







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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE JOSEPH M RUFFIN FOUNDATION		A Employer identification number 46-3656750	
Number and street (or P O box number if mail is not delivered to street address) 241 CROSSROAD		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code IRVINGTON, VA 22480		B Telephone number (see instructions) (804) 389-5183	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 705,126	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	90,060			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,286	1,286	1,286	
	4 Dividends and interest from securities	10,097	10,097	10,097	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	-7,313			
	b Gross sales price for all assets on line 6a _____ 237,803				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	94,130	11,383	11,383	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) 	1,460			1,460
	b Accounting fees (attach schedule) 	1,500			1,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) 	8,894	7,934		960
	24 Total operating and administrative expenses. Add lines 13 through 23	11,854	7,934		3,920
	25 Contributions, gifts, grants paid	27,200			27,200
	26 Total expenses and disbursements. Add lines 24 and 25	39,054	7,934		31,120
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	55,076			
	b Net investment income (if negative, enter -0-)		3,449		
c Adjusted net income (if negative, enter -0-)				11,383	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	19,497	1,837	1,837
	2	Savings and temporary cash investments	34,869	65,095	65,095
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	39,815	39,817	39,973
	b	Investments—corporate stock (attach schedule)	394,014	408,451	512,866
	c	Investments—corporate bonds (attach schedule)	59,859	85,494	85,355
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	23			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	548,077	600,694	705,126	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	2,684	225	
	23	Total liabilities (add lines 17 through 22)	2,684	225	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	545,393	600,469	
	30	Total net assets or fund balances (see instructions)	545,393	600,469	
31	Total liabilities and net assets/fund balances (see instructions) .	548,077	600,694		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	545,393
2	Enter amount from Part I, line 27a	2	55,076
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	600,469
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	600,469

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; font-size: small;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	8,793	608,882	0 014441
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	0 014441
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 014441
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	654,289
5 Multiply line 4 by line 3	5	9,449
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	34
7 Add lines 5 and 6	7	9,483
8 Enter qualifying distributions from Part XII, line 4	8	31,120

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	34
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	34
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	34
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	34
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ VA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		
14	The books are in care of KATHY Z RHODES Telephone no (804) 389-5183			

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15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b			No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/> 1c			
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> 3b			No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	603,604
b	Average of monthly cash balances.	1b	60,649
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	664,253
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	664,253
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	9,964
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	654,289
6	Minimum investment return. Enter 5% of line 5.	6	32,714

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	32,714
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	34
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	34
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	32,680
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	32,680
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	32,680

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	31,120
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	31,120
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	34
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	31,086

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				32,680
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			27,010	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 31,120				
a Applied to 2015, but not more than line 2a			27,010	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				4,110
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				28,570
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	27,200
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					1,286
4	Dividends and interest from securities. . . .			14	10,097	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					-7,313
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				10,097	-6,027
13	Total. Add line 12, columns (b), (d), and (e).			13		4,070

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

* * * * *

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name CLIVE A MOREY	Preparer's Signature	Date 2017-07-18	Check if self-employed <input type="checkbox"/>	PTIN P00626864
Firm's name ► MOREY JONES AND PFEIFFER PC				Firm's EIN ► 54-1867966
Firm's address ► 3314 N PARHAM RD STE A RICHMOND, VA 232944118				Phone no (804) 527-1400

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
KATHY Z RHODES	PRESIDENT & 15 00	0	0	0
241 CROSSROAD IRVINGTON, VA 22480				
ROBERT T C CONE	DIRECTOR 5 00	0	0	0
7103 PINETREE ROAD RICHMOND, VA 23229				
NELLY W C MCCARTY	DIRECTOR & V 5 00	0	0	0
8010 DUNSMORE ROAD RICHMOND, VA 23229				
AMY A SUMMERSETT	DIRECTOR & S 5 00	0	0	0
1501 WINTERFIELD ROAD MIDLOTHIAN, VA 23113				
JOSEPH M RUFFIN JR	DIRECTOR & C 5 00	0	0	0
4114 STUART AVE RICHMOND, VA 23221				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOURNEY OF HOPE 11411 GRAVIER RD MIDLOTHIAN, VA 23112	NONE		CHARITABLE	1,700
FULL CIRCLE GRIEF CENTER 10611 PATTERSON AVE RICHMOND, VA 23238	NONE		CHARITABLE	1,700
PREVENT A LITTER VET HOSPITAL 3421 WEST CARY ST RICHMOND, VA 23221	NONE		CHARITABLE	1,700
CHRISTCHURCH FOUNDATION 49 SEAHORSE LANE CHRISTCHURCH, VA 23031	NONE		CHARITABLE	1,700
NORTHERN NECK FOOD BANK 5116 RICHMOND RD WARSAW, VA 22572	NONE		CHARITABLE	1,700
Total ► 3a				27,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DRIVE SMART OF VA INC 720 MOOREFIELD PARK DR RICHMOND, VA 23236	NONE		CHARITABLE	1,700
READING & EDUCAT FOR ADULT DEV 4915 RADFORD AVE RICHMOND, VA 23230	NONE		CHARITABLE	1,700
NORTHERN NECK FREE CLINIC 51 WILLIAM B GRANT CT KILMARNICK, VA 22482	NONE		CHARITABLE	1,700
VIRGINIA CAPITAL TRAIL FOUNDATION P O BOX 17966 RICHMOND, VA 23226	NONE		CHARITABLE	1,700
BANDITS ADOPT & RESCUE OF K-9'S 12300 FARRINGTON RD ASHLAND, VA 23005	NONE		CHARITABLE	1,700
Total ► 3a				27,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF BLUE RIDGE PKWY 4419 PHEASANT RIDGE RD ROANOKE, VA 24014	NONE		CHARITABLE	1,700
ST MARYS HEALTH WAGON P O BOX 7070 WISE, VA 24293	NONE		CHARITABLE	1,700
VIRGINIA ASSOC FOR PARKS 401 STONEHOUSE RD WILLIAMSBURG, VA 23188	NONE		CHARITABLE	1,700
VOICES FOR ANIMALS P O BOX 4466 CHARLOTTESVILLLE, VA 22905	NONE		CHARITABLE	1,700
COLONIAL WILLIAMSBURG FOUNDAT P O BOX 1776 WILLIAMSBURG, VA 23187	NONE		CHARITABLE	1,700
Total ▶ 3a				27,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SERVICE DOGS OF VA INC P O BOX 408 CHARLOTTESVILLE, VA 22902	NONE		CHARITABLE	1,700
Total <div> <div></div> <div>▶</div> </div> 3a				27,200

TY 2016 Accounting Fees Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MOREY JONES PFEIFFER PC	1,500			1,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: THE JOSEPH M RUFFIN FOUNDATION

EIN: 46-3656750

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SCHEDULE ATTACHED		PURCHASE			53,217	53,538			-321	
SCHEDULE ATTACHED		PURCHASE			184,586	191,578			-6,992	

TY 2016 Investments Corporate Bonds Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750

Name of Bond	End of Year Book Value	End of Year Fair Market Value
OPPENHEIMER CORP BONDS	85,494	85,355

TY 2016 Investments Corporate Stock Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OPPENHEIMER EQUITY	343,785	448,712
OPPENHEIMER MUTUAL FUNDS	64,666	64,154

TY 2016 Investments Government Obligations Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750**US Government Securities - End
of Year Book Value:**

39,817

**US Government Securities - End
of Year Fair Market Value:**

39,973

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Legal Fees Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WILLIAMS MULLINS	1,460			1,460

TY 2016 Other Assets Schedule

Name: THE JOSEPH M RUFFIN FOUNDATION

EIN: 46-3656750

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	23		

TY 2016 Other Expenses Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OPPENHEIMER-INVEST FEES	7,934	7,934		
OFFICE EXPENSES	960			960

TY 2016 Other Liabilities Schedule**Name:** THE JOSEPH M RUFFIN FOUNDATION**EIN:** 46-3656750

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO K RHODES	1,025	12
DUE TO RUFFIN	1,659	213

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization THE JOSEPH M RUFFIN FOUNDATION	Employer identification number 46-3656750

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE JOSEPH M RUFFIN FOUNDATION	Employer identification number 46-3656750
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEPH M RUFFIN JR 4114 STUART AVE RICHMOND, VA 23229	\$ 90,060	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization THE JOSEPH M RUFFIN FOUNDATION	Employer identification number 46-3656750
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1200 SHS-PIEDMONT NATURAL GAS	\$ 71 712	2016-07-31
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE JOSEPH M RUFFIN FOUNDATION	Employer identification number 46-3656750
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	



THE JOSEPH M RUFEN FOUNDATION
4114 STUART AVE
RICHMOND VA 23221
H: 804-358-2064

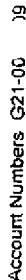
Realized Gain/(Loss)
Held at Oppenheimer & Co. Inc.
For Year 2016

Report Printed on 2/21/2017 3:42:49 PM

FA Russell W Good
FA# J2F

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/(Loss)	Prd Gain/Of (Loss)	Term
CASH										
		0.0000	10/31/2016	0.00	0.0000	10/31/2016	31.84	31.84	N/A	S
ADIENT PLC										
ORD SHS										
29 ADIENT PLC		46.5850	10/31/2016	1,350.96	45.3000	11/02/2016	1,313.67	(37.29)	-2.76%	S
ORD SHS										
2 ADVANSIX INC										
3 ADVANSIX INC		13.7594	11/04/2013	27.52	15.6001	10/10/2016	31.20	3.68	13.36%	L
41 AMERICAN AIRLS GROUP INC		10.1098	09/12/2014	30.33	15.6001	10/10/2016	46.79	16.46	54.29%	L
88 ANTHEM INC		23.4927	11/04/2013	963.20	40.4410	11/07/2016	1,658.04	694.84	72.14%	L
9 ANTHEM INC		86.9089	11/08/2013	7,847.99	124.8801	09/30/2016	10,989.20	3,341.22	43.69%	L
21 APPLE INC		92.6100	12/04/2013	833.49	124.8801	09/30/2016	1,123.90	290.41	34.84%	L
28 BB&T CORP		74.5357	10/31/2013	1,565.25	104.2500	07/27/2016	2,189.20	623.95	39.86%	L
122 BB&T CORP		33.7890	11/04/2013	946.09	33.6853	03/24/2016	943.17	(2.93)	-0.31%	L
13 BB&T CORP		33.7890	11/04/2013	4,122.26	34.4100	03/14/2016	4,197.92	75.66	1.84%	L
165 BB&T CORP		34.8000	12/04/2013	452.40	33.6853	03/24/2016	437.90	(14.50)	-3.21%	L
187 BRISTOL MYERS SQUIBB CO		36.7694	01/06/2015	6,103.72	33.6853	03/24/2016	5,591.63	(512.09)	-8.39%	L
4 CALIFORNIA RES CORP		59.2900	08/22/2016	11,087.23	58.7220	12/15/2016	10,980.77	(106.46)	-0.96%	S
1 CALIFORNIA RES CORP		1.9205	11/04/2013	7.68	0.9302	03/29/2016	3.72	(3.96)	-51.61%	L
6 CALIFORNIA RES CORP		0.6023	12/04/2013	0.60	0.9302	03/29/2016	0.93	0.33	54.30%	L
3 CALIFORNIA RES CORP		1.6554	12/09/2013	9.93	0.9302	03/29/2016	5.58	(4.36)	-43.86%	L
1 CALIFORNIA RES CORP		1.3938	01/31/2014	4.18	0.9302	03/29/2016	2.79	(1.39)	-33.33%	L
CALIFORNIA RES CORP		1.4382	06/24/2015	1.44	0.9302	03/29/2016	0.93	(0.51)	-35.38%	S
27 CAPITAL ONE FINL CORP		0.0000	03/28/2016	0.00	0.0000	03/28/2016	0.21	0.21	N/A	S
53 CARMAX INC		76.7500	11/18/2015	2,072.25	83.1910	11/14/2016	2,246.11	173.86	8.39%	S
3 CARMAX INC		48.7522	12/20/2013	2,583.87	62.5782	12/12/2016	3,316.57	732.70	28.36%	L
115 CAVIUM INC		47.1597	03/14/2014	141.48	62.5782	12/12/2016	187.73	46.25	32.69%	L
29 CAVIUM INC		65.1317	03/26/2015	7,490.15	41.0000	06/16/2016	4,714.90	(2,775.25)	-37.05%	L
123 CAVIUM INC		69.5000	04/01/2015	2,015.50	41.0000	06/16/2016	1,188.97	(826.53)	-41.01%	L
36 CISCO SYS INC		53.3699	04/20/2016	6,564.50	41.0000	06/16/2016	5,042.89	(1,521.61)	-23.18%	S
92 DAVITA INC		28.7899	08/14/2015	1,036.44	31.7001	11/15/2016	1,141.17	104.73	10.11%	L
32 DAVITA INC		73.8519	11/10/2014	6,794.38	60.2644	10/21/2016	5,544.19	(1,250.19)	-18.40%	L
22 GILEAD SCIENCES INC		74.9869	11/19/2014	2,399.58	60.2644	10/21/2016	1,928.42	(471.16)	-19.64%	L
		96.5999	10/15/2014	2,125.20	72.3300	12/07/2016	1,591.22	(533.98)	-25.13%	L

Positions and/or balances marked with an * have been posted to a non-U.S. currency account.
This report is for illustration purposes only; it is not and should not be construed as a substitute for your Oppenheimer & Co. Inc. trade confirmation account statement, or Form 1099 and should not be relied upon for tax reporting purposes.
The information reflected is from sources believed to be reliable and is subject to change. Past performance is not indicative of future results.



For Year 2016
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FA Russell W Good

Positions and/or balances marked with an "x" have been posted to a non-U.S. currency account. This report is for illustration purposes only; it is not and should not be construed as a substitute. The information reflected is from sources believed to be reliable and is subject to change. Past



Realized Gain/(Loss)
Held at Oppenheimer & Co. Inc.
For Year 2016

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THE JOSEPH M RUFFIN FOUNDATION

FA Russell W Good

Quantity	Description	Purchase Price	Purchase Date	Purchase Cost	Sale Price	Sale Date	Sale Proceeds	Realized Gain/ (Loss)	Prod Gain/ Term Of Gain/ (Loss)
TOTAL COST		180,889.69	TOTAL PROCEEDS		237,802.37				

	TOTAL SHORT TERM GAIN/(LOSS)	TOTAL LONG TERM GAIN/(LOSS)	TOTAL REALIZED GAIN/(LOSS)
2010	\$ 1,000	\$ 1,000	\$ 2,000
2009	\$ 1,000	\$ 1,000	\$ 2,000
2008	\$ 1,000	\$ 1,000	\$ 2,000
2007	\$ 1,000	\$ 1,000	\$ 2,000
2006	\$ 1,000	\$ 1,000	\$ 2,000
2005	\$ 1,000	\$ 1,000	\$ 2,000
2004	\$ 1,000	\$ 1,000	\$ 2,000
2003	\$ 1,000	\$ 1,000	\$ 2,000
2002	\$ 1,000	\$ 1,000	\$ 2,000
2001	\$ 1,000	\$ 1,000	\$ 2,000
2000	\$ 1,000	\$ 1,000	\$ 2,000
1999	\$ 1,000	\$ 1,000	\$ 2,000
1998	\$ 1,000	\$ 1,000	\$ 2,000
1997	\$ 1,000	\$ 1,000	\$ 2,000
1996	\$ 1,000	\$ 1,000	\$ 2,000
1995	\$ 1,000	\$ 1,000	\$ 2,000
1994	\$ 1,000	\$ 1,000	\$ 2,000
1993	\$ 1,000	\$ 1,000	\$ 2,000
1992	\$ 1,000	\$ 1,000	\$ 2,000
1991	\$ 1,000	\$ 1,000	\$ 2,000
1990	\$ 1,000	\$ 1,000	\$ 2,000
1989	\$ 1,000	\$ 1,000	\$ 2,000
1988	\$ 1,000	\$ 1,000	\$ 2,000
1987	\$ 1,000	\$ 1,000	\$ 2,000
1986	\$ 1,000	\$ 1,000	\$ 2,000
1985	\$ 1,000	\$ 1,000	\$ 2,000
1984	\$ 1,000	\$ 1,000	\$ 2,000
1983	\$ 1,000	\$ 1,000	\$ 2,000
1982	\$ 1,000	\$ 1,000	\$ 2,000
1981	\$ 1,000	\$ 1,000	\$ 2,000
1980	\$ 1,000	\$ 1,000	\$ 2,000
1979	\$ 1,000	\$ 1,000	\$ 2,000
1978	\$ 1,000	\$ 1,000	\$ 2,000
1977	\$ 1,000	\$ 1,000	\$ 2,000
1976	\$ 1,000	\$ 1,000	\$ 2,000
1975	\$ 1,000	\$ 1,000	\$ 2,000
1974	\$ 1,000	\$ 1,000	\$ 2,000
1973	\$ 1,000	\$ 1,000	\$ 2,000
1972	\$ 1,000	\$ 1,000	\$ 2,000
1971	\$ 1,000	\$ 1,000	\$ 2,000
1970	\$ 1,000	\$ 1,000	\$ 2,000
1969	\$ 1,000	\$ 1,000	\$ 2,000
1968	\$ 1,000	\$ 1,000	\$ 2,000
1967	\$ 1,000	\$ 1,000	\$ 2,000
1966	\$ 1,000	\$ 1,000	\$ 2,000
1965	\$ 1,000	\$ 1,000	\$ 2,000
1964	\$ 1,000	\$ 1,000	\$ 2,000
1963	\$ 1,000	\$ 1,000	\$ 2,000
1962	\$ 1,000	\$ 1,000	\$ 2,000
1961	\$ 1,000	\$ 1,000	\$ 2,000
1960	\$ 1,000	\$ 1,000	\$ 2,000
1959	\$ 1,000	\$ 1,000	\$ 2,000
1958	\$ 1,000	\$ 1,000	\$ 2,000
1957	\$ 1,000	\$ 1,000	\$ 2,000
1956	\$ 1,000	\$ 1,000	\$ 2,000
1955	\$ 1,000	\$ 1,000	\$ 2,000
1954	\$ 1,000	\$ 1,000	\$ 2,000
1953	\$ 1,000	\$ 1,000	\$ 2,000
1952	\$ 1,000	\$ 1,000	\$ 2,000
1951	\$ 1,000	\$ 1,000	\$ 2,000
1950	\$ 1,000	\$ 1,000	\$ 2,000
1949	\$ 1,000	\$ 1,000	\$ 2,000
1948	\$ 1,000	\$ 1,000	\$ 2,000
1947	\$ 1,000	\$ 1,000	\$ 2,000
1946	\$ 1,000	\$ 1,000	\$ 2,000
1945	\$ 1,000	\$ 1,000	\$ 2,000
1944	\$ 1,000	\$ 1,000	\$ 2,000
1943	\$ 1,000	\$ 1,000	\$ 2,000
1942	\$ 1,000	\$ 1,000	\$ 2,000
1941	\$ 1,000	\$ 1,000	\$ 2,000
1940	\$ 1,000	\$ 1,000	\$ 2,000
1939	\$ 1,000	\$ 1,000	\$ 2,000
1938	\$ 1,000	\$ 1,000	\$ 2,000
1937	\$ 1,000	\$ 1,000	\$ 2,000
1936	\$ 1,000	\$ 1,000	\$ 2,000
1935	\$ 1,000	\$ 1,000	\$ 2,000

321,27
57,233 92
56,912 65

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