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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year **2016** or tax year beginning, **2016**, and ending, **20**

Name of foundation

THE DAN AND TERESE HEINTZELMAN FAMILY FND

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

333 COMMONWEALTH AVE APT. 17

City or town, state or province, country, and ZIP or foreign postal code

BOSTON, MA 02115-1931

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ **5,037,589.**J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

46-5615275

B Telephone number (see instructions)

800-336-6782C If exemption application is
pending, check here☐

D 1 Foreign organizations, check here

☐2 Foreign organizations meeting the
85% test, check here and attach
computation☐E If private foundation status was terminated
under section 507(b)(1)(A), check here☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	3,077,769.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	544.	544.		STMT 1
4 Dividends and interest from securities	62,315.	58,761.		STMT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	1,153,114.			
b Gross sales price for all assets on line 6a 3,829,634.				
7 Capital gain net income (from Part IV, line 2)		1,153,114.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	4,293,742.	1,212,419.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 3	1,275.	638.	NONE	638.
c Other professional fees (attach schedule) STMT 4	23,283.	23,283.		
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 5	2,411.	656.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 6	89.	19.		70.
24 Total operating and administrative expenses. Add lines 13 through 23.	27,058.	24,596.	NONE	708.
25 Contributions, gifts, grants paid	351,735.			351,735.
26 Total expenses and disbursements. Add lines 24 and 25	378,793.	24,596.	NONE	352,443.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	3,914,949.			
b Net investment income (if negative, enter -0-)		1,187,823.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	5,466.		
	2	Savings and temporary cash investments	224,478.	37,474.	37,474.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	484,142.	3,812,242.	4,182,056.
	c	Investments - corporate bonds (attach schedule)	59,994.	839,022.	818,059.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less: accumulated depreciation ▶ (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶ (attach schedule)			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	774,080.	4,688,738.	5,037,589.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	774,080.	4,688,738.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	774,080.	4,688,738.	
	31	Total liabilities and net assets/fund balances (see instructions)	774,080.	4,688,738.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	774,080.
2	Enter amount from Part I, line 27a	2	3,914,949.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,689,029.
5	Decreases not included in line 2 (itemize) ▶ RETURN OF CAPITAL ADJUSTMENT	5	291.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,688,738.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 3,829,634.		2,676,520.	1,153,114.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			1,153,114.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,153,114.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	35,143.	627,686.	0.055988
2014	11,499.	560,515.	0.020515
2013			
2012			
2011			
2 Total of line 1, column (d)			2 0.076503
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.038252
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 3,498,408.
5 Multiply line 4 by line 3.			5 133,821.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 11,878.
7 Add lines 5 and 6.			7 145,699.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 352,443.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	11,878.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	11,878.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,878.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,200.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	10,678.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	11,878.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ NONE Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ DANIEL HEINTZELMAN Telephone no. ▶ (800) 336-6782 Located at ▶ 333 COMMONWEALTH AVE. APT. 17, BOSTON, MA ZIP+4 ▶ 02115-1931		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ▶		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,367,684.
b	Average of monthly cash balances	1b	183,999.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	3,551,683.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,551,683.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	53,275.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,498,408.
6	Minimum investment return. Enter 5% of line 5	6	174,920.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	174,920.
2a	Tax on investment income for 2016 from Part VI, line 5 2a		11,878.
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	11,878.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	163,042.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	163,042.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	163,042.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	352,443.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	352,443.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	11,878.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	340,565.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				163,042.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			NONE	
b Total for prior years. 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	NONE			
b From 2012	NONE			
c From 2013	NONE			
d From 2014	2,899.			
e From 2015	6,127.			
f Total of lines 3a through e	9,026.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 352,443.				
a Applied to 2015, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				163,042.
e Remaining amount distributed out of corpus. . .	189,401.			
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	198,427.			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	198,427.			
10 Analysis of line 9				
a Excess from 2012 . . .	NONE			
b Excess from 2013 . . .	NONE			
c Excess from 2014 . . .	2,899.			
d Excess from 2015 . . .	6,127.			
e Excess from 2016 . . .	189,401.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 12				351,735.
Total			3a	351,735.
b Approved for future payment				
Total			3b	

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/26/17
Date

► PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
SHARRON COOK

Preparer's signature

Preparer's signature Sharon Cook

Date

Date
5/10/2017

Check ☐	if
self-employed	

PTIN	
------	--

PTIN
P00545260

Firm's name ► FIFTH THIRD BANK

Firm's address ► P O BOX 630858
CINCINNATI, OH

45263-0858

Phone no 800-336-6782

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2016▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990**Name of the organization****Employer identification number**

THE DAN AND TERESE HEINTZELMAN FAMILY FND

46-5615275

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization THE DAN AND TERESE HEINTZELMAN FAMILY FND	Employer identification number 46-5615275
--	---

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAN HEINTZELMAN 333 COMMONWEALTH AVENUE APT 17 BOSTON, MA 02115	\$ 534,783.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
2	DAN HEINTZELMAN 333 COMMONWEALTH AVENUE APT 17 BOSTON, MA 02115	\$ 2,542,986.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE DAN AND TERESE HEINTZELMAN FAMILY FND

46-5615275

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	<u>32,400 SHARES OF GENERAL ELECTRIC CO.</u> _____ _____ _____	\$ <u>1,001,646.</u>	<u>04/27/2016</u>
<u>2</u>	<u>SEE ATTACHED</u> _____ _____ _____	\$ <u>3,336,567.</u>	<u>06/23/2016</u>
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Dan Heintzelman

Re: Gift to the Heintzelman Family Foundation

Date of Gift:	June 23, 2016
Gift:	85,520 shares of General Electric Co.
Gift:	550 shares of Danaher Corp.
Gift:	400 shares of Honeywell Int'l Inc.
Gift:	95 shares of Amazon.com, Inc.
Gift:	750 shares of Comcast Corp.
Gift:	200 shares of The Walt Disney Co.
Gift:	150 shares of The Home Depot, Inc.
Gift:	850 shares of Mondelez Int'l., Inc.
Gift:	375 shares of Monster Beverage Corp.
Gift:	200 shares of Walgreens Boots All. Inc.
Gift:	400 shares of UnitedHealth Group Inc.
Gift:	500 shares of MasterCard Inc.
Gift:	525 shares of Microsoft Corp.
Gift:	525 shares of Accenture PLC
Gift:	200 units of iShares Core S&P Mid-Cap
Gift:	2550 units of UBS E-Tracs Alerian MLP
Gift:	150 units of Vanguard REIT ETF

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MONEY MARKET INTEREST	544.	544.
TOTAL	544.	544.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	226.	226.
FOREIGN DIVIDENDS	8,055.	8,055.
NONDIVIDEND DISTRIBUTIONS	3,554.	
DOMESTIC DIVIDENDS	25,440.	25,440.
NONQUALIFIED FOREIGN DIVIDENDS	7,414.	7,414.
NONQUALIFIED DOMESTIC DIVIDENDS	17,626.	17,626.
	-----	-----
TOTAL	62,315.	58,761.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,275.	638.		638.
TOTALS	1,275.	638.	NONE	638.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT MGMT FEES-SUBJECT T	23,283.	23,283.
TOTALS	23,283.	23,283.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	101.	101.
PRIOR YEAR EXCISE TAX PAID	555.	
EXCISE TAX ESTIMATE	1,200.	
FOREIGN TAXES ON QUALIFIED FOR	456.	456.
FOREIGN TAXES ON NONQUALIFIED	99.	99.
	-----	-----
TOTALS	2,411.	656.
	=====	=====

THE DAN AND TERESE HEINTZELMAN FAMILY FND

46-5615275

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-INCOME	19.	19.	
OTHER MISC. EXPENSES	70.		70.
TOTALS	89.	19.	70.
	=====	=====	=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

DANIEL C HEINTZELMAN

ADDRESS:

333 COMMONWEALTH AVENUE APT. 17
BOSTON, MA 02115

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:

TERESE HEINTZELMAN

ADDRESS:

333 COMMONWEALTH AVENUE APT. 17
BOSTON, MA 02115

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2

OFFICER NAME:

LORI J. HEINTZELMAN

ADDRESS:

250 FIRST AVENUE APT. 239
CHARLESTOWN, MA 02119

TITLE:

TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

TIMOTHY D. HEINTZELMAN

ADDRESS:

16 UNION STREET APT. 2
CHARLESTOWN, MA 02129

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

KIMBERLY T. HEINTZELMAN

ADDRESS:

250 FIRST AVE, APT 239

CHARLESTOWN, MA 02119

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

RECIPIENT NAME:
AMERICAN RED CROSS
ADDRESS:
2111 DANA AVE
CINCINNATI, OH 45207
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 200.

RECIPIENT NAME:
PARK CITY COMMUNITY FOUNDATION
ADDRESS:
PO BOX 681499
PARK CITY, UT 84068
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,330.

RECIPIENT NAME:
CLARKSON UNIVERSITY
DEVELOPMENT OPERATIONS
ADDRESS:
BOX 5510
POTSDAM, NY 13699
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 250,000.

RECIPIENT NAME:
ITALIAN -AMERICAN CHARITY
WCA HOSPITAL
ADDRESS:
207 FOOTE AVENUE
JAMESTOWN, NY 14702
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROJECT/PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:
COMMUNITY SERVINGS
JOAN H. PARKER BUILDING
ADDRESS:
18 MARBURY TERRACE
JAMAICA PLAIN, MA 02130
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 60,000.

RECIPIENT NAME:
BUILD ON
ADDRESS:
PO BOX 16741
STAMFORD, CT 06905
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,700.

RECIPIENT NAME:
THE BREWSTER HISTORICAL SOCIETY
ADDRESS:
PO BOX 1146
BREWSTER, MA 02631
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
FRANCIS OUIMET SCHOLARSHIP FUND, INC.
ADDRESS:
300 ARNOLD PALMER BLVD
NORTON, MA 02766
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,005.

RECIPIENT NAME:
THE JIMMY FUND
DANA-FARBER CANCER INSTITUTE
ADDRESS:
10 BROOKLINE PLACE WEST
BROOKLINE, MA 02445
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
BREWSTER POLICE RELIEF
ASSOCIATION
ADDRESS:
PO BOX 1622
BREWSTER, MA 02631
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
NATIONAL PARK FOUNDATION
ADDRESS:
1110 VERMONT AVENUE, NW
WASHINGTON, DC 20005
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM/PROJECT SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

TOTAL GRANTS PAID: 351,735.
=====



Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Cash & Equivalents									
34,368.6400		CASH	\$1.000	\$34,368.64	0.7%	\$34,368.64			
		Cash & Equivalents - Total		\$34,368.64	0.7%	\$34,368.64			
Fixed Income									
50,000.0000		BANK NEW YORK INC MEDIUM TERM 09/11/14 2.300 09/11/19 OPT CALL 08/11/2019 @ 100.00 CUSIP - 06406HCW7	\$100.824	\$50,412.00	1.0%	\$51,297.01	\$(885.01)	\$1,150.00	2.3%
50,000.0000		CHEVRON CORP 05/16/16 2.566 05/16/23 CUSIP - 166764BK5	\$98.589	\$49,294.50	1.0%	\$51,372.98	\$(2,078.48)	\$1,283.00	2.6%
50,000.0000		DEERE JOHN CAP CORP MEDIUM 02/27/12 2.750 03/15/22 CUSIP - 24422ERM3	\$100.322	\$50,161.00	1.0%	\$52,229.74	\$(2,068.74)	\$1,375.00	2.7%
6,848.7850	HWHDX	HOTCHKIS & WILEY HIGH YIELD I CUSIP - 44134R735	\$12.010	\$82,253.91	1.6%	\$80,003.24	\$2,250.67	\$5,198.23	6.3%
1,500.0000	LQD	ISHARES IBOX \$ INVESTMENT GRADE CORPORATE BOND FUND CUSIP - 464287242	\$117.180	\$175,770.00	3.5%	\$183,896.40	\$(8,126.40)	\$5,868.00	3.3%
350.0000	SHY	ISHARES 1-3 YEAR TREASURY BOND FUND CUSIP - 464287457	\$84.450	\$29,557.50	0.6%	\$29,764.00	\$(206.50)	\$211.05	0.7%
50,000.0000		ORACLE CORP SR GLBL NT 07/07/16 1.900 09/15/21 CUSIP - 68389XBK0	\$97.719	\$48,859.50	1.0%	\$50,462.63	\$(1,603.13)	\$950.00	1.9%
50,000.0000		QUALCOMM INC 05/20/15 3.000 05/20/22 CUSIP - 747525AE3	\$101.329	\$50,664.50	1.0%	\$52,623.21	\$(1,958.71)	\$1,500.00	3.0%
14,282.6360	SITRX	SENTINEL GROUP FDS INC CUSIP - 817270333	\$10.260	\$146,539.85	2.9%	\$149,151.35	\$(2,611.50)	\$3,570.66	2.4%

Investment Account



Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS

(continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Fixed Income									
50,000.0000		SIMON PTY GROUP LP SR NT 01/13/16 2.500 07/15/21 CUSIP - 828807CX3	\$100.095	\$50,047.50	1.0%	\$51,716.45	\$(1,668.95)	\$1,250.00	2.5%
4,223.3960	TGEX	TCW EMERGING MARKETS INCOME CL I CUSIP - 87234N765	\$8.100	\$34,209.51	0.7%	\$34,971.58	\$(762.07)	\$1,672.46	4.9%
50,000.0000		WELLS FARGO & CO 07/22/15 2.600 07/22/20 CUSIP - 949748GM6	\$100.578	\$50,289.00	1.0%	\$51,533.22	\$(1,244.22)	\$1,300.00	2.6%
Fixed Income - Total				\$818,058.77	16.2%	\$839,021.81	\$(20,963.04)	\$25,328.40	3.1%

Equities

800.0000	ALLE	ALLEGION PUB LTD CO ORD SHS CUSIP - G01761109	\$64.000	\$51,200.00	1.0%	\$54,140.88	\$(2,940.88)	\$384.00	0.8%
150.0000	AGN	ALLERGAN PLC SHS ISIN# IE00BY9D5467 SEDOL# BY9D546 CUSIP - G0177J108	\$210.010	\$31,501.50	0.6%	\$37,511.55	\$(6,010.05)	\$420.00	1.3%
690.0000	ACN	ACCENTURE PLC CLASS A CUSIP - G1151C101	\$117.130	\$80,819.70	1.6%	\$68,911.89	\$11,907.81	\$1,669.80	2.1%
700.0000	MDT	MEDTRONIC PLC SEDOL# BTN1Y11 ISIN# IE00BTN1Y115 CUSIP - G5960L103	\$71.230	\$49,861.00	1.0%	\$61,184.76	\$(11,323.76)	\$1,204.00	2.4%
475.0000	CB	CHUBB LIMITED ISIN# CH0044328745 SEDOL# 2DH98C7 CUSIP - H1467J104	\$132.120	\$62,757.00	1.2%	\$61,496.47	\$1,260.53	\$1,311.00	2.1%
1,300.0000	T	AT&T INC CUSIP - 00206R102	\$42.530	\$55,289.00	1.1%	\$53,743.17	\$1,545.83	\$2,548.00	4.6%

Investment Account



Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS									
Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities									

90.0000	GOOG	ALPHABET INC CAP STK CL C CUSIP - 02079K107	\$771.820	\$69,463.80	1.4%	\$60,616.25	\$8,847.55		
125.0000	AMZN	AMAZON.COM INC CUSIP - 023135106	\$749.870	\$93,733.75	1.9%	\$77,053.31	\$16,680.44		
250.0000	AIG	AMERICAN INTL GROUP INC CUSIP - 026874784	\$65.310	\$16,327.50	0.3%	\$13,659.80	\$2,667.70	\$320.00	2.0%
140.0000	BUD	ANHEUSER BUSCH INBEV SA/NV ADR CUSIP - 03524A108	\$105.440	\$14,761.60	0.3%	\$17,108.30	\$(2,346.70)	\$447.44	3.0%
650.0000	ADP	AUTOMATIC DATA PROCESSING INC CUSIP - 053015103	\$102.780	\$66,807.00	1.3%	\$59,188.01	\$7,618.99	\$1,482.00	2.2%
3,400.0000	BAC	BANK AMER CORP CUSIP - 060505104	\$22.100	\$75,140.00	1.5%	\$49,712.50	\$25,427.50	\$1,020.00	1.4%
825.0000	BMJ	BRISTOL MYERS SQUIBB CO CUSIP - 110122108	\$58.440	\$48,213.00	1.0%	\$56,898.92	\$(8,685.92)	\$1,287.00	2.7%
500.0000	CELG	CELGENE CORP CUSIP - 151020104	\$115.750	\$57,875.00	1.1%	\$51,681.06	\$6,193.94		
1,450.0000	C	CTTIGROUP INC CUSIP - 172967424	\$59.430	\$86,173.50	1.7%	\$62,620.35	\$23,553.15	\$928.00	1.1%
1,075.0000	CMCSA	COMCAST CORP CL A CUSIP - 20030N101	\$69.050	\$74,228.75	1.5%	\$58,849.11	\$15,379.64	\$1,182.50	1.6%
750.0000	DHR	DANAHER CORP CUSIP - 235851102	\$77.840	\$58,380.00	1.2%	\$48,518.23	\$9,861.77	\$375.00	0.6%
1,144.0520	DHSDX	DIAMOND HILL SM CAP - I CUSIP - 25264S858	\$34.870	\$39,893.09	0.8%	\$37,993.35	\$1,899.74	\$84.66	0.2%
815.0000	DIS	DISNEY WALT CO CUSIP - 254687106	\$104.220	\$84,939.30	1.7%	\$72,026.59	\$12,912.71	\$1,271.40	1.5%
575.0000	EOG	EOG RES INC	\$101.100	\$58,132.50	1.2%	\$50,780.34	\$7,352.16	\$385.25	0.7%

Investment Account



Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield

(continued)

Equities

300.0000	EWBC	EAST WEST BANCORP INC CUSIP - 27579R104	\$50.830	\$15,249.00	0.3%	\$12,862.47	\$2,386.53	\$240.00	1.6%
385.0000	ECL	ECOLAB INC CUSIP - 278865100	\$117.220	\$45,129.70	0.9%	\$45,040.14	\$89.56	\$569.80	1.3%
650.0000	XOM	EXXON MOBIL CORP CUSIP - 30231G102	\$90.260	\$58,669.00	1.2%	\$59,018.77	\$(349.77)	\$1,950.00	3.3%
525.0000	FB	FACEBOOK INC CUSIP - 30303M102	\$115.050	\$60,401.25	1.2%	\$60,358.11	\$43.14		
7,000.0000	GE	GENERAL ELECTRIC CO CUSIP - 369604103	\$31.600	\$221,200.00	4.4%	\$134,257.53	\$86,942.47	\$6,720.00	3.0%
275.0000	GILD	GILEAD SCIENCES INC CUSIP - 375558103	\$71.610	\$19,692.75	0.4%	\$25,232.86	\$(5,540.11)	\$517.00	2.6%
1,500.0000	HDS	HD SUPPLY HLDGS INC CUSIP - 40416M105	\$42.510	\$63,765.00	1.3%	\$51,905.65	\$11,859.35		
848.2230	HAINX	HARBOR INTERNATIONAL INST CUSIP - 411511306	\$58.410	\$49,544.71	1.0%	\$55,058.29	\$(5,513.58)	\$986.48	2.0%
475.0000	HD	HOME DEPOT INC CUSIP - 437076102	\$134.080	\$63,688.00	1.3%	\$54,759.04	\$8,928.96	\$1,311.00	2.1%
570.0000	HON	HONEYWELL INTL INC CUSIP - 438516106	\$115.850	\$66,034.50	1.3%	\$58,455.71	\$7,578.79	\$1,516.20	2.3%
1,415.0000	IJH	ISHARES CORE S&P MID-CAP ETF CUSIP - 464287507	\$165.340	\$233,956.10	4.6%	\$205,799.58	\$28,156.52	\$3,734.19	1.6%
1,200.0000	IJR	ISHARES CORE S&P SMALL-CAP ETF CUSIP - 464287804	\$137.520	\$165,024.00	3.3%	\$141,206.00	\$23,818.00	\$2,005.20	1.2%
500.0000	SCZ	ISHARES MSCI EAFE SM CAPIDX CUSIP - 464288273	\$49.840	\$24,920.00	0.5%	\$25,030.97	\$(110.97)	\$703.50	2.8%

Investment Account



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Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS (continued)									
Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities									
2,515.0000	IEFA	ISHARES CORE MSCI EAFE CUSIP - 46432F842	\$53.630	\$134,879.45	2.7%	\$129,845.08	\$5,034.37	\$3,996.34	3.0%
4,600.0000	IEMG	ISHARES INC CORE MSCI EMKT ETF CORE MSCI EMERGING MKTS ETF CUSIP - 46434G103	\$42.450	\$195,270.00	3.9%	\$197,558.07	\$(2,288.07)	\$4,443.60	2.3%
400.0000	KHC	KRAFT HEINZ CO CUSIP - 500754106	\$87.320	\$34,928.00	0.7%	\$35,414.00	\$(486.00)	\$960.00	2.7%
600.0000	EL	LAUDER ESTEE COS INC CUSIP - 518439104	\$76.490	\$45,894.00	0.9%	\$55,739.95	\$(9,845.95)	\$816.00	1.8%
700.0000	MA	MASTERCARD INC CUSIP - 57636Q104	\$103.250	\$72,275.00	1.4%	\$57,780.94	\$14,494.06	\$616.00	0.9%
100.0000	MCK	MCKESSON CORPORATION CUSIP - 58155Q103	\$140.450	\$14,045.00	0.3%	\$18,582.07	\$(4,537.07)	\$112.00	0.8%
1,200.0000	MSFT	MICROSOFT CORP CUSIP - 594918104	\$62.140	\$74,568.00	1.5%	\$53,829.55	\$20,738.45	\$1,872.00	2.5%
1,300.0000	MDLZ	MONDELEZ INTL INC CL A CUSIP - 609207105	\$44.330	\$57,629.00	1.1%	\$52,210.55	\$5,418.45	\$988.00	1.7%
1,500.0000	MNST	MONSTER BEVERAGE CORP NEW CUSIP - 61174X109	\$44.340	\$66,510.00	1.3%	\$53,697.18	\$12,812.82		
400.0000	MS	MORGAN STANLEY CUSIP - 617446448	\$42.250	\$16,900.00	0.3%	\$12,337.14	\$4,562.86	\$320.00	1.9%
475.0000	NEE	NEXTERA ENERGY INC CUSIP - 65339F101	\$119.460	\$56,743.50	1.1%	\$61,135.74	\$(4,392.24)	\$1,653.00	2.9%
250.0000	NKE	NIKE INC CUSIP - 654106103	\$50.830	\$12,707.50	0.3%	\$15,445.98	\$(2,738.48)	\$180.00	1.4%
2,439.6160	ODVX	OPPENHEIMER DEVELOPING MARKETS CLASS I CUSIP - 683974604	\$31.960	\$77,970.13	1.5%	\$79,893.53	\$(1,923.40)	\$558.67	0.7%

Investment Account



Investment Account
IMA FOR HEINTZELMAN FAMILY FND

12/01/2016 - 12/31/2016

PORTFOLIO POSITIONS (continued)									
Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield

1,500.0000	PFE	PFIZER INC CUSIP - 717081103	\$32.480	\$48,720.00	1.0%	\$51,240.10	\$(2,520.10)	\$1,920.00	3.9%
575.0000	SLB	SCHLUMBERGER LTD CUSIP - 806857108	\$83.950	\$48,271.25	1.0%	\$47,037.44	\$1,233.81	\$1,150.00	2.4%
200.0000	SHW	SHERWIN WILLIAMS CO CUSIP - 824348106	\$268.740	\$53,748.00	1.1%	\$58,484.02	\$(4,736.02)	\$672.00	1.3%
965.0000	TWX	TIME WARNER INC CUSIP - 887317303	\$96.530	\$93,151.45	1.9%	\$72,147.19	\$21,004.26	\$1,553.65	1.7%
550.0000	TSN	TYSON FOODS INC CUSIP - 902494103	\$61.680	\$33,924.00	0.7%	\$36,374.45	\$(2,450.45)	\$495.00	1.5%
575.0000	UNP	UNION PAC CORP CUSIP - 907818108	\$103.680	\$59,616.00	1.2%	\$53,342.47	\$6,273.53	\$1,391.50	2.3%
535.0000	UNH	UNITEDHEALTH GROUP INC CUSIP - 91324P102	\$160.040	\$85,621.40	1.7%	\$59,344.22	\$26,277.18	\$1,337.50	1.6%
475.0000	VBK	VANGUARD SMALL-CAP GRWTH ETF CUSIP - 922908595	\$133.140	\$63,241.50	1.3%	\$57,672.02	\$5,569.48	\$683.53	1.1%
425.0000	WBA	WALGREENS BOOTS ALLIANCE INC CUSIP - 931427108	\$82.760	\$35,173.00	0.7%	\$28,397.73	\$6,775.27	\$637.50	1.8%
Equities - Total				\$3,644,557.18	72.4%	\$3,270,189.38	\$374,367.80	\$62,929.71	1.7%

Alternative Strategies									
7,031.8470	BSIX	BLACKROCK FDS II STRG OPP INSTL CUSIP - 09256H286	\$9.830	\$69,123.06	1.4%	\$69,998.25	\$(875.19)	\$1,982.98	2.9%
1,922.4620	WMCDX	WILLIAM BLAIR FDS ALLOC I CUSIP - 969251784	\$11.380	\$21,877.62	0.4%	\$24,997.41	\$(3,119.79)	\$494.07	2.3%
Alternative Strategies - Total				\$91,000.68	1.8%	\$94,995.66	\$(3,994.98)	\$2,477.05	2.7%

Investment Account

