR SPDR									
FUND ETF									
Symbol XLV									
Trade date. Nov 30, 16	573 000	68 916	39,489 12	39,489 12	68 940	39,502 62	13 50		ST
Total reinvested	2 000	69.885		139 77	68 940	137 88	-1 89		
EAI. $636 Current yield 1.60%									
Security total	575.000	68 920	39,489.12	39,628.89		39,640.50	11.61	151.38	
TECHNOLOGY SELECT SECTOR SPDR									
ETF									
Symbol: XLK									
Trade date. Dec 27, 16	440 000	49.204	21,649 97	21,649.97	48.360	21,278.40	-371.57	-371 57	ST
EAI $371 Current yield 1 74%									
Total			**$80,917.62**	**$81,161.57**		**$81,137.51**	**-$24.06**	**$219.89**	

Total estimated annual income: $1,518

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**19,647.16**	**2.48%**	**19,647.16**		
Equities	Common stock	691,358 24		558,251.56	10,335.00	133,106.68
	Closed end funds & Exchange traded products	81,137 51		81,161 57	1,518 00	-24 06
	Total equities	**772,495.75**	**97.52%**	**639,413.13**	**11,853.00**	**133,082.62**
Total		**$792,142.91**	**100.00%**	**$659,060.29**	**$11,853.00**	**$133,082.62**