



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

► Do not enter social security numbers on this form as it may be made public.
 ► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Name of foundation THE HYNES FOUNDATION, INC. C/O DELBELLO DONNELLAN WEINGARTEN WISE		A Employer identification number 47-2515717			
Number and street (or P O box number if mail is not delivered to street address) ONE N LEXINGTON AVE. 11TH FL., ATTN. SPD		Room/suite			
City or town, state or province, country, and ZIP or foreign postal code WHITE PLAINS, NY 10601					
G Check all that apply <input checked="" type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change					
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation					
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 4,553,095. (Part I, column (d) must be on cash basis)		J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))					
Revenue	1 Contributions, gifts, grants, etc., received	(a) Revenue and expenses per books 4,656,000.	(b) Net investment income 6.	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	24,028.	24,028.		STATEMENT 2
	4 Dividends and interest from securities				STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<84,707.			STATEMENT 1
	b Gross sales price for all assets on line 6a	4,571,293.			
	7 Capital gain net income (from Part IV, line 2)		4,571,293.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	4,595,327.	4,595,327.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	2,984.	2,984.	RECEIVED	0.
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	150.	150.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,134.	3,134.		0.
25 Contributions, gifts, grants paid	0.			0.	
26 Total expenses and disbursements. Add lines 24 and 25	3,134.	3,134.		0.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	4,592,193.				
b Net investment income (if negative, enter -0-)		4,592,193.			
c Adjusted net income (if negative, enter -0-)			N/A		

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 2

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments			23,988.	23,988.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other	STMT 6	0.	4,529,107.	4,529,107.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		0.	4,553,095.	4,553,095.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted			4,553,095.	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances		0.	4,553,095.	
	31 Total liabilities and net assets/fund balances		0.	4,553,095.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0.
2 Enter amount from Part I, line 27a	2	4,592,193.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	4,592,193.
5 Decreases not included in line 2 (itemize) ► UNREALIZED LOSS ON INVESTMENTS	5	39,098.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,553,095.

Form 990-PF (2016)

623511 11-23-16

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 200,000 SH. INTELIGUENT INC.		D	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 4,567,761.			4,567,761.	
b 3,532.			3,532.	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			4,567,761.	
b			3,532.	
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	4,571,293.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)

2

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4

5 Multiply line 4 by line 3

5

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

7 Add lines 5 and 6

7

8 Enter qualifying distributions from Part XII, line 4

8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1	}	1	91,844.
Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
3 Add lines 1 and 2	2	3	91,844.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5 Tax based on Investment Income. Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016			
b Exempt foreign organizations - tax withheld at source			
c Tax paid with application for extension of time to file (Form 8868)			
d Backup withholding erroneously withheld			
7 Total credits and payments Add lines 6a through 6d			
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	7	8	9
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2017 estimated tax ►			
8,156. Refunded ► 11 0.			

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c Did the foundation file Form 1120-POL for this year?			
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.	1b		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.			
f Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
g Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	1c	Yes	No
h Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
i Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
j Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	2	Yes	No
k Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
l Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
m Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	3	Yes	No
n Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
o Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
p Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	4a	Yes	No
q Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
r Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
s Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	4b	Yes	No
t Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
u Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
v Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	5	Yes	No
w Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
x Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
y Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.	6	Yes	No
z Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
AA Did the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach a detailed description of the activities.			
BB Enter the states to which the foundation reports or with which it is registered (see instructions) ► CT	7	Yes	No
CC If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation			
DD Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV			
EE Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	STMT 7	8b	9
		X	X

Form 990-PF (2016)

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 5

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► HTTPS://THEHYNESFOUNDATION.ORG	13	X
14 The books are in care of ► <u>DELBELLO DONNELLAN WEINGARTEN WISE</u> Telephone no ► <u>1-914-681-0200</u> Located at ► <u>ONE N LEXINGTON AVE. 11TH FL., WHITE PLAINS, NY</u> ZIP+4 ► <u>10601</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	X Yes	<input type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes	X No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
	4b	X

Form 990-PF (2016)

THE HYNES FOUNDATION, INC.

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 6

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

 Yes No Yes No Yes No Yes No**N/A****5b** Yes No Yes No**6b****X** Yes No**N/A****7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE MARIE HYNES, C/O DELBELLO DONNELLAN, ONE N LEXINGTON AVE. 11TH WHITE PLAINS, NY 10601	PRESIDENT 0.50	0.	0.	0.
JAMES HYNES, C/O DELBELLO DONNELLAN, ONE N LEXINGTON AVE. 11TH WHITE PLAINS, NY 10601	VICE PRESIDENT 0.50	0.	0.	0.
ALANNA M. HYNES, C/O DELBELLO DONNELLAN, ONE N LEXINGTON AVE. 11TH WHITE PLAINS, NY 10601	TREASURER 0.50	0.	0.	0.
KATHERINE V. HYNES, C/O DELBELLO DONNELLAN, ONE N LEXINGTON AVE. 11TH WHITE PLAINS, NY 10601	SECRETARY 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►**0**

Form 990-PF (2016)

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments. See instructions	

Total. Add lines 1 through 3 ►

0.

Form 990-PF (2016)

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717

Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes

1a	566,092.
1b	192,302.
1c	
1d	758,394.

1e	0.
2	0.
3	758,394.
4	11,376.
5	747,018.
6	37,351.

2 Acquisition indebtedness applicable to line 1 assets

3 Subtract line 2 from line 1d

4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)

5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4

6 Minimum investment return. Enter 5% of line 5

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1 Minimum investment return from Part X, line 6

1	37,351.
2a	91,844.
2b	
2c	91,844.
3	0.
4	0.
5	0.
6	0.
7	0.

2a Tax on investment income for 2016 from Part VI, line 5

b Income tax for 2016 (This does not include the tax from Part VI)

c Add lines 2a and 2b

3 Distributable amount before adjustments Subtract line 2c from line 1

4 Recoveries of amounts treated as qualifying distributions

5 Add lines 3 and 4

6 Deduction from distributable amount (see instructions)

7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes

1a	0.
1b	0.
2	
3a	
3b	
4	0.
5	0.
6	0.

a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26

b Program-related investments - total from Part IX-B

2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes

3 Amounts set aside for specific charitable projects that satisfy the

a Suitability test (prior IRS approval required)

b Cash distribution test (attach the required schedule)

4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

income Enter 1% of Part I, line 27b

6 Adjusted qualifying distributions. Subtract line 5 from line 4

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2016)

THE HYNES FOUNDATION, INC.
Form 990-PF (2016) C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e		0.		
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$	0.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.	
c Treated as distributions out of corpus (Election required - see instructions)			0.	
d Applied to 2016 distributable amount			0.	
e Remaining amount distributed out of corpus		0.		
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b Taxable amount - see instructions			0.	
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017			0.	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)			0.	
8 Excess distributions carryover from 2011 not applied on line 5 or line 7			0.	
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a			0.	
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

THE HYNES FOUNDATION, INC.

Form 990-PF (2016)

C/O DELBELLO DONNELLAN WEINGARTEN WISE

47-2515717 Page 11

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NONE				
Total			► 3a	0.
b Approved for future payment				
NONE				
Total			► 3b	0.

623611 11-23-16

12300522 756359 HYNES

11
2016.03050 THE HYNES FOUNDATION, INC. HYNES_1

Form 990-PF (2016)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1 Program service revenue					
a					
b					
c					
d					
e					
1					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	6.
4 Dividends and interest from securities				14	24,028.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	<84,707. >
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)			0.		<60,673. >
13 Total Add line 12, columns (b), (d), and (e)				0.	<60,673. >

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Schedule B
 (Form 990, 990-EZ,
 or 990-PF)
 Department of the Treasury
 Internal Revenue Service

Schedule of Contributors

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
- Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2016

Name of the organization	Employer identification number
THE HYNES FOUNDATION, INC. C/O DELBELLO DONNELLAN WEINGARTEN WISE	47-2515717

Organization type (check one):

Filers of: Section:

Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year

► \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

THE HYNES FOUNDATION, INC.
C/O DELBELLO DONNELLAN WEINGARTEN WISE

Employer identification number

47-2515717

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES AND ANNE MARIE HYNES 117 MEADOW ROAD RIVERSIDE, CT 06878-2521	\$ 4,656,000.	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II for noncash contributions.)

Name of organization

THE HYNES FOUNDATION, INC.

C/O DELBELLO DONNELLAN WEINGARTEN WISE

Employer identification number

47-2515717

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	200,000 SH. INTELIQUENT INC.	\$ 4,656,000.	11/21/16
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

THE HYNES FOUNDATION, INC.

C/O DELBELLO DONNELLAN WEINGARTEN WISE

Employer identification number

47-2515717

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once.) ► \$*
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY				MANNER	DATE
				ACQUIRED	ACQUIRED
200,000 SH. INTELIQUENT INC.				DONATED	VARIOUS
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
4,567,761.	4,656,000.	0.	0.		<88,239.>
CAPITAL GAINS DIVIDENDS FROM PART IV					3,532.
TOTAL TO FORM 990-PF, PART I, LINE 6A					<84,707.>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST THROUGH UBS	6.	6.	
TOTAL TO PART I, LINE 3	6.	6.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THROUGH UBS	27,560.	3,532.	24,028.	24,028.	
TO PART I, LINE 4	27,560.	3,532.	24,028.	24,028.	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	2,984.	2,984.		0.
TO FORM 990-PF, PG 1, LN 16C	2,984.	2,984.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL FEE	150.	150.		0.
TO FORM 990-PF, PG 1, LN 23	150.	150.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE TRADED FUNDS - SEE ATTACHMENT A	FMV	1,926,080.	1,926,080.
EQUITY MUTUAL FUNDS - SEE ATTACHMENT A	FMV	162,670.	162,670.
CLOSED END FUNDS - SEE ATTACHMENT A	FMV	547,729.	547,729.
FIXED INCOME MUTUAL FUNDS - SEE ATTACHMENT A	FMV	1,193,564.	1,193,564.
NON-TRADITIONAL MUTUAL FUNDS - SEE ATTACHMENT A	COST	699,064.	699,064.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,529,107.	4,529,107.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 7

NAME OF CONTRIBUTOR

ADDRESS

JAMES AND ANNE MARIE HYNES

117 MEADOW ROAD
RIVERSIDE, CT 06878-2521

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 8

NAME OF MANAGER

ANNE MARIE HYNES, C/O DELBELLO
JAMES HYNES, C/O DELBELLO



Portfolio Management Program
December 2016

Account name: THE HYNES FOUNDATION INC

EIN# 47-2515717

Your Financial Advisor:
RUKEYSER/WILLIAMS
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	250,000.00	23,987.53					250,000.00
UBS AG DEPOSIT ACCOUNT	2,033,637.62	0.00					
Total	\$2,283,637.62	\$23,987.53					

Equities

Closed end funds & Exchange traded products

Total / reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Holding	Number of shares	Purchase price/ Average price per share (\$)	Investment (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
ISHARES RUSSELL 1000 VALUE ETF										
Symbol: IWD										
Trade date: Dec 8, 16	3,220,000	113.340	364,954.80	364,954.80	112,030	360,736.60	360,736.60	-4,218.20	-4,218.20	ST
EAI: \$8,114 Current yield: 2.25%										
ISHARES RUSSELL 1000 GROWTH										

continued next page

CP260003001585839 P76000078381 00001 1216 0000000000 Y135957780 110000

Page 5 of 22

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
Symbol IWF ETF									
Trade date Dec 8, 16 EAI \$5,189 Current yield 1.43%	3,455 000	105.609	364,881.71	364,881.71	104.900	362,429.50	-2,452.21	-2,452.21	ST
IShares S&P MID-CAP 400 VALUE ETF									
Symbol IJF Trade date Dec 8, 16 EAI \$2,207 Current yield 1.67%	910 000	149.810	136,327.10	136,327.10	145.210	132,141.10	-4,186.00	-4,186.00	ST
IShares S&P MIDCAP 400 GROWTH ETF									
Symbol IJK Trade date Dec 8, 16 EAI \$1,550 Current yield 1.15%	740 000	185.089	136,965.86	136,965.86	182.200	134,828.00	-2,137.86	-2,137.86	ST
IShares S&P SMALL-CAP 600 VALUE ETF									
Symbol IJS Trade date Dec 8, 16 EAI \$809 Current yield 1.22%	475 000	143.819	68,314.45	68,314.45	140.010	66,504.75	-1,809.70	-1,809.70	ST
IShares S&P SMALLCAP 600 GROWTH ETF									
Symbol IJT Trade date Dec 8, 16 EAI \$688 Current yield 1.03%	445 000	152.115	67,691.31	67,691.31	150.000	66,750.00	-941.31	-941.31	ST
IShares Edge MSCI MIN VOL EMERGING MARKETS ETF									
Symbol EEMV Trade date Dec 8, 16 EAI \$7,662 Current yield 2.79%	5,605 000	50.940	285,518.70	285,518.70	48.910	274,140.55	-11,378.15	-11,378.15	ST
Vanguard FTSE PAC ETF Symbol VPL									

continued next page

CP260003001589840 Y/6/0000/78381 000001 1216 0000000000 Y1359577B0 110000

Page 6 of 22

The Hynes Foundation, Inc.



Portfolio Management Program
December 2016

Account name: THE HYNES FOUNDATION INC

EIN# 47-2515717

Your Financial Advisor:
RUKEYSER/WILLIAMS
212-821-7000/800-308-3140

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
Trade date Dec 8, 16 EAI \$1,440 Current yield 2.65%	935,000	60.620	56,679.70	56,679.70	58.120	54,342.20	-2,337.50	-2,337.50	ST
VANGUARD FTSE EUROPE ETF Symbol: VGE									
Trade date Dec 8, 16 EAI \$5,263 Current yield 3.52%	3,120,000	47.529	148,293.29	148,293.29	47.940	149,572.80	1,279.51	1,279.51	ST
VANGUARD FTSE DEVELOPED MKT ETF Symbol: VEA									
Trade date Dec 8, 16 EAI \$4,122 Current yield 3.05%	3,700,000	37.025	136,992.50	136,992.50	36.540	135,198.00	-1,794.50	-1,794.50	ST
WISDOMTREE JAPAN HEDGED EQUITY FUND ETF Symbol: DXY									
Trade date Dec 8, 16 EAI \$1,319 Current yield 1.98%	1,345,000	50.756	68,267.76	68,267.76	49.540	66,631.30	-1,636.46	-1,636.46	ST
WISDOMTREE EMERGING MARKETS SMALLCAP DIVID FUND ETF Symbol: DGS									
Trade date Dec 8, 16 EAI \$4,204 Current yield 3.42%	3,140,000	39.969	125,505.49	125,505.49	39.110	122,805.40	-2,700.09	-2,700.09	ST
Total			\$1,960,392.67	\$1,960,392.67		\$1,926,080.20	-\$34,312.47	-\$34,312.47	
Total estimated annual income:	\$42,567								

CP260003001589841 PZ6000078381 00001 1216 0000000000 Y135957780 110000

Page 7 of 22

Attachment A page 3 of 8



Portfolio Management Program
December 2016

Account name: THE HYNES FOUNDATION INC

EIN# 47-2515717

Your Financial Advisor:
RUKEYSER WILLIAMS
212-821-7000/800-308-3140

Your assets , **Fixed income** , **Closed end funds** & **Exchange traded products** (continued)

Total estimated annual income: \$14,404

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

include cash dividends that were not reinvested

continued next page

The Hynes Foundation, Inc.



Portfolio Management Program
December 2016

Account name: THE HYNES FOUNDATION INC

EIN# 47-2515717
Your Financial Advisor:
RUKEYSERWILLIAMS
212-821-7000/800-308-3140

Your assets - Fixed income - Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized tax gain or loss (\$)	Investment return (\$)	Holding period
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS S									
Symbol JSOSX									
Trade date Dec 8, 16									
Total reinvested									
EAI \$8,849 Current yield 4.30%									
Security total									
17,657 192									
112,835									
11,580									
17,770 027									
11,610									
205,000.00									
1,306 63									
11,590									
204,646 86									
-353 14									
ST									
LORD ABBETT FLOATING RATE FUND CLASS F									
Symbol LFRFX									
Trade date Dec 8, 16									
EAI \$9,292 Current yield 4.52%									
LORD ABBETT INFINT FOCUSED CLASS F									
Symbol UFFX									
Trade date Dec 8, 16									
EAI \$1,950 Current yield 4.34%									
TCW EMERGING MARKETS LOCAL CURRENCY INCOME FUND I									
Symbol TGWIX									
Trade date Dec 8, 16									
21,486 642									
8,610									
184,999 99									
184,999 99									
8,690									
186,718 91									
1,718 92									
ST									
TEMPLETON GLOBAL TOTAL RETURN CLASS ADV									
Symbol TTRZX									
Trade date Dec 8, 16									
Total reinvested									
EAI \$748 Current yield 2.94%									
Security total									
2,102 407									
11,921									
24,999 99									
25,061 86									
25,439 12									
377 26									
439 13									

continued next page

CP260003001589844 P26000078381,00001 1216 0000000000 Y135957780 110000

Page 10 of 22

Attachment A page 6 of 8



Your assets - Fixed income - Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
CLASS I									
Symbol PIMSX									
Trade date Dec 8, 16	24,312.894	4.730	114,999.99	114,999.99	4.730	114,999.98	-0.01	-0.01	ST
EAI \$33.598 Current yield 3.13%									
Total			\$1,189,999.94	\$1,191,368.44		\$1,193,563.87	\$2,195.44	\$3,563.93	
Total estimated annual income: \$43.976									

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
CLASS N									
Symbol QMNIX									
Trade date Dec 8, 16	12,468.828	12.029	150,000.00	150,000.00	11.970	149,251.87	-748.13	ST	
Total reinvested	189,076	11.899		2,250.00	11.970	2,263.24	13.24		
EAI \$2,266 Current yield 1.50%									
Security total	12,657.904	12.028	150,000.00	152,250.00		151,515.11	-734.89	1.515.11	
AQR MULTI STRATEGY									
ALTERNATIVE FUND CLASS I									
Symbol ASAIX									
Trade date Dec 8, 16	13,860.370	9.739	135,000.00	135,000.00	9.500	131,673.52	-3,326.48	ST	
Trade date Dec 13, 16	4,874.616	9.770	47,625.00	47,625.00	9.500	46,308.85	-1,316.15	ST	

Continued next page

Your assets - Non-traditional - Mutual funds (continued)

Holding	Number of shares	Purchase price/ per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
Total reinvested	474,593	9.550		4,532.37	9.500	4,508.63	-23.74		
EAI \$1,614 Current yield 0.88%									
Security total	19,209.579	9.743	182,625.00		187,157.37			-4,666.37	
FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS ADV						182,491.00			-134.00

Symbol	Trade date	Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
FABZX	21,198.157	10.849	230,000.00	230,000.00	10.770	228,304.15	-1,695.85		ST
Trade date Dec 8, 16	162,867	10.789		1,757.33	10.770	1,754.08	-3.25		
Total reinvested									
EAI \$3,503 Current yield 1.52%									
Security total	21,361.024	10.850	230,000.00		231,757.33			-1,699.10	
IQ HEDGE						230,058.22			58.23

Symbol	Trade date	Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
PLTS FUND CLASS INSTL	14,018.692	9.629	135,000.00	135,000.00	9.630	135,000.00			ST
Trade date Dec 8, 16									
Total			\$697,625.00		\$706,164.70			\$699,064.33	
Total estimated annual income: \$7,383								\$7,100.36	
									\$1,439.33

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	23,987.53	0.53%	23,987.53		
Equities					
Closed end funds & Exchange traded products	1,926,080.20		1,960,392.67	42,567.00	-34,312.47
Mutual funds	162,669.70		162,678.94	7,915.00	-9.24
Total equities	2,088,749.90	45.87%	2,123,071.61	50,482.00	-34,321.71
Fixed income					
Closed end funds & Exchange traded products	547,728.60		547,599.91	14,404.00	128.69
Mutual funds	1,193,563.87		1,191,368.44	43,976.00	2,195.44
Total fixed income	1,741,292.47	38.25%	1,738,968.35	58,380.00	2,324.13
Non-traditional					
Mutual funds	699,064.33	15.35%	706,164.70	7,383.00	-7,100.36
Total	\$4,553,094.23	100.00%	\$4,592,192.19	\$116,245.00	-\$39,097.94