Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: LG FAMILY FOUNDATION

A Employer identification number: 46-4345106

Number and street (or P O box number if mail is not delivered to street address): P.O BOX 1501, NJ2-130-03-31 | Room/suite

B Telephone number (see instructions): 609-274-6834

City or town, state or province, country, and ZIP or foreign postal code: PENNINGTON NJ 08534-1501

Foreign country name | Foreign province/state/county | Foreign postal code

C If exemption application is pending, check here ▶ ☐

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G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 8,267,639

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	5,002,072			
	2	Check ▶☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	59,974	53,574		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	887,494			
	b	Gross sales price for all assets on line 6a 2,185,099				
	7	Capital gain net income (from Part IV, line 2)		887,494		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	42	42		
	12	**Total.** Add lines 1 through 11	5,949,582	941,110	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	17,561	10,537		7,024
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,406	946		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	18,967	11,483	0	7,024
	25	Contributions, gifts, grants paid	271,500			271,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	290,467	11,483	0	278,524
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	5,659,115			
	b	**Net investment income** (if negative, enter -0-)		929,627		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	57,509	3,115	3,115
2	Savings and temporary cash investments	77,260	1,447,791	1,447,791
3	Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	129,961		
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment. basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	2,288,565	6,774,322	6,816,734
14	Land, buildings, and equipment: basis ▶			
	Less. accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,553,295	8,225,228	8,267,640
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	2,553,295	8,225,228	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	2,553,295	8,225,228	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,553,295	8,225,228	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,553,295
2	Enter amount from Part I, line 27a	2	5,659,115
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	12,818
4	Add lines 1, 2, and 3	4	8,225,228
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,225,228

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	887,494
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	169,829	2,637,457	0 064391
2014	199,064	2,867,139	0 069429
2013		3,000,870	0 000000
2012			0 000000
2011			0.000000

2	**Total** of line 1, column (d)	2	0 133820
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044607
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,660,464
5	Multiply line 4 by line 3	5	118,675
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	9,296
7	Add lines 5 and 6	7	127,971
8	Enter qualifying distributions from Part XII, line 4	8	278,524

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ______________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	9,296
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	9,296
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	9,296
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 460		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	460
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	8,836
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			

14 The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N.A Telephone no ▶ 609-274-6834
Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)·			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE HALL GUFFEY 260 WEST BROADWAY 9B NEW YORK, NY 10013	DIRECTOR, PRES	0		
LUCY MACKILLIGIN 260 WEST BROADWAY 9B NEW YORK, NY 10013	DIRECTOR, PRES	0		
HAMISH BURT ~~PROMETHAN INVESTMENTS LLC LONDON EC4~~	DIRECTOR	0		
860 WASHINGTON ST. FL6 NEW YORK NY 10014				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,603,891
b	Average of monthly cash balances	1b	97,088
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,700,979
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,700,979
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)	4	40,515
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,660,464
6	**Minimum investment return.** Enter 5% of line 5	6	133,023

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	133,023
2a	Tax on investment income for 2016 from Part VI, line 5	2a	9,296		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	9,296
3	Distributable amount before adjustments Subtract line 2c from line 1			3	123,727
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	123,727
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	123,727

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	278,524
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	278,524
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	9,296
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	269,228

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					123,727
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0	
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2016					
a From 2011					
b From 2012					
c From 2013					
d From 2014	74,563				
e From 2015	38,876				
f **Total** of lines 3a through e		113,439			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 278,524					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions)					
c Treated as distributions out of corpus (Election required—see instructions)					
d Applied to 2016 distributable amount					123,727
e Remaining amount distributed out of corpus		154,797			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		268,236			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		268,236			
10 Analysis of line 9					
a Excess from 2012					
b Excess from 2013					
c Excess from 2014	74,563				
d Excess from 2015	38,876				
e Excess from 2016	154,797				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter: (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

LAWRENCE HALL GUFFEY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Statement				
Total			▶ **3a**	271,500
b *Approved for future payment*				
NONE				
Total			▶ **3b**	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	59,974	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	887,494	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a OTHER INCOME			01	42	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		947,510	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 947,510

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 05.09.17 | Title: DIRECTOR/PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LAWRENCE F MCGUIRE		4/28/2017		P01233953
Firm's name ► PRICEWATERHOUSECOOPERS, LLP			Firm's EIN ►	13-4008324
Firm's address ► 600 GRANT STREET, PITTSBURGH PA, 15219			Phone no	412-355-6000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.***

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
LG FAMILY FOUNDATION	46-4345106

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: LG FAMILY FOUNDATION

Employer identification number: 46-4345106

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LAWRENCE HALL GUFFEY 260 BROADWAY 9B NEW YORK NY 10013 Foreign State or Province. Foreign Country.	$ 5,002,072	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
	Foreign State or Province. Foreign Country	$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
	Foreign State or Province. Foreign Country	$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
	Foreign State or Province Foreign Country	$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
LG FAMILY FOUNDATION	46-4345106

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
LG FAMILY FOUNDATION	46-4345106

Part III ***Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For. Prov. Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For. Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For Prov Country	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
For. Prov Country	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	Street	City	State	Zip Code	Foreign Country	Relationship	Foundation Status	Purpose of grant/contribution	Amount
CHILDREN'S MUSEUM OF THE EAST END	376 BRIDGEHAMPTON SAG HARBOR	BRIDGEHAMPTON	NY	11932		NONE	PC	GENERAL OPERATING	55,000
NAVY SEAL FOUNDATION INC	PO BOX 5965	VIRGINIA BEACH	VA	23471		NONE	PC	GENERAL OPERATING	72,500
GRACE CHURCH SCHOOL	86 4TH AVE	NEW YORK	NY	10003		NONE	PC	GENERAL OPERATING	5,000
PARIS REVIEW FOUNDATION INC	62 WHITE STREET, 4TH FL	NEW YORK	NY	10013		NONE	PC	GENERAL OPERATING	30,000
AMERICAN MUSEUM OF NATURAL HISTORY	CENTRAL PARK WEST AT 79TH ST	NEW YORK	NY	10024		NONE	PC	GENERAL OPERATING	20,000
GO PROJECT INC	50 COOPER SQ FL 3	NEW YORK	NY	10003		NONE	PC	GENERAL OPERATING	10,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	Street	City	State	Zip Code	Foreign Country	Relationship	Foundation Status	Purpose of grant/contribution	Amount
WASHINGTON MARKET SCHOOL	55 HUDSON ST	NEW YORK	NY	10013		NONE	PC	GENERAL OPERATING	25,000
NEW YORK SUN WORKS INC	307 7TH AVE RM 1201	NEW YORK	NY	10001		NONE	PC	GENERAL OPERATING	5,000
BRAZIL FOUNDATION	345 7TH AVE RM 1401	NEW YORK	NY	10001		NONE	PC	GENERAL OPERATING	3,000
NEW YORK SHAKESPEARE FESTIVAL	425 LAFAYETTE ST	NEW YORK	NY	10003		NONE	PC	GENERAL OPERATING	15,000
ENTERPRISE COMMUNITY PARTNERS	50 HURT PLAZA, STE 649	ATLANTA	GA	30303		NONE	PC	GENERAL OPERATING	6,000
CHALLENGED ATHLETES INC	9591 WAPLES ST	SAN DIEGO	CA	92121		NONE	PC	GENERAL OPERATING	25,000

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	21,693		Capital Gains/Losses	2,185,099	1,297,605	887
Short Term CG Distributions	0		Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	3/24/2016	16	16				0	
2	MATTHEWS ASIA DIVIDEND	577125107	X				4/22/2014	3/24/2016	16	16				0	
3	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	6/13/2016	2	0				0	
4	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	6/13/2016	4	0				0	
5	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	6/13/2016	1,495	1,432				0	
6	MATTHEWS ASIA DIVIDEND	577125107	X				6/21/2016	9/9/2016	11	11				0	
7	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	9/9/2016	754	0				0	
8	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	9/9/2016	404	381				0	
9	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	9/8/2016	3	2				0	
10	MATTHEWS ASIA DIVIDEND	577125107	X				6/21/2016	9/9/2016	417	398				0	
11	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	9/9/2016	1	0				0	
12	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	9/12/2016	12	0				0	
13	MATTHEWS ASIA DIVIDEND	577125107	X				11/28/2016	12/7/2016	16	16				0	
14	MATTHEWS ASIA DIVIDEND	577125107	X				12/7/2016	12/7/2016	9	9				0	
15	MATTHEWS ASIA DIVIDEND	577125107	X				11/29/2016	12/7/2016	2,841	2,856				30	
16	MATTHEWS ASIA DIVIDEND	577125107	X				9/12/2016	12/7/2016	2	0				0	
17	MATTHEWS ASIA DIVIDEND	577125107	X				11/28/2016	12/7/2016	16	16				0	
18	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	9/9/2016	2,780	2,529				0	
19	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	2/3/2016	1,138	1,149				0	
20	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	2/3/2016	71	72				0	
21	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	2/3/2016	71	72				0	
22	NUVEEN SHORT DURATION	67065Q699	X				3/4/2015	2/3/2016	10	10				0	
23	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	10	10				0	
24	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	71	72				1	
25	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	386	391				4	
26	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	2/3/2016	71	72				1	
27	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	10	10				0	
28	THE MERGER FUND CL INV	589509108	X				9/24/2014	2/3/2016	1,173	1,266				0	
29	THE MERGER FUND CL INV	589509108	X				9/24/2014	3/3/2016	9	9				0	
30	THE MERGER FUND CL INV	589509108	X				3/24/2016	6/13/2016	5	5				0	
31	THE MERGER FUND CL INV	589509108	X				2/4/2016	6/13/2016	7	0				0	
32	THE MERGER FUND CL INV	589509108	X				2/4/2016	6/13/2016	1	0				0	
33	THE MERGER FUND CL INV	589509108	X				10/16/2014	6/13/2016	1,952	2,084				0	
34	THE MERGER FUND CL INV	589509108	X				9/24/2014	2/3/2016	659	723				0	
35	THE MERGER FUND CL INV	589509108	X				2/4/2016	12/7/2016	1	0				0	
36	THE MERGER FUND CL INV	589509108	X				12/28/2015	2/3/2016	5	5				0	
37	THE MERGER FUND CL INV	589509108	X				9/24/2014	2/3/2016	6	7				0	
38	THE MERGER FUND CL INV	589509108	X				9/24/2014	12/7/2016	3,815	3,995				180	
39	THE MERGER FUND CL INV	589509108	X				2/4/2016	3/2/2016	14	14				0	
40	THE MERGER FUND CL INV	589509108	X				9/24/2014	3/2/2016	2,160	2,318				158	
41	THE MERGER FUND CL INV	589509108	X				10/16/2014	12/7/2016	236	248				13	
42	MICROSOFT CORP	594918104	X				10/9/2015	2/2/2016	11,211	9,827				0	1
43	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	3/24/2016	29,970	30,205				0	
44	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	3/2/2016	3,789	3,837				0	
45	NUVEEN SHORT DURATION	67065Q699	X				2/29/2016	3/24/2016	9	9				0	
46	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	3/24/2016	0	0				0	
47	NUVEEN SHORT DURATION	67065Q699	X				5/31/2016	6/13/2016	6	6				0	
48	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	3/2/2016	344	349				4	
49	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	6/13/2016	1	0				0	
50	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	3/2/2016	8	8				0	
51	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	6/13/2016	581	0				0	
52	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	3/24/2016	0	0				0	
53	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	6/13/2016	1,073	1,067				0	
54	NUVEEN SHORT DURATION	67065Q699	X				4/29/2016	6/13/2016	10	10				0	
55	NUVEEN SHORT DURATION	67065Q699	X				4/29/2016	6/13/2016	352	349				0	
56	NUVEEN SHORT DURATION	67065Q699	X				1/29/2016	2/3/2016	1	1				0	
57	NUVEEN SHORT DURATION	67065Q699	X				12/31/2015	2/3/2016	468	465				0	
58	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	2/3/2016	1	1				0	
59	NUVEEN SHORT DURATION	67065Q699	X				3/20/2015	2/3/2016	71	72				0	
60	NUVEEN SHORT DURATION	67065Q699	X				3/1/2015	2/3/2016	387	389				0	
61	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	10	10				0	
62	NUVEEN SHORT DURATION	67065Q699	X				2/22/2015	2/3/2016	387	390				0	
63	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	2/3/2016	386	390				4	
64	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	3/2/2016	10	10				0	
65	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/2/2016	1	1				0	
66	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/2/2016	2,103	2,436				0	
67	WELLS FARGO ABSOLUTE	94987W737	X				12/29/2015	3/24/2016	10	11				0	
68	WELLS FARGO ABSOLUTE	94987W737	X				12/14/2015	3/24/2016	997	1,002				0	
69	WELLS FARGO ABSOLUTE	94987W737	X				3/3/2015	3/24/2016	55,838	62,492				0	
70	WELLS FARGO ABSOLUTE	94987W737	X				12/31/2014	3/24/2016	10	11				0	-6
71	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/24/2016	91	102				0	
72	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/24/2016	23,010	26,256				0	-3

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	2,185,099	1,297,605	887
Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/24/2016	60	68				0	
74	AQR MULTI STRATEGY	00203H792	X				3/24/2016	6/13/2016	2,370	2,432				0	
75	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	3/24/2016	8	9				0	
76	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	970	1,063				0	
77	JP MORGAN STRATEGIC	4812A4351	X				4/19/2014	2/3/2016	11	13				2	
78	JP MORGAN STRATEGIC	4812A4351	X				4/19/2014	2/3/2016	33	40				0	
79	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	55	60				0	
80	JP MORGAN STRATEGIC	4812A4351	X				1/28/2016	2/3/2016	3	3				0	
81	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	22	24				2	
82	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	678	740				0	
83	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	164	179				0	
84	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	2/3/2016	131	143				0	
85	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	5	5				0	
86	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	227	251				0	
87	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	1,102	1,194				0	
88	JP MORGAN STRATEGIC	4812A4351	X				8/30/2016	9/9/2016	135	139				0	
89	JP MORGAN STRATEGIC	4812A4351	X				4/28/2016	9/9/2016	12	11				0	
90	JP MORGAN STRATEGIC	4812A4351	X				7/28/2016	9/9/2016	12	11				0	
91	JP MORGAN STRATEGIC	4812A4351	X				7/16/2015	9/9/2016	29,766	29,992				0	
92	JP MORGAN STRATEGIC	4812A4351	X				11/3/2014	9/9/2016	12	12				0	
93	JP MORGAN STRATEGIC	4812A4351	X				9/30/2014	9/9/2016	278	287				0	
94	JP MORGAN STRATEGIC	4812A4351	X				6/9/2014	9/9/2016	12	12				0	
95	JP MORGAN STRATEGIC	4812A4351	X				5/1/2014	9/9/2016	12	12				0	
96	LINEAR TECHNOLOGY CORP	535678106	X				4/3/2014	2/3/2016	207	249				0	
97	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	3/24/2016	30,129	32,024				0	-1
98	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/24/2016	11	12				0	
99	JP MORGAN STRATEGIC	4812A4351	X				6/1/2014	3/24/2016	11	12				0	
100	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/24/2016	17,151	18,400				0	-1
101	JP MORGAN STRATEGIC	4812A4351	X				8/1/2014	3/24/2016	11	12				0	
102	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	3/24/2016	11	12				0	
103	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/28/2016	6	7				0	
104	JP MORGAN STRATEGIC	4812A4351	X				5/1/2014	6/13/2016	11	12				1	
105	JP MORGAN STRATEGIC	4812A4351	X				4/28/2016	6/13/2016	45	45				0	
106	JP MORGAN STRATEGIC	4812A4351	X				3/3/2016	6/13/2016	252	0				0	
107	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	6/13/2016	2	2				0	
108	JP MORGAN STRATEGIC	4812A4351	X				6/2/2014	3/24/2016	11	12				0	
109	JP MORGAN STRATEGIC	4812A4351	X				5/1/2014	6/13/2016	91	96				0	
110	JP MORGAN STRATEGIC	4812A4351	X				5/27/2016	6/13/2016	2	2				0	
111	JP MORGAN STRATEGIC	4812A4351	X				3/3/2016	6/13/2016	1	0				0	
112	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	6/13/2016	103	106				4	
113	AQR MULTI STRATEGY	00203H792	X				3/24/2016	6/13/2016	2	2				0	
114	AQR MULTI STRATEGY	00203H792	X				11/30/2016	12/7/2016	5	5				0	
115	AQR MULTI STRATEGY	00203H792	X				11/29/2016	12/7/2016	10	10				0	
116	AQR MULTI STRATEGY	00203H792	X				11/29/2016	12/7/2016	5,078	5,115				37	
117	AQR MULTI STRATEGY	00203H792	X				3/24/2016	12/7/2016	1	1				0	
118	AQR MANAGED FUTURES	00203H859	X				10/9/2015	2/3/2016	1,808	1,859				0	
119	AQR MANAGED FUTURES	00203H859	X				10/9/2015	2/3/2016	7	7				0	
120	AQR MANAGED FUTURES	00203H859	X				10/9/2015	2/4/2016	6	6				0	
121	AQR MANAGED FUTURES	00203H859	X				10/9/2015	3/2/2016	4	5				0	
122	AQR MANAGED FUTURES	00203H859	X				10/9/2015	3/2/2016	2,105	2,184				79	
123	AQR MANAGED FUTURES	00203H859	X				10/9/2015	3/2/2016	3	4				0	
124	AQR MANAGED FUTURES	00203H859	X				10/9/2015	6/13/2016	2,423	2,573				0	
125	AQR MANAGED FUTURES	00203H859	X				3/24/2016	6/13/2016	3	3				0	
126	AQR MANAGED FUTURES	00203H859	X				10/9/2015	12/7/2016	4,679	5,427				748	
127	AQR MANAGED FUTURES	00203H859	X				11/30/2016	12/7/2016	2	2				0	
128	KOPERNIK GLOBAL ALL CAP	00766Y299	X				12/31/2015	1/6/2016	4	4				0	
129	AUTOMATIC DATA PROC	053015103	X				1/6/2015	2/3/2016	243	247				0	
130	AUTOMATIC DATA PROC	053015103	X				1/6/2015	3/2/2016	255	247				0	
131	AUTOMATIC DATA PROC	053015103	X				7/2/2015	3/24/2016	969	886				0	
132	AUTOMATIC DATA PROC	053015103	X				11/7/2012	3/24/2016	7,664	4,262				0	3
133	JP MORGAN STRATEGIC	4812A4351	X				5/24/2014	6/13/2016	11	12				1	
134	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	6/13/2016	271	284				13	
135	JP MORGAN STRATEGIC	4812A4351	X				5/24/2014	6/13/2016	11	12				1	
136	JP MORGAN STRATEGIC	4812A4351	X				9/30/2014	9/9/2016	104	106				0	
137	JP MORGAN STRATEGIC	4812A4351	X				4/29/2015	9/9/2016	12	12				0	
138	JP MORGAN STRATEGIC	4812A4351	X				7/16/2015	9/9/2016	12	12				0	
139	JP MORGAN STRATEGIC	4812A4351	X				7/30/2015	9/9/2016	12	12				0	
140	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	6/13/2016	158	166				0	
141	JP MORGAN STRATEGIC	4812A4351	X				7/28/2016	9/9/2016	169	172				0	
142	JP MORGAN STRATEGIC	4812A4351	X				9/29/2014	9/9/2016	17,037	17,402				0	
143	JP MORGAN STRATEGIC	4812A4351	X				12/31/2014	9/9/2016	12	12				0	
144	JP MORGAN STRATEGIC	4812A4351	X				4/4/2014	9/9/2016	46	48				0	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	21,693		Capital Gains/Losses	2,185,099	1,297,605	887
Short Term CG Distributions	0		Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
145	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	6/13/2016	46	48				2	
146	JP MORGAN STRATEGIC	4812A4351	X				5/25/2014	9/9/2016	12	12				0	
147	JP MORGAN STRATEGIC	4812A4351	X				8/30/2016	9/9/2016	9	9				0	
148	AUTOMATIC DATA PROC	053015103	X				1/6/2015	3/24/2016	969	907				0	
149	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	2/3/2016	1,798	1,869				0	
150	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	2/3/2016	3	4				0	
151	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	3/2/2016	0	0				0	
152	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	3/2/2016	2,066	2,188				0	
153	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	3/3/2016	4	4				0	
154	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	3/24/2016	78,181	82,098				0	-3
155	LINEAR TECHNOLOGY CORP	535678106	X				4/3/2014	3/2/2016	212	244				0	
156	LINEAR TECHNOLOGY CORP	535678106	X				4/3/2014	3/2/2016	42	50				0	
157	LINEAR TECHNOLOGY CORP	535678106	X				4/7/2015	3/24/2016	1,279	1,345				0	
158	LINEAR TECHNOLOGY CORP	535678106	X				5/3/2014	3/24/2016	838	892				0	
159	LINEAR TECHNOLOGY CORP	535678106	X				4/3/2014	3/24/2016	706	782				0	
160	LINEAR TECHNOLOGY CORP	535678106	X				11/10/2014	3/24/2016	3,793	3,744				0	
161	LINEAR TECHNOLOGY CORP	535678106	X				1/6/2015	3/24/2016	3,440	3,459				0	
162	LORD ABBETT FLOATING	543916167	X				11/30/2016	12/7/2016	5,060	5,038				0	
163	LORD ABBETT FLOATING	543916167	X				9/9/2016	12/7/2016	2	2				0	
164	LORD ABBETT FLOATING	543916167	X				11/30/2016	12/8/2016	4	4				0	
165	LORD ABBETT SHORT	543916464	X				4/24/2014	2/3/2016	4	5				0	
166	LORD ABBETT SHORT	543916464	X				1/29/2016	2/3/2016	0	0				0	
167	LORD ABBETT SHORT	543916464	X				4/3/2014	2/3/2016	321	341				20	
168	LORD ABBETT SHORT	543916464	X				4/3/2014	2/3/2016	664	705				0	
169	LORD ABBETT SHORT	543916464	X				4/3/2014	2/3/2016	1,134	1,201				0	
170	LORD ABBETT SHORT	543916464	X				4/3/2014	2/3/2016	3	3				0	
171	LORD ABBETT SHORT	543916464	X				4/3/2014	3/2/2016	3	3				0	
172	LORD ABBETT SHORT	543916464	X				4/29/2014	3/2/2016	321	340				19	
173	LORD ABBETT SHORT	543916464	X				4/3/2014	3/2/2016	2,166	2,302				137	
174	BLACKSTONE ALT MULTI	09257V201	X				10/9/2015	3/24/2016	9	10				0	
175	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	2/3/2016	2,127	2,131				0	
176	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	2/3/2016	4	4				0	
177	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	2/3/2016	5	5				0	
178	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	3/2/2016	2,474	2,492				18	
179	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	3/2/2016	7	7				0	
180	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	3/3/2016	6	6				0	
181	DOUBLELINE TOTAL RETURN	258620103	X				10/31/2015	6/13/2016	2,490	2,494				0	
182	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	6/13/2016	197	197				0	
183	DOUBLELINE TOTAL RETURN	258620103	X				3/24/2016	6/13/2016	7	7				0	
184	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	9/9/2016	6,322	6,339				0	
185	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	9/9/2016	1	1				0	
186	DOUBLELINE TOTAL RETURN	258620103	X				3/24/2016	9/9/2016	1	1				0	
187	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	12/7/2016	11	11				0	
188	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	12/7/2016	4,919	5,039				120	
189	DOUBLELINE TOTAL RETURN	258620103	X				10/9/2015	12/7/2016	7	7				0	
190	DOUBLELINE TOTAL RETURN	258620103	X				11/30/2016	12/7/2016	1	1				0	
191	DOVER CORP	260003108	X				11/10/2014	2/3/2016	230	325				0	
192	DOVER CORP	260003108	X				11/10/2014	3/2/2016	249	325				0	
193	DOVER CORP	260003108	X				11/10/2014	3/24/2016	128	154				0	
194	DOVER CORP	260003108	X				12/10/2014	3/24/2016	833	980				0	
195	DOVER CORP	260003108	X				11/10/2014	3/24/2016	2,435	3,087				0	
196	DOVER CORP	260003108	X				4/7/2015	3/24/2016	449	502				0	
197	DOVER CORP	260003108	X				1/6/2015	3/24/2016	5,895	6,296				0	
198	FPA CRESCENT FUND	30254T759	X				4/3/2014	2/3/2016	9	11				0	
199	FPA CRESCENT FUND	30254T759	X				12/22/2015	2/3/2016	7	7				0	
200	FPA CRESCENT FUND	30254T759	X				4/3/2014	2/3/2016	3,716	4,314				0	
201	FPA CRESCENT FUND	30254T759	X				4/3/2014	3/2/2016	13	15				0	
202	NUVEEN SHORT DURATION	67065Q699	X				5/31/2016	6/13/2016	362	360				0	
203	NUVEEN SHORT DURATION	67065Q699	X				3/4/2015	6/13/2016	352	352				0	
204	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	6/14/2016	9	0				0	
205	NUVEEN SHORT DURATION	67065Q699	X				2/4/2016	12/7/2016	79	0				0	
206	NUVEEN SHORT DURATION	67065Q699	X				11/12/2015	12/7/2016	2,592	0				0	2
207	NUVEEN SHORT DURATION	67065Q699	X				12/7/2016	12/7/2016	0	0				0	
208	NUVEEN SHORT DURATION	67065Q699	X				2/3/2015	12/7/2016	1,355	1,416				61	
209	NUVEEN SHORT DURATION	67065Q699	X				6/14/2016	12/7/2016	1,031	1,093				62	
210	NUVEEN SHORT DURATION	67065Q699	X				9/9/2016	12/7/2016	1,227	1,299				71	
211	NUVEEN SHORT DURATION	67065Q699	X				8/31/2016	12/7/2016	10	10				1	
212	PJT PARTNERS INC SHS	69343T107	X				5/5/2016	11/23/2016	299,888	0				0	299
213	PJT PARTNERS INC SHS	69343T107	X				5/5/2016	11/28/2016	260,200	0				0	260
214	PJT PARTNERS INC SHS	69343T107	X				4/19/2016	11/28/2016	37,921	0				0	37
215	PJT PARTNERS INC SHS	69343T107	X				5/5/2016	11/29/2016	173,607	0				0	173
216	PJT PARTNERS INC SHS	69343T107	X				10/13/2016	11/30/2016	2,277	0				0	2

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	21,693		Capital Gains/Losses	2,185,099	1,297,605	887
Short Term CG Distributions	0		Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
217	PJT PARTNERS INC SHS	69343T107	X				5/5/2016	11/30/2016	145,572	0				0	145
218	PARKER HANNIFIN CORP	701094104	X				10/9/2015	2/3/2016	193	212				0	
219	PARKER HANNIFIN CORP	701094104	X				10/9/2015	3/2/2016	210	212				0	
220	PARKER HANNIFIN CORP	701094104	X				10/9/2015	3/24/2016	9,626	9,341				0	
221	PAYCHEX INC	704326107	X				11/7/2012	1/20/2016	8,373	5,910				0	2
222	PAYCHEX INC	704326107	X				1/6/2015	1/20/2016	740	736				0	
223	PUTNAM DIVERSIFIED	746704501	X				1/20/2016	2/3/2016	4	4				0	
224	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	7	7				1	
225	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	26	29				3	
226	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	683	758				75	
227	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	637	707				0	
228	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	2	2				0	
229	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	1,235	1,371				0	
230	PUTNAM DIVERSIFIED	746704501	X				8/26/2015	2/3/2016	7	7				0	
231	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	7	7				1	
232	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	2/3/2016	683	758				75	
233	PUTNAM DIVERSIFIED	746704501	X				2/22/2016	3/2/2016	0	1				0	
234	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	3/2/2016	6	7				0	
235	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	3/2/2016	676	735				0	
236	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	3/2/2016	26	28				0	
237	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/2/2016	2,444	2,741				0	
238	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/2/2016	669	751				81	
239	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/2/2016	6	7				1	
240	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	7	7				0	
241	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	7	7				0	
242	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	2,031	2,260				0	
243	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	96,031	106,879				0	-10
244	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	673	751				0	
245	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	2	2				0	
246	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	3/24/2016	675	756				0	
247	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	7	7				0	
248	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	3/24/2016	7	7				0	
249	PUTNAM DIVERSIFIED	746704501	X				3/21/2016	3/28/2016	1	1				0	
250	PUTNAM DIVERSIFIED	746704501	X				5/20/2016	6/13/2016	4	4				0	
251	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	6/13/2016	286	313				0	
252	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	6/13/2016	692	758				0	
253	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	6/13/2016	7	7				0	
254	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	6/13/2016	7	8				1	
255	PUTNAM DIVERSIFIED	746704501	X				8/22/2016	9/9/2016	7	7				0	
256	PUTNAM DIVERSIFIED	746704501	X				8/22/2016	9/9/2016	0	0				0	
257	PUTNAM DIVERSIFIED	746704501	X				8/22/2016	9/9/2016	244	243				0	
258	PUTNAM DIVERSIFIED	746704501	X				7/20/2016	9/9/2016	169	167				0	
259	PUTNAM DIVERSIFIED	746704501	X				10/9/2015	9/9/2016	7	7				0	
260	PUTNAM DIVERSIFIED	746704501	X				10/9/2015	9/9/2016	23,459	24,531				0	-1
261	PUTNAM DIVERSIFIED	746704501	X				9/5/2015	9/9/2016	7	8				0	
262	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	9/9/2016	22,916	24,640				0	-1
263	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	9/9/2016	705	781				0	
264	PUTNAM DIVERSIFIED	746704501	X				8/17/2015	9/9/2016	7	8				0	
265	QUALCOMM INC	747525103	X				4/7/2015	2/2/2016	964	1,495				0	
266	QUALCOMM INC	747525103	X				9/30/2014	2/2/2016	613	1,004				0	
267	QUALCOMM INC	747525103	X				1/6/2015	2/2/2016	2,409	4,027				0	-1
268	QUALCOMM INC	747525103	X				12/3/2014	2/2/2016	2,102	3,555				0	-1
269	QUALCOMM INC	747525103	X				8/31/2014	2/2/2016	482	845				0	
270	QUALCOMM INC	747525103	X				8/31/2014	2/2/2016	876	1,561				0	
271	RAYTHEON CO DELAWARE N	755111507	X				7/2/2015	2/2/2016	1,109	870				0	
272	RAYTHEON CO DELAWARE N	755111507	X				4/3/2014	2/2/2016	9,856	7,975				0	
273	RIVERNORTH DOUBLE LINE	76881N202	X				3/24/2016	6/13/2016	1,330	1,304				0	1
274	FPA CRESCENT FUND	30254T759	X				4/3/2014	3/2/2016	4,516	5,055				0	
275	FPA CRESCENT FUND	30254T759	X				4/3/2014	3/24/2016	7	8				0	
276	FPA CRESCENT FUND	30254T759	X				4/3/2014	3/24/2016	10	11				0	
277	FPA CRESCENT FUND	30254T759	X				4/3/2014	3/24/2016	30,122	32,959				0	-2
278	FPA CRESCENT FUND	30254T759	X				4/3/2014	6/13/2016	1,962	2,123				0	
279	FPA CRESCENT FUND	30254T759	X				4/3/2014	6/13/2016	1,059	1,146				87	
280	FPA CRESCENT FUND	30254T759	X				4/3/2014	6/13/2016	31	34				3	
281	FPA CRESCENT FUND	30254T759	X				4/3/2014	6/13/2016	3	3				0	
282	FPA CRESCENT FUND	30254T759	X				4/3/2014	6/13/2016	21	22				0	
283	FPA CRESCENT FUND	30254T759	X				4/25/2014	9/9/2016	32	36				0	
284	FPA CRESCENT FUND	30254T759	X				4/3/2014	9/9/2016	2	2				0	
285	FPA CRESCENT FUND	30254T759	X				7/5/2016	9/9/2016	18	17				0	
286	RIVERNORTH DOUBLE LINE	76881N202	X				3/24/2016	6/13/2016	6	5				0	
287	RIVERNORTH DOUBLE LINE	76881N202	X				5/31/2016	6/13/2016	10	10				0	
288	RIVERNORTH DOUBLE LINE	76881N202	X				4/29/2016	6/13/2016	492	487				0	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	21,693		Capital Gains/Losses	2,185,099	1,297,605	887
Short Term CG Distributions	0		Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
289	RIVERNORTH DOUBLE LINE	76881N202	X				5/31/2016	6/13/2016	10	10				0	
290	RIVERNORTH DOUBLE LINE	76881N202	X				5/31/2016	6/13/2016	419	415				0	
291	RIVERNORTH DOUBLE LINE	76881N202	X				5/31/2016	6/14/2016	4	4				0	
292	RIVERNORTH DOUBLE LINE	76881N202	X				11/30/2016	12/7/2016	3	3				0	
293	SPDR US FINANCIAL SECTOI	81369Y605	X				10/9/2015	3/24/2016	56,140	58,666				0	-2
294	RIVERNORTH DOUBLE LINE	76881N202	X				9/9/2016	12/7/2016	10	11				0	
295	RIVERNORTH DOUBLE LINE	76881N202	X				9/9/2016	12/7/2016	2,019	2,042				23	
296	RIVERNORTH DOUBLE LINE	76881N202	X				3/24/2016	12/7/2016	2,043	2,003				0	
297	RIVERNORTH DOUBLE LINE	76881N202	X				7/29/2016	12/7/2016	168	169				2	
298	RIVERNORTH DOUBLE LINE	76881N202	X				9/30/2016	12/7/2016	366	371				5	
299	RIVERNORTH DOUBLE LINE	76881N202	X				8/31/2016	12/7/2016	408	414				6	
300	SPDR US FINANCIAL SECTOI	81369Y605	X				10/9/2015	2/3/2016	1,182	1,322				0	
301	SPDR US FINANCIAL SECTOI	81369Y605	X				10/9/2015	3/2/2016	1,488	1,577				0	
302	3M COMPANY	88579Y101	X				7/2/2015	3/24/2016	986	932				0	
303	3M COMPANY	88579Y101	X				11/10/2014	3/24/2016	493	472				0	
304	TIFFANY & CO NEW	886547108	X				2/2/2016	2/3/2016	254	256				0	
305	TIFFANY & CO NEW	886547108	X				2/2/2016	3/2/2016	270	256				0	
306	TIFFANY & CO NEW	886547108	X				2/2/2016	3/24/2016	9,776	8,844				0	
307	UNION PACIFIC CORP	907818108	X				6/2/2015	2/3/2016	143	204				0	
308	UNION PACIFIC CORP	907818108	X				6/2/2015	3/2/2016	238	306				0	
309	UNION PACIFIC CORP	907818108	X				7/2/2015	3/24/2016	729	868				0	
310	UNION PACIFIC CORP	907818108	X				6/2/2015	3/24/2016	7,127	8,975				0	-1
311	UNITED TECHS CORP COM	913017109	X				11/10/2014	2/2/2016	2,241	2,832				0	
312	UNITED TECHS CORP COM	913017109	X				1/6/2015	2/2/2016	2,844	3,648				0	
313	3M COMPANY	88579Y101	X				11/10/2014	2/3/2016	302	315				0	
314	3M COMPANY	88579Y101	X				11/10/2014	3/2/2016	318	315				0	
315	3M COMPANY	88579Y101	X				6/9/2014	3/24/2016	8,542	7,550				0	
316	UNITED TECHS CORP COM	913017109	X				5/3/2014	2/2/2016	689	891				0	
317	UNITED TECHS CORP COM	913017109	X				4/3/2014	2/2/2016	1,034	1,406				0	
318	UNITED TECHS CORP COM	913017109	X				4/7/2015	2/2/2016	776	1,063				0	
319	UNITED TECHS CORP COM	913017109	X				4/3/2014	2/2/2016	1,379	1,916				0	
320	VANGUARD DIVIDEND	921908844	X				12/2/2015	2/3/2016	3,199	3,423				224	
321	VANGUARD DIVIDEND	921908844	X				12/2/2015	3/2/2016	1,949	1,990				41	
322	VANGUARD DIVIDEND	921908844	X				12/2/2015	3/2/2016	1,949	1,990				41	
323	VANGUARD DIVIDEND	921908844	X				3/24/2016	6/13/2016	6,265	6,080				0	
324	VANGUARD DIVIDEND	921908844	X				3/24/2016	9/9/2016	8,805	8,480				0	
325	VANGUARD DIVIDEND	921908844	X				11/30/2016	12/7/2016	12,317	12,278				0	
326	VANGUARD FTSE DEVELOPE	921943858	X				3/24/2016	6/13/2016	1,744	1,725				0	
327	FPA CRESCENT FUND	30254T759	X				4/3/2014	9/9/2016	8,584	8,964				0	
328	FPA CRESCENT FUND	30254T759	X				11/30/2016	12/7/2016	11	11				0	
329	FPA CRESCENT FUND	30254T759	X				4/3/2014	12/7/2016	6,249	6,167				0	
330	FIRST EAGLE	32008F606	X				4/3/2014	2/3/2016	1,688	1,889				0	
331	FIRST EAGLE	32008F606	X				9/24/2014	2/3/2016	879	1,007				0	
332	FIRST EAGLE	32008F606	X				4/3/2014	2/3/2016	2,284	2,556				0	
333	FIRST EAGLE	32008F606	X				12/18/2015	2/3/2016	15	16				0	
334	FIRST EAGLE	32008F606	X				12/18/2015	3/2/2016	3	3				0	
335	FIRST EAGLE	32008F606	X				9/24/2014	3/2/2016	5,951	6,432				0	
336	FIRST EAGLE	32008F606	X				12/18/2015	3/24/2016	12	12				0	
337	FIRST EAGLE	32008F606	X				4/3/2014	3/24/2016	950	1,000				0	
338	FIRST EAGLE	32008F606	X				9/24/2014	3/24/2016	14,770	15,660				0	
339	FIRST EAGLE	32008F606	X				4/3/2014	6/13/2016	4,627	4,723				0	
340	FIRST EAGLE	32008F606	X				12/18/2015	6/13/2016	6	6				0	
341	FIRST EAGLE	32008F606	X				4/3/2014	9/9/2016	13,818	13,557				0	
342	FIRST EAGLE	32008F606	X				4/3/2014	9/9/2016	16	16				0	
343	FIRST EAGLE	32008F606	X				12/18/2015	9/9/2016	12	11				0	
344	FIRST EAGLE	32008F606	X				11/29/2016	12/7/2016	8,973	8,858				0	
345	FIRST EAGLE	32008F606	X				4/3/2014	12/7/2016	32	31				0	
346	FIRST EAGLE	32008F606	X				11/29/2016	12/7/2016	58	56				0	
347	FIRST EAGLE	32008F606	X				11/30/2016	12/8/2016	3	3				0	
348	LATEEF FUND CL I	360873301	X				12/19/2012	2/3/2016	2	2				0	
349	LATEEF FUND CL I	360873301	X				12/19/2012	2/3/2016	4	5				0	
350	LATEEF FUND CL I	360873301	X				10/9/2015	2/3/2016	974	1,337				0	
351	LATEEF FUND CL I	360873301	X				12/19/2012	3/2/2016	7	8				0	
352	LATEEF FUND CL I	360873301	X				10/9/2015	3/2/2016	1,192	1,564				0	
353	VANGUARD FTSE DEVELOPE	921943858	X				9/9/2016	12/7/2016	5,007	5,062				55	
354	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	3/24/2016	61,455	63,566				0	-2
355	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	2/3/2016	582	641				59	
356	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	3/24/2016	620	641				0	
357	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	2/3/2016	740	816				0	
358	VANGUARD FTSE EMERGING	922042858	X				1/30/2015	2/3/2016	1,322	1,762				0	
359	VANGUARD FTSE EMERGING	922042858	X				1/30/2015	3/2/2016	1,673	2,082				0	
360	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	3/2/2016	991	1,049				0	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	21,693		Capital Gains/Losses	2,185,099	1,297,605	887
Short Term CG Distributions	0		Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
361	VANGUARD FTSE EMERGING	922042858	X				1/30/2015	3/24/2016	31,311	37,355				0	-6
362	VANGUARD FTSE EMERGING	922042858	X				1/30/2015	6/13/2016	744	881				0	
363	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	3/2/2016	606	641				0	
364	VANGUARD FTSE EMERGING	922042858	X				1/30/2015	12/7/2016	2,481	2,723				241	
365	VANGUARD MSCI EUROPEAN	922042874	X				4/3/2014	2/3/2016	1,383	1,777				0	
366	VANGUARD MSCI EUROPEAN	922042874	X				4/3/2014	3/2/2016	1,683	2,132				0	
367	VANGUARD MSCI EUROPEAN	922042874	X				4/3/2014	3/24/2016	6,836	8,528				0	-1
368	VANGUARD MSCI EUROPEAN	922042874	X				4/3/2014	6/13/2016	1,233	1,540				0	
369	VANGUARD MSCI EUROPEAN	922042874	X				10/9/2015	9/9/2016	22,369	23,778				0	-1
370	VANGUARD MSCI EUROPEAN	922042874	X				4/3/2014	9/9/2016	35,585	43,053				0	-7
371	VANGUARD TOTAL STK MKT	922908769	X				10/9/2015	2/3/2016	2,202	2,383				0	
372	VANGUARD TOTAL STK MKT	922908769	X				10/9/2015	3/2/2016	2,717	2,797				80	
373	VANGUARD TOTAL STK MKT	922908769	X				10/9/2015	6/13/2016	964	932				0	
374	VANGUARD TOTAL STK MKT	922908769	X				10/31/2015	6/13/2016	2,892	2,859				0	
375	VANGUARD TOT WORLD STK	922042742	X				12/30/2015	3/24/2016	620	675				0	
376	VANGUARD TOTAL STK MKT	922908769	X				10/9/2015	9/9/2016	9,009	8,494				0	
377	VANGUARD TOTAL STK MKT	922908769	X				11/28/2016	12/7/2016	7,829	7,769				0	
378	WAL-MART STORES INC	931142103	X				4/3/2014	2/3/2016	198	232				0	
379	WAL-MART STORES INC	931142103	X				4/3/2014	3/2/2016	265	310				0	
380	WAL-MART STORES INC	931142103	X				7/2/2015	3/24/2016	676	721				0	
381	WAL-MART STORES INC	931142103	X				5/3/2014	3/24/2016	135	150				0	
382	ADVISORY RESEARCH MLP &	461418535	X				4/3/2014	1/6/2016	303	485				0	
383	LATEEF FUND CL I	360873301	X				12/19/2012	3/24/2016	2	2				0	
384	ADVISORY RESEARCH MLP &	461418535	X				11/25/2015	1/6/2016	1,686	2,005				0	
385	LATEEF FUND CL I	360873301	X				10/9/2015	3/24/2016	3,646	4,650				0	-1
386	ADVISORY RESEARCH MLP &	461418535	X				4/3/2014	1/6/2016	4,367	7,385				0	-3
387	LATEEF FUND CL I	360873301	X				12/19/2012	3/24/2016	42,582	45,102				0	-2
388	ADVISORY RESEARCH MLP &	461418535	X				4/3/2014	1/6/2016	5	8				0	
389	JOHNSON AND JOHNSON CO	478160104	X				4/7/2015	2/3/2016	206	201				0	
390	NATIXIS GATEWAY FUND CL	367829884	X				10/9/2015	2/3/2016	8	8				0	
391	NATIXIS GATEWAY FUND CL	367829884	X				10/9/2015	2/3/2016	1,806	1,860				0	
392	JOHNSON AND JOHNSON CO	478160104	X				4/7/2015	3/2/2016	321	302				0	
393	JOHNSON AND JOHNSON CO	478160104	X				4/3/2014	3/24/2016	8,210	7,435				0	
394	NATIXIS GATEWAY FUND CL	367829884	X				10/9/2015	2/4/2016	4	4				0	
395	NATIXIS GATEWAY FUND CL	367829884	X				10/9/2015	3/2/2016	6	6				0	
396	NATIXIS GATEWAY FUND CL	367829884	X				10/9/2015	3/2/2016	2,159	2,185				27	
397	NATIXIS GATEWAY FUND CL	367829884	X				10/31/2015	6/13/2016	1,968	1,964				0	
398	JOHNSON AND JOHNSON CO	478160104	X				5/5/2015	3/24/2016	1,296	1,194				0	
399	NATIXIS GATEWAY FUND CL	367829884	X				3/24/2016	6/13/2016	14	14				0	
400	NATIXIS GATEWAY FUND CL	367829884	X				11/23/2016	12/7/2016	4,053	4,030				0	
401	NATIXIS GATEWAY FUND CL	367829884	X				11/30/2016	12/7/2016	23	23				0	
402	ADVISORY RESEARCH MLP &	461418535	X				12/30/2015	1/6/2016	2	2				0	
403	ADVISORY RESEARCH MLP &	461418535	X				8/31/2015	1/6/2016	1,647	2,383				0	
404	JOHNSON AND JOHNSON CO	478160104	X				7/2/2015	3/24/2016	972	890				0	
405	JOHNSON AND JOHNSON CO	478160104	X				4/7/2015	3/24/2016	216	201				0	
406	JP MORGAN STRATEGIC	4812A4351	X				5/12/2014	2/3/2016	11	12				0	
407	WAL-MART STORES INC	931142103	X				4/3/2014	3/24/2016	1,352	1,620				0	
408	WAL-MART STORES INC	931142103	X				4/3/2014	3/24/2016	5,477	6,273				0	
409	WELLS FARGO ABSOLUTE	94987W737	X				12/29/2015	2/3/2016	2	2				0	
410	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	2/3/2016	1,669	1,945				0	
411	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	2/3/2016	0	0				0	
412	WELLS FARGO ABSOLUTE	94987W737	X				9/24/2014	2/3/2016	85	103				0	
413	WAL-MART STORES INC	931142103	X				11/10/2014	3/24/2016	541	637				0	
414	WAL-MART STORES INC	931142103	X				4/3/2014	3/24/2016	1,352	1,549				0	
415	JP MORGAN STRATEGIC	4812A4351	X				2/26/2016	3/2/2016	9	9				0	
416	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	88	96				7	
417	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	11	12				1	
418	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/2/2016	981	1,063				0	
419	JP MORGAN STRATEGIC	4812A4351	X				4/19/2014	3/2/2016	11	13				0	
420	JP MORGAN STRATEGIC	4812A4351	X				4/26/2014	3/2/2016	22	24				2	
421	JP MORGAN STRATEGIC	4812A4351	X				2/26/2016	3/3/2016	2	2				0	
422	JP MORGAN STRATEGIC	4812A4351	X				4/3/2014	3/24/2016	10	11				0	
423	LORD ABBETT SHORT	543916464	X				2/29/2016	3/3/2016	2	2				0	
424	LORD ABBETT SHORT	543916464	X				4/3/2014	6/13/2016	195	204				9	
425	LORD ABBETT SHORT	543916464	X				4/25/2014	6/13/2016	330	348				18	
426	LORD ABBETT SHORT	543916464	X				5/21/2014	6/13/2016	326	342				0	
427	LORD ABBETT SHORT	543916464	X				5/31/2016	6/13/2016	217	216				0	
428	LORD ABBETT SHORT	543916464	X				4/29/2016	6/13/2016	430	430				0	
429	LORD ABBETT SHORT	543916464	X				3/31/2016	6/13/2016	326	324				0	
430	LORD ABBETT SHORT	543916464	X				5/20/2014	6/13/2016	4	5				0	
431	LORD ABBETT SHORT	543916464	X				4/25/2014	6/13/2016	694	733				0	
432	LORD ABBETT SHORT	543916464	X				10/13/2015	6/13/2016	1	0				0	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	2,185,099	1,297,605	887
Other sales	0	0	

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
433	LORD ABBETT SHORT	543916464	X				4/3/2014	6/13/2016	195	205				9	
434	LORD ABBETT SHORT	543916464	X				5/20/2014	6/13/2016	4	5				0	
435	LORD ABBETT SHORT	543916464	X				10/13/2015	9/9/2016	0	0				0	
436	LORD ABBETT SHORT	543916464	X				8/31/2016	9/9/2016	104	104				0	
437	LORD ABBETT SHORT	543916464	X				8/31/2016	9/9/2016	3	3				0	
438	LORD ABBETT SHORT	543916464	X				2/4/2016	9/9/2016	1,003	0				0	1
439	LORD ABBETT SHORT	543916464	X				11/12/2015	9/9/2016	4	0				0	
440	LORD ABBETT SHORT	543916464	X				10/13/2015	9/9/2016	2,696	0				0	2
441	LORD ABBETT SHORT	543916464	X				4/3/2014	9/9/2016	3,065	3,212				0	
442	LORD ABBETT SHORT	543916464	X				4/3/2014	9/9/2016	739	778				0	
443	LORD ABBETT SHORT	543916464	X				7/29/2016	9/9/2016	308	309				0	
444	LORD ABBETT SHORT	543916464	X				4/26/2014	9/9/2016	330	350				0	
445	LORD ABBETT SHORT	543916464	X				4/26/2014	9/9/2016	330	349				19	
446	LORD ABBETT SHORT	543916464	X				4/25/2014	9/9/2016	425	449				0	
447	LORD ABBETT SHORT	543916464	X				11/30/2016	12/7/2016	1	1				0	
448	LORD ABBETT SHORT	543916464	X				9/12/2016	12/7/2016	1,065	0				0	1
449	LORD ABBETT SHORT	543916464	X				4/3/2014	12/7/2016	3,091	3,262				172	
450	LORD ABBETT SHORT	543916464	X				11/30/2016	12/7/2016	129	129				0	
451	LORD ABBETT SHORT	543916464	X				4/25/2014	9/9/2016	4	5				0	
452	TORTOISE MLP &	56166Y404	X				1/6/2016	12/7/2016	1	1				0	
453	TORTOISE MLP &	56166Y404	X				11/30/2016	12/7/2016	4	4				0	
454	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	2/3/2016	1,532	1,600				0	
455	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	2/3/2016	8	8				0	
456	MATTHEWS ASIA DIVIDEND	577125107	X				12/10/2015	3/2/2016	5	5				0	
457	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	3/2/2016	2	2				0	
458	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	3/2/2016	1,835	1,859				0	
459	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	3/2/2016	15	15				0	
460	MATTHEWS ASIA DIVIDEND	577125107	X				10/13/2015	3/24/2016	13	13				0	
461	MATTHEWS ASIA DIVIDEND	577125107	X				4/3/2014	3/24/2016	2,712	2,667				0	
462	MATTHEWS ASIA DIVIDEND	577125107	X				3/21/2016	3/24/2016	5	5				0	
463	LORD ABBETT SHORT	543916464	X				4/25/2014	12/7/2016	4	5				0	
464	LORD ABBETT SHORT	543916464	X				4/25/2014	12/7/2016	599	637				38	
465	LORD ABBETT SHORT	543916464	X				4/25/2014	12/7/2016	4	5				0	
466	LORD ABBETT SHORT	543916464	X				4/25/2014	12/7/2016	4	5				0	
467	LORD ABBETT SHORT	543916464	X				4/3/2014	12/7/2016	133	141				8	
468	MFS INTERNATIONAL VALUE	55273E822	X				10/9/2015	2/3/2016	1,443	1,526				0	
469	MFS INTERNATIONAL VALUE	55273E822	X				4/3/2014	2/3/2016	633	637				0	
470	MFS INTERNATIONAL VALUE	55273E822	X				4/3/2014	2/3/2016	31	32				0	
471	MFS INTERNATIONAL VALUE	55273E822	X				12/15/2015	2/3/2016	2	2				0	
472	MFS INTERNATIONAL VALUE	55273E822	X				10/9/2015	3/2/2016	2,498	2,580				82	
473	MFS INTERNATIONAL VALUE	55273E822	X				4/3/2014	3/2/2016	3	3				0	
474	MFS INTERNATIONAL VALUE	55273E822	X				4/3/2014	3/2/2016	10	10				0	
475	MFS INTERNATIONAL VALUE	55273E822	X				10/9/2015	6/13/2016	475	472				0	
476	MFS INTERNATIONAL VALUE	55273E822	X				10/31/2015	6/13/2016	2,594	2,637				0	
477	MFS INTERNATIONAL VALUE	55273E822	X				11/12/2015	6/13/2016	18	0				0	
478	MFS INTERNATIONAL VALUE	55273E822	X				3/24/2016	9/9/2016	5	5				0	
479	MFS INTERNATIONAL VALUE	55273E822	X				3/24/2016	9/9/2016	9,254	8,635				0	
480	MFS INTERNATIONAL VALUE	55273E822	X				11/12/2015	9/9/2016	8	0				0	
481	MFS INTERNATIONAL VALUE	55273E822	X				10/9/2015	9/9/2016	1,620	1,526				0	
482	MFS INTERNATIONAL VALUE	55273E822	X				10/9/2015	9/9/2016	810	763				0	
483	MFS INTERNATIONAL VALUE	55273E822	X				11/30/2016	12/7/2016	17	17				0	
484	MFS INTERNATIONAL VALUE	55273E822	X				11/29/2016	12/7/2016	5,701	5,695				0	
485	MFS INTERNATIONAL VALUE	55273E822	X				11/12/2015	12/7/2016	11	0				0	
486	TORTOISE MLP &	56166Y404	X				12/30/2015	2/3/2016	2	2				0	
487	TORTOISE MLP &	56166Y404	X				1/6/2016	2/3/2016	4	4				0	
488	TORTOISE MLP &	56166Y404	X				12/30/2015	2/3/2016	2,086	2,158				73	
489	TORTOISE MLP &	56166Y404	X				12/30/2015	2/3/2016	9	10				0	
490	TORTOISE MLP &	56166Y404	X				12/30/2015	3/2/2016	10	10				0	
491	TORTOISE MLP &	56166Y404	X				12/30/2015	3/2/2016	3	3				0	
492	TORTOISE MLP &	56166Y404	X				12/30/2015	3/2/2016	383	373				0	
493	TORTOISE MLP &	56166Y404	X				12/30/2015	3/2/2016	2,218	2,158				0	
494	TORTOISE MLP &	56166Y404	X				12/30/2015	6/13/2016	387	312				0	
495	TORTOISE MLP &	56166Y404	X				12/30/2015	6/13/2016	12	10				0	
496	TORTOISE MLP &	56166Y404	X				3/24/2016	6/13/2016	2,128	1,827				0	
497	TORTOISE MLP &	56166Y404	X				3/24/2016	6/13/2016	9	7				0	
498	TORTOISE MLP &	56166Y404	X				12/30/2015	9/9/2016	25,968	18,555				0	7
499	TORTOISE MLP &	56166Y404	X				12/30/2015	9/9/2016	3,005	2,147				0	
500	TORTOISE MLP &	56166Y404	X				12/30/2015	9/9/2016	14	10				0	
501	TORTOISE MLP &	56166Y404	X				12/30/2015	9/9/2016	13	9				0	
502	TORTOISE MLP &	56166Y404	X				3/24/2016	9/12/2016	1	1				0	
503	TORTOISE MLP &	56166Y404	X				11/30/2016	12/7/2016	4,484	4,458				0	

Part I, Line 11 (990-PF) - Other Income

		42	42	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	LOSS OF GAIN ON SALE - POST SETTLEMENT PROFIT	42	42	

Part I, Line 16c (990-PF) - Other Professional Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		17,561	10,537	0	7,024
1	UST-MLT FEES AS AGENT	17,561	10,537		7,024

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,406	946	0	0
1	FOREIGN TAX WITHHELD	946	946		
2	ESTIMATED EXCISE PAYMENTS	460			

Part II, Line 13 (990-PF) - Investments - Other

			2,288,565	6,774,322	6,816,734
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	AQR MULTI STRATEGY		0	411,516	407,617
3	AQR MANAGED FUTURES		0	422,499	406,592
4	DOUBLELINE TOTAL RETURN		0	411,613	407,736
5	UAM FPA CRESCENT PORT		0	496,202	489,903
6	UAM FPA CRESCENT PORT		0	-795	-1,989
7	FIRST EAGLE		0	740,025	734,792
8	NATIXIS GATEWAY FUND CL		0	322,249	326,043
9	LORD ABBETT FLOATING		0	406,436	408,215
10	LORD ABBETT SHORT		0	413,433	408,352
11	LORD ABBETT SHORT		0	0	-138
12	MFS INTERNATIONAL VALUE		0	486,274	491,386
13	TORTOISE MLP &		0	333,341	366,119
14	MATTHEWS ASIA PACIFIC		0	244,845	246,051
15	MERGER FD		0	327,674	326,236
16	NUVEEN SHORT DURATION HI		0	535,962	531,898
17	NUVEEN SHORT DURATION HI		0	0	-1,019
18	RIVERNORTH DOUBLE LINE		0	404,802	409,489
19	RIVERNORTH DOUBLE LINE		0	0	-944
20	VANGUARD DIVIDEND		0	359,855	384,077
21	VANGUARD EUROPE PACIFIC		0	152,390	155,624
22	VANGUARD INTL EQTY INDX		0	80,988	75,639
23	VANGUARD INDEX TR VANGUARD TOTAL		0	225,013	245,055
24	PRIOR YEAR - OTHER INVESTMENTS		-2,451	0	0

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	3,439
2	FEDERAL EXCISE TAX REFUND	2	9,379
3	Total	3	12,818

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV O Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
1	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	3/24/2016	16		0	16	0	0	0	0	
2	MATTHEWS ASIA DIVIDEND	577125107		4/22/2014	3/24/2016	16		0	16	0	0	0	0	
3	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	6/13/2016	2		0	0	2	0	0	0	
4	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	6/13/2016	4		0	0	4	0	0	0	
5	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	6/13/2016	1,495		0	1,432	63	0	0	0	
6	MATTHEWS ASIA DIVIDEND	577125107		6/21/2016	9/9/2016	11		0	11	0	0	0	0	
7	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	9/9/2016	754		0	0	754	0	0	0	
8	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	9/9/2016	404		0	381	23	0	0	0	
9	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	9/9/2016	3		0	2	1	0	0	0	
10	MATTHEWS ASIA DIVIDEND	577125107		6/21/2016	9/9/2016	417		0	398	19	0	0	0	
11	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	9/9/2016	1		0	0	1	0	0	0	
12	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	9/12/2016	12		0	0	12	0	0	0	
13	MATTHEWS ASIA DIVIDEND	577125107		11/28/2016	12/7/2016	16		0	16	0	0	0	0	
14	MATTHEWS ASIA DIVIDEND	577125107		12/7/2016	12/7/2016	9		0	9	0	0	0	0	
15	MATTHEWS ASIA DIVIDEND	577125107		11/29/2016	12/7/2016	2,841		30	2,856	15	0	0	0	
16	MATTHEWS ASIA DIVIDEND	577125107		9/12/2016	12/7/2016	2		0	0	2	0	0	0	
17	MATTHEWS ASIA DIVIDEND	577125107		11/28/2016	12/7/2016	16		0	16	0	0	0	0	
18	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	9/9/2016	2,780		0	2,529	251	0	0	0	
19	NUVEEN SHORT DURATION	67065Q699		2/3/2015	2/3/2016	1,138		0	1,149	-11	0	0	0	
20	NUVEEN SHORT DURATION	67065Q699		2/3/2015	2/3/2016	71		0	72	-1	0	0	0	
21	NUVEEN SHORT DURATION	67065Q699		2/3/2015	2/3/2016	71		0	72	-1	0	0	0	
22	NUVEEN SHORT DURATION	67065Q699		3/4/2015	2/3/2016	10		0	10	0	0	0	0	
23	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	10		0	10	0	0	0	0	
24	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	71		1	72	0	0	0	0	
25	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	386		4	391	-1	0	0	0	
26	NUVEEN SHORT DURATION	67065Q699		2/3/2015	2/3/2016	71		1	72	0	0	0	0	
27	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	10		0	10	0	0	0	0	
28	THE MERGER FUND CL INV	589509108		9/24/2014	2/3/2016	1,173		0	1,266	-93	0	0	0	
29	THE MERGER FUND CL INV	589509108		9/24/2014	3/3/2016	9		0	9	0	0	0	0	
30	THE MERGER FUND CL INV	589509108		3/24/2016	6/13/2016	5		0	5	0	0	0	0	
31	THE MERGER FUND CL INV	589509108		2/4/2016	6/13/2016	7		0	0	7	0	0	0	
32	THE MERGER FUND CL INV	589509108		2/4/2016	6/13/2016	1		0	0	1	0	0	0	
33	THE MERGER FUND CL INV	589509108		10/16/2014	6/13/2016	1,952		0	2,084	-132	0	0	0	
34	THE MERGER FUND CL INV	589509108		9/24/2014	2/3/2016	659		0	723	-64	0	0	0	
35	THE MERGER FUND CL INV	589509108		2/4/2016	12/7/2016	1		0	0	1	0	0	0	
36	THE MERGER FUND CL INV	589509108		12/28/2015	2/3/2016	5		0	5	0	0	0	0	
37	THE MERGER FUND CL INV	589509108		9/24/2014	2/3/2016	6		0	7	-1	0	0	0	
38	THE MERGER FUND CL INV	589509108		9/24/2014	12/7/2016	3,815		180	3,995	0	0	0	0	
39	THE MERGER FUND CL INV	589509108		2/4/2016	3/2/2016	14		0	14	0	0	0	0	
40	THE MERGER FUND CL INV	589509108		9/24/2014	3/2/2016	2,160		158	2,318	0	0	0	0	
41	THE MERGER FUND CL INV	589509108		10/16/2014	12/7/2016	236		13	248	1	0	0	0	
42	MICROSOFT CORP	594918104		10/9/2015	2/2/2016	11,211		0	9,827	1,384	0	0	0	1
43	NUVEEN SHORT DURATION	67065Q699		2/3/2015	3/24/2016	29,970		0	30,205	-235	0	0	0	
44	NUVEEN SHORT DURATION	67065Q699		2/3/2015	3/2/2016	3,789		0	3,837	-48	0	0	0	
45	NUVEEN SHORT DURATION	67065Q699		2/29/2016	3/24/2016	9		0	9	0	0	0	0	
46	NUVEEN SHORT DURATION	67065Q699		11/12/2015	3/24/2016	0		0	0	0	0	0	0	
47	NUVEEN SHORT DURATION	67065Q699		5/31/2016	6/13/2016	6		0	6	0	0	0	0	
48	NUVEEN SHORT DURATION	67065Q699		2/3/2015	3/2/2016	344		4	349	-1	0	0	0	
49	NUVEEN SHORT DURATION	67065Q699		11/12/2015	6/13/2016	1		0	0	1	0	0	0	
50	NUVEEN SHORT DURATION	67065Q699		11/12/2015	3/2/2016	8		0	8	0	0	0	0	
51	NUVEEN SHORT DURATION	67065Q699		11/12/2015	6/13/2016	581		0	0	581	0	0	0	
52	NUVEEN SHORT DURATION	67065Q699		11/12/2015	3/24/2016	0		0	0	0	0	0	0	
53	NUVEEN SHORT DURATION	67065Q699		2/3/2015	6/13/2016	1,073		0	1,067	6	0	0	0	
54	NUVEEN SHORT DURATION	67065Q699		4/29/2016	6/13/2016	10		0	10	0	0	0	0	
55	NUVEEN SHORT DURATION	67065Q699		4/29/2016	6/13/2016	352		0	349	3	0	0	0	
56	NUVEEN SHORT DURATION	67065Q699		1/29/2016	2/3/2016	1		0	1	0	0	0	0	
57	NUVEEN SHORT DURATION	67065Q699		12/31/2015	2/3/2016	468		0	465	3	0	0	0	
58	NUVEEN SHORT DURATION	67065Q699		11/12/2015	2/3/2016	1		0	1	0	0	0	0	
59	NUVEEN SHORT DURATION	67065Q699		3/20/2015	2/3/2016	71		0	72	-1	0	0	0	
60	NUVEEN SHORT DURATION	67065Q699		3/1/2015	2/3/2016	387		0	389	-2	0	0	0	
61	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	10		0	10	0	0	0	0	
62	NUVEEN SHORT DURATION	67065Q699		2/22/2015	2/3/2016	387		0	390	-3	0	0	0	
63	NUVEEN SHORT DURATION	67065Q699		2/3/2015	2/3/2016	386		4	390	0	0	0	0	
64	NUVEEN SHORT DURATION	67065Q699		2/3/2015	3/2/2016	10		0	10	0	0	0	0	
65	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/2/2016	1		0	1	0	0	0	0	
66	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/2/2016	2,103		0	2,436	-333	0	0	0	
67	WELLS FARGO ABSOLUTE	94987W737		12/29/2015	3/24/2016	10		0	11	-1	0	0	0	
68	WELLS FARGO ABSOLUTE	94987W737		12/14/2015	3/24/2016	997		0	1,002	-5	0	0	0	
69	WELLS FARGO ABSOLUTE	94987W737		3/3/2015	3/24/2016	55,838		0	62,492	-6,654	0	0	0	-6

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
70	WELLS FARGO ABSOLUTE	94987W737		12/31/2014	3/24/2016	10		0	11	-1	0	0	0	
71	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/24/2016	91		0	102	-11	0	0	0	
72	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/24/2016	23,010		0	26,256	-3,246	0	0	0	-3
73	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/24/2016	60		0	68	-8	0	0	0	
74	AQR MULTI STRATEGY	00203H792		3/24/2016	6/13/2016	2,370		0	2,432	-62	0	0	0	
75	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	3/24/2016	8		0	9	-1	0	0	0	
76	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	970		0	1,063	-93	0	0	0	
77	JP MORGAN STRATEGIC	4812A4351		4/19/2014	2/3/2016	11		2	13	0	0	0	0	
78	JP MORGAN STRATEGIC	4812A4351		4/19/2014	2/3/2016	33		0	40	-7	0	0	0	
79	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	55		0	60	-5	0	0	0	
80	JP MORGAN STRATEGIC	4812A4351		1/28/2016	2/3/2016	3		0	3	0	0	0	0	
81	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	22		2	24	0	0	0	0	
82	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	678		0	740	-62	0	0	0	
83	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	164		0	179	-15	0	0	0	
84	JP MORGAN STRATEGIC	4812A4351		4/3/2014	2/3/2016	131		0	143	-12	0	0	0	
85	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	5		0	5	0	0	0	0	
86	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	227		0	251	-24	0	0	0	
87	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	1,102		0	1,194	-92	0	0	0	
88	JP MORGAN STRATEGIC	4812A4351		8/30/2016	9/9/2016	135		0	139	-4	0	0	0	
89	JP MORGAN STRATEGIC	4812A4351		4/28/2016	9/9/2016	12		0	11	1	0	0	0	
90	JP MORGAN STRATEGIC	4812A4351		7/28/2016	9/9/2016	12		0	11	1	0	0	0	
91	JP MORGAN STRATEGIC	4812A4351		7/16/2015	9/9/2016	29,766		0	29,992	-226	0	0	0	
92	JP MORGAN STRATEGIC	4812A4351		11/3/2014	9/9/2016	12		0	12	0	0	0	0	
93	JP MORGAN STRATEGIC	4812A4351		9/30/2014	9/9/2016	278		0	287	-9	0	0	0	
94	JP MORGAN STRATEGIC	4812A4351		6/9/2014	9/9/2016	12		0	12	0	0	0	0	
95	JP MORGAN STRATEGIC	4812A4351		5/1/2014	9/9/2016	12		0	12	0	0	0	0	
96	LINEAR TECHNOLOGY CORP	535678106		4/3/2014	2/3/2016	207		0	249	-42	0	0	0	
97	JP MORGAN STRATEGIC	4812A4351		9/29/2014	3/24/2016	30,129		0	32,024	-1,895	0	0	0	-1
98	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/24/2016	11		0	12	-1	0	0	0	
99	JP MORGAN STRATEGIC	4812A4351		6/1/2014	3/24/2016	11		0	12	-1	0	0	0	
100	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/24/2016	17,151		0	18,400	-1,249	0	0	0	-1
101	JP MORGAN STRATEGIC	4812A4351		8/1/2014	3/24/2016	11		0	12	-1	0	0	0	
102	JP MORGAN STRATEGIC	4812A4351		9/29/2014	3/24/2016	11		0	12	-1	0	0	0	
103	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/28/2016	6		0	7	-1	0	0	0	
104	JP MORGAN STRATEGIC	4812A4351		5/1/2014	6/13/2016	11		1	12	0	0	0	0	
105	JP MORGAN STRATEGIC	4812A4351		4/28/2016	6/13/2016	45		0	45	0	0	0	0	
106	JP MORGAN STRATEGIC	4812A4351		3/3/2016	6/13/2016	252		0	0	252	0	0	0	
107	JP MORGAN STRATEGIC	4812A4351		9/29/2014	6/13/2016	2		0	2	0	0	0	0	
108	JP MORGAN STRATEGIC	4812A4351		6/2/2014	3/24/2016	11		0	12	-1	0	0	0	
109	JP MORGAN STRATEGIC	4812A4351		5/1/2014	6/13/2016	91		0	96	-5	0	0	0	
110	JP MORGAN STRATEGIC	4812A4351		5/27/2016	6/13/2016	2		0	2	0	0	0	0	
111	JP MORGAN STRATEGIC	4812A4351		3/3/2016	6/13/2016	1		0	0	1	0	0	0	
112	JP MORGAN STRATEGIC	4812A4351		9/29/2014	6/13/2016	103		4	106	1	0	0	0	
113	AQR MULTI STRATEGY	00203H792		3/24/2016	6/13/2016	2		0	2	0	0	0	0	
114	AQR MULTI STRATEGY	00203H792		11/30/2016	12/7/2016	5		0	5	0	0	0	0	
115	AQR MULTI STRATEGY	00203H792		11/29/2016	12/7/2016	10		0	10	0	0	0	0	
116	AQR MULTI STRATEGY	00203H792		11/29/2016	12/7/2016	5,078		37	5,115	0	0	0	0	
117	AQR MULTI STRATEGY	00203H792		3/24/2016	12/7/2016	1		0	1	0	0	0	0	
118	AQR MANAGED FUTURES	00203H859		10/9/2015	2/3/2016	1,808		0	1,859	-51	0	0	0	
119	AQR MANAGED FUTURES	00203H859		10/9/2015	2/3/2016	7		0	7	0	0	0	0	
120	AQR MANAGED FUTURES	00203H859		10/9/2015	2/4/2016	6		0	6	0	0	0	0	
121	AQR MANAGED FUTURES	00203H859		10/9/2015	3/2/2016	4		0	5	-1	0	0	0	
122	AQR MANAGED FUTURES	00203H859		10/9/2015	3/2/2016	2,105		79	2,184	0	0	0	0	
123	AQR MANAGED FUTURES	00203H859		10/9/2015	3/2/2016	3		0	4	-1	0	0	0	
124	AQR MANAGED FUTURES	00203H859		10/9/2015	6/13/2016	2,423		0	2,573	-150	0	0	0	
125	AQR MANAGED FUTURES	00203H859		3/24/2016	6/13/2016	3		0	3	0	0	0	0	
126	AQR MANAGED FUTURES	00203H859		10/9/2015	12/7/2016	4,679		748	5,427	0	0	0	0	
127	AQR MANAGED FUTURES	00203H859		11/30/2016	12/7/2016	2		0	2	0	0	0	0	
128	KOPERNIK GLOBAL ALL CAP	00766Y299		12/31/2015	1/6/2016	4		0	4	0	0	0	0	
129	AUTOMATIC DATA PROC	053015103		1/6/2015	2/3/2016	243		0	247	-4	0	0	0	
130	AUTOMATIC DATA PROC	053015103		1/6/2015	3/2/2016	255		0	247	8	0	0	0	
131	AUTOMATIC DATA PROC	053015103		7/2/2015	3/24/2016	969		0	886	83	0	0	0	
132	AUTOMATIC DATA PROC	053015103		11/7/2012	3/24/2016	7,664		0	4,262	3,402	0	0	0	3
133	JP MORGAN STRATEGIC	4812A4351		5/24/2014	6/13/2016	11		1	12	0	0	0	0	
134	JP MORGAN STRATEGIC	4812A4351		9/29/2014	6/13/2016	271		13	284	0	0	0	0	
135	JP MORGAN STRATEGIC	4812A4351		5/24/2014	6/13/2016	11		1	12	0	0	0	0	
136	JP MORGAN STRATEGIC	4812A4351		9/30/2014	9/9/2016	104		0	106	-2	0	0	0	
137	JP MORGAN STRATEGIC	4812A4351		4/29/2015	9/9/2016	12		0	12	0	0	0	0	
138	JP MORGAN STRATEGIC	4812A4351		7/16/2015	9/9/2016	12		0	12	0	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
139	JP MORGAN STRATEGIC	4812A4351		7/30/2015	9/9/2016	12		0	12	0	0	0	0	
140	JP MORGAN STRATEGIC	4812A4351		9/29/2014	6/13/2016	158		0	166	-8	0	0	0	
141	JP MORGAN STRATEGIC	4812A4351		7/28/2016	9/9/2016	169		0	172	-3	0	0	0	
142	JP MORGAN STRATEGIC	4812A4351		9/29/2014	9/9/2016	17,037		0	17,402	-365	0	0	0	
143	JP MORGAN STRATEGIC	4812A4351		12/31/2014	9/9/2016	12		0	12	0	0	0	0	
144	JP MORGAN STRATEGIC	4812A4351		4/4/2014	9/9/2016	46		0	48	-2	0	0	0	
145	JP MORGAN STRATEGIC	4812A4351		4/3/2014	6/13/2016	46		2	48	0	0	0	0	
146	JP MORGAN STRATEGIC	4812A4351		5/25/2014	9/9/2016	12		0	12	0	0	0	0	
147	JP MORGAN STRATEGIC	4812A4351		8/30/2016	9/9/2016	9		0	9	0	0	0	0	
148	AUTOMATIC DATA PROC	053015103		1/6/2015	3/24/2016	969		0	907	62	0	0	0	
149	BLACKSTONE ALT MULTI	09257V201		10/9/2015	2/3/2016	1,798		0	1,869	-71	0	0	0	
150	BLACKSTONE ALT MULTI	09257V201		10/9/2015	2/3/2016	3		0	4	-1	0	0	0	
151	BLACKSTONE ALT MULTI	09257V201		10/9/2015	3/2/2016	0		0	0	0	0	0	0	
152	BLACKSTONE ALT MULTI	09257V201		10/9/2015	3/2/2016	2,066		0	2,188	-122	0	0	0	
153	BLACKSTONE ALT MULTI	09257V201		10/9/2015	3/3/2016	4		0	4	0	0	0	0	
154	BLACKSTONE ALT MULTI	09257V201		10/9/2015	3/24/2016	78,181		0	82,098	-3,917	0	0	0	-3
155	LINEAR TECHNOLOGY CORP	535678106		4/3/2014	3/2/2016	212		0	244	-32	0	0	0	
156	LINEAR TECHNOLOGY CORP	535678106		4/3/2014	3/2/2016	42		0	50	-8	0	0	0	
157	LINEAR TECHNOLOGY CORP	535678106		4/7/2015	3/24/2016	1,279		0	1,345	-66	0	0	0	
158	LINEAR TECHNOLOGY CORP	535678106		5/3/2014	3/24/2016	838		0	892	-54	0	0	0	
159	LINEAR TECHNOLOGY CORP	535678106		4/3/2014	3/24/2016	706		0	782	-76	0	0	0	
160	LINEAR TECHNOLOGY CORP	535678106		11/10/2014	3/24/2016	3,793		0	3,744	49	0	0	0	
161	LINEAR TECHNOLOGY CORP	535678106		1/6/2015	3/24/2016	3,440		0	3,459	-19	0	0	0	
162	LORD ABBETT FLOATING	543916167		11/30/2016	12/7/2016	5,060		0	5,038	22	0	0	0	
163	LORD ABBETT FLOATING	543916167		9/9/2016	12/7/2016	2		0	2	0	0	0	0	
164	LORD ABBETT FLOATING	543916167		11/30/2016	12/8/2016	4		0	4	0	0	0	0	
165	LORD ABBETT SHORT	543916464		4/24/2014	2/3/2016	4		0	5	-1	0	0	0	
166	LORD ABBETT SHORT	543916464		1/29/2016	2/3/2016	0		0	0	0	0	0	0	
167	LORD ABBETT SHORT	543916464		4/3/2014	2/3/2016	321		20	341	0	0	0	0	
168	LORD ABBETT SHORT	543916464		4/3/2014	2/3/2016	664		0	705	-41	0	0	0	
169	LORD ABBETT SHORT	543916464		4/3/2014	2/3/2016	1,134		0	1,201	-67	0	0	0	
170	LORD ABBETT SHORT	543916464		4/3/2014	2/3/2016	3		0	3	0	0	0	0	
171	LORD ABBETT SHORT	543916464		4/3/2014	3/2/2016	3		0	3	0	0	0	0	
172	LORD ABBETT SHORT	543916464		4/29/2014	3/2/2016	321		19	340	0	0	0	0	
173	LORD ABBETT SHORT	543916464		4/3/2014	3/2/2016	2,166		137	2,302	1	0	0	0	
174	BLACKSTONE ALT MULTI	09257V201		10/9/2015	3/24/2016	9		0	10	-1	0	0	0	
175	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	2/3/2016	2,127		0	2,131	-4	0	0	0	
176	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	2/3/2016	4		0	4	0	0	0	0	
177	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	2/3/2016	5		0	5	0	0	0	0	
178	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	3/2/2016	2,474		18	2,492	0	0	0	0	
179	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	3/2/2016	7		0	7	0	0	0	0	
180	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	3/3/2016	6		0	6	0	0	0	0	
181	DOUBLELINE TOTAL RETURN	258620103		10/31/2015	6/13/2016	2,490		0	2,494	-4	0	0	0	
182	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	6/13/2016	197		0	197	0	0	0	0	
183	DOUBLELINE TOTAL RETURN	258620103		3/24/2016	6/13/2016	7		0	7	0	0	0	0	
184	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	9/9/2016	6,322		0	6,339	-17	0	0	0	
185	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	9/9/2016	1		0	1	0	0	0	0	
186	DOUBLELINE TOTAL RETURN	258620103		3/24/2016	9/9/2016	1		0	1	0	0	0	0	
187	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	12/7/2016	11		0	11	0	0	0	0	
188	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	12/7/2016	4,919		120	5,039	0	0	0	0	
189	DOUBLELINE TOTAL RETURN	258620103		10/9/2015	12/7/2016	7		0	7	0	0	0	0	
190	DOUBLELINE TOTAL RETURN	258620103		11/30/2016	12/7/2016	1		0	1	0	0	0	0	
191	DOVER CORP	260003108		11/10/2014	2/3/2016	230		0	325	-95	0	0	0	
192	DOVER CORP	260003108		11/10/2014	3/2/2016	249		0	325	-76	0	0	0	
193	DOVER CORP	260003108		11/10/2014	3/24/2016	128		0	154	-26	0	0	0	
194	DOVER CORP	260003108		12/10/2014	3/24/2016	833		0	980	-147	0	0	0	
195	DOVER CORP	260003108		11/10/2014	3/24/2016	2,435		0	3,087	-652	0	0	0	
196	DOVER CORP	260003108		4/7/2015	3/24/2016	449		0	502	-53	0	0	0	
197	DOVER CORP	260003108		1/6/2015	3/24/2016	5,895		0	6,296	-401	0	0	0	
198	FPA CRESCENT FUND	30254T759		4/3/2014	2/3/2016	9		0	11	-2	0	0	0	
199	FPA CRESCENT FUND	30254T759		12/22/2015	2/3/2016	7		0	7	0	0	0	0	
200	FPA CRESCENT FUND	30254T759		4/3/2014	2/3/2016	3,716		0	4,314	-598	0	0	0	
201	FPA CRESCENT FUND	30254T759		4/3/2014	3/2/2016	13		0	15	-2	0	0	0	
202	NUVEEN SHORT DURATION	67065Q699		5/31/2016	6/13/2016	362		0	360	2	0	0	0	
203	NUVEEN SHORT DURATION	67065Q699		3/4/2015	6/13/2016	352		0	352	0	0	0	0	
204	NUVEEN SHORT DURATION	67065Q699		11/12/2015	6/14/2016	9		0	0	9	0	0	0	
205	NUVEEN SHORT DURATION	67065Q699		2/4/2016	12/7/2016	79		0	0	79	0	0	0	
206	NUVEEN SHORT DURATION	67065Q699		11/12/2015	12/7/2016	2,592		0	0	2,592	0	0	0	2
207	NUVEEN SHORT DURATION	67065Q699		12/7/2016	12/7/2016	0		0	0	0	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV O Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
208	NUVEEN SHORT DURATION	67065Q699		2/3/2015	12/7/2016	1,355		61	1,416	0	0	0	0	
209	NUVEEN SHORT DURATION	67065Q699		6/14/2016	12/7/2016	1,031		62	1,093	0	0	0	0	
210	NUVEEN SHORT DURATION	67065Q699		9/9/2016	12/7/2016	1,227		71	1,299	-1	0	0	0	
211	NUVEEN SHORT DURATION	67065Q699		8/31/2016	12/7/2016	10		1	10	1	0	0	0	
212	PJT PARTNERS INC SHS	69343T107		5/5/2016	11/23/2016	299,888		0	0	299,888	0	0	0	299
213	PJT PARTNERS INC SHS	69343T107		5/5/2016	11/28/2016	260,200		0	0	260,200	0	0	0	260
214	PJT PARTNERS INC SHS	69343T107		4/19/2016	11/28/2016	37,921		0	0	37,921	0	0	0	37
215	PJT PARTNERS INC SHS	69343T107		5/5/2016	11/29/2016	173,607		0	0	173,607	0	0	0	173
216	PJT PARTNERS INC SHS	69343T107		10/13/2016	11/30/2016	2,277		0	0	2,277	0	0	0	2
217	PJT PARTNERS INC SHS	69343T107		5/5/2016	11/30/2016	145,572		0	0	145,572	0	0	0	145
218	PARKER HANNIFIN CORP	701094104		10/9/2015	2/3/2016	193		0	212	-19	0	0	0	
219	PARKER HANNIFIN CORP	701094104		10/9/2015	3/2/2016	210		0	212	-2	0	0	0	
220	PARKER HANNIFIN CORP	701094104		10/9/2015	3/24/2016	9,626		0	9,341	285	0	0	0	
221	PAYCHEX INC	704326107		11/7/2012	1/20/2016	8,373		0	5,910	2,463	0	0	0	2
222	PAYCHEX INC	704326107		1/6/2015	1/20/2016	740		0	736	4	0	0	0	
223	PUTNAM DIVERSIFIED	746704501		1/20/2016	2/3/2016	4		0	4	0	0	0	0	
224	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	7		1	7	1	0	0	0	
225	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	26		3	29	0	0	0	0	
226	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	683		75	758	0	0	0	0	
227	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	637		0	707	-70	0	0	0	
228	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	2		0	2	0	0	0	0	
229	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	1,235		0	1,371	-136	0	0	0	
230	PUTNAM DIVERSIFIED	746704501		8/26/2015	2/3/2016	7		0	7	0	0	0	0	
231	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	7		1	7	1	0	0	0	
232	PUTNAM DIVERSIFIED	746704501		8/17/2015	2/3/2016	683		75	758	0	0	0	0	
233	PUTNAM DIVERSIFIED	746704501		2/22/2016	3/2/2016	0		0	1	-1	0	0	0	
234	PUTNAM DIVERSIFIED	746704501		9/5/2015	3/2/2016	6		0	7	-1	0	0	0	
235	PUTNAM DIVERSIFIED	746704501		9/5/2015	3/2/2016	676		0	735	-59	0	0	0	
236	PUTNAM DIVERSIFIED	746704501		9/5/2015	3/2/2016	26		0	28	-2	0	0	0	
237	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/2/2016	2,444		0	2,741	-297	0	0	0	
238	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/2/2016	669		81	751	-1	0	0	0	
239	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/2/2016	6		1	7	0	0	0	0	
240	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	7		0	7	0	0	0	0	
241	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	7		0	7	0	0	0	0	
242	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	2,031		0	2,260	-229	0	0	0	
243	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	96,031		0	106,879	-10,848	0	0	0	-10
244	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	673		0	751	-78	0	0	0	
245	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	2		0	2	0	0	0	0	
246	PUTNAM DIVERSIFIED	746704501		9/5/2015	3/24/2016	675		0	756	-81	0	0	0	
247	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	7		0	7	0	0	0	0	
248	PUTNAM DIVERSIFIED	746704501		8/17/2015	3/24/2016	7		0	7	0	0	0	0	
249	PUTNAM DIVERSIFIED	746704501		3/21/2016	3/28/2016	1		0	1	0	0	0	0	
250	PUTNAM DIVERSIFIED	746704501		5/20/2016	6/13/2016	4		0	4	0	0	0	0	
251	PUTNAM DIVERSIFIED	746704501		8/17/2015	6/13/2016	286		0	313	-27	0	0	0	
252	PUTNAM DIVERSIFIED	746704501		8/17/2015	6/13/2016	692		0	758	-66	0	0	0	
253	PUTNAM DIVERSIFIED	746704501		8/17/2015	6/13/2016	7		0	7	0	0	0	0	
254	PUTNAM DIVERSIFIED	746704501		9/5/2015	6/13/2016	7		1	8	0	0	0	0	
255	PUTNAM DIVERSIFIED	746704501		8/22/2016	9/9/2016	7		0	7	0	0	0	0	
256	PUTNAM DIVERSIFIED	746704501		8/22/2016	9/9/2016	0		0	0	0	0	0	0	
257	PUTNAM DIVERSIFIED	746704501		8/22/2016	9/9/2016	244		0	243	1	0	0	0	
258	PUTNAM DIVERSIFIED	746704501		7/20/2016	9/9/2016	169		0	167	2	0	0	0	
259	PUTNAM DIVERSIFIED	746704501		10/9/2015	9/9/2016	7		0	7	0	0	0	0	
260	PUTNAM DIVERSIFIED	746704501		10/9/2015	9/9/2016	23,459		0	24,531	-1,072	0	0	0	-1
261	PUTNAM DIVERSIFIED	746704501		9/5/2015	9/9/2016	7		0	8	-1	0	0	0	
262	PUTNAM DIVERSIFIED	746704501		8/17/2015	9/9/2016	22,916		0	24,640	-1,724	0	0	0	-1
263	PUTNAM DIVERSIFIED	746704501		8/17/2015	9/9/2016	705		0	781	-76	0	0	0	
264	PUTNAM DIVERSIFIED	746704501		8/17/2015	9/9/2016	7		0	8	-1	0	0	0	
265	QUALCOMM INC	747525103		4/7/2015	2/2/2016	964		0	1,495	-531	0	0	0	
266	QUALCOMM INC	747525103		9/30/2014	2/2/2016	613		0	1,004	-391	0	0	0	
267	QUALCOMM INC	747525103		1/6/2015	2/2/2016	2,409		0	4,027	-1,618	0	0	0	-1
268	QUALCOMM INC	747525103		12/3/2014	2/2/2016	2,102		0	3,555	-1,453	0	0	0	-1
269	QUALCOMM INC	747525103		8/31/2014	2/2/2016	482		0	845	-363	0	0	0	
270	QUALCOMM INC	747525103		8/31/2014	2/2/2016	876		0	1,561	-685	0	0	0	
271	RAYTHEON CO DELAWARE N	755111507		7/2/2015	2/2/2016	1,109		0	870	239	0	0	0	
272	RAYTHEON CO DELAWARE N	755111507		4/3/2014	2/2/2016	9,856		0	7,975	1,881	0	0	0	1
273	RIVERNORTH DOUBLE LINE	76881N202		3/24/2016	6/13/2016	1,330		0	1,304	26	0	0	0	
274	FPA CRESCENT FUND	30254T759		4/3/2014	3/2/2016	4,516		0	5,055	-539	0	0	0	
275	FPA CRESCENT FUND	30254T759		4/3/2014	3/24/2016	7		0	8	-1	0	0	0	
276	FPA CRESCENT FUND	30254T759		4/3/2014	3/24/2016	10		0	11	-1	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
277	FPA CRESCENT FUND	30254T759		4/3/2014	3/24/2016	30,122		0	32,959	-2,837	0	0	0	-2
278	FPA CRESCENT FUND	30254T759		4/3/2014	6/13/2016	1,962		0	2,123	-161	0	0	0	
279	FPA CRESCENT FUND	30254T759		4/3/2014	6/13/2016	1,059		87	1,146	0	0	0	0	
280	FPA CRESCENT FUND	30254T759		4/3/2014	6/13/2016	31		3	34	0	0	0	0	
281	FPA CRESCENT FUND	30254T759		4/3/2014	6/13/2016	3		0	3	0	0	0	0	
282	FPA CRESCENT FUND	30254T759		4/3/2014	6/13/2016	21		0	22	-1	0	0	0	
283	FPA CRESCENT FUND	30254T759		4/25/2014	9/9/2016	32		0	36	-4	0	0	0	
284	FPA CRESCENT FUND	30254T759		4/3/2014	9/9/2016	2		0	2	0	0	0	0	
285	FPA CRESCENT FUND	30254T759		7/5/2016	9/9/2016	18		0	17	1	0	0	0	
286	RIVERNORTH DOUBLE LINE	76881N202		3/24/2016	6/13/2016	6		0	5	1	0	0	0	
287	RIVERNORTH DOUBLE LINE	76881N202		5/31/2016	6/13/2016	10		0	10	0	0	0	0	
288	RIVERNORTH DOUBLE LINE	76881N202		4/29/2016	6/13/2016	492		0	487	5	0	0	0	
289	RIVERNORTH DOUBLE LINE	76881N202		5/31/2016	6/13/2016	10		0	10	0	0	0	0	
290	RIVERNORTH DOUBLE LINE	76881N202		5/31/2016	6/13/2016	419		0	415	4	0	0	0	
291	RIVERNORTH DOUBLE LINE	76881N202		5/31/2016	6/14/2016	4		0	4	0	0	0	0	
292	RIVERNORTH DOUBLE LINE	76881N202		11/30/2016	12/7/2016	3		0	3	0	0	0	0	
293	SPDR US FINANCIAL SECTOR	81369Y605		10/9/2015	3/24/2016	56,140		0	58,666	-2,526	0	0	0	-2
294	RIVERNORTH DOUBLE LINE	76881N202		9/9/2016	12/7/2016	10		0	11	-1	0	0	0	
295	RIVERNORTH DOUBLE LINE	76881N202		9/9/2016	12/7/2016	2,019		23	2,042	0	0	0	0	
296	RIVERNORTH DOUBLE LINE	76881N202		3/24/2016	12/7/2016	2,043		0	2,003	40	0	0	0	
297	RIVERNORTH DOUBLE LINE	76881N202		7/29/2016	12/7/2016	168		2	169	1	0	0	0	
298	RIVERNORTH DOUBLE LINE	76881N202		9/30/2016	12/7/2016	366		5	371	0	0	0	0	
299	RIVERNORTH DOUBLE LINE	76881N202		8/31/2016	12/7/2016	408		6	414	0	0	0	0	
300	SPDR US FINANCIAL SECTOR	81369Y605		10/9/2015	2/3/2016	1,182		0	1,322	-140	0	0	0	
301	SPDR US FINANCIAL SECTOR	81369Y605		10/9/2015	3/2/2016	1,488		0	1,577	-89	0	0	0	
302	3M COMPANY	88579Y101		7/2/2015	3/24/2016	986		0	932	54	0	0	0	
303	3M COMPANY	88579Y101		11/10/2014	3/24/2016	493		0	472	21	0	0	0	
304	TIFFANY & CO NEW	886547108		2/2/2016	2/3/2016	254		0	256	-2	0	0	0	
305	TIFFANY & CO NEW	886547108		2/2/2016	3/2/2016	270		0	256	14	0	0	0	
306	TIFFANY & CO NEW	886547108		2/2/2016	3/24/2016	9,776		0	8,844	932	0	0	0	
307	UNION PACIFIC CORP	907818108		6/2/2015	2/3/2016	143		0	204	-61	0	0	0	
308	UNION PACIFIC CORP	907818108		6/2/2015	3/2/2016	238		0	306	-68	0	0	0	
309	UNION PACIFIC CORP	907818108		7/2/2015	3/24/2016	729		0	868	-139	0	0	0	
310	UNION PACIFIC CORP	907818108		6/2/2015	3/24/2016	7,127		0	8,975	-1,848	0	0	0	-1
311	UNITED TECHS CORP COM	913017109		11/10/2014	2/2/2016	2,241		0	2,832	-591	0	0	0	
312	UNITED TECHS CORP COM	913017109		1/6/2015	2/2/2016	2,844		0	3,648	-804	0	0	0	
313	3M COMPANY	88579Y101		11/10/2014	2/3/2016	302		0	315	-13	0	0	0	
314	3M COMPANY	88579Y101		11/10/2014	3/2/2016	318		0	315	3	0	0	0	
315	3M COMPANY	88579Y101		6/9/2014	3/24/2016	8,542		0	7,550	992	0	0	0	
316	UNITED TECHS CORP COM	913017109		5/3/2014	2/2/2016	689		0	891	-202	0	0	0	
317	UNITED TECHS CORP COM	913017109		4/3/2014	2/2/2016	1,034		0	1,406	-372	0	0	0	
318	UNITED TECHS CORP COM	913017109		4/7/2015	2/2/2016	776		0	1,063	-287	0	0	0	
319	UNITED TECHS CORP COM	913017109		4/3/2014	2/2/2016	1,379		0	1,916	-537	0	0	0	
320	VANGUARD DIVIDEND	921908844		12/2/2015	2/3/2016	3,199		224	3,423	0	0	0	0	
321	VANGUARD DIVIDEND	921908844		12/2/2015	3/2/2016	1,949		41	1,990	0	0	0	0	
322	VANGUARD DIVIDEND	921908844		12/2/2015	3/2/2016	1,949		41	1,990	0	0	0	0	
323	VANGUARD DIVIDEND	921908844		3/24/2016	6/13/2016	6,265		0	6,080	185	0	0	0	
324	VANGUARD DIVIDEND	921908844		3/24/2016	9/9/2016	8,805		0	8,480	325	0	0	0	
325	VANGUARD DIVIDEND	921908844		11/30/2016	12/7/2016	12,317		0	12,278	39	0	0	0	
326	VANGUARD FTSE DEVELOPE	921943858		3/24/2016	6/13/2016	1,744		0	1,725	19	0	0	0	
327	FPA CRESCENT FUND	30254T759		4/3/2014	9/9/2016	8,584		0	8,964	-380	0	0	0	
328	FPA CRESCENT FUND	30254T759		11/30/2016	12/7/2016	11		0	11	0	0	0	0	
329	FPA CRESCENT FUND	30254T759		4/3/2014	12/7/2016	6,249		0	6,167	82	0	0	0	
330	FIRST EAGLE	32008F606		4/3/2014	2/3/2016	1,688		0	1,889	-201	0	0	0	
331	FIRST EAGLE	32008F606		9/24/2014	2/3/2016	879		0	1,007	-128	0	0	0	
332	FIRST EAGLE	32008F606		4/3/2014	2/3/2016	2,284		0	2,556	-272	0	0	0	
333	FIRST EAGLE	32008F606		12/18/2015	2/3/2016	15		0	16	-1	0	0	0	
334	FIRST EAGLE	32008F606		12/18/2015	3/2/2016	3		0	3	0	0	0	0	
335	FIRST EAGLE	32008F606		9/24/2014	3/2/2016	5,951		0	6,432	-481	0	0	0	
336	FIRST EAGLE	32008F606		12/18/2015	3/24/2016	12		0	12	0	0	0	0	
337	FIRST EAGLE	32008F606		4/3/2014	3/24/2016	950		0	1,000	-50	0	0	0	
338	FIRST EAGLE	32008F606		9/24/2014	3/24/2016	14,770		0	15,660	-890	0	0	0	
339	FIRST EAGLE	32008F606		4/3/2014	6/13/2016	4,627		0	4,723	-96	0	0	0	
340	FIRST EAGLE	32008F606		12/18/2015	6/13/2016	6		0	6	0	0	0	0	
341	FIRST EAGLE	32008F606		4/3/2014	9/9/2016	13,818		0	13,557	261	0	0	0	
342	FIRST EAGLE	32008F606		4/3/2014	9/9/2016	16		0	16	0	0	0	0	
343	FIRST EAGLE	32008F606		12/18/2015	9/9/2016	12		0	11	1	0	0	0	
344	FIRST EAGLE	32008F606		11/29/2016	12/7/2016	8,973		0	8,858	115	0	0	0	
345	FIRST EAGLE	32008F606		4/3/2014	12/7/2016	32		0	31	1	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Loss
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
346	FIRST EAGLE	32008F606		11/29/2016	12/7/2016	58		0	56	2	0	0	0	
347	FIRST EAGLE	32008F606		11/30/2016	12/8/2016	3		0	3	0	0	0	0	
348	LATEEF FUND CL I	360873301		12/19/2012	2/3/2016	2		0	2	0	0	0	0	
349	LATEEF FUND CL I	360873301		12/19/2012	2/3/2016	4		0	5	-1	0	0	0	
350	LATEEF FUND CL I	360873301		10/9/2015	2/3/2016	974		0	1,337	-363	0	0	0	
351	LATEEF FUND CL I	360873301		12/19/2012	3/2/2016	7		0	8	-1	0	0	0	
352	LATEEF FUND CL I	360873301		10/9/2015	3/2/2016	1,192		0	1,564	-372	0	0	0	
353	VANGUARD FTSE DEVELOPE	921943858		9/9/2016	12/7/2016	5,007		55	5,062	0	0	0	0	
354	VANGUARD TOT WORLD STK	922042742		12/30/2015	3/24/2016	61,455		0	63,566	-2,111	0	0	0	-2
355	VANGUARD TOT WORLD STK	922042742		12/30/2015	2/3/2016	582		59	641	0	0	0	0	
356	VANGUARD TOT WORLD STK	922042742		12/30/2015	3/24/2016	620		0	641	-21	0	0	0	
357	VANGUARD TOT WORLD STK	922042742		12/30/2015	2/3/2016	740		0	816	-76	0	0	0	
358	VANGUARD FTSE EMERGING	922042858		1/30/2015	2/3/2016	1,322		0	1,762	-440	0	0	0	
359	VANGUARD FTSE EMERGING	922042858		1/30/2015	3/2/2016	1,673		0	2,082	-409	0	0	0	
360	VANGUARD TOT WORLD STK	922042742		12/30/2015	3/2/2016	991		0	1,049	-58	0	0	0	
361	VANGUARD FTSE EMERGING	922042858		1/30/2015	3/24/2016	31,311		0	37,355	-6,044	0	0	0	-6
362	VANGUARD FTSE EMERGING	922042858		1/30/2015	6/13/2016	744		0	881	-137	0	0	0	
363	VANGUARD TOT WORLD STK	922042742		12/30/2015	3/2/2016	606		0	641	-35	0	0	0	
364	VANGUARD FTSE EMERGING	922042858		1/30/2015	12/7/2016	2,481		241	2,723	-1	0	0	0	
365	VANGUARD MSCI EUROPEAN	922042874		4/3/2014	2/3/2016	1,383		0	1,777	-394	0	0	0	
366	VANGUARD MSCI EUROPEAN	922042874		4/3/2014	3/2/2016	1,683		0	2,132	-449	0	0	0	
367	VANGUARD MSCI EUROPEAN	922042874		4/3/2014	3/24/2016	6,836		0	8,528	-1,692	0	0	0	-1
368	VANGUARD MSCI EUROPEAN	922042874		4/3/2014	6/13/2016	1,233		0	1,540	-307	0	0	0	
369	VANGUARD MSCI EUROPEAN	922042874		10/9/2015	9/9/2016	22,369		0	23,778	-1,409	0	0	0	-1
370	VANGUARD MSCI EUROPEAN	922042874		4/3/2014	9/9/2016	35,585		0	43,053	-7,468	0	0	0	-7
371	VANGUARD TOTAL STK MKT	922908769		10/9/2015	2/3/2016	2,202		0	2,383	-181	0	0	0	
372	VANGUARD TOTAL STK MKT	922908769		10/9/2015	3/2/2016	2,717		80	2,797	0	0	0	0	
373	VANGUARD TOTAL STK MKT	922908769		10/9/2015	6/13/2016	964		0	932	32	0	0	0	
374	VANGUARD TOTAL STK MKT	922908769		10/31/2015	6/13/2016	2,892		0	2,859	33	0	0	0	
375	VANGUARD TOT WORLD STK	922042742		12/30/2015	3/24/2016	620		0	675	-55	0	0	0	
376	VANGUARD TOTAL STK MKT	922908769		10/9/2015	9/9/2016	9,009		0	8,494	515	0	0	0	
377	VANGUARD TOTAL STK MKT	922908769		11/28/2016	12/7/2016	7,829		0	7,769	60	0	0	0	
378	WAL-MART STORES INC	931142103		4/3/2014	2/3/2016	198		0	232	-34	0	0	0	
379	WAL-MART STORES INC	931142103		4/3/2014	3/2/2016	265		0	310	-45	0	0	0	
380	WAL-MART STORES INC	931142103		7/2/2015	3/24/2016	676		0	721	-45	0	0	0	
381	WAL-MART STORES INC	931142103		5/3/2014	3/24/2016	135		0	150	-15	0	0	0	
382	ADVISORY RESEARCH MLP &	461418535		4/3/2014	1/6/2016	303		0	485	-182	0	0	0	
383	LATEEF FUND CL I	360873301		12/19/2012	3/24/2016	2		0	2	0	0	0	0	
384	ADVISORY RESEARCH MLP &	461418535		11/25/2015	1/6/2016	1,686		0	2,005	-319	0	0	0	
385	LATEEF FUND CL I	360873301		10/9/2015	3/24/2016	3,646		0	4,650	-1,004	0	0	0	-1
386	ADVISORY RESEARCH MLP &	461418535		4/3/2014	1/6/2016	4,367		0	7,385	-3,018	0	0	0	-3
387	LATEEF FUND CL I	360873301		12/19/2012	3/24/2016	42,582		0	45,102	-2,520	0	0	0	-2
388	ADVISORY RESEARCH MLP &	461418535		4/3/2014	1/6/2016	5		0	8	-3	0	0	0	
389	JOHNSON AND JOHNSON CO	478160104		4/7/2015	2/3/2016	206		0	201	5	0	0	0	
390	NATIXIS GATEWAY FUND CL	367829884		10/9/2015	2/3/2016	8		0	8	0	0	0	0	
391	NATIXIS GATEWAY FUND CL	367829884		10/9/2015	2/3/2016	1,806		0	1,860	-54	0	0	0	
392	JOHNSON AND JOHNSON CO	478160104		4/7/2015	3/2/2016	321		0	302	19	0	0	0	
393	JOHNSON AND JOHNSON CO	478160104		4/3/2014	3/24/2016	8,210		0	7,435	775	0	0	0	
394	NATIXIS GATEWAY FUND CL	367829884		10/9/2015	2/4/2016	4		0	4	0	0	0	0	
395	NATIXIS GATEWAY FUND CL	367829884		10/9/2015	3/2/2016	6		0	6	0	0	0	0	
396	NATIXIS GATEWAY FUND CL	367829884		10/9/2015	3/2/2016	2,159		27	2,185	1	0	0	0	
397	NATIXIS GATEWAY FUND CL	367829884		10/31/2015	6/13/2016	1,968		0	1,964	4	0	0	0	
398	JOHNSON AND JOHNSON CO	478160104		5/5/2015	3/24/2016	1,296		0	1,194	102	0	0	0	
399	NATIXIS GATEWAY FUND CL	367829884		3/24/2016	6/13/2016	14		0	14	0	0	0	0	
400	NATIXIS GATEWAY FUND CL	367829884		11/23/2016	12/7/2016	4,053		0	4,030	23	0	0	0	
401	NATIXIS GATEWAY FUND CL	367829884		11/30/2016	12/7/2016	23		0	23	0	0	0	0	
402	ADVISORY RESEARCH MLP &	461418535		12/30/2015	1/6/2016	2		0	2	0	0	0	0	
403	ADVISORY RESEARCH MLP &	461418535		8/31/2015	1/6/2016	1,647		0	2,383	-736	0	0	0	
404	JOHNSON AND JOHNSON CO	478160104		7/2/2015	3/24/2016	972		0	890	82	0	0	0	
405	JOHNSON AND JOHNSON CO	478160104		4/7/2015	3/24/2016	216		0	201	15	0	0	0	
406	JP MORGAN STRATEGIC	4812A4351		5/12/2014	2/3/2016	11		0	12	-1	0	0	0	
407	WAL-MART STORES INC	931142103		4/3/2014	3/24/2016	1,352		0	1,620	-268	0	0	0	
408	WAL-MART STORES INC	931142103		4/3/2014	3/24/2016	5,477		0	6,273	-796	0	0	0	
409	WELLS FARGO ABSOLUTE	94987W737		12/29/2015	2/3/2016	2		0	2	0	0	0	0	
410	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	2/3/2016	1,669		0	1,945	-276	0	0	0	
411	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	2/3/2016	0		0	0	0	0	0	0	
412	WELLS FARGO ABSOLUTE	94987W737		9/24/2014	2/3/2016	85		0	103	-18	0	0	0	
413	WAL-MART STORES INC	931142103		11/10/2014	3/24/2016	541		0	637	-96	0	0	0	
414	WAL-MART STORES INC	931142103		4/3/2014	3/24/2016	1,352		0	1,549	-197	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Loss
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
415	JP MORGAN STRATEGIC	4812A4351		2/26/2016	3/2/2016	9		0	9	0	0	0	0	
416	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	88		7	96	-1	0	0	0	
417	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	11		1	12	0	0	0	0	
418	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/2/2016	981		0	1,063	-82	0	0	0	
419	JP MORGAN STRATEGIC	4812A4351		4/19/2014	3/2/2016	11		0	13	-2	0	0	0	
420	JP MORGAN STRATEGIC	4812A4351		4/26/2014	3/2/2016	22		2	24	0	0	0	0	
421	JP MORGAN STRATEGIC	4812A4351		2/26/2016	3/3/2016	2		0	2	0	0	0	0	
422	JP MORGAN STRATEGIC	4812A4351		4/3/2014	3/24/2016	10		0	11	-1	0	0	0	
423	LORD ABBETT SHORT	543916464		2/29/2016	3/3/2016	2		0	2	0	0	0	0	
424	LORD ABBETT SHORT	543916464		4/3/2014	6/13/2016	195		9	204	0	0	0	0	
425	LORD ABBETT SHORT	543916464		4/25/2014	6/13/2016	330		18	348	0	0	0	0	
426	LORD ABBETT SHORT	543916464		5/21/2014	6/13/2016	326		0	342	-16	0	0	0	
427	LORD ABBETT SHORT	543916464		5/31/2016	6/13/2016	217		0	216	1	0	0	0	
428	LORD ABBETT SHORT	543916464		4/29/2016	6/13/2016	430		0	430	0	0	0	0	
429	LORD ABBETT SHORT	543916464		3/31/2016	6/13/2016	326		0	324	2	0	0	0	
430	LORD ABBETT SHORT	543916464		5/20/2014	6/13/2016	4		0	5	-1	0	0	0	
431	LORD ABBETT SHORT	543916464		4/25/2014	6/13/2016	694		0	733	-39	0	0	0	
432	LORD ABBETT SHORT	543916464		10/13/2015	6/13/2016	1		0	0	1	0	0	0	
433	LORD ABBETT SHORT	543916464		4/3/2014	6/13/2016	195		9	205	-1	0	0	0	
434	LORD ABBETT SHORT	543916464		5/20/2014	6/13/2016	4		0	5	-1	0	0	0	
435	LORD ABBETT SHORT	543916464		10/13/2015	9/9/2016	0		0	0	0	0	0	0	
436	LORD ABBETT SHORT	543916464		8/31/2016	9/9/2016	104		0	104	0	0	0	0	
437	LORD ABBETT SHORT	543916464		8/31/2016	9/9/2016	3		0	3	0	0	0	0	
438	LORD ABBETT SHORT	543916464		2/4/2016	9/9/2016	1,003		0	0	1,003	0	0	0	1
439	LORD ABBETT SHORT	543916464		11/12/2015	9/9/2016	4		0	0	4	0	0	0	
440	LORD ABBETT SHORT	543916464		10/13/2015	9/9/2016	2,896		0	0	2,896	0	0	0	2
441	LORD ABBETT SHORT	543916464		4/3/2014	9/9/2016	3,065		0	3,212	-147	0	0	0	
442	LORD ABBETT SHORT	543916464		4/3/2014	9/9/2016	739		0	778	-39	0	0	0	
443	LORD ABBETT SHORT	543916464		7/29/2016	9/9/2016	308		0	309	-1	0	0	0	
444	LORD ABBETT SHORT	543916464		4/26/2014	9/9/2016	330		0	350	-20	0	0	0	
445	LORD ABBETT SHORT	543916464		4/26/2014	9/9/2016	330		19	349	0	0	0	0	
446	LORD ABBETT SHORT	543916464		4/25/2014	9/9/2016	425		0	449	-24	0	0	0	
447	LORD ABBETT SHORT	543916464		11/30/2016	12/7/2016	1		0	1	0	0	0	0	
448	LORD ABBETT SHORT	543916464		9/12/2016	12/7/2016	1,065		0	0	1,065	0	0	0	1
449	LORD ABBETT SHORT	543916464		4/3/2014	12/7/2016	3,091		172	3,262	1	0	0	0	
450	LORD ABBETT SHORT	543916464		11/30/2016	12/7/2016	129		0	129	0	0	0	0	
451	LORD ABBETT SHORT	543916464		4/25/2014	9/9/2016	4		0	5	-1	0	0	0	
452	TORTOISE MLP &	56166Y404		1/6/2016	12/7/2016	1		0	1	0	0	0	0	
453	TORTOISE MLP &	56166Y404		11/30/2016	12/7/2016	4		0	4	0	0	0	0	
454	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	2/3/2016	1,532		0	1,600	-68	0	0	0	
455	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	2/3/2016	8		0	8	0	0	0	0	
456	MATTHEWS ASIA DIVIDEND	577125107		12/10/2015	3/2/2016	5		0	5	0	0	0	0	
457	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	3/2/2016	2		0	2	0	0	0	0	
458	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	3/2/2016	1,835		0	1,859	-24	0	0	0	
459	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	3/2/2016	15		0	15	0	0	0	0	
460	MATTHEWS ASIA DIVIDEND	577125107		10/13/2015	3/24/2016	13		0	13	0	0	0	0	
461	MATTHEWS ASIA DIVIDEND	577125107		4/3/2014	3/24/2016	2,712		0	2,667	45	0	0	0	
462	MATTHEWS ASIA DIVIDEND	577125107		3/21/2016	3/24/2016	5		0	5	0	0	0	0	
463	LORD ABBETT SHORT	543916464		4/25/2014	12/7/2016	4		0	5	-1	0	0	0	
464	LORD ABBETT SHORT	543916464		4/25/2014	12/7/2016	599		38	637	0	0	0	0	
465	LORD ABBETT SHORT	543916464		4/25/2014	12/7/2016	4		0	5	-1	0	0	0	
466	LORD ABBETT SHORT	543916464		4/25/2014	12/7/2016	4		0	5	-1	0	0	0	
467	LORD ABBETT SHORT	543916464		4/3/2014	12/7/2016	133		8	141	0	0	0	0	
468	MFS INTERNATIONAL VALUE	55273E822		10/9/2015	2/3/2016	1,443		0	1,526	-83	0	0	0	
469	MFS INTERNATIONAL VALUE	55273E822		4/3/2014	2/3/2016	633		0	637	-4	0	0	0	
470	MFS INTERNATIONAL VALUE	55273E822		4/3/2014	2/3/2016	31		0	32	-1	0	0	0	
471	MFS INTERNATIONAL VALUE	55273E822		12/15/2015	2/3/2016	2		0	2	0	0	0	0	
472	MFS INTERNATIONAL VALUE	55273E822		10/9/2015	3/2/2016	2,498		82	2,580	0	0	0	0	
473	MFS INTERNATIONAL VALUE	55273E822		4/3/2014	3/2/2016	3		0	3	0	0	0	0	
474	MFS INTERNATIONAL VALUE	55273E822		4/3/2014	3/2/2016	10		0	10	0	0	0	0	
475	MFS INTERNATIONAL VALUE	55273E822		10/9/2015	6/13/2016	475		0	472	3	0	0	0	
476	MFS INTERNATIONAL VALUE	55273E822		10/31/2015	6/13/2016	2,594		0	2,637	-43	0	0	0	
477	MFS INTERNATIONAL VALUE	55273E822		11/12/2015	6/13/2016	18		0	0	18	0	0	0	
478	MFS INTERNATIONAL VALUE	55273E822		3/24/2016	9/9/2016	5		0	5	0	0	0	0	
479	MFS INTERNATIONAL VALUE	55273E822		3/24/2016	9/9/2016	9,254		0	8,635	619	0	0	0	
480	MFS INTERNATIONAL VALUE	55273E822		11/12/2015	9/9/2016	8		0	0	8	0	0	0	
481	MFS INTERNATIONAL VALUE	55273E822		10/9/2015	9/9/2016	1,620		0	1,526	94	0	0	0	
482	MFS INTERNATIONAL VALUE	55273E822		10/9/2015	9/9/2016	810		0	763	47	0	0	0	
483	MFS INTERNATIONAL VALUE	55273E822		11/30/2016	12/7/2016	17		0	17	0	0	0	0	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	21,693
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Ov Adj Basis or Los
						2,163,406	0	3,364	1,300,969	865,801	0	0	0	865
484	MFS INTERNATIONAL VALUE	55273E822		11/29/2016	12/7/2016	5,701		0	5,695	6	0	0	0	
485	MFS INTERNATIONAL VALUE	55273E822		11/12/2015	12/7/2016	11		0	0	11	0	0	0	
486	TORTOISE MLP &	56166Y404		12/30/2015	2/3/2016	2		0	2	0	0	0	0	
487	TORTOISE MLP &	56166Y404		1/6/2016	2/3/2016	4		0	4	0	0	0	0	
488	TORTOISE MLP &	56166Y404		12/30/2015	2/3/2016	2,086		73	2,158	1	0	0	0	
489	TORTOISE MLP &	56166Y404		12/30/2015	2/3/2016	9		0	10	-1	0	0	0	
490	TORTOISE MLP &	56166Y404		12/30/2015	3/2/2016	10		0	10	0	0	0	0	
491	TORTOISE MLP &	56166Y404		12/30/2015	3/2/2016	3		0	3	0	0	0	0	
492	TORTOISE MLP &	56166Y404		12/30/2015	3/2/2016	383		0	373	10	0	0	0	
493	TORTOISE MLP &	56166Y404		12/30/2015	3/2/2016	2,218		0	2,158	60	0	0	0	
494	TORTOISE MLP &	56166Y404		12/30/2015	6/13/2016	387		0	312	75	0	0	0	
495	TORTOISE MLP &	56166Y404		12/30/2015	6/13/2016	12		0	10	2	0	0	0	
496	TORTOISE MLP &	56166Y404		3/24/2016	6/13/2016	2,128		0	1,827	301	0	0	0	
497	TORTOISE MLP &	56166Y404		3/24/2016	6/13/2016	9		0	7	2	0	0	0	
498	TORTOISE MLP &	56166Y404		12/30/2015	9/9/2016	25,968		0	18,555	7,413	0	0	0	7
499	TORTOISE MLP &	56166Y404		12/30/2015	9/9/2016	3,005		0	2,147	858	0	0	0	
500	TORTOISE MLP &	56166Y404		12/30/2015	9/9/2016	14		0	10	4	0	0	0	
501	TORTOISE MLP &	56166Y404		12/30/2015	9/9/2016	13		0	9	4	0	0	0	
502	TORTOISE MLP &	56166Y404		3/24/2016	9/12/2016	1		0	1	0	0	0	0	
503	TORTOISE MLP &	56166Y404		11/30/2016	12/7/2016	4,484		0	4,458	26	0	0	0	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										0	0	
1	LAWRENCE HALL GUFFEY		260 WEST BROADWAY 9B	NEW YORK	NY	10013		DIRECTOR, PRESIDENT		0		
2	LUCY MACKILLIGIN		260 WEST BROADWAY 9B	NEW YORK	NY	10013		DIRECTOR, PRESIDENT		0		
3	HAMISH BURT		~~PROMETHIAN INVESTMENTS LLC~~ 860 WASHINGTON ST.	~~LONDON~~ NEW YORK	NY	~~EC4~~ 10014		DIRECTOR		0		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment		5	
6	Other payments		6	460
7	Total		7	460