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Form **990-PF**

EXTENDED TO NOVEMBER 15, 2
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

| | Calci | idal year 2010 of tax year beginning | | , and ending | | |
|-----|------------------|---|------------------------------|---------------------------------------|--|--|
| ١ | lame o | foundation | | | A Employer identification | n number |
| | VON | RICKENBACH FAMILY FOUN | TATTON . | | 47-3246621 | 1 |
| _ | | and street (or P O box number if mail is not delivered to street | | Room/suite | B Telephone number | <u> </u> |
| | | WINTER STREET | , | 350 | | -8400 |
| | | own, state or province, country, and ZIP or foreign p | nstal code | 1330 | C if exemption application is | |
| | | THAM, MA 02451 | osiai codo | | o ir exemption application is t | bending, check here |
| | | call that apply: Initial return | Initial return of a fo | ormer public charity | D 1. Foreign organization | s check here |
| _ | | Final return | Amended return | minor public briainly | 1. Foreign organization | o, unouk nore |
| | | Address change | Name change | | Foreign organizations me check here and attach co | eeting the 85% test, |
| Н | Check | type of organization: X Section 501(c)(3) ex | | | E If private foundation sta | |
| Ε | _ | | Other taxable private founda | ation | under section 507(b)(1 | |
| ī | | arket value of all assets at end of year J Accounti | | Accrual | F If the foundation is in a | • • |
| | | 1 — | her (specify) | | under section 507(b)(1 | |
| | \$ | 928,102. (Part I, colu | | pasis) | , | //-// cities / /- |
| F | Part I | Analysis of Revenue and Expenses | (a) Revenue and | (b) Net investment | (c) Adjusted net | (d) Disbursements |
| | | (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | expenses per books | income | income | for charitable purposes (cash basis only) |
| | 1 | Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 | Check X if the foundation is not required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 93. | 93. | | STATEMENT 1 |
| | 4 | Dividends and interest from securities | 22,101. | 22,101. | | STATEMENT 2 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | _{es} 6a | Net gain or (loss) from sale of assets not on line 10 | -30,375. | | | |
| | Ž b | Gross sales price for all assets on line 6a 485,685. | | • • | | |
| | b 7 | Capital gain net income (from Part IV, line 2) | , | 0. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | · · · · · · · · · · · · · · · · · · · | | |
| | b | Less Cost of goods sold | | | | <u></u> |
| | C | Gross profit or (loss) | | | | |
| | 11 | Other income | | | | <u> </u> |
| | 12 | Total. Add lines 1 through 11 | -8,181. | 22,194. | | <u> </u> |
| | 13 | Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 | Other employee salaries and wages | | | | |
| • | 15 | Pension plans, employee benefits | | | | |
| - 1 | 16a | Legal fees | | | | |
| | a b | Accounting fees | 5 055 | F 055 | | ļ |
| Ú | i C | Other professional fees STMT 3 | 5,255. | 5,255. | | 0. |
| | 17 | Interest Consense 4 | 02 700 | | | |
| į | 18 | Taxes STMT 4 | 23,702. | 852. | | 0. |
| 3 | 19 | Depreciation and depletion | ! | _ _ | | |
| 3 | 20 | Occupancy RECEIVED | 1 | | | |
| 3 | 21 | Travel, conferences, and meetings | | | | |
| Š | 22 | Printing and publications 2 0 2017 Cher expenses NVV 2 0 2017 | | | | |
| | 24 | 169 | | | | |
| • (| 5 24 | Total operating and administrative | 20 057 | £ 107 | | _ |
| ć | 2 25 | expenses. Add lines 3th Jun 23, -UT Contributions, gifts, grants paid | 28,957. 30,600. | 6,107. | | 30,600. |
| | | Total expenses and disbursements. | 30,000. | | | 30,000. |
| | 120 | Add lines 24 and 25 | 59,557. | 6,107. | | 30,600. |
| _ | 27 | Subtract line 26 from line 12: | 33,337. | 0,10/. | | 30,000. |
| | | | -67,738. | | | |
| | | Excess of revenue over expenses and disbursements Net investment income (if negative, enter -0-) | -01,130. | 16,087. | | |
| | 1 | Adjusted net income (if negative, enter -0-) | | 10,007. | N/A | |
| _ | | | i l | | . 41/43 | • |

| | art | Balance Sheets Attached schedules and amounts in the description column should be for pro-pi-year amounts only | Beginning of year | End of | year |
|--|----------|--|---------------------|---------------------------------------|---------------------------|
| | arı | column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 19,063. | 29,733. | 29,733. |
| | 3 | Accounts receivable > | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ► | | | |
| |) | Less: allowance for doubtful accounts ▶ | | | _ |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | } | disqualified persons | | | |
| | 7 | Other notes and loans receivable | _ | | - |
| | } | Less: allowance for doubtful accounts | | | |
| 20 | 8 | Inventories for sale or use | | | |
| Assets | 9 | Prepaid expenses and deferred charges | | | |
| Ä | 10a | Investments - U.S. and state government obligations | | | |
| | b | Investments - corporate stock STMT 5 | 619,389. | | 605,036. |
| | C | Investments - corporate bonds STMT 6 | 300,000. | 257,252. | 248,695. |
| | 11 | Investments - land, buildings, and equipment basis | < , | | |
| | ł | Less accumulated depreciation | | | |
| | 12 | Investments - mortgage loans | | | <u> </u> |
| | 13 | Investments - other STMT 7 | 50,057. | 50,057. | 44,638. |
| | 14 | Land, buildings, and equipment basis ► | | | 7 |
| | l | Less accumulated depreciation | | | |
| | 15 | Other assets (describe) | | | |
| | 16 | Total assets (to be completed by all filers - see the | | | |
| _ | <u> </u> | instructions. Also, see page 1, item I) | 988,509. | 922,837. | 928,102. |
| | 17 | Accounts payable and accrued expenses | | | ı. |
| | 18 | Grants payable . | | | , |
| es | 19 | Deferred revenue . | | · · · · · · · · · · · · · · · · · · · | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| ë | 21 | Mortgages and other notes payable | <u> </u> | | - |
| _ | 22 | Other liabilities (describe | | | |
| | İ. | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | , |
| | | Foundations that follow SFAS 117, check here | | | |
| ģ | l | and complete lines 24 through 26 and lines 30 and 31. | | | |
| ĕ | 24 | Unrestricted | | | <i>i</i> . |
| aga | 25 | Temporarily restricted | | | |
| 9 | 26 | | | <u> </u> | - |
| 2 | | Foundations that do not follow SFAS 117, check here | | | |
| <u>P</u> | | and complete lines 27 through 31. | | _ | |
| Net Assets or Fund Balance | 27 | Capital stock, trust principal, or current funds | 0. | 0. | - |
| \SS | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 988,509. | | |
| et/ | 29 | Retained earnings, accumulated income, endowment, or other funds | 988,509. | | |
| Z | 30 | Total net assets or fund balances | 360,303. | 322,031. | - |
| | 31 | Total liabilities and net assets/fund balances | 988,509. | 922,837. | |
| | | | | 722,0374 | |
| P | art | Analysis of Changes in Net Assets or Fund I | Balances | | |
| 1 | Tota | net assets or fund balances at beginning of year - Part II, column (a), line | e 30 | | |
| (must agree with end-of-year figure reported on prior year's return) | | | | 1 | 988,509. |
| 2 | Ente | r amount from Part I, line 27a | • | 2 | -67,738. |
| 3 | Othe | r increases not included in line 2 (itemize) BOOK TO TAX | ADJUSTMENT | 3 | 2,066. |
| | | lines 1, 2, and 3 | | . 4 | 922,837. |
| | | eases not included in line 2 (itemize) | | | 0.000 |
| 6 | Tota | I net assets or fund balances at end of year (line 4 minus line 5) - Part II, | column (b), line 30 | | 922,837. |
| | | | | | Form 990-PF (2016) |

VON RICKENBACH FAMILY FOUNDATION

47-3246621 Page 2

| | | KENBACH FAMI) | | | | | | 47-32 | 2466 | 21 Page 3 |
|--|--------------|--|--------------------------------------|--------------------------------------|--------------|-------------------------------|------------------|--|---------------------------|---------------------------------|
| (a) List and descr | ibe the kii | nd(s) of property sold (e.g., or common stock, 200 shs. | , real estate, | | (b) | low acc - Purch - Donat | juired (c ase |) Date acquire mo., day, yr.) | d (| (d) Date sold mc., day, yr.) |
| 1a | | | | | | | | | | |
| b SEE ATTACHED | STAT | PEMENTS | | | | | | | | |
| <u>c</u> | | | | | ↓ | | | | | |
| <u>d</u> | | | | | ┼ | | | | | |
| <u>e</u> | (6) | Depreciation allowed | (a) Cos | t or other basis | | | | (h) Gain or (| inee\ | |
| (e) Gross sales price | | (or allowable) | | xpense of sale | | | | e) plus (f) mir | | |
| a b | | | | · | | | - | | | |
| C | | | | | | | | | | |
| d | | | | | | | | | | |
| e 485,685. | | | | 516,06 | 50. | | | | | -30,375. |
| Complete only for assets showing | ıg gain in | column (h) and owned by t | he foundation | on 12/31/69 | | | (I) G | ains (Col. (h) | gain mini | IS |
| (i) F.M.V. as of 12/31/69 | | j) Adjusted basis as of 12/31/69 | | cess of col. (1) col. (j), if any | | | col. (k |), but not less .osses (from c | than -0-) ol. (h)) | <i>i</i> Oī |
| _a | | | | | | | | | | |
| <u>b</u> | | | | | | | | | | |
| <u>C</u> | | | | | | | | ·· | | |
| <u>d</u> | | | | | | | | | | 20 275 |
| <u>e</u> | | | | | | | | | | <u>-30,375.</u> |
| 2 Capital gain net income or (net ca | ipital loss) | If gain, also enter $\left\{\begin{array}{l} \text{If (loss), enter -0}. \end{array}\right.$ | in Part I, line - in Part I, line | 7 7 | . } | 2 | | | | -30,375. |
| 3 Net short-term capital gain or (los | | | ıd (6): | | `` | | | | | |
| If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8 | column (| C). | | | þ | | | N | /A | |
| Part V Qualification U | nder S | Section 4940(e) for | Reduced | Tax on Ne | t Inv | estm | ent Inco | me | <u> </u> | |
| (For optional use by domestic private | | | | | | | | | | |
| | | • | 10 10(0) 1111 011 | not invostment | | 0. / | | | | |
| If section 4940(d)(2) applies, leave t | his part bl | ank. | | | | | | | | |
| Was the foundation liable for the sec | tion 4942 | tax on the distributable am | nount of any ye | ear in the base pe | eriod? | | | | | Yes X No |
| If "Yes," the foundation does not qua | | | | | | | | | | |
| 1 Enter the appropriate amount in | each colu | mn for each year; see the i | nstructions be | fore making any | entrie | s. | | | | |
| (a) Base period years | į | (b) | A | Naturalisa at a | (c |) | | , D | (d) Istribution | n ratio |
| Calendar year (or tax year beginni | ng in) | Adjusted qualifying dis | | Net value of n | oncha | | | (col. (b |) divided | by col. (c)) |
| 2015 | } | 3 | 5,600. | | | 81 | <u>1,346.</u> | | | .043878 |
| 2014 | | | | | | | | | | |
| 2013 2012 | | | | | | | | | | |
| 2011 | | | | | | | | | | |
| | | · | | <u> </u> | | | | | | |
| 2 Total of line 1, column (d) | | | | | | | | 2 | | .043878 |
| 3 Average distribution ratio for the | 5-year ba | se period - divide the total : | on line 2 by 5, | or by the number | er of y | ears | | | | |
| the foundation has been in existe | nce if less | than 5 years | | • | Ť | | | 3 | | .043878 |
| | | | | | | | | | | |
| 4 Enter the net value of noncharital | ble-use as | sets for 2016 from Part X, | line 5 | | | | | 4 | | 905,978. |
| | | | | | | | | } | | 00 550 |
| 5 Multiply line 4 by line 3 | | | • | | | | | 5 | | 39,753. |
| 6 Enter 19/ of making making and increase | (40/ | (Darth Lag 07h) | | | | | | | | 161. |
| 6 Enter 1% of net investment incor | ne (1% o | Part I, line 270) | | | | | | 6 | | <u> </u> |
| 7 Add lines 5 and 6 | | | | | | | | 7 | | 39,914. |
| , Aug mos y and U | • | | | • | | | | | | <u></u> |
| 8 Enter qualifying distributions from | n Part XII | . line 4 | | | | | | 8 | | 30,600. |
| If line 8 is equal to or greater tha | | | e 1b. and com | olete that part us | ing a | 1% tax r | rate. | <u></u> | | |
| See the Part VI instructions. | | | | | y u | .,. w.n I | | | | |
| 623521 11-23-16 | | | | | | | | | Form | 990-PF (2016 |

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions) | | 1990-PF (2016) VON RICKENBACH FAMILY FOUNDATION | | <u> 3246</u> | | | age 4 |
|--|-----|--|------------|--|-------------|--------------|--|
| Dates of ruling or determination letter: Catach copy of letter it in necessary-see instructions) 1 3.2.2. | Pa | irt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or | 4948 | · see i | nstru | ction | <u>IS)</u> |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here | 1a | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | } | Ì | | | |
| of Part I, line 27b A did their domestic standations enter 27% of line 27b. Evempt foreign organizations enter 4% of Part I, line 12, col. (b). Tax under section 511 (domestic section 4947(a)(1) invists and taxable foundations only, Others enter -0-) A did lines 1 and 2 A Subdite A (income) but (domestic section 4947(a)(1) invists and taxable foundations only, Others enter -0-) 5 Tax based on investment income, Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income, Subtract line 4 from line 3. If zero or less, enter -0- 6 Credits/Payments: 2 0016 estimated tax payments and 2015 overpayment credited to 2016 5 Exempt foreign organizations: saw withheld at source 6 Tax past with application for extension of time to file (Form 8868) 7 Total credits and payments. Add lines 6a through 8d 7 Total credits and payments. Add lines 6a through 8d 7 Total credits and payments. Add lines 6a through 8d 8 Enter any peasity for underplyment on estimated bits. Other A lines 6a through 8d 8 Enter the size of the total of lines 5 and 8 is more than line 7, enter amount own 10 Overpayment, If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 1 Enter than amount of line 10 to be credited to 2017 estimated tax. 1 Enter than amount of line 10 to be Credited to 2017 estimated tax. 2 to 33 3 22 . 8 Expert foreign of line 10 to be Credited to 2017 estimated tax. 9 Total credits and payments. Add lines 6a through 8d 8 Enter the size of lines of lines 10 to 10 credited to 2017 estimated tax. 9 Total credits and payments. 1 Enter than amount of line 10 to be Credited to 2017 estimated tax. 1 Total credits and payments. 1 Total credits 10 total lines 10 to 10 credits 4 total 10 total | | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) | | | | | |
| a All other domestic foundations enter 2% of the 27th. Exempt freego organizations enter 4% of Part I, Ino 12, oc. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter 40-) 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter 40-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter 40-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter 40-) 5 Cordisch-Pyments: 2 CO Cerdisch-Pyments: 2 CO Cerdisch-Pyments: 2 CO Cerdisch-Pyments: 3 22. Cordisch-Pyments: 4 CO Cerdisch-Pyments: 5 CO Cerdisch-Pyments: 5 CO Cerdisch-Pyments: 6 Cordisch-Pyments: 7 Cordisch-Pyments: 8 Cordisch-Pyments: 8 Cordisch-Pyments: 9 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 11 Cordisch-Pyments: 12 Cordisch-Pyments: 13 Cordisch-Pyments: 14 Cordisch-Pyments: 15 Cordisch-Pyments: 16 Cordisch-Pyments: 17 Cordisch-Pyments: 18 Cordisch-Pyments: 19 Cordisch-Pyments: 19 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordisch-Pyments: 10 Cordis | b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% | 1 | | | 3 | 22. |
| 2 Tax under section \$11 (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 3 3 322. 4 Subtitle A (income) bix (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 5 Tax based on investment income. Subtrail hind 4 from hind 3.1 gen or tiess, enter -0- 5 Tax based on investment income. Subtrail hind 4 from hind 3.1 gen or tiess, enter -0- 5 322. 5 Chestish**Premish** 2 D16 estimated bix payments and 2015 overpayment credited to 2016 6 Basic year and the policitation for extension of time to file (Form 8858) 6 Be 6 Basic year and the policitation for extension of time to file (Form 8858) 7 Total credits and payments. And times 6a through 64 8 Titles and yearably for underpayment of estimated tax. Check here if Form 2220 is attached 8 Tax dea. If the total of lines 5 and 8 is more than time 7, enter amount owed 9 Tax dea. If the total of lines 5 and 8 is more than time 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Decayment of lines 10 to be credited to 2017 extendited by 2 2,535. Refunded by 10 1 2,535. 12 If the answer is Yes' to 1 in a detailed description of the activities. 13 If yes, a stack a detailed description of the activities. 14 If yes, a stack a detailed of the scription of the activities and copies of any materials published or distributed | | of Part I, line 27b | | | | | |
| 2 Tax under section \$11 (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 3 3 322. 4 Subtitle A (income) bix (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 5 Tax based on investment income. Subtrail hind 4 from hind 3.1 gen or tiess, enter -0- 5 Tax based on investment income. Subtrail hind 4 from hind 3.1 gen or tiess, enter -0- 5 322. 5 Chestish**Premish** 2 D16 estimated bix payments and 2015 overpayment credited to 2016 6 Basic year and the policitation for extension of time to file (Form 8858) 6 Be 6 Basic year and the policitation for extension of time to file (Form 8858) 7 Total credits and payments. And times 6a through 64 8 Titles and yearably for underpayment of estimated tax. Check here if Form 2220 is attached 8 Tax dea. If the total of lines 5 and 8 is more than time 7, enter amount owed 9 Tax dea. If the total of lines 5 and 8 is more than time 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Decayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Decayment of lines 10 to be credited to 2017 extendited by 2 2,535. Refunded by 10 1 2,535. 12 If the answer is Yes' to 1 in a detailed description of the activities. 13 If yes, a stack a detailed description of the activities. 14 If yes, a stack a detailed of the scription of the activities and copies of any materials published or distributed | C | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | 1 | | | |
| 3 3 222. Subtile A (indomes tand 2 Subtile A (indomes) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 1 Tax based on investment income. Subtract line A from line 3. if zero or less, enter -0- 5 Tax based on investment income. Subtract line A from line 3. if zero or less, enter -0- 5 CedishPayments: 2 2016 estimated bax payments and 2015 overpayment credited to 2016 8 2 2, 857 . 5 Exempt foreign organizations - tax withheld at source 6 B | | · · · · · · · · · · · · · · · · · · · | 2 | | | | 0. |
| 4 Subtité à (norme) lax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income, Subtract line 4 from line 3. If zero or less, enter -0- 6 Crédis-Payments: 2 016 estimated lax payments and 2015 overpayment credided to 2016 6 Exempt foreign organizations - Lax withheld at source 6 Exempt foreign organizations - Lax withheld at source 6 Tax paid with application for extension of time to tile (Form 8866) 6 Be 8 Tax based on granizations - Lax withheld at source 6 Tax paid with application for extension of time to tile (Form 8866) 6 Be 8 Tax based on the subtility of the | | • | 3 | | | 3. | 22. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter-0-6 CrediscPayments: 2016 isstimated fax payments and 2015 overpayment credited to 2016 6. | 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | | | | |
| 6 Credits-Psyments: a 2016 estimated tax payments and 2015 overpayment credited to 2016 b Exempt to regin organizations - tax withhelid at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withhelid 7 Total credits and payments. Add lines 6a through 66 8 Enter any penalty for underspayment of estimated tax. Check here ☐ If Form 2220 is attached 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Total redits and payments. Add lines 6a through 60 Part VIII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in distributed by the foundation of the credit of th | 5 | · · · · · · · · · · · · · · · · · · · | 5 | | | 3 | |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016 b Exempt foreign organizations - tax withheld at source c Tax paid with applications for extension of time to the (Form 8868) d Backup withholding erroneously withheld 7 Total predits and payments. Add lines 6a through 6d 6 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 7 Tax due. If the total of hairs 5 and 6 is more than line 7, enter amount over 100 overpayment. If line 7 is more than the total of hairs 5 and 6, enter the amount overpaid 11 Finet the amount of line 10 to be; Credited to 2017 estimated tax. ► 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, did the foundation of the activities and copies of any materials published or distributed by the foundation in connection with the activities and copies of any materials published or distributed by the foundation more connection with the activities. 14 Did the foundation file Form 1120-POL for this year? 15 Enter the amount (if any) paid by the foundation with the activities. 16 Did the foundation mater any changes, not previously been reported to the IRS? 17 **Yes**, "attach a detailed description of the activities. 18 Text the amount (if any) paid by the foundation managers. ► 19 **Set the foundation made any changes, not previously been reported to the IRS? 10 **Tex**, "attach a detailed description of the activities. 10 **Tex**, "attach a detailed description of the activities. 10 **Tex**, "attach a detailed description of the activities. 10 **Tex**, "attach a detailed description of the activities. 11 **Tex**, "attach a detailed business gross income of \$1,000 or more during the year? 12 **Tex**, "attach a detaile | _ | , | | | | | |
| b Exempt foreign organizations - tax withhelid at source | | | | 1 | | | |
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| Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV The answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X X X If "Yes, "attach the state cleans a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses S X | | · | 7 | T / Z | _ | | |
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| By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | U | | | | | | |
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| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | g. | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | |) |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | oa | | | | | | |
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| year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | ٥ | , , | dondor | | OU | | |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | J | | IICHUM | | | | Y |
| any personal bedefine debut medical defining the tax year. If res, attach a schedule listing their harries and addresses | 10 | | | | | | Ţ |
| | 10 | any persons recome substantial cumulaturs during the lax year? If "Yes," attach a schedule listing their names and addresses | | Fr | |)-PF | |

Page 5

| Form 990-PF (2016) VON RICKENBACH FAMILY FO | | | 47-32466 | 521 Page 6 |
|--|--|---|--|---|
| Part VII-B Statements Regarding Activities for Which F | orm 4720 May Be R | lequired (continu | ied) | |
| 5a During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section 2) Influence the outcome of any specific public election (see section 4955); or any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes 4) Provide a grant to an organization other than a charitable, etc., organization 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals? | n 4945(e))? If to carry on, directly or indirectly or indi | Ye. Ye. Ye. Ye. Ye. Ye. Ye. Ye. | S X No S X No S X No S X No | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und | · | n Regulations | /- | _ |
| section 53.4945 or in a current notice regarding disaster assistance (see instru | • | | N/A | 5b |
| Organizations relying on a current notice regarding disaster assistance check if the answer is "Yes" to question 5a(4), does the foundation claim exemption f expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.494 6a Did the foundation, during the year, receive any funds, directly or indirectly, to | rom the tax because it maintal . N 5-5(d). | ined · | s No | - |
| a personal benefit contract? | | ☐ Ye | s X No | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a part of the foundation of the foundati | | Γ ν- | s X No | 6b X |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax: | | \ YE | N/A | 7b |
| Part VIII Information About Officers, Directors, Trust | | nagers Highly | | 70 |
| Paid Employees, and Contractors | .ooo, i oamaaton ma | | • | |
| 1 List all officers, directors, trustees, foundation managers and their | compensation. | | | |
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deterred compensation | (e) Expense account, other allowances |
| ROBERT L. CARSON | TRUSTEE | | | |
| 880 WINTER STREET, SUITE 350 | 0.00 | | | |
| WALTHAM, MA 02451 | 0.00 | 0. | 0 | . 0. |
| | | | | |
| 2 Compensation of five highest-paid employees (other than those in | | enter "NONE." | (d) Contributions to | (a) Evnence |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | employee benefit plans and deferred compensation | s (e) Expense account, other allowances |
| NONE | - | | | |
| | | | | |
| | | | <u> </u> | |
| Total number of other employees paid over \$50,000 | | | For | m 990-PF (2016) |

| orm 990-PF (2016) VON RICKENBACH FAMILY FOUNDATION | | <u>47-3246</u> | 521 Page 7 |
|---|--------------------------------|----------------|------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundary Paid Employees, and Contractors (continued) | ation Managers, Highly | <i>'</i> | |
| Five highest-paid independent contractors for professional services. If none, ente | r "NONE." | | |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of serv | ice | (c) Compensation |
| GOLDMAN SACHS | INVESTMENT AD | VISORY | |
| 125 HIGH STREET, BOSTON, MA 02110 | FEES | <u> </u> | 5,255. |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities | | | 0 |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statis | stical information such as the | | Expenses |
| number of organizations and other beneficiaries served, conferences convened, research papers pro | oduced, etc. | | |
| 1 <u>N/A</u> | | | |
| | | | |
| 2 | | | |
| | | | |
| | | | |
| 3 | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Part IX-B Summary of Program-Related Investments | | | |
| Describe the two largest program-related investments made by the foundation during the tax year or | n lines 1 and 2. | | Amount |
| 1 N/A | | | |
| | | | |
| 2 | | | |
| | | | |
| | | | |
| All other program-related investments. See instructions. | | | |
| 3 | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total. Add lines 1 through 3 | <u> </u> | | 0. |

| P | art X Minimum Investment Return (All domestic foundation | ons must complete this pa | art. Foreign four | ndations, see | e instructions.) |
|----|--|-----------------------------------|-------------------|------------------|------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out chi | aritable, etc., purposes: | | | |
| a | | | | 1a | 915,708. |
| b | | | | 1b | 4,067. |
| C | Fair market value of all other assets | | | 1c | |
| đ | Total (add lines 1a, b, and c) | | | 1d | 919,775. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | i | | |
| | 1c (attach detailed explanation) | 1e | 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | <u></u> | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | • | | 3 | 919,775. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater an | mount, see instructions) | | 4 | 13,797. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here | | | 5 | 905,978. |
| 6 | Minimum investment return. Enter 5% of line 5 | | _ | 6 | 45,299. |
| P | Part XI Distributable Amount (see instructions) (Section 4942() | i)(3) and (j)(5) private operatii | ng foundations ar | nd certain | |
| | foreign organizations check here 🕨 🔛 and do not complete the | | | | |
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 45,299. |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 322. | - | |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | 2b | | _ | |
| C | Add lines 2a and 2b | | | 2c | 322. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 44,977. |
| 4 | Recoveries of amounts treated as qualifying distributions | • | | 4 | 0. |
| 5 | Add lines 3 and 4 | | • | 5 | 44,977. |
| 6 | Deduction from distributable amount (see instructions) | ** | - | 6 | 0. |
| 7_ | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and o | n Part XIII, line 1 | | 7 | 44,977. |
| P | Part XII Qualifying Distributions (see instructions) | | | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, et | c., purposes: | | | |
| а | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | | | 1a | 30,600. |
| b | _ | | | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out of | charitable, etc., purposes | | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | | | |
| а | Suitability test (prior IRS approval required) | | | 3a | |
| b | Cash distribution test (attach the required schedule) | | | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, lin | ne 8, and Part XIII, line 4 | | 4 | 30,600. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on n | et investment | | | |
| | income. Enter 1% of Part I, line 27b | | | 5 | <u> </u> |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | | | 6 | 30,600. |
| _ | Note: The amount on line 6 will be used in Part V, column (b), in subsequent (4940(e) reduction of tax in those years. | years when calculating whethe | er the foundation | qualifies for th | ne section |

| Part XIII | Undistributed Income | (see | instructions) |
|-----------|----------------------|------|---------------|
| | | | TOUR GOLIONS |

| | (a) | (b) | | |
|---|--------------|--|---------------------------------------|----------------------|
| 1 Distributable amount for 2016 from Part X line 7 | Corpus | Years prior to 201 | 5 (c) 2015 | (d) 2016 |
| 2 Undistributed income, if any, as of the end of 2016 | | _ 1 | | |
| a citier amount for 2015 only | 5 | | | 44,977 |
| b Total for prior years: | | | | |
| | | | | 0. |
| 3 Excess distributions carryover, if any, to 20 | 116. | | 0. | |
| a riom 2011 | 10. | , | | |
| b From 2012 | | | • | |
| c From 2013 | | · | | |
| d From 2014 | | | | |
| e From 2015 13, 24: | 3 | | | |
| i lotal of lines 3a through e | • • | - : | | |
| 4 Qualifying distributions for 2016 from | 13,243 | • | , | 1 |
| Part XII, line 4: ►\$ 30 500 | n . | | | |
| a Applied to 2015, but not more than line 25 | - | | | - , |
| a whiten to andistributed income of prior | - 1 | | _ ` ` ` ` | o. |
| years (Election required - see instructions) | | | | /• |
| o realed as distributions out of cornus | | (| <u>0.</u> | |
| (Election required - see instructions) | | | ` | |
| a Applied to 2016 distributable amount | 0 | • | | - |
| e Remaining amount distributed out of cornus | 0 | | | 70.00 |
| (If an amount appears is self-united to 2016 | 13,243 | • | | 30,600. |
| must be shown in column (a), the same amount | 13,243 | | , | 12 040 |
| 6 Enter the net total of each column as | | - | | 13,243. |
| mategred belom: | | | 4 | - |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | 1 | - ' . | |
| Prior years' undistributed income Subtract | 0. | | | |
| iiile 40 irom linė 26 | | | | † |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been reported. | | 0 | • | |
| | | | | |
| the section 4942(a) tax has been previously assessed | | | | |
| | | _ | | |
| d Subtract line 6c from line 6b. Taxable | 1 | 0. | • | |
| amount - see instructions | | - | | |
| e Undistributed income for 2015. Subtract line | | 0. | + | |
| 4a from line 2a. Taxable amount - see instr. | | | | * * |
| f Undistributed income for 2016. Subtract | | | 0. | |
| lines 4d and 5 from line 1. This amount must be distributed in 2017 | | | 1. 1. 1. | |
| 7 Amounts treated to disturb | | | | i |
| 7 Amounts treated as distributions out of | | | · · · · · · · · · · · · · · · · · · · | 1,134. |
| corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4040(c)(c) (c) | 1 | | - | -1174. |
| section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions corrections | O. | 20° 2 ° 200 | - | • |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 | | | | |
| 9 Excess distributions carryover to 2017. | 0. | | | |
| Subtract lines 7 and 8 from line 6a | | | | |
| O Analysis of line 9: | 0. | | | 1 , |
| a Excess from 2012 | | 1 2 1 | | |
| b Excess from 2013 | | tiere en en en en en en en en en en en en en | | |
| | | | | • |
| c Excess from 2014 | 1. | | | |
| c Excess from 2014 | | 1 | | |
| d Excess from 2014 d Excess from 2015 e Excess from 2016 | | | | |

| Form 990-PF (2016) VON RIC | KENBACH FAM | ILY FOUNDA' | rion | | 46621 Page 10 |
|--|-----------------------------|------------------------------|------------------------------|------------------------------|---------------------|
| Part XIV Private Operating F | oundations (see in | structions and Part V | II-A, question 9) | N/A | |
| 1 a If the foundation has received a ruling of | r determination letter tha | t it is a private operating | | | |
| foundation, and the ruling is effective for | | • | ▶ | | |
| b Check box to indicate whether the found | lation is a private operati | ng foundation described | in section | 4942(j)(3) or 4 | 942())(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | | Prior 3 years_ | | |
| income from Part I or the minimum | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | (e) Total |
| investment return from Part X for | | | | | İ |
| each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | L | | | 1 | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | | | |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the | | | | | |
| alternative test relied upon: a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | 1 | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return | | , | | | |
| shown in Part X, line 6 for each year | | | | | |
| listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, | |] | | | |
| dividends, rents, payments on | | | | | |
| securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt | | | | | |
| organizations as provided in | | | | | |
| section 4942(j)(3)(B)(iii) | <u> </u> | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | · | | |
| Part XV Supplementary Info | rmation /Compl | oto this part only | , if the foundation | n had \$5 000 or m | oro in accete |
| at any time during t | | | y ii tile loulluatio | 11 Had \$5,000 of 11 | iore in assets |
| 1 Information Regarding Foundation | _ | | | | |
| a List any managers of the foundation wh | _ | e than 2% of the total co | ntributions received by th | ne foundation before the cl | ose of any tax |
| year (but only if they have contributed r | | | nanbanona rocowca by a | io iouniquion boiore ine e | ood of any tax |
| NONE | | | | | |
| b List any managers of the foundation wh | o own 10% or more of | the stock of a corporation | n (or an equally large nor | tion of the ownership of a | nartnership or |
| other entity) of which the foundation ha | | | ii (oi aii oquany large por | don or the ownership or a | parational pro- |
| NONE | | | | | |
| 2 Information Regarding Contribut | ion Grant Gift Loa | Scholarship etc | Programe: | | |
| | | | - • | s not accept unsolicited red | uests for funds. If |
| the foundation makes gifts, grants, etc. | | | | | |
| a The name, address, and telephone num | | | | | |
| | ibo. or o man address of | . mo porson to mioni ap | | | |
| | | | | | |
| b The form in which applications should | be submitted and inform | ation and materials they | should include: | | |
| c Any submission deadlines: | | | | | |
| d Anymost | | | | | |
| d Any restrictions or limitations on award | is, such as by geographi | icai areas, charitable field | is, kinds of institutions, c | r other factors: | |

| Form 990-PF (2016) VON RICKENBAC | CH FAMILY FOUND | ATION | 47-3246 | 621 Page 11 |
|--|--|--------------------------------|----------------------------------|-------------------|
| Part XV Supplementary Information | | | | |
| 3 Grants and Contributions Paid During the Y | If recipient is an individual. | | | |
| Name and address (home or business) | show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| a Paid during the year | or substantial contributor | , ocipion | | |
| a raid during the year | | | | |
| | | | | |
| | | | | |
| BIOMEDICAL SCIENCE CAREERS PROGRAM | NONE | PUBLIC CHARITY | 1 | |
| 164 LONGWOOD AVENUE BOSTON MA 02215 | | | CONTRIBUTION UNRESTRICTED | 2,000 |
| South, in Garage | | | ONEDOTRICIAD | 2,000 |
| | | | | |
| | | | | |
| BOSTON CHILDREN'S HOSPITAL | NONE | PUBLIC CHARITY | 1 | |
| 401 PARK DRIVE BOSTON MA 02215 | | | CONTRIBUTION UNRESTRICTED | 4.000. |
| DOSTOR, ER VZZIS | | | OWESTRICIED | 4,000, |
| | | | | |
| | | | | |
| CATHOLIC SCHOOLS FOUNDATION | NONE | PUBLIC CHARITY | (| |
| 67 BATTERYMARCH STREET | | | CONTRIBUTION | 2 000 |
| BOSTON, MA 02110 | | | UNRESTRICTED | 2,000. |
| | | | | |
| | | | | |
| HARVARD BUSINESS SCHOOL | NONE | PUBLIC CHARITY | CHARITABLE | |
| HARVARD BUSINESS SCHOOL | | | CONTRIBUTION | 5 000 |
| BOSTON, MA 02163 | | | UNRESTRICTED | 5,000. |
| | | | | |
| | | | | |
| MCLEAN HOSPITAL | NONE | PUBLIC CHARITY | CHARITABLE | |
| 115 MILL STREET | | | CONTRIBUTION | |
| BELMONT MA 02478 Total SEE CO. | NTINUATION SHE | RTY (S) | UNRESTRICTED > 3a | 5 000. 30 600. |
| b Approved for future payment | TITAL DIE DIE | <u> </u> | | 30,000, |
| | | | | |
| | | | | |
| NONE | | | | |
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| | | 1 | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0. |

| Part XVI-A | Analysis of Income-Producing | Activities |
|------------|------------------------------|-------------------|
| | | |

| Enter gross amounts unless otherwise indicated. | Unrelated | business income | | ded by section 512 513 or 514 | (e) |
|---|-----------------|---------------------------------------|-----------------------|--------------------------------|--------------------------|
| | (a) Business | (b) Amount | (C) Exclu- sion | (d) | Related or exempt |
| 1 Program service revenue: | code | | code | Amount | function income |
| a | <u> </u> | | | | |
| b | | · · · · · · · · · · · · · · · · · · · | | | |
| C | | | | | |
| d | ļ | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash | 1 | | | | |
| investments . | | | 14 | 93. | |
| 4 Dividends and interest from securities | | | 14 | 22,101. | <u></u> |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property . | | · | | | |
| b Not debt-financed property | | · · · · · · · · · · · · · · · · · · · | <u> </u> | | |
| 6 Net rental income or (loss) from personal | 1 | | | | |
| property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other | | | • | | |
| than inventory | | | 18 | -30,375. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | <u> </u> | | |
| 11 Other revenue: | 1 | | | | |
| a | | | <u> </u> | | |
| b | | | | | |
| c | | | <u></u> | | |
| d | | | | | |
| e | | | | | · |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | -8,181. | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | . 13 | -8,181. |
| (See worksheet in line 13 instructions to verify calculations.) | | | | | |
| Part XVI-B Relationship of Activities | to the Acco | omplishment of E | kemp | t Purposes | |
| Line No. Explain below how each activity for which inco | | | contri | outed importantly to the accom | plishment of |
| the foundation's exempt purposes (other than | | | | | |
| 3 INTEREST AND DIVIDENDS 4 INTEREST AND DIVIDENDS | | | | | |
| | | | | LENTS | |
| 8 GAINS AND LOSSES ON FO | UNDATIO | N INVESTMENT | <u>:S</u> | | |
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| 623621 11-23-16 | | | | | Form 990-PF (2016 |

| Form 990-PF (2 | | | | FOUNDATION | | 47-3246 | | Pa | ae 13 |
|----------------|---------------------------------|-------------------------|---------------------|------------------------------------|--|------------------------------------|--------------------------|---------------|---------|
| Part XVII | Information Re Exempt Organ | | sfers To a | nd Transactions a | nd Relationsh | ips With Nonchari | tabie | | |
| 1 Did the or | | | of the following | with any other organizatio | n described in section | n 501(c) of | | /es | No |
| | | | | , relating to political organi | | 00 1(0) 01 | | | |
| | from the reporting founda | | | | Lations | | | | |
| (1) Cash | | 2 | olo oxompt o. g. | | | | 1a(1) |] | X |
| (2) Other | | | | | | • | 1a(2) | | X |
| b Other tran | | • | | | | | 1412/ | | _== |
| | of assets to a noncharital | ble exempt organizat | tion | | | | 15(1) | } | x |
| | hases of assets from a no | , - | | • | | | 1b(2) | | X |
| | al of facilities, equipment, | · · | | • | | | 1b(3) | | X |
| | bursement arrangements | | | | | | 1b(4) | | X |
| | s or loan guarantees | • | | | | | 1b(5) | | X |
| | ormance of services or me | mbership or fundrais | sing solicitation | 18 | | | 1b(6) | | X |
| | if facilities, equipment, ma | • | - | | | _ | 10 | | X |
| | | - | | dule. Column (b) should aiv | vays show the fair m | arket value of the goods, of | her asse | ets, | |
| | | | | d less than fair market valu | | | | | |
| column (c | d) the value of the goods, | other assets, or serv | ices received. | | | | | | |
| (a) Line no | (b) Amount involved | (c) Name of | f noncharitable | exempt organization | (d) Description | of transfers, transactions, and sl | narıng arra | ngeme | ints |
| | | | N/A | | | | | | |
| | | | | | | | | | |
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| | | <u> </u> | | | | | | | |
| | | | | or more tax-exempt organi | zations described | | ٦ | Г | |
| | 501(c) of the Code (othe | | (3)) or in sect | ion 527? | | <u> </u> | Yes | LX | No |
| b It Yes, co | omplete the following sch | | | (h) Tuno of annual and | | a) Dans internal | | | |
| | (a) Name of org | Janization | | (b) Type of organization | | c) Description of relationsh | ib | | |
| | N/A | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Under | penalties of periury. I declare | that I have examined th | us return, includin | g accompanying schedules and | statements and to the | best of my knowledge | | | |
| | | | | n taxpayer) is based on all inform | | has any knowledge retui | the IRS d rn with the | prepa | rer |
| Here | THATI | (ann | | בולצולוו | TRUSTE | | Vn below (| (see ins | 7 |
| [💆 | nature of officer or trustee | | | Date | Title | ·E | L) res | | _ No |
| | Print/Type preparer's na | | Preparer's s | | Date | Check If PTIN | | | |
| | _ | | Topaloi 3 | griaturo . | 1.1 | | 7641 | , ., | r_ |
| Paid | J. OBE | in | 1729 |) | 11/14/17 | PC | 7538 | 7 2 | 5 |
| Preparer | Firm's name ► O ' B | | SCOCTATI | RS | | Firm's EIN ► 04-27 | 7065 | 69 | |
| Use Only | I am s name P O E | CLALIN OC PAG | POCTAT | | | Tambem P OT 2 | | J J | |
| • | Firm's address ► 10 | KEARNEV | ROAD | SIITTE 305 | | | | | |
| | , | EEDHAM, MA | - | | | Phone no. | | | |
| | | | | | | | | | |

| Part IV Capital Gains and Los | sses for Tax on Investment Income | | | | | | | |
|--|--|---|---|--|--|--|--|--|
| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. (b) How acquired P - Purchase D - Donation (mo., day, yr.) | | | | | | | | |
| 1a 1,583.95 SHS G | S STRATEGIC INCOM | ME FD INSTITUTION | | | | | | |
| | | ME FD INSTITUTION | | | | | | |
| | <u>OLDMAN SACHS STM:</u> | r #1523 | P VARIOUS VARIOUS | | | | | |
| d 17 SHS EQUINIX | | | P 07/14/15VARIOUS | | | | | |
| | ERY COMMUNICATION | | P 07/14/1508/02/1 | | | | | |
| | PLC CASH IN LIE | | P VARIOUS 07/01/1 | | | | | |
| | PLC CASH IN LIE | J | P VARIOUS 07/01/1 | | | | | |
| h 3 SHS EQUINIX | | 7 41520 | P 07/14/1507/25/1 | | | | | |
| | OLDMAN SACHS STM | r #1530 | P VARIOUS VARIOUS | | | | | |
| 13 SHS EQUITY | P 07/07/1610/06/1 | | | | | | | |
| | <u>OLDMAN SACHS STM</u> OLDMAN SACHS STM | | P VARIOUS VARIOUS P VARIOUS VARIOUS | | | | | |
| | OLDMAN SACHS STM | | P VARIOUS VARIOUS P VARIOUS VARIOUS | | | | | |
| | OLDMAN SACHS SIM | | P VARIOUS VARIOUS | | | | | |
| | PLC CASH IN LIE | | P VARIOUS 07/01/1 | | | | | |
| | (f) Depreciation allowed | (g) Cost or other basis | (h) Gain or (loss) | | | | | |
| (e) Gross sales price | (or allowable) | plus expense of sale | (e) plus (f) minus (g) | | | | | |
| a 15,000. | | 16,124. | -1,124 | | | | | |
| b 25,000. | | 26,621. | -1,621 | | | | | |
| <u>c</u> 25,368. | | 40,674. | -15,306 | | | | | |
| d 5,360. | | 4,444. | 916 | | | | | |
| e 4,473. | | 5,715. | -1,2 <u>42</u> | | | | | |
| $\frac{f}{g}$ $\frac{17}{6}$. | | | <u>17</u> | | | | | |
| | | 784. | 338 | | | | | |
| $\frac{h}{1}$ 1,122. | | 126,230. | -12,658 | | | | | |
| <u> </u> | | 897. | -12,030 -96 | | | | | |
| k 38,140. | | 35,471. | 2,669 | | | | | |
| 1 46. | | | 46 | | | | | |
| m 21,074. | | 25,535. | -4,461 | | | | | |
| n 10,968. | | 11,428. | -460 | | | | | |
| 0 13. | | | | | | | | |
| | ng gain in column (h) and owned by | the foundation on 12/31/69 | (I) Losses (from col. (h)) | | | | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (1) over col. (1), if any | Gains (excess of col. (h) gain over col. (k), but not less than "-0-") | | | | | |
| a | | | -1,124 | | | | | |
| b | | | -1,621 | | | | | |
| C | | | -15,306 | | | | | |
| <u>d</u> | | | 916 | | | | | |
| <u>e</u> | | | -1,242 | | | | | |
| <u>f</u> | | | 17 | | | | | |
| 9 | | | 6 | | | | | |
| h | | | 338 | | | | | |
| 1 | | <u> </u> | -12,658 | | | | | |
| <u></u> | | | | | | | | |
| <u>k</u> | | | 2,669 | | | | | |
| <u> </u> | | | 46 | | | | | |
| m n | | | -4,461 -460 | | | | | |
| <u>n</u> | | | -460 13 | | | | | |
| 0 | L | L | 13 | | | | | |
| 2 Capital gain net income or (net ca | apital loss) { If gain, also enter *-(| r in Part I, line 7)-" in Part I, line 7 | 2 | | | | | |
| 3 Net short-term capital gain or (lo if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line | ss) as defined in sections 1222(5) ar , column (c). | , I | 3 | | | | | |
| | ·- | | | | | | | |

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| Capital Gains and Los | sses for Tax on Investment Income | | | · · · · · · · · · · · · · · · · · · · | |
|---|---|---|--|--|----------------------------------|
| (a) List and 2-story br | describe the kind(s) of property sold ick warehouse; or common stock, 20 | l, e.g., real estate, 00 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 1a AIR LIQUIDE AD | R | | P | | 10/21/16 |
| b SEE ATTACHED G | OLDMAN SACHS STM | T #5739 | P | | VARIOUS |
| c SEE ATTACHED G | OLDMAN SACHS STM | r #5739 | P | VARIOUS | VARIOUS |
| d SEE ATTACHED G | <u>OLDMAN SACHS STM</u> | | P | | VARIOUS |
| | <u>OLDMAN SACHS STM</u> | T #5739 | P | VARIOUS | VARIOUS |
| f CAPITAL GAINS | DIVIDENDS | | | | |
| _9 | | | | | |
| <u>h</u> | | | | | |
| | | | | | |
| _ | | | | | |
| <u> </u> | | | | | |
| _ | | | | | 1 |
| n | | | | 1 | |
| 0 | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | |) Gain or (loss) plus (f) minus (g) | |
| a 22. | | | | | 22. |
| b 60,559. | | 58,950. | | | 1,609. |
| c 509. | | 470. | | | 39. |
| d 152,639. | | 154,163. | | | -1,524. |
| e 10,912. | | 8,554. | | | 2,358. |
| 1 84. | | | | | 84. |
| 9 h | | | | | |
| 1 | | | | | |
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| k | | | | | |
| I | | | | | |
| m | | | | | |
| n | | | | | |
| 0 | <u> </u> | | | | |
| Complete only for assets shown | ng gain in column (h) and owned by | the foundation on 12/31/69 | | sses (from col. (h) | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | Gains (excess but | of col. (h) gain ove not less than "-0-") | |
| a | | | | | 22. |
| b | | | | | 1,609. |
| <u>C</u> | | | | | 39. |
| <u>d</u> | | | | | -1,524. |
| <u>e</u> f | | | | | 2,358. 84. |
| g | | | | | 04. |
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| n | | | | | |
| 0 | L | <u> </u> | | | |
| 2 Capital gain net income or (net ca | apıtal loss) { If gain, also ente If (loss), enter *- | r in Part I, line 7 0-" in Part I, line 7 | 2 | | -30,375. |
| 3 Net short-term capital gain or (lo | ss) as defined in sections 1222(5) a | nd (6): | | | |
| If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line | . column (c). 8 | } | 3 | N/A | |

| MON | RICKEMBACH | V.TTMAR | FOUNDATION |
|-------|-------------|---------|---------------|
| A OTA | VICVENDUCII | LUMITHI | T. OOMDET TON |

| 3 Grants and Contributions Paid During the Y | | | | |
|--|---|---------------------|--|--------|
| Recipient | If recipient is an individual, show any relationship to | Foundation | Purpose of grant or | |
| Name and address (home or business) | any foundation manager or substantial contributor | status of recipient | contribution | Amount |
| | of Substantial Contributor | recipient | | |
| LIFE SCIENCES CARES INC | NONE | PUBLIC CHARITY | CHARITABLE | |
| P.O. BOX 425486 | TONE | | CONTRIBUTION | |
| CAMBRIDGE, MA 02142 | | | UNRESTRICTED | 2,000 |
| SHHAIR WORKING TO SAFEGUARD HISTORIC | NONE | PUBLIC CHARITY | CHARTTARLE | |
| HANSCOM AREAS IRREPLACEABLE RESOURCES | | | CONTRIBUTION | |
| PO BOX 441 | | | UNRESTRICTED | |
| CONCORD, MA 01742 | | | | 100 |
| | | | | |
| SMILETRAIN | NONE | PUBLIC CHARITY | CHARITABLE | |
| 41 MADISON AVENUE | | | CONTRIBUTION | |
| NEW YORK NY 10010 | | | UNRESTRICTED | 1,000 |
| | | | | |
| ST. BRIGID PARISH | NONE | PUBLIC CHARITY | CHARITABLE | |
| 2001 MASSACHUSETTS AVENUE | | | CONTRIBUTION | } |
| LEXINGTON MA 02421 | | _ | UNRESTRICTED | 2,50 |
| | | | | |
| THE IMMIGRANT LEARNING CENTER | NONE | PUBLIC CHARITY | CHARITABLE | |
| 442 MAIN STREET | | CODE CIMENTAL | CONTRIBUTION | |
| MALDEN MA 02148 | | | UNRESTRICTED | 2,00 |
| | | | | |
| AMERICAN HEART ASSOCIATION | NONE | PUBLIC CHARITY | CHARITABLE | |
| 300 5TH AVE | | | CONTRIBUTION | , |
| WALTHAM, MA 02451 | | | UNRESTRICTED | 5,00 |
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| | | | | |
| Total from continuation sheets | | | | 12_60 |

| FORM 990-PF IN | TEREST | ON SAVINGS | AND TEMPO | RARY | CASH I | INVESTMENTS | STATEMENT 1 |
|---|------------------------------|------------------|-------------------------------|-------------------------------------|--------------------------|-------------------------------------|-------------------------------|
| SOURCE | | | (A) REVENU PER BOO | | | (B) INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
| GOLDMAN SACHS # GOLDMAN SACHS # GOLDMAN SACHS # GOLDMAN SACHS # GOLDMAN SACHS # GOLDMAN SACHS # | 1530 1535 5705 5739 | | | 10. 34. 27. 9. 8. 5. | | 10. 34. 27. 9. 8. 5. | |
| TOTAL TO PART I | , LINE | 3 | | 93. | | 93. | |
| FORM 990-PF | I | DIVIDENDS A | ND INTERES | T FRO | OM SECT | URITIES | STATEMENT 2 |
| SOURCE | | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | | (A) EVENUE R BOOK! | (B) NET INVES S MENT INCO | |
| GOLDMAN SACHS #1523 GOLDMAN SACHS | | 1,386. | 19. | ` | 1,36 | 7. 1,36 | 57. |
| #1530 GOLDMAN SACHS #1535 | | 4,812. 3,177. | 43. | | 4,769 3,17 | • | |
| GOLDMAN SACHS #5705 GOLDMAN SACHS | | 6,467. | 0. | | 6,46 | | |
| #5739 GOLDMAN SACHS | | 2,532. | 22. | | 2,51 | - | |
| #5761 | | 3,811. | 0. | | 3,81 | | |
| TO PART I, LINE | 4 | 22,185. | 84. | | 22,10 | 1. 22,10 | 01. |

| FORM 990-PF | OTHER PROFES | SIONAL FE | ES | | STATEMENT | 3 |
|---|--|-----------------------------|---------------------------|------------------------------|---------------------|----------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVE MENT INC | | (C) ADJUSTED NET INCOM | | |
| IAS FEES GOLDMAN SACHS | 5,255. | 5, | 255. | | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 5,255. | 5, | 255. | | | 0. |
| FORM 990-PF | TAX | ES | | | STATEMENT | 4 |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVE MENT INC | | (C) ADJUSTED NET INCOM | | |
| FOREIGN TAX PAID RECLAIMABLE TAX ADR FEES EXCISE TAXES MA ANNUAL REPORT FEE | 484. 270. 98. 22,350. 500. | | 484. 270. 98. 0. | | | 0. 0. 0. |
| TO FORM 990-PF, PG 1, LN 18 | 23,702. | | 852. | | | 0. |
| FORM 990-PF | CORPORAT | E STOCK | | | STATEMENT | 5 |
| DESCRIPTION | | | во | OK VALUE | FAIR MARKE VALUE | ET |
| SEE ATTACHED STATEMENTS | | | | 585,795. | 605,0 | 36. |
| TOTAL TO FORM 990-PF, PART I | I, LINE 10B | | | 585,795. | 605,0 | 36. |
| FORM 990-PF | CORPORAT | TE BONDS | | | STATEMENT | 6 |
| DESCRIPTION | | | ВО | OK VALUE | FAIR MARKE VALUE | §T |
| 15,105.74 SHS GS HIGH YIELD 10,535.762 SHS GS STRATEGIC | | TE FUND | | 150,000. 107,252. | 147,1 101,5 | |
| TOTAL TO FORM 990-PF, PART I | I. LINE 10C | | | 257,252. | 248,6 | 595. |

VON RICKENBACH FAMILY FOUNDATION

| FORM 990-PF OTHER | RINVESTMENTS | | STATEMENT | 7 |
|---|---------------------|------------|----------------------|----|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE | |
| 1,275 SHS MSCI EMERGING MARKETS INDEX FUND | COST | 50,057. | 44,63 | 8. |
| TOTAL TO FORM 990-PF, PART II, LINE | 13 | 50,057. | 44,63 | 8. |