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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

1/1/2016

, and ending

12/31/2016

Name of foundation

THROCKMORTON RISER FOUNDATION, INC

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 610

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

PITTSBURG

KS

66762-0610

Foreign country name

Foreign province/state/country

Foreign postal code

A Employer identification number

48-1103316

B Telephone number (see instructions)

(620) 231-4650

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐

Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c),

line 16) \$

2,582,071

J Accounting method

☒

Cash

☐

Accrual

☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated under
section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of
amounts in columns (b), (c), and (d) may not necessarily
equal the amounts in column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	2	2	2	
	4 Dividends and interest from securities	73,162	73,162	73,162	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a 168,463				
	7 Capital gain net income (from Part IV, line 2)		33,812		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	73,164	106,976	73,164	
	13 Compensation of officers, directors, trustees, etc	6,000	6,000	6,000	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	7,600	7,600	7,600	
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	19,018	19,018	19,018	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	389	389	389	
	22 Printing and publications				
	23 Other expenses (attach schedule)	60	60	60	
	24 Total operating and administrative expenses. Add lines 13 through 23	33,067	33,067	33,067	0
	25 Contributions, gifts, grants paid	45,000			48,000
	26 Total expenses and disbursements. Add lines 24 and 25	78,067	33,067	33,067	48,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,903			
	b Net investment income (if negative, enter -0-)		73,909		
	c Adjusted net income (if negative, enter -0-)			40,097	

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2016)

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SCANNED MAY 18 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	29,353	44,885	44,885
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	3,400	2,205	2,205
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,494,211	1,508,784	2,534,981
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,526,964	1,555,874	2,582,071
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
Net Assets or Fund Balances	27	Capital stock, trust principal, or current funds	1,526,964	1,555,874	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,526,964	1,555,874	
	31	Total liabilities and net assets/fund balances (see instructions)	1,526,964	1,555,874	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,526,964
2	Enter amount from Part I, line 27a	2	-4,903
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	33,813
4	Add lines 1, 2, and 3	4	1,555,874
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,555,874

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Statement			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	33,812
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	50,959	2,261,737	0.022531
2014	46,500	2,275,297	0.020437
2013	45,000	2,036,887	0.022093
2012	43,500	1,785,756	0.024359
2011	44,000	1,673,898	0.026286

2 Total of line 1, column (d)**2** 0.115706**3** Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years**3** 0.023141**4** Enter the net value of noncharitable-use assets for 2016 from Part X, line 5**4****5** Multiply line 4 by line 3**5****6** Enter 1% of net investment income (1% of Part I, line 27b)**6** 0**7** Add lines 5 and 6**7** 0**8** Enter qualifying distributions from Part XII, line 4**8** 0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,478
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,478
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,478
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,205
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,205
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	727
11	Enter the amount of line 10 to be Credited to 2017 estimated tax 727 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) KS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14	The books are in care of ► WHEELER & MITCHELSON, CHARTERED Located at ► P O BOX 610 PITTSBURG KS Telephone no ► (620) 231-4650 ZIP+4 ► 66762-0610		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	Yes	No
		16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)	Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____ <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No 6b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No 7b N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRED MITCHELSON	DIRECTOR			
P O BOX 610 PITTSBURG, KS 66762	VARIOUS	2,000		
DWIGHT A NELSON	TREAS/DIRECTOR			
P O BOX 329 GARNETT, KS 66032	VARIOUS	2,000		
JOHN H MITCHELSON	PRES/DIRECTOR			
P O BOX 610 PITTSBURG, KS 66762	VARIOUS	2,000		
KEVIN F MITCHELSON	SEC/DIRECTOR			
P O BOX 610 PITTSBURG, KS 66762	VARIOUS	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments See instructions	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,367,146
b	Average of monthly cash balances	1b	30,297
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,397,443
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,397,443
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	35,962
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,361,481
6	Minimum investment return. Enter 5% of line 5	6	118,074

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	118,074
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,478
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,478
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	116,596
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	116,596
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	116,596

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	45,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				118,598
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			111,882	
b Total for prior years 20 12 , 20 13 , 20 14		59,444		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 45,000			45,000	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		59,444		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		59,444		
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount—see instructions			66,882	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				118,598
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 8a				
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
				0
				0
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Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED				45,000
Total			▶ 3a	45,000
b <i>Approved for future payment</i> SEE ATTACHED				48,000
Total			▶ 3b	48,000

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|--|---|
| 1 | <p>Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> | |
| a | <p>Transfers from the reporting foundation to a noncharitable exempt organization of</p> | |
| | <p>(1) Cash</p> | 1 |
| | <p>(2) Other assets</p> | 1 |
| b | <p>Other transactions</p> | |
| | <p>(1) Sales of assets to a noncharitable exempt organization</p> | 1 |
| | <p>(2) Purchases of assets from a noncharitable exempt organization</p> | 1 |
| | <p>(3) Rental of facilities, equipment, or other assets</p> | 1 |
| | <p>(4) Reimbursement arrangements</p> | 1 |
| | <p>(5) Loans or loan guarantees</p> | 1 |
| | <p>(6) Performance of services or membership or fundraising solicitations</p> | 1 |
| c | <p>Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> | |
| d | <p>If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title _____

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

KEVIN F MITCHELSON

Preparer's signature

Ken F. Mitchell

Date _____

05-12-2017

Check ☐ if self-employed

PTIN

P01270331

Firm's name ► WHEELER & MITCHELSON CHTD

Firm's EIN ▶ 48-0990233

Firm's address ► P O BOX 610, PITTSBURG, KS 66762

Phone no	(620) 231-4650
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<u>Paid During the Year</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
Landon Stephens 16653 SE Wabaunsee Road Kincaid, Kansas 66039	None	College Scholarship	3,000.00
Bailee Rockers 27343 NE Utah Road Garnett, Kansas 66032	None	College Scholarship	3,000.00
Regan Morrison 301 LE 3rd Street Kincaid, Kansas 66039	None	College Scholarship	3,000.00
Melissa A. Kropf 1986 Xeric Road NE Westphalia, Kansas 66039	None	College Scholarship	3,000.00
Eliza J. Sibley 819 West 7th Street Garnett, Kansas 66032	None	College Scholarship	3,000.00
Bailee R. Wilson 1 Links Drive Garnett, Kansas 66032	None	College Scholarship	3,000.00
Colton Strickler 10527 SW Louisiana Road Colony, Kansas 66015	None	College Scholarship	1,500.00
Evan W. Godderz 22195 SW 300 Rd Westphalia, Kansas 66039	None	College Scholarship	1,500.00
Maci Rockers 27513 NW 2350 Road Greely, Kansas 66033	None	College Scholarship	1,500.00
Zane Phelps 3368 Lone Star Road Osawatomie, Kansas 66064	None	College Scholarship	1,500.00
McKenzi Huettenmueller 312 North Cleveland P.O. Box 65 Garnett, Kansas 66032	None	College Scholarship	1,500.00
Total			<u>\$45,000.00</u>

<u>b) Approved for future payment</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
Jesse A. Boone (address above)	None	College Scholarship	1,500.00
Carolyn Hermreck (address above)	None	College Scholarship	1,500.00
Britton Brownrigg (address above)	None	College Scholarship	1,500.00
Emily Frank (address above)	None	College Scholarship	3,000.00
Landon Stephens (address above)	None	College Scholarship	3,000.00
Bailee C. Rockers (address above)	None	College Scholarship	3,000.00
Regan Morrison (address above)	None	College Scholarship	3,000.00
Melissa A. Kropf (address above)	None	College Scholarship	3,000.00
Eliza J. Sibley (address above)	None	College Scholarship	3,000.00
Bailee R. Wilson (address above)	None	College Scholarship	3,000.00
Colton Strickler (address above)	None	College Scholarship	3,000.00
Evan W. Godderz (address above)	None	College Scholarship	3,000.00
Maci Rockers (address above)	None	College Scholarship	3,000.00
Zane Phelps (address above)	None	College Scholarship	3,000.00

<u>Approved for future payment</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
McKenzi Huettenmueller (address above)	None	College Scholarship	3,000.00
Laurel Godderz 22195 Southwest 300 Road Colony, Kansas 66015	None	College Scholarship	1,500.00
Karlee Hammond 227 County Rd. Colony, Kansas 66015	None	College Scholarship	1,500.00
Adam Kropf 1986 Xeric Rd. Westphalia, Kansas 66093	None	College Scholarship	1,500.00
Katie Lybarger 21517 NW 1650 Rd. Garnett, Kansas 66032	None	College Scholarship	1,500.00
Jasmine White 32809 NE Norton Rd. Garnett, Kansas 66032	None	College Scholarship	1,500.00
		Total	<u>\$48,000.00</u>

THROCKMORTON-RISER FOUNDATION, INC.

EIN 48-1103316

January 1, 2016 to December 31, 2016

Schedule No. 2InvestmentsDecember 31, 2016

<u>Security</u>	<u>No. of Shares</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Abbott Laboratories	400	\$ 18,255.75	\$ 15,364.00
Altria Group, Inc.	1,000	11,529.80	67,620.00
Apple	700	50,199.50	81,074.00
AT&T	2,000	59,334.58	85,060.00
Bristol Myers Squibb Co.	1,800	60,472.19	105,192.00
Caterpillar	500	30,787.00	46,370.00
Chevron Corp.	1,000	36,960.57	117,700.00
Conoco Phillips	1,000	61,568.83	50,140.00
CSX	1,000	26,193.14	35,930.00
CVS Health	200	19,441.04	15,782.00
Disney	200	22,291.59	20,844.00
Dow Chemical	700	23,258.36	40,054.00
Du Pont	600	27,204.08	44,040.00
Emerson Electric	400	20,162.71	22,300.00
Exxon Mobil Corp.	1,100	42,420.20	99,286.00
General Electric Co.	1,000	29,109.98	31,600.00
International Paper	500	17,021.82	26,530.00
Johnson & Johnson	1,500	92,629.18	172,815.00
J.P. Morgan & Chase Co.	2,200	83,388.21	189,838.00
McDonalds	200	19,854.10	24,344.00
Merck & Co., Inc.	1,500	77,903.67	88,305.00
Microsoft Corp.	1,000	28,107.39	62,140.00
Monsanto	400	27,143.00	42,084.00
Norfolk Southern	1,000	57,725.95	108,070.00
PPG Industries	300	31,596.19	28,428.00
Pepsico Inc.	1,000	45,216.15	104,630.00
Philip Morris	1,000	26,272.79	91,490.00
Phillips 66	250	8,991.02	21,602.50
Proctor & Gamble Co.	1,900	85,883.65	159,752.00
Qualcomm	1,000	56,896.72	65,200.00
Target	300	22,748.49	21,669.00
United Parcel Service	275	31,450.87	31,526.00
U.S. Bancorp	2,000	62,093.96	102,740.00
Verizon	800	21,992.66	42,704.00
Walmart	400	30,195.45	27,648.00
Walgreens Boots	500	30,525.86	41,380.00
Waste Management	400	20,882.25	28,364.00
Westar Entergy, Inc.	2,100	44,260.00	118,335.00
Williams Companies	800	28,609.81	24,912.00
Zoetis	600	18,205.36	32,118.00
Total Value of Investments		\$1,508,783.87	\$2,534,980.50

THROCKMORTON-RISER FOUNDATION, INC.
EIN 48-1103316
2016

Schedule 990-PF

Part XV

Grants and Contributions Paid During the Year or Approved for Future Payment

a) <u>Paid During the Year</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
Shayla Stephens 16653 SE Wabaunsee Road Kincaid, Kansas 66039	None	College Scholarship	1,500.00
Arlynnna Hendrix 611 East 5 th Street Colony, Kansas 66015	None	College Scholarship	1,500.00
Miranda Rickel 404 North Spruce Street Garnett, Kansas 66032	None	College Scholarship	1,500.00
Jesse A. Boone 14102 SW Missouri Road Kincaid, Kansas 66039	None	College Scholarship	3,000.00
Sarah Egidy 31919 NE Thomas Road Greeley, Kansas 66033	None	College Scholarship	3,000.00
Carolyn Hermreck 33633 NW Harper Road Garnett, Kansas 66032	None	College Scholarship	3,000.00
Britton Brownrigg 404 North Spruce Street Garnett, Kansas 66032	None	College Scholarship	3,000.00
Emily Frank 17401 SW 300 Road Colony, Kansas 66015	None	College Scholarship	3,000.00

THROCKMORTON-RISER FOUNDATION, INC.

EIN 48-1103316

January 1, 2016 to December 31, 2016

Schedule No. 1
Expenses

Taxes	\$ 19,018.00
Corporate Fee	40.00
Bank Service Charges	20.00
Legal and Accounting	7,600.00
Director's fees	6,000.00
Travel Expense	389.00
Other Expense	<u>0.00</u>
 Total	 \$ <u>33,067.00</u>

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	FRED MITCHELSON		P O BOX 610	PITTSBURG	KS	66762		DIRECTOR	VARIOUS	2,000		
2	DWIGHT A NELSON		P O BOX 329	GARNETT	KS	66032		TREAS/DIRECTOR	VARIOUS	2,000		
3	JOHN H MITCHELSON		P O BOX 610	PITTSBURG	KS	66762		PRES/DIRECTOR	VARIOUS	2,000		
4	KEVIN F MITCHELSON		P O BOX 610	PITTSBURG	KS	66762		SEC/DIRECTOR	VARIOUS	0		
										6,000	0	0

Part VII-A, Line 8b (990-PF) - Copy of Form 990-PF to Attorney General

FILED ANNUAL REPORT WITH KANSAS SECRETARY OF STATE

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount															
Long Term CG Distributions		0															
Short Term CG Distributions		0															
Description of Property Sold				CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses	
1	FORD				P	7/30/2013	11/10/2016	22,918				32,747	-9,829	0	0	0	-9,829
2	MONSANTO				P	5/2/2011	5/12/2016	9,751				6,785	2,966	0	0	0	2,966
3	AIRCASTLE				P	8/6/2014	4/29/2016	21,433				18,564	2,869	0	0	0	2,869
4	BRISTOL MYERS SQUIBB				P	5/30/2003	2/2/2016	23,737				21,960	1,777	0	0	0	1,777
5	MONSANTO				P	7/16/2010	5/12/2016	39,005				22,558	16,447	0	0	0	16,447
6	WESTAR				P	5/30/2003	12/30/2016	27,882				8,300	19,582	0	0	0	19,582
7	BRISTOL MYERS SQUIBB				P	5/30/2003	2/2/2016	23,737				23,737	0	0	0	0	0

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	CAPITAL GAIN	1	33,812
2	ROUNDING	2	1
3	Total	3	33,813

Part II, Line 10b (990-PF) - Investments - Corporate Stock

1	Description	Num Shares/ Face Value	Book Value		End of Year		FMV	
			Beg of Year	End of Year	Beg of Year	End of Year	Beg of Year	End of Year
1	SEE SCHEDULE NO 2		1,494,211	1,508,784	1,508,784	2,252,668	2,252,668	2,534,891

Part I, Line 11 (990-PF) - Other Income

		0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	WACHOVIA EQUITY SECURITIES LITIGATION		0	

Part I, Line 16b (990-PF) - Accounting Fees

		7,600	7,600	7,600	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTING FEES	7,600	7,600	7,600	0

Part I, Line 18 (990-PF) - Taxes

		19,018	19,018	19,018	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	TAXES	19,018	19,018	19,018	
2	Tax on investment income	0			
3	Income tax	0			

Part I, Line 23 (990-PF) - Other Expenses

		60	60	60	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	CORPORATE FEE	60	60	60	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount														
Long Term CG Distributions														
Short Term CG Distributions														
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Totals		Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss
									Capital Gains/Losses	Other sales		Cost or Other Basis	Valuation Method	
1 FORD		X			P	7/30/2013	11/10/2016	22,918	32,747		168,463			33,812
2 MONSANTO		X			P	5/2/2011	5/12/2016	9,751	6,785					0
3 AIRCASTLE		X			P	8/6/2014	4/29/2016	21,433	18,564					2,869
4 BRISTOL MYERS SQUIBB		X			P	5/30/2003	2/2/2016	23,737	21,960					1,777
5 MONSANTO		X			P	7/16/2010	5/12/2016	39,005	22,558					16,447
6 WESTAR		X			P	5/30/2003	12/30/2016	27,882	8,300					19,582
7 BRISTOL MYERS SQUIBB		X			P	5/30/2003	2/2/2016	23,737	23,737					0