



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury  
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending

Name of foundation <u>George and Ann Swift Family Foundation, Inc.</u>		A Employer identification number <u>46-3525407</u>
Number and street (or P O box number if mail is not delivered to street address) <u>6689 Woodbury Road</u>		B Telephone number (see instructions) <u>(706) 660-0504</u>
City or town, state or province, country, and ZIP or foreign postal code <u>Columbus GA 31904</u>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ <u>3,861,776.</u>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule) . . .	59,000.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	69,900.	69,900.	69,900.	
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	-25,484.	L-6a Stmt		
	b Gross sales price for all assets on line 6a . . . . .	752,927.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		0.		
	8 Net short-term capital gain . . . . .			0.	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances . . . . .				
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .					
12 Total. Add lines 1 through 11. . . . .	103,416.	69,900.	69,900.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc. . . . .				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits. . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach sch). L-16b Stmt.	1,350.			
	c Other professional fees (attach sch) L-16c Stmt.	19,153.	19,153.	19,153.	
	17 Interest . . . . .				
	18 Taxes (attach schedule)(see instrs) . . . . .				
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .				
	24 Total operating and administrative expenses Add lines 13 through 23 . . . . .	20,503.	19,153.	19,153.	
	25 Contributions, gifts, grants paid . . . . .	202,000.			202,000.
26 Total expenses and disbursements Add lines 24 and 25 . . . . .	222,503.	19,153.	19,153.	202,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements . . . . .	-119,087.				
b Net investment income (if negative, enter -0-) . . . . .		50,747.			
c Adjusted net income (if negative, enter -0-) . . . . .			50,747.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
ASSETS	1	Cash — non-interest-bearing . . . . .					
	2	Savings and temporary cash investments . . . . .	413,386.	139,449.	139,449.		
	3	Accounts receivable . . . . .					
		Less allowance for doubtful accounts . . . . .					
	4	Pledges receivable . . . . .					
		Less allowance for doubtful accounts . . . . .					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach sch) . . . . .					
		Less allowance for doubtful accounts . . . . .					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .					
	10a	Investments — U S and state government obligations (attach schedule) . . . . .					
	b	Investments — corporate stock (attach schedule) . . . . .	3,293,673.	3,448,523.	3,722,327.		
	c	Investments — corporate bonds (attach schedule) . . . . .					
	LIABILITIES	11	Investments — land, buildings, and equipment basis . . . . .				
		Less accumulated depreciation (attach schedule) . . . . .					
12		Investments — mortgage loans . . . . .					
13		Investments — other (attach schedule) . . . . .					
14		Land, buildings, and equipment basis . . . . .					
		Less accumulated depreciation (attach schedule) . . . . .					
15		Other assets (describe . . . . .)					
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I). . . . .	3,707,059.	3,587,972.	3,861,776.		
17		Accounts payable and accrued expenses . . . . .					
18		Grants payable . . . . .					
NET UNRESTRICTED ASSETS OR FUND BALANCES	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, & other disqualified persons . . . . .					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe . . . . .)					
	23	Total liabilities (add lines 17 through 22) . . . . .					
		Foundations that follow SFAS 117, check here . . . . . <input type="checkbox"/>					
	24	Unrestricted . . . . .					
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .					
		Foundations that do not follow SFAS 117, check here . . . . . <input checked="" type="checkbox"/>					
27	Capital stock, trust principal, or current funds . . . . .	3,707,059.	3,587,972.				
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .						
29	Retained earnings, accumulated income, endowment, or other funds . . . . .						
30	Total net assets or fund balances (see instructions) . . . . .	3,707,059.	3,587,972.				
31	Total liabilities and net assets/fund balances (see instructions) . . . . .	3,707,059.	3,587,972.				

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,707,059.
2	Enter amount from Part I, line 27a . . . . .	2	-119,087.
3	Other increases not included in line 2 (itemize) . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	3,587,972.
5	Decreases not included in line 2 (itemize) . . . . . <u>Capital losses</u>	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 . . . . .	6	3,587,972.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	Stock-short-term schedule attached	P	various	various
b	Stock-long-term schedule attached	P	various	various
c	Long-term capital gain distributions	P	various	various
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 77,330.		84,551.	-7,221.
b 672,271.		693,860.	-21,589.
c 3,326.		0.	3,326.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-7,221.
b			-21,589.
c			3,326.
d			
e			

2 Capital gain net income or (net capital loss) . . . . .	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ] . . . . .	2	-25,484.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	[ ] . . . . .	3	-7,221.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	205,408.	4,034,503.	0.050913
2014	204,610.	4,204,530.	0.048664
2013	0.	4,088,617.	0.000000
2012			
2011			

2 Total of line 1, column (d) . . . . .	2	0.099577
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0.033192
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5. . . . .	4	3,751,050.
5 Multiply line 4 by line 3 . . . . .	5	124,505.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	6	507.
7 Add lines 5 and 6. . . . .	7	125,012.
8 Enter qualifying distributions from Part XII, line 4 . . . . .	8	202,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter -NA- on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary — see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	507.	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.	
3 Add lines 1 and 2	3	507.	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	507.	
6 Credits/Payments			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a	1,408.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	1,408.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	901.	
11 Enter the amount of line 10 to be credited to 2017 estimated tax	11	901.	Refunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GEORGIA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	X	

BAA

Form 990-PF (2016)

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions) . . . . .	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) . . . . .	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X
Website address . . . . . N/A		
14 The books are in care of ▶ Carter Swift Telephone no ▶ (706) 570-2533		
Located at ▶ 6689 Woodbury Road Columbus GA ZIP + 4 ▶ 31904		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here . . . . .	15	
and enter the amount of tax-exempt interest received or accrued during the year . . . . .		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1 b	
Organizations relying on a current notice regarding disaster assistance check here . . . . .		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions) . . . . .	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) . . . . .	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? . . . . .	4 b	X

BAA

Form 990-PF (2016)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**5 b****c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d).

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b**

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**7 b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Asa V. Swift 7600 #4 Rolling Bend Rd Columbus GA 31904	President 1.00	0.	0.	0.
Carter E. Swift 6689 Woodbury Road Columbus GA 31904	Vice President 1.00	0.	0.	0.
Joan S. Redmond 6152-7 Green Island Drive Columbus GA 31904	Secretary 1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

None

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
	0.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
	0.
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	None

BAA

Form 990-PF (2016)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,518,930.
b	Average of monthly cash balances	1b	289,243.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,808,173.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,808,173.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	57,123.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,751,050.
6	Minimum investment return. Enter 5% of line 5	6	187,553.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	187,553.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	507.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	507.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	187,046.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	187,046.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	187,046.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	202,000.
b	Program-related investments — total from Part IX-B.	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	202,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	507.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	201,493.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				187,046.
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only . . . . .			201,122.	
<b>b</b> Total for prior years 20__, 20__, 20__				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011 . . . . . 0.				
<b>b</b> From 2012 . . . . . 0.				
<b>c</b> From 2013 . . . . . 0.				
<b>d</b> From 2014 . . . . . 0.				
<b>e</b> From 2015 . . . . . 0.				
<b>f</b> Total of lines 3a through e . . . . . 0.				
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 202,000.				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .			201,122.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required — see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				878.
<b>e</b> Remaining amount distributed out of corpus . . . . . 0.				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . . 0.				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . . 0.				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions . . . . . 0.				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount — see instructions . . . . . 0.				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				186,168.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . . 0.				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . . 0.				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012 . . . . . 0.				
<b>b</b> Excess from 2013 . . . . . 0.				
<b>c</b> Excess from 2014 . . . . . 0.				
<b>d</b> Excess from 2015 . . . . . 0.				
<b>e</b> Excess from 2016 . . . . . 0.				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a . . . . .				
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test — enter				
(1) Value of all assets . . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				
b Endowment-alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .				
c 'Support' alternative test — enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .				
(3) Largest amount of support from an exempt organization . . . . .				
(4) Gross investment income . . . . .				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
CORTA P.O. Box 8236 Columbus GA 31908	N/A	Public	General	25,000.
UGA Foundation P.O. Box 1472 Athens GA 30603	N/A	Public	General	20,000.
Trinity Episcopal Church 1130 1st Ave. Columbus GA 31901	N/A	Public	General	26,000.
Columbus Hospice 7020 Moon Road Columbus GA 31909	N/A	Public	General	10,000.
Georgia Conservancy 230 W. Peachtree Street Atlanta GA 30303	N/A	Public	General	5,000.
Jekyll Island Foundation 100 James Road Jekyll Island GA 31527	N/A	Public	General	5,000.
PAWS Humane P.O. Box 8964 Columbus GA 31908	N/A	Public	General	500.
Columbus Museum 1251 Wynnton Road Columbus GA 31904	N/A	Public	General	5,000.
National Infantry Fd. 1775 Legacy Way Columbus GA 31903	N/A	Public	General	5,000.
See Line 3a statement				100,500.
<b>Total . . . . .</b>			<b>3 a</b>	<b>202,000.</b>
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			<b>3 b</b>	

<b>Part XVI-A</b>	<b>Analysis of Income-Producing Activities</b>
-------------------	--

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . .					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments . . . .					
4	Dividends and interest from securities . . . . .			14	69,900.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property . . . . .					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory . . .			18	-25,484.	
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . .					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .				44,416.	
13	Total. Add line 12, columns (b), (d), and (e) . . . . .					44,416.

(See worksheet in line 13 instructions to verify calculations )

<b>Part XVI-B</b>	<b>Relationship of Activities to the Accomplishment of Exempt Purposes</b>
-------------------	--

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

George and Ann Swift Family Foundation, Inc.

Employer identification number

46-3525407

**Organization type (check one)**

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust **treated** as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the **General Rule** and a **Special Rule**. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 **exclusively** for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions **exclusively** for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an **exclusively** religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received **nonexclusively** religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2016)**

Name of organization

Employer identification number

George and Ann Swift Family Foundation, Inc.

46-3525407

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	George P. Swift III 2029 Westminster Way Columbus GA 31904	\$ 59,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



## Form 990-PF, Page 11, Part XV, line 3a

## Line 3a statement

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox	Amount
Name and address (home or business)					
<b>a Paid during the year</b>					
Claws & Paws				Person or Business <input type="checkbox"/>	
1008 Bonnie Rd				<input checked="" type="checkbox"/>	
Buena Vista GA 31803	N/A	Public	General		15,000.
Children's Healthcare of Atlanta				Person or Business <input type="checkbox"/>	
1577 NE Expressway				<input checked="" type="checkbox"/>	
Atlanta GA 30329	N/A	Public	Autism Research Program		15,000.
Cedar Hill Baptist Church				Person or Business <input type="checkbox"/>	
602 6th Street				<input checked="" type="checkbox"/>	
Phenix City AL 36867	N/A	Public	General		5,000.
St. Paul United Methodist				Person or Business <input type="checkbox"/>	
2101 Wildwood Ave				<input checked="" type="checkbox"/>	
Columbus GA 31901	N/A	Public	General		10,500.
Animal Ark Rescue				Person or Business <input type="checkbox"/>	
7133 Sacerdote Lane				<input checked="" type="checkbox"/>	
Columbus GA 31907	N/A	Public	General		5,000.
Stewart Community Home				Person or Business <input type="checkbox"/>	
1125 15th Street				<input checked="" type="checkbox"/>	
Columbus GA 31901	N/A	Public	General		3,000.
Boys and Girls Clubs				Person or Business <input type="checkbox"/>	
1700 Buena Vista Road				<input checked="" type="checkbox"/>	
Columbus GA 31901	N/A	Public	General		2,000.
Columbus Botanical Gardens				Person or Business <input type="checkbox"/>	
3603 Weems Road				<input checked="" type="checkbox"/>	
Columbus GA 31909	N/A	Public	General		5,000.
Mercy Medical				Person or Business <input type="checkbox"/>	
3700 2nd Avenue				<input checked="" type="checkbox"/>	
Columbus GA 31901	N/A	Public	General		5,000.
Feeding the Valley				Person or Business <input type="checkbox"/>	
6744 Flat Rock Road				<input checked="" type="checkbox"/>	
Midland GA 31820	N/A	Public	General		5,000.
Brookstone School Tennis Complex				Person or Business <input type="checkbox"/>	
440 Bradley Park Drive				<input checked="" type="checkbox"/>	
Columbus GA 31904	N/A	Public	General		20,000.
Community Foundation Inc				Person or Business <input type="checkbox"/>	
1340 13th Street				<input checked="" type="checkbox"/>	
Columbus GA 31901	N/A	Public	General		10,000.

Total

100,500.

Form 990-PF, Page 1, Part I

**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Richard D Greenwald, CPA, PC	Tax preparation	1,350.			
<b>Total</b>		<u>1,350.</u>			

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Williams Jones & Assoc.	Investment Advisor	19,153.	19,153.	19,153.	
<b>Total</b>		<u>19,153.</u>	<u>19,153.</u>	<u>19,153.</u>	

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Schedule attached	3,299,920.	3,577,695.
IShares NASDAQ Biotech	148,603.	144,632.
<b>Total</b>	<u>3,448,523.</u>	<u>3,722,327.</u>

Reporting Period December 1 - 31, 2016

# MONTHLY STATEMENT

## HOLDINGS DETAIL (continued)

### MUTUAL FUNDS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
DOUBLELINE	DBLTX	10/9/14	11,496 044	\$10 62	\$122,087 99	\$126,585 24	\$(4,497 25)
TOTAL RETURN BOND I							
PIMCO FUNDS	PMZIX	1/30/15	11,487 521	10 89	125,099 10	127,989 38	(2,890 28)
MORTGAGE OPPORTUNITIES INST							
TOTAL MUTUAL FUNDS					\$247,187.09	\$254,574.62	\$(7,387.53)

### STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
ALPHABET INC CL A	GOOGL	11/6/13	300	\$792 45	\$237,735 00	\$156,551 78	\$81,183 22
AMERIGAS PARTNERS-LP COM	APU	2/17/15	2,500	47.92	119,800 00	126,907.03	(7,107 03)
BROOKFIELD REAL ASSETS INCOME CLOSED END FUND	RA	4/26/16	4,791	22 31	106,887.21	118,714 10	(11,826 89)
CAESARS ENTERTAINMENT CORP COM	CZR	1/27/16	800	8.50	6,800 00	5,184 23	1,615 77
CELGENE CORP COM	CELG	1/13/15	1,000	115 75	115,750 00	121,330 99	(5,580 99)
CENTURYLINK INC COM	CTL	11/4/16	3,000	23 78	71,340 00	70,138 29	1,201 71
CISCO SYSTEMS INC COM	CSCO	10/29/15	3,000	30 22	90,660 00	86,172 83	4,487 17
CITIGROUP INC COM	C	2/17/15	4,300	59 43	255,549 00	226,281 72	29,267 28
CORNING INC CM	GLW	10/21/13	6,000	24 27	145,620 00	90,774 00	54,846 00

Questions? Consult your Independent Advisor:  
 WILLIAMS JONES & ASSOC LLC (212) 754-4115

Account 929-023647  
 GEORGE AND ANN SWIFT FAMILY  
 FOUNDATION INC  
 ATTN: CARTER EPPING SWIFT  
 CORPORATION

Reporting Period December 1 - 31, 2016

# MONTHLY STATEMENT

## HOLDINGS DETAIL (continued)

### STOCKS

Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
CROWN CASTLE INTL CORP COM	CCI	2/18/14	2,000	86.77	173,540.00	149,749.29	23,790.71
DANAHER CORP DEL COM	DHR	6/8/15	1,000	77.84	77,840.00	68,956.76	8,883.24
ENTERPRISE PRODUCTS PARTNERS LP	EPD	1/30/15	4,425	27.04	119,652.00	138,674.88	(19,022.88)
EQT MIDSTREAM PARTNERS LP UNIT LTD	EQM	10/23/15	700	76.68	53,676.00	50,424.20	3,251.80
FACEBOOK INC COM	FB	5/14/15	1,250	115.05	143,812.50	101,362.95	42,449.55
FORTIVE CORP COM	FTV	6/8/15	500	53.63	26,815.00	22,455.54	4,359.46
GENERAL ELECTRIC CO COM	GE	6/8/15	3,000	31.60	94,800.00	87,463.38	7,336.62
KANSAS CITY SOUTHERN COM	KSU	10/21/15	450	84.85	38,182.50	40,027.86	(1,845.36)
LAMAR ADVERTISING CO COM	LAMR	4/26/16	1,500	67.24	100,860.00	93,661.59	7,198.41
LEVEL 3 COMMUNICATIONS INC COM	LVT	7/30/15	1,500	56.36	84,540.00	75,137.04	9,402.96
LIBERTY GLOBAL PLC COM CLASS A	LBTYA	10/21/13	4,000	30.59	122,360.00	132,694.19	(10,334.19)
NEW MOUNTAIN FINANCE CORP COM	NMFC	8/26/14	9,000	14.10	126,900.00	135,830.28	(8,930.28)
NXP SEMICONDUCTOR NV COM	NXPI	5/12/15	1,500	98.01	147,015.00	151,671.43	(4,656.43)
ROCKWELL AUTOMATION INC COM	ROK	2/28/14	1,000	134.40	134,400.00	123,567.24	10,832.76
SCHLUMBERGER LTD COM	SLB	5/17/16	1,000	83.95	83,950.00	74,874.69	9,075.31

Questions? Consult your Independent Advisor:  
 WILLIAMS JONES & ASSOC LLC (212) 754-4115



Account 929-023647  
 GEORGE AND ANN SWIFT FAMILY  
 FOUNDATION INC  
 ATTN: CARTER EPPING SWIFT  
 CORPORATION

Reporting Period December 1 - 31, 2016

# MONTHLY STATEMENT

## HOLDINGS DETAIL (continued)

STOCKS	Investment Description	Symbol/ CUSIP	Purchase Date	Quantity	Closing Price	Market Value	Cost Basis	Unrealized Gain/(Loss)
	THERMO FISHER SCIENTIFIC COM	TMO	10/21/15	750	141 10	105,825 00	102,550 08	3,274 92
	WABTEC COM	WAB	6/2/16	1,420	83 02	117,888 40	111,051 32	6,837 08
	WALT DISNEY CO COM	DIS	10/24/13	1,750	104 22	182,385 00	120,429 75	61,955 25
	WELLTOWER INC COM	HCN	3/2/15	3,000	66 93	200,790 00	214,503 72	(13,713 72)
	WEYERHAEUSER CO COM	WY	4/26/16	1,500	30 09	45,135 00	48,204 84	(3,069 84)
<b>TOTAL STOCKS</b>						<b>\$3,330,507.61</b>	<b>\$3,045,346.00</b>	<b>\$285,161.61</b>
	<b>TOTAL STOCKS- LONG POSITION</b>					<b>3,330,507.61</b>		
<b>TOTAL HOLDINGS</b>						<b>\$3,951,955.05</b>	<b>\$3,448,523.12</b>	<b>\$273,803.68</b>
<b>TOTAL ACCOUNT VALUE</b>						<b>\$3,951,955.05</b>		

## TRANSACTIONS DETAIL

Transaction Date	Settlement Date	Activity Type	Description	Symbol/ CUSIP	Quantity	Price	Transaction Amount
12/01	12/01	Dividends and Interest	PIMCO DYNAMIC INCOME FUND COM	PDI	-	\$ -	\$661 50
			PAYABLE 12/01/2016 ORDINARY DIVIDENDS		661 50		

Questions? Consult your Independent Advisor:  
 WILLIAMS JONES & ASSOC LLC (212) 754-4115

