

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

2016

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation HASSO SERRANO FOUNDATION		A Employer identification number 47-3441330	
Number and street (or P O box number if mail is not delivered to street address) 114 PACIFICA STE 310		Room/suite	
B Telephone number (see instructions) (949) 333-3487		C If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code IRVINE, CA 92618		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,903,393		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	22	22		
	4 Dividends and interest from securities	46,696	46,696		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-27,789			
	b Gross sales price for all assets on line 6a 1,303,268				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	18,929	46,718			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	660	0		160
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	4,477	4,477		0
	24 Total operating and administrative expenses. Add lines 13 through 23	5,137	4,477		160
	25 Contributions, gifts, grants paid	157,722			157,722
26 Total expenses and disbursements. Add lines 24 and 25	162,859	4,477		157,882	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-143,930				
b Net investment income (if negative, enter -0-)		42,241			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	1,951,136	201,258	201,258
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	0	19,893	19,893
	b Investments—corporate stock (attach schedule)	0	100,000	100,000
	c Investments—corporate bonds (attach schedule)	0	171,083	171,083
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,002,171	2,411,159	2,411,159
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,953,307	2,903,393	2,903,393	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	2,974,490	2,903,393		
30 Total net assets or fund balances (see instructions)	2,953,307	2,903,393		
31 Total liabilities and net assets/fund balances (see instructions) .	2,953,307	2,903,393		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	2,953,307
2 Enter amount from Part I, line 27a		2	-143,930
3 Other increases not included in line 2 (itemize) ▶ _____		3	94,016
4 Add lines 1, 2, and 3		4	2,903,393
5 Decreases not included in line 2 (itemize) ▶ _____		5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	2,903,393

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a US TRUST - SECURITIES	P		
b CAPITAL GAINS DIVIDENDS	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,298,780		1,331,057	-32,277
b 4,488			4,488
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-32,277
b			4,488
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-27,789
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	36,106	1,168,610	0.030897
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	0.030897
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.030897
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,926,228
5 Multiply line 4 by line 3	5	90,412
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	422
7 Add lines 5 and 6	7	90,834
8 Enter qualifying distributions from Part XII, line 4	8	157,882

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and credits/payments. Total tax due is 366, with 366 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 114 PACIFICA STE 310 IRVINE CA ZIP+4 92618

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LUIS SERRANO 114 PACIFICA SUITE 310 IRVINE, CA 92618	PRESIDENT/CEO 1 00	0	0	0
JAMES MATTHEWS 114 PACIFICA SUITE 310 IRVINE, CA 92618	VICE PRESIDENT/CFD/SECRETARY 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. Yes No 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,487,935
b	Average of monthly cash balances.	1b	482,855
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,970,790
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,970,790
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	44,562
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,926,228
6	Minimum investment return. Enter 5% of line 5.	6	146,311

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	146,311
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	422
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	422
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	145,889
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	145,889
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	145,889

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	157,882
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	157,882
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	422
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	157,460

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				145,889
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			11,067	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>157,882</u>				
a Applied to 2015, but not more than line 2a			11,067	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				145,889
e Remaining amount distributed out of corpus	926			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	926			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	926			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.	926			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				157,722
b <i>Approved for future payment</i> UCLA FOUNDATION 10900 WILSHIRE BLVD SUITE 600 LOS ANGELES, CA 90024				
		PC	MARION ANDERSON BUILDING MAINTENANCE AND ENDOWMENT FUND	450,000
Total ▶ 3b				450,000

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [x] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge ***** 2017-08-07 ***** Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? [x] Yes [] No

Paid Preparer Use Only Print/Type preparer's name ROBERT C GROVE CPA Preparer's Signature Date Check if self-employed [] PTIN P00145721 Firm's name GROVE MUELLER & SWANK PC Firm's EIN 93-0874157 Firm's address 475 COTTAGE STREET NE SUITE 200 SALEM, OR 97301 Phone no (503) 581-7788

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN MARTYRS CATHOLIC CHURCH PO BOX 3639 MANHATTAN BEACH, CA 90266		PC	CHURCH	5,000
APOSTOLIC ASSEMBLY OF THE FAITH IN CHRIST JESUS 25312 BELLE PORTE AVE HARBOR CITY, CA 90710		PC	CHURCH	6,510
FULLER THEOLOGICAL SEMINARY 135 NORTH OAKLAND AVE PASADENA, CA 91182		PC	RELIGIOUS EDUCATION	6,212
MAKE A WISH FOUNDATION 3230 EL CAMINO REAL SUITE 100 IRVINE, CA 92602		PC	TO PROVIDE BENEFITS TO CRITICALLY ILL CHILDREN	5,000
UCLA FOUNDATION 10900 WILSHIRE BLVD SUITE 600 LOS ANGELES, CA 90024		PC	THE MARION ANDERSON BUILDING FUND - THE ANDERSON SCHOOL	50,000
Total ▶				157,722
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HIRSCHBERG FOUNDATION FOR PANCREATIC CANCER RESEARCH 2990 S SEPULVEDA BLVD LOS ANGELES, CA 90064		PC	MEDICAL RESEARCH	5,000
RONALD MCDONALD HOUSE AT STANFORD 520 SAND HILL ROAD PALO ALTO, CA 94304		PC	TO PROVIDE LODGING FOR CRITICALLY ILL CHILDREN AND THEIR FAMILIES	5,000
MANHATTAN BEACH EDUCATION FOUNDATION 325 S PECK AVE MANHATTAN BEACH, CA 90266		PC	SUPPORT FOR EDUCATION IN THE MANHATTAN BEACH UNIFIED SCHOOL DISTRICT	10,000
UC SAN DIEGO FOUNDATION 9500 GILMAN DRIVE LA JOLLA, CA 92093		PC	TO PROVIDE SUPPORT FOR THE UNIVERSITY OF CALIFORNIA SAN DIEGO FOR ITS RESEARCH, TEACHING AND PUBLIC SERVICE MISSIONS	15,000
ST JOHN'S HOSPITAL HEALTH CENTER FOUNDATION 2121 SANTA MONICA BLVD SANTA MONICA, CA 90404		PC	TO PROVIDE SUPPORT FOR ST JOHN'S HEALTH CENTER AND JOHN WAYNE CANCER INSTITUTE'S MISSIONS FOR HEALTH CARE AND RESEARCH	50,000
Total ▶ 3a				157,722

TY 2016 Investments Corporate Bonds Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CAPITAL ONE BK USA ASSN	9,998	9,998
SUNTRUST BK ATLANTA GA MED TERM	10,001	10,001
CELGENE CORP SR UNSECD NT	5,016	5,016
FORD MTR CR CO LLC SR UNSECD NT	9,984	9,984
CITIGROUP INC	10,019	10,019
WESTPAC BKG CORP UNSECD SR GBL NT	10,003	10,003
WELLS FARGO & CO NEW SR UNSECD NT	10,368	10,368
THERMO FISHER SCIENTIFIC INC UNSECD SR NT	10,014	10,014
GOLDMAN SACHS GROUP INC SR UNSEC NT	10,059	10,059
BURLINGTON NORTHN SANTA FE CORP SR UNSECD NT	10,512	10,512
APPLE INC SR UNSECD NT	9,963	9,963
PACCAR FINL CORP UNSECD NT	9,989	9,989
AMERICAN HONDA FIN CORP SR UNSECD	10,078	10,078
FIDELITY NATL INFORMATION SVCS INC UNSECD SR NT	5,087	5,087
AMERICAN EXPRESS CR CORP SR UNSECD MTN	10,015	10,015
AMERICAN HONDA FIN CORP UNSECD SR MTN	9,959	9,959
BANK MONTREAL UNSECD MED TERM SR NT CANADA	10,002	10,002
TORONTO DOMINION BK UNSECD SR MED TERM BK NT CANADA	10,016	10,016

TY 2016 Investments Corporate Stock Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NEURAL ANALYTICS INC.	50,000	50,000
CUTANA PHARMACEUTICALS, INC.	25,000	25,000
BATU BIOLOGICS, INC.	25,000	25,000

TY 2016 Investments Government Obligations Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330**US Government Securities - End
of Year Book Value:**

19,893

**US Government Securities - End
of Year Fair Market Value:**

19,893

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2016 Investments - Other Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISHARES CORE S&P 500 ETF	FMV	370,109	370,109
OAKMARK SELECT FUND	FMV	0	0
ISHARES RUSSELL MID-CAP ETF	FMV	80,487	80,487
MFS MID CAP VALUE FUND	FMV	79,660	79,660
ISHARES RUSSELL 2000 ETF	FMV	177,328	177,328
TOUCHSTONE SMALL CAP GROWTH FUND	FMV	0	0
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND	FMV	0	0
INVESCO INTL GROWTH FUND	FMV	0	0
ISHARES CORE MCSI EAFE ETF	FMV	0	0
WISDOMTREE EUROPE HEDGED EQUITY FUND	FMV	0	0
ISHARES CORE MSCI EMERGING MKTS ETF	FMV	0	0
SCHRODER EMERGING MKT EQUITY FUND INV CL	FMV	0	0
ISHARES CORE US AGGREGATE BOND ETF	FMV	110,761	110,761
ISHARES MBS ETF	FMV	47,853	47,853
SPDR BLOOMBERG BARCLAYS SHORT TERM CORP BD ETF	FMV	73,272	73,272
NEUBERGER BERMAN HIGH INCOME BD FUND INSTL CL	FMV	5,232	5,232
SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	FMV	25,515	25,515
GOLDMAN SACHS ABSOLUTE RETURN	FMV	0	0
GOLDMAN SACHS STRATEGIC INCOME FUND INSTL	FMV	0	0
HATTERAS ALPHA HEDGED STRATEGIES	FMV	0	0
VANGUARD REIT	FMV	49,518	49,518
PRUDENTIAL GLOBAL REAL ESTATE	FMV	69,486	69,486
ACCRUED INCOME	FMV	2,881	2,881
ISHARES EDGE MSCI VOL USA	FMV	126,390	126,390
MFS VALUE FUND	FMV	154,944	154,944
NATIXIS LOOMIS SAYLES GROWTH FUND CL Y	FMV	129,086	129,086
NASCENT BIOTECH INC	FMV	3,750	3,750
CAMBIAR INTL EQUITY FUND	FMV	73,116	73,116
ISHARES EDGE MSCI MIN VOL EAFE ETF	FMV	91,830	91,830
OPPENHEIMER INTL GROWTH FUND	FMV	75,473	75,473

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ISHARES EDGE MSCI MIN VOL EMERGING MKTS ETF	FMV	36,193	36,193
PARAMETRIC EMERGING MARKETS FUND CL I	FMV	38,609	38,609
CMG ULTRA SHORT TERM BOND FUND	FMV	390,061	390,061
DOUBLELINE TOTAL RETURN BD FUND	FMV	24,160	24,160
AQR MANAGED FUTURES STRATEGY FUND CL I	FMV	25,305	25,305
ARBITRAGE FUND	FMV	26,390	26,390
BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL	FMV	31,544	31,544
LEGG MASON BW ABSOLUTE RETURN OPP FUND	FMV	27,544	27,544
NEUBERGER BERMAN LONG SHORT FUN INSTL CL	FMV	30,960	30,960
THE MERGER FD	FMV	33,702	33,702

TY 2016 Other Expenses Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	4,477	4,477		0

TY 2016 Other Increases Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	94,016

TY 2016 Taxes Schedule**Name:** HASSO SERRANO FOUNDATION**EIN:** 47-3441330

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL	500	0		0
CALIFORNIA	160	0		160