



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	4,491,139	3,406,221	3,406,221
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	9,370,223	16,683,263	20,831,340
	c	Investments—corporate bonds (attach schedule) . . . . .	2,066,832	4,696,605	4,574,646
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	414,340	601,587	593,302
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	16,342,534	25,387,676	29,405,509	
Liabilities	17	Accounts payable and accrued expenses . . . . .		2,620	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		2,620	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .	16,342,534	25,385,056	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	16,342,534	25,385,056	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	16,342,534	25,387,676	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	16,342,534
2	Enter amount from Part I, line 27a . . . . .	2	9,039,718
3	Other increases not included in line 2 (itemize) ▶ _____	3	2,804
4	Add lines 1, 2, and 3 . . . . .	4	25,385,056
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	25,385,056

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	1,327,011
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	97,581

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	380,000	6,140,391	0 061885
2014	380,000	5,059,251	0 075110
2013	100,000	4,516,157	0 022143
2012		3,878,145	
2011	348,144	3,460,408	0 100608
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 259746
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 064937
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 26,353,515
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,711,318
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 15,006
<b>7</b> Add lines 5 and 6			<b>7</b> 1,726,324
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 2,015,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	15,006
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	15,006
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	15,006
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	15,400
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	15,400
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	394
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ▶ 394 <b>Refunded</b> ▶	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NE _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>▶</b> MARTHA DAVIS Telephone no <b>▶</b> (402) 415-7822			

Located at **▶** 909 VANNORNAM PLACE BELLEVUE NE ZIP+4 **▶** 68005

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>▶</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> <b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/> <b>1c</b>		
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> <b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> <b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> <b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? <input type="checkbox"/> <b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b> During the year did the foundation pay or incur any amount to <b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> Organizations relying on a current notice regarding disaster assistance check here. . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>5b</b>		
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span> <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <i>If "Yes" to 6b, file Form 8870</i>	<b>6b</b>		<b>No</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000. <span style="float:right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>				

**Part VIII**

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3**
**Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services.		

**Part IX-A**
**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B**
**Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	23,308,845
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	3,445,993
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	26,754,838
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	26,754,838
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	401,323
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	26,353,515
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	1,317,676

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	1,317,676
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	15,006
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	15,006
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	1,302,670
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	1,302,670
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	1,302,670

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	2,015,000
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	2,015,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	15,006
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,999,994

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				1,302,670
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .			150,799	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .				
<b>b</b> From 2012. . . . .				
<b>c</b> From 2013. . . . .				
<b>d</b> From 2014. . . . .				
<b>e</b> From 2015. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 2,015,000				
<b>a</b> Applied to 2015, but not more than line 2a			150,799	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				1,302,670
<b>e</b> Remaining amount distributed out of corpus	561,531			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	561,531			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	561,531			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .				
<b>b</b> Excess from 2013. . . . .				
<b>c</b> Excess from 2014. . . . .				
<b>d</b> Excess from 2015. . . . .				
<b>e</b> Excess from 2016. . . . .	561,531			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> UNIVERSITY OF NEBRASKA FOUNDATION 1010 LINCOLN MALL LINCOLN, NE 68508			GENERAL	1,850,000
UNIVERSITY OF NEBRASKA FOUNDATION 1010 LINCOLN MALL LINCOLN, NE 68508			GENERAL	150,000
UNIVERSITY OF NEBRASKA FOUNDATION 1010 LINCOLN MALL LINCOLN, NE 68508			GENERAL	15,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	2,015,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | No |
| 1a(2) |     | No |
| 1b(1) |     | No |
| 1b(2) |     | No |
| 1b(3) |     | No |
| 1b(4) |     | No |
| 1b(5) |     | No |
| 1b(6) |     | No |
| 1c    |     | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Form **990-PF** (2016)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
UNIVERSITY NEB UNIV REVS	P	2005-12-15	2016-01-01
UNION PACIFIC CORP	P	2000-09-15	2016-04-01
HARBOR INTERNATIONAL	P	2011-09-29	2016-10-04
CALLAWAY GOLF	P	2016-10-18	2016-10-26
MUNICIPAL ENERGY AGY OF NEB	P	2014-12-08	2016-11-03
JACOBS ENGR GROUP	P	2015-02-20	2016-11-30
DOUGLAS CNTY NEB SCH DIST	P		2016-12-05
TRIBUTARY GROWTH OPPORTUNITIES	P		2016-12-28
NAVIGANT CONSULTING	P	2016-10-18	2016-12-30
TOWERS WATSON & CO	P	2013-11-26	2016-01-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
50,000		50,000	
19,765		2,434	17,331
257,144		213,742	43,402
7,423		8,152	-729
58,198		56,449	1,749
34,683		28,652	6,031
96,045		97,154	-1,109
346,465		419,902	-73,437
51,247		39,133	12,114
16,279		14,495	1,784

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			17,331
			43,402
			-729
			1,749
			6,031
			-1,109
			-73,437
			12,114
			1,784

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
WESTAR ENERGY	P	2015-02-20	2016-04-01
PALMER SQUARE INCOME PLUS	P		2016-10-04
FREMONT NEB COMBINED UTIL SYS	P	2014-11-20	2016-10-26
OMAHA PUB PWR DIST NEB	P	2014-12-08	2016-11-03
KEYSIGHT TECHNOLOGIES	P	2015-04-10	2016-11-30
FREMONT NEB COMBINED UTIL SYS	P		2016-12-05
ANALOGIC CORP	P	2016-10-18	2016-12-30
POTBELLY CORP	P	2016-11-29	2016-12-30
TOWERS WATSON & CO	P	2015-02-20	2016-01-05
NORTH CENT TX	P		2016-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,438		23,422	6,016
236,503		242,516	-6,013
116,017		114,748	1,269
242,012		234,992	7,020
42,775		37,962	4,813
107,527		109,378	-1,851
33,454		34,165	-711
26,164		26,187	-23
6,261		6,557	-296
5,000		5,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,016
			-6,013
			1,269
			7,020
			4,813
			-1,851
			-711
			-23
			-296

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
TRIBUTARY SHORT/INTERMEDIATE BOND	P	2013-11-27	2016-10-04
HALL CNTY NEB GO REF BDS	P	2015-08-31	2016-10-26
PTC INC	P	2016-10-18	2016-11-07
NOVARTIS AG	P		2016-11-30
GRANITE CONSTR INC	P	2016-10-13	2016-12-05
ANIXTER INTL	P	2016-10-18	2016-12-30
SCHULMAN (A) INC	P	2016-10-18	2016-12-31
TOWERS WATSON & CO	P	2013-11-27	2016-01-05
PAPILLION LA VISTA NEB SCH DIST	P		2016-06-01
TRIBUTARY INCOME FUND	P	2015-05-12	2016-10-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
181,435		184,524	-3,089
75,085		75,294	-209
12,537		12,727	-190
169,555		225,945	-56,390
1,795		1,391	404
41,379		31,181	10,198
36,706		31,482	5,224
43,827		39,742	4,085
100,000		100,000	
343,260		325,501	17,759

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,089
			-209
			-190
			-56,390
			404
			10,198
			5,224
			4,085
			17,759



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
NEBRASKA PUB PWR DIST REV GEN	P	2014-09-17	2016-10-26
WEST PHARMACEUTICALS	P	2016-10-18	2016-11-07
PERKINELMER INC	P	2015-02-20	2016-11-30
IROBOT	P	2016-10-18	2016-12-05
BOJANGLES	P	2016-12-22	2016-12-30
SILICON MOTION TECHNOLOGY	P	2016-11-29	2016-12-30
ABBVIE INC	P	2015-10-23	2016-04-01
BOX BUTTE CNTY NEB SCH DIST	P		2016-08-30
U S BANCORP	P	2002-05-22	2016-10-04
OMAHA CONVENTION HOTEL CORP	P		2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
99,297		96,276	3,021
18,449		17,628	821
36,535		33,543	2,992
2,164		1,681	483
36,705		33,202	3,503
21,889		25,272	-3,383
43,120		37,638	5,482
120,000		120,005	-5
248,076		137,128	110,948
101,064		102,015	-951

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,021
			821
			2,992
			483
			3,503
			-3,383
			5,482
			-5
			110,948
			-951

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
QUALCOMM INC SR NT 480%	P	2016-10-14	2016-11-10
PHILIP MORRIS	P		2016-11-30
LINCOLN NEB ELEC SYS REV	P		2016-12-08
CACI INTL INC CL A	P	2016-10-18	2016-12-30
SOUTHSIDE BANCSHARES	P	2016-10-18	2016-12-30
CONOCOPHILLIPS COM	P	2015-10-23	2016-04-01
MD SASS EQUITY INCOME PLUS	P	2015-05-12	2016-08-31
LANCASTER CNTY NEB HOSP AUTH	P	2015-10-23	2016-10-12
OMAHA NEB REF BDS	P	2015-08-31	2016-10-26
MICROSEMI CORP	P	2016-10-13	2016-11-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,376		36,296	80
32,786		33,060	-274
63,713		63,691	22
40,124		32,519	7,605
43,310		37,561	5,749
49,837		67,788	-17,951
112,460		123,931	-11,471
135,000		135,000	
54,070		54,000	70
20,386		14,161	6,225

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			80
			-274
			22
			7,605
			5,749
			-17,951
			-11,471
			70
			6,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PROCTOR & GAMBLE	P		2016-11-30
LINCOLN NEB ELEC SYS REV	P		2016-12-08
CALAMP CORP	P	2016-11-29	2016-12-30
TEAM HEALTH HOLDINGS	P	2016-10-18	2016-12-31
FLOWSERVE CORP	P	2011-09-29	2016-04-01
EMC CORP	P	2002-05-22	2016-09-07
TRIBUTARY SMALL COMPANY	P		2016-10-12
PLATTE CNTY NEB SCH DIST	P	2014-11-13	2016-10-26
AFLAC INC	P	2009-04-24	2016-11-30
VARIAN MED SYS	P	2015-02-20	2016-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
84,242		82,664	1,578
108,834		110,012	-1,178
24,680		22,984	1,696
19,938		17,691	2,247
124,023		80,095	43,928
505,011		125,908	379,103
1,430,842		1,011,799	419,043
216,302		208,556	7,746
52,174		35,524	16,650
116,068		103,642	12,426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,578
			-1,178
			1,696
			2,247
			43,928
			379,103
			419,043
			7,746
			16,650
			12,426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
LOUP VYS RURAL PUB PWR DIST	P		2016-12-08
CAMBREX CORP	P	2016-12-01	2016-12-30
GENERAL MILLS	P	2015-02-20	2016-04-01
MD SASS EQUITY INCOME PLUS	P		2016-09-07
VERSUM MATLS	P	2013-12-04	2016-10-18
CALLAWAY GOLF	P	2016-10-18	2016-10-27
ABBOTT LABS	P	2015-10-23	2016-11-30
VERSUM MATLS	P		2016-11-30
OMAHA NEB SPL OBLIG	P		2016-12-08
CARDINAL FINL CORP	P	2016-10-18	2016-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
151,518		151,996	-478
17,183		15,595	1,588
158,306		123,691	34,615
112,086		120,073	-7,987
11		9	2
4,650		5,130	-480
57,123		65,288	-8,165
41,107		24,488	16,619
141,518		141,634	-116
41,661		33,275	8,386

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-478
			1,588
			34,615
			-7,987
			2
			-480
			-8,165
			16,619
			-116
			8,386

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
HERSHEY FOODS	P	2015-02-20	2016-04-01
MD SASS EQUITY INCOME PLUS	P		2016-09-10
DELL TECHNOLOGIES INC	P	1995-10-10	2016-10-20
PAPILLION NEB WTR REV	P	2015-10-23	2016-10-28
AGILENT TECHNOLOGIES	P	2015-02-20	2016-11-30
XCEL ENERGY	P	2015-02-20	2016-11-30
PLATTE CNTY NEB SCH DIST	P		2016-12-08
CARPENTER TECHNOLOGY	P	2016-10-18	2016-12-30
INTEL CORP	P	2007-01-23	2016-04-01
DOUBLELINE TOTAL RETURN BOND	P		2016-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,796		28,426	-3,630
225,827		239,328	-13,501
21		21	
106,300		105,593	707
71,532		64,212	7,320
17,592		14,223	3,369
207,809		209,882	-2,073
18,588		20,052	-1,464
181,276		116,032	65,244
145,046		145,759	-713

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,630
			-13,501
			707
			7,320
			3,369
			-2,073
			-1,464
			65,244
			-713

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CALLAWAY GOLF	P	2016-10-13	2016-10-24
PAPILLION NEB WTR REV	P	2014-09-05	2016-10-28
APPLE COMPUTER	P	2015-02-20	2016-11-30
MEDTRONIC PLC	P	2015-02-20	2016-11-30
BECTON DICKINSON & CO	P	2016-10-21	2016-12-12
CLARCOR INC	P	2016-10-18	2016-12-30
IBM	P	2006-06-07	2016-04-01
DOUBLELINE TOTAL RETURN BOND	P	2016-04-01	2016-10-04
OPUS BK IRVINE CALIF	P	2016-10-13	2016-10-24
DOUGLAS CNTY NEB SAN & IMPT DIST	P	2014-09-03	2016-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,737		9,184	-447
106,661		105,000	1,661
35,177		35,695	-518
150,414		159,296	-8,882
21,629		22,646	-1,017
54,478		41,359	13,119
230,989		133,433	97,556
154,425		154,000	425
7,967		13,070	-5,103
96,333		95,000	1,333

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-447
			1,661
			-518
			-8,882
			-1,017
			13,119
			97,556
			425
			-5,103
			1,333

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CAPITAL ONE FINANCIAL CORP	P	2015-02-20	2016-11-30
WILLIS TOWERS WATSON PUB	P	2016-01-05	2016-11-30
SEWARD NEB CO REF BDS	P		2016-12-15
GENOMIC HEALTH	P	2016-10-18	2016-12-30
KOHL'S CORP	P	2015-02-20	2016-04-01
FEDERATED INSTITUTIONAL HIGH YIELD	P		2016-10-04
SYNTEL INC	P	2016-10-18	2016-10-24
LANCASTER CNTY NEB SCH DIST	P	2014-11-12	2016-10-31
CISCO SYS	P	2013-11-26	2016-11-30
OMAHA NEB MET UTILS	P		2016-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28,574		27,339	1,235
66,019		66,367	-348
50,000		50,062	-62
21,953		21,824	129
16,954		25,674	-8,720
131,095		128,687	2,408
6,792		8,713	-1,921
54,388		52,193	2,195
2,387		1,653	734
75,000		75,428	-428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,235
			-348
			-62
			129
			-8,720
			2,408
			-1,921
			2,195
			734
			-428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
LANCASTER COLONY CORP	P	2016-10-18	2016-12-22
INTER PARFUMS	P	2016-10-18	2016-12-30
LEAR CORP	P		2016-04-01
FEDERATED INSTITUTIONAL HIGH YIELD	P	2016-04-01	2016-10-04
CALLAWAY GOLF	P	2016-10-13	2016-10-25
LINCOLN NEB WEST HAYMARKET	P	2015-08-27	2016-10-31
CITIGROUP INC	P	2015-02-20	2016-11-30
GRANITE CONSTR INC	P	2016-10-13	2016-12-02
LANCASTER COLONY CORP	P	2016-10-13	2016-12-23
IROBOT	P	2016-10-18	2016-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
14,761		14,104	657
20,348		20,009	339
281,766		188,599	93,167
162,494		152,000	10,494
3,514		3,774	-260
118,196		116,372	1,824
39,536		36,281	3,255
4,026		3,155	871
6,290		6,015	275
27,157		20,099	7,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			657
			339
			93,167
			10,494
			-260
			1,824
			3,255
			871
			275
			7,058



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
MOHAWK INDS INC	P	2015-02-20	2016-04-01
FEDERATED INTERNATIONAL LEADERS	P	2015-04-10	2016-10-04
OPUS BK IRVINE CALIF	P	2016-10-18	2016-10-25
DIPLOMAT PHARMACY	P	2016-10-18	2016-11-03
DELL TECHNOLOGIES	P	2002-05-22	2016-11-30
IROBOT	P	2016-10-18	2016-12-02
T ROWE PRICE MID-CAP VALUE	P	2015-04-10	2016-12-28
KFORCE INC	P	2016-11-29	2016-12-30
SCRIPPS NETWORKS INTERACT	P	2015-02-20	2016-04-01
FEDERATED INTERNATIONAL LEADERS	P	2016-04-01	2016-10-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
102,029		77,356	24,673
1,832,301		1,949,363	-117,062
8,228		12,598	-4,370
10,043		19,647	-9,604
102,702		90,608	12,094
4,702		3,671	1,031
213,882		215,000	-1,118
36,203		31,687	4,516
28,291		30,880	-2,589
514,783		500,000	14,783

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			24,673
			-117,062
			-4,370
			-9,604
			12,094
			1,031
			-1,118
			4,516
			-2,589
			14,783

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SYNTEL INC	P	2016-10-13	2016-10-25
MUNICIPAL ENERGY AGY OF NEB	P	2015-09-11	2016-11-03
HOME DEPOT	P		2016-11-30
VCA INC	P	2016-10-18	2016-12-02
T ROWE PRICE MID-CAP VALUE	P	2016-10-04	2016-12-28
METHODE ELECTRS	P	2016-10-18	2016-12-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,094		3,969	-875
98,130		97,730	400
298,286		286,028	12,258
16,691		19,146	-2,455
406,137		400,000	6,137
30,292		25,192	5,100

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-875
			400
			12,258
			-2,455
			6,137
			5,100

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WILLIAM F ROGERS MD 31 CHEYENNE MOUNTAIN BLVD 31 CHEYENNE MOUNTAIN BLVD COLORADO SPRINGS, CO 80906	DIRECTOR 0 10	0	0	0
MARTHA ROGERS DAVIS 909 VANNORNAM PLACE 909 VANNORNAM PLACE BELLEVUE, NE 68005				
SARAH DUEY 14010 FNB PARKWAY SUITE 200 SUITE 200 OMAHA, NE 68154	DIRECTOR 0 10	0	0	0
MICHAEL SULLIVAN 1500 WOODMEN TOWER 1500 WOODMEN TOWER OMAHA, NE 68112				
ERIC SCHMID 9036 SW 36TH MANOR 9036 SW 36TH MANOR MIRAMAR, FL 33025	DIRECTOR 0 10	0	0	0
MARY SCHMID NORTON 35 COTTONWOOD DRIVE 35 COTTONWOOD DRIVE COLUMBUS, NE 68601				
HEIDI WILSON PO BOX 2598 SILVERTHORNE, CO 80498	DIRECTOR 0 10	0	0	0

**TY 2016 Accounting Fees Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	2,843	2,843	2,843	

**TY 2016 Investments Corporate Bonds Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AT&T INC	36,943	34,866
BANK AMER CORP	50,593	48,845
BECTON DICKINSON & CO SR GLBL NT	20,489	19,430
BURLINGTON NORTHERN SANTA FE	50,626	47,846
CBS CORP NEW SR NT	42,723	40,682
CBRE SVCS INC SR NT	36,804	36,106
CME GROUP INC SR GLBL NT	46,793	44,977
CHUBB CORP BDS	39,811	38,619
CHURCH & DWIGHT INC SR NT	23,621	22,763
CITIGROUP INC NT	40,783	40,326
DOLLAR GEN CORP NEW SR NT	46,066	44,407
DOW CHEM CO SR NT	46,327	45,021
EOG RES INC SR GLBL NT	32,574	31,395
FORD CR FLOORPLAN MASTER OWNER	49,993	49,825
GOLDMAN SACHS GROUP INC SUB NT	48,718	47,671
HARTFORD FINL SVCS GROUP INC SR NT	40,960	40,401
INTERMOUNTAIN PWR AGY UTAH	25,061	25,063
JPMORGAN CHASE & CO SR NT	47,058	45,508
KEYCORP MEDIUM TERM NTS FR	46,245	45,533
METROPOLITAN LIFE GLOBAL FDG	45,801	45,248

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY SR NT	47,708	46,221
MOSAIC CO NEW SR NT	37,326	34,713
NEWELL RUBBERMAID INC SR NT	38,095	36,532
ORACLE CORP NT	49,183	46,484
PACIFICORP	47,999	45,337
PEPSICO INC SR NT	47,665	44,312
REGIONS FINL CORP NEW SR GLBL	46,879	45,637
TEXTRON INC NT	42,144	41,091
US TREASURY BOND 5.375%	134,268	126,562
US TREASURY BOND 4.750%	124,637	117,171
US TREASURY BOND 1.750%	20,099	19,342
US TREASURY BOND 3.625%	392,085	360,490
US TREASURY BOND 2.00%	499,590	493,984
US TREASURY BOND 0.125%	49,080	48,179
US TREASURY BOND 1.25%	174,802	175,128
US TREASURY BOND 0.625%	663,961	664,129
US TREASURY BOND 1.625%	481,313	472,089
US TREASURY BOND 2.125%	251,228	245,128
UNITED TECHNOLOGIES CORP NT	40,662	38,163
VERIZON COMMUNICATIONS INC GLBL NT	45,340	43,071

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
WAL MART STORES INC NT	46,800	43,526
WELLS FARGO & CO NEW PERP PFD CL A	46,759	47,025
DOUBLELINE TOTAL RETURN BOND		
DOUGLAS CNTY NEB SAN & IMPT		
FEDERATED INSTITUTIONAL HIGH YIELD		
FREMONT NEB COMBINED UTIL		
HALL CNTY NEB GO REF BDS		
PALMER SQUARE INCOME PLUS		
PALMER SQUARE SSI ALTERNATIVE		
LANCASTER CNTY NEB SCH DIST		
LINCOLN NEB WEST HAYMARKET		
MUNICIPAL ENERGY AGY		
MUNICIPAL ENERGY AGY		
NEBRASKA PUB PWR DIST REV	211,288	207,812
OMAHA NEB REF BDS		
OMAHA NEB MET UTILS DIST		
OMAHA PUB PWR DIST NEB	224,802	221,151
PAPILLION NEB WTR REV		
PLATTE CNTY NEB SCH DIST		
TRIBUTARY SHORT/INTERMEDIATE BOND		

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TRIBUTARY INCOME FUND		
UNIVERSITY NEB	114,903	116,837
TEMPLETON GLOBAL BOND FUND		



**TY 2016 Investments Corporate Stock Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
 FOUNDATION  
**EIN:** 47-0800637

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMBARELLA INC	28,191	26,957
AON PLC	23,084	25,652
ACCENTURE PLC CLASS A	29,167	37,482
MEDTRONIC INC	81,687	75,860
AFLAC INC		
AMN HEALTHCARE SERVICES	34,164	39,219
AT&T INC	119,457	130,780
AGILENT TECHNOLOGIES		
AIR PRODUCTS & CHEMICALS	261,029	483,954
AMERICAN EXPRESS CO		
AMGEN INC	87,541	88,457
AMPLIFY SNACK BRANDS	33,160	19,761
ANALOGIC CORP	34,165	33,346
ANIXTER INTL	31,181	41,417
APPLE INC	25,615	72,388
AVNET INC	145,705	223,291
BALCHEM CORP	32,491	35,498
BARNES GROUP INC	26,993	32,862
BERKSHIRE HATHAWAY CL A	1,855,092	2,197,089
BLACKROCK INC	79,498	87,524
BOJANGLES	33,201	36,927
CACI INTL INC CL A	32,519	40,149
CALAMP CORP	22,985	24,375
CALLON PETE CO DEL COM	26,915	26,590
CAMBREX CORP	15,594	17,264
CAPITAL ONE FINL CORP		
CARDINAL FINL CORP	33,275	41,807
CARDINAL HEALTH	43,907	44,621
CARNIVAL CORP	30,705	36,963
CARPENTER TECHNOLOGY CORP	20,052	18,519

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHEVRON CORP	85,448	248,347
CISCO SYSTEMS	76,585	119,822
CITIGROUP INC		
CLARCOR INC	41,359	54,513
COHERENT INC	29,013	37,094
COMCAST CORP	349,231	803,052
CULLEN FROST BANKERS	16,823	20,469
DAVE & BUSTERS ENTRNT	25,006	34,343
DENTSPLY INTL	177,919	211,869
DIRECTV		
DORMAN PRODUCTS	39,058	45,151
DUKE ENERGY	101,513	106,339
EMC CORP		
EOG RESOURCES	25,488	28,308
EASTERLY GOVT PPTYS	30,690	32,492
EDUCATION RLTY TR	31,920	32,825
EXLSERVICE HOLDINGS	34,242	35,207
EXXON MOBIL CORP	358,075	554,918
FIVE BELOW INC	19,429	20,779
FORWARD AIR CORPORATION	35,917	39,278
FRANKLIN ELEC INC	32,829	32,404
FLOWSERVE CORP		
GENERAL ELECTRIC	139,112	150,416
GENERAL MILLS		
GENOMIC HEALTH	21,824	21,954
GRANITE CONSTR INC	32,500	38,940
HERSHEY CO		
ILG INC	28,392	30,489
IDACORP	40,081	43,094
INTEGRA LIFESCIENCES	42,296	44,268

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTER PARFUMS	20,009	20,436
INTEL		
INTERCONTINENTAL EXCHANGE	32,904	40,905
INTERNATIONAL BUSINESS MACHINES		
IROBOT CORP	20,099	27,296
JP MORGAN CHASE & CO	274,190	516,446
JACOBS ENGINEERING GROUP		
JOHNSON & JOHNSON	124,599	128,459
KEYSIGHT TECHNOLOGIES		
KFORCE INC	31,688	36,290
KIMBERLY CLARK	73,074	72,466
KOHLS CORP		
KRAFT HEINZ	167,513	182,499
LEAR CORP		
LTC PPTYS	38,569	36,269
LITTLEFUSE INC	39,926	47,504
LOCKHEED MARTIN	113,196	106,225
M&T BK CORP	114,664	130,619
MB FINL INC	40,091	50,158
MTS SYS CORP	26,413	34,417
MARKEL CORP	36,354	49,748
MASIMO CORP	31,422	35,857
MATADOR RES CO	26,308	28,619
METHODE ELECTRS INC	25,192	30,268
MICROSOFT CORP	331,522	561,746
MICROCHIP TECHNOLOGY	125,797	129,904
MICROSEMI CORP	18,931	26,607
MOHAWK INDS		
MULTI COLOR CORP	39,263	46,482
NATIONAL INSTRS CORP	23,029	25,457

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NAVIGANT CONSULTING	39,132	51,627
NEXSTAR BROADCASTING GROUP INC	38,104	43,424
NEXTERA ENERGY	132,849	134,990
NIKE	132,133	168,756
NOVARTIS AG	84,159	85,951
OLD NATL BANCORP	41,619	53,815
OLLIES BARGAIN OUTLT HLDGS	20,624	21,650
OMNICOM GROUP	25,546	52,343
ORACLE CORP	121,352	146,495
PDC ENERGY INC	24,807	28,161
PTC INC	23,112	23,690
PAYCHEX INC	93,018	95,582
PEPSICO	224,755	455,141
PERKINELMER		
PFIZER INC	111,975	112,706
PHILIP MORRIS	112,622	137,692
POTBELLY CORP	26,187	25,748
PROCTOR & GAMBLE	107,306	137,471
QUALCOMM		
SCHLUMBERGER	102,511	120,468
SCHULMAN (A.) INC	31,483	36,661
SELECTIVE INS GROUP	47,861	51,789
SENSIENT TECHNOLOGIES CORP	32,431	34,890
SCRIPPS NETWORK		
SILICON MOTION TECHNOLOGY	25,272	21,622
SOUTHSIDE BANCSHARES INC	37,561	43,396
STIFEL FINL CORP	34,174	43,806
TEAM HEALTH HOLDINGS	17,691	19,944
TETRA TECH INC	27,473	32,190
TEXAS INSTRUMENTS	358,864	662,203

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	366,514	635,709
TOWERS WATSON		
TRAVELERS CO	44,521	69,779
UMB FINL CORP	35,831	44,961
US BANCORP	1,523,964	2,219,184
UNION PACIFIC		
UNITED BANKSHARES INC	27,511	33,855
UNITED PARCEL SERVICE	131,496	129,543
UNITED TECHNOLOGIES	83,606	80,571
VARIAN MED SYS		
VERIZON COMMUNICATIONS	111,667	124,215
WELLS FARGO	187,017	193,987
WELLTOWER INC	48,619	51,536
WESTAR ENERGY		
WILLIAMS COS		
XCEL ENERGY	76,480	110,704
FEDERATED INT'L LEADERS		
GOLDMAN SACHS STRUC INT'L		
TORTOISE MLP & PIPELINE		
HARBOR INTERNATIONAL FUND		
OPPENHEIMER DEVELOPING MARKETS	271,715	269,796
PRUDENTIAL GLOBAL REAL ESTATE	611,441	577,919
T ROWE PRICE BLUE CHIP GROWTH	841,594	1,090,174
T ROWE PRICE MID-CAP VALUE		
TRIBUTARY GROWTH OPPORTUNITIES	369,790	353,700
TRIBUTARY SMALL COMPANY		
LAZARD INTERNATIONAL EQUITY INST	2,500,000	2,367,037
RAINIER INTERNATIONAL DISCOVERY FUND	600,000	546,659

**TY 2016 Investments - Other Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PRINCIPAL GLOBAL MULTI-STRATEGY	AT COST	601,587	593,302
MD SASS EQUITY INCOME PLUS	AT COST		

**TY 2016 Legal Fees Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	7,930	7,930	7,930	

**TY 2016 Other Expenses Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	211,324	211,324	211,324	
MISC INVESTMENT EXPENSE	7,075	7,075	7,075	



**TY 2016 Other Income Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EARLY TNDER OF BECTON DICKINS	626		626

**TY 2016 Other Increases Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

Description	Amount
NON DIVIDEND DISTRIBUTIONS	2,804

**TY 2016 Taxes Schedule**

**Name:** MARVIN AND VIRGINIA SCHMID  
FOUNDATION

**EIN:** 47-0800637

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	4,540	4,540	4,540	
2016 TAX ESTIMATES	15,400			
FEDERAL TAX EXPENSE	1,593			
PAYROLL TAXES	3,060	3,060	3,060	

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service	<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a> .	OMB No 1545-0047  <b>2016</b>
	<b>Name of the organization</b> MARVIN AND VIRGINIA SCHMID FOUNDATION	<b>Employer identification number</b> 47-0800637

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> MARVIN AND VIRGINIA SCHMID FOUNDATION	<b>Employer identification number</b> 47-0800637
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<b>Part I</b> <b>Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARVIN SCHMID TRUST	\$ 100,000	Person <input checked="" type="checkbox"/>
	14010 FNB PARKWAY		Payroll <input type="checkbox"/>
	OMAHA, NE68154		Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	VIRGINIA SCHMID 645 TRUST	\$ 9,470,533	Person <input checked="" type="checkbox"/>
	14010 FNB PARKWAY		Payroll <input type="checkbox"/>
	OMAHA, NE68154		Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> MARVIN AND VIRGINIA SCHMID FOUNDATION	<b>Employer identification number</b>  47-0800637
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<b>Part II</b>	<b>Noncash Property</b>
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	STOCK	\$ 9,052,228	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____	\$ _____	_____

<b>Name of organization</b> MARVIN AND VIRGINIA SCHMID FOUNDATION	<b>Employer identification number</b> 47-0800637
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<b>Part III</b>	<b>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____</b> Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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