



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation THE NORA ROBERTS FAMILY FOUNDATION, INC		A Employer identification number 46-4402908
Number and street (or P.O. box number if mail is not delivered to street address) 7003 GLENN DALE ROAD	Room/suite 622	B Telephone number 301-717-0704
City or town, state or province, country, and ZIP or foreign postal code GLENN DALE, MD 20769		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,157,744. (Part I, column (d) must be on cash basis.)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		107,014.	107,014.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		50,350.			
b Gross sales price for all assets on line 6a		1,028,408.			
7 Capital gain net income (from Part IV, line 2)			50,350.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		157,364.	157,364.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 1		2,750.	2,750.		0.
c Other professional fees STMT 2		43,939.	39,597.		4,342.
17 Interest					
18 Taxes STMT 3		6,792.	2,024.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		2,674.	0.		2,674.
24 Total operating and administrative expenses Add lines 13 through 23		56,155.	44,371.		7,016.
25 Contributions, gifts, grants paid		213,639.			213,639.
26 Total expenses and disbursements. Add lines 24 and 25		269,794.	44,371.		220,655.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-112,430.			
b Net investment income (if negative, enter -0-)			112,993.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	555.	48,609.	48,609.
	2 Savings and temporary cash investments	89,423.	60,323.	60,323.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	162,480.	160,561.	160,694.
	b Investments - corporate stock STMT 6	2,517,452.	2,371,885.	2,680,625.
	c Investments - corporate bonds STMT 7	595,878.	599,851.	599,242.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	686,552.	637,361.	608,251.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,052,340.	3,878,590.	4,157,744.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)	72,022.	0.	
	23 Total liabilities (add lines 17 through 22)	72,022.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,980,318.	3,878,590.	
	30 Total net assets or fund balances	3,980,318.	3,878,590.	
	31 Total liabilities and net assets/fund balances	4,052,340.	3,878,590.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,980,318.
2 Enter amount from Part I, line 27a	2	-112,430.
3 Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	10,702.
4 Add lines 1, 2, and 3	4	3,878,590.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,878,590.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,028,408.		978,058.	50,350.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			50,350.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	50,350.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	167,998.	4,259,574.	.039440
2014	0.	3,557,726.	.000000
2013			
2012			
2011			

2 Total of line 1, column (d)	2	.039440
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.019720
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,112,640.
5 Multiply line 4 by line 3	5	81,101.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,130.
7 Add lines 5 and 6	7	82,231.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	220,655.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,130.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,130.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,130.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,754.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,754.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	624.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☒ 624. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► THE FOUNDATION Telephone no. ► 301-717-0704 Located at ► 7003 GLENN DALE ROAD, NO. 622, GLENN DALE, MD ZIP+4 ► 20769		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELEANOR WILDER	PRESIDENT			
19239 BURNSIDE BRIDGE RD				
KEEDYSVILLE, MD 21756	0.50	0.	0.	0.
KATHRYN PONG	SECRETARY			
6913 GLENN DALE RD				
GLENN DALE, MD 20769	1.50	0.	0.	0.
BRUCE WILDER	DIRECTOR			
19239 BURNSIDE BRIDGE RD				
KEEDYSVILLE, MD 21756	0.50	0.	0.	0.
JASON AUFDEM-BRINKE	TREASURER			
6913 GLENN DALE RD				
GLENN DALE, MD 20769	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

0

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,075,814.
b	Average of monthly cash balances	1b	99,455.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,175,269.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,175,269.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	62,629.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,112,640.
6	Minimum investment return. Enter 5% of line 5	6	205,632.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	205,632.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,130.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,130.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	204,502.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	204,502.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	204,502.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	220,655.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	220,655.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,130.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	219,525.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				204,502.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			211,727.	
b Total for prior years:				
2014 , _____		8,005.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 220,655.				
a Applied to 2015, but not more than line 2a			211,727.	
b Applied to undistributed income of prior years (Election required - see instructions) *		8,005.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				923.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				203,579.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

N/A

- ▶

☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

2016.03040 THE NORA ROBERTS FAMILY FOU 13534-A1

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
BOONSBORO ATHLETIC BOOSTERS 10 CAMPUS AVE BOONSBORO, MD 21713		PC	DONATIONS TO VARIOUS ATHLETIC ORGANIZATIONS WITHIN THE BOONSBORO SCHOOL	5,000.
BOONSBORO VOL AMBULANCE & RESCUE SERVICE INC PO BOX 7 BOONSBORO, MD 21713		PC	TO PROVIDE FUNDING FOR VOLUNTEER AMBULANCE COMPANY	3,000.
BREAST CANCER ANGELS PO BOX 340 LOS ALAMITOS, CA 90720		PC	SERVICE BREAST CANCER PATIENTS IMMEDIATE NEEDS	2,000.
BRUNSWICK ELEMENTARY PARENT-TEACHER ORGANIZATION 400 CENTRAL AVE BRUNSWICK, MD 21716		PC	PROVIDE SUPPORT TO THE CHILDREN AND TEACHERS OF THE BRUNSWICK ELEMENTARY SCHOOL	4,500.
CHILDREN IN NEED 1110 PROFESSIONAL CT STE 300 HAGERSTOWN, MD 21740		PC	PROVIDE ESSENTIAL FOOD, CLOTHING AND EDUCATIONAL SUPPLIES TO OUR CHILDREN IN NEED	5,000.
Total	SEE CONTINUATION SHEET(S)			213,639.
b Approved for future payment				
NONE				
Total				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM SEE ATTACHED		P	01/01/16	12/31/16
b WASH SALE ADJUSTMENT		P	01/01/16	12/31/16
c LONG TERM SEE ATTACHED		P	01/01/15	12/31/16
d WASH SALE ADJUSTMENT		P	01/01/15	12/31/16
e ACCRUED MARKET DISCOUNT ADJUSTMENT		P	01/01/15	12/31/16
f CAPITAL GAINS DIVIDENDS				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 193,222.		188,739.	4,483.
b 55.			55.
c 833,029.		788,890.	44,139.
d 2,101.			2,101.
e		429.	-429.
f 1.			1.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4,483.
b			55.
c			44,139.
d			2,101.
e			-429.
f			1.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	50,350.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV **Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S VILLAGE OF WASHINGTON COUNTY INC 1546 MOUNT AETNA RD HAGERSTOWN, MD 21742		PC	PROVIDE EDUCATION TO SECOND GRADE SCHOOL STUDENTS RELATED TO TRAFFIC, FIRE & OTHER HAZARDS	5,000.
DEFENDERS OF WILDLIFE 1130 17TH STREET, NW WASHINGTON, DC 20036		PC	HABITAT AND SPECIES PROTECTION	10,000.
DISCOVERY THEATER (SMITHSONIAN INSTITUTION) 1000 JEFFERSON DRIVE SW WASHINGTON, DC 20560		PC	PROVIDE LIVE PERFORMANCES TO YOUNG PEOPLE TO EXPERIENCE HERITAGE, SCIENCE AND CULTURE IN A MEMORABLE	5,000.
FAHRNEY-KEEDY MEMORIAL HOME INC 8507 MAPLEVILLE ROAD BOONSBORO, MD 21713		PC	ENHANCING THE LIVES OF SENIORS THROUGH CARING QUALITY SERVICE	7,500.
FIRST HOSE COMPANY OF BOONSBORO INC 5 SAINT PAUL STREET BOONSBORO, MD 21713		PC	PROVIDE FIRE PROTECTION SERVICE	5,000.
FOUR STATES CHRISTIAN MISSIONS INC PO BOX 685 HAGERSTOWN, MD 21741		PC	PROVIDES HELP, HOPE AND OPPORTUNITY TO THOSE IN NEED.	2,000.
FREDERICK RESCUE MISSION INC PO BOX 3389 FREDERICK, MD 21705		PC	PROVIDE A LONG TERM RESIDENTIAL RECOVERY PROGRAM TO THE CHEMICALLY ADDICTED, FOOD TO THE HUNGREY.	2,000.
FRISCO FIRE PREVENTION ASSOCIATION INC PO BOX 9 FRISCO, NC 27936		PC	PROVIDE FIRE SUPPRESSION AND PREVENTION, LIFE SAFETY AND RESCUE SUPPORT TO CITIZENS OF	3,000.
GIRLS ON THE RUN OF FREDERICK CNTY, INC PO BOX 1034 FREDERICK, MD 21702		PC	INSPIRE GIRLS TO BE JOYFUL, HEALTHY, AND CONFIDENT USING A FUN, EXPERIENCE-BASED CURRICULUM WHICH	1,000.
GIRLS ON THE RUN OF WASHINGTON COUNTY 13806 EMERSON DRIVE HAGERSTOWN, MD 21742		PC	YOUTH PROGRAMMING	2,250.
Total from continuation sheets				194,139.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GLENN DALE FIRE ASSOCIATION INC PO BOX 249 GLENN DALE, MD 20769		PC	PROVIDE FIRE, RESCUE, AND EMERGENCY MEDICAL SERVICES	5,000.
HAGERSTOWN AREA RELIGIOUS COUNCIL PO BOX 1158 HAGERSTOWN, MD 21741		PC	FOSTER A COMMUNITY -WIDE CITILITY THAT LIFTS PEOPLE OUT OF POVERTY AND IMPROVES THE QUALITY OF LIFE	7,500.
HATTERAS ISLAND OCEAN CENTER PO BOX 276 HATTERAS, NC 27943		PC	FACILITATING USE OF CAPE HATTERAS NATIONAL SEASHORE REC AREA FOR RECREATION, ENJOYMENT AND EDUCATION.	10,000.
HATTERAS ISLAND RESCUE SQUAD INC PO BOX 639 BUXTON, NC 27920		PC	PROVIDE EMERGENCY MEDICAL SERVICES, MARINE RESCUE OPERATIONS, DISTASTER AND GENERAL SUPPORT	1,000.
HOSPICE OF WASHINGTON COUNTY INC 747 NORTHERN AVE HAGERSTOWN, MD 21742		PC	PROVIDE QUALITY END OF LIFE CARE TO PATIENTS AND LOVED ONES	32,500.
INDIVIDUAL FAMILIES IN NEED		I	ASSIST FAMILIES IN NEED AROUND THE HOLIDAY SEASON	6,889.
KABOOM! INC 4301 CONNECTICUT AVE NW WASHINGTON, DC 20008		PC	PROVIDE SUPPORT TO KIDS GROWING UP IN POVERTY	5,000.
MARYLAND FOOD BANK INC 2200 HALETHORPE FARMS ROAD SW BALTIMORE, MD 21227		PC	LEAD THE MOVEMENT AND NURTURE THE BELIEF THAT TOGETHER WE CAN IMPROVE THE LIVES BY ENDING HUNGER	10,000.
ONLY MAKE BELIEVE INC 121 WEST 27TH STREET NEW YORK, NY 10001		PC	CREATE AND PERFORM INTERACTIVE THEATER FOR CHILDREN IN HOSPITALS AND CARE FACILITIES	6,000.
OPERATION WARM, INC 6 DICKINSON DRIVE CHADDS FORD, PA 19317		PC	PROVIDES RESOURCES FOR INDIGENT CHILDREN	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROJECT CAMP INC DBA THE CENTER FOR COURAGEOUS KIDS 1501 BURNLEY RD SCOTTSVILLE, KY 42164		PC	CAMP PROGRAM FOR SERIOUSLY ILL CAMPERS AND FAMILY MEMBERS	5,000.
RADIO HATTERAS INC PO BOX 339 FRISCO, NC 27936		PC	PROVIDE RADIO BROADCAST TO ENHANCE THE SAFETY AND QUALITY OF LIFE ON ISLAND RESIDENTS AND VISITORS	1,000.
SALVATION ARMY 1424 NE EXPRESS WAY ATLANTA, GA 30329		PC	TO PREACH THE GOSPEL OF JESUS CHRIST AND TO MEET HUMAN NEEDS IN HIS NAME WITHOUT DISCRIMINATION.	7,500.
SAN MAR CHILDREN'S HOME INC 8504 MAPLEVILLE ROAD BOONSBORO, MD 21713		PC	PROVIDE APPROPRIATE STRUCTURE, HEALTHY NURTURE, AND ABUNDANT OPPORTUNITIES TO CHILDREN	3,000.
SHARPSBURG AREA EMERGENCY MEDICAL SERVICE INC PO BOX 307 SHARPSBURG, MD 21782		PC	VOLUNTEER AMBULANCE/RESCUE SERVICE	5,000.
SHARPSBURG VOLUNTEER FIRE CO PO BOX 297 SHARPSBURG, MD 21782		PC	VOLUNTEER FIRE DEPARTMENT	5,000.
TAILS OF HOPE SANCTUARY INC PO BOX 875 MOUNTY AIRY, MD 21771		PC	TO SIGNIFICANTLY REDUCE THE NUMBER OF HOMELESS AND EUTHANIZED DOGS AND CATS.	5,000.
THE CARTER CENTER INC 453 FREEDOM PARKWAY ATLANTA, GA 303071496		PC	ADVANCING HUMAN RIGHTS AND ALLEVIATING UNNECESSARY HUMAN SUFFERING	2,000.
THE CHILDREN'S TREE HOUSE DEVELOPMENT CENTER INC 698 CONSERVATION WAY SHEPHERDSTOWN, WV 25443		PC	CHILD DEVELOPMENT AND DAYCARE	5,000.
THE COSTEAU SOCIETY INC 732 EDEN WAY NORTH SUITE E 707 CHESAPEAKE, VA 233202798		PC	PROTECTION AND IMPROVEMENT OF THE QUALITY OF LIFE FOR PRESENT AND FUTURE GENERATIONS	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)[illegible]

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - DISCOVERY THEATER (SMITHSONIAN INSTITUTION)

PROVIDE LIVE PERFORMANCES TO YOUNG PEOPLE TO EXPERIENCE HERITAGE,
SCIENCE AND CULTURE IN A MEMORABLE WAY

NAME OF RECIPIENT - FREDERICK RESCUE MISSION INC

PROVIDE A LONG TERM RESIDENTIAL RECOVERY PROGRAM TO THE CHEMICALLY
ADDICTED, FOOD TO THE HUNGREY, CLOTHING AND FURNITURE TO THE NEEDY

NAME OF RECIPIENT - FRISCO FIRE PREVENTION ASSOCIATION INC

PROVIDE FIRE SUPPRESSION AND PREVENTION, LIFE SAFETY AND RESCUE SUPPORT
TO CITIZENS OF FRISCO AND SURROUNDING COMMUNITIES

NAME OF RECIPIENT - GIRLS ON THE RUN OF FREDERICK CNTY, INC

INSPIRE GIRLS TO BE JOYFUL, HEALTHY, AND CONFIDENT USING A FUN,
EXPERIENCE-BASED CURRICULUM WHICH CREATIVELY INTEGRATES RUNNING

NAME OF RECIPIENT - HAGERSTOWN AREA RELIGIOUS COUNCIL

FOSTER A COMMUNITY -WIDE CITILITY THAT LIFTS PEOPLE OUT OF POVERTY AND
IMPROVES THE QUALITY OF LIFE FOR ALL



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 6

Income for 2016

A schedule of your current year sales is shown below. The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (included in Net G/L)." For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (included in Net G/L)."

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor.

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation

- † - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure
- * - Tax Cost has been adjusted for current year's return of capital
- ◇ - Section 988 translation gains or losses

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABIOMED INC 003654100											
07/27/2016		10/21/2015		16 00	\$1,846.15	\$1,477.23	\$368.92	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016		10/21/2015		5 00	\$632.02	\$461.63	\$170.39	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016		11/10/2015		8 00	\$1,011.25	\$648.00	\$363.25	\$0.00	\$0.00	\$0.00	\$0.00
09/23/2016		11/10/2015		8 00	\$1,001.06	\$647.99	\$353.07	\$0.00	\$0.00	\$0.00	\$0.00
11/09/2016		02/04/2016		11 00	\$1,163.31	\$1,008.55	\$154.76	\$0.00	\$0.00	\$0.00	\$0.00
11/09/2016		06/09/2016		6 00	\$634.54	\$624.82	\$9.72	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 7

Short Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
ACADIA HEALTHCARE CO INC		00404A109							
08/02/2016	06/09/2016	2.00	\$102.90	\$125.72	\$-22.82	\$0.00	\$0.00	\$0.00	\$0.00
ACADIA PHARMACEUTICALS INC		004225108							
10/06/2016	01/08/2016	19.00	\$525.88	\$538.47	\$-12.59	\$0.00	\$0.00	\$0.00	\$0.00
10/06/2016	06/09/2016	3.00	\$83.04	\$123.10	\$-40.06	\$0.00	\$0.00	\$0.00	\$0.00
ADEPTUS HEALTH INC SHS		006855100							
11/03/2016	01/14/2016	39.00	\$326.14	\$1,930.05	\$-1,603.91	\$0.00	\$0.00	\$0.00	\$0.00
ADVANCED MICRO DEVICES INC		007903107							
12/07/2016	11/04/2016	9.00	\$86.61	\$60.15	\$26.46	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	11/04/2016	5.00	\$59.44	\$33.42	\$26.02	\$0.00	\$0.00	\$0.00	\$0.00
AIMMUNE THERAPEUTICS INC		00900T107							
12/07/2016	01/22/2016	5.00	\$113.56	\$77.20	\$36.36	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	01/22/2016	3.00	\$62.51	\$46.32	\$16.19	\$0.00	\$0.00	\$0.00	\$0.00
AIR METHODS CORP PAR \$.06		009128307							
11/02/2016	12/14/2015	38.00	\$951.63	\$1,566.00	\$-614.37	\$0.00	\$0.00	\$0.00	\$0.00
11/02/2016	06/09/2016	5.00	\$125.22	\$182.98	\$-57.76	\$0.00	\$0.00	\$0.00	\$0.00
ANACOR PHARMACEUTICALS INC		032420101							
06/29/2016	06/09/2016	3.00	\$297.75	\$297.48	\$0.27	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 8

Short Term Transactions

DESCRIPTION		CUSIP	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)				Portion Subject to 28% Rates (included in Net G/L)			
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ARM HLDGS PLC										
042068106										
09/22/2016	04/19/2016	38 00	\$2,530 87	\$1,591 38	\$939 49	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
09/22/2016	04/20/2016	5 00	\$333 02	\$208 89	\$124 13	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
BG PLC										
055434203										
01/29/2016	02/02/2015	79 00	\$1,171 26	\$1,111 37	\$59 89	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
01/29/2016	06/08/2015	26 00	\$385 48	\$440 44	\$-54 96	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
BANK OF THE OZARKS INC										
063904106										
12/07/2016	08/10/2016	4 00	\$199 54	\$147 87	\$51 67	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	08/10/2016	2 00	\$108 53	\$73 94	\$34 59	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
BAXALTA INC SHS WHEN										
07177M103										
06/02/2016	06/08/2015	48 00	\$2,209 64	\$1,434 33	\$775 31	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
06/02/2016	10/22/2015	257 00	\$11,830 82	\$8,094 45	\$3,736 37	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
06/02/2016	10/23/2015	250 00	\$11,508 59	\$8,104 88	\$3,403 71	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
BRUNSWICK CORPORATION COMMON										
117043109										
10/27/2016	06/09/2016	4 00	\$174 16	\$190 52	\$-16 36	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
CALIFORNIA RESOURCES										
13057Q107										
04/04/2016	06/08/2015	0 64	\$0 67	\$0 87	\$-0 20	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
04/08/2016	06/08/2015	8 00	\$9 76	\$11 06	\$-1 30	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 9

Short Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
CAMPING WORLD HOLDINGS 13462K109									
12/07/2016	11/11/2016	3.00	\$82.99	\$72.12	\$10.87	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	11/11/2016	1.00	\$32.38	\$24.04	\$8.34	\$0.00	\$0.00	\$0.00	\$0.00
CASEYS GEN STORES INC COM 147528103									
06/09/2016	12/08/2015	1.00	\$119.49	\$126.39	\$-6.90	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	12/08/2015	1.00	\$123.61	\$126.39	\$-2.78	\$0.00	\$0.00	\$0.00	\$0.00
CENTENE CORP DEL 15135B101									
11/10/2016	06/09/2016	4.00	\$222.99	\$271.65	\$-48.66	\$0.00	\$0.00	\$0.00	\$0.00
CERNER CORP COM 156782104									
06/09/2016	11/20/2015	22.00	\$1,248.49	\$1,291.11	\$-42.62	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016	11/20/2015	6.00	\$316.89	\$352.12	\$-35.23	\$0.00	\$0.00	\$0.00	\$0.00
CHINA CONSTRUCT UNSPN AD 168919108									
01/21/2016	06/08/2015	8.00	\$92.30	\$163.11	\$-70.81	\$0.00	\$0.00	\$0.00	\$0.00
COTIVITI HOLDINGS INC 22164K101									
12/07/2016	06/01/2016	4.00	\$133.07	\$75.41	\$57.66	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	06/01/2016	2.00	\$70.99	\$37.71	\$33.28	\$0.00	\$0.00	\$0.00	\$0.00
CYNOSURE INC VOTING COM 232577205									
12/07/2016	11/21/2016	1.00	\$44.56	\$44.86	\$-0.30	\$0.00	\$0.30	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 10

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CYNOSURE INC VOTING COM 232577205											
12/27/2016		11/21/2016		1 00	\$44 54	\$44 86	\$-0 32	\$0 00	\$0 00	\$0 00	\$0 00
DEERE & COMPANY 244199105											
12/07/2016		09/26/2016		3 00	\$308 59	\$250 46	\$58 13	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		09/26/2016		3 00	\$310 29	\$250 46	\$59 83	\$0 00	\$0 00	\$0 00	\$0 00
DEMANDWARE INC 24802Y105											
07/18/2016		06/09/2016		1 00	\$75 01	\$74 77	\$0 24	\$0 00	\$0 00	\$0 00	\$0 00
DEUTSCHE BANK AG 25152R2U6											
04/11/2016		11/16/2015		15,000 00	\$14,953 50	\$15,045 96	\$-92 46	\$0 00	\$0 00	\$0 00	\$0 00
DEUTSCHE BANK AG 25152R2X0											
09/30/2016		04/11/2016		7,000 00	\$6,768 86	\$6,978 02	\$-209 16	\$0 00	\$0 00	\$0 00	\$0 00
DYAX CORP 26746E103											
01/22/2016		09/21/2015		80 00	\$2,984 00	\$1,936 25	\$1,047 75	\$0 00	\$0 00	\$0 00	\$0 00
01/22/2016		09/22/2015		6 00	\$223 80	\$142 46	\$81 34	\$0 00	\$0 00	\$0 00	\$0 00
ELLIE MAE INCCOM 28849P100											
12/07/2016		05/04/2016		1 00	\$83 67	\$77 88	\$5 79	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		05/04/2016		1 00	\$84 28	\$77 88	\$6 40	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 11

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ENANTA PHARMACEUTICALS 29251M106											
09/01/2016		06/09/2016		2.00	\$43.71	\$47.54	\$-3.83	\$0.00	\$0.00	\$0.00	\$0.00
EVERI HOLDINGS INC 30034T103											
03/24/2016		01/08/2016		127.00	\$279.68	\$517.25	\$-237.57	\$0.00	\$0.00	\$0.00	\$0.00
03/24/2016		01/11/2016		34.00	\$74.88	\$138.64	\$-63.76	\$0.00	\$0.00	\$0.00	\$0.00
03/28/2016		01/11/2016		72.00	\$158.40	\$293.60	\$-135.20	\$0.00	\$0.00	\$0.00	\$0.00
03/28/2016		01/12/2016		84.00	\$184.81	\$330.86	\$-146.05	\$0.00	\$0.00	\$0.00	\$0.00
03/28/2016		01/13/2016		6.00	\$13.21	\$23.32	\$-10.11	\$0.00	\$0.00	\$0.00	\$0.00
03/29/2016		01/13/2016		118.00	\$248.93	\$458.69	\$-209.76	\$0.00	\$0.00	\$0.00	\$0.00
EXACT SCIENCES CORP 30063P105											
12/07/2016		08/22/2016		2.00	\$28.80	\$41.46	\$-12.66	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		08/22/2016		3.00	\$42.44	\$62.19	\$-19.75	\$0.00	\$0.00	\$0.00	\$0.00
FANUC LTD-UNSP 307305102											
11/15/2016		06/09/2016		0.67	\$12.09	\$12.19	\$-0.10	\$0.00	\$0.00	\$0.00	\$0.00
FINISAR CORPORATION 31787A507											
10/31/2016		06/09/2016		7.00	\$194.17	\$122.64	\$71.53	\$0.00	\$0.00	\$0.00	\$0.00
FORTINET INC 34959E109											
11/21/2016		06/09/2016		9.00	\$273.90	\$312.55	\$-38.65	\$0.00	\$0.00	\$0.00	\$0.00



Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GRACO INC 384109104											
12/07/2016		08/01/2016		1.00	\$83.76	\$73.74	\$10.02	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		08/01/2016		1.00	\$84.04	\$73.74	\$10.30	\$0.00	\$0.00	\$0.00	\$0.00
HAIN CELESTIAL GROUP INC 405217100											
08/18/2016		06/09/2016		3.00	\$113.88	\$150.34	\$-36.46	\$0.00	\$0.00	\$0.00	\$0.00
08/18/2016		07/15/2016		32.00	\$1,214.82	\$1,673.58	\$-458.76	\$0.00	\$0.00	\$0.00	\$0.00
HOUGHTON MIFFLIN 44157R109											
11/04/2016		06/09/2016		6.00	\$56.46	\$105.24	\$-48.78	\$0.00	\$0.00	\$0.00	\$0.00
INDUSTRL AND COMMRL BNK 455807107											
01/21/2016		06/08/2015		10.00	\$98.76	\$173.36	\$-74.60	\$0.00	\$0.00	\$0.00	\$0.00
INFINERA CORP 45667G103											
12/07/2016		05/23/2016		5.00	\$42.05	\$63.67	\$-21.62	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		05/23/2016		3.00	\$23.50	\$38.20	\$-12.70	\$0.00	\$0.00	\$0.00	\$0.00
JOHNSON CONTROLS INC 478366107											
09/01/2016		06/09/2016		89.00	\$3,938.99	\$3,962.10	\$-23.11	\$0.00	\$0.00	\$0.00	\$0.00
KB HOME 48666K109											
01/15/2016		02/05/2015		163.00	\$1,647.69	\$2,125.50	\$-477.81	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 13

Short Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
LAFARGEHOLCIM LTD SHS 50586V108										
	12/07/2016	03/24/2016	5.00	\$53.79	\$43.54	\$10.25	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	03/24/2016	3.00	\$31.23	\$26.12	\$5.11	\$0.00	\$0.00	\$0.00	\$0.00
LEXICON PHARMACEUTICALS 528872302										
	06/09/2016	02/03/2016	24.00	\$349.43	\$222.48	\$126.95	\$0.00	\$0.00	\$0.00	\$0.00
	12/07/2016	02/03/2016	1.00	\$15.63	\$9.27	\$6.36	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	02/03/2016	3.00	\$45.30	\$27.81	\$17.49	\$0.00	\$0.00	\$0.00	\$0.00
LIGAND PHARMACEUTICALS 53220K504										
	12/27/2016	11/11/2016	1.00	\$103.46	\$106.60	\$-3.14	\$0.00	\$0.00	\$0.00	\$0.00
MGIC INV'T CORP WIS COM 552848103										
	07/06/2016	10/20/2015	95.00	\$540.87	\$917.11	\$-376.24	\$0.00	\$0.00	\$0.00	\$0.00
	07/06/2016	06/09/2016	15.00	\$85.41	\$97.48	\$-12.07	\$0.00	\$0.00	\$0.00	\$0.00
MARINEMAX INC 567908108										
	12/07/2016	06/21/2016	7.00	\$146.99	\$114.37	\$32.62	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	06/21/2016	3.00	\$58.87	\$49.02	\$9.85	\$0.00	\$0.00	\$0.00	\$0.00
MARKS & SPENCER GROUP PLC CASH IN LIEU 570912105										
	05/23/2016	06/08/2015	7.00	\$89.64	\$119.28	\$-29.64	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 14

Short Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
NEUROCRINE BIOSCIENCES INC 64125C109										
	11/09/2016	06/09/2016	2.00	\$101.31	\$102.44	\$-1.13	\$0.00	\$0.00	\$0.00	\$0.00
NOVAVAX INC 670002104										
	09/16/2016	02/04/2016	375.00	\$571.75	\$1,810.95	\$-1,239.20	\$0.00	\$0.00	\$0.00	\$0.00
	09/16/2016	06/09/2016	7.00	\$10.68	\$47.81	\$-37.13	\$0.00	\$0.00	\$0.00	\$0.00
OLLIES BARGAIN OUTLET 681116109										
	12/07/2016	06/10/2016	4.00	\$129.64	\$98.34	\$31.30	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	06/10/2016	1.00	\$30.07	\$24.58	\$5.49	\$0.00	\$0.00	\$0.00	\$0.00
OPHTHOTECH CORP SHS 683745103										
	12/13/2016	06/09/2016	3.00	\$16.13	\$172.47	\$-156.34	\$0.00	\$0.00	\$0.00	\$0.00
PENN NATL GAMING INC 707569109										
	12/07/2016	07/22/2016	9.00	\$127.18	\$125.00	\$2.18	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	07/22/2016	5.00	\$70.84	\$69.45	\$1.39	\$0.00	\$0.00	\$0.00	\$0.00
PLANET FITNESS INC CL A 72703H101										
	12/07/2016	06/23/2016	7.00	\$143.92	\$113.87	\$30.05	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	06/23/2016	2.00	\$39.78	\$32.54	\$7.24	\$0.00	\$0.00	\$0.00	\$0.00
PORTOLA PHARMACEUTICALS 737010108										
	08/18/2016	06/09/2016	2.00	\$38.94	\$54.01	\$-15.07	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 15

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PROGENICS PHARMACEUTICALS INC 743187106											
12/07/2016		11/15/2016		1 00	\$8 74	\$7 73	\$1 01	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		11/15/2016		7 00	\$66 07	\$54 13	\$11 94	\$0 00	\$0 00	\$0 00	\$0 00
PROTO LABS INC SHS 743713109											
10/26/2016		06/09/2016		1 00	\$51 25	\$66 85	\$-15 60	\$0 00	\$0 00	\$0 00	\$0 00
REGENERON PHARMACEUTICALS INC 75886F107											
12/27/2016		07/29/2016		1 00	\$388 69	\$416 99	\$-28 30	\$0 00	\$28 30	\$0 00	\$0 00
RITCHIE BROS AUCTIONEERS INC 767744105											
12/07/2016		08/31/2016		2 00	\$77 70	\$69 32	\$8 38	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		08/31/2016		1 00	\$34 49	\$34 66	\$-0 17	\$0 00	\$0 00	\$0 00	\$0 00
ROYAL DUTCH SHEL PLC 780259107											
12/07/2016		01/28/2016		3 00	\$168 18	\$130 33	\$37 85	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		01/28/2016		1 00	\$57 94	\$43 44	\$14 50	\$0 00	\$0 00	\$0 00	\$0 00
SAREPTA THERAPEUTICS INC 803607100											
12/07/2016		09/21/2016		1 00	\$34 34	\$56 40	\$-22 06	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		09/21/2016		1 00	\$28 99	\$56 40	\$-27 41	\$0 00	\$0 00	\$0 00	\$0 00
SERITAGE GROWTH PPTYS 81752R100											
12/07/2016		02/05/2016		2 00	\$95 61	\$81 03	\$14 58	\$0 00	\$0 00	\$0 00	\$0 00



2016 Tax Information Letter

Account Number 64174003

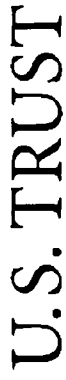
Page 16

THE NORA ROBERTS FAM FDN INC

Bank of America Private Wealth Management

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SERITAGE GROWTH PTYS											
	12/27/2016	02/05/2016	1 00	81752R100	\$43 76	\$40 52	\$3 24	\$0 00	\$0 00	\$0 00	\$0 00
SHIRE PHARMACEUTICALS GROUP											
	12/27/2016	06/29/2016	1 00	82481R106	\$169 60	\$179 34	\$-9 74	\$0 00	\$0 00	\$0 00	\$0 00
SNYDERS LANCE INC											
	12/07/2016	07/08/2016	3 00	833551104	\$112 61	\$105 30	\$7 31	\$0 00	\$0 00	\$0 00	\$0 00
	12/27/2016	07/08/2016	1 00		\$38 40	\$35 10	\$3 30	\$0 00	\$0 00	\$0 00	\$0 00
SPROUTS FARMERS MARKETS											
	12/07/2016	07/11/2016	4 00	85208M102	\$82 76	\$94 83	\$-12 07	\$0 00	\$0 00	\$0 00	\$0 00
	12/27/2016	07/11/2016	1 00		\$19 50	\$23 71	\$-4 21	\$0 00	\$0 00	\$0 00	\$0 00
TAKE-TWO INTERACTIVE SOFTWARE											
	12/07/2016	08/31/2016	2 00	874054109	\$97 47	\$86 95	\$10 52	\$0 00	\$0 00	\$0 00	\$0 00
	12/27/2016	08/31/2016	1 00		\$50 06	\$43 47	\$6 59	\$0 00	\$0 00	\$0 00	\$0 00
TEAM HEALTH HOLDINGS INC											
	12/12/2016	06/09/2016	2 00	87817A107	\$85 00	\$90 50	\$-5 50	\$0 00	\$0 00	\$0 00	\$0 00
TESARO INC											
	12/27/2016	10/11/2016	1 00	881569107	\$137 29	\$116 19	\$21 10	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 17

Short Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
TUMI HL DGS INC										
			89969Q104							
08/02/2016	06/09/2016	9.00		\$240.76	\$240.45	\$0.31	\$0.00	\$0.00	\$0.00	\$0.00
U S TREASURY BD STRIPPED										
			912803AP8							
05/20/2016	06/15/2015	4,000.00		\$3,911.57	\$3,885.25	\$26.32	\$0.00	\$0.00	\$0.00	\$0.00
06/02/2016	06/15/2015	6,000.00		\$5,870.22	\$5,830.31	\$39.91	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY BOND										
			912810FT0							
11/07/2016	06/17/2016	10,000.00		\$13,578.09	\$14,097.38	\$-519.29	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY BOND										
			912810QB7							
11/07/2016	10/07/2016	4,000.00		\$5,262.95	\$5,362.42	\$-99.47	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY NOTE										
			912828N48							
03/30/2016	01/26/2016	14,000.00		\$14,313.31	\$14,206.24	\$107.07	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY NOTE										
			912828P46							
06/14/2016	05/02/2016	15,000.00		\$14,997.60	\$14,678.91	\$318.69	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY NOTE										
			912828R36							
07/15/2016	06/02/2016	5,000.00		\$5,023.22	\$4,914.48	\$108.74	\$0.00	\$0.00	\$0.00	\$0.00
07/20/2016	06/02/2016	2,000.00		\$2,008.35	\$1,965.79	\$42.56	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY NOTE										
			912828S76							
08/17/2016	08/05/2016	7,000.00		\$6,991.77	\$7,001.66	\$-9.89	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 18

Short Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
U.S. TREASURY NOTE										
	08/22/2016	08/05/2016	8,000.00	\$7,995.60	\$8,001.88	\$-6.28	\$0.00	\$0.00	\$0.00	\$0.00
	11/02/2016	09/30/2016	7,000.00	\$6,933.81	\$6,991.27	\$-57.46	\$0.00	\$0.00	\$0.00	\$0.00
U.S. TREASURY NOTE										
	11/02/2016	10/03/2016	13,000.00	\$12,869.45	\$12,968.05	\$-98.60	\$0.00	\$0.00	\$0.00	\$0.00
UNIVERSAL DISPLAY CORP										
	09/01/2016	07/07/2016	31.00	\$1,769.70	\$2,114.53	\$-344.83	\$0.00	\$0.00	\$0.00	\$0.00
WASTE CONNECTIONS INC										
	12/07/2016	06/02/2016	6.00	\$459.70	\$398.43	\$61.27	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	06/02/2016	4.00	\$317.43	\$265.02	\$51.81	\$0.00	\$0.00	\$0.00	\$0.00
WHITEWAVE FOODS CO										
	11/10/2016	06/09/2016	3.00	\$161.86	\$138.06	\$23.80	\$0.00	\$0.00	\$0.00	\$0.00
XEROX CORPORATION COM										
	12/30/2016	06/09/2016	228.00	\$1,995.73	\$2,306.81	\$-311.08	\$0.00	\$0.00	\$0.00	\$0.00
ZILLOW GROUP INC SHS										
	10/31/2016	06/09/2016	1.00	\$33.55	\$32.30	\$1.25	\$0.00	\$0.00	\$0.00	\$0.00
AMBARELLA INC										
	12/07/2016	10/18/2016	2.00	\$113.84	\$130.31	\$-16.47	\$0.00	\$16.47	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 19

Short Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMBARELLA INC											
	G037AX101										
12/27/2016		10/18/2016		1 00	\$55 09	\$65 16	\$-10 07	\$0 00	\$10 07	\$0 00	\$0 00
HORIZON PHARMA PLC											
	G4617B105										
12/07/2016		09/14/2016		3 00	\$59 19	\$59 45	\$-0 26	\$0 00	\$0 00	\$0 00	\$0 00
12/09/2016		09/14/2016		86 00	\$1,268 15	\$1,704 30	\$-436 15	\$0 00	\$0 00	\$0 00	\$0 00
STERIS PLC SHS											
	G84720104										
09/15/2016		11/04/2015		29 00	\$2,041 19	\$2,190 81	\$-149 62	\$0 00	\$0 00	\$0 00	\$0 00
09/15/2016		12/23/2015		13 00	\$915 02	\$977 35	\$-62 33	\$0 00	\$0 00	\$0 00	\$0 00
09/15/2016		06/09/2016		2 00	\$140 78	\$143 60	\$-2 82	\$0 00	\$0 00	\$0 00	\$0 00
UBS GROUP AG NAMEN-AKT											
	H42097107										
05/17/2016		05/17/2016		369 07	\$369 07	\$369 07	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
NXP SEMICONDUCTORS N.V.											
	N6596X109										
10/21/2016		08/23/2016		21 00	\$2,121 25	\$1,868 14	\$253 11	\$0 00	\$0 00	\$0 00	\$0 00
PATHEON N V SHS											
	N6865W105										
12/07/2016		09/30/2016		2 00	\$55 25	\$59 89	\$-4 64	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		09/30/2016		1 00	\$28 55	\$29 94	\$-1 39	\$0 00	\$0 00	\$0 00	\$0 00
Total Short Term Gain/Loss					\$193,221 98	\$188,739 01	\$4,482 97	\$0 00	\$55 14	\$0 00	\$0 00

THE NORA ROBERTS FAM FDN INC

Account Number 64174003

Page 20

DESCRIPTION

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AOL TIME WARNER INC									
12/14/2016	02/20/2014	11000	\$14,771.02	\$13,979.39	\$791.63	\$0.00	\$0.00	\$0.00	\$0.00
AT & T INC									
06/09/2016	02/19/2014	94	\$3,736.55	\$3,107.63	\$628.92	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	02/19/2014	3	\$120.42	\$99.18	\$21.24	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	25	\$1,065.32	\$826.50	\$238.82	\$0.00	\$0.00	\$0.00	\$0.00
ACADIA HEALTHCARE CO INC									
08/02/2016	07/18/2014	24	\$1,234.68	\$1,098.77	\$135.91	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016	07/21/2014	19	\$977.45	\$871.90	\$105.55	\$0.00	\$0.00	\$0.00	\$0.00
ACADIA PHARMACEUTICALS INC									
10/06/2016	07/16/2015	45	\$1,245.49	\$2,260.23	\$-1,014.74	\$0.00	\$0.00	\$0.00	\$0.00
ACCELERON PHARMA INC SHS									
12/27/2016	12/21/2015	1	\$27.30	\$47.68	\$-20.38	\$0.00	\$0.00	\$0.00	\$0.00
ACCOR SA SHS									
12/07/2016	02/19/2014	14	\$104.71	\$141.96	\$-37.25	\$0.00	\$0.00	\$0.00	\$0.00
ACORDA THERAPEUTICS INC									
12/07/2016	02/19/2014	2	\$41.00	\$70.84	\$-29.84	\$0.00	\$0.00	\$0.00	\$0.00
12/23/2016	02/19/2014	51	\$916.94	\$1,806.42	\$-889.48	\$0.00	\$0.00	\$0.00	\$0.00

Bank of America Private Wealth Management THE NORA ROBERTS FAM FDN INC

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ACORDA THERAPEUTICS INC 00484M106											
12/27/2016		02/19/2014		2	\$37.62	\$70.84	\$-33.22	\$0.00	\$0.00	\$0.00	\$0.00
ACUITY BRANDS INC 00508Y102											
04/08/2016		02/19/2014		9	\$2,239.04	\$1,208.61	\$1,030.43	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		1	\$252.22	\$134.29	\$117.93	\$0.00	\$0.00	\$0.00	\$0.00
ADVISORY BRD CO 00762W107											
12/07/2016		03/12/2014		2	\$65.71	\$131.75	\$-66.04	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		03/12/2014		1	\$32.99	\$65.88	\$-32.89	\$0.00	\$0.00	\$0.00	\$0.00
AIR METHODS CORP PAR \$06 009128307											
11/02/2016		08/04/2015		14	\$350.60	\$555.15	\$-204.55	\$0.00	\$0.00	\$0.00	\$0.00
11/02/2016		08/05/2015		41	\$1,026.76	\$1,644.99	\$-618.23	\$0.00	\$0.00	\$0.00	\$0.00
AIR LEASE CORP CL A 00912X302											
12/07/2016		09/25/2015		2	\$72.91	\$66.02	\$6.89	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		09/25/2015		1	\$35.13	\$33.01	\$2.12	\$0.00	\$0.00	\$0.00	\$0.00
AKEBIA THERAPEUTICS INC 00972D105											
12/07/2016		10/19/2015		4	\$32.93	\$43.21	\$-10.28	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		10/19/2015		5	\$52.59	\$54.01	\$-1.42	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 22

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AKZO NOBEL N V ADR 010199305											
12/07/2016		02/19/2014		6	\$126.41	\$167.46	\$-41.05	\$0.00	\$0.00	\$0.00	\$0.00
ALDER BIOPHARMACEUTICALS 014339105											
12/27/2016		01/16/2015		2	\$43.99	\$59.69	\$-15.70	\$0.00	\$0.00	\$0.00	\$0.00
ALIBABA GROUP HOLDING LT 01609W102											
06/09/2016		09/19/2014		64	\$4,929.13	\$5,955.30	\$-1,026.17	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016		09/19/2014		6	\$588.65	\$558.31	\$30.34	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		09/19/2014		5	\$457.33	\$465.26	\$-7.93	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		09/19/2014		7	\$613.64	\$651.36	\$-37.72	\$0.00	\$0.00	\$0.00	\$0.00
ALLIANZ AKTIENGESELLSCHAFT 018805101											
12/07/2016		02/19/2014		5	\$83.54	\$89.05	\$-5.51	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$32.69	\$35.62	\$-2.93	\$0.00	\$0.00	\$0.00	\$0.00
ALLSTATE CORP COM 020002101											
06/09/2016		02/19/2014		12	\$813.46	\$625.03	\$188.43	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		12	\$855.14	\$625.03	\$230.11	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		14	\$1,042.98	\$729.20	\$313.78	\$0.00	\$0.00	\$0.00	\$0.00
ALPHABET INC SHS CL C 02079K107											
06/09/2016		02/19/2014		4	\$2,905.57	\$2,401.53	\$504.04	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 23

Long Term Transactions

DESCRIPTION		CUSIP				Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)			Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed			
ALPHABET INC SHS CL C 02079K107										
11/04/2016	02/19/2014	1	\$763.05	\$600.38	\$162.67	\$0.00	\$0.00		\$0.00	\$0.00
ALPHABET INC SHS CL A 02079K305										
06/09/2016	02/19/2014	3	\$2,222.02	\$1,806.92	\$415.10	\$0.00	\$0.00		\$0.00	\$0.00
11/04/2016	02/19/2014	1	\$782.17	\$602.31	\$179.86	\$0.00	\$0.00		\$0.00	\$0.00
AMAZON COM INC 023135106										
01/07/2016	02/19/2014	8	\$4,879.20	\$2,784.83	\$2,094.37	\$0.00	\$0.00		\$0.00	\$0.00
06/09/2016	02/19/2014	6	\$4,354.38	\$2,088.26	\$2,266.12	\$0.00	\$0.00		\$0.00	\$0.00
11/02/2016	02/19/2014	1	\$773.35	\$348.04	\$425.31	\$0.00	\$0.00		\$0.00	\$0.00
11/04/2016	02/19/2014	1	\$759.72	\$348.04	\$411.68	\$0.00	\$0.00		\$0.00	\$0.00
12/27/2016	02/19/2014	1	\$773.22	\$348.04	\$425.18	\$0.00	\$0.00		\$0.00	\$0.00
AMERICAN EXPRESS COMPANY 025816109										
06/09/2016	02/19/2014	18	\$1,172.11	\$1,613.25	\$-441.14	\$0.00	\$0.00		\$0.00	\$0.00
12/07/2016	02/19/2014	3	\$221.43	\$268.88	\$-47.45	\$0.00	\$0.00		\$0.00	\$0.00
12/27/2016	02/19/2014	2	\$150.04	\$179.25	\$-29.21	\$0.00	\$0.00		\$0.00	\$0.00
AMGEN INC 031162100										
06/09/2016	02/19/2014	15	\$2,358.92	\$1,855.12	\$503.80	\$0.00	\$0.00		\$0.00	\$0.00



Long Term Transactions

DESCRIPTION		CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMGEN INC												
		031162100										
12/27/2016	02/19/2014	2			\$299.06	\$247.35	\$51.71	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANACOR PHARMACEUTICALS INC												
		032420101										
06/29/2016	02/19/2014	75			\$7,443.75	\$1,434.00	\$6,009.75	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/29/2016	03/25/2014	1			\$99.25	\$19.52	\$79.73	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANALOG DEVICES INC												
		032654105										
06/09/2016	02/19/2014	10			\$575.06	\$517.27	\$57.79	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	02/19/2014	1			\$71.55	\$51.73	\$19.82	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1			\$74.14	\$51.73	\$22.41	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANHEUSER-BUSCH INBEV ADR												
		03524A108										
12/07/2016	02/19/2014	1			\$103.89	\$101.39	\$2.50	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANSYS INC												
		03662Q105										
12/07/2016	02/19/2014	1			\$94.86	\$83.12	\$11.74	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1			\$93.10	\$83.12	\$9.98	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ARCHER DANIELS MIDLAND CO COM												
		039483102										
12/07/2016	02/19/2014	10			\$447.34	\$401.96	\$45.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	9			\$408.06	\$361.76	\$46.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 25

Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCUMULATED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
ARM HLDGS PLC 042068106									
06/09/2016	02/19/2014	16	\$691.19	\$756.16	\$-64.97	\$0.00	\$0.00	\$0.00	\$0.00
07/29/2016	02/19/2014	73	\$4,845.27	\$3,449.97	\$1,395.30	\$0.00	\$0.00	\$0.00	\$0.00
08/01/2016	02/19/2014	53	\$3,505.84	\$2,504.77	\$1,001.07	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016	02/19/2014	77	\$5,134.42	\$3,639.01	\$1,495.41	\$0.00	\$0.00	\$0.00	\$0.00
08/03/2016	02/19/2014	20	\$1,345.51	\$945.20	\$400.31	\$0.00	\$0.00	\$0.00	\$0.00
08/23/2016	02/19/2014	113	\$7,543.40	\$5,340.37	\$2,203.03	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016	02/19/2014	122	\$8,125.43	\$5,765.71	\$2,359.72	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016	08/11/2014	44	\$2,930.48	\$1,998.27	\$932.21	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016	10/14/2014	30	\$1,998.05	\$1,224.62	\$773.43	\$0.00	\$0.00	\$0.00	\$0.00
09/22/2016	09/03/2015	25	\$1,665.05	\$1,082.64	\$582.41	\$0.00	\$0.00	\$0.00	\$0.00
ARTISAN PARTNERS ASSET 04316A108									
04/26/2016	02/19/2014	45	\$1,489.19	\$2,645.00	\$-1,155.81	\$0.00	\$0.00	\$0.00	\$0.00
ASPEN TECHNOLOGY INC COM 045327103									
12/07/2016	02/19/2014	3	\$158.37	\$137.52	\$20.85	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	2	\$109.22	\$91.68	\$17.54	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 26

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ASTELLAS PHARMA INC SHS 04623U102											
12/07/2016		02/19/2014		3	\$40 19	\$36 60	\$3 59	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		11	\$153 33	\$134 20	\$19 13	\$0 00	\$0 00	\$0 00	\$0 00
ATARA BIOTHERAPEUTICS 046513107											
12/27/2016		07/13/2015		3	\$46 34	\$174 96	\$-128 62	\$0 00	\$128 62	\$0 00	\$0 00
AUTODESK INC COM 052769106											
06/09/2016		02/19/2014		43	\$2,485 35	\$2,354 68	\$130 67	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016		02/19/2014		5	\$389 64	\$273 80	\$115 84	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		6	\$457 03	\$328 56	\$128 47	\$0 00	\$0 00	\$0 00	\$0 00
AUTOMATIC DATA PROCESSING INC COMMON 053015103											
06/09/2016		02/19/2014		11	\$958 47	\$734 57	\$223 90	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016		02/19/2014		1	\$96 44	\$66 78	\$29 66	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		1	\$103 57	\$66 78	\$36 79	\$0 00	\$0 00	\$0 00	\$0 00
AXA ADR 054536107											
12/07/2016		02/19/2014		4	\$104 73	\$109 22	\$-4 49	\$0 00	\$0 00	\$0 00	\$0 00
BB&T CORP COM 054937107											
12/07/2016		04/03/2014		77	\$3,542 94	\$3,126 86	\$416 08	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 27

Long Term Transactions

DESCRIPTION		CUSIP						Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units		Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Net G/L	Net G/L	
BB&T CORP COM				054937107							
12/27/2016	04/03/2014	48		\$2,287.15	\$1,949.21	\$337.94	\$0.00	\$0.00	\$0.00	\$0.00	
BASF AG				055262505							
12/07/2016	02/19/2014	2		\$182.38	\$226.76	\$-44.38	\$0.00	\$0.00	\$0.00	\$0.00	
12/27/2016	02/19/2014	1		\$91.70	\$113.38	\$-21.68	\$0.00	\$0.00	\$0.00	\$0.00	
BG PLC				055434203							
01/29/2016	02/19/2014	478		\$7,086.84	\$8,718.72	\$-1,631.88	\$0.00	\$0.00	\$0.00	\$0.00	
01/29/2016	03/25/2014	7		\$103.78	\$127.75	\$-23.97	\$0.00	\$0.00	\$0.00	\$0.00	
01/29/2016	01/20/2015	65		\$963.69	\$847.43	\$116.26	\$0.00	\$0.00	\$0.00	\$0.00	
BNP PARIBAS				05565A202							
06/24/2016	02/19/2014	51		\$1,108.83	\$2,050.21	\$-941.38	\$0.00	\$0.00	\$0.00	\$0.00	
06/24/2016	02/19/2014	11		\$239.16	\$442.20	\$-203.04	\$0.00	\$203.04	\$0.00	\$0.00	
12/07/2016	02/19/2014	3		\$95.71	\$120.60	\$-24.89	\$0.00	\$0.00	\$0.00	\$0.00	
12/27/2016	02/19/2014	1		\$31.60	\$40.20	\$-8.60	\$0.00	\$0.00	\$0.00	\$0.00	
BP CAPITAL MARKETS PLC				05565QCZ9							
11/03/2016	04/15/2015	15000		\$15,620.55	\$15,353.91	\$266.64	\$0.00	\$0.00	\$0.00	\$0.00	
BANK NEW YORK MELLON				064058100							
12/07/2016	02/19/2014	43		\$2,071.86	\$1,351.49	\$720.37	\$0.00	\$0.00	\$0.00	\$0.00	

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 28

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BANK NEW YORK MELLON 064058100											
12/27/2016		02/19/2014		21	\$1,004.08	\$660.03	\$344.05	\$0.00	\$0.00	\$0.00	\$0.00
BARCLAYS PLC ADR 06738E204											
06/24/2016		02/19/2014		44	\$388.69	\$754.60	\$-365.91	\$0.00	\$365.91	\$0.00	\$0.00
06/24/2016		02/19/2014		165	\$1,457.58	\$2,829.73	\$-1,372.15	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		8	\$94.58	\$137.20	\$-42.62	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		3	\$33.30	\$51.45	\$-18.15	\$0.00	\$0.00	\$0.00	\$0.00
BARRICK NA FINANCE LLC 06849RAF9											
03/22/2016		10/31/2014		4000	\$3,893.32	\$4,095.07	\$-201.75	\$0.00	\$0.00	\$0.00	\$0.00
08/05/2016		10/31/2014		10000	\$10,949.90	\$10,221.70	\$728.20	\$0.00	\$0.00	\$0.00	\$0.00
BAXALTA INC SHS WHEN 07177M103											
06/02/2016		02/19/2014		321	\$14,777.00	\$10,246.40	\$4,530.60	\$0.00	\$0.00	\$0.00	\$0.00
06/02/2016		03/25/2014		13	\$598.44	\$405.20	\$193.24	\$0.00	\$0.00	\$0.00	\$0.00
BAYER A G SPONSORED ADR 072730302											
12/07/2016		02/19/2014		1	\$96.79	\$139.69	\$-42.90	\$0.00	\$0.00	\$0.00	\$0.00
BHP BILLITON LIMITED 088606108											
12/07/2016		02/19/2014		3	\$116.60	\$210.43	\$-93.83	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		6	\$213.85	\$420.87	\$-207.02	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

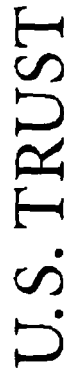
2016 Tax Information Letter

Account Number 64174003

Page 29

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BRIGHT HORIZONS FAMILY 109194100											
12/07/2016		06/19/2015		1	\$69.58	\$59.36	\$10.22	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		06/19/2015		1	\$69.28	\$59.36	\$9.92	\$0.00	\$0.00	\$0.00	\$0.00
BRITISH AMERICAN TOBACCO 110448107											
12/27/2016		02/19/2014		1	\$112.52	\$104.19	\$8.33	\$0.00	\$0.00	\$0.00	\$0.00
BRUNSWICK CORPORATION COMMON 117043109											
10/27/2016		01/05/2015		78	\$3,396.08	\$3,971.94	\$-575.86	\$0.00	\$0.00	\$0.00	\$0.00
BUILDERS FIRSTSOURCE INC 12008R107											
12/07/2016		12/03/2015		6	\$69.85	\$78.63	\$-8.78	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/03/2015		3	\$34.29	\$39.31	\$-5.02	\$0.00	\$0.00	\$0.00	\$0.00
BURBERRY GROUP PLC-SP ADR 12082W204											
12/07/2016		02/19/2014		5	\$93.44	\$126.35	\$-32.91	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$17.91	\$25.27	\$-7.36	\$0.00	\$0.00	\$0.00	\$0.00
BURLINGTON STORES INC 122017106											
12/07/2016		07/15/2015		3	\$262.33	\$166.60	\$95.73	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		07/15/2015		2	\$173.01	\$111.07	\$61.94	\$0.00	\$0.00	\$0.00	\$0.00
CK HUTCHISON HOLDINGS 12562Y100											
12/07/2016		02/19/2014		2	\$23.79	\$12.86	\$10.93	\$0.00	\$0.00	\$0.00	\$0.00



2016 Tax Information Letter

Account Number 64174003

Page 30

Bank of America Private Wealth Management
THE NORA ROBERTS FAM FDN INC

Long Term Transactions

DESCRIPTION	CUSIP			Accrued market discount			Portion Subject to Ordinary Rates including Section 988 (including in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Wash sale loss disallowed			
CK HUTCHISON HOLDINGS										
12/27/2016	02/19/2014	5	\$56.84	\$32.14	\$24.70	\$0.00	\$0.00	\$0.00	\$0.00	
CA INC										
06/09/2016	03/19/2015	111	\$3,648.27	\$3,599.99	\$48.28	\$0.00	\$0.00	\$0.00	\$0.00	
CALIFORNIA RESOURCES										
04/08/2016	02/19/2014	26	\$31.69	\$42.07	\$-10.38	\$0.00	\$0.00	\$0.00	\$0.00	
CAPITAL ONE FINANCIAL CO										
09/01/2016	02/20/2014	12000	\$11,999.99	\$12,000.00	\$-0.01	\$0.00	\$0.00	\$0.00	\$0.00	
09/01/2016	09/09/2014	1000	\$1,000.01	\$1,000.00	\$0.01	\$0.00	\$0.00	\$0.00	\$0.00	
CASEYS GEN STORES INC COM										
12/27/2016	12/08/2015	1	\$120.46	\$126.39	\$-5.93	\$0.00	\$0.00	\$0.00	\$0.00	
CAVIUM INC										
12/07/2016	02/19/2014	4	\$255.35	\$162.60	\$92.75	\$0.00	\$0.00	\$0.00	\$0.00	
12/27/2016	02/19/2014	1	\$64.05	\$40.65	\$23.40	\$0.00	\$0.00	\$0.00	\$0.00	
CENTENE CORP DEL										
10/07/2016	02/19/2014	53	\$3,278.79	\$1,607.49	\$1,671.30	\$0.00	\$0.00	\$0.00	\$0.00	
11/10/2016	02/19/2014	6	\$334.46	\$181.98	\$152.48	\$0.00	\$0.00	\$0.00	\$0.00	
11/10/2016	04/23/2014	58	\$3,233.20	\$1,886.59	\$1,346.61	\$0.00	\$0.00	\$0.00	\$0.00	



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 31

Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
CERNER CORP COM 156782104										
	12/07/2016	11/20/2015	5	\$240.07	\$293.43	\$-53.36	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	11/20/2015	5	\$243.29	\$293.43	\$-50.14	\$0.00	\$0.00	\$0.00	\$0.00
CHART INDS INC 16115Q308										
	12/07/2016	02/19/2014	2	\$77.60	\$178.97	\$-101.37	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	02/19/2014	1	\$37.68	\$89.48	\$-51.80	\$0.00	\$0.00	\$0.00	\$0.00
CHEMTURA CORP. 163893209										
	12/07/2016	02/19/2014	4	\$132.23	\$99.08	\$33.15	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	02/19/2014	2	\$66.44	\$49.54	\$16.90	\$0.00	\$0.00	\$0.00	\$0.00
CHEVRONTEXACO CORP 166764100										
	06/09/2016	02/19/2014	25	\$2,573.00	\$2,854.50	\$-281.50	\$0.00	\$0.00	\$0.00	\$0.00
	12/07/2016	02/19/2014	14	\$1,590.57	\$1,598.52	\$-7.95	\$0.00	\$0.00	\$0.00	\$0.00
	12/27/2016	02/19/2014	11	\$1,303.57	\$1,255.98	\$47.59	\$0.00	\$0.00	\$0.00	\$0.00
CHINA CONSTRUCT UNSPN AD 168919108										
	01/21/2016	02/19/2014	93	\$1,072.92	\$1,315.02	\$-242.10	\$0.00	\$0.00	\$0.00	\$0.00
	01/21/2016	03/25/2014	8	\$92.29	\$108.40	\$-16.11	\$0.00	\$0.00	\$0.00	\$0.00
CHUYS HLDGS INC 171604101										
	12/07/2016	11/07/2014	4	\$133.79	\$88.04	\$45.75	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 32

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CHUYS HLDGS INC											
	171604101										
12/27/2016	2	11/07/2014			\$66 59	\$44 02	\$22 57	\$0 00	\$0 00	\$0 00	\$0 00
CISCO SYS INC											
	17275R102										
06/09/2016	141	02/19/2014			\$4,092 65	\$3,152 51	\$940 14	\$0 00	\$0 00	\$0 00	\$0 00
11/02/2016	24	02/19/2014			\$731 96	\$536 60	\$195 36	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	33	02/19/2014			\$979 83	\$737 82	\$242 01	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	40	02/19/2014			\$1,228 09	\$894 33	\$333 76	\$0 00	\$0 00	\$0 00	\$0 00
CISCO SYSTEMS INC											
	17275RAC6										
02/22/2016	13000	02/20/2014			\$13,000 00	\$13,000 00	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
COCA-COLA CO USD											
	191216100										
06/09/2016	55	02/19/2014			\$2,505 16	\$2,049 84	\$455 32	\$0 00	\$0 00	\$0 00	\$0 00
11/04/2016	12	02/19/2014			\$501 69	\$447 24	\$54 45	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	9	02/19/2014			\$368 12	\$335 43	\$32 69	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	9	02/19/2014			\$374 69	\$335 43	\$39 26	\$0 00	\$0 00	\$0 00	\$0 00
COGNEX CORP											
	192422103										
12/07/2016	5	02/19/2014			\$294 71	\$190 05	\$104 66	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	3	02/19/2014			\$192 61	\$114 03	\$78 58	\$0 00	\$0 00	\$0 00	\$0 00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

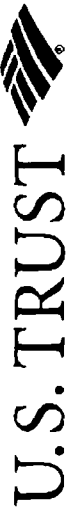
2016 Tax Information Letter

Account Number 64174003

Page 33

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COHERENT INC 192479103										
12/07/2016	3	02/19/2014		\$387.78	\$198.90	\$188.88	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	2	02/19/2014		\$275.82	\$132.60	\$143.22	\$0.00	\$0.00	\$0.00	\$0.00
COLFAX CORP 194014106										
05/06/2016	124	02/19/2014		\$3,136.86	\$8,647.91	\$-5,511.05	\$0.00	\$0.00	\$0.00	\$0.00
05/06/2016	1	03/25/2014		\$25.30	\$70.54	\$-45.24	\$0.00	\$0.00	\$0.00	\$0.00
CIE FINANCIERE RICHEMONT 204319107										
12/07/2016	16	02/19/2014		\$106.36	\$158.56	\$-52.20	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	3	02/19/2014		\$19.47	\$29.73	\$-10.26	\$0.00	\$0.00	\$0.00	\$0.00
CONOCOPHILLIPS 20825C104										
12/07/2016	18	02/19/2014		\$888.67	\$1,177.15	\$-288.48	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	17	02/19/2014		\$878.50	\$1,111.76	\$-233.26	\$0.00	\$0.00	\$0.00	\$0.00
CONOCOPHILLIPS 20825TAA5										
06/15/2016	12000	02/20/2014		\$12,172.91	\$12,185.26	\$-12.35	\$0.00	\$0.00	\$0.00	\$0.00
06/15/2016	1000	09/09/2014		\$1,014.42	\$1,015.97	\$-1.55	\$0.00	\$0.00	\$0.00	\$0.00
CONTINENTAL AG SPONSORED ADR 210771200										
12/07/2016	3	06/23/2015		\$115.19	\$147.41	\$-32.22	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 34

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CORNERSTONE ONDEMAND INC 21925Y103											
12/07/2016		02/19/2014		2	\$73.59	\$117.68	\$-44.09	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$85.57	\$117.68	\$-32.11	\$0.00	\$0.00	\$0.00	\$0.00
CRACKER BAREL OLD COUNTR 22410J106											
09/08/2016		06/02/2015		15	\$2,254.90	\$2,206.01	\$48.89	\$0.00	\$0.00	\$0.00	\$0.00
CREDIT SUISSE GROUP SPONSORED ADR 225401108											
06/24/2016		02/19/2014		86	\$997.69	\$2,688.32	\$-1,690.63	\$0.00	\$0.00	\$0.00	\$0.00
06/24/2016		02/19/2014		47	\$545.25	\$1,469.20	\$-923.95	\$0.00	\$923.95	\$0.00	\$0.00
12/07/2016		02/19/2014		4	\$61.45	\$125.04	\$-63.59	\$0.00	\$0.00	\$0.00	\$0.00
CUBESMART COM 229663109											
12/07/2016		11/13/2015		5	\$126.45	\$138.39	\$-11.94	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		11/13/2015		2	\$52.76	\$55.36	\$-2.60	\$0.00	\$0.00	\$0.00	\$0.00
DBS GROUP HLDGS LTD 23304Y100											
12/07/2016		02/19/2014		1	\$51.87	\$52.84	\$-0.97	\$0.00	\$0.00	\$0.00	\$0.00
DANONE-SPONS ADR 23636T100											
06/09/2016		02/19/2014		206	\$2,931.30	\$2,879.42	\$51.88	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		36	\$456.90	\$503.20	\$-46.30	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 35

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DANONE-SPONS	ADR										
12/27/2016		02/19/2014		31	\$383.21	\$433.31	\$-50.10	\$0.00	\$0.00	\$0.00	\$0.00
DEMANDWARE INC	24802Y105										
07/18/2016		05/07/2015		36	\$2,699.99	\$2,217.90	\$482.09	\$0.00	\$0.00	\$0.00	\$0.00
DERMIRA INC	24983L104										
12/07/2016		10/23/2015		2	\$59.69	\$53.09	\$6.60	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		10/23/2015		2	\$61.43	\$53.09	\$8.34	\$0.00	\$0.00	\$0.00	\$0.00
DIAMONDBACK ENERGY INC	25278X109										
12/07/2016		02/19/2014		1	\$105.68	\$61.22	\$44.46	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$103.75	\$61.23	\$42.52	\$0.00	\$0.00	\$0.00	\$0.00
DOMINOS PIZZA INC	25754A201										
12/02/2016		02/19/2014		15	\$2,530.60	\$1,084.35	\$1,446.25	\$0.00	\$0.00	\$0.00	\$0.00
E I DU PONT DE NEMOURS & CO COMM	263534109										
06/09/2016		02/19/2014		46	\$3,112.75	\$2,830.47	\$282.28	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		15	\$1,096.37	\$922.98	\$173.39	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		12	\$900.14	\$738.38	\$161.76	\$0.00	\$0.00	\$0.00	\$0.00
EAST JAPAN RY CO	ADR										
12/07/2016		02/19/2014		3	\$42.02	\$37.26	\$4.76	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 36

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EAST JAPAN RY CO ADR 273202101											
12/27/2016		02/19/2014		2	\$28.91	\$24.84	\$4.07	\$0.00	\$0.00	\$0.00	\$0.00
EDISON INTL 281020107											
06/09/2016		02/19/2014		78	\$5,713.38	\$3,973.06	\$1,740.32	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$71.70	\$50.94	\$20.76	\$0.00	\$0.00	\$0.00	\$0.00
ELECTRONICS FOR IMAGING INC 286082102											
12/07/2016		10/03/2014		2	\$87.90	\$89.87	\$-1.97	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		10/03/2014		1	\$43.48	\$44.94	\$-1.46	\$0.00	\$0.00	\$0.00	\$0.00
ENANTA PHARMACEUTICALS 29251M106											
08/31/2016		02/27/2014		16	\$350.47	\$612.07	\$-261.60	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016		02/27/2014		13	\$284.10	\$497.30	\$-213.20	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016		02/28/2014		23	\$502.66	\$878.05	\$-375.39	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016		03/25/2014		2	\$43.71	\$78.40	\$-34.69	\$0.00	\$0.00	\$0.00	\$0.00
ENERGY TRANSFER PARTNERS 29273RAN9											
11/08/2016		09/18/2014		13000	\$13,839.67	\$13,547.08	\$292.59	\$0.00	\$0.00	\$0.00	\$0.00
11/08/2016		12/17/2014		1000	\$1,064.59	\$1,032.45	\$32.14	\$0.00	\$0.00	\$0.00	\$0.00
EQUITY ONE INC 294752100											
12/07/2016		11/23/2015		3	\$89.28	\$80.88	\$8.40	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 37

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EQUITY ONE INC	294752100										
12/27/2016	1	11/23/2015			\$30.08	\$26.94	\$3.14	\$0.00	\$0.00	\$0.00	\$0.00
ERP OPERATING LP	29476LAC1										
03/15/2016	13000	02/20/2014			\$13,000.00	\$13,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EXPEDIA INC	30212P303										
05/11/2016	24	06/02/2014			\$2,721.22	\$3,092.76	\$-371.54	\$0.00	\$0.00	\$0.00	\$0.00
EXPEDITORS INTL WASH INC COM	302130109										
06/09/2016	43	02/19/2014			\$2,142.11	\$1,780.54	\$361.57	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016	8	02/19/2014			\$408.01	\$331.26	\$76.75	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	8	02/19/2014			\$437.06	\$331.26	\$105.80	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	7	02/19/2014			\$376.35	\$289.86	\$86.49	\$0.00	\$0.00	\$0.00	\$0.00
FACEBOOK INC	30303M102										
06/09/2016	41	02/19/2014			\$4,850.19	\$2,817.47	\$2,032.72	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	10	02/19/2014			\$1,175.98	\$687.19	\$488.79	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	8	02/19/2014			\$947.42	\$549.75	\$397.67	\$0.00	\$0.00	\$0.00	\$0.00
FACTSET RESH SYS INC	303075105										
06/09/2016	4	02/19/2014			\$636.34	\$415.18	\$221.16	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	2	02/19/2014			\$327.05	\$207.59	\$119.46	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 38

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FACTSET RESH SYS INC 303075105											
12/27/2016		02/19/2014		1	\$165 10	\$103 80	\$61 30	\$0 00	\$0 00	\$0 00	\$0 00
FANUC LTD-UNSP 307305102											
12/07/2016		02/19/2014		11	\$189 12	\$185 26	\$3 86	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		6	\$102 75	\$101 05	\$1 70	\$0 00	\$0 00	\$0 00	\$0 00
FINISAR CORPORATION 31787A507											
10/28/2016		02/19/2014		141	\$3,887 63	\$3,523 23	\$364 40	\$0 00	\$0 00	\$0 00	\$0 00
10/31/2016		02/19/2014		22	\$610 20	\$549 72	\$60 48	\$0 00	\$0 00	\$0 00	\$0 00
10/31/2016		03/25/2014		1	\$27 73	\$26 84	\$0 89	\$0 00	\$0 00	\$0 00	\$0 00
FORTINET INC 34959E109											
03/07/2016		02/19/2014		79	\$2,241 12	\$1,766 09	\$475 03	\$0 00	\$0 00	\$0 00	\$0 00
11/21/2016		02/19/2014		129	\$3,925 84	\$2,883 88	\$1,041 96	\$0 00	\$0 00	\$0 00	\$0 00
FRESENIUS MED CARE AG ADR 358029106											
12/27/2016		02/19/2014		1	\$42 70	\$36 66	\$6 04	\$0 00	\$0 00	\$0 00	\$0 00
GEO (THE) GROUP INC SHS 36162J106											
12/07/2016		02/19/2014		4	\$137 04	\$122 81	\$14 23	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		1	\$35 17	\$30 71	\$4 46	\$0 00	\$0 00	\$0 00	\$0 00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 39

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GENESCO INCORPORATED COMMON 371532102											
12/07/2016		02/19/2014		6	\$427.19	\$418.13	\$9.06	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$125.69	\$139.38	\$-13.69	\$0.00	\$0.00	\$0.00	\$0.00
GEOSPACE TECHNOLOGIES 37364X109											
12/07/2016		02/19/2014		2	\$44.20	\$149.44	\$-105.24	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$22.77	\$74.72	\$-51.95	\$0.00	\$0.00	\$0.00	\$0.00
GREENHILL & CO INC 395259104											
06/09/2016		02/19/2014		33	\$649.22	\$1,736.36	\$-1,087.14	\$0.00	\$0.00	\$0.00	\$0.00
10/05/2016		02/19/2014		4	\$93.76	\$210.47	\$-116.71	\$0.00	\$0.00	\$0.00	\$0.00
10/06/2016		02/19/2014		8	\$184.28	\$420.94	\$-236.66	\$0.00	\$0.00	\$0.00	\$0.00
10/07/2016		02/19/2014		7	\$159.14	\$368.32	\$-209.18	\$0.00	\$0.00	\$0.00	\$0.00
10/10/2016		02/19/2014		7	\$160.48	\$368.32	\$-207.84	\$0.00	\$0.00	\$0.00	\$0.00
10/11/2016		02/19/2014		1	\$22.94	\$52.62	\$-29.68	\$0.00	\$0.00	\$0.00	\$0.00
10/18/2016		02/19/2014		2	\$45.84	\$105.23	\$-59.39	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016		02/19/2014		5	\$114.62	\$263.08	\$-148.46	\$0.00	\$0.00	\$0.00	\$0.00
10/20/2016		02/19/2014		3	\$68.16	\$157.85	\$-89.69	\$0.00	\$0.00	\$0.00	\$0.00
10/21/2016		02/19/2014		3	\$69.05	\$157.85	\$-88.80	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 40

Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	CUSIP	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
GREENHILL & CO INC 395259104											
	10/24/2016	02/19/2014	5		\$116.93	\$263.08	\$-146.15	\$0.00	\$0.00	\$0.00	\$0.00
	10/25/2016	02/19/2014	6		\$141.62	\$315.70	\$-174.08	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/2016	02/19/2014	5		\$118.53	\$263.08	\$-144.55	\$0.00	\$0.00	\$0.00	\$0.00
	10/31/2016	02/19/2014	5		\$117.50	\$263.08	\$-145.58	\$0.00	\$0.00	\$0.00	\$0.00
	11/01/2016	02/19/2014	5		\$116.41	\$263.08	\$-146.67	\$0.00	\$0.00	\$0.00	\$0.00
	11/02/2016	02/19/2014	10		\$228.03	\$526.17	\$-298.14	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/2016	02/19/2014	1		\$22.70	\$52.62	\$-29.92	\$0.00	\$0.00	\$0.00	\$0.00
	11/08/2016	02/19/2014	15		\$345.22	\$789.26	\$-444.04	\$0.00	\$0.00	\$0.00	\$0.00
	11/09/2016	02/19/2014	7		\$164.76	\$368.32	\$-203.56	\$0.00	\$0.00	\$0.00	\$0.00
	11/10/2016	02/19/2014	5		\$120.49	\$263.08	\$-142.59	\$0.00	\$0.00	\$0.00	\$0.00
	11/28/2016	02/19/2014	4		\$113.60	\$210.47	\$-96.87	\$0.00	\$0.00	\$0.00	\$0.00
	11/29/2016	02/19/2014	7		\$194.74	\$368.32	\$-173.58	\$0.00	\$0.00	\$0.00	\$0.00
	11/30/2016	02/19/2014	1		\$27.73	\$52.62	\$-24.89	\$0.00	\$0.00	\$0.00	\$0.00
	12/02/2016	02/19/2014	1		\$28.02	\$52.62	\$-24.60	\$0.00	\$0.00	\$0.00	\$0.00
	12/07/2016	02/19/2014	3		\$84.55	\$157.85	\$-73.30	\$0.00	\$0.00	\$0.00	\$0.00

Account Number 64174003

THE NORA ROBERTS FAM FDN INC

Bank of America Private Wealth Management

DESCRIPTION

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GREENHILL & CO INC									
395259104									
12/09/2016	02/19/2014	4	\$111.84	\$210.47	\$-98.63	\$0.00	\$0.00	\$0.00	\$0.00
12/12/2016	02/19/2014	4	\$110.11	\$210.47	\$-100.36	\$0.00	\$0.00	\$0.00	\$0.00
12/13/2016	02/19/2014	15	\$418.55	\$789.25	\$-370.70	\$0.00	\$0.00	\$0.00	\$0.00
12/14/2016	02/19/2014	22	\$600.26	\$1,157.57	\$-557.31	\$0.00	\$0.00	\$0.00	\$0.00
12/15/2016	02/19/2014	9	\$247.43	\$473.55	\$-226.12	\$0.00	\$0.00	\$0.00	\$0.00
GUIDEWIRE SOFTWARE INC									
40171V100									
12/07/2016	07/17/2014	2	\$104.97	\$74.77	\$30.20	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	07/17/2014	1	\$51.04	\$37.39	\$13.65	\$0.00	\$0.00	\$0.00	\$0.00
HMS HLDGS CORP									
40425J101									
12/07/2016	02/19/2014	6	\$110.43	\$131.69	\$-21.26	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	4	\$75.42	\$87.79	\$-12.37	\$0.00	\$0.00	\$0.00	\$0.00
HSBC HLDGS PLC									
404280406									
12/07/2016	02/19/2014	5	\$214.46	\$272.85	\$-58.39	\$0.00	\$0.00	\$0.00	\$0.00
HSN INC									
404303109									
12/07/2016	08/06/2015	2	\$71.89	\$135.11	\$-63.22	\$0.00	\$63.22	\$0.00	\$0.00
12/27/2016	08/06/2015	2	\$69.69	\$135.11	\$-65.42	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

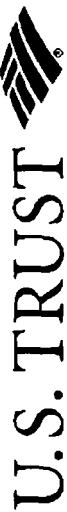
2016 Tax Information Letter

Account Number 64174003

Page 42

Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
HAIN CELESTIAL GROUP INC 405217100									
08/18/2016	02/19/2014	72	\$2,733.30	\$3,109.68	\$-376.38	\$0.00	\$0.00	\$0.00	\$0.00
HALLIBURTON COMPANY COM 406216101									
06/09/2016	02/19/2014	102	\$4,649.30	\$5,605.53	\$-956.23	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	02/19/2014	45	\$2,397.78	\$2,473.03	\$-75.25	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	26	\$1,429.56	\$1,428.86	\$0.70	\$0.00	\$0.00	\$0.00	\$0.00
HANG LUNG PROPERTIES ADR 41043M104									
12/07/2016	02/19/2014	3	\$33.38	\$42.27	\$-8.89	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	5	\$51.39	\$70.45	\$-19.06	\$0.00	\$0.00	\$0.00	\$0.00
HEALTHSOUTH CORP 421924309									
12/07/2016	04/30/2015	3	\$125.22	\$140.26	\$-15.04	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	04/30/2015	1	\$42.32	\$46.75	\$-4.43	\$0.00	\$0.00	\$0.00	\$0.00
HENKEL KGAA SPN ADR 42550U208									
12/07/2016	02/19/2014	1	\$114.29	\$116.51	\$-2.22	\$0.00	\$0.00	\$0.00	\$0.00
HEXCEL CORP NEW COM 428291108									
12/07/2016	02/19/2014	4	\$217.83	\$171.78	\$46.05	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	2	\$104.73	\$85.89	\$18.84	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 43

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HONDA MOTOR ADR NEW 438128308											
12/07/2016		02/19/2014		2	\$59.68	\$73.76	\$-14.08	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		3	\$89.50	\$110.64	\$-21.14	\$0.00	\$0.00	\$0.00	\$0.00
HOUGHTON MIFFLIN 44157R109											
11/03/2016		02/19/2014		82	\$766.48	\$1,661.32	\$-894.84	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016		02/19/2014		33	\$310.46	\$668.58	\$-358.12	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016		03/25/2014		1	\$9.40	\$19.98	\$-10.58	\$0.00	\$0.00	\$0.00	\$0.00
HUNTSMAN CORP 447011107											
12/07/2016		02/19/2014		3	\$59.73	\$70.44	\$-10.71	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$39.01	\$46.96	\$-7.95	\$0.00	\$0.00	\$0.00	\$0.00
IPG PHOTONICS CORP DEL 44980X109											
12/07/2016		02/19/2014		2	\$195.40	\$139.34	\$56.06	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$101.51	\$69.67	\$31.84	\$0.00	\$0.00	\$0.00	\$0.00
IMPERIAL BRANDS PLC 45262P102											
12/07/2016		02/19/2014		1	\$42.83	\$39.85	\$2.98	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$43.83	\$39.85	\$3.98	\$0.00	\$0.00	\$0.00	\$0.00
IMPERVA INC COM 45321L100											
12/07/2016		09/15/2015		1	\$37.65	\$68.98	\$-31.33	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 44

Long Term Transactions

DESCRIPTION	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
IMPERVA INC COM 45321L100									
12/27/2016	09/15/2015	1	\$39.15	\$68.98	\$-29.83	\$0.00	\$0.00	\$0.00	\$0.00
INDUSTRL AND COMMCL BNK 455807107									
01/21/2016	02/19/2014	131	\$1,293.68	\$1,650.60	\$-356.92	\$0.00	\$0.00	\$0.00	\$0.00
01/21/2016	03/25/2014	12	\$118.51	\$143.28	\$-24.77	\$0.00	\$0.00	\$0.00	\$0.00
ING GROEP N V SPONSORED ADR 456837103									
12/07/2016	02/19/2014	3	\$43.53	\$43.22	\$0.31	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	13	\$184.12	\$187.27	\$-3.15	\$0.00	\$0.00	\$0.00	\$0.00
INTEL CORPORATION 458140100									
06/09/2016	02/19/2014	11	\$349.81	\$270.36	\$79.45	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	02/19/2014	38	\$1,334.97	\$933.98	\$400.99	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	42	\$1,561.15	\$1,032.30	\$528.85	\$0.00	\$0.00	\$0.00	\$0.00
INTRAWEST RESORTS HLDGS 46090K109									
12/07/2016	02/19/2014	6	\$110.33	\$82.33	\$28.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	3	\$56.39	\$41.16	\$15.23	\$0.00	\$0.00	\$0.00	\$0.00
JACK IN THE BOX INC 466367109									
12/07/2016	07/13/2015	1	\$111.52	\$92.08	\$19.44	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	07/13/2015	1	\$111.81	\$92.08	\$19.73	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 45

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	ADR	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
JAPAN TOBACCO INC 471105205												
12/07/2016			02/13/2015	1		\$16.51	\$15.54	\$0.97	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/13/2015	2		\$33.31	\$31.07	\$2.24	\$0.00	\$0.00	\$0.00	\$0.00
JETBLUE AWYS CORP 477143101												
12/07/2016			02/19/2014	14		\$304.26	\$120.24	\$184.02	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	6		\$133.68	\$51.53	\$82.15	\$0.00	\$0.00	\$0.00	\$0.00
JOHNSON & JOHNSON COM 478160104												
06/09/2016			02/19/2014	38		\$4,420.47	\$3,495.49	\$924.98	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	2		\$231.40	\$183.97	\$47.43	\$0.00	\$0.00	\$0.00	\$0.00
JOHNSON CONTROLS INC 478366107												
08/31/2016			02/19/2014	417		\$18,307.99	\$20,736.04	\$-2,428.05	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016			02/19/2014	17		\$752.38	\$845.35	\$-92.97	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016			03/25/2014	41		\$1,814.58	\$1,879.44	\$-64.86	\$0.00	\$0.00	\$0.00	\$0.00
09/01/2016			10/27/2014	86		\$3,806.20	\$3,768.95	\$37.25	\$0.00	\$0.00	\$0.00	\$0.00
KDDI CORP SHS 48667L106												
12/07/2016			03/20/2014	10		\$125.25	\$94.39	\$30.86	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			03/20/2014	14		\$176.28	\$132.15	\$44.13	\$0.00	\$0.00	\$0.00	\$0.00

THE NORA ROBERTS FAM FDN INC

Account Number 64174003

Page 46

DESCRIPTION

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
KINDER MORGAN INC./DELAWARE									
07/22/2016	04/15/2015	14000	\$14,333.34	\$14,215.59	\$117.75	\$0.00	\$0.00	\$0.00	\$0.00
KOMATSU LTD NEW									
12/07/2016	02/19/2014	3	\$71.39	\$62.73	\$8.66	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1	\$23.04	\$20.91	\$2.13	\$0.00	\$0.00	\$0.00	\$0.00
KRAFT (THE) HEINZ CO SHS									
06/09/2016	02/19/2014	37	\$3,149.23	\$1,970.76	\$1,178.47	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	7	\$612.00	\$365.93	\$246.07	\$0.00	\$0.00	\$0.00	\$0.00
KUBOTA LTD ADR									
12/07/2016	02/19/2014	1	\$77.37	\$70.08	\$7.29	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1	\$71.29	\$70.08	\$1.21	\$0.00	\$0.00	\$0.00	\$0.00
LVNH MOET HENNESSY LOUIS									
12/07/2016	02/19/2014	3	\$113.00	\$111.03	\$1.97	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1	\$37.58	\$37.01	\$0.57	\$0.00	\$0.00	\$0.00	\$0.00
LANDSTAR SYS INC									
12/07/2016	02/19/2014	2	\$175.11	\$117.94	\$57.17	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	1	\$85.32	\$58.97	\$26.35	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 47

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
LIFELOCK INC 53224V100											
05/02/2016	103	02/19/2014			\$1,181.38	\$2,295.42	\$-1,114.04	\$0.00	\$0.00	\$0.00	\$0.00
05/03/2016	59	02/19/2014			\$658.75	\$1,314.85	\$-656.10	\$0.00	\$0.00	\$0.00	\$0.00
05/03/2016	3	03/25/2014			\$33.50	\$56.16	\$-22.66	\$0.00	\$0.00	\$0.00	\$0.00
LLOYDS TSB GROUP PLC 539439109											
06/27/2016	170	06/11/2015			\$469.17	\$937.82	\$-468.65	\$0.00	\$0.00	\$0.00	\$0.00
06/27/2016	151	06/11/2015			\$416.74	\$833.01	\$-416.27	\$0.00	\$416.27	\$0.00	\$0.00
12/07/2016	85	06/11/2015			\$269.44	\$468.91	\$-199.47	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	37	06/11/2015			\$115.57	\$204.11	\$-88.54	\$0.00	\$0.00	\$0.00	\$0.00
LOOMIS SAYLES 543495741											
01/27/2016	2895	02/20/2014			\$30,397.50	\$30,947.55	\$-550.05	\$0.00	\$0.00	\$0.00	\$0.00
10/05/2016	2964	02/20/2014			\$31,151.64	\$31,685.16	\$-533.52	\$0.00	\$0.00	\$0.00	\$0.00
LOWES COMPANIES INC COM 548661107											
02/17/2016	87	02/19/2014			\$5,908.12	\$4,083.55	\$1,824.57	\$0.00	\$0.00	\$0.00	\$0.00
04/18/2016	22	02/19/2014			\$1,699.25	\$1,032.62	\$666.63	\$0.00	\$0.00	\$0.00	\$0.00
04/19/2016	13	02/19/2014			\$1,003.89	\$610.19	\$393.70	\$0.00	\$0.00	\$0.00	\$0.00
04/19/2016	63	02/19/2014			\$4,812.33	\$2,957.05	\$1,855.28	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 48

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
LOWES COMPANIES INC COM 548661107											
05/02/2016		02/19/2014		23	\$1,753.77	\$1,079.56	\$674.21	\$0.00	\$0.00	\$0.00	\$0.00
06/09/2016		02/19/2014		52	\$4,088.75	\$2,440.74	\$1,648.01	\$0.00	\$0.00	\$0.00	\$0.00
MGIC INVT CORP WIS COM 552848103											
07/06/2016		12/09/2014		210	\$1,195.60	\$1,998.00	\$-802.40	\$0.00	\$0.00	\$0.00	\$0.00
MADDEN STEVEN LTD 556269108											
12/07/2016		02/19/2014		5	\$192.82	\$168.06	\$24.76	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		3	\$107.84	\$100.84	\$7.00	\$0.00	\$0.00	\$0.00	\$0.00
MAKITA CORP ADR NEW 560877300											
12/27/2016		10/15/2014		1	\$67.03	\$51.21	\$15.82	\$0.00	\$0.00	\$0.00	\$0.00
MANHATTAN ASSOCS INC 562750109											
12/07/2016		10/03/2014		2	\$103.92	\$68.40	\$35.52	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		10/03/2014		1	\$53.45	\$34.20	\$19.25	\$0.00	\$0.00	\$0.00	\$0.00
MARATHON OIL CORP 565849106											
12/07/2016		02/19/2014		201	\$3,673.09	\$6,758.79	\$-3,085.70	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		92	\$1,666.40	\$3,093.57	\$-1,427.17	\$0.00	\$0.00	\$0.00	\$0.00
MARATHON OIL CORP 565849AE6											
06/16/2016		02/20/2014		6000	\$5,850.00	\$7,362.05	\$-1,512.05	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 49

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MARATHON OIL CORP 565849AE6											
06/17/2016		02/20/2014		5000	\$4,874.99	\$6,134.95	\$-1,259.96	\$0.00	\$0.00	\$0.00	\$0.00
06/17/2016		12/15/2014		1000	\$975.01	\$1,192.39	\$-217.38	\$0.00	\$0.00	\$0.00	\$0.00
MARKS & SPENCER GROUP PLC CASH IN LIEU 570912105											
05/23/2016		02/19/2014		88	\$1,126.87	\$1,474.88	\$-348.01	\$0.00	\$0.00	\$0.00	\$0.00
05/23/2016		03/25/2014		21	\$268.92	\$316.05	\$-47.13	\$0.00	\$0.00	\$0.00	\$0.00
MARSH & MCLENNAN COS INC 571748102											
06/09/2016		02/19/2014		70	\$4,651.65	\$3,354.76	\$1,296.89	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		10	\$691.81	\$479.25	\$212.56	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		5	\$342.33	\$239.63	\$102.70	\$0.00	\$0.00	\$0.00	\$0.00
MARTIN MARIETTA MATLS INC 573284106											
12/07/2016		02/19/2014		1	\$226.69	\$116.63	\$110.06	\$0.00	\$0.00	\$0.00	\$0.00
MCDONALD'S CORP 58013MEG5											
01/27/2016		02/20/2014		1000	\$1,087.20	\$1,087.97	\$-0.77	\$0.00	\$0.00	\$0.00	\$0.00
MEDASSETS INC 584045108											
01/28/2016		02/19/2014		159	\$4,984.65	\$3,312.87	\$1,671.78	\$0.00	\$0.00	\$0.00	\$0.00
MEDICINES CO 584688105											
12/07/2016		09/15/2015		1	\$33.05	\$42.80	\$-9.75	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

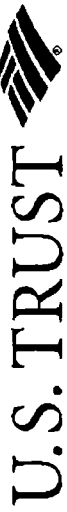
2016 Tax Information Letter

Account Number 64174003

Page 50

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MEDICINES CO 584688105											
12/27/2016	1	09/15/2015			\$35 17	\$42 80	\$-7 63	\$0 00	\$0 00	\$0 00	\$0 00
MEDIDATA SOLUTIONS INC 58471A105											
02/10/2016	42	02/19/2014			\$1,319 87	\$2,595 66	\$-1,275 79	\$0 00	\$0 00	\$0 00	\$0 00
02/10/2016	5	03/25/2014			\$157 13	\$289 19	\$-132 06	\$0 00	\$0 00	\$0 00	\$0 00
MERCK AND CO INC SHS 58933Y105											
06/09/2016	21	02/19/2014			\$1,202 43	\$1,162 98	\$39 45	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	10	02/19/2014			\$593 53	\$553 80	\$39 73	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	11	02/19/2014			\$660 36	\$609 18	\$51 18	\$0 00	\$0 00	\$0 00	\$0 00
MICROSOFT CORP COM 594918104											
06/09/2016	48	02/19/2014			\$2,479 16	\$1,805 25	\$673 91	\$0 00	\$0 00	\$0 00	\$0 00
11/02/2016	12	02/19/2014			\$716 31	\$451 31	\$265 00	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	5	02/19/2014			\$303 91	\$188 05	\$115 86	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	5	02/19/2014			\$318 45	\$188 05	\$130 40	\$0 00	\$0 00	\$0 00	\$0 00
MITSUBISHI ESTATE ADR 606783207											
12/07/2016	1	02/19/2014			\$20 28	\$24 94	\$-4 66	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	2	02/19/2014			\$39 31	\$49 88	\$-10 57	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

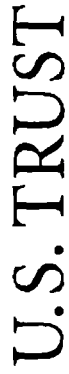
2016 Tax Information Letter

Account Number 64174003

Page 51

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MONDELEZ INTERNATIONAL 609207105											
12/27/2016		02/19/2014		6	\$270.25	\$202.78	\$67.47	\$0.00	\$0.00	\$0.00	\$0.00
MONSTER BEVERAGE SHS 61174X109											
12/07/2016		02/19/2014		57	\$2,536.62	\$1,390.61	\$1,146.01	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		32	\$1,467.41	\$780.69	\$686.72	\$0.00	\$0.00	\$0.00	\$0.00
MORGAN STANLEY 61746BDJ2											
01/27/2016		02/20/2014		3000	\$3,054.27	\$2,977.17	\$77.10	\$0.00	\$0.00	\$0.00	\$0.00
NASPERS LTD SPONSRED ADR 631512100											
12/07/2016		01/21/2015		4	\$57.82	\$56.77	\$1.05	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		01/21/2015		2	\$27.81	\$28.38	\$-0.57	\$0.00	\$0.00	\$0.00	\$0.00
NATUS MED INC DEL 639050103											
12/07/2016		09/25/2014		4	\$151.24	\$114.55	\$36.69	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		09/25/2014		2	\$70.42	\$57.28	\$13.14	\$0.00	\$0.00	\$0.00	\$0.00
NESTLE S A SPONSORED ADR 641069406											
12/07/2016		02/19/2014		1	\$67.68	\$74.14	\$-6.46	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$71.25	\$74.14	\$-2.89	\$0.00	\$0.00	\$0.00	\$0.00
NEUROCRINE BIOSCIENCES INC 64125C109											
11/09/2016		02/20/2015		53	\$2,684.62	\$2,107.67	\$576.95	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 52

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
NEWMONT MINING CORP 651639AN6											
11/28/2016		02/20/2014		15000	\$15,768.90	\$14,176.37	\$1,592.53	\$428.87	\$0.00	\$0.00	\$0.00
NIDEC CORP 654090109											
12/07/2016		02/19/2014		1	\$21.96	\$14.83	\$7.13	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$42.97	\$29.66	\$13.31	\$0.00	\$0.00	\$0.00	\$0.00
NITTO DENKO CORP ADR 654802206											
12/07/2016		02/19/2014		1	\$36.94	\$22.40	\$14.54	\$0.00	\$0.00	\$0.00	\$0.00
NORTHROP GRUMMAN CORP 666807102											
06/09/2016		02/19/2014		37	\$8,052.91	\$4,427.83	\$3,625.08	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		8	\$1,967.95	\$957.37	\$1,010.58	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$232.84	\$119.67	\$113.17	\$0.00	\$0.00	\$0.00	\$0.00
NOVARTIS AG SPNSRD ADR 66987V109											
06/09/2016		02/19/2014		14	\$1,129.14	\$1,149.39	\$-20.25	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		5	\$341.01	\$410.50	\$-69.49	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		6	\$434.04	\$492.60	\$-58.56	\$0.00	\$0.00	\$0.00	\$0.00
NOVO NORDISK A/S ADR 670100205											
06/09/2016		02/19/2014		56	\$3,192.08	\$2,482.86	\$709.22	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		28	\$976.73	\$1,241.43	\$-264.70	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

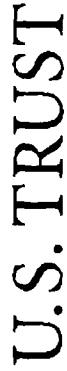
2016 Tax Information Letter

Account Number 64174003

Page 53

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
NOVO NORDISK A/S ADR 670100205											
12/27/2016		02/19/2014		22	\$786.34	\$975.41	\$-189.07	\$0.00	\$0.00	\$0.00	\$0.00
NUTRISYSTEM INC NEW COM 67069D108											
12/07/2016		11/05/2014		7	\$256.25	\$125.46	\$130.79	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		11/05/2014		3	\$105.89	\$53.77	\$52.12	\$0.00	\$0.00	\$0.00	\$0.00
NUVASIVE INC 670704105											
12/07/2016		08/03/2015		3	\$200.08	\$165.10	\$34.98	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		08/03/2015		2	\$133.88	\$110.06	\$23.82	\$0.00	\$0.00	\$0.00	\$0.00
ONEOK PARTNERS 68268NAB9											
10/03/2016		02/20/2014		12000	\$11,999.99	\$12,000.00	\$-0.01	\$0.00	\$0.00	\$0.00	\$0.00
10/03/2016		12/15/2014		1000	\$1,000.01	\$1,000.00	\$0.01	\$0.00	\$0.00	\$0.00	\$0.00
OPHTHOTECH CORP SHS 683745103											
12/07/2016		02/19/2014		1	\$34.99	\$36.23	\$-1.24	\$0.00	\$0.00	\$0.00	\$0.00
12/13/2016		02/19/2014		55	\$295.60	\$1,992.65	\$-1,697.05	\$0.00	\$0.00	\$0.00	\$0.00
ORACLE CORPORATION 68389X105											
06/09/2016		02/19/2014		89	\$3,460.04	\$3,398.54	\$61.50	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		19	\$758.15	\$725.53	\$32.62	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		12	\$467.43	\$458.23	\$9.20	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 54

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PRA HEALTH SCIENCES INC 69354M108											
12/07/2016			08/07/2015	1	\$54.33	\$42.05	\$12.28	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			08/07/2015	1	\$54.87	\$42.05	\$12.82	\$0.00	\$0.00	\$0.00	\$0.00
PTC INC SHS 69370C100											
12/07/2016			02/19/2014	2	\$94.52	\$76.58	\$17.94	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	1	\$46.78	\$38.29	\$8.49	\$0.00	\$0.00	\$0.00	\$0.00
PAREXEL INTL CORP 699462107											
12/07/2016			02/19/2014	1	\$63.41	\$51.93	\$11.48	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	1	\$65.59	\$51.93	\$13.66	\$0.00	\$0.00	\$0.00	\$0.00
PFIZER INC COM 717081103											
06/09/2016			02/19/2014	36	\$1,275.81	\$1,141.16	\$134.65	\$0.00	\$0.00	\$0.00	\$0.00
PING AN INS GROUP CO 72341E304											
12/07/2016			02/19/2014	5	\$54.34	\$42.73	\$11.61	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	2	\$19.73	\$17.09	\$2.64	\$0.00	\$0.00	\$0.00	\$0.00
PINNACLE FOOD INC DEL 72348P104											
12/07/2016			02/19/2014	2	\$99.90	\$56.36	\$43.54	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	1	\$53.27	\$28.18	\$25.09	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 55

Long Term Transactions

DESCRIPTION		CUSIP					Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed			
POOL CORPORATION 73278L105										
12/07/2016	12/03/2015	1	\$104 58	\$81 56	\$23 02	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	12/03/2015	2	\$212 03	\$163 13	\$48 90	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
PORTOLA PHARMACEUTICALS 737010108										
01/13/2016	02/19/2014	22	\$870 39	\$557 92	\$312 47	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
08/18/2016	02/19/2014	45	\$875 99	\$1,138 50	\$-262 51	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
PRESTIGE BRANDS HLDG INC 74112D101										
12/27/2016	04/02/2015	1	\$52 18	\$43 58	\$8 60	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
PROASSURANCE CORP 74267C106										
12/07/2016	02/19/2014	2	\$117 24	\$95 44	\$21 80	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	1	\$56 94	\$47 72	\$9 22	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
PROCTER & GAMBLE CO COM 742718109										
06/09/2016	02/19/2014	28	\$2,318 42	\$2,195 95	\$122 47	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	02/19/2014	8	\$670 34	\$627 41	\$42 93	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	6	\$507 05	\$470 56	\$36 49	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
PROOFPOINT INC 743424103										
12/07/2016	02/19/2014	4	\$293 99	\$158 77	\$135 22	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	2	\$142 13	\$79 38	\$62 75	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PROTO LABS INC SHS 743713109											
10/26/2016		07/24/2014		13	\$666.13	\$1,122.55	\$-456.42	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016		07/25/2014		10	\$512.41	\$854.49	\$-342.08	\$0.00	\$0.00	\$0.00	\$0.00
PRUDENTIAL FINANCIAL INC 74432QBZ7											
12/07/2016		10/21/2014		14000	\$14,306.04	\$14,134.98	\$171.06	\$0.00	\$0.00	\$0.00	\$0.00
PRUDENTIAL PLC ADR 74435K204											
12/07/2016		02/19/2014		4	\$166.31	\$178.20	\$-11.89	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$39.39	\$44.55	\$-5.16	\$0.00	\$0.00	\$0.00	\$0.00
QUAKER CHEM CORP 747316107											
12/07/2016		02/19/2014		2	\$265.70	\$141.30	\$124.40	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$129.58	\$70.65	\$58.93	\$0.00	\$0.00	\$0.00	\$0.00
QORVO INC SHS 74736K101											
05/05/2016		07/21/2014		45	\$2,105.75	\$1,915.12	\$190.63	\$0.00	\$0.00	\$0.00	\$0.00
QUALCOMM INC 747525103											
06/09/2016		02/19/2014		45	\$2,463.23	\$3,412.65	\$-949.42	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		9	\$611.05	\$682.53	\$-71.48	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		9	\$605.72	\$682.53	\$-76.81	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 57

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
QUALYS INC COM 74758T303											
05/11/2016		02/19/2014		77	\$2,003.52	\$2,028.85	\$-25.33	\$0.00	\$0.00	\$0.00	\$0.00
QUEST DIAGNOSTICS INC 74834L100											
06/09/2016		02/19/2014		28	\$2,194.39	\$1,480.92	\$713.47	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		20	\$1,773.44	\$1,057.80	\$715.64	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		17	\$1,576.03	\$899.13	\$676.90	\$0.00	\$0.00	\$0.00	\$0.00
RSP PERMIAN INC 74978Q105											
12/07/2016		11/11/2014		5	\$213.23	\$135.21	\$78.02	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		11/11/2014		3	\$136.41	\$81.12	\$55.29	\$0.00	\$0.00	\$0.00	\$0.00
RAYTHEON CO 75511I507											
06/09/2016		02/19/2014		41	\$5,571.01	\$3,924.73	\$1,646.28	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		9	\$1,333.45	\$861.52	\$471.93	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$286.39	\$191.45	\$94.94	\$0.00	\$0.00	\$0.00	\$0.00
REALPAGE INC 75606N109											
12/07/2016		02/19/2014		4	\$118.22	\$83.52	\$34.70	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		3	\$91.94	\$62.64	\$29.30	\$0.00	\$0.00	\$0.00	\$0.00
RIO TINTO PLC SPON ADR 767204100											
12/07/2016		02/19/2014		7	\$289.09	\$421.02	\$-131.93	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 58

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
RIO TINTO PLC SPON ADR 767204100											
12/27/2016	4	02/19/2014			\$153.50	\$240.58	\$-87.08	\$0.00	\$0.00	\$0.00	\$0.00
ROCHE HLDG LTD SPONSORED ADR 771195104											
12/07/2016	1	02/19/2014			\$27.43	\$37.25	\$-9.82	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	3	02/19/2014			\$85.13	\$111.73	\$-26.60	\$0.00	\$0.00	\$0.00	\$0.00
SEI INVESTMENTS CO 784117103											
06/09/2016	60	02/19/2014			\$3,063.11	\$2,055.12	\$1,007.99	\$0.00	\$0.00	\$0.00	\$0.00
11/04/2016	7	02/19/2014			\$308.54	\$239.76	\$68.78	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	8	02/19/2014			\$394.24	\$274.02	\$120.22	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	7	02/19/2014			\$347.00	\$239.76	\$107.24	\$0.00	\$0.00	\$0.00	\$0.00
SABMILLER PLC 78572M105											
06/09/2016	31	02/19/2014			\$1,924.76	\$1,460.72	\$464.04	\$0.00	\$0.00	\$0.00	\$0.00
08/05/2016	39	02/19/2014			\$2,216.54	\$1,837.68	\$378.86	\$0.00	\$0.00	\$0.00	\$0.00
08/08/2016	7	02/19/2014			\$397.03	\$329.84	\$67.19	\$0.00	\$0.00	\$0.00	\$0.00
08/16/2016	19	02/19/2014			\$1,079.67	\$895.28	\$184.39	\$0.00	\$0.00	\$0.00	\$0.00
08/17/2016	43	02/19/2014			\$2,443.91	\$2,026.16	\$417.75	\$0.00	\$0.00	\$0.00	\$0.00
09/06/2016	37	02/19/2014			\$2,167.80	\$1,743.44	\$424.36	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
SABMILLER PLC									
78572M105									
09/07/2016	02/19/2014	22	\$1,287.84	\$1,036.64	\$251.20	\$0.00	\$0.00	\$0.00	\$0.00
09/07/2016	01/09/2015	6	\$351.24	\$303.47	\$47.77	\$0.00	\$0.00	\$0.00	\$0.00
09/07/2016	01/09/2015	2	\$116.83	\$101.16	\$15.67	\$0.00	\$0.00	\$0.00	\$0.00
09/08/2016	01/09/2015	27	\$1,576.17	\$1,365.62	\$210.55	\$0.00	\$0.00	\$0.00	\$0.00
09/09/2016	01/09/2015	33	\$1,915.74	\$1,669.09	\$246.65	\$0.00	\$0.00	\$0.00	\$0.00
09/12/2016	01/09/2015	33	\$1,919.11	\$1,669.10	\$250.01	\$0.00	\$0.00	\$0.00	\$0.00
09/13/2016	01/09/2015	15	\$877.51	\$758.68	\$118.83	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016	01/09/2015	14	\$767.07	\$708.10	\$58.97	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016	01/12/2015	41	\$2,246.42	\$2,096.88	\$149.54	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016	01/30/2015	25	\$1,369.77	\$1,379.27	\$-9.50	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016	09/15/2015	1	\$54.79	\$46.83	\$7.96	\$0.00	\$0.00	\$0.00	\$0.00
10/19/2016	09/16/2015	23	\$1,260.20	\$1,244.29	\$15.91	\$0.00	\$0.00	\$0.00	\$0.00
SAGE THERAPEUTICS INC									
78667J108									
07/27/2016	06/12/2015	17	\$766.71	\$1,449.21	\$-682.50	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	06/12/2015	1	\$52.51	\$85.25	\$-32.74	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 60

Long Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
SAGE THERAPEUTICS INC 78667J108										
12/27/2016		06/12/2015	1	\$50.08	\$85.25	\$-35.17	\$0.00	\$0.00	\$0.00	\$0.00
SANOFI-SYNTHELABO 80105N105										
12/07/2016		02/19/2014	1	\$40.28	\$50.72	\$-10.44	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014	2	\$79.80	\$101.44	\$-21.64	\$0.00	\$0.00	\$0.00	\$0.00
SAP AKTIENGESSELLSCHAFT ADR 803054204										
12/07/2016		02/19/2014	2	\$168.52	\$159.86	\$8.66	\$0.00	\$0.00	\$0.00	\$0.00
SCHLUMBERGER LIMITED COM 806857108										
06/09/2016		02/19/2014	27	\$2,148.74	\$2,453.93	\$-305.19	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014	5	\$419.49	\$454.43	\$-34.94	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014	4	\$342.47	\$363.55	\$-21.08	\$0.00	\$0.00	\$0.00	\$0.00
SCHNEIDER ELEC SA ADR 80687P106										
12/07/2016		02/19/2014	7	\$97.23	\$119.74	\$-22.51	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014	3	\$40.86	\$51.32	\$-10.46	\$0.00	\$0.00	\$0.00	\$0.00
SHIN-ETSU CHEM-UNSPON 824551105										
12/07/2016		02/19/2014	3	\$57.89	\$41.28	\$16.61	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014	2	\$39.19	\$27.52	\$11.67	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 61

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SOTHEBYS HLDGS INC CL A LTD VTG 835898107											
05/09/2016		02/19/2014		54	\$1,419.74	\$2,535.30	\$-1,115.56	\$0.00	\$0.00	\$0.00	\$0.00
05/09/2016		03/25/2014		1	\$26.30	\$43.47	\$-17.17	\$0.00	\$0.00	\$0.00	\$0.00
SOUTH32 LTD SHS ADR 84473L105											
12/07/2016		05/29/2015		1	\$11.06	\$9.00	\$2.06	\$0.00	\$0.00	\$0.00	\$0.00
STIFEL FINANCIAL CORP 860630102											
12/07/2016		02/19/2014		5	\$256.04	\$238.50	\$17.54	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		5	\$257.94	\$238.50	\$19.44	\$0.00	\$0.00	\$0.00	\$0.00
SUMITOMO MITSUI-UNSPONS 86562M209											
12/07/2016		05/28/2014		13	\$104.95	\$106.23	\$-1.28	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		05/28/2014		8	\$62.98	\$65.37	\$-2.39	\$0.00	\$0.00	\$0.00	\$0.00
SYNOVUS FINL CORP SHS 87161C501											
12/07/2016		12/09/2014		5	\$201.74	\$133.63	\$68.11	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/09/2014		2	\$83.35	\$53.45	\$29.90	\$0.00	\$0.00	\$0.00	\$0.00
TAIWAN SEMICONDUCTOR ADR 874039100											
12/07/2016		02/19/2014		2	\$59.39	\$35.80	\$23.59	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		2	\$58.30	\$35.80	\$22.50	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 62

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TEAM HEALTH HOLDINGS INC 87817A107											
12/07/2016		12/07/2016	02/19/2014	2	\$85.09	\$90.16	\$-5.07	\$0.00	\$0.00	\$0.00	\$0.00
12/12/2016		12/12/2016	02/19/2014	33	\$1,402.42	\$1,487.63	\$-85.21	\$0.00	\$0.00	\$0.00	\$0.00
12/12/2016		12/12/2016	03/25/2014	1	\$42.49	\$44.27	\$-1.78	\$0.00	\$0.00	\$0.00	\$0.00
12/12/2016		12/12/2016	11/05/2014	24	\$1,019.95	\$1,380.82	\$-360.87	\$0.00	\$0.00	\$0.00	\$0.00
TECHNIP-COFLEXIP SPONSORED ADR 878546209											
12/07/2016		12/07/2016	02/19/2014	1	\$17.91	\$22.24	\$-4.33	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/27/2016	02/19/2014	8	\$142.63	\$177.95	\$-35.32	\$0.00	\$0.00	\$0.00	\$0.00
TERADYNE INC 880770102											
12/07/2016		12/07/2016	02/19/2014	2	\$50.67	\$40.50	\$10.17	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/27/2016	02/19/2014	1	\$25.99	\$20.25	\$5.74	\$0.00	\$0.00	\$0.00	\$0.00
TEVA PHARMACEUTICAL INDS ADR 881624209											
12/07/2016		12/07/2016	02/19/2014	1	\$34.93	\$47.08	\$-12.15	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/27/2016	02/19/2014	1	\$36.73	\$47.08	\$-10.35	\$0.00	\$0.00	\$0.00	\$0.00
TOKYO ELECTRON LTD SHS 889110102											
12/07/2016		12/07/2016	05/11/2015	8	\$174.63	\$117.57	\$57.06	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		12/27/2016	05/11/2015	5	\$117.89	\$73.48	\$44.41	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TOYOTA MTR CORP ADR 2 COM 892331307											
12/07/2016		02/19/2014		1	\$120.89	\$115.84	\$5.05	\$0.00	\$0.00	\$0.00	\$0.00
TREX INC 89531P105											
12/07/2016		05/07/2014		2	\$135.30	\$68.52	\$66.78	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		05/07/2014		1	\$66.58	\$34.26	\$32.32	\$0.00	\$0.00	\$0.00	\$0.00
TULLOW OIL PLC SHS 899415202											
12/27/2016		02/19/2014		3	\$5.37	\$19.53	\$-14.16	\$0.00	\$0.00	\$0.00	\$0.00
TUMI HLDGS INC 89969Q104											
08/02/2016		02/19/2014		87	\$2,327.24	\$1,761.75	\$565.49	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016		03/25/2014		2	\$53.50	\$46.66	\$6.84	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016		08/20/2014		33	\$882.75	\$772.56	\$110.19	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016		11/13/2014		44	\$1,177.00	\$921.58	\$255.42	\$0.00	\$0.00	\$0.00	\$0.00
08/02/2016		11/14/2014		21	\$561.75	\$437.38	\$124.37	\$0.00	\$0.00	\$0.00	\$0.00
TWO HARBORS INVT CORP 90187B101											
02/01/2016		02/19/2014		214	\$1,625.39	\$2,154.04	\$-528.65	\$0.00	\$0.00	\$0.00	\$0.00
02/02/2016		02/19/2014		68	\$509.41	\$684.46	\$-175.05	\$0.00	\$0.00	\$0.00	\$0.00
02/02/2016		03/25/2014		1	\$7.50	\$10.48	\$-2.98	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 64

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UMB FINL CORP 902788108											
12/07/2016		02/19/2014		1	\$78.27	\$57.32	\$20.95	\$0.00	\$0.00	\$0.00	\$0.00
ULTIMATE SOFTWARE GROUP INC 9038SD107											
12/07/2016		02/19/2014		1	\$194.88	\$167.03	\$27.85	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$184.17	\$167.03	\$17.14	\$0.00	\$0.00	\$0.00	\$0.00
UNILEVER NV NY SHARE F NEW 904784709											
12/07/2016		02/19/2014		2	\$79.78	\$77.76	\$2.02	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		1	\$40.79	\$38.88	\$1.91	\$0.00	\$0.00	\$0.00	\$0.00
UNITED PARCEL SVC INC CL B 911312106											
06/09/2016		02/19/2014		20	\$2,090.78	\$1,907.96	\$182.82	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016		02/19/2014		4	\$471.26	\$381.59	\$89.67	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016		02/19/2014		3	\$349.32	\$286.19	\$63.13	\$0.00	\$0.00	\$0.00	\$0.00
U S TREASURY BD STRIPPED 912803AP8											
01/27/2016		02/20/2014		17000	\$16,531.48	\$16,314.71	\$216.77	\$0.00	\$0.00	\$0.00	\$0.00
05/05/2016		02/20/2014		31000	\$30,430.84	\$29,874.32	\$556.52	\$0.00	\$0.00	\$0.00	\$0.00
05/20/2016		02/20/2014		4000	\$3,911.55	\$3,857.07	\$54.48	\$0.00	\$0.00	\$0.00	\$0.00
05/20/2016		06/09/2014		1000	\$977.89	\$964.84	\$13.05	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 65

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNITEDHEALTH GROUP 91324PAR3											
10/07/2016		02/20/2014		1000	\$1,297 07	\$1,140 90	\$156 17	\$0 00	\$0 00	\$0 00	\$0 00
UNIVERSAL ELECTRS INC 913483103											
12/07/2016		02/19/2014		5	\$322 36	\$182 36	\$140 00	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		2	\$130 19	\$72 95	\$57 24	\$0 00	\$0 00	\$0 00	\$0 00
VARIAN MED SYS INC 92220P105											
06/09/2016		02/19/2014		29	\$2,491 73	\$2,382 38	\$109 35	\$0 00	\$0 00	\$0 00	\$0 00
11/04/2016		02/19/2014		5	\$447 52	\$410 76	\$36 76	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016		02/19/2014		2	\$180 01	\$164 30	\$15 71	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		4	\$367 01	\$328 60	\$38 41	\$0 00	\$0 00	\$0 00	\$0 00
VEECO INSTRS INC DEL COM 922417100											
12/07/2016		02/19/2014		6	\$162 04	\$244 56	\$-82 52	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		3	\$88 81	\$122 28	\$-33 47	\$0 00	\$0 00	\$0 00	\$0 00
VERIZON COMMUNICATIONS INC 92343V104											
06/09/2016		02/19/2014		57	\$2,929 76	\$2,661 09	\$268 67	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016		02/19/2014		14	\$748 75	\$653 60	\$95 15	\$0 00	\$0 00	\$0 00	\$0 00
VERIZON COMMUNICATIONS 92343VCN2											
11/17/2016		11/05/2014		14000	\$14,175 84	\$13,890 94	\$284 90	\$0 00	\$0 00	\$0 00	\$0 00

THE NORA ROBERTS FAM FDN INC

Account Number 64174003

Page 66

DESCRIPTION

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
VISA INC CL A SHRS									
		92826C839							
06/09/2016	02/19/2014	54	\$4,395 72	\$3,041 34	\$1,354 38	\$0 00	\$0 00	\$0 00	\$0 00
11/02/2016	02/19/2014	7	\$566 34	\$394 25	\$172 09	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016	02/19/2014	9	\$703 19	\$506 89	\$196 30	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	7	\$549 17	\$394 25	\$154 92	\$0 00	\$0 00	\$0 00	\$0 00
VITAMIN SHOPPE INC									
		92849E101							
12/07/2016	02/19/2014	5	\$126 55	\$208 63	\$-82 08	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	3	\$72 67	\$125 17	\$-52 50	\$0 00	\$0 00	\$0 00	\$0 00
VODAFONE GROUP PLC SHS									
		93857W308							
12/07/2016	02/19/2014	4	\$99 36	\$274 62	\$-175 26	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	2	\$49 21	\$137 31	\$-88 10	\$0 00	\$0 00	\$0 00	\$0 00
WABCO HOLDINGS INC									
		92927K102							
12/07/2016	02/19/2014	2	\$217 53	\$195 96	\$21 57	\$0 00	\$0 00	\$0 00	\$0 00
WPP PLC NEW/ADR									
		92937A102							
12/07/2016	02/19/2014	1	\$103 95	\$113 10	\$-9 15	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016	02/19/2014	1	\$110 49	\$113 10	\$-2 61	\$0 00	\$0 00	\$0 00	\$0 00
WASTE CONNECTIONS INC									
		941053100							
06/01/2016	02/19/2014	141	\$9,363 11	\$6,099 83	\$3,263 28	\$0 00	\$0 00	\$0 00	\$0 00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 67

Long Term Transactions

DESCRIPTION	CUSIP				Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units								
WASTE CONNECTIONS INC 941053100											
06/01/2016	10/22/2014	26	\$1,726.53	\$1,268.94	\$457.59	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/01/2016	10/23/2014	6	\$398.43	\$297.63	\$100.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
WASTE MANAGEMENT INC NEW 94106L109											
06/09/2016	02/19/2014	98	\$6,118.25	\$4,061.78	\$2,056.47	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016	02/19/2014	25	\$1,742.32	\$1,036.17	\$706.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	02/19/2014	16	\$1,135.38	\$663.15	\$472.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
WEBMD HEALTH CORP 94770V102											
12/07/2016	08/05/2014	2	\$106.94	\$95.49	\$11.45	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	08/05/2014	1	\$50.13	\$47.75	\$2.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
WEST PHARMACEUTICAL SVCS INC 955306105											
12/07/2016	03/31/2015	3	\$251.29	\$180.57	\$70.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016	03/31/2015	1	\$85.22	\$60.19	\$25.03	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
WHITEWAVE FOODS CO 966244105											
11/10/2016	02/19/2014	43	\$2,319.94	\$1,161.86	\$1,158.08	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/10/2016	03/31/2014	44	\$2,373.89	\$1,258.94	\$1,114.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
WOODWARD GOVERNOR CO COM 980745103											
12/07/2016	08/12/2014	2	\$141.38	\$101.95	\$39.43	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 68

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WOODWARD GOVERNOR CO COM 980745103											
12/27/2016			08/12/2014	1	\$70.18	\$50.98	\$19.20	\$0.00	\$0.00	\$0.00	\$0.00
WYNN MACAU LTD SHS 98313R106											
12/07/2016			02/19/2014	3	\$53.87	\$131.01	\$-77.14	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	1	\$15.51	\$43.67	\$-28.16	\$0.00	\$0.00	\$0.00	\$0.00
XEROX CORPORATION COM 984121103											
12/23/2016			02/19/2014	510	\$4,568.81	\$5,517.64	\$-948.83	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	439	\$3,924.96	\$4,749.50	\$-824.54	\$0.00	\$0.00	\$0.00	\$0.00
12/28/2016			02/19/2014	587	\$5,158.98	\$6,350.69	\$-1,191.71	\$0.00	\$0.00	\$0.00	\$0.00
12/29/2016			02/19/2014	783	\$6,832.08	\$8,471.20	\$-1,639.12	\$0.00	\$0.00	\$0.00	\$0.00
12/30/2016			02/19/2014	165	\$1,444.27	\$1,785.12	\$-340.85	\$0.00	\$0.00	\$0.00	\$0.00
12/30/2016			06/08/2015	91	\$796.53	\$1,023.59	\$-227.06	\$0.00	\$0.00	\$0.00	\$0.00
YUM BRANDS INC 988498101											
06/09/2016			02/19/2014	44	\$3,696.81	\$3,222.71	\$474.10	\$0.00	\$0.00	\$0.00	\$0.00
12/07/2016			02/19/2014	5	\$320.20	\$262.33	\$57.87	\$0.00	\$0.00	\$0.00	\$0.00
12/27/2016			02/19/2014	3	\$192.63	\$157.40	\$35.23	\$0.00	\$0.00	\$0.00	\$0.00

U.S. TRUST



Bank of America Private Wealth Management

THE NORA ROBERTS FAM FDN INC

2016 Tax Information Letter

Account Number 64174003

Page 69

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
YUM CHINA HOLDINGS INC 98850P109											
12/07/2016			02/19/2014	5	\$139 96	\$103 89	\$36 07	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016			02/19/2014	5	\$130 31	\$103 89	\$26 42	\$0 00	\$0 00	\$0 00	\$0 00
ZELTIQ AESTHETICS INC 98933Q108											
12/07/2016			02/05/2015	3	\$131 15	\$103 40	\$27 75	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016			02/05/2015	2	\$87 71	\$68 93	\$18 78	\$0 00	\$0 00	\$0 00	\$0 00
ZILLOW GROUP INC 98954M101											
10/31/2016			02/19/2014	16	\$532 27	\$418 22	\$114 05	\$0 00	\$0 00	\$0 00	\$0 00
ZILLOW GROUP INC SHS 98954M200											
10/31/2016			02/19/2014	32	\$1,073 36	\$892 97	\$180 39	\$0 00	\$0 00	\$0 00	\$0 00
ZURICH INSURANCE GROUP 989825104											
01/21/2016			02/19/2014	27	\$577 81	\$773 87	\$-196 06	\$0 00	\$0 00	\$0 00	\$0 00
12/07/2016			02/19/2014	3	\$82 16	\$80 49	\$1 67	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016			02/19/2014	1	\$27 29	\$26 83	\$0 46	\$0 00	\$0 00	\$0 00	\$0 00
UBS GROUP AG NAMEN-AKT H42097107											
12/07/2016			02/19/2014	5	\$86 37	\$95 06	\$-8 69	\$0 00	\$0 00	\$0 00	\$0 00
12/27/2016			02/19/2014	7	\$110 34	\$133 09	\$-22 75	\$0 00	\$0 00	\$0 00	\$0 00
Total Long Term Gain/Loss					\$833,029 01	\$788,890 06	\$44,138 95	\$428 87	\$2,101 01	\$0 00	\$0 00

FORM 990-PF	ACCOUNTING FEES			STATEMENT	1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	2,750.	2,750.		0.	
TO FORM 990-PF, PG 1, LN 16B	2,750.	2,750.		0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	43,424.	39,082.		4,342.	
INVESTMENT EXPENSE	515.	515.		0.	
TO FORM 990-PF, PG 1, LN 16C	43,939.	39,597.		4,342.	

FORM 990-PF	TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	2,024.	2,024.		0.	
FEDERAL TAX PAYMENTS	4,768.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	6,792.	2,024.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EVENT SUPPLIES	2,674.	0.		2,674.	
TO FORM 990-PF, PG 1, LN 23	2,674.	0.		2,674.	

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT AND AGENCY SECURITIES	X		160,561.	160,694.
TOTAL U.S. GOVERNMENT OBLIGATIONS			160,561.	160,694.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			160,561.	160,694.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	2,371,885.	2,680,625.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,371,885.	2,680,625.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	599,851.	599,242.
TOTAL TO FORM 990-PF, PART II, LINE 10C	599,851.	599,242.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	COST	637,361.	608,251.
TOTAL TO FORM 990-PF, PART II, LINE 13		637,361.	608,251.
