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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2016**

**Open to Public Inspection**

**For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016**

Name of foundation
THE 1156 FOUNDATION

% WITHUMSMITHBROWN PC

**A Employer identification number**
46-6731126

Number and street (or P O box number if mail is not delivered to street address)
C/O WSB 1411 BROADWAY 9TH FL

Room/suite

**B** Telephone number (see instructions)
(212) 751-9100

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10018

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 4,498,719

**J** Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 1,515,810 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 15 | 15 | | |
| | 4 | Dividends and interest from securities | 104,506 | 102,744 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 90,183 | | | |
| | b | Gross sales price for all assets on line 6a 402,519 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 90,183 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 1,710,514 | 192,942 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 4,500 | 4,500 | 0 | 0 |
| | c | Other professional fees (attach schedule) | 27,027 | 27,027 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 201 | 201 | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 3,008 | 508 | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 34,736 | 32,236 | 0 | 0 |
| | 25 | Contributions, gifts, grants paid | 320,165 | | | 320,165 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 354,901 | 32,236 | 0 | 320,165 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 1,355,613 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 160,706 | | |
| | c | **Adjusted net income**(if negative, enter -0-) | | | | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | 657 | 1,544 | 1,544 |
| | 2 | Savings and temporary cash investments | 11,904 | 63,275 | 63,275 |
| | 3 | Accounts receivable ▶ <br> Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ <br> Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ <br> Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 1,493,564 | 2,184,812 | 4,433,900 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ <br> Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 17,016 | 0 | 0 |
| | 14 | Land, buildings, and equipment basis ▶ <br> Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,523,141 | 2,249,631 | 4,498,719 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 1,523,141 | 2,249,631 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 1,523,141 | 2,249,631 | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 1,523,141 | 2,249,631 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,523,141 |
| 2 | Enter amount from Part I, line 27a | 2 | 1,355,613 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 2,878,754 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 629,123 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 2,249,631 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | THRU M-L - SEE ATTACHED STMT | | | |
| b | THRU M-L - SEE ATTACHED STMT | | | |
| c | BOARDWALK PIPELINE PARTNERS LP | | 2014-09-04 | 2016-06-24 |
| d | THRU M-L - SEE ATTACHED STMT | | | |
| e | CAPITAL GAIN DIVIDENDS | P | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 93,369 | | 94,090 | -721 |
| b | 144,534 | | 144,754 | -220 |
| c | 17,718 | | 16,308 | 1,410 |
| d | 145,629 | | 57,184 | 88,445 |
| e | | | | 1,269 |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -721 |
| b | | | | -220 |
| c | | | | 1,410 |
| d | | | | 88,445 |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 90,183 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2015 | 249,421 | 2,796,517 | 0 08919 |
| 2014 | 11,355 | 2,945,890 | 0 003855 |
| 2013 | | | |
| 2012 | | | |
| 2011 | | | |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 093045 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 046523 |
| 4 | Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 4,117,748 |
| 5 | Multiply line 4 by line 3 | 5 | 191,570 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,607 |
| 7 | Add lines 5 and 6 | 7 | 193,177 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 320,165 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | | | 1 | 1,607 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | |
| 3 | Add lines 1 and 2 | | | 3 | 1,607 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 1,607 |
| 6 | Credits/Payments | | | | |
| a | 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | 2,001 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 2,001 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 394 |
| 11 | Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 394 **Refunded** ▶ | | | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶MA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶WITHUMSMITHBROWN PC Telephone no ▶(212) 751-9100

Located at ▶1411 BROADWAY NEW YORK NY ZIP+4 ▶10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** Yes No

1a During the year did the foundation (either directly or indirectly)

**(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

**(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

**(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

**(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

**(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

**(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No

| | | | | |
|---|---|---|---|---|
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | 1c | | No |

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

| | | | | |
|---|---|---|---|---|
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

| | | | | |
|---|---|---|---|---|
| b | If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016 ).* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| AMELIA L MCCARTHY<br>C/O WSB 1411 BROADWAY 9TH FL<br>NEW YORK, NY 10018 | TRUSTEE<br>0 5 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See instructions | |
| 3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 4,085,754 |
| b | Average of monthly cash balances. | 1b | 94,701 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | 1d | 4,180,455 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 4,180,455 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 62,707 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 4,117,748 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | 6 | 205,887 |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | | 1 | 205,887 |
| 2a | Tax on investment income for 2016 from Part VI, line 5. | 2a | 1,607 | | |
| b | Income tax for 2016 (This does not include the tax from Part VI ). | 2b | | | |
| c | Add lines 2a and 2b. | | | 2c | 1,607 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | | | 3 | 204,280 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | | 4 | |
| 5 | Add lines 3 and 4. | | | 5 | 204,280 |
| 6 | Deduction from distributable amount (see instructions). | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | | | 7 | 204,280 |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 320,165 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 320,165 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 1,607 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 318,558 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|---|---|---|---|---|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 204,280 |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only. . . . . . . | | | 0 | |
| b Total for prior years 2014 , 2013 , 2012 | | | | |
| 3 Excess distributions carryover, if any, to 2016 | | | | |
| a From 2011. . . . . . | | | | |
| b From 2012. . . . . . | | | | |
| c From 2013. . . . . . | | | | |
| d From 2014. . . . . . 0 | | | | |
| e From 2015. . . . . . 72,252 | | | | |
| f **Total** of lines 3a through e. . . . . . . . . | 72,252 | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 320,165 | | | | |
| a Applied to 2015, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . . | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). . . . . . . . | | | | |
| d Applied to 2016 distributable amount. . . . . | | | | 204,280 |
| e Remaining amount distributed out of corpus | 115,885 | | | |
| 5 Excess distributions carryover applied to 2016 | | | | |
| *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 188,137 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| d Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . . | | | | |
| e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . . | | | 0 | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . . . . . . . . | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . | | | | |
| 9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a . . . . . . . | 188,137 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2012. . . . | | | | |
| b Excess from 2013. . . . | | | | |
| c Excess from 2014. . . . 0 | | | | |
| d Excess from 2015. . . . 72,252 | | | | |
| e Excess from 2016. . . . 115,885 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test—enter | | | | | |
| **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| **c** "Support" alternative test—enter | | | | | |
| **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE ATTACHED SCHEDULE<br>ADDRESS ON FILE<br>NEW YORK, NY 10018 | N/A | PC | UNRESTRICTED | 320,165 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 320,165 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 15 | |
| 4 Dividends and interest from securities. | | | 14 | 104,506 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 90,183 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). | | | | 194,704 | |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . **13** 194,704

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | 1a(1) | | No |
| (2) Other assets. | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| (4) Reimbursement arrangements. | 1b(4) | | No |
| (5) Loans or loan guarantees. | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2017-05-15 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| RAYMOND RUSSOLILLO CPA | | 2017-05-15 | | P00234111 |

Firm's name ▶ WITHUMSMITHBROWN PC — Firm's EIN ▶

Firm's address ▶ 1411 BROADWAY 9TH FLOOR NEW YORK, NY 10018 — Phone no (212) 751-9100

# TY 2016 Accounting Fees Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| WITHUM SMITH & BROWN | 4,500 | 4,500 | | |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2016 Depreciation Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

# TY 2016 Investments Corporate Stock Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| SEE ATTACHED SCHEDULE | 2,184,812 | 4,433,900 |

# TY 2016 Investments - Other Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| BOARDWALK PIPELINE PARTNERS | AT COST | 0 | 0 |

# TY 2016 Other Decreases Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Description | Amount |
| --- | --- |
| EXCESS BOOK VALUE OVER TAX COST | 629,123 |

# TY 2016 Other Expenses Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| PARTNERSHIP LOSS | 508 | 508 | | |
| MISCELLANEOUS | 2,500 | 0 | | |

# TY 2016 Other Professional Fees Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT FEES | 27,027 | 27,027 | | |

# TY 2016 Taxes Schedule

**Name:** THE 1156 FOUNDATION

**EIN:** 46-6731126

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 201 | 201 | | |
| COMMONWEALTH OF MASSACHUSETTS | | | | |
| FEDERAL TAXES PAID | | | | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491137001147

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*.

OMB No 1545-0047

**2016**

| **Name of the organization** | **Employer identification number** |
|---|---|
| THE 1156 FOUNDATION | 46-6731126 |

**Organization type** (check one)

**Filers of:** **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☑ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
THE 1156 FOUNDATION

**Employer identification number**
46-6731126

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | MARSHALL B COYNE FOUNDATION<br>1200 NEW HAMPSHIRE AVE NW 555 STE 5<br>WASHINGTON, DC 200366814 | $ 1,479,163 | Person ☐<br>Payroll ☐<br>Noncash ☑<br>(Complete Part II for noncash contributions ) |
| 2 | MARSHALL B COYNE FOUNDATION<br>1200 NEW HAMPSHIRE AVE NW 555 STE 5<br>WASHINGTON, DC 200366814 | $ 36,647 | Person ☑<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| - | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |

| Name of organization | Employer identification number |
|---|---|
| THE 1156 FOUNDATION | 46-6731126 |

**Part II** Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 1 | VARIOUS SECURITIES SEE ATTACHED | $ 1,479 163 | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No.from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
THE 1156 FOUNDATION

**Employer identification number**
46-6731126

**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No.from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

THE 1156 FOUNDATION

Account Number. 840-02926

**SECURITIES YOU TRANSFERRED IN/OUT**

| *Date* | *Description* | *Transaction Type* | *Quantity* | *Value of Securities* | *Year To Date* |
|---|---|---|---|---|---|
| 02/25 | APARTMENT INVT & MGMT CO<br>CL A<br>TR FROM 84004G56 | Journal Entry | 680 | 24,901 60 | |
| 02/25 | ALTRIA GROUP INC<br>TR FROM 84004G56 | Journal Entry | 80 | 4,990 40 | |
| 02/25 | ALLIANT ENERGY CORP<br>TR FROM 84004G56 | Journal Entry | 60 | 4,201 80 | |
| 02/25 | AETNA INC NEW<br>TR FROM 84004G56 | Journal Entry | 80 | 8,752 00 | |

# YOUR EMA TRANSACTIONS

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| Date | Description | Transaction Type | Quantity | Value of Securities | Year To Date |
|---|---|---|---|---|---|
| 02/25 | AMERICAN INTERNATIONAL GROUP INC<br>TR FROM 84004G56 | Journal Entry | 560 | 28,767 20 | |
| 02/25 | APPLE INC<br>TR FROM 84004G56 | Journal Entry | 630 | 60,958 80 | |
| 02/25 | ARCHER DANIELS MIDLD<br>TR FROM 84004G56 | Journal Entry | 60 | 2,077 20 | |
| 02/25 | BANK NEW YORK MELLON CORP<br>TR FROM 84004G56 | Journal Entry | 210 | 7,419 30 | |
| 02/25 | BOEING COMPANY<br>TR FROM 84004G56 | Journal Entry | 140 | 16,354 80 | |
| 02/25 | BOSTON SCIENTIFIC CORP<br>TR FROM 84004G56 | Journal Entry | 400 | 6,928.00 | |
| 02/25 | BRISTOL-MYERS SQUIBB CO<br>TR FROM 84004G56 | Journal Entry | 560 | 35,296.80 | |
| 02/25 | CABOT CORP<br>TR FROM 84004G56 | Journal Entry | 40 | 1,772 40 | |
| 02/25 | COMCAST CORP NEW CL A<br>TR FROM 84004G56 | Journal Entry | 180 | 10,535 40 | |
| 02/25 | CMS ENERGY CORP<br>TR FROM 84004G56 | Journal Entry | 2,000 | 81,180 00 | |
| 02/25 | CONSOLIDATED EDISON INC<br>TR FROM 84004G56 | Journal Entry | 500 | 36,225 00 | |
| 02/25 | CENTERPOINT ENERGY INC<br>TR FROM 84004G56 | Journal Entry | 120 | 2,296.80 | |
| 02/25 | CATERPILLAR INC DEL<br>TR FROM 84004G56 | Journal Entry | 60 | 3,988 20 | |
| 02/25 | CELGENE CORP COM<br>TR FROM 84004G56 | Journal Entry | 320 | 32,752 00 | |



00-17-6150B-5000 (06-2014)

THE 1156 FOUNDATION　　Account Number. 840-02926

# YOUR EMA TRANSACTIONS

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| *Date* | *Description* | *Transaction Type* | *Quantity* | *Value of Securities* | *Year To Date* |
|---|---|---|---|---|---|
| 02/25 | CONTINENTAL RESOURCES INC<br>TR FROM 84004G56 | Journal Entry | 400 | 8,184 00 | |
| 02/25 | CITIGROUP INC COM NEW<br>TR FROM 84004G56 | Journal Entry | 640 | 24,716 80 | |
| 02/25 | CHUBB LTD<br>TR FROM 84004G56 | Journal Entry | 72 | 8,524 08 | |
| 02/25 | CISCO SYSTEMS INC COM<br>TR FROM 84004G56 | Journal Entry | 240 | 6,384 00 | |
| 02/25 | COCA COLA COM<br>TR FROM 84004G56 | Journal Entry | 160 | 7,065 60 | |
| 02/25 | CORNING INC<br>TR FROM 84004G56 | Journal Entry | 840 | 15,220 80 | |
| 02/25 | DUKE ENERGY CORP NEW<br>TR FROM 84004G56 | Journal Entry | 109 | 8,331 96 | |
| 02/25 | DEAN FOODS CO NEW<br>TR FROM 84004G56 | Journal Entry | 120 | 2,322 00 | |
| 02/25 | DEERE CO<br>TR FROM 84004G56 | Journal Entry | 100 | 7,960 00 | |
| 02/25 | DISNEY (WALT) CO COM STK<br>TR FROM 84004G56 | Journal Entry | 100 | 9,565 00 | |
| 02/25 | DOW CHEMICAL CO<br>TR FROM 84004G56 | Journal Entry | 520 | 25,012 00 | |
| 02/25 | EDISON INTL CALIF<br>TR FROM 84004G56 | Journal Entry | 40 | 2,751 60 | |
| 02/25 | EXXON MOBIL CORP COM<br>TR FROM 84004G56 | Journal Entry | 240 | 19,682 40 | |
| 02/25 | EVERSOURCE ENERGY COM<br>TR FROM 84004G56 | Journal Entry | 880 | 49,860 80 | |

# *YOUR EMA TRANSACTIONS*

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| Date | Description | Transaction Type | Quantity | Value of Securities | Year To Date |
|---|---|---|---|---|---|
| 02/25 | FIRST REPUBLIC BANK<br>NON CUM PREFERRED SER G<br>05 500% PERPETUAL<br>TR FROM 84004G56 | Journal Entry | 400 | 9,856 00 | |
| 02/25 | FACEBOOK INC<br>CLASS A COMMON STOCK<br>TR FROM 84004G56 | Journal Entry | 260 | 28,098 20 | |
| 02/25 | GENERAL ELECTRIC<br>TR FROM 84004G56 | Journal Entry | 320 | 9,353 60 | |
| 02/25 | GREAT PLAINS ENERGY INC<br>TR FROM 84004G56 | Journal Entry | 600 | 17,730 00 | |
| 02/25 | INTL BUSINESS MACHINES<br>CORP IBM<br>TR FROM 84004G56 | Journal Entry | 112 | 15,064 00 | |
| 02/25 | INTL PAPER CO<br>TR FROM 84004G56 | Journal Entry | 320 | 11,132 80 | |
| 02/25 | INGERSOLL-RAND PLC<br>TR FROM 84004G56 | Journal Entry | 47 | 2,655 03 | |
| 02/25 | INTRPUBLIC GRP OF CO<br>TR FROM 84004G56 | Journal Entry | 480 | 10,358 40 | |
| 02/25 | JPMORGAN CHASE & CO<br>TR FROM 84004G56 | Journal Entry | 520 | 29,645 20 | |
| 02/25 | JOHNSON AND JOHNSON COM<br>TR FROM 84004G56 | Journal Entry | 284 | 30,211 92 | |
| 02/25 | KIMBERLY CLARK<br>TR FROM 84004G56 | Journal Entry | 360 | 48,060 00 | |
| 02/25 | LOCKHEED MARTIN CORP<br>TR FROM 84004G56 | Journal Entry | 40 | 8,801 20 | |
| 02/25 | LUMINEX CORP DEL COM<br>TR FROM 84004G56 | Journal Entry | 5,299 | 98,561 40 | |
| 02/25 | ELI LILLY & CO<br>TR FROM 84004G56 | Journal Entry | 260 | 19,453 20 | |

THE 1156 FOUNDATION Account Number: 840-02926

# YOUR EMA TRANSACTIONS

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| Date | Description | Transaction Type | Quantity | Value of Securities | Year To Date |
|---|---|---|---|---|---|
| 02/25 | LOWE'S COMPANIES INC<br>TR FROM 84004G56 | Journal Entry | 160 | 10,992 00 | |
| 02/25 | MONSANTO CO NEW DEL COM<br>TR FROM 84004G56 | Journal Entry | 20 | 1,796 80 | |
| 02/25 | MACYS INC<br>TR FROM 84004G56 | Journal Entry | 140 | 6,071 80 | |
| 02/25 | MERCK AND CO INC SHS<br>TR FROM 84004G56 | Journal Entry | 553 | 28,203 00 | |
| 02/25 | MONDELEZ INTERNATIONAL<br>INC<br>TR FROM 84004G56 | Journal Entry | 400 | 16,632 00 | |
| 02/25 | MALLINCKRODT PLC SHS<br>TR FROM 84004G56 | Journal Entry | 179 | 12,050 28 | |
| 02/25 | MARSH & MCLENNAN COS INC<br>TR FROM 84004G56 | Journal Entry | 520 | 30,035 20 | |
| 02/25 | MCDONALDS CORP COM<br>TR FROM 84004G56 | Journal Entry | 48 | 5,681 76 | |
| 02/25 | NOVARTIS ADR<br>TR FROM 84004G56 | Journal Entry | 240 | 17,419 20 | |
| 02/25 | NEWELL RUBBERMAID INC<br>TR FROM 84004G56 | Journal Entry | 1,200 | 45,444 00 | |
| 02/25 | NATIONAL GRID PLC SP ADR<br>TR FROM 84004G56 | Journal Entry | 400 | 27,600 00 | |
| 02/25 | NEXTERA ENERGY INC SHS<br>TR FROM 84004G56 | Journal Entry | 280 | 32,435.20 | |
| 02/25 | TWENTY-FIRST CENTURY<br>FOX INC CLASS B<br>TR FROM 84004G56 | Journal Entry | 80 | 2,190 40 | |
| 02/25 | OGE ENERGY CORP PV $0 01<br>TR FROM 84004G56 | Journal Entry | 80 | 2,156 80 | |

# YOUR EMA TRANSACTIONS

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| Date | Description | Transaction Type | Quantity | Value of Securities | Year To Date |
|---|---|---|---|---|---|
| 02/25 | PACCAR INC<br>TR FROM 84004G56 | Journal Entry | 120 | 6,218 40 | |
| 02/25 | PG&E CORP<br>TR FROM 84004G56 | Journal Entry | 40 | 2,333 60 | |
| 02/25 | PIONEER NATURAL RES CO<br>TR FROM 84004G56 | Journal Entry | 60 | 7,229 40 | |
| 02/25 | PPL CORPORATION<br>TR FROM 84004G56 | Journal Entry | 288 | 10,468 80 | |
| 02/25 | PNM RESOURCES INC<br>TR FROM 84004G56 | Journal Entry | 400 | 13,304 00 | |
| 02/25 | PHILIP MORRIS INTL INC<br>TR FROM 84004G56 | Journal Entry | 160 | 14,792 00 | |
| 02/25 | PFIZER INC<br>TR FROM 84004G56 | Journal Entry | 860 | 26,307 40 | |
| 02/25 | PROCTER & GAMBLE CO<br>TR FROM 84004G56 | Journal Entry | 295 | 24,290 30 | |
| 02/25 | PUB SVC ENTERPRISE GRP<br>TR FROM 84004G56 | Journal Entry | 80 | 3,499 20 | |
| 02/25 | RAYTHEON CO DELAWARE NEW<br>TR FROM 84004G56 | Journal Entry | 100 | 12,502 00 | |
| 02/25 | RPM INTERNATIONAL INC<br>TR FROM 84004G56 | Journal Entry | 800 | 32,368.00 | |
| 02/25 | SAINT(THE)JOE CO (FLA)<br>TR FROM 84004G56 | Journal Entry | 200 | 3,078 00 | |
| 02/25 | SEALED AIR CORP (NEW)<br>TR FROM 84004G56 | Journal Entry | 120 | 5,563 20 | |
| 02/25 | SEMPRA ENERGY<br>TR FROM 84004G56 | Journal Entry | 120 | 11,869 20 | |
| 02/25 | SCANA CORP NEW COM<br>TR FROM 84004G56 | Journal Entry | 200 | 13,356 00 | |
| 02/25 | SPECTRA ENERGY CORP<br>TR FROM 84004G56 | Journal Entry | 120 | 3,452 40 | |



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THE 1156 FOUNDATION Account Number: 840-02926

# YOUR EMA TRANSACTIONS

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| Date | Description | Transaction Type | Quantity | Value of Securities | Year To Date |
|---|---|---|---|---|---|
| 02/25 | SERVICE CORP INTL<br>TR FROM 84004G56 | Journal Entry | 600 | 14,160 00 | |
| 02/25 | SONY CORP ADR NEW<br>TR FROM 84004G56 | Journal Entry | 200 | 4,268 00 | |
| 02/25 | SOUTHERN COMPANY<br>TR FROM 84004G56 | Journal Entry | 80 | 3,948 80 | |
| 02/25 | SYSCO CORPORATION<br>TR FROM 84004G56 | Journal Entry | 160 | 6,969 60 | |
| 02/25 | TUPPERWARE BRANDS CORP<br>TR FROM 84004G56 | Journal Entry | 80 | 3,885 60 | |
| 02/25 | 3M COMPANY<br>TR FROM 84004G56 | Journal Entry | 100 | 15,899 00 | |
| 02/25 | UNITEDHEALTH GROUP INC<br>TR FROM 84004G56 | Journal Entry | 80 | 9,696 00 | |
| 02/25 | UNITED TECHS CORP COM<br>TR FROM 84004G56 | Journal Entry | 134 | 13,141 38 | |
| 02/25 | VORNADO REALTY TRUST COM<br>REIT<br>TR FROM 84004G56 | Journal Entry | 49 | 4,234 09 | |
| 02/25 | VERIZON COMMUNICATNS COM<br>TR FROM 84004G56 | Journal Entry | 357 | 18,246 27 | |
| 02/25 | ZOETIS INC<br>TR FROM 84004G56 | Journal Entry | 620 | 26,598 00 | |
| 02/25 | WHITEWAVE FOODS CO<br>COMMON STOCK<br>TR FROM 84004G56 | Journal Entry | 100 | 3,899 00 | |
| 02/25 | WAL-MART STORES INC<br>TR FROM 84004G56 | Journal Entry | 120 | 8,164 80 | |
| 02/25 | WEYERHAEUSER CO<br>TR FROM 84004G56 | Journal Entry | 144 | 3,651 84 | |

# *YOUR EMA TRANSACTIONS*

January 30, 2016 - February 29, 2016

**SECURITIES YOU TRANSFERRED IN/OUT** (continued)

| *Date* | *Description* | *Transaction Type* | *Quantity* | *Value of Securities* | *Year To Date* |
|---|---|---|---|---|---|
| 02/25 | WASTE MANAGEMENT INC NEW<br>TR FROM 84004G56 | Journal Entry | 60 | 3,370 20 | |
| 02/25 | WELLS FARGO & CO NEW DEL<br>TR FROM 84004G56 | Journal Entry | 120 | 5,730 00 | |
| | **NET TOTAL** | | | **1,479,162.61** | **1,479,162.61** |

**1156 Foundation**
**Grants Paid 2016**

| Date Cleared | Date Written | Check Number | Payee | | Amount |
|---|---|---|---|---|---|
| 2 5 2016 | 1 19 2016 | 295 | WHITE PINES PRODUCTIONS | $ | 5.000 00 |
| 4 15 2016 | 4 11 2016 | 296 | BOSTON BALLET | $ | 2.500 00 |
| 4 18 2016 | 4 11 2016 | 297 | UNITED SOUTH END SETTLEMENTS | $ | 2.500 00 |
| 7 5 2016 | 6 23 2016 | 298 | CONCORD ACADEMY | $ | 300.000 00 |
| 7 29 2016 | 7 11 2016 | 299 | USULT | $ | 1.250 00 |
| 12 20 2016 | 12 13 2016 | 301 | SERIOUS FUN CHILDRENS NETWORK | $ | 250 00 |
| 12 22 2016 | 12 13 2016 | 302 | GEORGETOWN UNIVERSITY | $ | 500 00 |
| 12 21 2016 | 12 13 2016 | 303 | UNITED SOUTH END SETTLEMENTS | $ | 500 00 |
| 12 29 2016 | 12 13 2016 | 304 | TRUSTEES OF RESERVATIONS | $ | 165 00 |
| 12 20 2016 | 12 13 2016 | 305 | MASSACHUSETTS HISTORICAL SOCIETY | $ | 2.500 00 |
| 12 20 2016 | 12 13 2016 | 306 | WHITE PINES PRODUCTIONS | $ | 5.000 00 |
| | | | Total | $ | 320.165 00 |

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| **EQUITIES** Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| AETNA INC NEW | AET | 07/31/06 | 400 | 32.1000 | 12,840.00 | 124.0100 | 49,604.00 | 36,764.00 | 401 | .80 |
| | | 07/31/06 | 80 | 32.1000 | 2,568.00 | 124.0100 | 9,920.80 | 7,352.80 | 80 | .80 |
| *Subtotal* | | | *480* | | *15,408.00* | | **59,524.80** | *44,116.80* | ***481*** | *.80* |
| ALLIANT ENERGY CORP | LNT | 01/16/03 | 600 | 8.6050 | 5,163.00 | 37.8900 | 22,734.00 | 17,571.00 | 705 | 3.10 |
| | | 01/16/03 | 120 | 8.6100 | 1,033.20 | 37.8900 | 4,546.80 | 3,513.60 | 141 | 3.10 |
| *Subtotal* | | | *720* | | *6,196.20* | | **27,280.80** | *21,084.60* | ***846*** | *3.10* |
| ALTRIA GROUP INC | MO | 07/16/09 | 400 | 17.0400 | 6,816.00 | 67.6200 | 27,048.00 | 20,232.00 | 976 | 3.60 |
| | | 07/16/09 | 80 | 17.0400 | 1,363.20 | 67.6200 | 5,409.60 | 4,046.40 | 195 | 3.60 |
| *Subtotal* | | | *480* | | *8,179.20* | | **32,457.60** | *24,278.40* | ***1,172*** | *3.60* |
| AMERICAN INTERNATIONAL | AIG | 05/06/12 | 800 | 30.5000 | 24,400.00 | 65.3100 | 52,248.00 | 27,848.00 | 1,025 | 1.95 |
| | | 05/06/12 | 560 | 30.5000 | 17,080.00 | 65.3100 | 36,573.60 | 19,493.60 | 717 | 1.95 |
| *Subtotal* | | | *1,360* | | *41,480.00* | | **88,821.60** | *47,341.60* | ***1,742*** | *1.95* |
| APARTMENT INVT & MGMT CO | AIV | 08/31/10 | 680 | 20.5753 | 13,991.21 | 45.4500 | **30,906.00** | 16,914.79 | **898** | 2.90 |
| APPLE INC | AAPL | 01/16/13 | 700 | 72.6438 | 50,850.69 | 115.8200 | 81,074.00 | 30,223.31 | 1,597 | 1.96 |
| | | 01/16/13 | 630 | 72.6407 | 45,763.65 | 115.8200 | 72,966.60 | 27,202.95 | 1,437 | 1.96 |
| *Subtotal* | | | *1,330* | | *96,614.34* | | **154,040.60** | *57,426.26* | ***3,034*** | *1.96* |
| ARCHER DANIELS MIDLD | ADM | 10/31/00 | 300 | 10.3571 | 3,107.14 | 45.6500 | 13,695.00 | 10,587.86 | 360 | 2.62 |
| | | 10/31/00 | 60 | 10.3571 | 621.43 | 45.6500 | 2,739.00 | 2,117.57 | 72 | 2.62 |
| *Subtotal* | | | *360* | | *3,728.57* | | **16,434.00** | *12,705.43* | ***432*** | *2.62* |
| AT&T INC | T | 10/31/16 | 500 | 36.6700 | 18,335.00 | 42.5300 | **21,265.00** | 2,930.00 | **980** | 4.60 |
| BANK NEW YORK MELLON | BK | 09/25/12 | 210 | 22.9968 | 4,829.33 | 47.3800 | **9,949.80** | 5,120.47 | **160** | 1.60 |
| BOEING COMPANY | BA | 04/07/09 | 200 | 36.6295 | 7,325.90 | 155.6800 | 31,136.00 | 23,810.10 | 1,136 | 3.64 |
| | | 04/07/09 | 60 | 36.6295 | 2,197.77 | 155.6800 | 9,340.80 | 7,143.03 | 341 | 3.64 |
| | | 12/22/11 | 80 | 74.5262 | 5,962.10 | 155.6800 | 12,454.40 | 6,492.30 | 455 | 3.64 |
| *Subtotal* | | | *340* | | *15,485.77* | | **52,931.20** | *37,445.43* | ***1,932*** | *3.64* |

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| BOSTON SCIENTIFIC CORP | BSX | 04/09/15 | 400 | 17 9199 | 7,167 96 | 21.6300 | 8,652 00 | 1,484 04 | | |
| | | 04/27/16 | 1,000 | 21 5550 | 21,555 00 | 21.6300 | 21,630 00 | 75 00 | | |
| *Subtotal* | | | *1,400* | | *28,722 96* | | **30,282.00** | *1,559.04* | | |
| BP PLC SPON ADR | BP | 11/10/10 | 400 | 43 3758 | 17,350 32 | 37.3800 | **14,952.00** | (2,398 32) | **952** | 6 36 |
| BRISTOL-MYERS SQUIBB CO | BMY | 09/07/12 | 800 | 33 2163 | 26,573 04 | 58 4400 | 46,752 00 | 20,178 96 | 1 248 | 2 66 |
| | | 09/07/12 | 560 | 33 1973 | 18,590 54 | 58 4400 | 32,726 40 | 14,135 86 | 874 | 2 66 |
| *Subtotal* | | | *1,360* | | *45,163 58* | | **79,478.40** | *34,314 82* | **2,122** | *2 66* |
| CABOT CORP | CBT | 07/17/00 | 200 | 18 5473 | 3,709 47 | 50 5400 | 10,108 00 | 6,398 53 | 240 | 2 37 |
| | | 07/17/00 | 40 | 18 5115 | 740 46 | 50 5400 | 2,021.60 | 1,281 14 | 48 | 2 37 |
| *Subtotal* | | | *240* | | *4,449 93* | | **12,129.60** | *7,679 67* | **288** | *2 37* |
| CATERPILLAR INC DEL | CAT | 04/07/09 | 300 | 29.5100 | 8,853 00 | 92 7400 | 27,822.00 | 18 969.00 | 924 | 3 32 |
| | | 05/20/10 | 60 | 58 9095 | 3,534 57 | 92 7400 | 5,564.40 | 2,029.83 | 185 | 3 32 |
| *Subtotal* | | | *360* | | *12,387.57* | | **33,386.40** | *20,998 83* | **1,109** | *3 32* |
| CELGENE CORP COM | CELG | 02/18/11 | 520 | 26.7103 | 13,889.38 | 115 7500 | 60 190 00 | 46,300 62 | | |
| | | 02/18/11 | 320 | 26.7103 | 8,547.31 | 115 7500 | 37 040.00 | 28,492 69 | | |
| *Subtotal* | | | *840* | | *22,436 69* | | **97,230.00** | *74,793 31* | | |
| CENTERPOINT ENERGY INC | CNP | 07/22/04 | 600 | 11.2900 | 6,774.00 | 24 6400 | 14 784 00 | 8,010.00 | 618 | 4.18 |
| | | 07/22/04 | 120 | 11.2900 | 1,354.80 | 24.6400 | 2,956.80 | 1 602.00 | 124 | 4.18 |
| *Subtotal* | | | *720* | | *8,128.80* | | **17,740.80** | *9,612 00* | **742** | *4 18* |
| CISCO SYSTEMS INC COM | CSCO | 04/25/08 | 1,200 | 25 6000 | 30,720 00 | 30 2200 | 36 264 00 | 5 544.00 | 1,248 | 3.44 |
| | | 02/18/11 | 240 | 18 9585 | 4 550 06 | 30 2200 | 7,252 80 | 2 702 74 | 250 | 3 44 |
| *Subtotal* | | | *1,440* | | *35,270 06* | | **43,516.80** | *8,246 74* | **1,498** | *3.44* |
| CITIGROUP INC COM NEW | C | 05/06/08 | 1,000 | 34 2109 | 34,210 96 | 59.4300 | 59,430 00 | 25,219 04 | 640 | 1 07 |
| | | 05/06/08 | 560 | 34 2114 | 19,158 40 | 59.4300 | 33,280 80 | 14,122 40 | 359 | 1 07 |
| | | 10/14/11 | 80 | 27 6372 | 2 210.98 | 59 4300 | 4,754.40 | 2,543 42 | 52 | 1 07 |
| *Subtotal* | | | *1,640* | | *55,580 34* | | **97,465.20** | *41,884 86* | **1,051** | *1 07* |
| CMS ENERGY CORP | CMS | 07/16/09 | 1,500 | 12.3385 | 18,507.75 | 41.6200 | **62,430.00** | 43 922 25 | **1,860** | 2 97 |

## YOUR EMA ASSETS

December 01, 2015 - December 31, 2015

**EQUITIES** (continued)

| Description | Symbol Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain (Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|
| COCA COLA COM | KO 11/01/04 | 800 | 20 2850 | 16,228.00 | 41 4600 | 33,168 00 | 16,940 00 | 1 120 | 3 37 |
| | 02/14/05 | 160 | 21 4800 | 3,436.80 | 41.4600 | 6,633 60 | 3,196 80 | 224 | 3 37 |
| *Subtotal* | | *960* | | *19 664 80* | | **39,801.60** | *20,136 80* | **1,344** | *3.37* |
| COMCAST CORP NEW CL A | CMCSA 05/01/08 | 900 | 22 1500 | 19,935.00 | 69.0500 | 62,145 00 | 42,210 00 | 990 | 1 59 |
| | 05/01/08 | 180 | 22 1500 | 3,987.00 | 69 0500 | 12 429 00 | 8 442 00 | 198 | 1 59 |
| *Subtotal* | | *1,080* | | *23,922 00* | | **74,574.00** | *50,652.00* | **1,188** | *1.59* |
| CORNING INC | GLW 09/25/12 | 1 200 | 13 0955 | 15 714 60 | 24 2700 | 29 124.00 | 13 409.40 | 649 | 2 22 |
| | 09/25/12 | 840 | 13 1098 | 11,012 31 | 24 2700 | 20,386.80 | 9,374 49 | 454 | 2 22 |
| *Subtotal* | | *2,040* | | *26,726.91* | | **49,510.80** | *22,783.89* | **1,103** | *2 22* |
| DEAN FOODS CO NEW | DF 05/19/10 | 600 | 10.0505 | 6,030 32 | 21 7800 | 13,068.00 | 7,037 68 | 216 | 1 65 |
| | 05/19/10 | 120 | 10.0505 | 1 206 06 | 21 7800 | 2,613.60 | 1,407 54 | 44 | 1 65 |
| *Subtotal* | | *720* | | *7,236 38* | | **15,681.60** | *8,445.22* | **260** | *1 65* |
| † DEERE CO | DE 05/20/10 | 100 | 56 7997 | 5,679 97 | 103 0400 | **10,304.00** | 4,624 03 | **240** | 2 32 |
| DELTA AIR LINES INC | DAL 02/28/13 | 800 | 14 3702 | 11,496.16 | 49.1900 | **39,352.00** | 27,855 84 | **649** | 1 64 |
| DISNEY (WALT) CO COM STK | DIS 12/01/11 | 100 | 36 1474 | 3,614 74 | 104 2200 | **10,422.00** | 6 807 26 | **156** | 1 49 |
| DOW CHEMICAL CO | DOW 04/05/12 | 600 | 33 4250 | 20,055 00 | 57.2200 | 34,332 00 | 14,277.00 | 1,105 | 3.21 |
| | 04/05/12 | 520 | 33 4599 | 17 399 16 | 57.2200 | 29,754 40 | 12,355 24 | 957 | 3 21 |
| *Subtotal* | | *1,120* | | *37,454 16* | | **64,086.40** | *26,632 24* | **2,062** | *3 21* |
| DUKE ENERGY CORP NEW | DUK 01/16/03 | 136 | 31.6022 | 4,297.91 | 77 6200 | 10,556 32 | 6 258 41 | 466 | 4 40 |
| | 09/27/04 | 394 | 39 3585 | 15,507.27 | 77 6200 | 30 582 28 | 15 075 01 | 1,348 | 4 40 |
| | 05/30/06 | 109 | 49.6022 | 5,406 65 | 77 6200 | 8 460 58 | 3,053 93 | 373 | 4 40 |
| *Subtotal* | | *639* | | *25,211 83* | | **49,599.18** | *24,387.35* | **2,187** | *4 40* |
| EDISON INTL CALIF | EIX 01/25/01 | 200 | 11 8125 | 2,362.50 | 71 9900 | 14 398 00 | 12 035 50 | 434 | 3 01 |
| | 01/25/01 | 40 | 11 8125 | 472.50 | 71 9900 | 2 879.60 | 2 407.10 | 87 | 3 01 |
| *Subtotal* | | *240* | | *2,835 00* | | **17,277.60** | *14,442.60* | **521** | *3.01* |



00-17-6150B-5000 (06-2014)

[redacted] [redacted]

Merrill Lynch
Bank of America Corporation

THE 1156 FOUNDATION

Account Number. 840-02926

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| ELI LILLY & CO | LLY | 03/24/11 | 50 | 34.5200 | 1,726 00 | 73 5500 | 3,677 50 | 1,951 50 | 104 | 2.82 |
| | | 03/25/11 | 250 | 34 5200 | 8,630 00 | 73 5500 | 18 387 50 | 9 757 50 | 520 | 2.82 |
| | | 03/25/11 | 260 | 34 5200 | 8,975.20 | 73 5500 | 19 123.00 | 10 147.80 | 541 | 2 82 |
| *Subtotal* | | | *560* | | *19,331 20* | | ***41,188.00*** | *21,856 80* | ***1,165*** | *2 82* |
| EVERSOURCE ENERGY COM | ES | 12/21/07 | 300 | 32 0800 | 9,624 00 | 55 2300 | 16,569.00 | 6,945 00 | 534 | 3 22 |
| | | 12/21/07 | 800 | 32 5000 | 26,000 00 | 55 2300 | 44,184.00 | 18,184 00 | 1 425 | 3.22 |
| | | 12/21/07 | 80 | 32 5100 | 2,600 80 | 55.2300 | 4,418.40 | 1,817 60 | 143 | 3 22 |
| *Subtotal* | | | *1,180* | | *38,224 80* | | ***65,171.40*** | *26,946 60* | ***2,102*** | *3 22* |
| EXXON MOBIL CORP COM | XOM | 07/12/00 | 100 | 37.4800 | 3,748.00 | 90 2600 | 9 026.00 | 5,278.00 | 300 | 3 32 |
| | | 08/27/03 | 100 | 37.1300 | 3,713 00 | 90 2600 | 9 026 00 | 5,313.00 | 300 | 3 32 |
| | | 08/31/10 | 240 | 58 9952 | 14 158.87 | 90 2600 | 21,662 40 | 7 503.53 | 720 | 3 32 |
| | | 09/30/15 | 200 | 73 9562 | 14 791 24 | 90 2600 | 18,052 00 | 3 260.76 | 600 | 3.32 |
| *Subtotal* | | | *640* | | *36,411.11* | | ***57,766.40*** | *21,355.29* | ***1,920*** | *3 32* |
| FACEBOOK INC CLASS A COMMON STOCK | FB | 09/07/12 | 100 | 19.2381 | 1 923 81 | 115.0500 | 11,505 00 | 9,581 19 | | |
| | | 07/01/13 | 300 | 24 8099 | 7 442 97 | 115.0500 | 34,515 00 | 27 072 03 | | |
| *Subtotal* | | | *400* | | *9,366 78* | | ***46,020.00*** | *36,653.22* | | |
| FORD MOTOR CO | F | 12/14/06 | 2,500 | 7.0200 | 17 550 00 | 12.1300 | **30,325.00** | 12 775 00 | **1,500** | 4.94 |
| GENERAL ELECTRIC | GE | 03/09/07 | 400 | 34 3200 | 13 728 00 | 31.6000 | 12,640 00 | (1,088 00) | 384 | 3 03 |
| | | 05/06/09 | 1,200 | 13 4699 | 16,163.88 | 31.6000 | 37,920 00 | 21,756 12 | 1,153 | 3 03 |
| | | 05/06/09 | 320 | 13 4699 | 4,310 37 | 31.6000 | 10,112 00 | 5 801.63 | 308 | 3.03 |
| *Subtotal* | | | *1,920* | | *34 202 25* | | ***60,672.00*** | *26,469.75* | ***1,845*** | *3 03* |
| GENERAL MOTORS CO COMMON SHARES | GM | 11/20/14 | 1,000 | 32 0676 | 32 067 60 | 34 8400 | **34,840.00** | 2,772 40 | **1,520** | 4 36 |
| GLAXOSMITHKLINE PLC ADR | GSK | 02/03/11 | 600 | 38 0600 | 22,836 00 | 38.5100 | **23,106.00** | 270.00 | **1,241** | 5 36 |
| GREAT PLAINS ENERGY INC | GXP | 06/16/09 | 600 | 15 7193 | 9,431 58 | 27.3500 | **16,410.00** | 6,978.42 | **660** | 4 02 |
| INGERSOLL-RAND PLC | IR | 10/27/00 | 200 | 11 9563 | 2,391 27 | 75.0400 | 15,008 00 | 12 616 73 | 320 | 2 13 |
| | | 10/27/00 | 47 | 11 9563 | 561 95 | 75.0400 | 3,526 88 | 2,964 93 | 76 | 2 13 |
| *Subtotal* | | | *247* | | *2,953.22* | | ***18,534.88*** | *15,581 66* | ***396*** | *2 13* |

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| INTL BUSINESS MACHINES | IBM | 05/20/10 | 260 | 125.5054 | 32,631.41 | 165.9900 | 43,157.40 | 10,525.99 | 1,456 | 3.37 |
| | | 07/11/13 | 112 | 193.0599 | 21,622.71 | 165.9900 | 18,590.88 | (3,031.83) | 628 | 3.37 |
| *Subtotal* | | | *372* | | *54,254.12* | | **61,748.28** | *7,494.16* | **2,084** | *3.37* |
| INTL PAPER CO | IP | 03/09/11 | 600 | 26.0915 | 15,654.95 | 53.0600 | 31,836.00 | 16,181.05 | 1,110 | 3.48 |
| | | 03/09/11 | 320 | 26.1312 | 8,362.01 | 53.0600 | 16,979.20 | 8,617.19 | 592 | 3.48 |
| *Subtotal* | | | *920* | | *24,016.96* | | **48,815.20** | *24,798.24* | **1,702** | *3.48* |
| INTRPUBLIC GRP OF CO | IPG | 09/29/09 | 2,000 | 7.5900 | 15,180.00 | 23.4100 | 46,820.00 | 31,640.00 | 1,200 | 2.56 |
| | | 09/30/09 | 480 | 7.5803 | 3,638.59 | 23.4100 | 11,236.80 | 7,598.21 | 288 | 2.56 |
| *Subtotal* | | | *2,480* | | *18,818.59* | | **58,056.80** | *39,238.21* | **1,488** | *2.56* |
| JETBLUE AIRWAYS CORP DEL | JBLU | 08/28/09 | 1,000 | 5.7221 | 5,722.10 | 22.4200 | **22,420.00** | 16,697.90 | | |
| JOHNSON AND JOHNSON COM | JNJ | 06/18/01 | 30 | 40.9300 | 1,227.90 | 115.2100 | 3,456.30 | 2,228.40 | 96 | 2.77 |
| | | 10/28/03 | 390 | 49.9700 | 19,488.30 | 115.2100 | 44,931.90 | 25,443.60 | 1,248 | 2.77 |
| | | 08/31/10 | 284 | 57.0258 | 16,195.33 | 115.2100 | 32,719.64 | 16,524.31 | 909 | 2.77 |
| *Subtotal* | | | *704* | | *36,911.53* | | **81,107.84** | *44,196.31* | **2,253** | *2.77* |
| JPMORGAN CHASE & CO | JPM | 07/11/12 | 600 | 34.4700 | 20,682.00 | 86.2900 | 51,774.00 | 31,092.00 | 1,153 | 2.22 |
| | | 07/11/12 | 520 | 34.6533 | 18,019.72 | 86.2900 | 44,870.80 | 26,851.08 | 999 | 2.22 |
| *Subtotal* | | | *1,120* | | *38,701.72* | | **96,644.80** | *57,943.08* | **2,152** | *2.22* |
| KIMBERLY CLARK | KMB | 07/15/11 | 300 | 63.9879 | 19,196.39 | 114.1200 | 34,236.00 | 15,039.61 | 1,105 | 3.22 |
| | | 07/15/11 | 360 | 63.9504 | 23,022.15 | 114.1200 | 41,083.20 | 18,061.05 | 1,325 | 3.22 |
| *Subtotal* | | | *660* | | *42,218.54* | | **75,319.20** | *33,100.66* | **2,430** | *3.22* |
| KINDER MORGAN INC DEL | KMI | 09/30/15 | 1,000 | 26.8755 | 26,875.50 | 20.7100 | **20,710.00** | (6,165.50) | **500** | 2.41 |
| LOCKHEED MARTIN CORP | LMT | 07/13/00 | 200 | 25.8125 | 5,162.50 | 249.9400 | 49,988.00 | 44,825.50 | 1,456 | 2.91 |
| | | 07/13/00 | 40 | 25.8750 | 1,035.00 | 249.9400 | 9,997.60 | 8,962.60 | 292 | 2.91 |
| *Subtotal* | | | *240* | | *6,197.50* | | **59,985.60** | *53,788.10* | **1,748** | *2.91* |
| LOWE'S COMPANIES INC | LOW | 11/08/00 | 160 | 10.6247 | 1,699.96 | 71.1200 | **11,379.20** | 9,679.24 | **224** | 1.96 |

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THE 1156 FOUNDATION

Account Number:840-02926

# YOUR EMA ASSETS

December 01 2016 - December 30 2016

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain, (Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| LUMINEX CORP DEL COM | LMNX | 12/02/09 | 221 | 14 2909 | 3 158 31 | 20 2300 | 4,470 83 | 1,312 52 | | |
| | | 12/03/09 | 2,480 | 14 5000 | 35,960 00 | 20.2300 | 50,170 40 | 14 210 40 | | |
| | | 01/11/10 | 2 598 | 15 6934 | 40,771.71 | 20.2300 | 52,557.54 | 11,785 83 | | |
| | | 07/14/15 | 1 500 | 17 3808 | 26 071 32 | 20.2300 | 30,345 00 | 4 273 68 | | |
| *Subtotal* | | | *6,799* | | *105,961.34* | | ***137,543.77*** | *31,582.43* | | |
| MACYS INC | M | 10/27/00 | 140 | 14.5700 | 2,039 80 | 35.8100 | 5,013 40 | 2,973 60 | 212 | 4 21 |
| | | 10/31/16 | 260 | 36.2961 | 9,437 01 | 35.8100 | 9,310 60 | (126 41) | 393 | 4 21 |
| *Subtotal* | | | *400* | | *11,476 81* | | ***14,324.00*** | *2,847.19* | **605** | *4 21* |
| MALLINCKRODT PLC SHS | MNK | 05/22/13 | 179 | 37 5100 | 6,714.29 | 49.8200 | **8,917.78** | 2,203.49 | | |
| MARSH & MCLENNAN COS INC | MMC | 12/10/04 | 400 | 30 7500 | 12,300 00 | 67.5900 | 27,036 00 | 14,736 00 | 544 | 2.01 |
| | | 03/02/06 | 200 | 31 3000 | 6,260 00 | 67.5900 | 13,518 00 | 7,258 00 | 272 | 2.01 |
| | | 03/02/06 | 80 | 31 3000 | 2,504 00 | 67.5900 | 5,407 20 | 2,903 20 | 109 | 2 01 |
| | | 09/07/12 | 440 | 34.1377 | 15,020 61 | 67.5900 | 29,739 60 | 14,718 99 | 599 | 2 01 |
| *Subtotal* | | | *1,120* | | *36,084.61* | | ***75,700.80*** | *39,616 19* | **1,524** | *2 01* |
| MATTEL INC COM | MAT | 11/20/14 | 1,000 | 30 1207 | 30,120 70 | 27.5500 | **27,550.00** | (2,570 70) | **1,520** | 5.51 |
| MCDONALDS CORP COM | MCD | 07/11/00 | 240 | 32 8750 | 7,890.00 | 121 7200 | 29,212 80 | 21,322 80 | 903 | 3 08 |
| | | 05/07/01 | 48 | 27.0200 | 1,296 96 | 121 7200 | 5,842 56 | 4,545 60 | 181 | 3 08 |
| *Subtotal* | | | *288* | | *9 186 96* | | ***35,055.36*** | *25,868 40* | **1,084** | *3 08* |
| MERCK AND CO INC SHS | MRK | 11/18/04 | 296 | 27 0700 | 8,012 72 | 58 8700 | 17 425.52 | 9,412 80 | 557 | 3 19 |
| | | 02/14/05 | 704 | 29 1100 | 20,493 44 | 58.8700 | 41,444 48 | 20,951 04 | 1,324 | 3 19 |
| | | 08/12/11 | 553 | 31 6052 | 17,477.68 | 58 8700 | 32,555 11 | 15,077 43 | 1,040 | 3.19 |
| *Subtotal* | | | *1,553* | | *45,983 84* | | ***91,425.11*** | *45,441 27* | **2,921** | *3 19* |
| MONDELEZ INTERNATIONAL INC | MDLZ | 05/20/10 | 100 | 19 0833 | 1,908.33 | 44 3300 | 4,433 00 | 2,524 67 | 76 | 1 71 |
| | | 12/22/11 | 300 | 24.2800 | 7,284 01 | 44 3300 | 13 299 00 | 6,014.99 | 228 | 1 71 |
| *Subtotal* | | | *400* | | *9,192.34* | | ***17,732.00*** | *8,539 66* | **304** | *1 71* |
| NATIONAL GRID PLC SP ADR | NGG | 08 30/10 | 400 | 42 9698 | 17,187 92 | 58 3300 | **23,332.00** | 6,144 08 | **1,183** | 5 06 |

# YOUR EMA ASSETS

December 31, 2016 December 30 2015

| **EQUITIES** (continued) *Description* | *Symbol* | *Acquired* | *Quantity* | *Unit Cost Basis* | *Total Cost Basis* | *Estimated Market Price* | *Estimated Market Value* | *Unrealized Gain/(Loss)* | *Estimated Annual Income* | *Current Yield%* |
|---|---|---|---|---|---|---|---|---|---|---|
| NEWELL BRANDS INC | NWL | 02/03/11 | 1,000 | 19.3100 | 19,310.00 | 44.6500 | 44,650.00 | 25,340.00 | 760 | 1.70 |
| | | 02/03/11 | 800 | 19.3100 | 15,448.00 | 44.6500 | 35,720.00 | 20,272.00 | 608 | 1.70 |
| | | 10/14/11 | 400 | 13.0268 | 5,210.72 | 44.6500 | 17,860.00 | 12,649.28 | 304 | 1.70 |
| *Subtotal* | | | *2,200* | | *39,968.72* | | **98,230.00** | *58,261.28* | **1,672** | *1.70* |
| NEXTERA ENERGY INC SHS | NEE | 08/27/03 | 200 | 31.1250 | 6,225.00 | 119.4600 | 23,892.00 | 17,667.00 | 696 | 2.91 |
| | | 08/27/03 | 200 | 31.1450 | 6,229.00 | 119.4600 | 23,892.00 | 17,663.00 | 696 | 2.91 |
| | | 08/27/03 | 120 | 31.1450 | 3,737.40 | 119.4600 | 14,335.20 | 10,597.80 | 418 | 2.91 |
| | | 08/30/10 | 160 | 53.7518 | 8,600.30 | 119.4600 | 19,113.60 | 10,513.30 | 557 | 2.91 |
| *Subtotal* | | | *680* | | *24,791.70* | | **81,232.80** | *56,441.10* | **2,367** | *2.91* |
| NOVARTIS ADR | NVS | 12/17/10 | 200 | 58.3168 | 11,663.36 | 72.8400 | 14,568.00 | 2,904.64 | 461 | 3.16 |
| | | 12/17/10 | 240 | 58.3167 | 13,996.03 | 72.8400 | 17,481.60 | 3,485.57 | 553 | 3.16 |
| *Subtotal* | | | *440* | | *25,659.39* | | **32,049.60** | *6,390.21* | **1,014** | *3.16* |
| OGE ENERGY CORP PV $0.01 | OGE | 05/29/03 | 400 | 10.4500 | 4,180.00 | 33.4500 | 13,380.00 | 9,200.00 | 484 | 3.61 |
| | | 05/29/03 | 80 | 10.4500 | 836.00 | 33.4500 | 2,676.00 | 1,840.00 | 97 | 3.61 |
| *Subtotal* | | | *480* | | *5,016.00* | | **16,056.00** | *11,040.00* | **581** | *3.61* |
| PACCAR INC | PCAR | 08/06/12 | 600 | 40.1080 | 24,064.80 | 63.9000 | 38,340.00 | 14,275.20 | 576 | 1.50 |
| | | 08/06/12 | 120 | 40.1080 | 4,812.96 | 63.9000 | 7,668.00 | 2,855.04 | 116 | 1.50 |
| *Subtotal* | | | *720* | | *28,877.76* | | **46,008.00** | *17,130.24* | **692** | *1.50* |
| PFIZER INC | PFE | 02/14/05 | 1,800 | 25.2100 | 45,378.00 | 32.4800 | 58,464.00 | 13,086.00 | 2,305 | 3.94 |
| | | 12/22/11 | 140 | 21.6854 | 3,035.96 | 32.4800 | 4,547.20 | 1,511.24 | 180 | 3.94 |
| | | 07/11/12 | 720 | 22.2678 | 16,032.86 | 32.4800 | 23,385.60 | 7,352.74 | 922 | 3.94 |
| *Subtotal* | | | *2,660* | | *64,446.82* | | **86,396.80** | *21,949.98* | **3,407** | *3.94* |
| PG&E CORP | PCG | 01/25/01 | 200 | 11.9375 | 2,387.50 | 60.7700 | 12,154.00 | 9,766.50 | 392 | 3.22 |
| | | 01/25/01 | 40 | 11.9375 | 477.50 | 60.7700 | 2,430.80 | 1,953.30 | 79 | 3.22 |
| *Subtotal* | | | *240* | | *2,865.00* | | **14,584.80** | *11,719.80* | **471** | *3.22* |
| PHILIP MORRIS INTL INC | PM | 12/11/09 | 800 | 48.8561 | 39,084.88 | 91.4900 | 73,192.00 | 34,107.12 | 3,328 | 4.54 |
| | | 03/04/10 | 160 | 50.7000 | 8,112.00 | 91.4900 | 14,638.40 | 6,526.40 | 666 | 4.54 |
| *Subtotal* | | | *960* | | *47,196.88* | | **87,830.40** | *40,633.52* | **3,994** | *4.54* |

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Merrill Lynch
Bank of America Corporation

THE 1156 FOUNDATION | Account Number 840-02926

# YOUR EMA ASSETS

December 01 2016 December 30 2016

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| PNM RESOURCES INC | PNM | 04/25/08 | 1,500 | 14 3460 | 21,519.00 | 34 3000 | 51,450.00 | 29,931 00 | 1 456 | 2 82 |
| | | 04/25/08 | 400 | 14 3460 | 5,738 40 | 34 3000 | 13 720.00 | 7 981 60 | 388 | 2 82 |
| *Subtotal* | | | *1,900* | | *27,257 40* | | **65,170.00** | *37,912 60* | ***1,844*** | *2 82* |
| PPL CORPORATION | PPL | 03/31/04 | 560 | 20.9688 | 11,742 54 | 34 0500 | 19,068.00 | 7 325 46 | 852 | 4 46 |
| | | 12/20/04 | 880 | 24.7737 | 21,800.90 | 34 0500 | 29,964.00 | 8,163 10 | 1 338 | 4 46 |
| | | 04/10/12 | 288 | 25.2423 | 7,269 81 | 34 0500 | 9,806.40 | 2,536.59 | 438 | 4.46 |
| *Subtotal* | | | *1 728* | | *40,813 25* | | **58,838.40** | *18,025 15* | ***2,628*** | *4.46* |
| PROCTER & GAMBLE CO | PG | 07/11/00 | 475 | 27.3776 | 13,004.40 | 84 0800 | 39 938 00 | 26,933.60 | 1 273 | 3.18 |
| | | 08/07/00 | 180 | 30.8333 | 5,550.00 | 84 0800 | 15,134.40 | 9,584.40 | 483 | 3.18 |
| | | 08/31/10 | 115 | 59 5600 | 6,849.40 | 84 0800 | 9 669.20 | 2,819.80 | 308 | 3 18 |
| *Subtotal* | | | *770* | | *25,403.80* | | **64,741.60** | *39,337 80* | ***2,064*** | *3.18* |
| PUB SVC ENTERPRISE GRP | PEG | 08/27/03 | 400 | 21 2650 | 8 506.00 | 43 8800 | 17,552 00 | 9 046.00 | 657 | 3 73 |
| | | 08/27/03 | 80 | 21 2650 | 1,701 20 | 43 8800 | 3,510 40 | 1 809.20 | 132 | 3 73 |
| *Subtotal* | | | *480* | | *10,207 20* | | **21,062.40** | *10,855.20* | ***789*** | *3 73* |
| RAYTHEON CO DELAWARE NEW | RTN | 12/01/11 | 100 | 45 3071 | 4 530 71 | 142.0000 | **14,200.00** | 9,669 29 | **293** | 2 06 |
| RPM INTERNATIONAL INC | RPM | 10/14/11 | 1,000 | 21 1027 | 21 102 70 | 53 8300 | 53,830 00 | 32 727 30 | 1,200 | 2 22 |
| | | 10/14/11 | 800 | 21 1027 | 16,882 16 | 53 8300 | 43,064 00 | 26 181.84 | 960 | 2 22 |
| *Subtotal* | | | *1,800* | | *37,984.86* | | **96,894.00** | *58,909.14* | ***2,160*** | *2.22* |
| SAINT(THE)JOE CO (FLA) | JOE | 04/09/15 | 200 | 18 6800 | 3 736 00 | 19 0000 | 3,800 00 | 64.00 | | |
| | | 10/31/16 | 600 | 17 8827 | 10 729.62 | 19.0000 | 11,400 00 | 670.38 | | |
| *Subtotal* | | | *800* | | *14,465.62* | | **15,200.00** | *734 38* | | |
| SCANA CORP NEW COM | SCG | 05/29/03 | 200 | 33.6700 | 6 734 00 | 73 2800 | **14,656.00** | 7,922.00 | **460** | 3 13 |
| SEALED AIR CORP (NEW) | SEE | 01/31/01 | 600 | 16.4500 | 9 870.00 | 45 3400 | 27,204.00 | 17 334.00 | 385 | 1 41 |
| | | 01/31/01 | 120 | 16 4500 | 1,974.00 | 45.3400 | 5,440 80 | 3 466.80 | 77 | 1 41 |
| *Subtotal* | | | *720* | | *11 844.00* | | **32,644.80** | *20,800 80* | ***462*** | *1 41* |
| SEMPRA ENERGY | SRE | 04/19/05 | 600 | 39.3700 | 23,622.00 | 100 6400 | 60 384 00 | 36,762.00 | 1,812 | 3 00 |
| | | 04/19/05 | 120 | 39.4000 | 4,728.00 | 100 6400 | 12 076 80 | 7,348 80 | 363 | 3 00 |
| *Subtotal* | | | *720* | | *28,350.00* | | **72,460.80** | *44,110 80* | ***2,175*** | *3.00* |

# YOUR EMA ASSETS

December 01, 2013 - December 31, 2013

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| SERVICE CORP INTL | SCI | 05/06/09 | 1,600 | 4.6823 | 7,491.68 | 28.4000 | 45,440.00 | 37,948.32 | 833 | 1.83 |
| | | 07/16/09 | 600 | 5.9360 | 3,561.60 | 28.4000 | 17,040.00 | 13,478.40 | 312 | 1.83 |
| *Subtotal* | | | *2,200* | | *11,053.28* | | **62,480.00** | *51,426.72* | ***1,145*** | *1.83* |
| SONY CORP ADR NEW | SNE | 02/28/13 | 1,000 | 14.5542 | 14,554.20 | 28.0300 | 28,030.00 | 13,475.80 | 153 | .54 |
| | | 02/28/13 | 200 | 14.5288 | 2,905.77 | 28.0300 | 5,606.00 | 2,700.23 | 31 | .54 |
| *Subtotal* | | | *1,200* | | *17,459.97* | | **33,636.00** | *16,176.03* | ***184*** | *.54* |
| SOUTHERN COMPANY | SO | 12/06/00 | 400 | 17.4106 | 6,964.26 | 49.1900 | 19,676.00 | 12,711.74 | 897 | 4.55 |
| | | 05/29/03 | 80 | 30.8400 | 2,467.20 | 49.1900 | 3,935.20 | 1,468.00 | 180 | 4.55 |
| *Subtotal* | | | *480* | | *9,431.46* | | **23,611.20** | *14,179.74* | ***1,077*** | *4.55* |
| SPECTRA ENERGY CORP | SE | 09/27/04 | 600 | 18.9175 | 11,350.51 | 41.0900 | 24,654.00 | 13,303.49 | 973 | 3.94 |
| | | 05/30/06 | 120 | 23.8354 | 2,860.25 | 41.0900 | 4,930.80 | 2,070.55 | 195 | 3.94 |
| *Subtotal* | | | *720* | | *14,210.76* | | **29,584.80** | *15,374.04* | ***1,168*** | *3.94* |
| SYSCO CORPORATION | SYY | 07/11/12 | 800 | 29.0892 | 23,271.41 | 55.3700 | 44,296.00 | 21,024.59 | 1,057 | 2.38 |
| | | 07/11/12 | 160 | 29.1560 | 4,664.96 | 55.3700 | 8,859.20 | 4,194.24 | 212 | 2.38 |
| *Subtotal* | | | *960* | | *27,936.37* | | **53,155.20** | *25,218.83* | ***1,269*** | *2.38* |
| TUPPERWARE BRANDS CORP | TUP | 04/10/06 | 400 | 21.7500 | 8,700.00 | 52.6200 | 21,048.00 | 12,348.00 | 1,088 | 5.16 |
| | | 04/10/06 | 80 | 21.7500 | 1,740.00 | 52.6200 | 4,209.60 | 2,469.60 | 218 | 5.16 |
| *Subtotal* | | | *480* | | *10,440.00* | | **25,257.60** | *14,817.60* | ***1,306*** | *5.16* |
| TWENTY-FIRST CENTURY | FOX | 08/12/11 | 400 | 15.0030 | 6,001.22 | 27.2500 | 10,900.00 | 4,898.78 | 144 | 1.32 |
| | | 08/12/11 | 80 | 15.0030 | 1,200.24 | 27.2500 | 2,180.00 | 979.76 | 29 | 1.32 |
| *Subtotal* | | | *480* | | *7,201.46* | | **13,080.00** | *5,878.54* | ***173*** | *1.32* |
| UNITED TECHS CORP COM | UTX | 03/17/95 | 170 | 8.5411 | 1,452.00 | 109.6200 | 18,635.40 | 17,183.40 | 449 | 2.40 |
| | | 03/17/95 | 36 | 8.5411 | 307.48 | 109.6200 | 3,946.32 | 3,638.84 | 96 | 2.40 |
| | | 12/01/11 | 98 | 76.8571 | 7,532.00 | 109.6200 | 10,742.76 | 3,210.76 | 259 | 2.40 |
| *Subtotal* | | | *304* | | *9,291.48* | | **33,324.48** | *24,033.00* | ***804*** | *2.40* |
| UNITEDHEALTH GROUP INC | UNH | 06/05/08 | 400 | 33.7578 | 13,503.12 | 160.0400 | 64,016.00 | 50,512.88 | 1,000 | 1.56 |
| | | 06/05/08 | 80 | 33.7577 | 2,700.62 | 160.0400 | 12,803.20 | 10,102.58 | 200 | 1.56 |
| *Subtotal* | | | *480* | | *16,203.74* | | **76,819.20** | *60,615.46* | ***1,200*** | *1.56* |

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THE 1156 FOUNDATION Account Number:840-02926

# YOUR EMA ASSETS

December 01, 2016 December 30 2016

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| VERIZON COMMUNICATNS COM | VZ | 02/14/05 | 750 | 32.6578 | 24,493 42 | 53 3800 | 40,035 00 | 15 541 58 | 1,733 | 4 32 |
| | | 12/22/11 | 357 | 39 3320 | 14,041 53 | 53.3800 | 19,056 66 | 5 015 13 | 825 | 4 32 |
| *Subtotal* | | | *1,107* | | *38,534.95* | | **59,091.66** | *20.556 71* | **2,558** | 4.32 |
| VIACOM INC NEW CL B | VIAB | 10/31/16 | 500 | 37 5376 | 18,768 80 | 35.1000 | **17,550.00** | (1,218 80) | **400** | 2 27 |
| VISHAY INTERTECHNLGY | VSH | 08/01/13 | 1,200 | 14 8255 | 17 790 60 | 16.2000 | **19,440.00** | 1,649 40 | **300** | 1 54 |
| VORNADO REALTY TRUST COM | VNO | 08/04/98 | 249 | 31 7849 | 7,914 46 | 104.3700 | 25,988 13 | 18 073 67 | 628 | 2 41 |
| REIT | | 04/22/09 | 49 | 38 9167 | 1,906 92 | 104.3700 | 5,114 13 | 3 207 21 | 124 | 2 41 |
| *Subtotal* | | | *298* | | *9,821 38* | | **31,102.26** | *21.280 88* | **752** | 2 41 |
| WAL-MART STORES INC | WMT | 03/02/06 | 600 | 45 0800 | 27,048.00 | 69 1200 | 41,472 00 | 14,424 00 | 1,200 | 2 89 |
| | | 03/02/06 | 120 | 45.0800 | 5,409 60 | 69.1200 | 8,294.40 | 2,884 80 | 240 | 2 89 |
| *Subtotal* | | | *720* | | *32,457 60* | | **49,766.40** | *17,308 80* | **1,440** | 2.89 |
| WASTE MANAGEMENT INC NEW | WM | 05/22/07 | 300 | 39 1696 | 11,750 88 | 70.9100 | 21 273.00 | 9,522 12 | 492 | 2 31 |
| | | 05/22/07 | 60 | 39 1695 | 2,350 17 | 70.9100 | 4,254 60 | 1,904 43 | 99 | 2 31 |
| *Subtotal* | | | *360* | | *14,101.05* | | **25,527.60** | *11,426 55* | **591** | 2.31 |
| WELLS FARGO & CO NEW DEL | WFC | 11/06/08 | 600 | 27 0000 | 16,200 00 | 55 1100 | 33,066 00 | 16,866 00 | 912 | 2 75 |
| | | 11/06/08 | 120 | 27 0000 | 3,240 00 | 55 1100 | 6,613.20 | 3,373 20 | 183 | 2 75 |
| *Subtotal* | | | *720* | | *19,440 00* | | **39,679.20** | *20.239.20* | **1,095** | 2 75 |
| WEYERHAEUSER CO | WY | 12/11/00 | 480 | 15.2000 | 7,296 00 | 30 0900 | 14,443.20 | 7,147 20 | 596 | 4 12 |
| | | 12/11/00 | 144 | 15.2000 | 2,188 80 | 30 0900 | 4,332.96 | 2 144 16 | 179 | 4 12 |
| *Subtotal* | | | *624* | | *9,484.80* | | **18,776.16** | *9,291 36* | **775** | 4.12 |
| WHITEWAVE FOODS CO | WWAV | 05/19/10 | 200 | 8.8744 | 1,774.88 | 55 6000 | 11,120.00 | 9,345 12 | | |
| COMMON STOCK | | 05/19/10 | 100 | 8 8744 | 887 44 | 55 6000 | 5,560.00 | 4 672 56 | | |
| *Subtotal* | | | *300* | | *2,662 32* | | **16,680.00** | *14,017 68* | | |
| ZOETIS INC | ZTS | 05/28/14 | 600 | 30.5973 | 18,358.38 | 53 5300 | 32,118.00 | 13,759.62 | 252 | .78 |
| | | 05/28/14 | 620 | 30.6099 | 18,978.14 | 53 5300 | 33,188.60 | 14,210 46 | 261 | .78 |
| *Subtotal* | | | *1,220* | | *37,336 52* | | **65,306.60** | *27 970 08* | **513** | 78 |

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| **EQUITIES** (continued) Description | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|---|---|---|---|---|---|---|---|---|---|---|
| 3M COMPANY | MMM | 06/21/00 | 500 | 43.2500 | 21,625.00 | 178.5700 | 89,285.00 | 67,660.00 | 2,220 | 2.48 |
| | | 01/31/07 | 100 | 73.6000 | 7,360.00 | 178.5700 | 17,857.00 | 10,497.00 | 444 | 2.48 |
| *Subtotal* | | | 600 | | 28,985.00 | | ***107,142.00*** | *78,157.00* | **2,664** | 2.48 |
| **TOTAL** | | | | | 2,184,812.09 | | **4,433,899.96** | 2,249,087.87 | **113,567** | 2.56 |